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Controlled morphology of aluminum alloy nanopillar films: from nanohorns to nanoplates

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Abstract.   Nanopillar films of Al-Nb alloys have been fabricated on substrates with a regular concave cell structure by oblique angle physical vapor deposition. The concave cell structure of substrate increases the shadow region for the flux of depositing atoms, assisting the formation of an isolated nanopillar on each cell. Depending upon the alloy composition and deposition angle, the pillar shape changes from horn-like nanopillars through triangle nanoprisms to nanoplates. The Al-Nb alloy nanoplate films with wide gaps between plates are of interest as an electrode for capacitor application. The dielectric oxide film formed on the nanoplate film showed the capacitance more than 10 times larger than that on the respective flat film, due to enlarged surface area.

1. Introduction

Porous materials have potential widespread applications in various fields, such as capacitors, sensors, catalyst supports, magnetic storage media, field emitters, photonic crystals and water/oil repellent surfaces [1, 2]. Oblique angle deposition (OAD) in physical vapor deposition (PVD) is one of the useful and attractive techniques to fabricate porous films with tilted isolated columnar structure [3]. The porosity is generated due to self-shadowing effect and limited surface diffusion of adatoms. Recently, it has been reported that controlled rotation of substrate during OAD enables to create sculptured micro- and nano-structured thin films, such as nanopillars [4-9], zig-zag [10-13], nanospirals [14, 15] and Y-shape [16] columns. This advanced technique, often referred to as glancing angle deposition, allows us to develop a range of engineered micro- and nano-structures [1, 3].

Aluminum electrolytic capacitor is widely used in electronic industry. Highly porous aluminum metal electrodes, produced by electrochemical etching processes in strong acid solutions, are used to enhance the capacitance. However, due to increasing environmental regulation, dry processes, such as PVD and chemical vapor deposition (CVD), have attracted increasing attention in capacitor industry as an environmentally friendly alternative of wet electrochemical process. In addition, the coating of aluminum surface with other metal or alloys by PVD increases the chemical stability. So, more reliable capacitors can be made. Oblique angle deposition is a promising PVD process to develop porous nanopillar films with high surface area.

Since the permittivity of dielectric alumina films formed by anodizing of aluminum is only ~10, there is an increased interest to form composite oxide films with higher permittivity on aluminum. Two-step process of sol-gel or MOCVD coatings of Nb_2O_5, Ta_2O_5, ZrO_2 and SiO_2 and subsequent anodizing, have been used to form composite oxide films with increased capacitance [17-21]. The formation of Nb_2O_5/Al_2O_3 composite oxide films is particularly effective in enhancing capacitance [22], while the SiO_2/Al_2O_3 composite oxide films increase the breakdown voltage [17]. The capacitance enhancement can be also achieved by incorporating foreign species from alloy substrate. For capacitor application, enlargement of surface area of the alloy electrode is also necessary to increase the capacitance.

In this study, an attempt has been made to fabricate porous aluminum alloy films with isolated nanopillar structure by magnetron sputtering for potential electrolytic capacitor application. To form such porous films, oblique angle deposition has been utilized on textured substrate with a regular concave cell structure. Niobium has been added as an alloying element, since anodic niobium oxide has high permittivity of ~42. We also presume that the addition of a refractory metal, such as niobium, to aluminum reduces the high surface diffusivity of aluminum adatoms during deposition, which impedes the formation of isolated columnar films.

Textured substrate has been introduced to control the size of nanogaps between nanopillars. Controlling of the nanogaps is essential for capacitor application, since the formation of a dielectric oxide film on the metallic nanopillars by anodizing results in the volume expansion. The nanogaps must be present even after the formation of oxide to keep the high surface area and high capacitance. For the fabrication of the textured substrate, we have used self-organized nanoporous anodic alumina formation on aluminum metal [23, 24]. The formation of such oxide film changes the metal/film interface from flat to hexagonally arranged cell structure. The metal surface with the concave cell structure is readily available as substrate after dissolution of the nanoporous alumina film. Here, we demonstrate the useful application of the textured substrate with regular concave cell structure to control the nanogaps between nanopillars. In addition, through the examination of the influences of deposition angle and alloy composition, we have fabricated nanohorn, triangle nanopillar and nanoplate films on the textured substrate, depending upon the deposition condition. Such controlled morphology of deposits should be realized by alloying with niobium, since it has been reported that fabrication of controlled aluminum nanostructures by PVD is difficult due to high surface diffusivity and associated facet formation [25].

2. Experimental

Al-Nb alloy films containing 20 to 53 at% niobium, as well as high-purity aluminum films, were deposited by DC magnetron sputtering. Targets for alloy preparation consisted of a 99.999% purity aluminum disk of 100 mm in diameter and 99.9% purity niobium disks of 20 mm in diameter, with the latter placed symmetrically on an erosion region of the former disk. The niobium concentrations of the deposited films were controlled by changing the number of niobium disks. The chamber was first evacuated to less than 5×10^{-5} Pa and then high-purity argon gas was introduced into the chamber. During sputtering, the argon pressure was maintained at 0.3-0.4 Pa at a constant current of 0.5 A. The deposition times were 1.8, 3.6 and 5.4 ks. The films were deposited on flat glass and textured aluminum substrates. Using tilted substrate holders, Al-Nb alloy films were deposited at deposition angles of 0°, 70°, 85° and 110° with respect to the substrate normal. In order to deposit alloy films of uniform composition, substrate holders were rotated around the central axis of the chamber as well as their own axis. Thus, the sputtering rate was approximately one fifth that without substrate rotation.

