



|                  |  |
|------------------|--|
| Title            | Role of ozone in the solar cycle modulation of the North Atlantic Oscillation  |
| Author(s)        | Kuroda, Yuhji; Yamazaki, Koji; Shibata, Kiyotaka   |
| Citation         | Journal of Geophysical Research, 113(d14), D14122<br><a href="https://doi.org/10.1029/2007JD009336">https://doi.org/10.1029/2007JD009336</a> |
| Issue Date       | 2008-07-26   |
| Doc URL          | <a href="http://hdl.handle.net/2115/34478">http://hdl.handle.net/2115/34478</a>  |
| Rights           | An edited version of this paper was published by AGU. Copyright 2008 American Geophysical Union.   |
| Type             | article (author version)   |
| File Information | Kuroda-Yamazaki-Shibata-JGR.pdf  |



[Instructions for use](#)

# **Role of ozone in the solar cycle modulation of the North Atlantic Oscillation**

By Yuhji Kuroda<sup>1</sup>, Koji Yamazaki<sup>2</sup> and Kiyotaka Shibata<sup>1</sup>

5 <sup>1</sup>Meteorological Research Institute, Tsukuba, Japan

<sup>2</sup>Faculty of Environmental Earth Science, Hokkaido University, Sapporo, Japan

## Abstract

The effect of ozone on the 11-year solar cycle modulation of the winter-mean North  
10 Atlantic Oscillation (NAO) is examined through analyses of observed meteorological  
and ozone data from 1978 to 2000. It is found that a significant ozone anomaly  
associated with the winter NAO is created in winter in high solar (HS) years only and  
the anomaly persists from spring to summer, creating a large temperature anomaly in  
the lower stratosphere through radiative heating. Such a temperature anomaly in the  
15 stratosphere creates anomalous temperature of opposite sign at lower heights and  
anomalous zonal wind in the polar area of the troposphere in summer. The associated  
surface signal is very similar to the summer Arctic Oscillation (Summer-AO). The  
mechanism for the formation of the Summer-AO is also discussed.

## 1. Introduction

As solar radiation is almost the only energetic input on the climate system, it has been believed for a long time that the solar variation has a large impact on the climate [e.g., Haigh, 2003; Pap and Fox, 2004]. In fact, analysis of recent observations shows that the variation of solar flux associated with the 11-year cycle is as much as several tenths of a percent for shorter ultra-violet wavelengths [Rottman, 1988; Lean et al., 1997] and an associated significant temperature change was found in the upper stratosphere [Hood et al., 1993; McCormack and Hood, 1996].

Recent observations also indicate that tropospheric climate “modes” related to the stratosphere show significant structural modulation with the 11-year solar cycle. Koder [2002, 2003] and Ogi et al. [2003] found that the winter mean North Atlantic Oscillation (NAO) shows significant modulation associated with the solar cycle. They found that variability of the sea level pressure (SLP) associated with the winter-mean NAO becomes hemispheric as for the “Arctic Oscillation” (AO) [Thompson and Wallace, 1998] and it extends to the upper stratosphere and persists until the following

summer in high solar (HS) years, whereas it is a tropospheric local variability and has less persistence in the low solar (LS) years. Further, Kuroda and Kodera [2005] found the late-winter mean Southern Annular Mode (SAM) shows a similar solar cycle modulation as the winter NAO. They also suggest that the longer persistence of SAM in HS years originates from the ozone anomaly in the lower stratosphere, which is created by the modulation of Brewer-Dobson circulation in late winter. Such a modulation of SAM was also well simulated by a chemistry-climate model [Kuroda and Shibata, 2006].

45

Concerning the persistence of the winter NAO signal into the summer in HS years, Ogi et al. [2003] suggested that surface conditions such as snow, sea ice, and sea surface temperature (SST) maintain a memory of the winter NAO. This surface-memory scenario is in contrast to the ozone-memory scenario [Kuroda and Kodera, 2005]. The purpose of the present study is to examine whether ozone in the stratosphere becomes a source of the structural modulation of the winter NAO as it is for the SAM in the southern hemisphere.

## 55 2. Data and analysis method

The meteorological data we used in this study are from the 40-year reanalysis data of the European Centre of Medium-range Weather Forecasts (ERA40) [Uppala et al, 2005]. We used 23 years of data from 1978 to 2000. In all analyses monthly-mean data have been used. Quantities containing second order effects such as the E-P flux or  
60 residual velocity are calculated from daily data and then monthly averaged. Residual velocities above 100-hPa are estimated by solving the transformed Eulerian mean equation with zero vertical residual velocity at 0.5 hPa, as in Kuroda and Kodera [2001], and they are combined with those calculated directly from analyzed winds and eddies at the 100-hPa level and below.

65

The ozone data we used is the monthly data that described in Randel and Wu [2007]. This has been combined from two satellite data of Stratospheric Aerosol and Gas Experiment (SAGE) I and II [e.g., Wang et al., 2002] and ozonesonde data at the polar region. As these satellite observations cover latitude only from about 55°S-55°N,  
70 ozonesonde data up to 30-hPa and extrapolation of the satellite data is made poleward of 60° latitude. Continuous data is then made by multi-regression method including the decadal trend, solar cycle, and Quasi-Biennial Oscillation (QBO) from gappy data. See

Randel and Wu [2007] for more detail. As the data covers from 1979 to 2005, and the data in December 1978 is absent, we made this from 5-year average of December data  
75 from 1979 to 1983.

From meteorological and ozone data, we calculated also the solar heating rate with the radiation code used in the chemistry-climate model of the Meteorological Research Institute [Shibata et al, 2005]. Changes in the ultra-violet (UV) irradiance  
80 were adopted from data obtained by Lean et al. [2001]. The NAO index used in the present study is the same as that used in Kodera [2002, 2003] and Ogi et al. [2003]. It is the difference in the normalized monthly mean SLP between Lisbon and Stykkisholmur as calculated in Hurrell [1996]. In this study, we used a winter-mean NAO index as the average from December to February (DJF). The time series of the winter-mean NAO  
85 index, together with the solar-cycle index, is presented in Figure 1.

First we classified each year as either HS or LS according to the December-to-March (DJFM) mean 10.7 cm solar radio flux: If the flux of a year is higher (lower) than the average, the year is categorized as a HS (LS) year. In this way, 10 (12) winters  
90 were selected as HS (LS) years (see lower panel of Figure 1). Correlation analysis was

then performed for the HS and LS years separately, based on DJF mean NAO indices in a method similar to that used by Kodera [2002, 2003] and Ogi et al. [2003].

Though ERA40 data is available from the surface to 1 hPa, we have restricted  
95 our analysis to the more reliable region from the surface to 10-hPa.

Most of the figures in this paper present correlation or regression with the DJF mean NAO index. This means that the figures are relative to a *positive change* in the DJF mean NAO index.

100

### 3. Results

Before performing the correlation analysis, it will be useful to examine climatologies. To this end, we examined the climatologies of basic quantities for the HS  
105 and LS years and their differences. Figure 2 presents the climatologies of two-month mean zonal wind from December-January (DJ) to June-July (JJ) for HS and LS years and their differences. It can be seen that climatological zonal winds are very similar for HS and LS years. However, there are significant differences between them; the

meridional dipole structure of anomalous zonal wind in the stratosphere is prominent in  
110 DJ, as well as the polar tropospheric wind in AM. Winter signals indicate prominent  
poleward and downward movement of anomalous zonal wind with time if monthly data  
is used (not shown). The signal in DJ captures this. Such a signal should be closely  
related to the solar signal [Kuroda and Kodera, 2002; Kodera and Kuroda, 2002]. The  
variability of the zonal wind is a little larger in the LS than HS years in the stratosphere  
115 throughout the period. It is noted that the difference of zonal wind in JJ is quite small in  
the troposphere, but the variability is large in the high-latitude troposphere both in HS  
and LS years.

Figure 3 indicates the same plot except for ozone densities. It can be seen that  
120 ozone densities tend to become greater with increasing altitude. The densities also  
become greater with increasing latitude in the lower stratosphere but with decreasing  
latitude in the middle stratosphere. The variability is generally higher in winter and  
decreases from spring to summer. Though the climatological values of ozone densities  
are very similar between HS and LS years, there are significant differences between  
125 them, as depicted in the lower panels. In fact, ozone density in HS years tends to be  
about 2-4% greater in the stratosphere throughout the period [Soukharev and Hood,



2006]. It is noteworthy that the difference between the climatology of the HS and LS years is a little greater than the standard deviation of each year.

