A theorem on essential self-adjointness with application to Hamiltonians in nonrelativistic quantum field theory

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An abstract theorem is given on essential self-adjointness of operators in infinite direct sum of Hilbert spaces and is applied to a class of Hamiltonians in nonrelativistic quantum field theory to prove their essential self-adjointness.

I. INTRODUCTION

In this paper we present an abstract theorem on essential self-adjointness of operators in infinite direct sum of Hilbert spaces and apply it to a class of Hamiltonians in nonrelativistic quantum field theory (QFT) to prove their essential self-adjointness.

About 20 years ago, D. Masson and W. K. McClary gave an interesting proof of the essential self-adjointness of the Hamiltonian of \((\phi^4)^n\) theory with a space cutoff. Their proof makes use of some specific properties of the interaction Hamiltonian acting in the boson Fock space over \(L^2(\mathbb{R})\). We have found that their method can be formulated in an abstract way to give a criterion for essential self-adjointness of operators in infinite direct sum of Hilbert spaces. This is a background of the present work.

The outline of the present paper is as follows. In Sec. II we first state the abstract theorem mentioned above and then prove it. The proof of the theorem is quite similar to that of Masson and McClary in Ref. 1, but, we give it for completeness. Section III is devoted to the application of the theorem to a class of models in nonrelativistic QFT. Each model in the class describes a quantum system of a finite number of nonrelativistic particles interacting with some quantum scalar fields. In Sec. IV we discuss some examples: the linear polaron model, the RWA oscillator, a model of a bounded electron interacting with a quantized radiation field, their generalizations, and scalar quantum electrodynamics with cutoffs.

II. THE ABSTRACT THEOREM

Let \(\mathcal{H}_n, n = 0,1,2,\ldots\), be Hilbert spaces and

\[
\mathcal{H} = \bigoplus_{n=0}^{\infty} \mathcal{H}_n
\]

be the infinite direct sum of \(\mathcal{H}_n, n \geq 0\). Every vector \(f \in \mathcal{H}\) is a sequence \(f = \{ f^{(n)} \}_{n=0}^{\infty}\) of vectors \(f^{(n)} \in \mathcal{H}_n\) with

\[
\| f \|^2 = \sum_{n=0}^{\infty} \| f^{(n)} \|^2 < \infty.
\]

We identify \(f^{(n)}\) with the vector \((0,0,\ldots,0,f^{(n)},0,\ldots) \in \mathcal{H}\) [the \((n+1)\)th component is \(f^{(n)}\) and all the other components are zero]. We introduce the subspace

\[
\mathcal{D}_0 = \{ f \in \mathcal{H}^\infty : f^{(n)} = 0 \text{ for all but finitely many } n \}.
\]

which is dense in \(\mathcal{H}\), and the degree operator ("number operator") \(\hat{N}\) by

\[
(\hat{N}f)^{(n)} = nf^{(n)}, \quad n \geq 0,
\]

with domain

\[
\mathcal{D}(\hat{N}) = \left\{ f \in \mathcal{H}^\infty : \sum_{n=0}^{\infty} n^2 \| f^{(n)} \|^2 < \infty \right\}.
\]

The operator \(\hat{N}\) is self-adjoint and non-negative.

Let \(A\) be a self-adjoint operator in \(\mathcal{H}\) which is reduced by each \(\mathcal{H}_n\), so that for all \(n \geq 0\),

\[
A : D(A) \cap \mathcal{H}_n \rightarrow \mathcal{H}_n,
\]

is self-adjoint. It is easy to see that \(A\) is essentially self-adjoint on the dense subspace

\[
\mathcal{D} = D(A) \cap \mathcal{D}_0.
\]

Let \(B\) be a symmetric operator in \(\mathcal{H}\) that satisfies the following conditions (B1) and (B2).

(B1) \(\mathcal{D}_0 \subset D(B)\) and there exist a constant \(c > 0\) and a linear operator \(L\) in \(\mathcal{H}\) such that \(D(L) \supset D((A + B) | \mathcal{D}_0)\),

\[
L : D(L) \cap \mathcal{H}_n \rightarrow \mathcal{H}_n,
\]

for all \(n \geq 0\), and

\[
\langle (f, Bg) \rangle (n) < c \| Lf \| (\hat{N} + 1)^2 \| g \|, \quad f, g \in \mathcal{D}.
\]

(B2) There exists an integer \(p > 0\) such that for all \(f, g \in \mathcal{D}_0\),

\[
(f^{(m)}, B^{(n)} g^{(n)}) = 0 \quad \text{unless } |m - n| = 0, 1, \ldots, p.
\]

The following theorem gives a criterion for the essential self-adjointness of the operator \(A + B\).

Theorem 2.1: Let \(A\) and \(B\) be as above. Suppose that \(A + B\) is bounded from below. Then \(A + B\) is essentially self-adjoint on \(\mathcal{D}\).

To prove this theorem, we prepare a lemma, which may be interesting in its own right.

