



Title	Thickness and production of sea ice in the Okhotsk Sea coastal polynyas from AMSR-E
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Citation	Journal of Geophysical Research : Oceans, 114, C10025 <a href="https://doi.org/10.1029/2008JC005222">https://doi.org/10.1029/2008JC005222</a>
Issue Date	2009-10-29
Doc URL	<a href="http://hdl.handle.net/2115/39843">http://hdl.handle.net/2115/39843</a>
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Type	article (author version)
File Information	JGRO114_C10025.pdf



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**<sub>1</sub> Thickness and production of sea ice in the Okhotsk  
<sub>2</sub> Sea coastal polynyas from AMSR-E**

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3 **Abstract.** From comparisons with thickness of sea ice from AVHRR and  
4 ice-profiling sonar data, we have developed an AMSR-E thin ice thickness  
5 algorithm for the Sea of Okhotsk. This algorithm can estimate ice thickness  
6 of  $\leq 0.2$  m without snow using the polarization ratio of AMSR-E brightness  
7 temperature at 36.5 GHz channel from a linear relationship with AVHRR  
8 ice thickness. When a snow cover exists on the thin ice surface, as occurred  
9 a few times in each winter, it is shown that the algorithm cannot detect the  
10 thin ice. Sea-ice and dense shelf water (DSW) production in coastal polynya  
11 are estimated based on heat flux calculation with the daily AMSR-E ice thick-  
12 ness for 3 winters (December–March) of 2002/2003–2004/2005. The ice pro-  
13 duction is largest in the northwest shelf (NWS) polynya which accounts for  
14  $\sim 45\%$  of the sum of ice production in major coastal polynyas. The ice pro-  
15 duction in major coastal polynyas would cover the maximum ice area of the  
16 Okhotsk Sea if the average ice thickness is assumed to be 1 m. Variability  
17 of the ice production is mainly modulated by air temperature. In the NWS  
18 polynya, which is the main DSW production area, the annual DSW forma-  
19 tion rate is estimated to be  $\sim 0.36$  Sv.

## 1. Introduction

20 The Sea of Okhotsk is the southernmost sea with a sizeable seasonal ice cover in the  
21 Northern Hemisphere (Figure 1a). The initial freezing occurs at the northwest shelf region  
22 (Figure 1b) from late November. The sea-ice cover becomes maximum ( $\sim 1.0 \times 10^6$  km<sup>2</sup>  
23 on average) from the end of February to the beginning of March, when about 50–90% of  
24 the sea is covered with ice. Sea ice finally reaches the coast of Hokkaido around 44°N.  
25 The sea ice melts away by June. The major reason of the southernmost seasonal ice  
26 zone is that very cold air is blown over the sea from Siberia during autumn and winter  
27 by a prevailing northerly wind. At Verkhoyansk (67°33'N, 133°23'E) and Oymyakon  
28 (63°15'N, 143°9'E) in the Sakha Republic, Siberia, Russia, the lowest air temperature in  
29 the Northern Hemisphere has been recorded, and this region is called as the Pole of Cold.  
30 Also from the climatology of air temperature at 2 m (Figure 1a), this region is shown to  
31 be very cold (air temperature  $< -35^\circ\text{C}$ ).

32 In the northern part of the Sea of Okhotsk, coastal polynyas are formed by divergent  
33 ice drift due to prevailing offshore wind [*Martin et al.*, 1998]. Since the heat insulation  
34 effect of sea ice is greatly reduced in thin ice area, turbulent heat flux to the atmosphere  
35 at the coastal polynya surface is possibly two orders of magnitude larger than that at the  
36 surrounding thicker ice surface [*Maykut*, 1978]. Therefore, it has been considered that sea  
37 ice is formed very actively in the Okhotsk coastal polynyas, especially in the northwest  
38 shelf (NWS) region (Figure 1b) where the very cold air comes from the Pole of Cold due  
39 to the prevailing northerly wind (see Figure 6b later).

40 In the NWS polynya, dense shelf water (DSW) is formed due to large amount of brine  
41 rejection associated with the high ice production [*Shcherbina et al.*, 2003]. The DSW is the  
42 densest water as what is formed at the surface of both the Sea of Okhotsk and the North  
43 Pacific [*Kitani*, 1973], and is thought to be main source for ventilation of the North Pacific  
44 Intermediate Water (NPIW) [*Talley*, 1991; *Warner et al.*, 1996]. The DSW modified in  
45 the Sea of Okhotsk passes through the Kuril islands and spreads into the intermediate  
46 layer of the North Pacific [*Martin et al.*, 1998; *Shcherbina et al.*, 2003]. Thus, it can be  
47 said that, in the North Pacific, the Sea of Okhotsk is the only area where the surface  
48 water exposed to the atmosphere can be carried to the intermediate layer (at depths of  
49 200–800 m) and that the DSW drives the overturning in the North Pacific. *Nakanowatari*  
50 *et al.* [2007] suggested that, during the past 50 years, warming and weakening of the  
51 overturning has occurred for the intermediate water in the northwestern North Pacific,  
52 originating from the Sea of Okhotsk. Therefore, to understand the climate system and  
53 the changes in the Sea of Okhotsk and the North Pacific regions, quantitative estimates  
54 of ice and DSW production in the Okhotsk coastal polynyas are considered to be very  
55 important.

56 *Martin et al.* [1998] detected both thin ice area and open water fraction by using  
57 brightness temperatures from Special Sensor Microwave Imager (SSM/I) with resolution  
58 of  $\sim 25$  km. Further, they estimated ice and DSW production in the Okhotsk coastal  
59 polynyas from heat flux calculation by assuming the ice thickness is uniformly 0.1 m.  
60 *Gladyshev et al.* [2000] estimated the DSW production by using similar methods as in  
61 *Martin et al.* [1998]. A sea-ice production map from heat flux calculation is shown in  
62 *Ohshima et al.* [2003], in which the sea-ice area was classified into 4 categories (open

63 water, new ice, young ice, and first-year ice) by using an ice type algorithm for SSM/I  
64 by *Kimura and Wakatsuchi* [1999] with a uniform ice thickness being assumed for each  
65 ice type. *Shcherbina et al.* [2004b] estimated DSW production in the NWS polynya from  
66 heat flux calculation by using ice types derived from SSM/I as in *Ohshima et al.* [2003].  
67 Since heat loss to the atmosphere is fairly sensitive to ice thickness, especially when it is  
68 very thin, heat flux calculation using thin ice thickness based on observation is important  
69 to estimate ice and DSW production in the coastal polynya quantitatively. However, the  
70 polynya detection algorithm and the assumed ice thickness used in the previous studies  
71 were not validated by observational data. Further, because the spatial scale of coastal  
72 polynya is not large, use of spatially high-resolution data is also important.

73 From comparisons between brightness temperatures obtained from passive microwave  
74 radiometer onboard a ship and sea-ice data from in-situ measurements, *Hwang et al.* [2007]  
75 showed that the polarization ratio of the brightness temperature at 37 GHz vertically and  
76 horizontally polarized channels (in their study,  $R_{37} = \frac{T_{B37V}}{T_{B37H}}$  was used following *Martin et*  
77 *al.* [2004]) is negatively correlated with thin ice thickness without snow. They also showed  
78 that this relationship is caused by the salinity of the ice surface, that is strongly correlated  
79 with the ice thickness. *Martin et al.* [2004] developed a thin ice thickness algorithm for  
80 SSM/I in the Chukchi Sea from comparison between the polarization ratio of the bright-  
81 ness temperature at 37 GHz channel ( $R_{37}$ ) and ice thickness estimated by Advanced Very  
82 High Resolution Radiometer (AVHRR) infrared data [*Drucker et al.*, 2003]. Further, they  
83 estimated ice production in the coastal polynyas from heat flux calculation by using the  
84 SSM/I ice thickness. Their ice thickness algorithm was applied to brightness temperatures  
85 obtained from the Advanced Microwave Scanning Radiometer for EOS (AMSR-E) with

86 finer spatial resolution of  $\sim 12.5$  km [Martin *et al.*, 2005]. Also in the Antarctic Ocean, an  
87 ice thickness algorithm for SSM/I was developed [Tamura *et al.*, 2007], and ice production  
88 in coastal polynyas was estimated from heat flux calculation with the algorithm [Tamura  
89 *et al.*, 2008].

90 In this study, a thin ice thickness algorithm for AMSR-E is newly developed in the  
91 Sea of Okhotsk from comparisons with ice thicknesses from AVHRR infrared data. The  
92 accuracy of the AVHRR thickness is confirmed from comparisons with ice thicknesses from  
93 ice-profiling sonar which are more reliable. By using the daily AMSR-E ice thickness, ice  
94 production in coastal polynyas is estimated quantitatively from heat flux calculation. We  
95 also estimate DSW production from the obtained ice production. Further, we investigate  
96 variability of the Okhotsk coastal polynya and examine which meteorological parameter  
97 controls the ice and DSW production.

## 2. Data

98 In this study, the AMSR-E/Aqua L2A Global Swath Spatially-Resampled Brightness  
99 Temperatures [Ashcroft and Wentz, 2003] were used to estimate ice thickness and ice con-  
100 centration. The number of satellite passes at a certain location per day is a function of  
101 latitude. AMSR-E can observe the Sea of Okhotsk ( $44^{\circ}\text{N}$ – $62^{\circ}\text{N}$ ) twice a day on an aver-  
102 age. For the ice thickness algorithm, brightness temperatures at 36.5 GHz vertically and  
103 horizontally polarized channels that have lower sensitivity to water vapor were used. The  
104 mean spatial resolution of those channels is  $\sim 12$  km. The enhanced NASA Team (NT2)  
105 algorithm [Markus and Cavalieri, 2000] was used for the ice concentration calculation.

106 For the development of the AMSR-E ice thickness algorithm, we used ice thickness  
107 estimated from clear-sky infrared data from Advanced Very High Resolution Radiometer

108 (AVHRR) channels 4 and 5 on the National Oceanic and Atmospheric Administration  
109 (NOAA) -12 and -16 satellites (*e.g.* Figure 2a), received at the Faculty of Fisheries  
110 Sciences, Hokkaido University (Hakodate, Hokkaido, Japan). The spatial resolution is  
111  $\sim 1.1$  km. In the image (Figure 2a), relatively smooth dark gray regions exist in the  
112 NWS region, coastal region of northeastern Sakhalin, and Terpenia Bay, surrounded by  
113 sea-ice cover shown by white or light gray. These dark gray regions correspond to coastal  
114 polynyas.