130           Figure 4 indicates the correlation of the zonal-mean zonal wind with the DJF-mean NAO index, calculated separately for “all”, HS, and LS years. Calculations are performed for two-month means from DJ to JJ. Here, correlation greater than 0.6 is contoured in steps of 0.1 and regions of correlation greater than 0.5 are shaded. As the 95% level of statistical significance corresponds to a correlation of 0.63 (0.57) for the sample size of HS (LS) years, the area of correlation greater than 0.6 corresponds to about the 95% significance level. Correlation of 0.5 corresponds to approximately the 90% level of significance. As the correlations of 0.5 and 0.6 correspond to much higher levels of significance for the case of “all years,” the same plot is compared with both HS and LS years.

140

In the correlation for “all years”, a prominent signal appears in DJ but not afterward. In DJ, a meridional dipole signal centered at 55°N and 35°N appears in the troposphere. Although the low-latitude signal is confined to the lower troposphere, high-latitude signal extends to about the 50-hPa level.

The situation was quite different when we performed the same analysis but stratified according to the solar cycle. In HS years, the larger area of significant correlation extends further into the upper stratosphere in DJ and after diminishing in the following months the signal appears again in JJ with the same polarity. Note that the positive correlations poleward of 50°N in JJ mean that a positive NAO in winter is associated with a stronger westerly vortex in the following summer. LS years, however, show neither the extension to the stratosphere in DJ nor reappearance in JJ.

To examine the source of difference between the HS and LS years, we compared the winter NAO signals from December to April when the differences in the signals are more apparent. Figure 5 compares the E-P flux and its divergence (1<sup>st</sup> and 3<sup>rd</sup> rows), and ozone density and residual velocity (2<sup>nd</sup> and 4<sup>th</sup> rows) in HS (upper panels) and LS years (lower panels). It can be seen that a large negative correlation of ozone is developing in middle-latitude middle stratosphere to high-latitude lower stratosphere in January only in HS years. This means that a positive NAO index in winter is associated with a negative ozone anomaly in HS years. The formation of large correlation of ozone in HS years corresponds to the weakening of the Brewer-Dobson circulation, shown by

equatorward arrows around 30-40°N and 30-hPa in both December and January, and upward arrows around 70°N and 200-30 hPa in January. Such anomalous residual  
165 circulation should be driven by the positive anomaly of the E-P flux divergence above these levels, which is more clearly formed in HS years than LS years. Such differences in the E-P flux divergence should originate from differences in the E-P flux and therefore their existence implies reduced upward wave propagation in the extratropical stratosphere in HS years compared to LS years in January.

170

The extension of correlation of ozone in April in HS years also corresponds very well with a weakening of the Brewer-Dobson circulation, shown by upward and equatorward arrows around 70-80°N and 200-30 hPa, which will be driven by a positive E-P flux divergence at the stratosphere.

175

Such a correlation of ozone persists through spring to summer in HS years, whereas it is thoroughly absent in LS years. It should be noted as well that an apparent temperature signal also persists from spring to summer in HS years, but it is not present in LS years (not shown).

180

To see how a summer AO-like signal evolves with time in HS years, we compared regressed zonal-wind, temperature, ozone density, and solar heating from May to August (Figure 6). Corresponding to a large negative anomaly of ozone, the solar heating is significantly reduced in the stratosphere in May. Such solar heating  
185 corresponds well with anomalous temperatures in the stratosphere, although the area of significant correlation is small due to larger variability. This colder temperature signal in the stratosphere becomes more significant and persistent in June. In particular a vertical dipole structure of anomalous temperature, one in the stratosphere and the other in the troposphere, centered around 65°N is prominent. This dipole structure persists  
190 until August with slow equatorward propagation. The center of higher temperature in the troposphere is accompanied by an area of Eulerian downward flow. This is associated with anomalous Eulerian mechanical forcing centered around 300-hPa and 70°N (not shown). Anomalous zonal wind centered around 300-hPa and 75°N in June corresponds well with the anomalous higher temperature in the troposphere through the  
195 thermal wind relationship. Wave forcing around the tropopause is created through the modification of wave propagation by anomalous zonal wind that extends to the stratosphere. Thus wave forcing around the tropopause, the vertical dipole structure of

the temperature, and the zonal wind in the polar area are sustained by positive feedback in summer.

200

Figure 7 illustrates the horizontal structure of the regressed temperature in the lower troposphere and stratosphere from June to August. It can be seen that associated with the vertical dipole signal of the temperature seen in Figure 6, warmer areas in the lower troposphere exist just below the cooler areas in the lower stratosphere. It is also noteworthy that the horizontal pattern shows almost zonal wavenumber 3 structure. These clearly suggest existence of strong linkage between the stratosphere and troposphere in this period.

The surface signal in the polar region in the summer of HS years is very similar to the Summer Arctic Oscillation (Summer-AO) or Summer Northern Annular Mode proposed by Ogi et al. [2004]. Such AO-like structures will be created by the wave driven meridional circulation in a similar manner to the winter AO [Kuroda, 2005, 2007a]. Therefore we compared zonal-mean SLP (1<sup>st</sup> and 3<sup>rd</sup> rows) and potential surface pressure change (PPC) (2<sup>nd</sup> and 4<sup>th</sup> rows) caused by regressed wave forcings associated with the winter-mean NAO in HS years (upper panels) and these with Summer-AO

215

(lower panels) in Figure 8. PPC is defined as a surface pressure change if frictional and diabatic effects are absent, and it is evaluated by the zonal-mean quasi-geostrophic model on a sphere as used in Kuroda [2005]. See Appendix A for the detail of the model.

220           Red lines in Figure 8 indicate PPC calculated only by mechanical forcing (forcing due to eddy momentum flux) at 500-hPa and above. As can be seen from the comparison of the SLP and PPC, they are roughly proportional to each other for both the Summer-AO and the signal for the winter-mean NAO, although the signal with winter-mean NAO in June is slightly modified due to larger downward flow around  
225   65°N as previously described. It takes about eight days to produce the SLP anomaly with PPS alone, which is closely related to the frictional damping effect on the meridional circulation at the surface. The similarity of the red line to the circle one means that the SLP signal associated with the winter-mean NAO in HS years is created mainly by the meridional circulation driven by the mechanical forcing in the upper  
230   troposphere as is the case for the Summer-AO. Note that the vertical axes of the upper panels are just half of the lower panels. Thus the amplitude of the SLP associated with the winter-NAO in HS years is about half size of the typical Summer-AO in summer.

This is because that the effect of the winter-NAO through ozone is only one source to create the Summer-AO.

235

#### 4. Discussion and remarks

The analysis presented here suggests that the reappearance of the winter-NAO-index signal in summer in HS years originates from the formation of ozone anomaly in the lower stratosphere in winter. Since the winter ozone anomaly in the mid-latitude lower stratosphere persists until the following autumn [Fioletov and Shepherd, 2003], the ozone anomaly associated with the NAO in HS years becomes a persistent heat source and creates anomalous temperatures in the stratosphere in summer. This is the same as that occurs in the SAM [Kuroda and Kodera, 2005]. However, the SAM signal gradually disappears with the seasonal evolution in summer whereas the AO-like signal disappears quickly in spring but it reappears in summer for the NAO. So the key question we should resolve is why ozone anomaly does not work in spring but works well only in summer in HS years.

