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2  
3 **Effect of Oxygen Concentration on Nitrification and**  
4 **Denitrification in Single Activated Sludge Flocs**

5  
6 A short running title : Effect of O<sub>2</sub> on Nitrification and Denitrification in Flocs

7  
8 By

9  
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25

1 **Abstract**

2

3 Simultaneous nitrification and denitrification (SND) was observed in the single  
4 aeration tank of a municipal wastewater treatment plant. Microelectrode  
5 measurements and batch experiments were performed to investigate the occurrence of  
6 SND. The microelectrodes recorded the occurrence of O<sub>2</sub> concentration gradients in  
7 individual activated sludge flocs. When the O<sub>2</sub> concentration in the bulk liquid was  
8 less than 45 μM, anoxic zones were detected within flocs with larger diameter  
9 (approximately 3,000 μm). The O<sub>2</sub> penetration depth in the floc was found to be  
10 dependent on the O<sub>2</sub> concentration in the bulk liquid. Nitrification was restricted to the  
11 oxic zones, whereas denitrification occurred mainly in the anoxic zones. The  
12 nitrification rate of the activated sludge increased with increasing O<sub>2</sub> concentration in  
13 the bulk liquid, up to 40 μM and remained constant thereafter. SND was observed in  
14 the aerated activated sludge when O<sub>2</sub> concentration was in the range of 10 μM to 35  
15 μM.

16

17 **Key words**

18

19 Single activated sludge flocs, O<sub>2</sub> concentration, Nitrification, Denitrification,  
20 Microelectrodes

21

22

# 1 INTRODUCTION

2

3 The activated sludge process is the commonly used system for nitrogen removal  
4 from both domestic and industrial wastewaters. Nitrogen is generally removed in two  
5 steps; first microbial nitrification then denitrification. Since nitrification occurs under  
6 aerobic conditions and denitrification occurs in an anoxic environment, nitrogen  
7 removal is achieved by a sequence of aerobic and anoxic processes. The high density  
8 of microorganisms in immobilized biomass results in the development of  
9 microenvironments in the floc, which differ from that prevailing in the bulk liquid. The  
10 existence of anoxic zones inside activated sludge flocs has not been suggested but  
11 demonstrated by Schramm et al. (1999). The presence of the anoxic zones in the  
12 aerated flocs facilitates the simultaneous occurrence of nitrification and denitrification  
13 (SND) in the single aeration basin (Hao et al., 1997). Microelectrode measurements  
14 have demonstrated the concentration gradient of  $O_2$  and the occurrence of anoxic  
15 zones in the aerated activated sludge flocs in which denitrification occurred (Schramm  
16 et al., 1999; Lens et al., 1995). However, the effect of  $O_2$  concentration on the  
17 nitrification and denitrification processes taking place in the floc has not been  
18 investigated.

19 The objective of this study was to investigate the effect of  $O_2$  concentration on  
20 nitrification and denitrification in single activated sludge flocs. We used  $O_2$ ,  $NH_4^+$ ,  
21  $NO_3^-$ , and pH microelectrodes to determine  $O_2$  penetration depth, nitrification, and  
22 denitrification in the flocs at various  $O_2$  concentrations. In addition, batch experiments  
23 were performed in order to determine both nitrification and denitrification rates.

1

## 2 MATERIALS AND METHODS

3

### 4 Samples

5

6 Activated sludge samples were obtained from the primary aeration basin of a  
7 municipal wastewater treatment plant in Hachinohe, Japan. The volume of the aeration  
8 basin was 322 m<sup>3</sup>. The hydraulic retention time was approximately 10 h. The mixed  
9 liquor suspended solid (MLSS) was about 2.8 g liter<sup>-1</sup>.