115 Ice draft measured by an ice-profiling sonar (IPS; ASL Environmental Sciences IPS4  
116 420 kHz) in the coastal region of northeastern Sakhalin ( $52^{\circ}43'$  N,  $143^{\circ}34'$  E; triangle in  
117 Figure 2a) was used to validate the AVHRR thickness. The IPS was moored from 27  
118 December 2002 to 13 June 2003 at depths of  $\sim 24$  m. The sampling interval was 1 second.  
119 Values are typically accurate within  $\pm 0.05$  m. The detail is described in *Fukamachi et al.*  
120 (in press).

121 For air temperatures at 2 m, dew point temperatures at 2 m, wind at 10 m, and sur-  
122 face sea level pressures (SLP), we used the twice daily (0000 UT and 1200 UT) data of  
123 European Centre for the Medium Range Weather Forecasts (ECMWF) with a spatial res-  
124 olution of  $2.5^{\circ} \times 2.5^{\circ}$ . We also used geostrophic wind derived from the SLP. In this study,  
125 a relationship between the polynya area and wind is examined by using the geostrophic  
126 wind because ice advection is approximately parallel to the geostrophic wind [*Thorndike*  
127 *and Colony*, 1982]. The wind at 10 m is used for heat flux calculation. For cloud cover,  
128 we used the monthly averaged International Satellite Cloud Climatology Project (ISCCP)  
129 D2 data with resolution of  $2.5^{\circ} \times 2.5^{\circ}$ . For the heat flux calculations, these meteorolog-  
130 ical data were interpolated onto data points of AVHRR and AMSR-E L2A and polar

131 stereographic grid points with a Gaussian weighting function. The meteorological vari-  
132 ables tend to have large gradients near the boundary between land and ocean. Thus, the  
133 weight function of the land points is reduced to be one-fifth for the interpolations of the  
134 air temperatures at 2 m, dew point temperatures at 2 m, and wind at 10 m following  
135 *Ohshima et al.* [2003]. The ECMWF wind speed was corrected by a factor of 1.25 and  
136 the ISCCP cloud cover is corrected by subtracting 0.08 following *Ohshima et al.* [2003].  
137 We also used daily snow depth observed by a weather station at Ayan (Figure 1b).

### 3. AMSR-E Thin Ice Thickness Algorithm

138 In this study, a thin ice thickness algorithm is developed from a comparison between  
139 the polarization ratio of AMSR-E brightness temperature at 36.5 GHz vertically and  
140 horizontally polarized channels and ice thickness estimated using AVHRR infrared data.  
141 The method is similar to that of *Tamura et al.* [2007] conducted in the Antarctic using  
142 SSM/I data.

143 The AVHRR ice thickness is estimated following *Yu and Rothrock* [1996]. Their method  
144 is applicable to sea ice with thickness of  $<0.5$  m. At first, ice surface temperature is  
145 calculated using AVHRR channel-4 and -5 data with the empirical equation proposed by  
146 *Key et al.* [1997]. Cases that are free from cloud and ice fog are manually chosen through  
147 a visual inspection of AVHRR channel-4 images. With this ice surface temperature, heat  
148 fluxes are calculated using the bulk and empirical formulae that are suitable for the Sea  
149 of Okhotsk [*Ohshima et al.*, 2003]. Ice bottom temperature is assumed to be the freezing  
150 point. For the heat flux calculation, 24-hour average of the twice daily ECMWF surface  
151 data is used as atmospheric input. The averaging is done by using the data closest to  
152 the time when the AVHRR data was acquired and the data at the previous time step (12

153 hours before). Ice thickness is estimated from conductive heat flux in ice by assuming that  
154 it balances with the heat flux between ice and atmosphere (*i.e.* heat budget at the ice  
155 surface is 0). To avoid the ambiguity caused by shortwave radiation absorption into the ice  
156 interior [*Grenfell and Maykut, 1977*], we choose AVHRR data obtained before sunrise. We  
157 assume that the heat flux between ice and atmosphere is a sum of net longwave radiation  
158 and turbulent heat flux. The similar ice thickness estimations using AVHRR data were  
159 made in the Beaufort Sea, Greenland Sea, Bering Sea, and off East Antarctica [*Yu and*  
160 *Rothrock, 1996; Drucker et al., 2003; Tamura et al., 2006*], and these studies showed that  
161 the method can estimate ice thickness within the error of  $\pm 0.05$  m from comparisons  
162 with in-situ observed ice thickness. Figure 2b shows the AVHRR ice thickness. In the  
163 regions which are expected to be coastal polynyas in Figure 2a, ice thickness increases  
164 monotonically from the coast.

165 Ice draft measured by the IPS in the coastal region of northeastern Sakhalin (triangle  
166 in Figure 2a) is used to validate the AVHRR ice thickness. For the comparison, the  
167 IPS ice draft is converted to ice thickness by assuming water density of  $1026.7 \text{ kg m}^{-3}$ ,  
168 ice density of  $920 \text{ kg m}^{-3}$ , and no snow. The IPS ice thickness is averaged for 2 hours  
169 before and after acquisition of the AVHRR data ( $\overline{h_I}$ ). The average of the AVHRR ice  
170 thickness of a pixel closest to the IPS site and the surrounding 8 pixels ( $\overline{h_A}$ ) is used for  
171 the comparison. If we assume a typical ice drift of  $0.5 \text{ m s}^{-1}$ , migration scale with 2  
172 hours becomes 3.6 km, which roughly corresponds to spatial scale of  $\overline{h_A}$  (3 pixels). The  
173 12 cases of ice thickness are estimated by using clear-sky AVHRR images. In Figure 3,  
174 the 10 cases are plotted because the other 2 cases exceed the maximum thickness of the  
175 method (0.5 m). Although the number of data is limited,  $\overline{h_A}$  is correlated with  $\overline{h_I}$  with a

176 correlation coefficient of 0.75 (Figure 3). The root mean square deviation is  $\sim 0.01$  m, and  
 177 the regression line is represented by  $\overline{h_A} = 1.10\overline{h_I} + 0.00$ . These suggest that the method  
 178 to estimate ice thickness from AVHRR infrared data is valid to some extent in the Sea of  
 179 Okhotsk.

180 For comparing the AVHRR data with the AMSR-E data, the AVHRR  $\sim 1.1$  km gridded  
 181 thermal ice thickness are mapped onto data points of AMSR-E L2A data. There are  
 182 several tens of AVHRR pixels within a footprint of the AMSR-E data ( $\sim 14$  km  $\times$  8 km).  
 183 We use the hypothetical thermal ice thickness for which the calculated total heat flux  
 184 from AVHRR data would be realized under the assumption of uniform ice thickness in the  
 185 AMSR-E footprint, not the arithmetic average of the AVHRR thickness. This "thermal  
 186 ice thickness" is suitable for heat loss calculation because the variability of surface fluxes  
 187 is nonlinear with respect to that of ice thickness. Figure 4a shows the AVHRR thermal  
 188 ice thickness ( $h_A$ ) which is mapped onto data points of AMSR-E L2A.

189 Figure 4b shows spatial distribution of the polarization ratio of AMSR-E brightness  
 190 temperature at 36.5 GHz channel ( $PR_{36} = (T_{B36V} - T_{B36H}) / (T_{B36V} + T_{B36H})$ ). The  $PR_{36}$   
 191 is transformed from  $R_{36}$  through the following equation:  $PR_{36} = (R_{36} - 1) / (R_{36} + 1)$ .  
 192 The  $PR_{36}$  value is high at thin ice thickness ( $\leq 0.2$  m) region. For the development of  
 193 the AMSR-E ice thickness algorithm, the comparison between  $PR_{36}$  and the AVHRR  
 194 thermal ice thickness is made for 3 boxes (northwest shelf region: NWS, coastal region  
 195 of northeastern Sakhalin: SAK, and Terpenia Bay: TER) shown in Figure 4, based on  
 196 clear-sky AVHRR infrared data of 35 cases obtained from 3 January to 18 March of 2003–  
 197 2005. The numbers of the AVHRR data used in NWS, SAK, and TER are 17, 10, and  
 198 8, respectively. To see relationship clearly, the comparison is made at data points in

199 which the AVHRR ice thicknesses are nearly uniform within a footprint of AMSR-E L2A  
 200 data ( $\geq 80\%$  of the AVHRR ice thicknesses are within  $\pm 0.05$  m of the averaged value in  
 201 a footprint of AMSR-E L2A). With this criterion, the coastal polynya region is clearly  
 202 detected because the polynya region has relatively uniform ice thickness (Figure 2b).