Lemma 2.2: Let \(S\) and \(T\) be symmetric operators in \(\mathcal{H}\) and

\[
R = S + T
\]

is strictly positive on a subspace \(D \subset D(S) \cap D(T)\), i.e., for a constant \(\lambda > 0\),

\[
R \geq \lambda I \text{ on } D.
\]

Let \(\{ f_n \}_{n=1}^{\infty} \subset D\) be a sequence satisfying the following conditions (i)-(iv):

\[
(i) \quad f_n \geq 0 \quad \text{and} \quad \sum_{n=1}^{\infty} \| f_n \|^2 < \infty,
\]

\[
(ii) \quad (f_n, g) = 0 \quad \text{if } |n - m| > p,
\]

\[
(iii) \quad (f_n, f_n) \geq \lambda \| f_n \|^2 \quad \forall n \geq 1,
\]

\[
(iv) \quad (f_n, f_{n+m}) = 0 \quad \forall m \neq 0.
\]

Then there exist \(\{ g_n \}_{n=1}^{\infty} \subset D\) such that

\[
(i) \quad g_n \geq 0 \quad \text{and} \quad \sum_{n=1}^{\infty} \| g_n \|^2 < \infty,
\]

\[
(ii) \quad (g_n, g_n) \geq \lambda \| g_n \|^2 \quad \forall n \geq 1,
\]

\[
(iii) \quad (g_n, g_{n+m}) = 0 \quad \forall m \neq 0,
\]

\[
(iv) \quad (f_n, g_m) = 0 \quad \forall n, m \geq 1.
\]
(i) \( f_i \neq 0 \);
(ii) \( f_m, f_n = 0 \) unless \( m = n \);
(iii) \( f_m, S f_n = 0 \) unless \( m = n \);
(iv) \( f_m, T f_n = 0 \) for \( |m - n| > 2 \);
(v) \( f_{n-1}, T f_n + (f_n, R f_n) + (f_{n+1}, T f_n) = 0, \ n \geq 1 \).

(2.7)

where we set \( f_0 = 0 \). Then, for all \( n \geq 1, f_n \neq 0 \) and

\[ (f_{n+1}, T f_n) < 0. \tag{2.8} \]

Moreover,

\[ \sum_{n=1}^{\infty} \frac{1}{(|f_{n+1}, T f_n|)} < \infty. \tag{2.9} \]

Proof: Conditions (i) and (ii) imply that \( \sum_{n=1}^{\infty} |f_n| < \infty \). Then, for all \( n \geq 1, \)

\[ \left( \sum_{n=1}^{\infty} \left| \frac{f_n}{f_1} \right| \right)^2 < \infty. \tag{2.10} \]

Using conditions (iii)–(v), we can show that the left-hand side of (2.10) equals \( -(f_{n+1}, T f_n) \). Hence, \( f_{n+1} \neq 0 \) and (2.8) follows.

To prove (2.9), we define a set of numbers \( \{ a_n \}_{n=1}^{\infty} \) by the following recursion relations:

\[ a_1 = 1, \]

\[ a_n = (f_{n-1}, T f_n) + a_n (f_n, R f_n) + a_{n+1} (f_{n+1}, T f_n) = \mu a_n \| f_n \|^2, \ n \geq 1, \tag{2.11} \]

where \( \mu \) is a constant with \( 0 < \mu < \lambda \) and we set \( a_0 = 0 \). It is easy to see that for all \( n \geq 2, a_n \) is real. Let

\[ g_n = a_n f_n \]

and

\[ \hat{R} = R - \mu, \]

which is strictly positive. Multiplying (2.12) by \( a_n \), we have

\[ (g_{n-1}, T g_n) + (g_n, \hat{R} g_n) + (g_{n+1}, T g_n) = 0. \]

Hence, we can apply the preceding result with \( f_n, R, \) and \( S \) replaced by \( g_n, \hat{R}, \) and \( S - \mu, \) respectively, to obtain

\[ 0 > (g_{n+1}, T g_n) = -a_{n+1} a_n |(f_{n+1}, T f_n)|. \]

Since \( a_1 = 1 > 0, \) this inequality implies that for all \( n \geq 2, a_n > 0. \)

Multiplying (2.7) \( \text{resp.} \ (2.12) \) \( \text{by} \ a_n^2 \) \( \text{resp.} \ a_n \) \( \text{and} \) making the subtraction to eliminate the term \( (f_n, R f_n), \) we obtain

\[ (a_n - a_{n+1}) |(f_{n+1}, T f_n)| = \mu a_n \| f_n \|^2 + (a_{n+1} - a_n) |(f_{n-1}, T f_n)|, \ n \geq 1. \tag{2.13} \]

Taking \( n = 1 \) in this equation, we see that \( a_1 > a_2. \) It then turns out that for all \( n \geq 1, a_n > a_{n+1}. \) Combining this result with (2.13), we obtain

\[ \frac{1}{|f_{n+1}, T f_n|} \leq \frac{a_n - a_{n+1}}{\mu \| f_1 \|^2}, \]

which implies that

\[ \sum_{n=1}^{\infty} \frac{1}{|f_{n+1}, T f_n|} \leq \frac{\| f_1 \|^2}{\mu \| f_1 \|^2}. \]

Thus (2.9) follows.