As had been seen in Figure 6, formation of Summer-AO signal appears firstly in June, but it is not very clear how the signal firstly appears in June. So we had analyzed

250

this period by 10-day mean data. Upper panels in Figure 9 show the regression of the Eulerian mechanical forcing with a Eulerian meridional circulation, whereas lower panels show the zonal-mean temperature with a residual circulation. The result shows that the higher temperature anomaly in the troposphere is created firstly in mid-June, associated with the downward Eulerian flow around 65 °N. The flow starts from the first 10-day of June, though it is weak, and corresponds well with anomalous mechanical forcing at the upper troposphere. The area of downward Eulerian flow exists just north of the downward residual flow in mid-June. It is interesting to note that the tropospheric downward flow seems to be connected with the stratospheric colder air if we look closely the residual velocity in the stratosphere for the second to third 10-day. This will explain why warmer areas exist just below the colder areas in Figure 7. In fact, if heavier cooler air is nearly vertically transported to the troposphere, it will create warmer zone in the troposphere. This can occur in June because climatologically the temperature gradient between the lower stratosphere and the upper troposphere becomes smaller and less stable as it evolves with time during this season (Figure 10). It is interesting to compare that though the stratification near the mid-latitude tropopause in the NH is less stable in summer, it is most stable in the SH. So formation of vertical



flow from the stratosphere in summer seems to be a key process, although this should be examined in more detail in a future study.

270

We had evaluated the PPC by using climatological stability of each month in Figure 8. We had examined the effect of these stabilities on the PPC by changing the stability to that from winter-mean value. The result indicates that the PPC at the pole becomes about 10% smaller if winter-mean values are used. It indicates that the low stability in summer enables wave forcing in the upper troposphere to easily change the lower tropospheric climate.

The ozone data we had analyzed in the present study was made by multi-regression method including the decadal trend, solar cycle, and Quasi-Biennial Oscillation (QBO) [Randel and Wu, 2007]. So using the data for the solar cycle problem as the present study may have problem because the data is made by using solar cycle itself. To verify whether large ozone signal in HS years is not special for the present data, we also performed the same analysis by another data. The other ozone data we used has been combined from two different datasets. One is the version 8 Solar Backscattered Ultraviolet (SBUV) data [Frith and Stolarski, 2005], which contains the

monthly zonal mean mixing ratio at stratospheric pressure levels of 50- to 0.5-hPa, as well as the column ozone between pressure levels, from November 1978 to 2003. The other is the original SAGE II data from October 1984 to 2000 [e.g., Wang et al., 2002]. By merging these two datasets, we produced a combined data set extending from  
290 November 1978 to 2000, in which SBUV(/2) is used in the stratosphere at 50-hPa level and above, and SAGE II is used below. As the SAGE II data start from October 1984, lower level data before that date was obtained from seasonally varying climatological profiles of SAGE II data, scaled to be equal to the lowest column ozone from the surface to the 64-hPa level in SBUV(/2) data. All missing data except for the polar area  
295 were replaced with values obtained by interpolating in time even just after the Pinatubo eruption. The same result as Figure 6 except for using this ozone data is shown in Figure 11. Signal for the LS years was found to be very weak (not shown). It is found that the signal is not so strong as that obtained in the present study but it is still present. It is interesting to note that the signal is very similar to the signal of temperature. Ozone  
300 signal is still present only in HS years in the alternative dataset.

The large correlation of ozone generated by modulation of the Brewer-Dobson circulation in winter was the key process to convey the winter-NAO signal to summer

in HS years. This can be traced back to the fact that the stratospheric wave propagation  
305 was more strongly correlated to the winter-NAO index in HS years (Figure 5). One  
possible source of this stronger correlation in HS years is that it originates from larger  
amplification of the planetary wave from the surface due to higher mean ozone in the  
stratosphere (Figure 3), which creates larger contrast of solar heating in the longitudinal  
direction of planetary wave and produces stronger wave-mean flow interaction in the  
310 stratosphere in HS years. This should be examined in more detail in a future study. See  
Kuroda *et al.* [2007] for such analysis in the SH.

In this paper, we considered ozone as a memory of the winter-NAO to the  
following summer. In contrast, Ogi *et al.* [2003] considered surface condition such as  
315 snow, sea ice and SST as a memory. To investigate the role of ozone and surface  
condition on the memory of the winter-NAO to the following summer, controlled  
numerical experiment will be needed in a future study.

Two large volcanic eruptions (El Chichon and Pinatubo) took place in HS years  
320 during our period of analysis. To examine the effect of volcanic eruption on the result,

we performed the same calculation after removing the years following the volcanic eruptions. The results demonstrate that the effect was very small (not shown).

We did not consider effects of QBO, ENSO in the present analysis. However, 325 previous analysis indicates that solar influence on climate is much affected by these phenomena [e.g., Labitzke and van Loon, 1999]. Although our present analysis is statistically too short to examine such an effect, analysis of 43-years of data from 1958 to 2000 shows that solar cycle modulation of the NAO is more prominent in the westerly phase of 50-hPa QBO wind. See Kuroda [2007b] for more detail.

330

### **Acknowledgments**

335 The authors are grateful to W. J. Randel for providing ozone data. Solar radio flux data were obtained from the NOAA National Geophysical Data Center. This work was supported in part by a Grant-in-Aid (16340144, 18204043, 19340135) for Science

Research of the Ministry of Education, Culture, Sports, Science, and Technology of  
Japan.

340

## Appendix A

The model used in this study is the zonal-mean quasi-geostrophic model on a sphere that was used in Plumb [1982], Haynes and Shepherd [1989], and Kuroda [2005]:

$$\begin{aligned}
 345 \quad & \frac{\partial u}{\partial t} - 2\Omega v \sin \phi = F + G \\
 & 2\Omega u \sin \phi = -\frac{1}{a} \frac{\partial \Phi}{\partial \phi} \\
 & \frac{\partial \Phi}{\partial p} = -\frac{RT}{p} \\
 & \frac{\partial T}{\partial t} - \Gamma \omega = Q + S \\
 & \frac{1}{a \cos \phi} \frac{\partial}{\partial \phi} (v \cos \phi) + \frac{\partial \omega}{\partial p} = 0
 \end{aligned} \tag{A.1}$$

350 where

$$\begin{aligned}
 F &= -\frac{1}{a \cos^2 \phi} \frac{\partial}{\partial \phi} [\overline{u'v'} \cos^2 \phi] \\
 Q &= -\frac{1}{a \cos \phi} \frac{\partial}{\partial \phi} [\overline{v'T'} \cos \phi]
 \end{aligned} \tag{A.2}$$

are eddy mechanical and thermal forcings,  $G$  and  $S$  are frictional forcing and diabatic heating,  $\phi$  is the latitude,  $\omega$  is the vertical pressure velocity,  $\Gamma = -\partial T_0 / \partial p + \kappa T_0 / p$  is the stability of the basic atmosphere,  $\Omega$  is the angular velocity of the Earth,  $a$  is the radius of the Earth, field variables with primes denote departure from zonal mean,

overbar denotes zonal averaging, and other symbols follow the usual convention [for example, see Andrews et al., 1987].

360

Boundary conditions of the equation should be

$$\frac{D\Phi}{Dt} = 0, \quad (\text{A.3})$$

for the lower boundary and  $\omega = 0$  for the upper boundary, and lateral conditions are

365  $v = 0$  at  $\phi = \pm\pi/2$ , where  $D/Dt$  is a material derivative.

As Equations (A.1) can be put into an elliptical differential equation of  $\omega$

$$\begin{aligned} & \frac{1}{\cos\phi} \frac{\partial}{\partial\phi} \left( \frac{\cos\phi}{\sin^2\phi} \frac{\partial\omega}{\partial\phi} \right) + \frac{4\Omega^2 a^2 p}{R\Gamma} \frac{\partial^2\omega}{\partial p^2} \\ & = \frac{2\Omega a p}{R\Gamma \cos\phi} \frac{\partial}{\partial\phi} \left[ \frac{\cos\phi}{\sin\phi} \frac{\partial}{\partial p} (F + G) \right] - \frac{1}{\Gamma \cos\phi} \frac{\partial}{\partial\phi} \left[ \frac{\cos\phi}{\sin^2\phi} \frac{\partial}{\partial\phi} (Q + S) \right], \end{aligned} \quad (\text{A.4})$$

the meridional circulation can be calculated from this and a continuum equation (the last

370 equation of (A.1)) with boundary conditions. The surface pressure change is then

obtained from  $\omega$  on the lower boundary.

For practical method to solve these equations, see Appendix of Kuroda and Kodera (2004).