The textured aluminum substrate was prepared by anodizing 99.99% pure aluminum sheet in 0.3 mol dm^{-3} oxalic acid at a constant voltage of 40 V at 298 K for 1.2 ks or 0.1 mol dm^{-3} phosphoric acid solution at a constant current density of 100 A m^{-2} (steady state formation voltage of ~160 V) at 293 K for 1.8 ks, and then the anodic oxide films formed were dissolved by immersing the anodized specimen in a mixture of chromic acid and phosphoric acid at 343 K.

During anodizing, self-ordered nanoporous alumina films with hexagonal cell structure was developed. The cell size increases almost linearly with formation voltage [26, 27]. The resultant surfaces have a cellular morphology as shown in Fig. 1. The cell sizes prepared in oxalic and phosphoric acids were 70-80 nm and 300-400 nm, respectively. In these concave cellular structures, the cell boundary is ridge, appearing as white lines in Fig. 1. The height difference between the center of a cell (bottom) and triple point of cell boundary (peak top) was ~50 nm and ~200 nm for the substrates formed in oxalic acid and phosphoric acid, respectively.
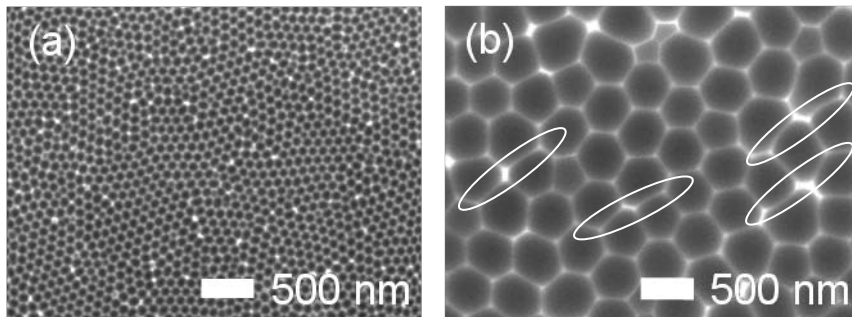

Figure 1 Substrate surface morphologies with a hexagonal concave cell structure. The cell sizes prepared in oxalic and phosphoric acids are (a) 70-80 nm and (b) 300-400 nm, respectively. The regions where cell boundaries of two or three cells are almost in line are indicated by ellipses in (b).

Surfaces and cross-sections of the deposited films were observed by a JEOL, JSM-6500F field emission scanning electron microscope (SEM) with EDS facilities. The EDS was used to estimate the deposited alloy compositions (Table 1).

Table 1 The compositions of Al-Nb alloy films deposited at different angles using composite targets with 4 and 6 niobium disks.

Number of Nb disks	Nb content (at%) at each deposition angle			
	0°	70°	85°	110°
4	27	21	20	13
6	53	47	43	36

The structures of the deposits were identified by grazing incidence X-ray diffraction (XRD) using Cu Kα radiation under an incident angle of 2°. Rigaku, RINT-2000 system was used to obtain the XRD patterns. The porosity of the deposits was evaluated from voltage-time responses of the specimens during anodizing at a constant current density of 10 A m^{-2} in 0.1 mol dm^{-3} ammonium pentaborate electrolyte at 293 K. The current density was set relative to the

apparent geometrical surface area. Thus, the rate of voltage increase was reduced as the surface area increased. In addition, the capacitance of some specimens was measured by electrochemical impedance spectroscopy (EIS) in 0.1 mol dm^{-3} ammonium pentaborate electrolyte at 293 K after anodizing at selected voltages for 600 s in the same electrolyte. EIS data were obtained in the frequency range of 10^{-1} – 10^{5} Hz using a Solartron 1255B frequency response analyzer.