10

### 11 Batch Experiments

12

13 The nitrification and denitrification rates, represented as the NH<sub>4</sub><sup>+</sup> and inorganic  
14 nitrogen (the sum of the concentrations of NH<sub>4</sub><sup>+</sup>, NO<sub>2</sub><sup>-</sup>, and NO<sub>3</sub><sup>-</sup>) consumption rates  
15 respectively were determined using a 1.0 liter batch reactor. The initial MLSS was  
16 determined before running the experiment. Air was supplied to the activated sludge  
17 sample at various flow rates. The O<sub>2</sub> electrode was inserted in the reactor for a  
18 continuous monitoring of O<sub>2</sub> concentration. After the O<sub>2</sub> concentration was adjusted,  
19 2,000 μM of NH<sub>4</sub><sup>+</sup> was added, resulting in a final concentration of approximately  
20 3,000 μM of NH<sub>4</sub><sup>+</sup>. The NH<sub>4</sub><sup>+</sup>, NO<sub>2</sub><sup>-</sup>, and NO<sub>3</sub><sup>-</sup> concentrations were measured as a  
21 function of time. During the initial 24 h incubation, the nitrification and denitrification  
22 rates [μmol g-MLSS<sup>-1</sup> h<sup>-1</sup>] were calculated as the decrease in NH<sub>4</sub><sup>+</sup> and inorganic  
23 nitrogen concentrations, respectively.

1

## 2 **Microelectrode Measurements**

3

4 Clark-type microelectrodes for O<sub>2</sub> with tip diameters of approximately 15 μm  
5 were prepared and calibrated as described by Revsbech (1989). LIX-type  
6 microelectrodes for NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup>, and pH (DeBeer et al., 1997) were constructed,  
7 calibrated, and used according to the protocol reported elsewhere (Okabe et al., 1999).  
8 All measurements were performed using a flow cell (4.0 Liter) that was filled at an  
9 average liquid velocity of 0.5 cm s<sup>-1</sup> with an artificial medium at 20°C. The artificial  
10 medium used to monitor the concentration profiles consisted of 280 μM C<sub>6</sub>H<sub>12</sub>O<sub>6</sub>, 300  
11 μM NH<sub>4</sub>Cl, 100 μM NaNO<sub>2</sub>, 300 μM NaNO<sub>3</sub>, 570 μM Na<sub>2</sub>HPO<sub>4</sub>, 84 μM MgCl<sub>2</sub> · 6H<sub>2</sub>O,  
12 200 μM CaCl<sub>2</sub>, and 270 μM EDTA. The activated sludge was analyzed by taking a  
13 grab sample of the mixed liquor from the aeration basin, of which a small portion was  
14 transferred to the analytical apparatus by glass capillary. Sample flocs were positioned  
15 in the flow cell reactor using five insect needles (Lens et al., 1995). The activated  
16 sludge was then acclimated in the medium for at least two hours before measurement  
17 to ensure that steady-state profiles were obtained. The concentration profiles in the  
18 floc were recorded using motor-driven micromanipulators (model MM-60V-H1 and  
19 MM-60XY-H1; Chuo Precision Industrial Co., Ltd., Tokyo, Japan) at intervals of 100  
20 μm to 200 μm from the bulk liquid into the floc. Microelectrode measurements were  
21 performed at O<sub>2</sub> concentrations fixed in the range of 15 μM to 195 μM. At least three  
22 concentration profiles were measured at different positions in the activated sludge for  
23 each species and set of conditions. The number of concentration profile measurements

1 in a single floc was limited to three for technical reasons. The surface of the floc was  
2 determined using a dissecting microscope (model Stemi 2000; Carl Zeiss).