## References

- 380 Andrews D.G., J.R. Holton, and C.B. Leovy (1987), *Middle atmosphere dynamics*,  
Academic Press, 489 pp.
- Fioletov, V. E. and T. G. Shepherd (2003), Seasonal persistence of midlatitude total  
ozone anomalies, *Geophys. Res. Lett.*, *30*, 1417, doi:10.1029/2002GL016739.
- Frith, S. M., R. S. Stolarski (2005), Merged profile ozone data from the SBUV/SBUV2  
385 series of instruments, *Eos Trans. AGU*, *86*(52), Fall Meet. Suppl., Abstract A23B-  
0943
- Haynes, P.H. and T.G. Shepherd (1989), The importance of surface pressure change in  
the response of the atmosphere to zonally-symmetric thermal and mechanical forcing,  
*Quart. J Roy. Meteorol. Soc.* *115*, 1181-1208.
- 390 Hood, L.L., J.L. Jirikowic, and J.P. McCormack (1993), Quasi-decadal variability of  
the stratosphere: Influence of long-term solar ultraviolet variations, *J. Atmos. Sci.*, *50*,  
3941-3958.
- Haigh, J. D. (2003), The effect of solar variability on the Earth's climate, *Phil. Trans.*  
*Roy. Soc. A*, 95-111, doi:10.1098/rsta.2002.1111.
- 395 Hurrell, J. W. (1996), Influence of variations in extratropical wintertime teleconnections  
on northern hemisphere temperature, *Geophys. Res. Lett.*, *23*, 665-668.

- Kodera, K. (2002), Solar cycle modulation of the North Atlantic Oscillation: Implication in the spatial structure of the NAO, *Geophys. Res. Lett.*, 29(8), 1281, doi:10.1029/2001GL014557.
- 400 Kodera, K. (2003), Solar influence on the spatial structure of the NAO during the winter 1900-1999, *Geophys. Res. Lett.*, 30(4), 1175, doi:10.1029/2002GL016584.
- Kodera, K., and Y. Kuroda (2002), Dynamical response to the solar cycle, *J. Geophys. Res.*, 107(D24), 4749, doi:10.1029/2002JD002224.
- Kuroda, Y. (2005), On the influence of the meridional circulation and surface pressure  
405 change on the Arctic Oscillation, *J. Geophys. Res.*, 110, D21107, doi:10.1029/2004JD005743.
- Kuroda, Y. (2007a), Correction to “On the influence of the meridional circulation and surface pressure change on the Arctic Oscillation”, *J. Geophys. Res.*, doi:10.1029/2007JD008569.
- 410 Kuroda, Y. (2007b), Effect of QBO and ENSO on the solar cycle modulation of winter north Atlantic Oscillation, *J. Meteorol. Soc. Japan*, in press.
- Kuroda, Y. and K. Kodera (2001), Variability of the polar-night jet in the northern and southern hemispheres, *J. Geophys. Res.*, 106, 20,703-20,713.

- Kuroda, Y. and K. Kodera (2002), Effect of solar activity on the Polar-night Jet  
415 Oscillation in the northern and southern hemisphere winter, *J. Meteorol. Soc. Jpn*, 80,  
973-984.
- Kuroda, Y. and K. Kodera (2004), Role of the polar-night jet oscillation on the  
formation of the Arctic Oscillation in the northern hemisphere winter, *J. Geophys.  
Res.*, 109, D11112, doi:10.1029/2003JD004123.
- 420 Kuroda, Y., and K. Kodera (2005), Solar cycle modulation of the southern annular mode,  
*Geophys. Res. Lett.*, 32, L13802, doi:10.1029/2005GL022516.
- Kuroda, Y., and K. Shibata (2006), Simulation of solar-cycle modulation of the southern  
annular mode using a chemistry-climate model, *Geophys. Res. Lett.*, 33, L05703,  
doi:10.1029/2005GL025095.
- 425 Kuroda, Y., M. Deushi, and K. Shibata (2007), Role of solar activity in the troposphere-  
stratosphere coupling in the southern hemisphere winter, *Geophys. Res. Lett.*, 34,  
L21704, doi:10.1029/2007GL030983.
- Labitzke K. G, and H. van Loon (1999), *The stratosphere -Phenomena, History, and  
Relevance-*, 179 pp, Springer, New York.
- 430 Lean, J.L. et al. (1997), Detection and parameterization of variations in solar mid- and  
near-ultraviolet radiation (200-400 nm), *J. Geophys. Res.*, 102, 29,939-29,956.

- Lean, J.L., O.R. White, W.C. Livingston, and J.M. Picone (2001), Variability of a composite chromosphere irradiance index during the 11-year activity cycle and over longer time periods, *J. Geophys. Res.*, *106*, 10,645-10,658.
- 435 McCormack, J.P., and L.L. Hood (1996), Apparent solar cycle variations of upper stratospheric ozone and temperature: Latitude and seasonal dependences, *J. Geophys. Res.*, *101*, 20,933-20,944.
- Ogi, M., K. Yamazaki, and Y. Tachibana (2003), Solar cycle modulation of the seasonal linkage of the North Atlantic Oscillation (NAO), *Geophys. Res. Lett.*, *30*(22), 2170, doi:10.1029/2003GL018545.
- 440
- Ogi, M., K. Yamazaki, and Y. Tachibana (2004), The summertime annular mode in the northern hemisphere and its linkage to the winter mode, *J. Geophys. Res.*, *109*, D20114, doi:10.1029/2004JD004514.
- Pap, J. M., and P. Fox (2004), *Solar variability and its effects on climate*, American Geophysical Union, 366 pp.
- 445
- Plumb, R.A. (1982), Zonally symmetric Hough modes and meridional circulations in the middle atmosphere, *J. Atmos. Sci.* *39*, 983-991.
- Randel, W. J., and F. Wu (2007), A stratospheric ozone profile data set for 1979-2005: variability, trends, and comparisons with column ozone data, *J. Geophys. Res.*, *112*, D06313, doi:10.1029/2006JD007339.
- 450

Rottman, G.J. (1988), Observations of solar UV and EUV variability, *Adv. Space Res.*,  
8, (7)53-(7)66.

Shibata, K., M. Deushi, T. T. Sekiyama, and H. Yoshimura (2005), Development of an  
MRI chemical transport model for the study of stratospheric chemistry, *Pap. Meteor.*  
455 *Geophys.* 55, 75-119.

Soukharev, B. E. and L. L. Hood (2006), Solar cycle variation of stratospheric ozone:  
Multiple regression analysis of long-term satellite data sets and comparison with  
models, *J. Geophys. Res.*, 111, D20314, doi:10.1029/2006JD007107.

Thompson, D.W.J. and J.M. Wallace (1998), The Arctic oscillation signature in the  
460 wintertime geopotential height and temperature fields, *Geophys. Res. Lett.* 25, 1297-  
1300.

Uppala, S. M. et al., The ERA-40 Reanalysis (2005), *Q. J. Roy. Meteor. Soc.*, 131,  
2961-3012, doi:20.125/qj.04.176.

Wang, H. J., D. M. Cunnold, L. W. Thomason, J. M. Zawodny, and G. E. Bodeker  
465 (2002), Assessment of SAGE version 6.1 ozone data quality, *J. Geophys. Res.*,  
107(D23), 4691, doi:10.1029/2002JD002418.

-----

Y. Kuroda and K. Shibata, Meteorological Research Institute, Tsukuba, 305-0052,

470 Japan. ([kuroda@mri-jma.go.jp](mailto:kuroda@mri-jma.go.jp), [kshibata@mri-jma.go.jp](mailto:kshibata@mri-jma.go.jp))

K. Yamazaki, Faculty of Environmental Earth Science, Hokkaido University, Sapporo,

060-0810, Japan. ([yamazaki@ees.hokudai.ac.jp](mailto:yamazaki@ees.hokudai.ac.jp))

475 **Figure captions**

Figure 1, Time coefficients of winter-mean NAO index (upper panels) and standardized F10.7 index (lower panels) used in the present study. Closed (open) circle in the lower panels indicate High (Low) Solar year. Year corresponds to the months of January.

480

Figure 2, Climatological zonal-mean zonal wind for the HS years (upper panels), LS years (middle panels), and their differences (lower panels). The contour interval is 10 m/s in the upper and middle panels and 2 m/s in the lower panels. Shading in the upper and middle panels indicates the standard deviation (light shading 1 m/s, middle shading 2 m/s, and heavy shading 5 m/s). Shading in the lower panels indicates the area of 95% significance levels.