203 Figure 5 is a scatterplot of  $PR_{36}$  versus  $h_A$  (hereafter  $PR_{36}-h_A$  plot) based on all of  
 204 the 35 clear-sky AVHRR infrared images.  $PR_{36}$  is negatively correlated with  $h_A$ .  $PR_{36}$   
 205 is not sensitive to  $h_A$  when  $h_A$  is  $> 0.3$  m. An exponential relationship between  $PR_{36}$  and  
 206 the AVHRR thickness is shown in the Chukchi Sea [Martin *et al.*, 2004]. However, the  
 207  $PR_{36}-h_A$  plot in the Sea of Okhotsk seems to be a nearly linear relationship for  $h_A < 0.3$   
 208 m.  $PR_{36}-h_A$  plots based on each AVHRR infrared image shows a more linear relationship  
 209 (not shown here).  $PR-h_A$  plots in the Antarctic Ocean based on the SSM/I brightness  
 210 temperatures at 37 GHz and 85GHz channels also show the similar linear relationship  
 211 [Tamura *et al.*, 2007]. In this study, an equation for thin ice thickness is obtained using  
 212 all data for thickness of  $\leq 0.3$  m in the 3 analysis areas. The slope of a line obtained  
 213 from least square fitting (dotted line in Figure 5) would be biased to a smaller value,  
 214 because  $h_A$  of  $< 0$  m does not exist and  $h_A$  of  $> 0.3$  m is not used while there are no  
 215 restrictions on the  $PR_{36}$  value. Particularly, the line does not represent the  $PR_{36}-h_A$  plot  
 216 around  $h_A$  of  $< 0.05$  m, which is a very important range for the algorithm and estimation  
 217 of ice production. Therefore, an equation for thin ice thickness is obtained based on a  
 218 principal component analysis which gives the line along which the projected data points  
 219 have maximum variance. The equation of the line is:

$$h_i = -3.78PR_{36} + 0.50, \quad (1)$$

220 where  $h_i$  is ice thickness in meters. The correlation coefficient between  $h_A$  and  $PR_{36}$  is  
221  $-0.67$ . The root mean square deviation between the ice thicknesses from equation (1) and  
222 from AVHRR data, which is calculated in order to show error bars in Figure 5, is  $\sim 0.05$   
223 m when  $h_i$  is  $\leq 0.2$  m, while it increases to  $0.07$ – $0.09$  m when  $h_i$  is  $0.2$ – $0.3$  m, because the  
224  $PR_{36}$  value becomes insensitive to the ice thickness. In this study, ice thickness of  $\leq 0.2$  m  
225 is estimated from equation (1). The ice thickness is set to be  $0.01$  m when it is estimated  
226 to be  $< 0.01$  m. In the following, we treat ice area with thickness of  $\leq 0.2$  m as the coastal  
227 polynya. In the NWS region, *Ohshima et al.* [2003] showed that the surface heat loss over  
228 thin ice of  $0.2$  m is about 6 times larger than that over thick ice of  $0.8$  m with snow of  
229  $0.16$  m (Table 1 in their study); this suggests that the heat loss in the thicker ice area is  
230 not so important.

231 Figure 2d shows daily mean thin ice thickness derived from AMSR-E using the equation  
232 (1). To reduce the effect of land contamination, if ice thickness at a grid point adjacent to  
233 land points is thicker than those at the surrounding grid points of the other side against  
234 the land point, it is substituted with the thinnest thickness among the surrounding grid  
235 points. Thin ice areas corresponding to coastal polynyas are clearly identified in the  
236 NWS, SAK, and TER regions. The areas and thicknesses correspond well with those of  
237 the AVHRR in the polynya areas (Figures 2b and 2d). At the ice edges, ice thickness is  
238 possibly underestimated due to contamination of an open water fraction whose brightness  
239 temperature is close to that of thin ice. In coastal polynyas, because sea ice is advected  
240 offshore simultaneously with freezing due to strong prevailing offshore wind, open water  
241 may exist in the area adjacent to the coast. However, ice surface temperatures estimated  
242 from the AVHRR infrared data having much finer resolution ( $\sim 1.1$  km) than AMSR-

243 E show that all pixels in the coastal polynyas are colder than the freezing point. This  
 244 indicates that the open water area is very small and the coastal polynya is almost covered  
 245 with thin ice under the spatial resolution of AMSR-E ( $\sim 12.5$  km). We consider that the  
 246 effect of the open water contamination on the thin ice algorithm is negligible for coastal  
 247 polynyas. It is found from Figures 2c and 2d that the NT2 ice concentration algorithm  
 248 tends to underestimate the concentration in the coastal polynya (new ice) regions, as  
 249 shown in *Cavalieri et al.* [2006].

#### 4. Ice Production and Dense Water Formation

250 In this section, ice and dense shelf water (DSW) production in the Okhotsk coastal  
 251 polynyas is estimated from daily heat loss to the atmosphere ( $H$ ).  $H$  is obtained from  
 252 heat flux calculation using daily thin ice thickness derived from AMSR-E (*e.g.* Figure 2d).  
 253 The procedure of the heat flux calculation is similar to that in the calculation of AVHRR  
 254 ice thickness, except for inclusion of shortwave radiation. The analyses are made during  
 255 3 winters of 2002/2003–2004/2005.

256 Ice production rate per unit area ( $V_i$ ) is estimated by assuming that all of  $H$  is used for  
 257 sea-ice formation, and is given by

$$V_i = \frac{H}{\rho_i L_f}, \quad (2)$$

258 where  $\rho_i$  ( $= 920$  kg m $^{-3}$ ) is the density of ice and  $L_f$  ( $= 0.234$  MJ kg $^{-1}$ ) is the latent heat  
 259 of fusion for ice. Oceanic heat flux due to the circulation and eddy mixing is assumed to be  
 260 negligible. Water temperature is expected to be close to the freezing point over the entire  
 261 water column because the coastal polynyas in the Sea of Okhotsk exist on the shallow

262 shelf ( $\leq 200$  m). Also data from the bottom moorings in the northwest shelf region, winter  
263 water temperature at the bottom layer was shown to be very close to the freezing point  
264 [*Shcherbina et al.*, 2003].

265 Figure 6a shows spatial distribution of cumulative ice production per unit area during  
266 winter (December–March), averaged from 2002/2003 to 2004/2005. The ice production is  
267 high in the NWS region, northern shelf (NS) region, and Gizhiga Bay (GIZ). The highest  
268 ice production ( $>10$  m per winter) is shown in the narrow ( $\sim 25$  km) area along the coast  
269 of the NWS region.

270 Figure 6b shows air temperature at 2 m, sea level pressure (SLP), and geostrophic  
271 wind derived from the SLP, averaged over the 3 winters (December–March). The air  
272 temperature north of the NWS region, corresponding to the Pole of Cold, was shown to  
273 be  $< -36^{\circ}\text{C}$ . In the NWS region, prevailing northerly-northeasterly wind with its speed  
274 of  $>20$  m s $^{-1}$  blows from this very cold region.

275 Table 1 summarizes the total heat loss and the cumulative ice production during the  
276 freezing period (December–March) in major coastal polynyas. The grouping of the anal-  
277 ysis areas (Figure 1b) mostly follow *Martin et al.* [1998]. The ice production in the NWS  
278 polynya accounts for  $\sim 45\%$  of the total ice production in major coastal polynyas (TOTAL  
279 column in Table 1). The sum of the ice production in the NWS and NS polynyas reaches  
280  $\sim 65\%$  of the total. Interannual variability of the total ice production in the major coastal  
281 polynyas is small during the 3 winters (Table 1).

282 From AMSR-E ice concentrations, the average of maximum ice area in the 3 winters is  
283  $\sim 1.0 \times 10^6$  km $^2$ . If the ice thickness averaged over the sea-ice area in the Sea of Okhotsk  
284 is simply assumed to be 1 m, the maximum ice volume would be  $\sim 10.0 \times 10^{11}$  m $^3$ .

285 This ice volume is comparable to the total ice production in the major coastal polynyas  
 286 ( $\sim 10.4 \times 10^{11} \text{ m}^3$  in Table 1).

287 Summary of monthly cumulative ice production averaged over the 3 winters (Table  
 288 2) shows that ice production in the NWS polynya is largest in December and gradually  
 289 decreases toward March. This is because ice thickness increases from the offshore, and  
 290 thus the polynya (thin ice) area becomes smaller (see Figure 7 later). The ice production  
 291 decreases considerably in March because of rapid increase of air temperature. Other  
 292 analysis areas also show similar decrease of ice production toward March although the  
 293 maximum is not always in December because the start of ice advance is different from  
 294 area to area.

295 Previous studies showed that the major dense shelf water (DSW) formation occurs in  
 296 the NWS polynya [*e.g. Gladyshev et al., 2000*]. We also estimate the DSW production  
 297 in the NWS polynya, where the ice production was shown to be by far the largest (Table  
 298 1). Referring the observation by *Shcherbina et al. [2004a]*, the constant water density  
 299 and salinity before (after) density enrichment are assumed to be  $\rho_0 = 1026.25 \text{ kg m}^{-3}$   
 300 ( $\rho_b = 1026.9 \text{ kg m}^{-3}$ ) and  $s_0 = 32.6 \text{ psu}$  ( $s_b = 33.4 \text{ psu}$ ), respectively. Dense water volume  
 301 production rate per unit area ( $V_{DSW}$ ) is obtained from salt flux due to brine rejection  
 302 ( $S_F$ ) as follows:

$$V_{DSW} = \frac{S_F}{(\rho_b s_b - \rho_0 s_0) 10^{-3}}. \quad (3)$$

303  $S_F$  is given by

$$S_F = \rho_i V_i (s_0 - s_i) 10^{-3}, \quad (4)$$

304 where  $s_i$  is the ice salinity and assumed to be constant ( $s_i = 0.31s_0$ ) following *Cavalieri*  
305 *and Martin* [1994].  $V_i$  is given from equation (2). From ice production in the NWS  
306 polynya, the volume of DSW production averaged for the 3 winters is estimated to be  
307  $\sim 11.4 \times 10^{12} \text{ m}^3$ . This corresponds to annual DSW formation rate of  $\sim 0.36 \text{ Sv}$ .