3. Results and Discussion

3.1. Influence of cell size of textured substrate

Figure 2 shows SEM images of surfaces and cross-sections of the Al-21 at% Nb alloy films magnetron-sputtered on flat glass and textured aluminum substrates with different cell sizes at an oblique angle of 70° for 1.8 ks. Tilted columnar structure is obvious for all the three deposits with the tilted column angle of ~40°, which is smaller than the deposition angle of 70°, as is well known in oblique angle deposition [28]. Apparently, there are no gaps between neighboring columns for the deposits on the flat glass substrate and on the textured aluminum substrate with a cell size of 70-80 nm. The gaps are developed only when the film is deposited on the aluminum substrate with a large cell size of 300-400 nm. The ridge-to-ridge distance and the height difference between a ridge and a bottom of concave cell on the textured substrates increase as the cell size increases, enhancing self-shadowing effect. Thus, the isolated pillar film with open pores develops on the substrate with the large concave cell structure. The each pillar has a nanohorn-like morphology.
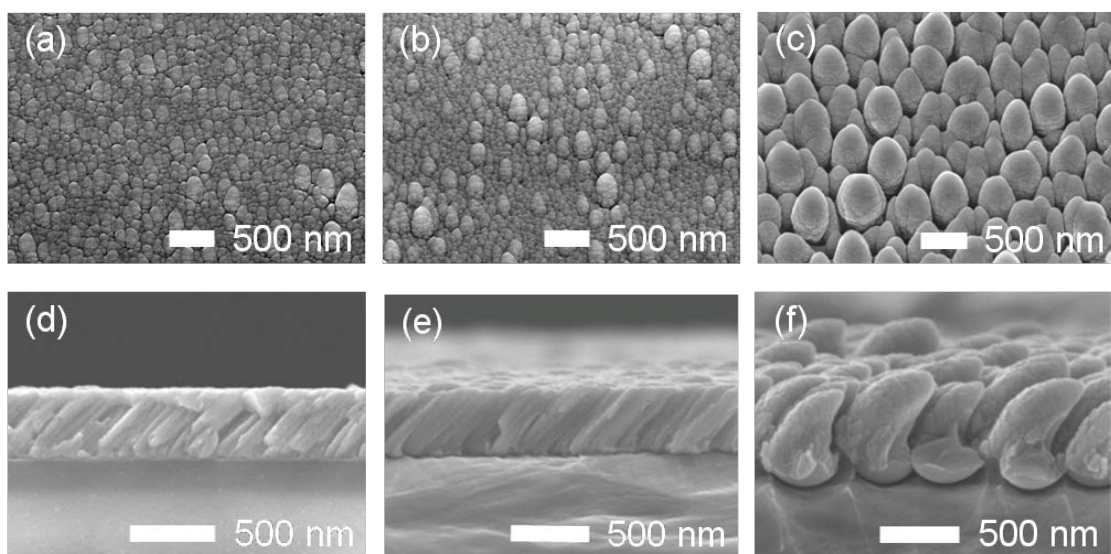

Figure 2 Surface and cross-sectional scanning electron micrographs of Al-21 at% Nb alloy

films deposited on flat glass (a, d) and textured aluminum substrates with different cell sizes of (b, e) 70-80 nm and (c, f) 300-400 nm at an oblique angle of 70° for 1.8 ks.

The increased surface area due to open pore structure has been evaluated from the voltage-time response during anodizing at a constant current density of 10 A m^{-2} in 0.1 mol dm^{-3} ammonium pentaborate electrolyte at 293 K. In this condition, the anodic film growth on the Al-Nb alloys proceeds at high current efficiency close to 100% [29]. The cell voltage increases linearly with anodizing time as a consequence of thickening of anodic oxide film at a constant rate during anodizing. For the flat Al-27 at% Nb alloy deposited at 0° on flat glass substrate, the voltage increases linearly with anodizing time with a slope of 0.36 V s^{-1} (Fig. 3). Similar slope is also obtained for the Al-21 at% Nb alloy films deposited at 70° on the flat and the textured (cell size: 70-80 nm) substrates, while markedly reduced slope is obvious for the film deposited on the textured (cell size: 300-400 nm) substrate. The reduced slope is associated with the increased surface area, since the real current density becomes lower than 10 A m^{-2} for the porous film. No significant change in the slope for the film deposited on the textured (cell size: 70-80 nm) substrate discloses that there are no open pores (gaps between columns) in the deposited film. The use of the textured substrate with large cell structure is of crucial importance to deposit isolated nanopillar films with increased surface area.
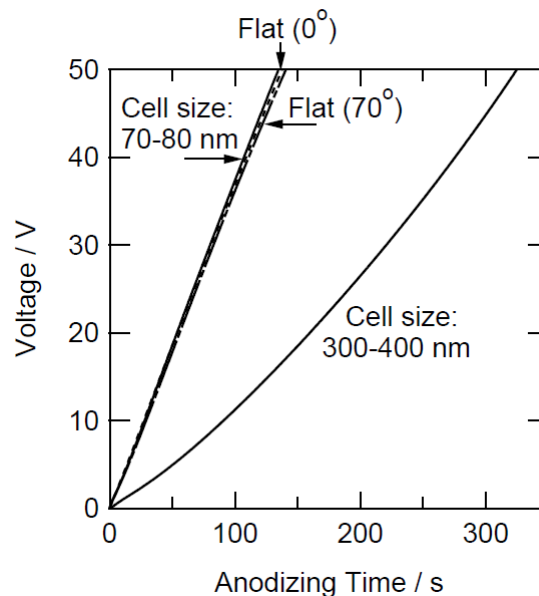

Figure 3 Voltage-time responses of Al-21 at% Nb alloy films deposited on flat glass and textured substrates with cell sizes of 70-80 nm and 300-400 nm at an oblique angle of 70°. The response of flat Al-27 at% Nb alloy film deposited at 0° is also shown for comparison.