485

Figure 3, Same as Figure 2, except showing ozone density. The contour interval is 1 ppmv in the upper and middle panels and 0.05 ppmv in the lower panels. In the upper and middle panels, light shading indicates 0.05 ppmv, middle shading 0.1 ppmv, and heavy shading 0.2 ppmv.

490

Figure 4, Lagged correlation coefficients between December-February mean NAO index and the two-month mean zonal-mean zonal wind at each grid point for the period of 1978-2000 for all years (upper panels), HS years (middle panels), and LS years (lower panels). The contour interval is 0.1 and contours are drawn for absolute values greater than or equal to 0.6 and for zero. Shading is applied to regions where the absolute value of the correlation is greater than 0.5. Dashed lines indicate negative values.

500

Figure 5, Same as Figure 4, except showing the E-P flux and its divergence (1<sup>st</sup> and 3<sup>rd</sup> rows), and residual velocity and ozone density (2<sup>nd</sup> and 4<sup>th</sup> rows) for December to April for HS years (upper panels) and LS years (lower panels). Correlations of the E-P flux and residual velocity are shown by arrows. Only arrows whose absolute value of correlations is greater than 0.6 are plotted.

505

Figure 6, Same as Figure 4, except showing the lagged regression of the E-P flux (arrow) and zonal-mean zonal wind (1<sup>st</sup> row), temperature (2<sup>nd</sup> row), ozone density (3<sup>rd</sup> row), and solar heating (4<sup>th</sup> row) from May to August for HS years. Contour



510 intervals of the zonal wind, temperature, ozone density, and solar heating are 0.5 m/s,  
0.2 K, 0.02 ppmv, and 0.005 K/day, respectively. Shading is applied to regions  
where the absolute value of correlation is greater than 0.5. Only E-P fluxes for which  
the absolute value of correlations is greater than 0.5 are plotted. They are scaled by  
the reciprocal square root of the pressure.

515

Figure 7, Lagged regression coefficients between December-February mean NAO index  
and the monthly mean temperature at 50-hPa (upper panels) and 1000-hPa (lower  
panels) from June to August for HS years. Contour intervals of 50- and 1000-hPa  
temperature are 0.2 K and 0.5 K, respectively.

520

Figure 8, Zonal-mean of the regressed SLP (upper panels) and the potential surface  
pressure change (PPC, dPs/dt) due to Eulerian wave forcings (lower panels).

Quantities for June to August associated with winter-mean NAO index in HS years  
(upper panels) and the Summer-AO (lower panels) are shown. Red lines in 2<sup>nd</sup> and  
525 4<sup>th</sup> rows indicate values due solely to mechanical forcing at 500-hPa and above. The  
unit of vertical axis is 1 hPa (hPa/day) for the SLP (PPC).

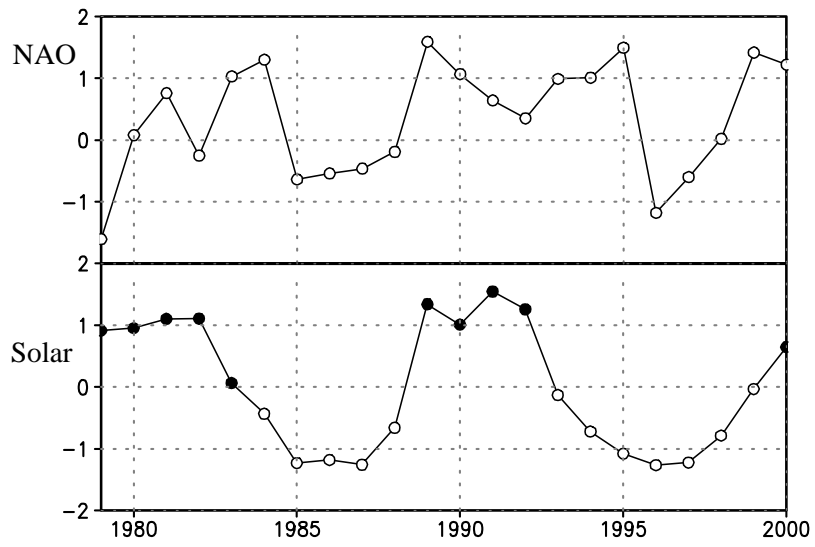
Figure 9, Same as Figure 4, except showing the lagged regression of the Eulerian meridional circulation (arrow) and Eulerian mechanical forcing (contour) (upper panels), and residual velocity (arrow) and zonal-mean temperature (contour) (lower panels) from first to last 10-day of June. Contour intervals of the Eulerian mechanical forcing (zonal-mean temperature) is 0.2 m/s/day (0.2 K). Only arrows with a larger correlation (greater than 0.5) are shown.

535 Figure 10, Line plots showing climatological temperature difference between 200-hPa and 300-hPa at 60°N (with open circles) and 60°S (with closed circles).

Figure 11, Same as Figure 6, except showing the lagged regression of ozone density (1<sup>st</sup> row), and solar heating (2<sup>nd</sup> row) from May to August for HS years of combined SBUV(/2) and SAGE II data.