Proof of Theorem 2.1: Without loss of generality, we can assume that for a constant \( \gamma > 0, \)

\[ A + B \geq \gamma \]

on \( \mathcal{D}. \) Throughout the proof, we set

\[ C = A + B. \]

It is sufficient to prove that \( \text{Ker} (C \mid \mathcal{D})^* = \{0\} \) \( \text{c.g.,} \) Theorem X.26 in Ref. 13. Let \( g \in \text{Ker}(C \mid \mathcal{D})^*. \) Then

\[ (g, C f) = 0, \]

(2.14)

for all \( f \in \mathcal{D}. \) By (2.4), we have

\[ (g^{(n)} A f) = (g A f^{(n)}) = (g, C f^{(n)}) \]

\[ = -(g, B f^{(n)}). \]

Using (B1) and (B2), we obtain

\[ |(g^{(n)} A f)| \leq c(n + p + 1)^2 \| L g \| \| f \|. \]

Hence, the map \( f : (g^{(n)} A f) \) defines uniquely a continuous linear functional on \( \mathcal{H}. \) Hence, by the Riesz lemma, there exists a vector \( \psi \in \mathcal{H} \) such that

\[ (g^{(n)} A f) = (\psi, f), \quad f \in \mathcal{D}. \]

Since \( \mathcal{D} \) is a core of \( A, \) this equation extends to all \( f \in D(A), \) which implies that \( g^{(n)} \in D(A^*) = D(A). \) Hence, \( g^{(n)} \in \mathcal{D}. \)

If \( p = 0, \) then \( B : \mathcal{H} \to \mathcal{H} \) for all \( n \geq 0 \) and hence

\[ C = A + B : \mathcal{H} \to \mathcal{H}, \]

for all \( n \geq 0. \) Therefore, putting \( f = g^{(n)} \in \mathcal{D} \) into (2.14), we have

\[ 0 = (g, C g^{(n)}) = (g^{(n)}, C g^{(n)}) \geq \gamma \| g^{(n)} \|^2. \]

Hence, \( g^{(n)} = 0 \) for all \( n \geq 0, \) i.e., \( g = 0. \)

Let \( p > 1 \) and define

\[ h_n = \sum_{j=0}^{p-1} g^{(p n + j)} \in \mathcal{D}. \]

Putting \( f = h_n \) into (2.14), we have

\[ (g, \hat{R} h_n) = 0, \]

which implies that

\[ (h_{n-1}, B h_n) + (h_n, C h_n) + (h_{n+1}, B h_n) = 0, \ n \geq 0, \]

where we set \( h_{-1} = 0. \) It is easy to see that

\[ (h_m, h_n) = 0 \quad (h_m, A h_n) = 0, \]

unless \( m = n \) and

\[ (h_m, B h_n) = 0, \]

for \( |m - n| > 2. \) Suppose that \( g \neq 0. \) Then, for some \( n, h_n \neq 0. \) Hence, we can define

\[ N = \min \{ n \geq 0 \mid |h_n| \neq 0 \}. \]

Then we can apply Lemma 2.2 with \( f_n = h_{N-n-1} (n \geq 1, S = A, T = R, \) to obtain

\[ K = \sum_{n=-N}^{\infty} \frac{1}{|h_{n+1}, B h_n|} < \infty. \]

Using the Schwarz inequality, we have

\[ \sum_{n=-N}^{\infty} 1 (|f_{n+1}, T f_n|) \leq \frac{a_1}{\mu \| f_1 \|^2}. \]
\[
\sum_{n=0}^{\infty} \left( \frac{\|h_{n+1}\|}{\|h_n\|} \right)^{1/2} < K^{1/2} \left( \sum_{n=0}^{\infty} \|h_{n+1}\|^2 \right)^{1/4} \left( \sum_{n=0}^{\infty} \|h_n\|^2 \right)^{1/4} \leq \sqrt{K} \|pLg\| \|g\|.
\]

On the other hand, we have by (B1)
\[
\|h_{n+1}\| \|h_n\| \leq \frac{1}{(h_{n+1}, Bh_n)} \leq \frac{c p^2 (n+1)^2}{(h_{n+1}, Bh_n)}
\]
which implies that the left-hand side of (2.15) diverges. This is a contradiction. Thus \( g \) must be zero. 

III. ESSENTIAL SELF-ADJOINTNESS OF A CLASS OF HAMILTONIANS IN NONRELATIVISTIC QUANTUM FIELD THEORY

In this section we apply Theorem 2.1 to prove the essential self-adjointness of a class of Hamiltonians in nonrelativistic quantum field theory. The Hamiltonians we consider correspond to models of a finite number of nonrelativistic particles interacting with some quantum scalar fields.

For a mathematical generality, we assume that the scalar fields under consideration are over \( \mathbb{R}^d \) with \( d > 1 \). The Hilbert space for state vectors of the particle system is taken to be \( L^2(\mathbb{R}^N) \). We denote by \( q = (q_1, \ldots, q_N) \in \mathbb{R}^N \) the coordinate variable of \( \mathbb{R}^N \) and define the "momentum operator" \( p \) by
\[
p = (p_1, \ldots, p_N),
\]
with
\[
p_j = -i \frac{\partial}{\partial q_j},
\]
where \( i = \sqrt{-1} \) and the partial derivatives are taken in the generalized sense.