3.2. Influence of alloy composition

Interesting composition-dependent column morphology is evolved by oblique angle deposition on the textured aluminum substrate with a cell size of 300-400 nm. Figure 4 shows surfaces and cross-sections of aluminum and Al-(20 and 43) at% Nb films deposited at 85° on the textured substrates for 3.6 ks. The surface SEM images were taken from the columnar growth direction. The nanohorns of the Al-20 at% Nb alloy film (Figs. 4(b) and 4(e)) changes to triangle nanoprisms and square pillars with a trapezoid plane by increasing the niobium content to 43 at% (Fig. 4(c)). The characteristic feature of the Al-43 at% Nb film is that the width of the pillars does not change to the pillar growth direction. In contrast, the horns (Al-20 at% Nb) become thicker as the distance from substrate increases. When the deposition time of the Al-20 at% Nb alloy film increased further to 5.4 ks, the neighboring nanohorns were connected each other and hence, there are almost no gaps between nanohorns. The width of the triangle nanoprisms and square pillars with a trapezoid plane was nearly constant even if the deposition time increased to 5.4 ks. The high-purity aluminum film (Figs. 4(a) and 4(d)), deposited for comparison, has columns with faceted surfaces. Facets are found only for the aluminum film and should be formed as a consequence of sufficient surface diffusion of aluminum adatoms to stable surface sites.
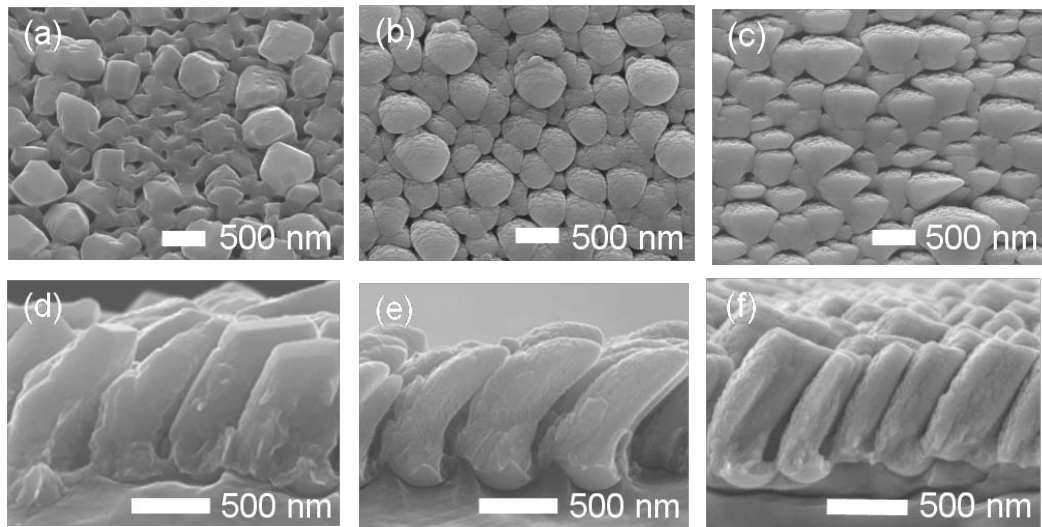

Figure 4 Surface and cross-sectional SEM images of (a, d) aluminum, (b, e) Al-20 at% Nb and (c, f) Al-43 at% Nb films deposited at 85° on textured substrates for 3.6 ks.

Figure 5 shows XRD patterns of aluminum, Al-27 at% Nb and Al-53 at% Nb films deposited at 0° on glass substrate. The aluminum film shows a crystalline fcc pattern, while the two Al-Nb films reveal a halo, typical of amorphous structure. Even for the Al-Nb films

deposited at oblique angles on the textured substrate, similar XRD patterns, except for the presence of fcc pattern from the aluminum substrate, were obtained. Thus, no influences of substrate material and texture on the crystalline structure of deposits were confirmed.
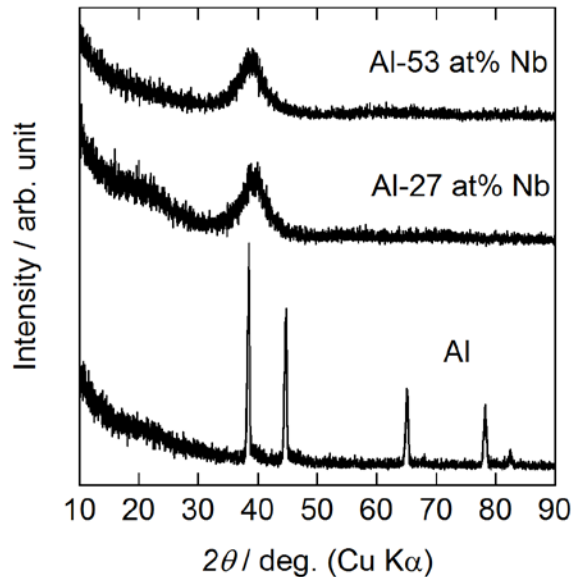

Figure 5   X-ray diffraction patterns of aluminum, Al-27 at% Nb and Al-53 at% Nb films deposited at 0° on glass substrate.

