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**Title**: Electrochemical Oxidative Formation and Reductive Desorption of a Self-Assembled Monolayer of Decanethiol on a Au(111) Surface in KOH Ethanol Solution

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Electrochemical Oxidative Formation and Reductive Desorption of a Self-Assembled Monolayer of Decanethiol on a Au(111) Surface in KOH Ethanol Solution

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Electrochemical oxidative adsorption and reductive desorption of a self-assembled monolayer (SAM) of decanethiol on a Au(111) single crystal electrode were examined in 0.1 M KOH ethanol solution containing various concentrations of decanethiol ranging from 1 μM to 1 mM. Anodic and cathodic current peaks corresponding to the adsorption and desorption of decanethiol, respectively, were observed in cyclic voltammograms of a Au(111) single crystal electrode obtained in 0.1 M KOH ethanol solution containing more than 10 μM of decanethiol. Positions of both peaks depended on the concentration of decanethiol, and they shifted negatively by ca. 0.057 V/decade with increase in decanethiol concentration. This result confirms that the adsorption and desorption of decanethiol is a one-electron process. The reductive charge, which consists of desorption charge and capacitive charge, increased when the sweep rate was decreased and the decanethiol concentration was increased and reached the saturated value of 103 (±5%) μC cm⁻², which corresponds to the reductive charge of thiol SAM of full coverage with a (√3 × √3)R30° structure. Potentiostatic SAM formation was also investigated by holding the potential at +0.1 V. The reductive charge, i.e., the coverage of the SAM, increased with time and reached the saturated value of 103 (±5%) μC cm⁻², corresponding to full coverage, after holding the potential at +0.1 V for a certain period of time. The time when the amount of adsorbed thiolate reached full coverage depended on the concentration of decanethiol. The higher the concentration was, the faster full coverage was reached. The desorption peak shifted negatively as the holding time at +0.1 V was increased even after the adsorbed amount had reached full coverage. These results suggest that the ordering of decanethiol SAMs requires a much longer time than the time required for full coverage adsorption. The position of the reductive desorption peak was independent of the thiol concentration if the electrode was kept at +0.1 V for long enough so that a highly ordered SAM was formed. The cathodic peak shifted negatively as the sweep rate was increased, showing that reductive desorption of the SAM was rather slow. The rate constant for the reductive desorption was determined from the potential dependence peak shift to be 0.24 s⁻¹, which is in good agreement with the value obtained for a SAM prepared without potential control, indicating that the quality of the electrochemically prepared SAM is as good as that of the SAM prepared nonelectrochemically.

Introduction

Construction of organic molecular layers on solid surfaces is an important subject not only for fundamental science but also for a wide range of applications such as wetting control, corrosion inhibition, and molecular and bio-electronic devices. A self-assembly technique in solution has been extensively used to construct organic layers because a well-ordered structure can be prepared easily without the requirement of expensive equipment, which is essential for the formation of an organic molecular layer in ultrahigh vacuum. The most extensively studied self-assembly system is self-assembled monolayers (SAMs) of alkanethiols on various metals, especially gold. SAMs with a wide variety of functionalities can be constructed using thiol molecules with terminal groups having special functions. The self-assembly of thiols on metals such as gold and silver is achieved by chemical bond formation between the substrate atoms and sulfur atoms of alkanethiols and hydrophobic interaction among the alkyl chains. The formation process and the structure of alkanethiol SAMs on gold single crystal surfaces have been studied in detail by using various techniques, including IR spectroscopy, quartz crystal microbalance (QCM), scanning tunneling microscopy (STM), atomic force microscopy (AFM), X-ray diffraction, X-ray photoemission spectroscopy (XPS), second harmonic generation spectroscopy (SHG), sum frequency generation spectroscopy (SFG), and impedance spectroscopy. It is now well-known that the alkanethiols are arranged in striped structures when the coverage is low and in a (3 × 3)R30° and/or (4 × 2) structure with a tilt angle of ca. 30° from the surface normal when the coverage becomes high. STM has also revealed that there are many defects in the SAMs such as missing rows and pits. The missing row defects are attributed to the orientational and translational domain boundaries, and the pits were revealed to be vacancy islands of the gold atoms. We have found that the density of the pits is strongly dependent on the solvent and temperature employed for the self-assembly.