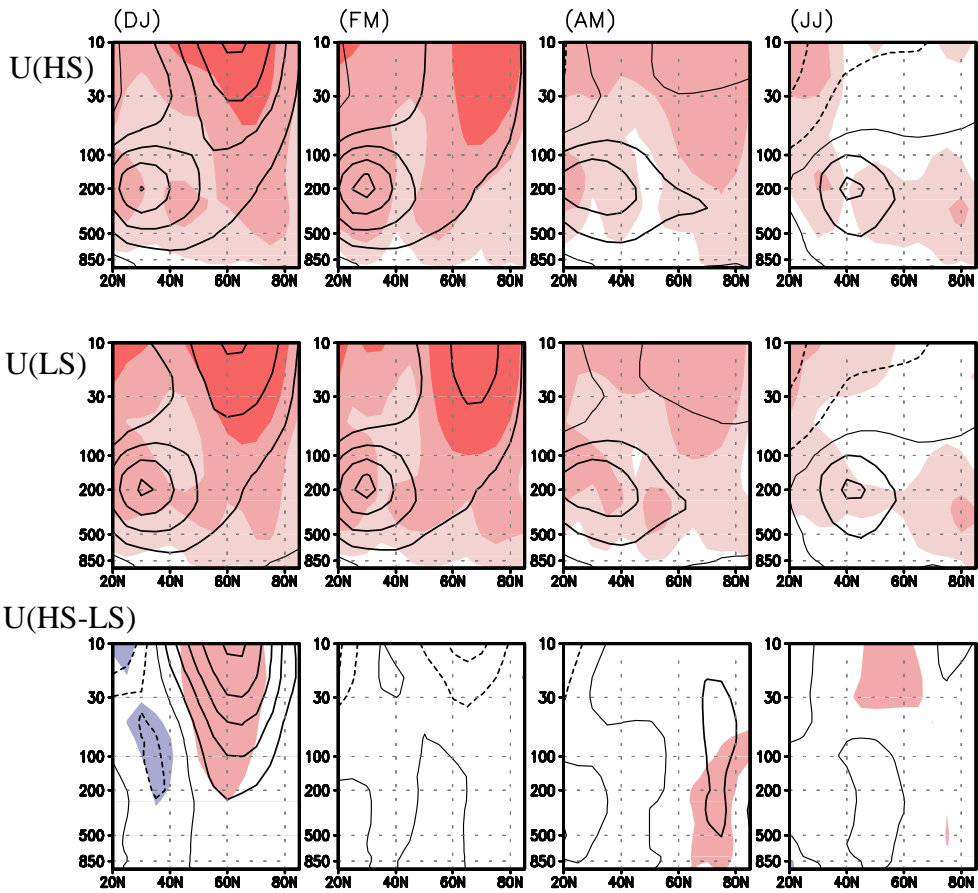
540

545



550

Figure 1

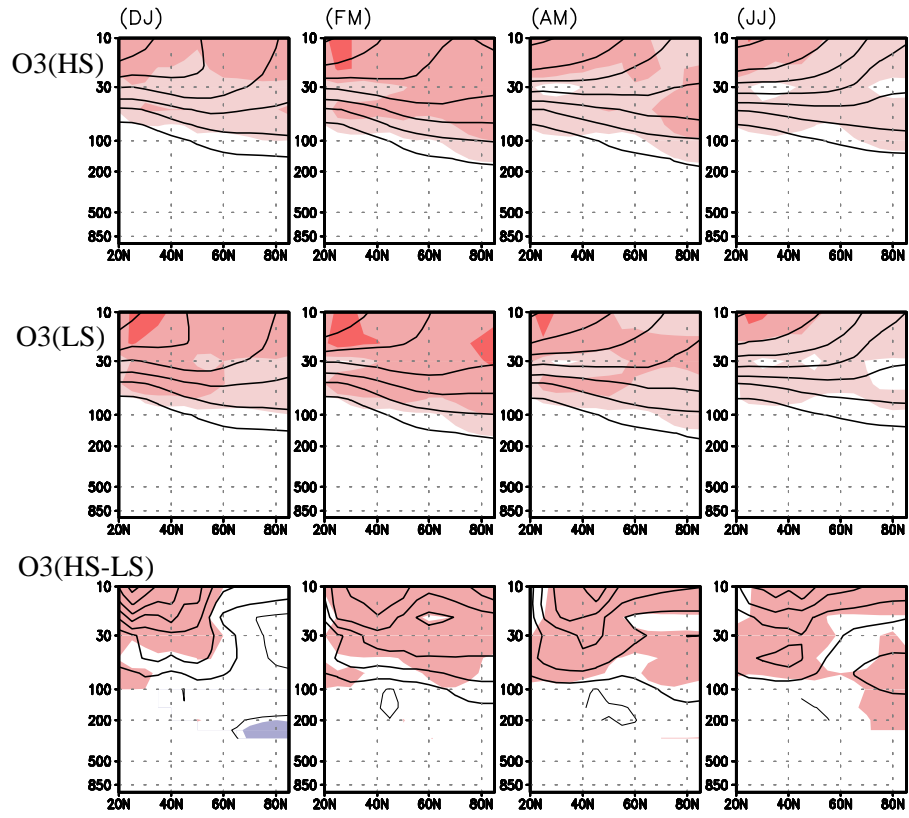


555

560

Figure 2

565



570

Figure 3

575

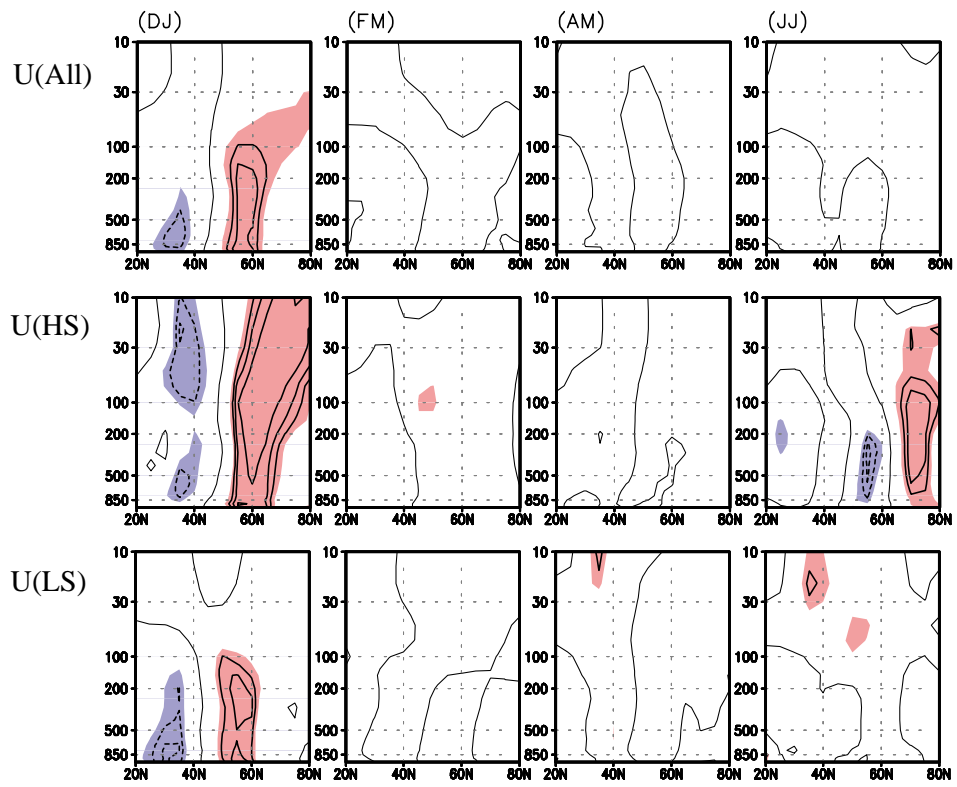
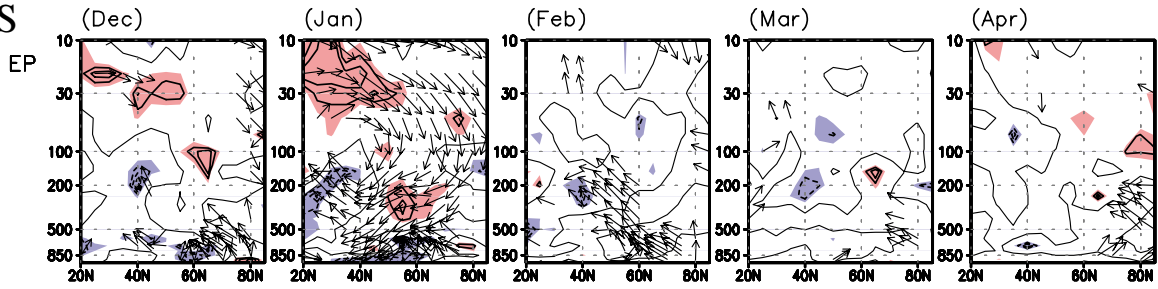
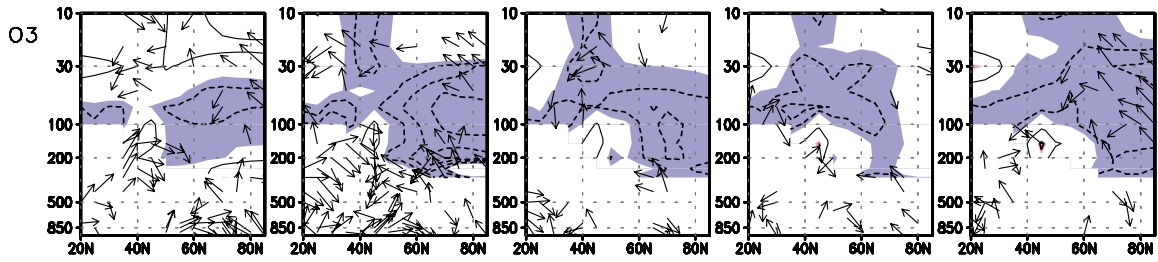