The composition-dependent morphology of the deposits may be evolved by modified surface diffusivity of adatoms. Although the quantitative understanding is the subject of further study, the introduction of niobium should reduce the high diffusivity of aluminum adatoms. The formation of metastable amorphous alloy phase by the addition of niobium may be one of the evidences of the reduced surface diffusivity of adatoms. Limited surface diffusivity during deposition forces adatoms to locate metastable sites, impeding the formation of thermodynamically stable crystalline phase, such as Al_3Nb intermetallic compound and fcc aluminum phase. For the Al-20 at% Nb, however, the surface diffusion to shadowing region may occur, such that widening of pillars and narrowing gaps between pillars occur after longer deposition.

3.3. Influence of deposition angle

Figure 6 shows the surface and cross-sectional SEM images of Al-Nb alloy films deposited at three different angles on the concave cell substrate with a cell size of 300-400 nm. Marked change in column morphology occurs with deposition angle. A unique nanoplate film is formed at a deposition angle of 110° (Fig. 6c). The width and thickness of each plate are 500-700 nm and 200 nm, respectively, with the former being roughly twice the cell size of

substrate. Obvious gaps (~100 nm) are present between neighboring nanoplates and the plate-to-plate distance is similar to the cell size of substrate (~400 nm). From the nanoplate array dimension, it is likely that nanoplates develop based on the cell structure of the substrate. From cross-section image (Fig. 6(f)), no obvious change in columns thickness is found along the distance from the substrate, as in the case of Fig. 4(f).
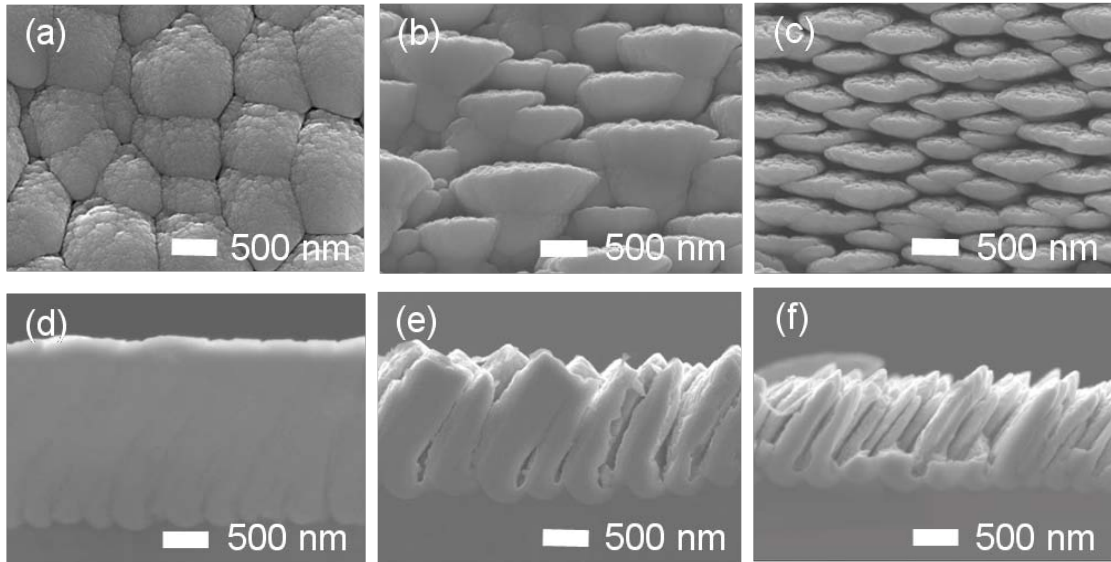

Figure 6 Scanning electron micrographs of surfaces and cross-sections of Al-(47, 43 and 36) at% Nb deposited at several deposition angles of (a, d) 70°, (b, e) 85° and (c, f) 110° on textured substrates for 5.4 ks.

At a deposition angle of 70°, a round pillar film develops with no obvious gaps between pillars (Figs. 6(a) and 6(d)). Since the films deposited at 110° are formed on the backside of the specimens with a deposition angle of 70°, the significant influence of the deposition angle is obvious. When the deposition angle increases to 85°, the column shape changes to triangular nanoprism. Small gaps are present between columns deposited at 85°, but gaps are filled partly as seen in cross-sectional SEM images (Fig. 6(e)). In addition to pillar shape, the width of columns decreases with an increase in deposition angle. As a consequence, gaps between columns enlarged at higher deposition angles.