Since Widrig et al. reported that an alkanethiol SAM is desorbed from the gold surface by a one-electron reduction...
process in an alkaline aqueous solution,\textsuperscript{31} many electrochemical studies on this reaction have been carried out,\textsuperscript{32–42}

\[
\text{Au} - \text{SR} + e^- \rightarrow \text{Au} + \text{RS}^-
\]  

(1)

The area, shape, and position of the cathodic peak for the reductive desorption provide useful information on the SAM such as the adsorbed amount, stability, adsorption energy, orientation, and substrate morphology. For example, it was found that the longer the alkyl chain is, the more negative the reductive peak is, reflecting stronger van der Waals attractive interaction among alkyl chains.\textsuperscript{31} The reductive desorption process has been investigated by FT-IR spectroscopy,\textsuperscript{33,44} electrochemical QCM,\textsuperscript{45,46} and in situ STM.\textsuperscript{37–49} We have also carried out an in situ STM investigation of the reductive desorption process of hexanethiol SAMs on Au(111) surfaces both in H$_2$SO$_4$ and KOH aqueous solutions and found that the desorption of the SAM was initiated from the defects of the SAM, i.e., missing rows and the edges of vacancy islands. It was also found that desorbed thiolates formed aggregates in H$_2$SO$_4$, but a reconstructed Au(111) surface, i.e., clean surface of Au(111), was exposed after the desorption of the SAM in KOH solution.\textsuperscript{50}

When the electrode potential is scanned back in the positive direction after an alkanethiol monolayer has been desorbed cathodically, an anodic peak corresponding to the oxidative readesorption of a fraction of the desorbed alkanethiolates remaining on and/or near the electrode surface, i.e., the reverse reaction of the reductive desorption given by eq 1, is observed.\textsuperscript{31,38,39}

Weisshaar et al. showed that a thiolate SAM, the structure and interfacial properties of which are similar to those of a SAM formed in ethanol solution without potential control, could be constructed anodically in an alkaline ethanol solution containing alkanethiol due to the reverse reaction of eq 1.\textsuperscript{31} Although anodic oxidative formation of thiolate SAM seems to be an interesting alternative for SAM formation, only a few investigations have been carried out, and details are not known.\textsuperscript{52–61} Recently, we briefly reported that quantitative analysis of the reductive desorption of an electrodeposited thiolate layer provided us with useful information about the formation process of a SAM such as the time dependency of the adsorbed amount and the order of the SAM.\textsuperscript{62}

In this work, we studied in detail the anodic oxidative adsorption and cathodic reductive desorption of decanethiol layers on Au(111) electrodes in 0.1 M KOH ethanol solutions containing various concentrations of decanethiol. The effects of sweep rate and thiol concentration under the condition of continuous potential cycling as well as the effects of holding time and thiol concentration under the condition of potentiostatic oxidative SAM formation on the amount of adsorbed thiolate and the order of the SAM were evaluated from the charge and position of the reductive peak, respectively. The times required to reach saturated coverage and high order were shorter when the decanethiol concentration was higher. A much longer time was required for ordering than for full coverage adsorption of the decanethiol SAM.

**Experimental Section**

\(n\)-Decanethiol (CH$_3$(CH$_2$)$_9$SH: C10SH), ethanol, and H$_2$SO$_4$ were all reagent grade chemicals obtained from Wako Pure Chemicals, and KOH, which was of semiconductor grade, was purchased from Aldrich. They were used without further purification. Water was purified using a Milli-Q purification system (Yamamoto, WQ-500). Ultrapure Ar gas (99.9995\%) was purchased from Air Water. A Au(111) single crystal was prepared from a gold wire (99.999\% pure, \(\phi = 1\) mm, Tanaka Precious Metal) by the Clavilier method.\textsuperscript{63} The Au(111) surface was flame-annealed in a hydrogen flame and gradually cooled under an argon stream prior to each measurement.