#### 4 **Calculations**

6 Assuming that the flocs were absolutely spherical, the total  $\text{NH}_4^+$  production rate  
7 ( $J(\text{NH}_4^+)$ ) and the total  $\text{NO}_3^-$  production rate ( $J(\text{NO}_3^-)$ ) were calculated using Fick's  
8 first law of diffusion :  $J = D_S (dS/dz)$ , where  $D_S$  is the molecular diffusion coefficient in  
9 water of compound  $S$  and  $dS/dz$  is the concentration gradient at the boundary layer near  
10 the surface of the floc (DeBeer et al., 1993). The molecular diffusion coefficients at  
11  $20^\circ\text{C}$  for  $\text{NH}_4^+$  and  $\text{NO}_3^-$  were  $1.38 \times 10^{-5} \text{ cm}^2 \text{ s}^{-1}$  and  $1.23 \times 10^{-5} \text{ cm}^2 \text{ s}^{-1}$ , respectively  
12 (Andrussow, 1969).

#### 14 **Analytical Methods**

16 The dissolved organic carbon (DOC) was analyzed using a Shimadzu TOC  
17 analyzer (TOC 5000). The  $\text{NH}_4^+$  concentration was determined colorimetrically. The  
18  $\text{NO}_2^-$  and  $\text{NO}_3^-$  concentrations were determined using an ion chromatography  
19 (HIC-6A; Shimadzu) equipped with Shim-pack IC-A1 column. The samples were  
20 filtrated with  $0.45 \mu\text{m}$  membrane filters before analysis. The MLSS was determined  
21 according to standard methods (Clesceri et al., 1998). The  $\text{O}_2$  concentration and pH  
22 were determined using an  $\text{O}_2$  electrode and a pH electrode, respectively.

## 1 **RESULTS AND DISCUSSION**

2

### 3 **Performance of the Activated Sludge Reactor**

4

5       The O<sub>2</sub> concentration ( $15 \pm 10 \mu\text{M}$ ) in the aeration basin was relatively low  
6 (average  $\pm$  standard deviation for 47 different samples). The concentrations of NH<sub>4</sub><sup>+</sup>,  
7 NO<sub>2</sub><sup>-</sup>, and NO<sub>3</sub><sup>-</sup> in the aeration basin were  $780 \pm 370$ ,  $20 \pm 20$ , and  $440 \pm 300 \mu\text{M}$ ,  
8 respectively, whereas the concentrations of the same substances in the influent were  
9  $2,080 \pm 330$ ,  $10 \pm 10$ , and  $10 \pm 10 \mu\text{M}$ , respectively. Therefore, we can conclude that  
10 60% of NH<sub>4</sub><sup>+</sup> and 40% of inorganic nitrogen (the sum of NH<sub>4</sub><sup>+</sup>, NO<sub>2</sub><sup>-</sup>, and NO<sub>3</sub><sup>-</sup>) have  
11 been eliminated and that SND occurred in the aeration basin. The DOC concentrations  
12 in the influent and the aeration basin were  $4,930 \pm 2,160$  and  $1,250 \pm 430 \mu\text{M}$ ,  
13 respectively. The temperature and pH in the aeration basin were  $14 \pm 1 \text{ }^\circ\text{C}$  and  $6.6 \pm 0.2$ ,  
14 respectively.

15

### 16 **Batch Experiments**

17

18       Batch experiments were performed in order to investigate the effect of O<sub>2</sub>  
19 concentration on the rates of nitrification and denitrification of the activated sludge.  
20 Production of inorganic nitrogen at O<sub>2</sub> concentrations near 0  $\mu\text{M}$  could be explained by  
21 biomass degradation and liberation of NH<sub>4</sub><sup>+</sup> adsorbed on biomass (Fig. 1).  
22 Nitrification occurred at O<sub>2</sub> concentrations greater than 10  $\mu\text{M}$ . However, when the O<sub>2</sub>  
23 concentration was lower than 40  $\mu\text{M}$ , the nitrification rates were low probably due to