The evolution of angle-dependent morphologies should be associated with a shadowing effect generated by a substrate texture. Figure 7 shows schematic illustrations of the deposits obtained at different deposition angles. Fig. 7(a) reveals the cell structure of substrate. When the deposition angle is high (110°), deposition should occur only on the cell boundaries that are normal to the incident direction of depositing atoms, since the cell boundary is ridge. Thus, plate-like deposits are obtained as shown in Fig. 7(b). Even though the deposition angle is

greater than 90°, the thickness of the alloy film is ~0.6 that deposited at 70°. The relatively thick film deposition at 110° indicates that there are relatively high incident atoms with random orientation. When the deposition angle is reduced to 85°, the deposition region is slightly extended to the incident flux side of the each cell (Fig. 7(c)), forming triangle nanoprism pillars. Further reduction of the deposition angle to 70° limits the shadow regions, forming round deposits around the cell boundaries that are normal to the incident flux(Fig. 7(d)). Little gaps are also developed between pillars. Although regular hexagonal cell structure of substrate is drawn in Fig. 7(a), the substrates used contain irregular shaped cells with cell boundaries of two or three cells being approximately in line (Fig. 1(b)), on which one pillar of either plate, triangular prism or round shape should be formed.
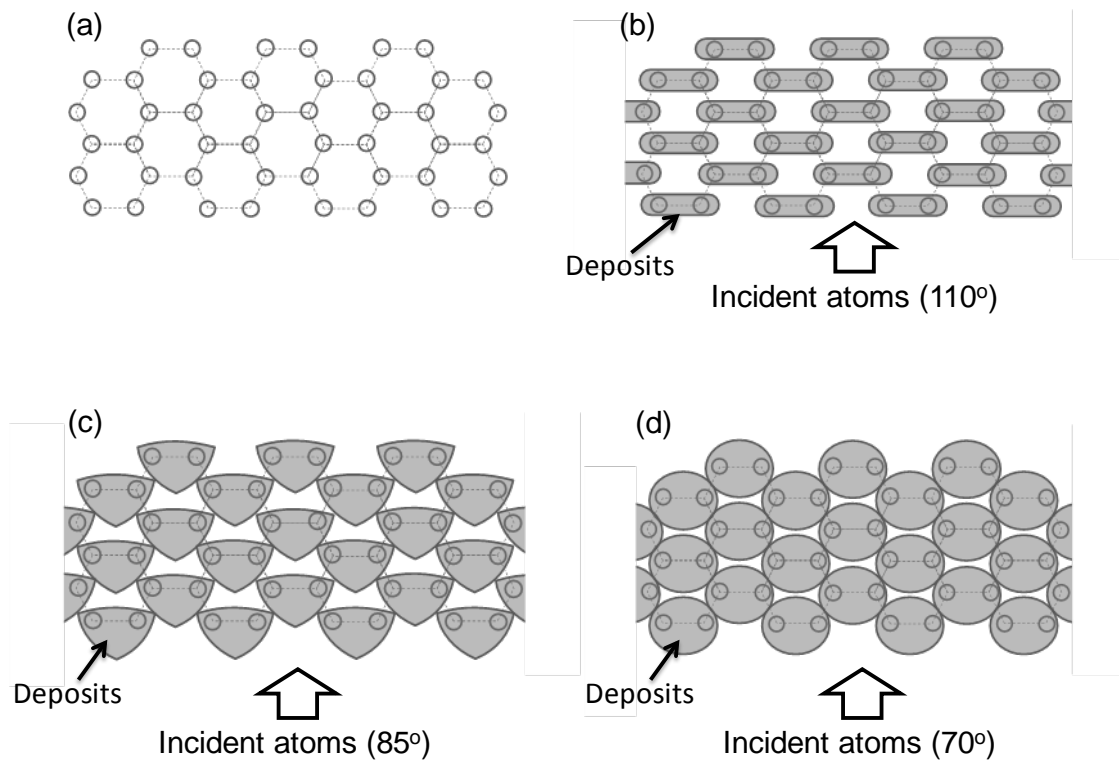

Figure 7   Schematic illustrations showing the correlation between substrate cell structure and deposits at different deposition angles. (a) substrate cell structure with triple cell boundaries depicted as circles, (b) nanoplates deposited at 110°, (c) triangle nanoprisms deposited at 85° and (d) round pillars deposited at 70°.

3.4. Formation of anodic oxide films on Al-Nb nanopillars

Figure 8 shows the voltage-time responses of the Al-Nb nanopillar films deposited at different angles during anodizing at a constant current density of 10 A m^{-2} in 0.1 mol dm^{-3}

ammonium pentaborate electrolyte. The response of the flat Al-53 at% Nb film is also shown for comparison. It is known that the anodic films on Al-Nb binary alloys develop at a high current efficiency close to 100% [30]. In this case, the slope, dV/dt, at a current density, i, is derived from the following equation,