The mathematical framework for the quantum scalar fields is given as follows: Let \( \mathcal{K} \) be the \( M \) direct sum of \( L^2(\mathbb{R}^d) \) (\( M > 1 \)):
\[
\mathcal{K} = L^2(\mathbb{R}^d) \oplus \cdots \oplus L^2(\mathbb{R}^d).
\]
and \( S^n(\mathcal{K}) (n > 1) \) be the \( n \)-fold symmetric tensor product of \( \mathcal{K} \):
\[
S^n(\mathcal{K}) = \bigotimes_{m=0}^n \mathcal{K}
\]
we set \( S^0(\mathcal{K}) = \mathcal{K} \). The Hilbert space for the scalar fields is taken to be the symmetric Fock space over \( \mathcal{K} \):
\[
\mathcal{F}_s(\mathcal{K}) = \bigotimes_{m=0}^\infty S^n(\mathcal{K}).
\]
We denote by \( a(F) (F \in \mathcal{K}) \) the annihilation operator in \( \mathcal{F}_s(\mathcal{K}) \) (antilinear in \( F \)) and by \( N_b \) the number operator. The mapping:
\[
L^2(\mathbb{R}^d) \ni f \to f = (0, \ldots, 0, f, 0, \ldots) \in \mathcal{K} \quad \text{(the 7th component is \( f \) and the other components are zero)}
\]
defines an embedding of \( L^2(\mathbb{R}^d) \) into \( \mathcal{K} \). Let \( \mathcal{S}(\mathbb{R}^d) \) be the Schwartz space of rapidly decreasing \( C^\infty \) functions on \( \mathbb{R}^d \). Then the mapping:
\[
\mathcal{S}(\mathbb{R}^d) \ni f \to a(f)
\]
defines an operator-valued distribution; we denote its kernel by \( a_k(k) \):
\[
a_k(f) = \int a_k(k) f(k) dk.
\]
The operator-valued distributions \( \{a_k(k)\}_{k=1}^{\infty} \) satisfy the canonical commutation relations:
\[
\begin{align*}
[a_k(k), a_l(p)] &= \delta_{kl} \delta(k-p), \\
[a_k(k), a_l(p)] &= 0, r, s = 1, \ldots, M.
\end{align*}
\]
Let \( \mathcal{F}_0(\mathcal{K}) \) be the finite particle vector space of \( \mathcal{F}_s(\mathcal{K}) \):
\[
\mathcal{F}_0(\mathcal{K}) = \left\{ \Psi = (\Psi^{(n)})_{n=0, \ldots, \infty} \in \mathcal{F}_s(\mathcal{K}) | \Psi^{(n)} \right\}
\]
(\( n \) for all but finitely many \( n \)).

For each \( W_{m,n} \in L^2(\mathbb{R}^{(m+n)}) \) \((m+n>1, m,n>0)\) and \( r, s = 1, \ldots, M \), we can define a unique closed linear operator \( W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)}) \) in \( \mathcal{F}_s(\mathcal{K}) \) with
\[
D(W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)})) \supset \mathcal{F}_0(\mathcal{K})
\]
such that \( \mathcal{F}_0(\mathcal{K}) \) is a core for \( W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)}) \) and
\[
W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)}) = \int_{\mathbb{R}^{m+n}} W_{m,n}(k_1, \ldots, k_m; \xi_1, \ldots, \xi_n) \times \left( \prod_{j=1}^m a_k(k_j) \right) \left( \prod_{j=1}^n a_l(\xi_j) \right) dk d\xi
\]
as a quadratic form on \( \mathcal{F}_0(\mathcal{K}) \times \mathcal{F}_0(\mathcal{K}) \) (see Theorem X.44 in Ref. 13). Some fundamental properties of the operator \( W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)}) \) are summarized in the following lemma.

Lemma 3.1: (i) If \( k \) and \( l \) are non-negative integers such that \( k + l - m + n \), then
\[
(1 + N_b)^{-k/2} W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)}) (1 + N_b)^{-l/2}
\]
is a bounded operator with
\[
\| (1 + N_b)^{-k/2} W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)}) (1 + N_b)^{-l/2} \| \leq C(k,l) \| W \| \| L \|
\]
where \( C(k,l) > 0 \) is a constant.

(ii) Let
\[
W_{m,n}(k_1, \ldots, k_m; \xi_1, \ldots, \xi_n) = W_{m,n}(\xi_1, \ldots, \xi_n, k_1, \ldots, k_m)^*.
\]
Then
\[
D(W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)})) \subset D(W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)}))
\]
and
\[
W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)}) = W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)})^*
\]
on \( D(W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)})) \).

(iii) \( W_{m,n}(a_k^{(r)}, \ldots, a_k^{(m)}; a_l^{(s)}, \ldots, a_l^{(n)}) \) maps \( S^k(\mathcal{K}) \) into \( S^{k+m-n}(\mathcal{K}) \) (resp. \( \{0\} \)) for \( k \geq n \) (resp. \( k < n \)).