Electrochemical measurements were carried out in a three-compartment electrochemical cell with a hanging meniscus configuration in 0.1 M KOH ethanol solutions containing various concentrations of C10SH using a potentiostat (Hokuto Denko, HA-151) and a function generator (Hokuto Denko, HB-111). Cyclic voltammograms (CVs) were recorded using an X–Y recorder (Graphitec, WX1200). The electrode potential was referred to a Ag/AgCl (saturated NaCl) electrode, and a Pt wire was used as a counter electrode. The electrolyte solution was deaerated by bubbling Ar gas for at least 30 min before each experiment. The high quality and cleanliness of the Au(111) single crystal were ensured by recording CVs in 0.1 M H$_2$SO$_4$ solution. The obtained CVs were in agreement with those for clean Au(111) electrodes reported previously.\textsuperscript{64,65} The real area of the Au(111) single crystal electrode estimated from cathodic current corresponding to the reduction of Au oxide was 0.055 cm$^2$.

**Results and Discussion**

**Cyclic Voltammetry.** Figure 1 shows CVs of a Au(111) single crystal electrode measured in 0.1 M KOH ethanol solutions containing (a) 10 \(\mu\)M, (b) 100 \(\mu\)M, and (c) 1 mM C10SH. Sweep rate: 0.2 V s$^{-1}$. The area, shape, and position of the cathodic peak for the reductive desorption of thiolate molecules in each ethanol solution. Anodic peaks corresponding to the oxidative adsorption of thiolate were observed at \(-0.71, -0.77, -0.82\) V in 10 \(\mu\)M, 100 \(\mu\)M, and 1 mM C10SH solutions, respectively. The times required to reach saturated coverage and high order were shorter when the decanethiol concentration was higher. A much longer time was required for ordering than for full coverage adsorption of the decanethiol SAM.
Sweep rates: (a) 0.01 V s$^{-1}$, (b) 0.05 V s$^{-1}$, and (c) 0.2 V s$^{-1}$.

No clear peaks were observed in a solution containing 1 mM of C10SH. Similar results were observed at the sweep rate of 0.02 V s$^{-1}$ as previously reported. The CVs recorded under the present conditions did not change with potential cycling. This is in contrast to results obtained in aqueous solutions containing no thiol in which CVs of the thiol SAM-modified gold electrode showed that both the redox desorption peak and oxidative desorption peak became smaller on repetitive potential cycling because some of the desorbed thiolates diffused away from the electrode surface before the readsorption.

Figure 2 shows CVs of an Au(111) single crystal electrode measured in a 0.1 M KOH ethanol solution containing 10 µM of C10SH. Sweep rates of 0.01, 0.05, and 0.2 V s$^{-1}$ were used. While the peak position of the anodic peak corresponding to oxidative desorption was at −0.71 V regardless of the sweep rate, the position of the cathodic peak corresponding to desorption of the thiolate SAM shifted negatively as the sweep rate increased.

Concentration dependencies of the positions of both peaks at various sweep rates are summarized in Figure 3. Both peaks shifted negatively with an increase in decanethiol concentration by ca. 0.057 V/decade at all sweep rates. The half-wave potentials can be estimated by the following Nernst equation, assuming that the cathodic and anodic reactions are given by the forward and reverse reactions given by eq 1:

$$E_{1/2} = E_0' + \frac{RT}{F} \ln \left( \frac{a_{\text{C10S-Au}^-}}{a_{\text{C10S}} a_{\text{Au}^+}} \right)$$

Alkanethiol SAMs are known to have several phases and the redox potential should depend on both the alkanethiol concentration and the order of the SAM. Thus, the redox potential should depend on both the alkanethiol concentration and the order of the SAM. If the order of the SAM, i.e., $a_{\text{C10S-Au}^-}$, is assumed to be independent of the thiol concentration, eq 3 becomes:

$$E_{1/2} = E_0' - 0.059 \log (a_{\text{C10S}}) - \text{const}$$

and the peak potential is expected to change with increase in decanethiol concentration by 0.059 V/decade. This is in excellent agreement with the experimental results presented in Figure 3, showing that the peak positions are mainly controlled by the decanethiol concentration. This result indicates that $a_{\text{C10S-Au}^-}$ is essentially constant at a given concentration during potential cycling and suggests that the time scale in the sweep rates between 0.01 and 0.2 V s$^{-1}$ is short compared with the time required for the reorganization/ordering of the SAM, leading to a significant change in the $a_{\text{C10S-Au}^-}$. Thus, the oxidative desorption and oxidative adsorption of the decanethiol proceeded as shown in eq 1 and its reverse reaction, respectively. The reasons for the sweep rate dependence of the cathodic peak position will be discussed later.