$$\frac{\mathrm{d}V}{\mathrm{d}t} = \frac{iEM}{zF\rho} \tag{1},$$

in which E is the field strength in growing anodic oxide, M is the molecular weight of oxide formed, z is the number of electrons and ρ is the density of oxide. Thus, under the uniform film growth at a high current efficiency, the slope is unchanged. In other words, the cell voltage increases linearly with anodizing time. In fact, the cell voltage rises linearly for the flat Al-53 at% Nb with a slope of 0.32 V s^{-1}. The slope is in-between those of electropolished aluminum (0.42 V s^{-1}) and sputter-deposited flat niobium (0.26 V s^{-1}). It has been reported that growth parameters, such as slope, field strength and Pilling-Bedworth ratio, of anodic films on binary valve metal alloys are compositional average of those on alloy constituting elements, if the anodic oxides formed are amorphous as in the case of anodic aluminum oxide and niobium oxide [31]. From the slope during anodizing aluminum and niobium, the slope for flat Al-53 at% Nb is predicted to be 0.33 V s^{-1} from its composition, which is in agreement with the observed slope.
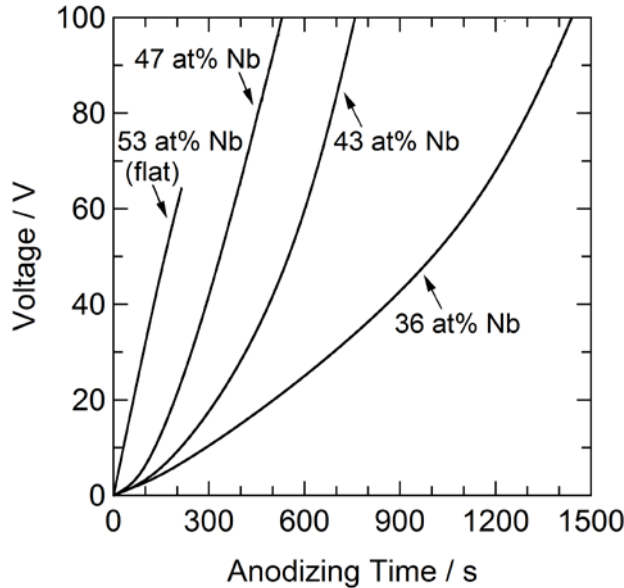

Figure 8   Voltage-time responses of the Al-(47, 43 and 36) at% Nb nanopillar films deposited at different deposition angles of 70, 85 and 110° during anodizing at a constant current density of 10 A m^{-2} in 0.1 mol dm^{-3} ammonium pentaborate electrolyte at 293 K. The response of flat Al-53 at% Nb film is also shown for comparison.

For the specimens deposited at oblique angles, the initial slope is significantly lower than that of flat Al-53 at% Nb, even though higher slopes are expected due to their lower niobium contents. The reduced slope is associated with the increased surface area, since a constant current density of 10 A m^{-2} has been set with respect to the geometrical surface area. This means that the real current density becomes lower than the apparent current density. The lower slope at a lower current density is obvious from the equation (1). The lower initial slope at a higher deposition angle indicates the higher surface area of the films deposited at a higher deposition angle.

Although the initial slopes for the films deposited at oblique angles are lower than that of the flat Al-53 at% Nb, the slopes increase with anodizing time. For the films deposited at 70 and 85°, the slopes become similar to that for the flat Al-53 at% Nb at high formation voltages close to 50 V. The increased slope is associated with the reduced surface area, and the gaps between columns should be almost filled with oxide at high voltages. In contrast, the slope for the film deposited at 110° is still markedly lower than that of flat Al-53 at% Nb even at voltages close to 50 V.

The Pilling-Bedworth ratio (PBR), that is, the ratio of the thickness of anodic oxide film to the thickness of metallic substrate consumed by anodizing, is 1.65 for aluminum and 2.5 for niobium [32]. For Al-Nb alloys, the PBR should be in the range of 1.65 and 2.5, with the value increasing with niobium content. Since the PBRs for Al-Nb alloys are larger than unity, the gaps between columns become smaller during anodizing and will be filled at high formation voltages. The similar slopes for the specimens deposited at 70 and 85°to that for the flat Al-53 at% Nb, suggest that gaps between nanopillars are almost completely filled with oxide. In contrast, the gaps should be still open at voltages close to 50 V such that the slope is lower than that for the flat Al-53 at% Nb.

The increased surface area and enhanced capacitance by porous nanocolumnar structure of the deposits have been further examined quantitatively by ac impedance measurements. Bode plots of the specimens deposited at 110° and anodized at several formation voltages (Fig. 9) reveal linear change in impedance with a slope close to -1 and phase shift to -90° below 100 Hz - 1 kHz, which are characteristic behavior of dielectric materials. Constant impedance at high frequency corresponds to the resistance of electrolyte. The increased impedance at each frequency below 1 kHz discloses the reduction of capacitance mainly due to increased thickness of the anodic film. Experimental impedance spectra have been fitted with an equivalent circuit model, shown in Fig. 9, containing constant phase element (CPE) to compensate roughness of deposited film. The impedance of a CPE, Z, is given by

$$Z = \frac{1}{A(j\omega)^n} \qquad (2),$$

in which j is the square root of negative one, ω is the angular frequency of the applied ac signal, and A and n are determinable parameters [33]. The n value in this work was 0.95 ~ 0.99, being close to 1. Thus, a CPE behaves like a capacitor.
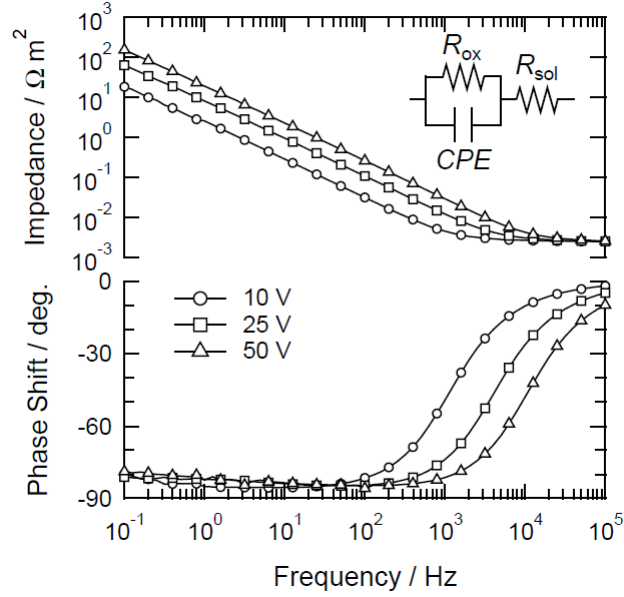