308 In the Sea of Okhotsk, the AMSR-E ice thickness algorithm of this study is the first  
309 one which is developed from a comparison with the AVHRR thickness validated by the  
310 IPS draft data. Further, because the spatial resolution of AMSR-E ( $\sim 12.5 \text{ km}$ ) is about  
311 two times finer than that of SSM/I ( $\sim 25 \text{ km}$ ) which has been used in previous studies,  
312 the AMSR-E thin ice thickness can better resolve the high ice production area close  
313 to the coast. Therefore, the ice and DSW production in the Okhotsk coastal polynyas  
314 estimated in this study is thought to be more reliable than that of previous studies,  
315 although comparison between the previous studies and this study should be done carefully  
316 because their analysis years are different. *Martin et al.* [1998] estimated the sum of the  
317 ice production for 65 days from 1 January in the NWS polynya to be  $\sim 1.0 \times 10^{11} \text{ m}^3$   
318 (Table 4 in their study), while it is  $\sim 2.6 \times 10^{11} \text{ m}^3$  in this study. *Ohshima et al.* [2003]  
319 showed that maximum ice production is  $\sim 5 \text{ m}$  per winter from their ice production map  
320 (Figure 13 in their study), while it is  $\sim 10 \text{ m}$  per winter in this study (Figure 6a). We  
321 also estimated ice production for the same period of this study (2002/2003–2004/2005)  
322 using the similar method as in *Ohshima et al.* [2003] in which the ice type algorithm for  
323 SSM/I [*Kimura and Wakatsuchi*, 1999] is used. The ice production map showed that the  
324 maximum ice production in Figure 6a ( $\sim 10 \text{ m}$  per winter) is reduced by half (not shown  
325 here). *Kimura and Wakatsuchi* [2004] estimated ice production in the NWS and NS  
326 regions to be  $8.7 \times 10^{11} \text{ m}^2$  from divergent ice motion derived from SSM/I. This areal ice

327 production corresponds to the ice production of  $1.74 \times 10^{11} \text{ m}^3$  with an ice thickness of 0.2  
328 m. In this study, the ice production in these regions is  $6.74 \times 10^{11} \text{ m}^3$  (Table 1). Similarly,  
329 the annual DSW formation rate in the NWS polynya estimated from heat flux calculation  
330 in the previous studies are smaller than our estimation of 0.36 Sv. Values estimated by  
331 *Martin et al.* [1998], *Gladyshev et al.* [2000], and *Shcherbina et al.* [2004b] are 0.08–0.23  
332 Sv, 0.13–0.34 Sv, and 0.27 Sv, respectively. *Shcherbina et al.* [2004b] also estimated the  
333 DSW formation rate to be 0.30 Sv based on the in-situ observation. The differences in the  
334 ice production and the DSW formation estimates are considered to be mainly caused by  
335 the difference in the spatial resolution between AMSR-E and SSM/I because ice thickness  
336 is thin (Figures 2b and 2d) and ice production is high (Figure 6a) near the coast. Similar  
337 difference in ice production due to the spatial resolution between AMSR-E and SSM/I is  
338 shown also in the Chukchi Sea polynyas [*Martin et al.*, 2005]. Further, the SSM/I (ice  
339 type) algorithm used in the previous studies was not validated by observations unlike our  
340 study. In the previous studies, the thin ice thickness is arbitrarily assumed. This tends  
341 to overestimate the thickness. Also, the difference in the algorithm is expected to be the  
342 other reason for the difference in the ice production and DSW formation estimates.

343 Finally, sensitivities of the heat loss (ice production) to errors in atmospheric input and  
344 in ice thickness estimation from AMSR-E are examined. *Ohshima et al.* [2003] estimated  
345 the sensitivities to errors in atmospheric input by assuming that the errors are the sum  
346 of bias and root mean square deviation between ECMWF/ISCCP data set and in-situ  
347 observed COADS data. In this study, we used the error values estimated by *Ohshima*  
348 *et al.* [2003] for our sensitivity analysis. Specifically, changing air temperature, dew  
349 point temperature, wind speed, and cloud factor by  $\pm 1.2^\circ\text{C}$ ,  $\pm 1.0^\circ\text{C}$ ,  $\pm 14\%$ , and  $\pm 16\%$ ,

350 respectively, the heat loss (ice production) is re-estimated. Further, the sensitivity to  
351 errors in the AMSR-E ice thickness is also examined by changing the thickness by  $\pm 0.05$   
352 m, based on root mean square deviation between the ice thickness from equation (1) and  
353 from AVHRR data (Figure 5). Table 3 summarizes the sensitivity analyses, showing that  
354 the heat loss (ice production) is the most sensitive to the error in ice thickness.

## 5. Intraseasonal variability of the NWS polynya

### 5.1. Relationships with meteorological parameters

355 In this section, we investigate the intraseasonal variability of the NWS polynya, where  
356 the ice production was shown to be by far largest, and examine which meteorological  
357 parameter mainly controls the heat loss to the atmosphere (ice and DSW production)  
358 in the polynya by showing the relationships among the heat loss and the meteorological  
359 parameters. Figure 7 shows daily time series of heat loss to the atmosphere integrated  
360 over thin ice (polynya) area (ice thickness  $\leq 0.2$  m), air temperature at 2 m, thin ice area,  
361 ice-covered area (ice concentration  $\geq 15$  %), increase in snow depth, and geostrophic wind  
362 in the NWS region during the 3 winters (December–March) of 2002/2003–2004/2005.  
363 The heat loss in the coastal polynya is negatively correlated with the air temperature, as  
364 expected (top panels). The analysis area in the NWS region is mostly covered by ice from  
365 January to March (second row of panels). From scatterplots from daily data for 2003–  
366 2005 winters (January–March), the heat loss in the coastal polynya is positively correlated  
367 with the thin ice (polynya) area with a high correlation coefficient of 0.91 (Figure 8a) and  
368 is negatively correlated with the air temperature which mainly controls sensible heat flux  
369 with a correlation coefficient of  $-0.76$  (Figure 8b). On the other hand, wind speed at

370 10 m, which is one of the important factors controlling the turbulent heat flux, does not  
371 show clear relationship with the heat loss (Figure 8c).

372 The prevailing winds or oceanic currents that cause divergent ice motion is the main  
373 cause for enlargement of coastal polynya. In the NWS region, *Martin et al.* [1998] showed  
374 that interannual variability of the polynya area is determined by the offshore component  
375 of the wind. Daily time series of geostrophic wind vector shows that northerly wind is  
376 dominant during winter in the NWS region (bottom panels in Figure 7). The thin ice  
377 (polynya) area tends to be large when offshore component of the geostrophic wind is  
378 large (second row of panels from the top in Figure 7). A scatterplot shows that offshore  
379 component of the geostrophic wind is positively correlated with the thin ice (polynya)  
380 area with a correlation coefficient of 0.54 (Figure 9a). Since heat loss to the atmosphere  
381 in coastal polynya depends on the polynya area (Figure 8a), the offshore component of  
382 the geostrophic wind is also positively correlated with the heat loss with a correlation  
383 coefficient of 0.51 (Figure 9b). These comparisons with the offshore wind (Figure 9) were  
384 made by advancing the wind data by 1 day because a correlation coefficient is highest at  
385 1-day lag from a lag correlation analysis (not shown here).

386 The results of this study indicate that the heat loss to the atmosphere in coastal polynya  
387 is mainly modulated by the air temperature at 2 m and the offshore component of the  
388 geostrophic wind (Figures 8b and 9b). We carry out a multiple linear regression anal-  
389 ysis using daily data for the 3 winters (January–March) to show which meteorological  
390 parameter contributes more to the heat loss. In the analysis, the heat loss is treated as  
391 dependent variable, and the air temperature and the offshore wind as explanation vari-  
392 ables. The multiple regression explains 62% of the variance. The standardized partial

393 regression coefficients of the air temperature and the wind are  $-0.65$  and  $0.25$ , respec-  
394 tively. These coefficients are significant at 99.5%. The results indicate that variability of  
395 heat loss is best correlated with that of the air temperature.

## 5.2. Rapid reduction of polynya area, and its relationship with snow cover

396 For the 3 winters, the polynya area occasionally reduced rapidly (second row of panels  
397 from the top in Figure 7). In the following, we examine the causes of the rapid polynya  
398 closure in the case of 20–21 January 2003 as an example. Left panels in Figure 10 show  
399 timeseries of AMSR-E ice thickness map in the NWS region from 20 to 21 January 2003.  
400 The map at 17:02 UT on 20 January shows that the ice thickness east of the coastal  
401 polynya became thick (ice thickness  $>0.2$  m), when compared to the map at 3:01 UT of  
402 that day. Only  $\sim 9$  hours later (2:05 UT on 21 January), the thick ice region advanced  
403 by  $>100$  km to the west, and the polynya area almost disappeared, except for the region  
404 very close to the coast. Right panels in Figure 10 show timeseries of daily sea level  
405 pressure, geostrophic wind, and air temperature at 2 m from 19 to 21 January 2003. A  
406 low pressure system east of the Kamchatka Peninsula moved into the Sea of Okhotsk  
407 during this period. Subsequently, the wind in the northern part of the Sea of Okhotsk  
408 was changed from northerly to easterly from the eastern side. Relatively warm air was  
409 advected from the Pacific Ocean due to this wind direction change.

410 The polynya area retreated by  $>100$  km in  $\sim 9$  hours (left panels in Figure 10). If the ice  
411 drift speed is assumed to be 2% of the geostrophic wind [*Kimura and Wakatsuchi, 1999*],  
412 sea ice is advected by only  $\sim 13$  km by the wind drift because the wind speed is at most  
413  $20 \text{ m s}^{-1}$ . This indicates that the rapid polynya closure cannot be explained only from  
414 the wind drift of the offshore thick ice. Thin ice which covers the coastal polynya (*e.g.*

415 nilas or pancake ice) can be piled up easily. It was shown that the process of piling up of  
416 thin ice is important for ice growth in the southern part of the Sea of Okhotsk [*Toyota et*  
417 *al.*, 2004; *Fukamachi et al.*, 2006]. However, this effect is also thought to be not enough  
418 to explain the rapid polynya closure because of the small ice advection by wind.

419 From the heat budget analysis with meteorological conditions averaged over this period  
420 in the NWS region, it is shown that thin ice whose thickness is  $\sim 0.05$  m grows locally by  
421  $\sim 0.08$  m day<sup>-1</sup>, and thus the ice thickness cannot exceed 0.2 m within 9 hours. Therefore,  
422 the rapid polynya closure cannot be explained by the local thermal balance solely.

423 Third row of panels from the top in Figure 7 show daily increase in snow depth at Ayan  
424 (Figures 1b and 10) adjacent to the NWS polynya. The snow depth increased by  $\sim 0.15$  m  
425 for 4 days from 21 January 2003. This is the maximum increase in the snow depth during  
426 winter of the 2002/2003 season. In the NWS polynya region, since northerly wind from  
427 the continent is dominant, the advected air is very cold and dry. However, during the  
428 period of this rapid polynya closure, snowfall may have been brought to the polynya area  
429 by relatively warm and humid air that was advected from the open ocean (the Pacific  
430 Ocean) due to the change in wind directions associated with the moving low pressure  
431 system. If this is the case, information through microwave from the thin ice surface would  
432 be hidden by the snow cover and the AMSR-E ice thickness algorithm would estimate the  
433 ice as thicker ice ( $>0.2$  m) because the  $PR_{36}$  is shifted to the lower value [*Hwang et al.*,  
434 2007]. We conclude that the apparent rapid polynya closure is an artifact of the AMSR-E  
435 algorithm not being able to detect thin ice under the snow cover.