For proof of this lemma, see Theorem X.44 in Ref. 13. Let \( \omega_j(k), r = 1, \ldots, M \) be non-negative measurable functions on \( L^2(\mathbb{R}^d) \) with \( \omega_j \in L^\infty(\mathbb{R}^d) \) and
\[
\hat{\omega} = \hat{\omega}^{(r)}_j(k)
\]
be the direct sum of \( \omega_j \) as multiplication operators. We define
\[
H_F = d\Gamma(\hat{\omega})
\]
(3.8)
to be the second quantization of the operator $\hat{\varphi}$. We have
\[ H_F = \frac{1}{2m_j} \int \omega_r(k) \hat{a}_r(k) \hat{a}_r^\dagger(k) \, dk \]
as a quadratic form on
\[ [\mathcal{F}_0(\mathcal{X}) \cap D(H_F)] \times [\mathcal{F}_0(\mathcal{X}) \cap D(H_F)]. \]
The Hilbert space of the coupled system of the particles and the scalar fields is defined by
\[ H = \sum_{j=1}^N \frac{p_j^2}{2m_j} + H_F + \sum_{1 < k < 4} \left\{ \lambda_{j_1} \cdots j_k q_{j_1} \cdots q_{j_k} + \mu_{j_1} \cdots j_k p_{j_1} \cdots p_{j_k} \right\} + \sum_{1 < n + m \leq 4} \left\{ W^{(r_1, \cdots, r_n)}_{m,n}(a_{r_1}^\dagger, \cdots, a_{r_n}^\dagger, a_{r_1}, \cdots, a_{r_n}) \right\} + \sum_{1 < k + m + n \leq 4} \left\{ p_{j_1} \cdots j_k q_{j_1} \cdots q_{j_k} \right\}
\times V^{(r_1, \cdots, r_n)}_{m,n}(a_{r_1}^\dagger, \cdots, a_{r_n}^\dagger, a_{r_1}, \cdots, a_{r_n}) + \sum_{1 < k \leq 4} \left\{ q_{j_1} \cdots j_k p_{j_1} \cdots p_{j_k} \right\}
\times V^{(r_1, \cdots, r_n)}_{m,n}(a_{r_1}^\dagger, \cdots, a_{r_n}^\dagger, a_{r_1}, \cdots, a_{r_n}), \]
where $m_j > 0$, $\lambda_{j_1} \cdots j_k$, $\mu_{j_1} \cdots j_k \in \mathbb{R}$, $v_{j_1} \cdots j_k$, $\gamma_{j_1} \cdots j_k \in \mathbb{C}$, are constants,
\[ W^{(r_1, \cdots, r_n)}_{m,n}, V^{(r_1, \cdots, r_n)}_{m,n} \in L^2(\mathbb{R}^{d(m + n)}), \]
and summations with respect to the repeated indices $j_1, \cdots, j_k$ are understood. In this Hamiltonian, $H$ is a sum of operators of polynomial type with degree less than or equal to 4 in $q_{j_1} \cdots j_k p_{j_1} \cdots p_{j_k}$, and $\mathcal{F}$ denotes identity. In what follows, however, we shall denote them by the same symbols, provided that there is no danger of confusion.

We now consider the following Hamiltonian:
\[ \mathcal{F} = L^2(\mathbb{R}^N) \otimes \mathcal{F}_s(\mathcal{X}). \]
Every closed operator $A$ (resp. $B$) in $L^2(\mathbb{R}^N)$ [resp. $\mathcal{F}_s(\mathcal{X})$] extends to $\mathcal{F}$ as $A \otimes I$ (resp. $I \otimes B$), where $I$ denotes identity. In what follows, however, we shall denote them by the same symbols, provided that there is no danger of confusion.

We prove the following theorem.

**Theorem 3.2**: Suppose that $H$ is bounded from below on $\mathcal{F}(\mathcal{X})$. Then $H$ is essentially self-adjoint on $\mathcal{F}(\mathcal{X})$. To prove Theorem 3.2, we need a preliminary. To apply Theorem 2.1 to the present case, we must rewrite $\mathcal{F}$ as an infinite direct sum. To this end, we make use of the Fock-Hermite-Wiener decomposition of $L^2(\mathbb{R}^N)$. We first recall this decomposition. Let $y_{j_1} \cdots j_k, j_1, \cdots, j_k \in \mathbb{C}$, and introduce the annihilation and creation operators for the particles as follows:
\[ b_j = (1/\sqrt{2v_j}) (ip_j + v_j q_j), \]
\[ b_j^\dagger = (1/\sqrt{2v_j}) (-ip_j + v_j q_j), \]
which leave $\mathcal{F}(\mathcal{X})$ invariant and satisfy the commutation relations
\[ [b_j, b_k^\dagger] = \delta_{jk}, \quad [b_j, b_k] = 0, j, k = 1, \ldots, N, \]
on $\mathcal{F}(\mathcal{X})$. We have from (3.12) and (3.13)
\[ q_j = (1/\sqrt{2v_j}) (b_j + b_j^\dagger), \]
\[ p_j = i\sqrt{\gamma_j/2} (b_j + b_j^\dagger). \]
Let
\[ \psi_0 = \prod_{j=1}^N \left( \frac{v_j}{\pi} \right)^{1/4} e^{-v_j q_j^2/2}. \]
\[ \mathcal{F}_n = \mathcal{M}_j \otimes \mathcal{S}^m(\mathcal{H}). \]  
(3.26)