The reductive charge increased as the decanethiol concentration was increased (Figure 1) or as the sweep rate was decreased (Figure 2). Figure 4 shows reductive charge as a function of the logarithm of sweep rate in 0.1 M KOH ethanol solutions containing C10SH at sweep rates of (a) 0.01, 0.05, and (c) 0.2 V s$^{-1}$. The reductive charge linearly increased with increase in the logarithm of sweep rate and reached the saturated value of 103 (±5%) µC cm$^{-2}$ in all cases. Reductive charges at a given sweep rate were higher in a solution with a higher concentration in the region in which a linear relation was observed between the reductive charge and the logarithm of sweep rate, but the saturated value of 103
It has been demonstrated that $10^3$ (solution containing only alkanethiol without potential confinement) crystal electrode was placed in contact with 0.1 M KOH ethanol solution. The Au(111) single crystal electrode was placed in contact with 0.1 M KOH ethanol and the order of SAM, the formation process can be followed in detail by carrying out linear sweep reductive desorption and the potential of the electrode at a certain value for various periods of time. The Au(111) single crystal electrode was placed in contact with 0.1 M KOH ethanol solution at a sweep rate of 0.02 V s$^{-1}$.

Figure 5. Linear sweep voltammograms of a Au(111) electrode measured in 0.1 M KOH ethanol solutions containing (A) 10 $\mu$M, (B) 100 $\mu$M, and (C) 1 mM C10SH at holding the potential at $+0.1$ V for various time periods. Holding times: (A) (a) 0 min, (b) 5 min, (c) 10 min, (d) 30 min, and (e) 60 min; (B) (a) 0 min, (b) 3 min, (c) 6 min, (d) 10 min, and (e) 20 min; (C) (a) 0 min, (b) 2 min, and (c) 10 min. Sweep rate: 0.02 V s$^{-1}$.

Figure 6. Cathodic peak potential (unfilled) and charge of the cathodic wave (filled) as a function of holding time at $+0.1$ V in 0.1 M KOH ethanol solutions containing 10 $\mu$M (○), 100 $\mu$M (■), and 1 mM (○, ■) of decanethiol. Inset shows the initial stage of the relations. Error bars are omitted for the clarification, and all data of reductive charge contain $\pm$5% error around $-1.0$ V, a potential at which no adsorption occurred, and then the potential was scanned to $+0.1$ V, a potential at which the adsorption of C10S$^-$ was expected to occur, at the sweep rate of 0.02 V s$^{-1}$. After holding the potential at $+0.1$ V for a certain time period, the potential was scanned negatively until a cathodic peak current was passed.

Figure 5 shows linear sweep voltammograms obtained after the potential had been held at $+0.1$ V in (A) 10 $\mu$M, (B) 100 $\mu$M, and (C) 1 mM C10SH solutions for various periods of time. It is clear that the peak area increased and that the peak position shifted negatively as the holding time was increased in all cases.

Results of the holding time dependencies of position and charge of the cathodic peak are summarized in Figure 6. Note that time 0 in Figure 6 means continuous potential cycling.

The reductive charge increased with increase in the time for which the potential was held at $+0.1$ V and reached 103 ($\pm$5%) $\mu$C cm$^{-2}$, which corresponds to saturated coverage, in C10SH solutions with concentrations between 10 $\mu$M and 1 mM if sufficient time was allowed, although the time required to reach this value depended on the thiol concentration. In 10 $\mu$M C10SH solution, the reductive charge increased from 85 ($\pm$5%) $\mu$C cm$^{-2}$ at 0 min, i.e., under a continuous cycling, to 103 ($\pm$5%) $\mu$C cm$^{-2}$, i.e., a saturated amount, at ca. 10 min. A saturated coverage was reached faster in the thiol solution of higher concentration. It was reached in 6 min in 100 $\mu$M C10SH solution and in 2 min in 1 mM C10SH solution. These results confirm that saturated coverage was not reached during a continuous potential sweep when the sweep rate was relatively fast.