436 Other rapid polynya area reductions occurred on 6 February 2003, 21 January 2004,  
437 10 March 2004, 26 March 2004, 8 January 2005, 27 January 2005, and 22 March 2005

438 (second row of panels from the top in Figure 7). Most of these cases show that the ice  
439 thickness increased rapidly from offshore as in the case of 20–21 January 2003 (left panels  
440 in Figure 10) and that the snow depth at Ayan increased (third row of panels from the  
441 top in Figure 7). We consider that these reductions are also apparent ones owing to that  
442 the AMSR-E algorithm cannot detect thin ice due to a snow cover on ice.

## 6. Summary and Discussion

443 A thin ice thickness algorithm for AMSR-E was newly developed in the Sea of Okhotsk  
444 base on a comparison between the polarization ratio of AMSR-E brightness temperature  
445 at 36.5 GHz vertically and horizontally polarized channels ( $PR_{36}$ ), and ice thickness es-  
446 timated using AVHRR infrared data (Figure 5). The AVHRR thickness was validated  
447 by comparison with ice thickness measured by an ice-profiling sonar in the coastal region  
448 of northeastern Sakhalin (Figure 3). The algorithm can estimate ice thickness of  $\leq 0.2$   
449 m without a snow cover from a linear relationship between the  $PR_{36}$  and the AVHRR  
450 thickness (equation 1).

451 We estimated ice production in major Okhotsk coastal polynyas during 3 winters of  
452 2002/2003–2004/2005 (Table 1) from heat flux calculation in which the daily AMSR-E  
453 ice thickness (*e.g.* Figure 2d) is used. Oceanic heat flux due to the circulation and eddy  
454 mixing was assumed to be negligible. Interannual variability of the ice production was  
455 small among the 3 winters. The sum of the ice production during winter in the Okhotsk  
456 coastal polynyas would cover the maximum ice area if the average ice thickness is assumed  
457 to be 1 m. The ice production was highest in the northwest shelf (NWS) region (Table  
458 1 and Figure 6a) as in the previous studies [*Martin et al.*, 1998; *Ohshima et al.*, 2003].  
459 Our estimation shows that  $\sim 45\%$  of the total ice production in the Okhotsk polynyas

460 is attributable to the NWS polynya (Table 1). In the NWS region, the cumulative ice  
461 production during winter (December–March) was especially high ( $>10$  m per winter)  
462 within  $\sim 25$  km from the coast (Figure 6a). The NWS region corresponds to the area  
463 where very cold air is advected from the Pole of Cold, Siberia, by prevailing northerly  
464 wind (Figure 6b). The annual dense shelf water formation rate in the NWS polynya was  
465 estimated to be  $\sim 0.36$  Sv.

466 The size of the NWS polynya is comparable to that of the Ross Sea polynya which is  
467 the largest coastal polynya with the highest ice production in the Antarctic Ocean. From  
468 the comparison with ice production in the Ross Sea polynya estimated by the similar  
469 method (Figure 1 in *Tamura et al.* [2008]), the maximum value of annual cumulative ice  
470 production in the NWS polynya (Figure 6a) is  $\sim 65\%$  of that in the Ross Sea polynya  
471 ( $\sim 16$  m per winter). This suggests that ice production per unit time in the NWS polynya  
472 is comparable to that in the Ross Sea polynya, considering that the winter period of the  
473 Okhotsk Sea is about half of the Antarctic. In the NWS polynya, the densest water at  
474 the surface of both the Sea of Okhotsk and the North Pacific is considered to be formed.

475 We examined relationships between heat loss to the atmosphere and meteorological  
476 parameters that are considered to mainly control the heat loss in the NWS polynya.  
477 The heat loss is negatively correlated with air temperature at 2 m with a correlation  
478 coefficient of  $-0.76$  (Figure 8b) and is positively correlated with the offshore component  
479 of the geostrophic wind, which controls the polynya area, with a correlation coefficient of  
480  $0.51$  (Figure 9b). From a multiple linear regression analysis, it was shown that the heat  
481 loss in the coastal polynya is mainly governed by the air temperature (the standardized

482 partial regression coefficients of the air temperature and the offshore wind are  $-0.65$  and  
483  $0.25$ , respectively).

484 The rapid polynya closures that cannot be explained both dynamically and thermody-  
485 namically were observed (Figures 7 and 10). These rapid closures coincide with snowfall  
486 suggesting that our AMSR-E algorithm cannot detect thin ice if a snow cover exists on  
487 ice. This is because information through microwave from the thin ice surface would be  
488 masked by the snow cover that has the lower value of  $PR_{36}$ , similar value to that of thick  
489 ice. The snow can mask the ice even if it is quite thin ( $<0.02$  m; *Hwang et al.* [2007]).  
490 However, the effect of snow does not affect heat loss (ice production) estimation because  
491 of the heat insulation effect of snow (thermal conductivity of snow is about one-seventh  
492 of ice). For example, if snow of  $0.02$  m ( $0.1$  m) depth exists on ice of  $0.1$  m thickness, the  
493 insulation effect corresponds to ice of  $\sim 0.24$  m ( $\sim 0.8$  m) without snow. Thus, it can be  
494 considered that the cumulative ice production map (Figure 6a) is still valid even if the  
495 AMSR-E algorithm cannot detect thin ice with snow cover.

496 The AMSR-E data used in this study are quite useful to examine relatively small spa-  
497 tial scale phenomena because of the finer resolution than the SSM/I data. However, the  
498 accumulation of the AMSR-E data is still insufficient to discuss long-term variation be-  
499 cause the data are available only from June 2002. On the other hand, the SSM/I data,  
500 whose resolution is relatively coarse, is available from July 1987 and has been accumu-  
501 lated more than 20 years. By developing a SSM/I thin ice thickness algorithm, and from  
502 a comparison of the AMSR-E and SSM/I data during the overlapping period, interannual  
503 variability of ice production could be examined.

504 **Acknowledgments.** The AMSR-E data were provided by the National Snow and Ice  
505 Data Center (NSIDC), University of Colorado. The snow depth data at Ayan were pro-  
506 vided by the National Climatic Data Center (NCDC). Discussion with Takenobu Toyota  
507 was very helpful. This work was supported by RR2002 of the Project for Sustainable Co-  
508 existence of Human, Nature, and the Earth within the Japanese Ministry of Education,  
509 Culture, Sports, Science and Technology (MEXT), and by Grant-in-Aids for Scientific Re-  
510 search (21740337, 20221001, and 17540405) of the MEXT. This work was also supported  
511 by research fund for Global Change Observation Mission 1st - Water (GCOM-W1) of the  
512 Japan Aerospace Exploration Agency (JAXA).

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**Figure 1.** (a) Map of sea ice and air temperature at 2 m in February averaged from 1979 to 2002. White regions indicate the sea-ice area (ice concentration  $\geq 15\%$ ). Ice concentrations from Nimbus-7 SMMR and DMSP SSM/I [Cavalieri *et al.*, 1996, updated 2006] are used. Black (white) contours indicate the air temperature below (above)  $0^\circ\text{C}$ . Air temperature is from the European Centre for the Medium-Range Weather Forecasts Re-Analysis (ERA-40) data set. The rectangle corresponds to the map shown in (b). (b) Map of the Sea of Okhotsk with bottom topography. The 200- and 3000-m isobars are indicated by thin lines. Thick lines indicate the analysis area in the northwest shelf region (NWS), north shelf region (NS), Gizhiga Bay (GIZ), coastal regions of western Kamchatka (KAM) and northeastern Sakhalin (SAK), and Terpenia Bay (TER), respectively. The crosses and circles indicate the grid points of ECMWF data used in Figures 7, 8, and 9.

**Figure 2.** Maps of sea ice on 8 March 2003. (a) Infrared image of AVHRR ch. 4. The location of the ice-profiling sonar observation is shown by a red triangle. (b) Ice thickness derived from AVHRR infrared data. (c) Ice concentration derived from AMSR-E. (d) Thin ice thickness derived from AMSR-E. The Japan Sea and the open ocean regions (ice conc.  $< 15\%$ ) are masked by black.

**Figure 3.** Comparison between ice thicknesses from the ice-profiling sonar and AVHRR infrared data. Error bars indicate uncertainty of the thickness (both of them are 0.05 m). The details are described in the text.

**Figure 4.** (a) Thermal ice thickness derived from AVHRR infrared data and (b) the polarization ratio of AMSR-E brightness temperature at 36.5 GHz vertically and horizontally polarized channels ( $PR_{36}$ ) on 8 March 2003. Data are mapped onto data points of the AMSR-E L2A. The open ocean region (ice conc.  $< 15\%$ ) is masked by black.

**Figure 5.** Scatterplot of the polarization ratio of AMSR-E brightness temperature at 36.5 GHz channel ( $PR_{36}$ ) versus AVHRR thermal ice thickness. AVHRR infrared data of 35 cloud- and fog-free cases are used. The data are obtained from the 3 polynya regions indicated by rectangles in Figure 4. The solid line indicates the principal component axis represented by equation (1). The dotted line indicates the line obtained from least square fitting. The vertical lines with crossbars show the root mean square deviation between the ice thicknesses from equation (1) and from AVHRR data. The details are described in the text.

**Figure 6.** (a) Spatial distribution of cumulative sea-ice production during winter (December–March) averaged from 2002/2003 to 2004/2005 seasons. Gray lines denote mean February ice extent averaged from 2003 to 2005. The Japan Sea is masked by black. (b) Sea level pressure (solid lines), geostrophic wind (vectors), and air temperature at 2m (shades), averaged during winter (December–March) of 2002/2003–2004/2005.

**Figure 7.** Daily timeseries of polynya characteristics and meteorological conditions in the NWS region (Figure 1b) from 2002/2003 to 2004/2005 seasons. Top panels show heat loss to the atmosphere in thin ice ( $\leq 0.2$  m) area (solid line) and air temperature at 2 m averaged over 3 locations, marked by the circles in Figure 1b (dotted line). The second row of panels from the top show the thin ice area (solid line) and offshore component of geostrophic wind averaged over 12 location, marked by the crosses in Figure 1b (dotted line). Shade indicates ice area (ice conc.  $\geq 15\%$ ). Dashed horizontal line indicates the area of the NWS region. The third row of panels show increase in snow depth at Ayan (Figure 1b). Bottom panels show geostrophic wind vector averaged over 12 locations, marked by the crosses in Figure 1b. Horizontal and vertical axes correspond to alongshore and offshore directions, respectively.