Figure 4

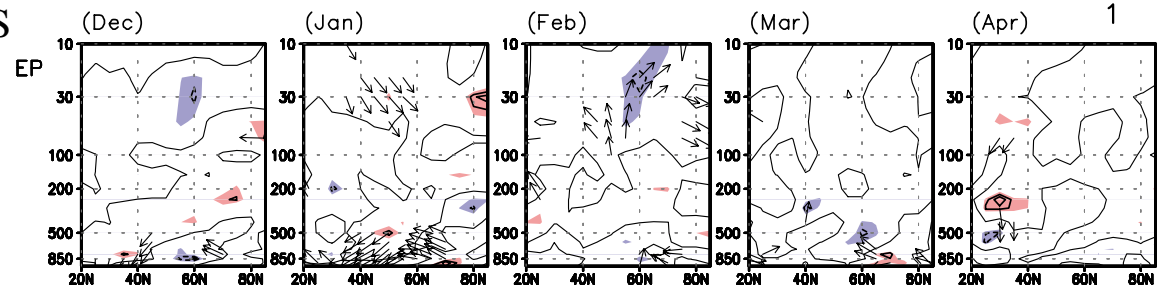
580HS



585



LS



590

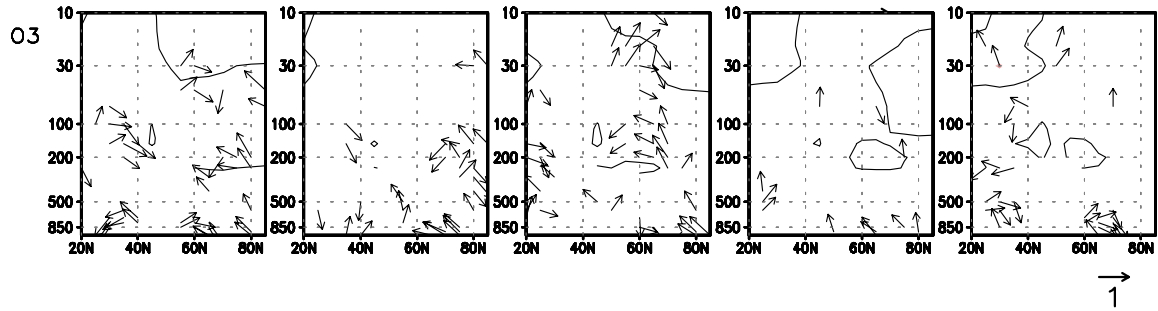


Figure 5

595

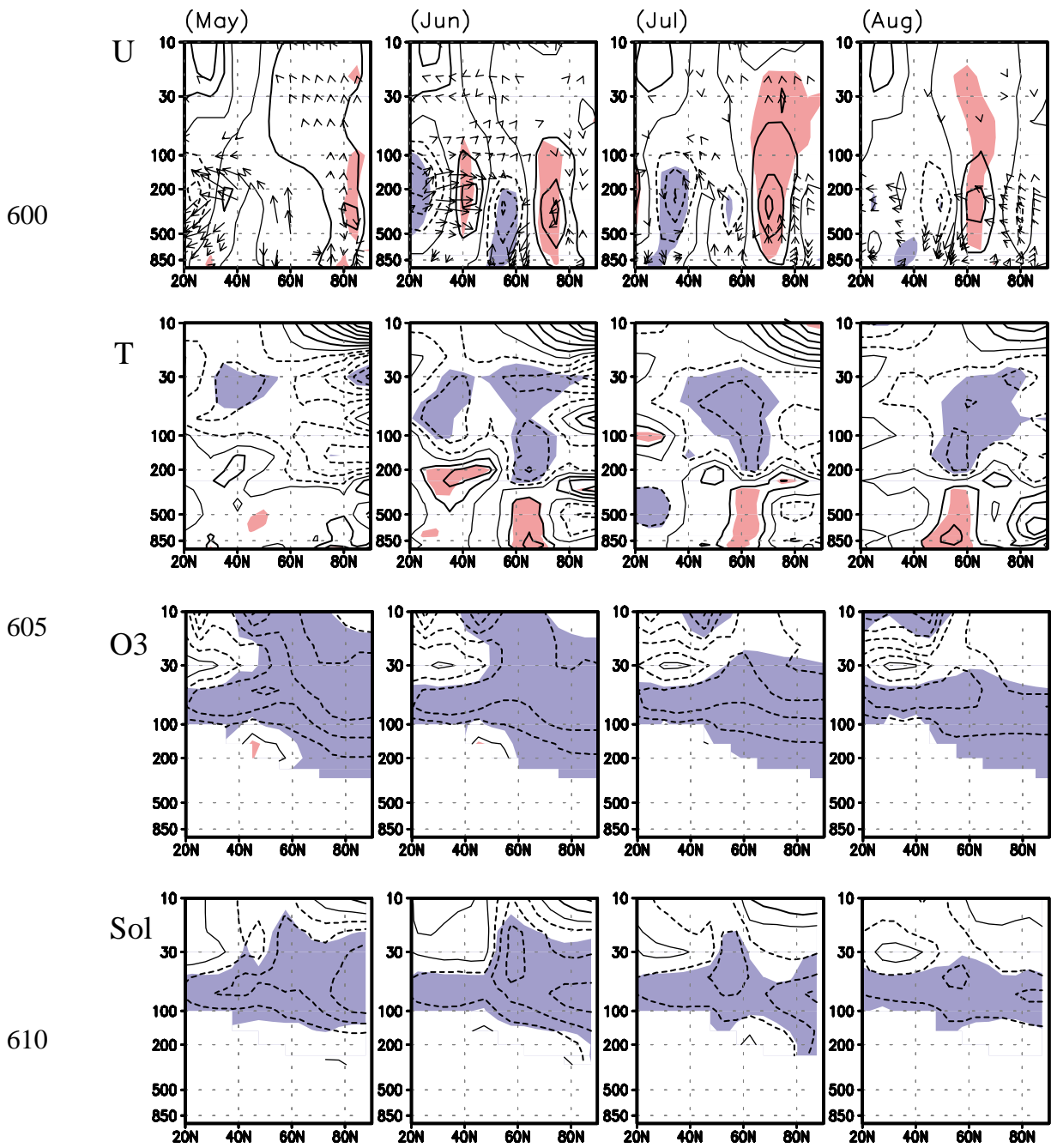


Figure 6

615

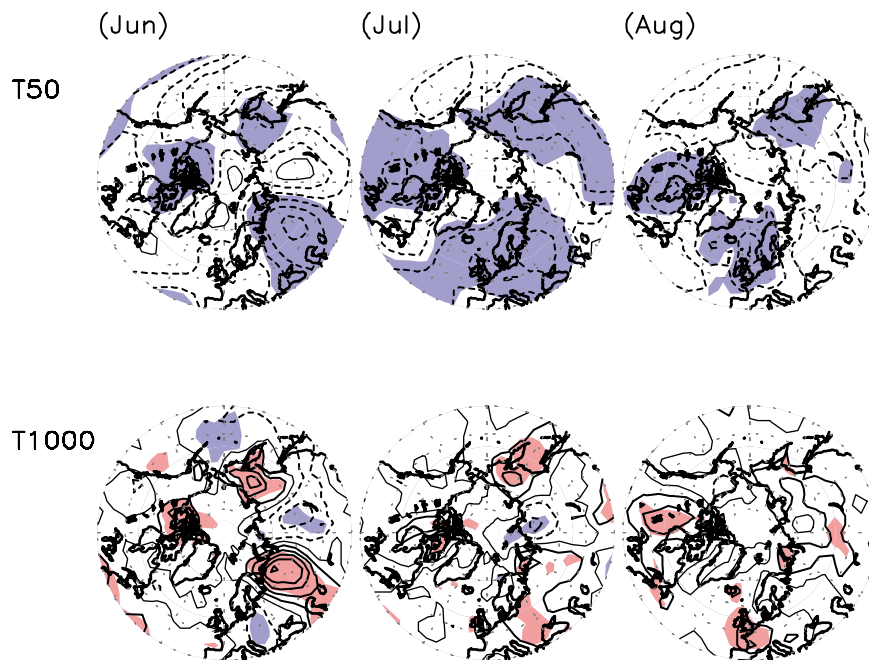


Figure 7