1 insufficient O<sub>2</sub>. The nitrification rate reached its highest value (24 μmol g-MLSS<sup>-1</sup> h<sup>-1</sup>)  
2 when the O<sub>2</sub> concentration was 70 μM and remained constant thereafter.  
3 Denitrification, observed at O<sub>2</sub> concentrations less than 35 μM peaked with a  
4 maximum rate of 6 μmol g-MLSS<sup>-1</sup> h<sup>-1</sup> when the O<sub>2</sub> concentration was 25 μM. It was  
5 likely that denitrification occurred in anoxic zones of the flocs and in stagnant zones in  
6 the batch reactors. Consequently, SND occurred at O<sub>2</sub> concentrations ranging between  
7 10 μM and 35 μM by simply lowering the O<sub>2</sub> concentration in the activated sludge  
8 reactor although nitrification was incomplete. The absence of denitrification at O<sub>2</sub>  
9 concentrations near 0 μM or greater than 35 μM might be explained by the absence of  
10 NO<sub>3</sub><sup>-</sup>, which was produced by nitrification and the inhibition of denitrification by O<sub>2</sub>,  
11 respectively. Since the average O<sub>2</sub> concentration (15 μM) in the aeration basin from  
12 which the activated sludge samples were obtained was in this range, this could explain  
13 the occurrence of SND in that basin. Other researchers have reported a denitrification  
14 enhancement in the aerated activated sludge when the O<sub>2</sub> concentration in the bulk  
15 liquid was less than 60 μM (Hao et al., 1997; Wistrom et al., 1996).

16

## 17 **Microelectrode Measurements and Rate Calculation**

18

19 Microelectrode measurements were performed in order to investigate the effect  
20 of the O<sub>2</sub> concentration on nitrification and denitrification in single activated sludge  
21 flocs. The concentration profiles were different between samples (see Fig. 3 for  
22 heterogeneity of the activities of the flocs). Typical concentration profiles of O<sub>2</sub>, NH<sub>4</sub><sup>+</sup>,  
23 NO<sub>3</sub><sup>-</sup>, and pH in flocs are displayed in Fig. 2. O<sub>2</sub> penetrated the entire floc with a

1 diameter of approximately 800  $\mu\text{m}$  at an  $\text{O}_2$  concentration of 270  $\mu\text{M}$  (Fig. 2A). The  
2  $\text{NH}_4^+$  and  $\text{NO}_3^-$  concentration profiles showed that nitrification occurred throughout  
3 the floc, whereas denitrification did not occur due to the absence of anoxic zones.  
4  $\text{NH}_4^+$  consumption did not match  $\text{NO}_3^-$  production because  $\text{NO}_2^-$  concentration was not  
5 measured. At an  $\text{O}_2$  concentration of 195  $\mu\text{M}$  nitrification occurred although  
6 denitrification was not detected in flocs with diameters about 3,000  $\mu\text{m}$  (Fig. 2B). This  
7 was because  $\text{O}_2$  present in the floc was not completely depleted. In contrast, when the  
8 floc was incubated at an  $\text{O}_2$  concentration of 45  $\mu\text{M}$ ,  $\text{O}_2$  was depleted at a depth of  
9 1,200  $\mu\text{m}$  (Fig. 2C). Nitrification was restricted to the outer oxic zone of the floc. The  
10 steeper concentration gradient of  $\text{NO}_3^-$  in the inner zones as compared to the outer  
11 zones indicated that denitrification occurred mainly in the inner anoxic zones. At an  $\text{O}_2$   
12 concentration of 15  $\mu\text{M}$ ,  $\text{O}_2$  was depleted at the depth of 200  $\mu\text{m}$  (Fig. 2D). No  
13 nitrification occurred, but  $\text{NH}_4^+$  production did take place. Denitrification was  
14 detected just below the surface of the floc. Consequently, microelectrode  
15 measurements indicated that nitrification was restricted to the outer oxic zone,  
16 whereas denitrification occurred mainly in the inner anoxic zones and SND occurred at  
17 an  $\text{O}_2$  concentration of 45  $\mu\text{M}$ .