**Figure 8.** Scatterplots of (a) thin ice ( $\leq 0.2$  m) area, (b) air temperature at 2 m, and (c) wind speed at 10 m versus heat loss to the atmosphere in the NWS region. Daily data obtained from 1 January to 31 March during 2003–2005 are used. The temperature and the wind speed averaged over 3 locations, marked by the circles in Figure 1b are used.

**Figure 9.** Scatterplots of the offshore component of geostrophic wind versus (a) thin ice ( $\leq 0.2$  m) area and (b) heat loss to the atmosphere in the NWS region. Daily data obtained from 1 January to 31 March during 2003–2005 are used. The offshore wind speed is advanced by 1 day. The wind speed averaged over 12 locations, marked by the crosses in Figure 1b is used.

**Figure 10.** Twice daily maps of spatial distribution of thin ice thickness from 20 to 21 January 2003 (left panels). Daily (1200 UT) maps of sea level pressure (solid lines), geostrophic wind (vectors), and air temperature at 2 m (shades) from 19 to 21 January 2003 (right panels). The rectangle in the right panels indicates the area of the thin ice thickness map (left panels).

**Table 1.** Summary of heat loss to the atmosphere and cumulative ice production in coastal polynyas during winter (December–March) of 2002/2003–2004/2005 in the northwest shelf region (NWS), north shelf region (NS), Gizhiga Bay (GIZ), coastal regions of western Kamchatka (KAM) and northeastern Sakhalin (SAK), and Terpenia Bay (TER). The analysis area is shown in Figure 1b.

	NWS	NS	GIZ	KAM	SAK	TER	NWS+NS	TOTAL
	<i>Heat loss</i> ( $\times 10^{19}$ J)							
2003	10.53	3.37	2.26	2.69	1.91	0.86	13.91	21.62
2004	10.22	4.83	3.05	2.65	1.89	0.84	15.06	23.49
2005	9.27	5.30	2.87	2.92	0.88	0.96	14.56	22.19
Ave.	10.01	4.50	2.73	2.75	1.56	0.89	14.51	22.43
	<i>Ice production</i> ( $\times 10^{11}$ m <sup>3</sup> )							
2003	4.89	1.57	1.05	1.25	0.89	0.40	6.46	10.04
2004	4.75	2.25	1.42	1.23	0.88	0.39	6.99	10.91
2005	4.30	2.46	1.34	1.35	0.41	0.45	6.76	10.31
Ave.	4.65	2.09	1.27	1.28	0.72	0.41	6.74	10.42

**Table 2.** Similar to Table 1, except for average monthly values in the 3 winters.

	NWS	NS	GIZ	KAM	SAK	TER	NWS+NS	TOTAL
	<i>Heat loss</i> ( $\times 10^{19}$ J)							
December	3.77	1.11	1.06	0.45	0.81	0.12	4.87	7.31
January	3.07	1.80	0.63	0.84	0.34	0.36	4.87	7.04
February	2.28	1.15	0.71	1.07	0.30	0.29	3.43	5.79
March	0.89	0.45	0.33	0.38	0.11	0.13	1.34	2.28
	<i>Ice production</i> ( $\times 10^{11}$ m <sup>3</sup> )							
December	1.75	0.51	0.49	0.21	0.38	0.05	2.26	3.40
January	1.43	0.83	0.29	0.39	0.16	0.17	2.26	3.27
February	1.06	0.53	0.33	0.50	0.14	0.13	1.59	2.69
March	0.41	0.21	0.15	0.18	0.05	0.06	0.62	1.06

**Table 3.** Sensitivities in heat loss to the atmosphere and cumulative ice production during winter (December–March) in the NWS polynya and major coastal polynyas (TOTAL) to perturbation in surface input. The sensitivity analysis is made by perturbing each variable positively and negatively. The re-estimated heat loss and cumulative ice production are averaged for 3 winters of 2002/2003–2004/2005 and are compared with the baseline calculation shown in Table 1.

	T2M	TD2M	U10M	CLO	HI
	<i>Perturbation amplitude</i>				
	1.2 (°C)	1.0 (°C)	14 (%)	16 (%)	0.05 (m)
	<i>Change in heat loss/ice production (%)</i>				
NWS	±5.9	±0.6	±5.5	±0.5	±30.9
TOTAL	±7.2	±1.2	±5.9	±0.5	±30.8

T2M, air temperature at 2 m; TD2M, dew point temperature at 2 m; U10M, wind speed at 10 m; CLO, cloud factor; HI, thin ice thickness.

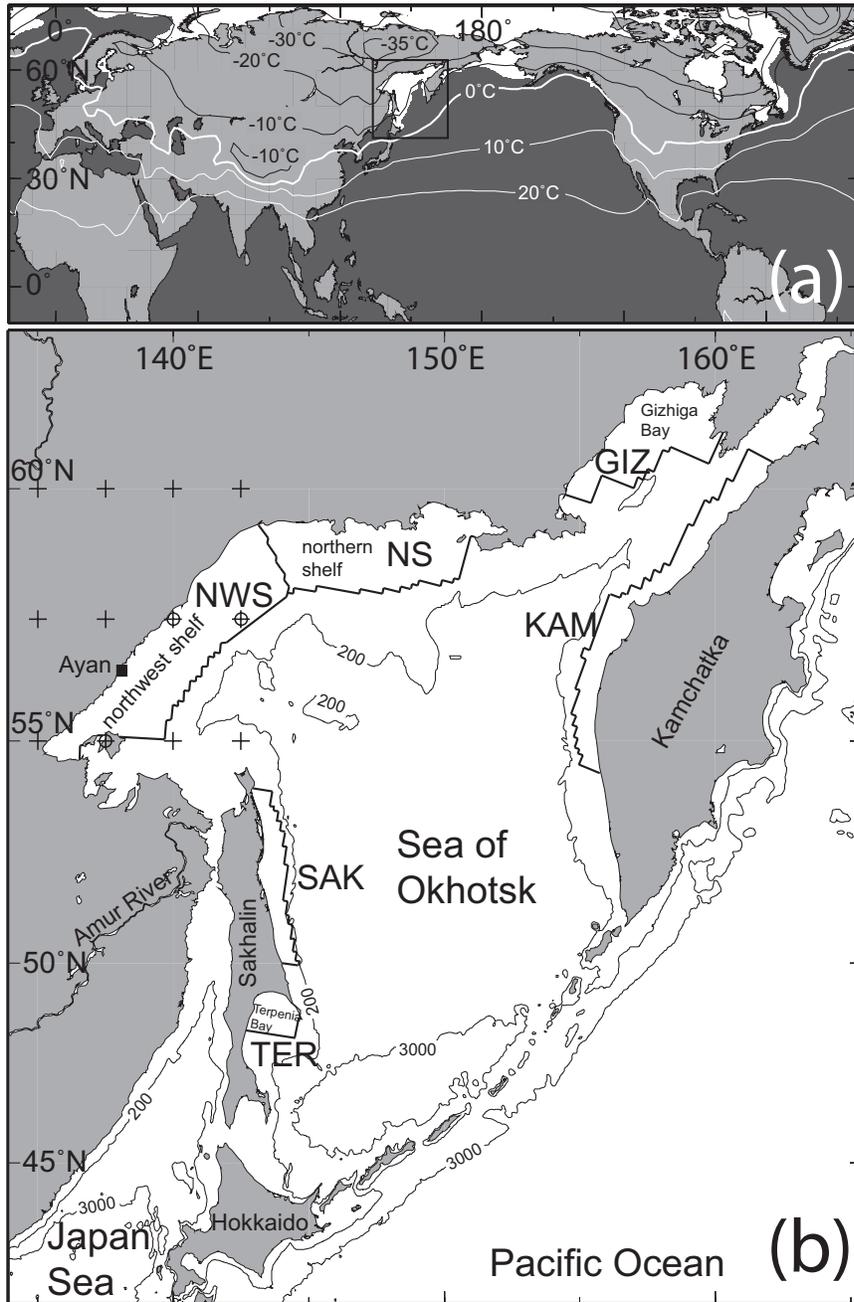


Figure 1.