Weissshaar et al. reported that the coverage of dodecanethiol (C12SH) monolayers deposited electrochemically at a sweep rate of 0.1 V s$^{-1}$ in 0.5 M KOH ethanol solution containing 10 mM C12SH was typically slightly less than full coverage and that monolayers of full coverage could be formed by holding the potential at a value positive of the deposition wave for 1 min or more. Ma and Lennox followed the potentiostatic SAM formation process by measuring the electrochemical response of the SAM modified electrode in a solution containing K$_3$Fe(CN)$_6$ and found that the highly ordered C12SH SAM was formed in 0.1 M LiClO$_4$ ethanol solution containing 5 mM C12SH within 15 min. The present results are in good agreement with these results considering that the C10SH concentrations used in the present study, which were between
10 \mu M and 1 mM, were much lower than the concentration of dodecanethiol they used.

The peak position shifted negatively with time, reflecting an increase in the stability of the C10SH SAM, i.e., attractive interaction among the adsorbed decanethiols. It then became constant at −1.01 V in all solutions. In other words, the activity of C10S−Au, 4C10S−Au decreased with time, but the final phase seemed to be the same regardless of thiol concentration. This means that the reductive peak potential is no longer given by eq 3 when a monolayer of high order is formed, and an equilibrium between the SAM and alkanethiolate ions in solution is not attained during the desorption process. It is interesting to note that the peak position continued to shift negatively even after saturated coverage had been reached. Although saturated coverage was reached within 10, 6, and 2 min in 10 \mu M, 100 \mu M, and 1 mM C10SH solutions, respectively, the negative shift of the cathodic peak continued until 60, 20, and 10 min in 10 \mu M, 100 \mu M, and 1 mM C10SH solutions, respectively. This shows that the order of the SAM was still low even when full coverage had been reached and that reorganization and ordering of the SAM continued to achieve a highly ordered SAM. Similar tendencies have been seen in SAM formation without potential control in a solution containing only alkanethiol.49–14,21

Sweep Rate Dependence of Desorption Peak Potential. Figure 2 shows that the desorption potential shifted negatively as the sweep rate became faster. As already stated, the adsorbed amount is smaller when the sweep rate is faster. Thus, the results in Figure 2 are contradictory to the results in Figures 5 and 6 showing that the desorption peak shifts positively when the adsorbed amount is smaller. This suggests that the peak shift observed in Figure 2 is not controlled by the adsorbed amount but by the slow kinetics for reductive desorption. To clarify the cause of the peak shift, linear sweep voltammograms were recorded for the C10SH SAM of full coverage with higher order on a Au(111) single crystal electrode, which was formed in 10 \mu M C10SH solution by holding the potential at +0.1 V for 60 min. Typical results are shown in Figure 7. The desorption peaks shifted negatively as the cathodic sweep rate was increased.

The relation between the desorption peak and the sweep rate is summarized in Figure 8. The inset of Figure 8 shows that the desorption peak potential is linearly related to the natural logarithm of the sweep rate. This dependence implies that the reductive desorption is an electrochemically irreversible process,34,48 confirming that the sweep rate-dependent peak shift shown in Figures 2 and 3 was really due to the slow kinetics of reductive desorption of the SAM.

**Figure 7.** Linear sweep voltammograms of an Au(111) single crystal electrode with C10SH SAM formed anodically in 10 \mu M C10SH solution by holding the potential at +0.1 V for 60 min. Sweep rates: (a) 0.01 V s⁻¹, (b) 0.05 V s⁻¹, and (c) 0.2 V s⁻¹.

**Figure 8.** Sweep rate dependence of the desorption peak potential of linear sweep voltammograms of a Au(111) single crystal electrode with C10SH SAM formed anodically in 10 \mu M C10SH solution by holding the potential at +0.1 V for 60 min. Inset: Relation between the desorption peak potential and the natural logarithm of the cathodic sweep rate.