One can easily show that
\[ \| b_j \psi \| \leq \| (N^2 + 1)^{1/2} \psi \|, \quad \psi \in D(\mathcal{N}^{1/2}), \quad j = 1, \ldots, N. \]  
(3.27)

Using (3.15), (3.16), and (3.27), we can prove the following estimate:
\[ \| p_{x_1} \cdots p_{x_p} q_{x_1} \cdots q_{x_p} \psi \| \leq C_{m,n} \| (N^2 + 1)^{(m + n)/2} \psi \|, \quad \psi \in D(\mathcal{N}^{(m+n)/2}), \]  
(3.28)

with \( C_{m,n} > 0 \) being a constant. Thus we can apply Theorem 2.1 with \( \mathcal{K} = \mathcal{F}, A = H_0, B = H_f \) to conclude that \( H \) is essentially self-adjoint on \( \mathcal{D} \), which, together with (3.29), gives Theorem 3.2.

### IV. EXAMPLES

In this section we discuss some concrete examples of the Hamiltonian \( H \) given by (3.10). We follow the notations in Sec. III unless otherwise stated.

**Example 1:** Let us take \( \mathcal{K} = L^2(\mathbb{R}^d) \) (i.e., the case \( M = 1 \)), so that
\[ \mathcal{D} = L^2(\mathbb{R}^d) \otimes \mathcal{F} (L^2(\mathbb{R}^d)), \]
(3.29)

Let \( \omega = \omega \) and consider the Hamiltonian
\[ H_1 = p^2/2m + V(q) + d \Gamma (\omega) \]
(4.1)

where \( C_{m,n} > 0 \) is a constant. We are now ready to prove Theorem 3.2.

**Proof of Theorem 3.2:** Write \( H \) as
\[ H = H_0 + H_f, \]
with
\[ H_0 = \sum_{j=1}^{N} \frac{1}{2m_j} (p_j^2 + v_j q_j^2) + H_F \]
and

The operator \( H_0 \) is self-adjoint and positive with
\[ D(H_0) = \bigcap_{n=1}^{\infty} \{ D(p_j^2) \cap D(q_j^2) \} \cap D(H_F). \]
Since \( H_0 \) is written as
\[ H_0 = \sum_{j=1}^{N} \left\{ \frac{v_j}{m_j} b_j + \frac{v_j}{2m_j} \right\} + H_F, \]
it follows that \( H_0 \) is reduced by each \( \mathcal{F}_n \). Let
\[ \mathcal{D}_n = \{ \Psi = \{ \Psi^{(n)} \}_{n=0} \in \mathcal{H} | \Psi^{(n)} \in \mathcal{F}_n, \Psi^{(n)}(0) = 0 \text{ for all but finitely many } n \} \]
and
\[ \mathcal{D} = \mathcal{D}_0 \cap D(H_0). \]

By (3.22), we can show that
\[ \mathcal{D} = \{ P(q) \psi_0 | P \text{ polynomials} \} \]
(3.30)

The degree operator in \( \mathcal{D} \) represented as (3.25) is given by
\[ \hat{N} = N_p + N_b. \]
By Lemma 3.1 (iii) and the fact that \( b_j \) (resp. \( b_j \)) maps \( \mathcal{M}_k \) into \( \mathcal{M}_{k+1} \) (resp. \( \mathcal{M}_{k-1} \)), we see that for all
\[ \Psi = \{ \Psi^{(n)} \}_{n=0} \in \mathcal{D}_0, \Phi = \{ \Phi^{(m)} \}_{m=0} \in \mathcal{D}_0, \]
\[ (\Psi^{(n)}, H_1 \Phi^{(m)}) = 0, \quad |m - n| > 4. \]
Moreover, by Lemma 3.1(i) and (3.28), we can show that
\[ \| H_1 \Psi \| \leq \sum_{k \geq 1} C_{k,l} \| (N^2 + 1)^k (N^2 + b) \Psi \|, \quad \Psi \in \mathcal{D}_0, \]
where \( C_{k,l} > 0 \) is a constant. It is easy to see that
\[ \| (N^2 + 1)^k (N^2 + b) \Psi \| \leq \| (\hat{N} + 2)^k + \Psi \| \leq 2^{k+1} \| (\hat{N} + 1)^k + \Psi \|. \]
Hence, we get
\[ \| H_1 \Psi \| \leq C \| (\hat{N} + 1)^k \Psi \|, \]
with \( C > 0 \) being a constant. Thus we can apply Theorem 2.1 with \( \mathcal{K} = \mathcal{F}, A = H_0, B = H_f \) to conclude that \( H \) is essentially self-adjoint on \( \mathcal{D} \), which, together with (3.29), gives Theorem 3.2.