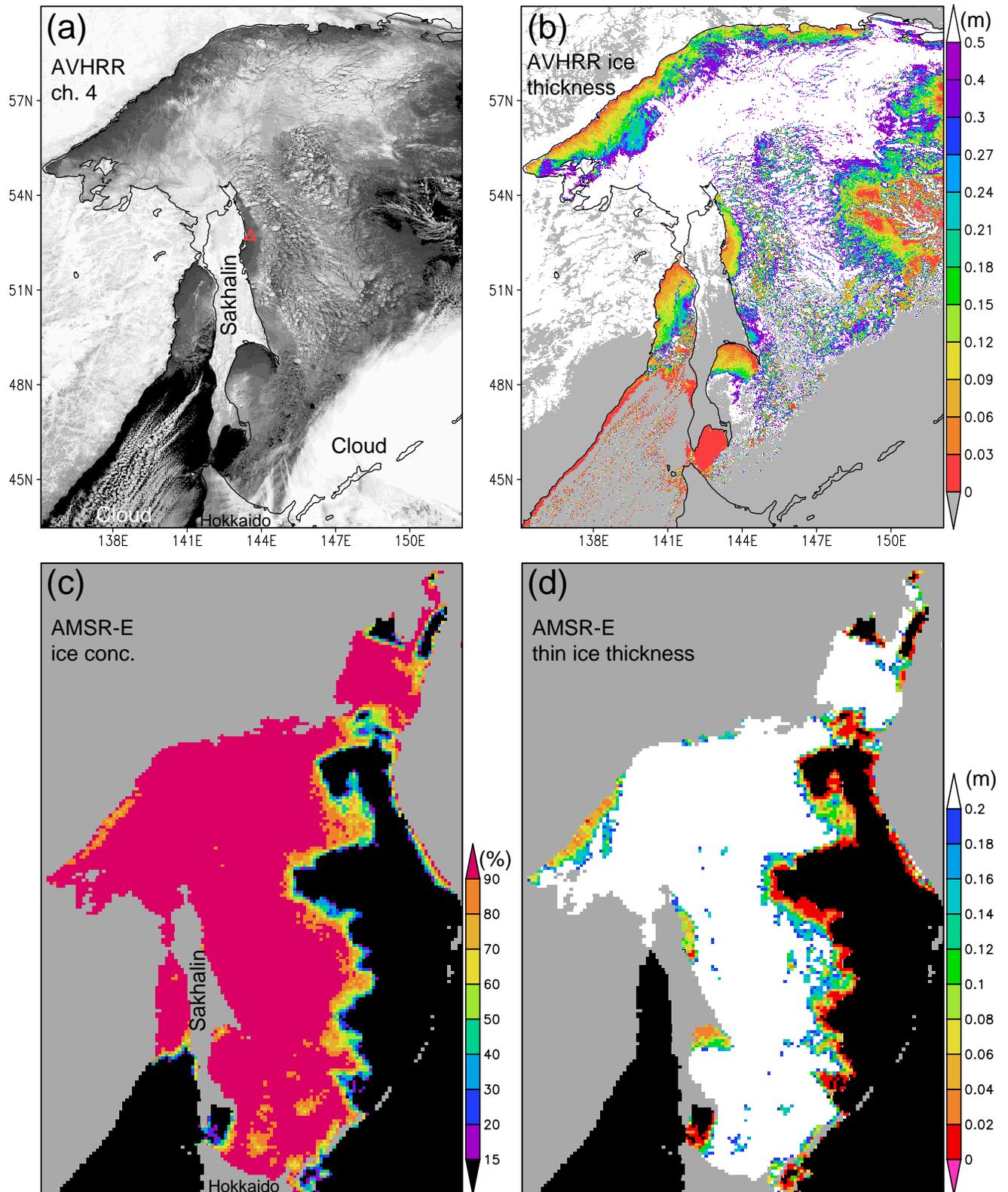


Figure 2.

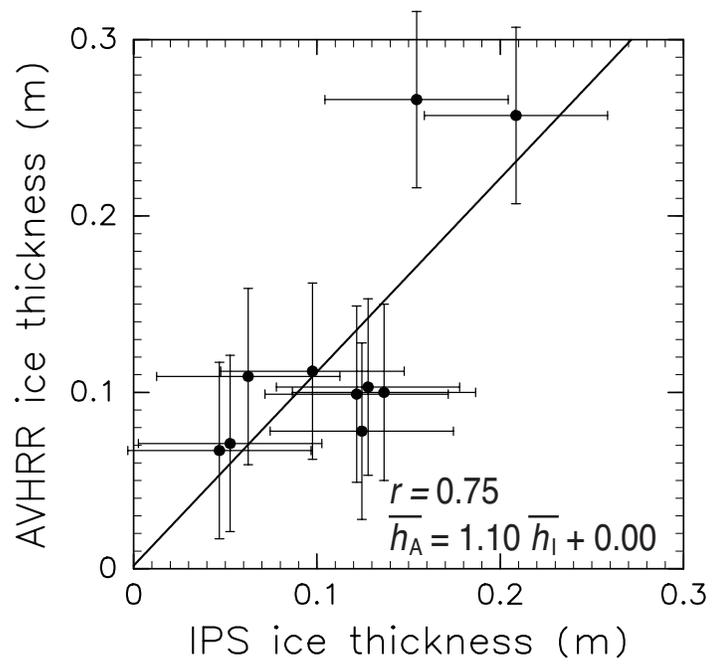


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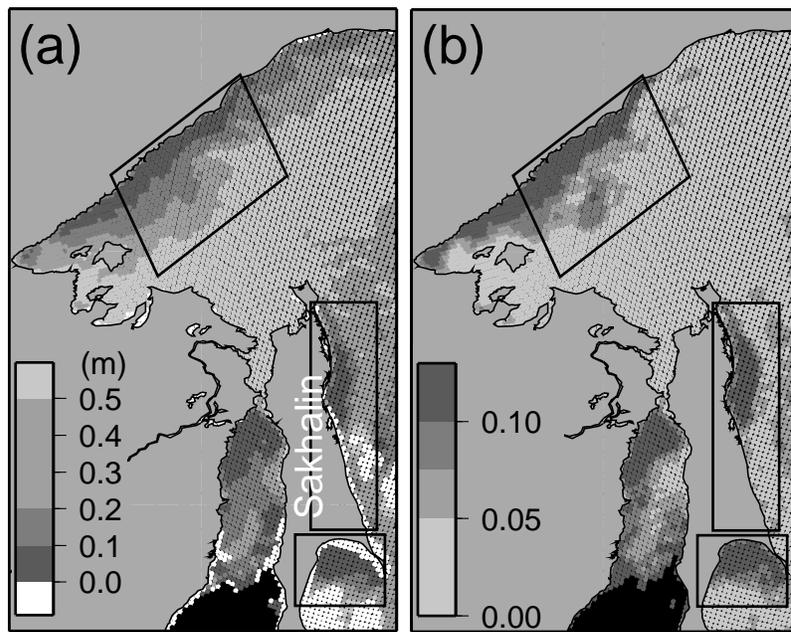


Figure 4.

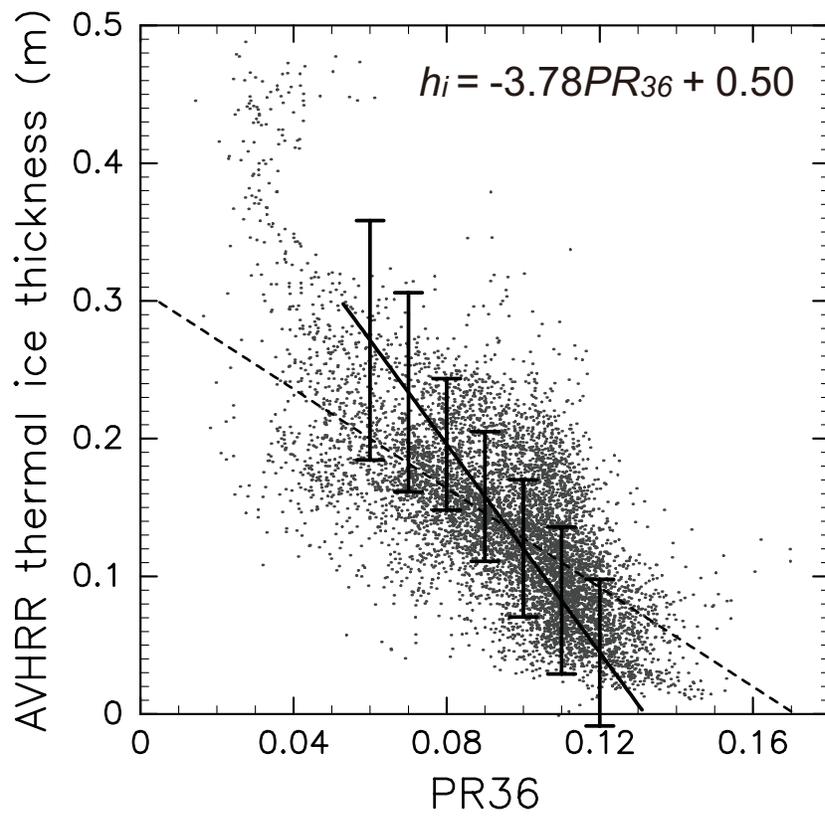


Figure 5.

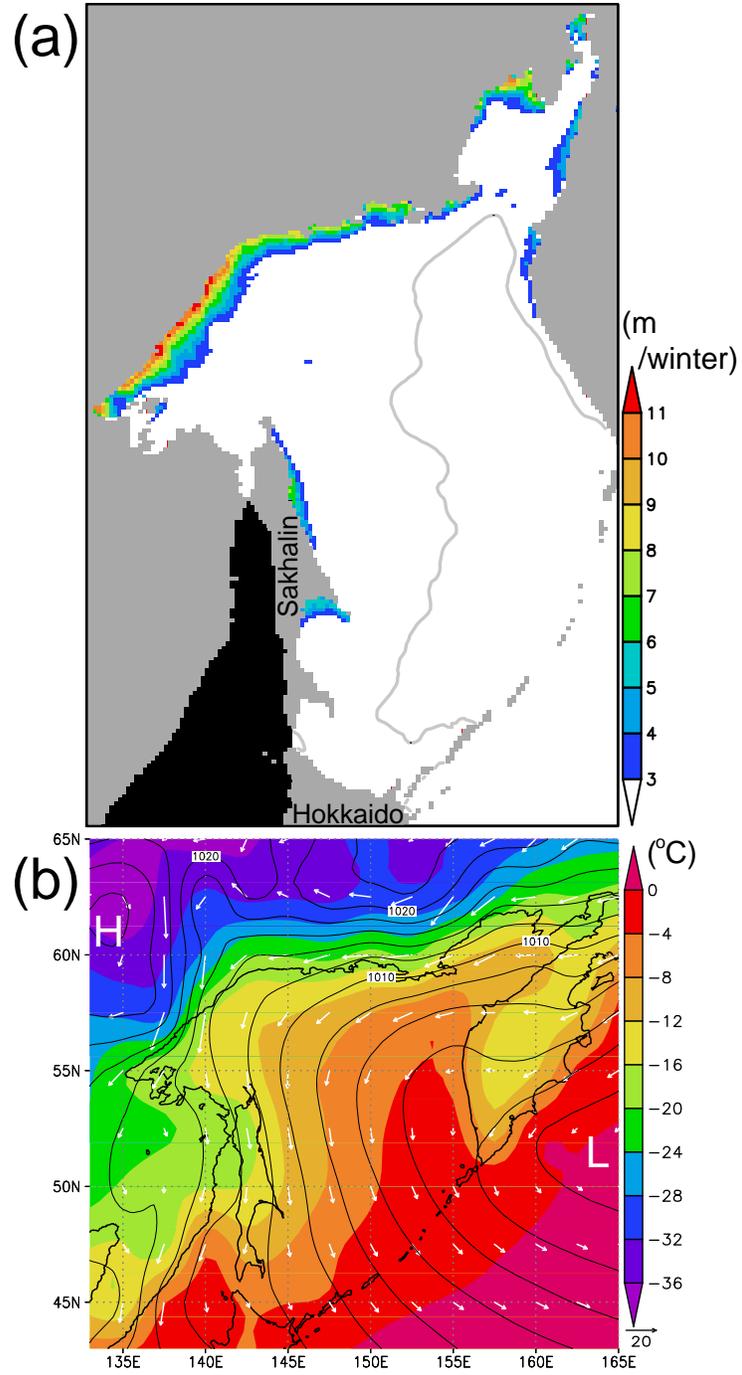


Figure 6.