**Rate Constant for the Reduction Desorption.** The desorption rate constant can be estimated by

$$E_p = E'_0 + \frac{RT}{\alpha n_0 F} \ln \left( \frac{RT}{\alpha n_0 F} \frac{k_0}{v} \right)$$

(5)

where $E_p$ is the desorption peak potential, $k_0$ is the desorption rate constant at reversible potential, and $v$ is the sweep rate. Equation 5 can be rewritten as

$$E_p = E'_0 + \frac{RT}{\alpha n_0 F} \ln \left( \frac{RT}{\alpha n_0 F} k_0^d \right) - \frac{RT}{\alpha n_0 F} \ln v$$

(6)

Thus, one expects a linear relation between the logarithm of sweep rate and the desorption peak potential with a slope equal to $-RT/\alpha n_0 F$ and intercept equal to $E'_0 + (RT/\alpha n_0 F) \ln ((RT/\alpha n_0 F k_0^d))$. A linear relation was actually observed, as shown in the inset of Figure 8. The slope and the intercept are −0.017 and −1.08 V, respectively. The value of $k_0^d$ can be determined if $E'_0$ is known. $E'_0$ is estimated by extrapolating the $E_p$–sweep rate relation in Figure 8 to a sweep rate of 0 as 0.99 V. Since the relation is not linear, the value of $E'_0$ determined by the extrapolation should contain a rather large error. A similar procedure was employed by Kakiuchi et al.40 Using these values, $k_0^d$ is determined to be 0.24 s⁻¹. Vinokurov et al. determined the values of $k_0$ at various desorption potentials for a nonanethiol SAM on a Au(111) single crystal electrode on the basis of the results of a chronoamperometric study.71 Similar measurements were carried out by Vericat et al. for a hexanethiol SAM on an evaporated gold electrode.49 Overpotential, $(E - E_p)$, dependencies of $\log(k_d)$, i.e., Tafel relations, are summarized in Figure 9. Extrapolations of the Tafel plots using the values obtained by Vinokurov et al. (nonanethiol) and Vericat et al. (hexanethiol) to reversible potential give $k_0^d$ values of 0.29 and 3.06 s⁻¹, respectively, although the degree of accuracy of the latter is very low because only two data points are available. The $k_0^d$ value of 0.24 s⁻¹ for the electrochemically formed C10SH SAM estimated in the present study from linear sweep voltammograms with full coverage and high order is in reasonable agreement with these values obtained for a SAM prepared without potential control, indicating that the quality of an electrochemically prepared SAM is as good as that of a SAM prepared nonelectrochemically.
SAM of Decanethiol on Au(111)

Figure 9. Desorption rate constant, \( k_0 \), as a function of \( (E - E_p) \): ▲ Vericat et al. (hexanethiol);■ Vinokurov et al. (nonanethiol);□, the present work (decanethiol).

Conclusions

Electrochemical oxidative adsorption and reductive desorption of decanethiol SAMs on Au(111) single crystal electrodes in 0.1 M KOH ethanol solutions containing various concentrations of decanethiol were investigated. Both anodic and cathodic peaks corresponding to the oxidative adsorption and reductive desorption of the SAM, respectively, shifted negatively with an increase in the decanethiol concentration by ca. 0.057 V/decade at sweep rates between 0.01 and 0.2 V s\(^{-1}\), showing that the redox process is a one-electron process. The reductive charge increased with increase in the decanethiol concentration or decrease in the sweep rate and reached the saturated value of 103 (±5%) \( \mu \)C cm\(^{-2}\) corresponding to a SAM of full coverage with a \((\sqrt{3} \times \sqrt{3})R30^\circ\) structure. By holding the electrode potential at +0.1 V, a potential at which adsorption of the SAM, respectively, shifted negatively with an increase in the desorption peak shift was controlled by slow desorption kinetics rather than by the adsorbed amount of the decanethiol monolayer.

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References and Notes

(69) In our previous publication, not total charge but Faradaic charge was plotted.