**Example 2:** Let us take \( \mathcal{K} = L^2(\mathbb{R}^d) \) (i.e., the case \( M = 1 \)), so that
\[ \mathcal{D} = L^2(\mathbb{R}^d) \otimes \mathcal{F} (L^2(\mathbb{R}^d)), \]
(3.29)

Let \( \omega = \omega \) and consider the Hamiltonian
\[ H_1 = p^2/2m + V(q) + d \Gamma (\omega) \]
(4.1)

where \( C_{m,n} > 0 \) is a constant. Thus we can apply Theorem 2.1 with \( \mathcal{K} = \mathcal{F}, A = H_0, B = H_f \) to conclude that \( H \) is essentially self-adjoint on \( \mathcal{D} \), which, together with (3.29), gives Theorem 3.2.
\[ V(q) = \frac{Kq'^2}{2} \] is the linear polaron model. The Hamiltonian \( H_q \) with \( N = 1 \) and with a nonquadratic \( V \) was proposed by Caldeira and Leggett\(^\text{11}\) to discuss quantum tunneling and coherence with dissipation. For quantum coherence, \( V \) is taken to be a double well potential, e.g., \( V(q) = g(1 - q^2)^2 \) with a constant \( g > 0 \). The model given by (4.1) is a generalization of these models.

Let
\[ \mathcal{D}_H = \mathcal{S}(\mathbb{R}^N) \otimes [\mathcal{F}_0(L^2(\mathbb{R}^d)) \cap D(d\Gamma(\omega))] \] (4.4)

**Theorem 4.1:** The operator \( H_1 \) is bounded from below and essentially self-adjoint on \( \mathcal{D}_H \).

Proof: Since \( H_1 \) is of the form of the operator \( H \) defined by (3.10), we need only to show that it is bounded from below. Then Theorem 3.2 gives the essential self-adjointness of \( H \) on \( \mathcal{D}_H \). Introducing the operators
\[ H_b = d\Gamma(\omega) + \sum_{j=1}^N q_j \int (\lambda_j(k)a(k)^* + \lambda_j(k)a(k))dk \]
\[ + \int \left| \sum_{j=1}^N \lambda_j(k)q_j \right|^2 \omega(k) \] (4.5)

and
\[ H_r = p^2/2m + V(q) \]
we can write \( H_1 \) as
\[ H_1 = H_r + H_b. \]
By (4.3), \( H_r \) is bounded from below. We note that for all \( \Psi \in \mathcal{D}_H \),
\[ (\Psi | H_b | \Psi) = \int \left( (\sqrt{\omega(k)}a(k) + \sum_{j=1}^N \frac{\lambda_j(k)q_j}{\sqrt{\omega(k)}}) \Psi, \left( \sqrt{\omega(k)}a(k) + \sum_{j=1}^N \frac{\lambda_j(k)q_j}{\sqrt{\omega(k)}} \right) \Psi \right) dk. \]
The right-hand side is non-negative and hence
\[ (\Psi | H_b | \Psi) \geq 0. \]
Thus it follows that \( H_1 \) is bounded from below on \( \mathcal{D}_H \).

**Example 2:** Let \( b_+^* \) be given by (3.12) and (3.13) and \( V \) be as in Example 1. Let
\[ H_2 = d\Gamma(\omega) + \sum_{j=1}^N q_j \int (\lambda_j(k)b_ja(k)^* + \lambda_j(k)b_j^*a(k))dk \]
\[ + \sum_{i,j=1}^N \int dk \frac{\lambda_i(k)\lambda_j(k)}{\omega(k)} b_i^*b_j. \] (4.6)
This model is a variant of Example 1. The case where \( N = 1 \) and
\[ H_p = (v_1/m)b_1^*b_1 \]
is called the RWA oscillator (e.g., Refs. 6–8).

**Theorem 4.2:** The operator \( H_2 \) is bounded from below and essentially self-adjoint on \( \mathcal{D}_H \).

Proof: The operator \( H_2 \) is of the form of \( H \) given by (3.10). Hence, we need only to prove the boundedness from below of \( H_r \) on \( \mathcal{D}_H \). This can be done in the same way as in the proof of Theorem 4.1; in fact, we can show that \( H_2 - H_p \geq 0 \) on \( \mathcal{D}_H \).