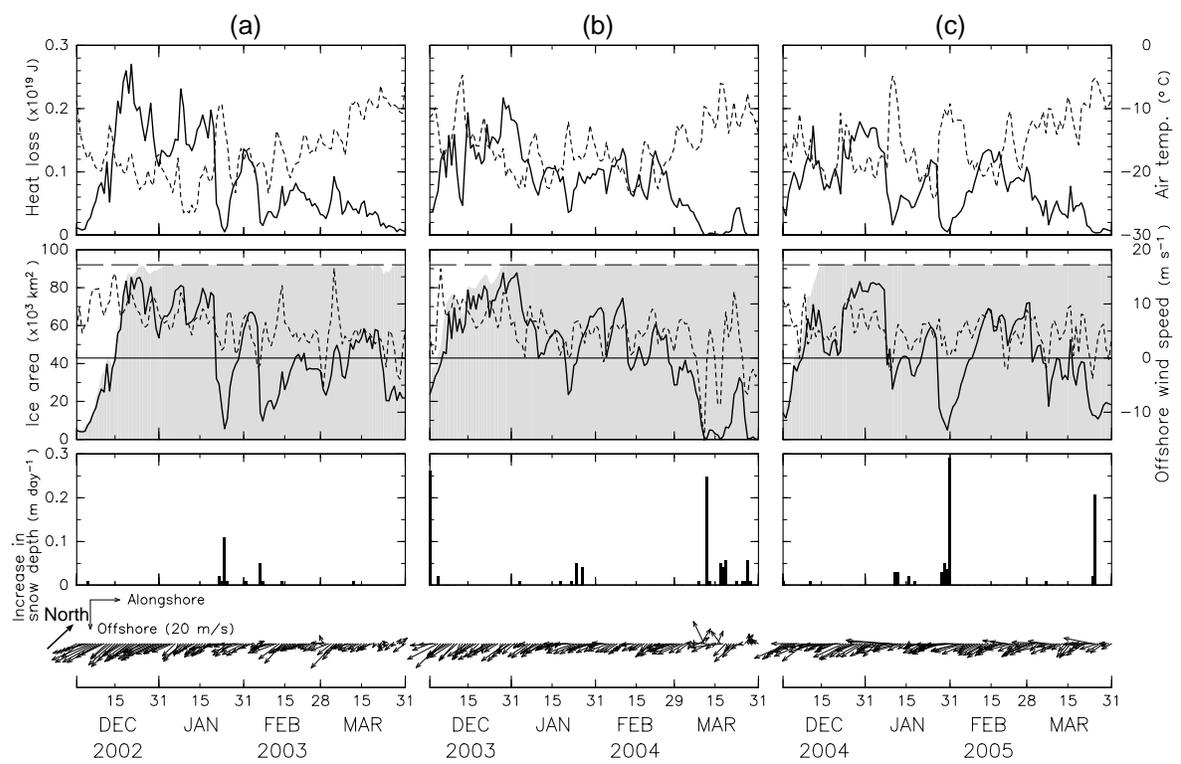
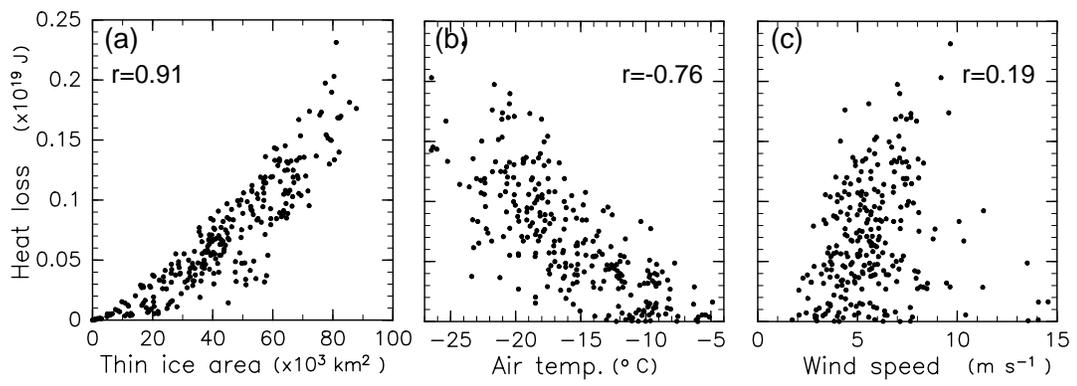


Figure 7.



**Figure 8.**

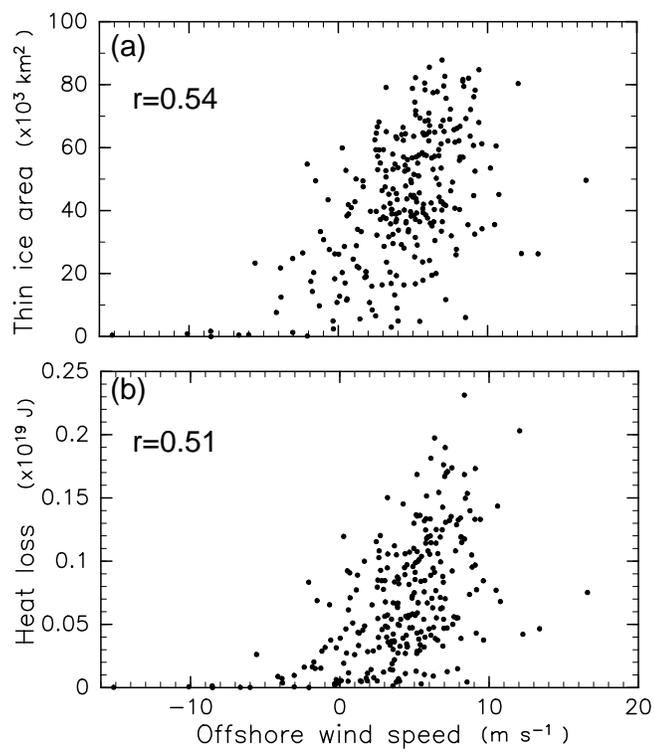


Figure 9.

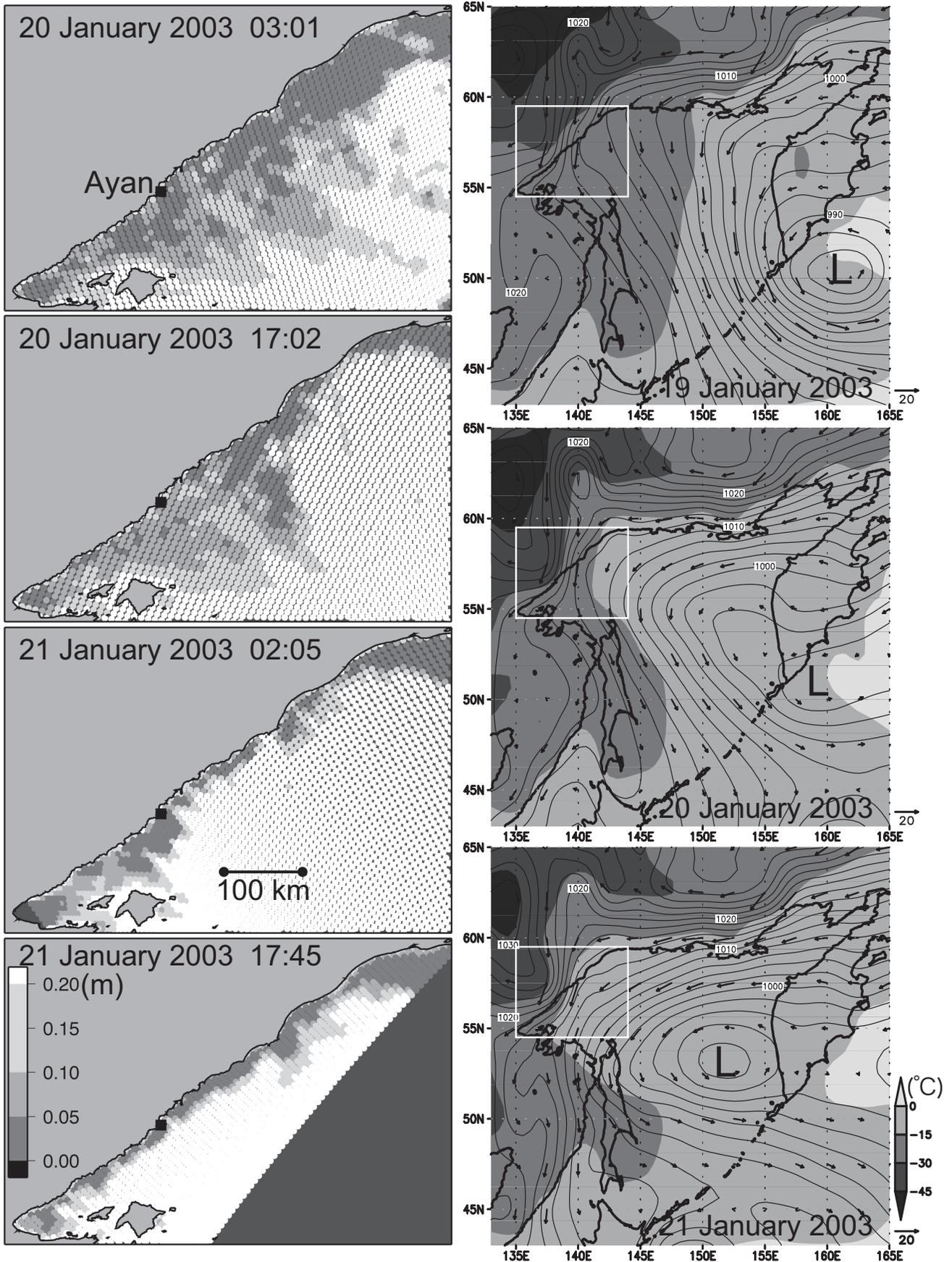


Figure 10.