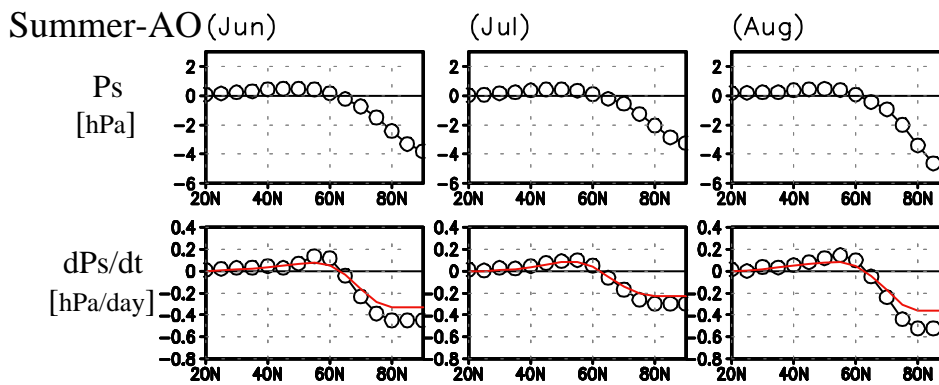
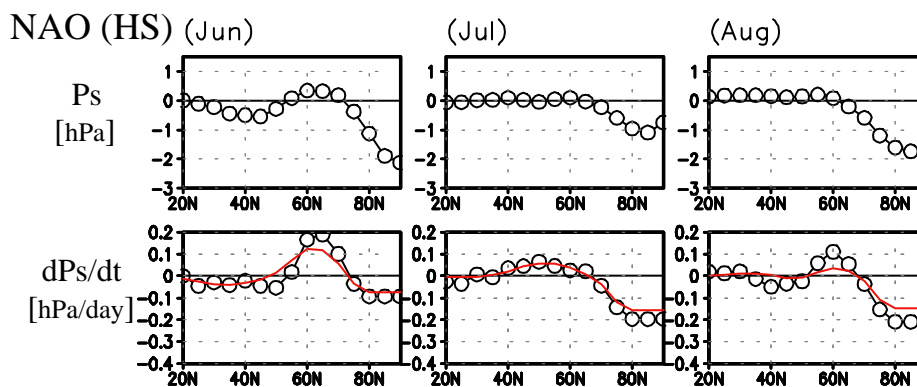
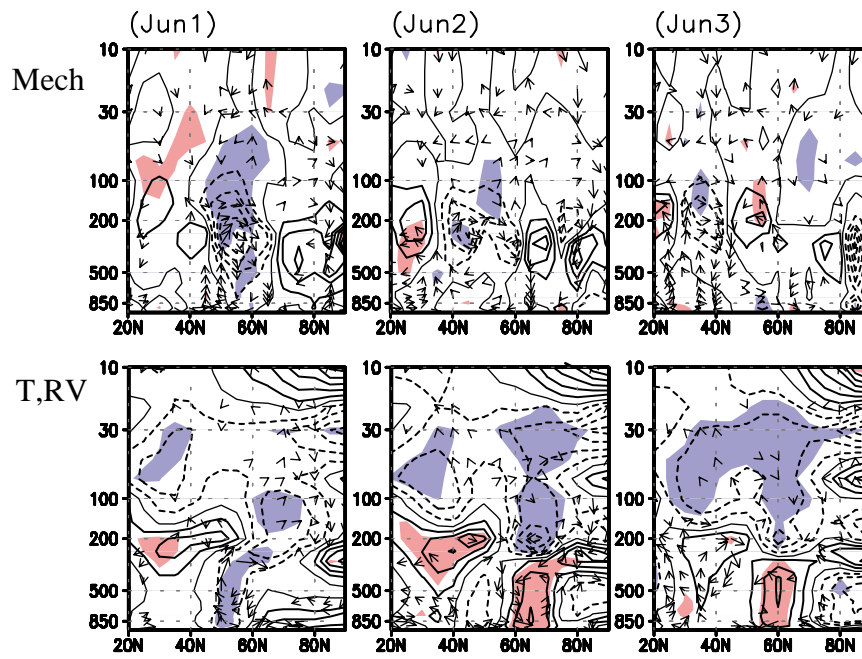


Figure 8

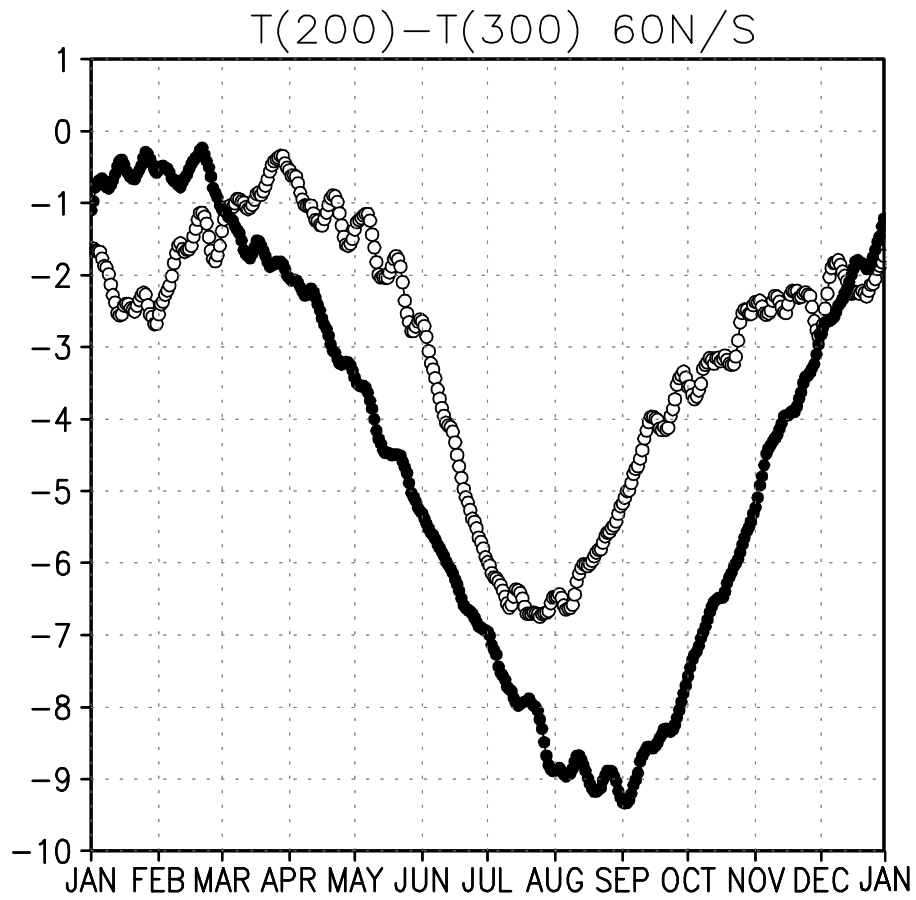
635



640

Figure 9

645

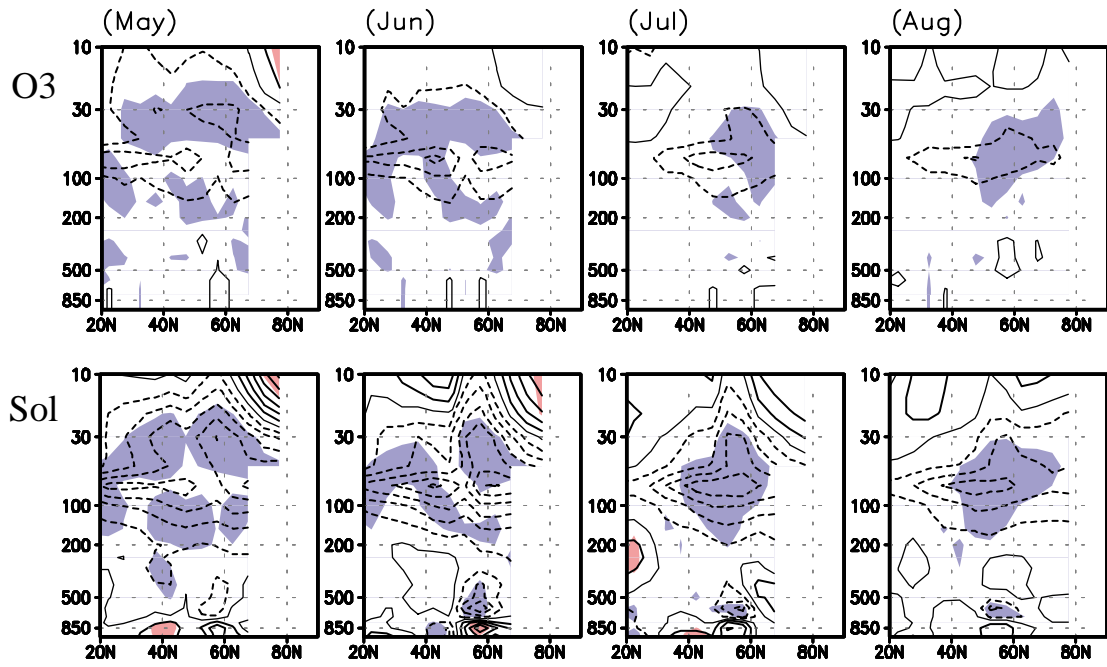


650

Figure 10



655



660

Figure 11