**Example 3:** We consider the following case:
\[ N = d, \quad m_j = m, \quad j = 1, \ldots, d, \]
\[ \mathcal{F} = \mathcal{F}_0(L^2(\mathbb{R}^d)) \] (i.e., \( M = d - 1 \)),
\[ \omega_r(k) = \omega(k), \quad r = 1, \ldots, d - 1, \]
so that
\[ \mathcal{F} = L^2(\mathbb{R}^d) \otimes \mathcal{F}_0(L^2(\mathbb{R}^d)). \]
This choice gives a framework to discuss models of a \( d \)-dimensional electron coupled to a quantized radiation field.\(^\text{9,10,14,15}\) Let \( p(x) \) be a real distribution on \( \mathbb{R}^d \) such that its Fourier transform \( \hat{p}(\omega) \) is a measurable function with
\[ \hat{p}/\sqrt{\omega}, \quad |k|\hat{p}/\sqrt{\omega}e^{i\omega t} \] (4.7)
and \( \{e^{i\omega t}(k)\}_{\omega = 0}^{\infty} \) be a set of vectors in \( \mathbb{R}^d \) ("polarization vectors" of a photon with momentum \( k \)) such that
\[ e^{i\omega t}(k) \cdot e^{i\omega t}(k) = \delta_{\omega t}, \quad k \cdot e^{i\omega t}(k) = 0, r = 1, \ldots, d - 1. \]
The time zero radiation field with cutoff \( \hat{p} \) is defined by
\[ A_{\omega}(x) = \int \frac{1}{\sqrt{2\omega(k)}} e^{i\omega t}(k) \hat{p}(\omega) a(k)^* e^{ikx} \] (4.8)
\[ 
\times e^{-ikx} \] \[ + \hat{p}(\omega) e^{i\omega t}(k) dk, \quad j = 1, \ldots, d. \]
We consider the following Hamiltonian:
\[ H_3 = \frac{1}{2m} \left( p - eA(0p) - \lambda e \sum_{j=1}^d q_j (\partial_j A)(0p) \right)^2 \]
\[ + V(q) + d\Gamma(\mathbb{R}^d \otimes \mathcal{F}_0(L^2(\mathbb{R}^d))). \]
**Theorem 4.3:** The operator \( H_3 \) is bounded from below and essentially self-adjoint on \( \mathcal{D}_H \).

Proof: The operator \( H_3 \) is also of the form of \( H \) given by (3.10). It is obvious that \( H_3 \) is bounded from below on \( \mathcal{D}_H \). Thus, applying Theorem 3.1, we get the desired result.

Remarks: In the case \( \lambda = 0 \), the second condition for \( \rho \) in (4.7) can be dropped. The above theorem slightly improves the result in Ref. 10 on the essential self-adjointness of \( H_1 \), with \( V(q) = eq^2/2 \) (\( e > 0 \)) and \( \lambda = 0 \) has been discussed in Ref. 10 (cf. also Ref. 9). Let
\[ \mathcal{D}_H = \mathcal{S}(\mathbb{R}^d) \otimes [\mathcal{D}(d\Gamma(\mathbb{R}^d \otimes \mathcal{F}_0(L^2(\mathbb{R}^d)))) \]
\[ \cap \mathcal{F}_0(\mathbb{R}^d \otimes \mathcal{F}_0(L^2(\mathbb{R}^d))). \] (4.9)

**Example 4:** Scalar quantum electrodynamics with cutoffs. We consider a quantum system of a charged scalar field interacting with a radiation field. The Fock space to describe such a system is given by
\[ F = T(\mathcal{F}_0(L^2(\mathbb{R}^d))) \] (i.e., the case \( N = 0 \) in the framework given in Sec. III), where \( \mathcal{F}_0 \) is given by (3.2) with \( M = 2 + (d - 1) \). We set
\[ a_1(k) = b(k), \quad a_2(k) = c(k), \quad \omega_1(k) = \omega_2(k) = \mu(k), \]
\[ \omega_3(k) = \cdots = \omega_{d+1}(k) = \omega_0(k), \] and rename \( a_r(k), r = 3, \ldots, 2 + (d - 1) \) as \( a_r(k), r \).
\[ \hat{\eta} / \sqrt{\mu}, \quad |k| \hat{\eta} / \sqrt{\mu}, \quad \sqrt{\mu} \hat{\eta} \in L^2(\mathbb{R}^d). \]

Then the time-zero charged scalar field and its conjugate with the ultraviolet cutoff \( \hat{\eta} \) are defined by

\[ \phi(x; \eta) = \int \frac{1}{\sqrt{2\mu(p)}} \left\{ \hat{\eta}(p) c(p) e^{-ipx} + \hat{\eta}(p) b(p) e^{ipx} \right\} dp, \]

\[ \pi(x; \eta) = i \int \frac{\mu(p)}{2} \left\{ \hat{\eta}(p) b(p) e^{-ipx} - \hat{\eta}(p) c(p) e^{ipx} \right\} dp. \]

We consider the following Hamiltonian:

\[ H_4 = \int g(x) \left( \pi(x; \eta)^* \pi(x; \eta) + (\nabla + ieA(x; \rho)) \phi(x; \eta)^* (\nabla - ieA(x; \rho)) \phi(x; \eta) + m^2 \phi(x; \eta)^* \phi(x; \eta) \right) dx + H_{EM}, \quad (4.10) \]

where

\[ H_{EM} = \sum_{j=1}^{d-1} \int \omega(k) a_j(k)^* a_j(k) dk, \]

and \( g \in \mathcal{F}(\mathbb{R}^d) \) is positive.

**Theorem 4.4:** The operator \( H_4 \) is non-negative and essentially self-adjoint on \( D(H_{EM}) \cap \mathcal{F}_0(\mathcal{H}) \).

**Proof:** One can easily check that \( H_4 \) is of the form of \( H \) given by (3.10) and is non-negative on \( D(H_{EM}) \cap \mathcal{F}_0(\mathcal{H}) \). Thus we can apply Theorem 3.1 to obtain the desired result.

For formal aspects of the model \( H_4 \) without cutoffs, see, e.g., Ref. 12.

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