Figure 9 Bode diagrams of the Al-36 at% Nb nanoplate films anodized at 10, 25 and 50 V in 0.1 mol dm^{-3} ammonium pentaborate electrolyte at 293 K for 600 s, following constant current anodizing at 10 A m^{-2} to the respective formation voltages.

The capacitance, C, of anodic oxide films is inverse proportional to the film thickness, d, as in the following equation,

$$C = \frac{\varepsilon_0 \varepsilon_{ox} S}{d} \qquad (3),$$

in which, ε_o is permittivity of vacuum, ε_{ox} is the specific permittivity of anodic oxide and S is the surface area. Thus, the reciprocal of capacitance of the anodic films formed on the Al-36 at% Nb nanoplate films, as well as those on electropolished aluminum and flat Al-53 at% Nb film, is plotted as a function of formation voltage (Fig. 10). Since the film thickness changes linearly with the formation voltage, the reciprocal capacitance increases linearly with the formation voltage for flat films. The capacitance of the anodic film formed on the flat Al-53 at% Nb film is larger than that on electropolished aluminum at each formation voltage, due to enhanced permittivity by the incorporation of niobium species into the anodic alumina film. Obviously, the anodic films formed on the Al-36 at% Nb nanoplate film reveal largely increased

capacitance, compared with those on the flat Al-53 at% Nb film. Assuming an additive property of the capacitance of the anodic oxide films formed on flat Al-Nb alloys, the reciprocal capacitances of the anodic oxide films formed on the flat Al-36 at% Nb film are predicted as in Fig. 10. Since the anodic films of the same composition and thickness should be formed on flat and nanoplate Al-36 at% Nb films, the increased rate of the capacitance of the anodic films on the nanoplate alloy film, relative to the respective flat film, corresponds to the increased rate of surface area. Using the predicted capacitances of the anodic oxide films formed on the flat Al-36 at% Nb alloy film, the surface areas of the anodic films formed on the nanoplate film should increase 11, 7 and 6 times that on respective flat ones at 10, 25 and 50 V, respectively. It is likely that further increased surface area and capacitance can be readily obtained by prolonged deposition.
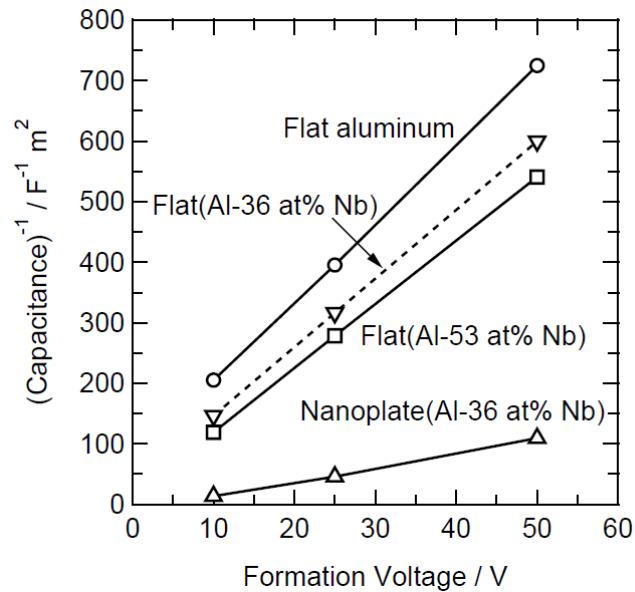

Figure 10 The formation voltage dependence of the reciprocal of capacitance of the anodic oxide films, formed on Al-36 at% Nb nanoplate film ($\triangle$) and on flat electropolished aluminum ($\bigcirc$)and Al-53 at% Nb ($\square$). The estimated values for the flat Al-36 at% Nb ($\triangledown$) film is also revealed.

4. Conclusions

1) Porous nanopillar films of Al-Nb alloys can be fabricated by oblique angle magnetron sputtering on a textured substrate with a regular concave cell structure. The relatively large cell size of substrate, 300-400 nm, is necessary to form isolated nanopillar films.

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2) Nanopillar morphology is dependent upon the alloy composition and deposition angle; nanopillars with the shape of nanohorns, triangle nanoprisms and nanoplates are fabricated, with the nanoplates having wider gaps between pillars.

3) Due to increased surface area and increased permittivity by incorporation of niobium species, the capacitance of anodic oxide films formed on the nanoplate films is highly enhanced by more than 10 times in comparison with that on flat aluminum.

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