18  $J(\text{NH}_4^+)$  and  $J(\text{NO}_3^-)$  at various  $\text{O}_2$  concentrations in the bulk liquid are shown in  
19 Fig. 3.  $\text{NO}_3^-$  was added to the bulk liquid. The diameter of each floc was approximately  
20 3,000  $\mu\text{m}$ .  $J(\text{NH}_4^+)$  decreased from 0.006  $\mu\text{mol cm}^{-2} \text{h}^{-1}$  to -0.027  $\mu\text{mol cm}^{-2} \text{h}^{-1}$  when  
21 the  $\text{O}_2$  concentration was raised from 15  $\mu\text{M}$  to 90  $\mu\text{M}$ . However, it remained  
22 unchanged at  $\text{O}_2$  concentrations greater than 90  $\mu\text{M}$ .  $J(\text{NO}_3^-)$  gradually increased from  
23 -0.065  $\mu\text{mol cm}^{-2} \text{h}^{-1}$  to 0.011  $\mu\text{mol cm}^{-2} \text{h}^{-1}$  when the  $\text{O}_2$  concentration was increased

1 from 15  $\mu\text{M}$  to 195  $\mu\text{M}$ . These results suggested the possibility of SND in a single  
2 activated sludge floc at  $\text{O}_2$  concentrations ranging between 45  $\mu\text{M}$  and 100  $\mu\text{M}$ .  
3 However, the activities of flocs were different in floc size and between samples, which  
4 were obtained from different reactors (Schramm et al., 1999). Therefore, further  
5 investigations are needed to clarify the difference of SND between samples. Although  
6 our results were limited, we are convinced that the data on microelectrode  
7 measurements can explain the occurrence of SND in the aeration basin.

8         Microelectrode measurements could hardly detect the anoxic zones in the flocs  
9 with diameters of less than 2,300  $\mu\text{m}$  (Schramm et al., 1999; Lens et al., 1995). In  
10 contrast, the anoxic zones developed and denitrification occurred in the flocs analyzed  
11 in this study since relatively larger flocs were used to fasten the flocs in the  
12 microelectrode setup. The difference in the  $\text{O}_2$  concentrations (45  $\mu\text{M}$  to 100  $\mu\text{M}$  for  
13 microelectrode measurements and 10  $\mu\text{M}$  to 35  $\mu\text{M}$  for the batch experiments) for  
14 SND might be explained by the difference in flow regimes and floc sizes. The batch  
15 experiments were done under vigorous stirring whereas the microelectrode  
16 measurements were done under a very quiet flow regime. The flow regime is important  
17 for the processes inside flocs. Vigorous stirring leads to continuous aggregation and  
18 disaggregation of the flocs and facilitates advection in the flocs. These dynamics have  
19 a strong impact on the floc diameter, mass transport resistance, microenvironments,  
20 and local activities inside the flocs. For instance, the average floc diameter was  $210 \pm$   
21  $70 \mu\text{m}$  in the aeration basin and about 3000  $\mu\text{m}$  during the microelectrode  
22 measurements. Therefore, the microprofiles presented in this paper are not profiles of  
23 the flocs in the aeration basin. These problems with the microelectrode measurements

1 could be partly solved by using another flow system (Schramm et al., 1999).  
2 Furthermore, other conditions (e.g. medium composition) of the microelectrode  
3 measurements were different from those used in the batch experiments. Consequently,  
4 our results present the mechanisms of SND in activated sludge flocs rather than in situ  
5 conditions of the aeration basin.

6

## 7 **CONCLUSIONS**

8

9 Microelectrode measurements recorded the occurrence of anoxic zones in the  
10 inner zones of the single activated sludge floc. Nitrification was restricted to the outer  
11 oxic zone, whereas denitrification occurred mainly in the inner anoxic zones. O<sub>2</sub>  
12 penetration depth and the zones of nitrification and denitrification in the floc were  
13 dependent on the O<sub>2</sub> concentration in the bulk liquid. Batch experiment results showed  
14 that SND could be achieved at O<sub>2</sub> concentrations between 10 μM and 35 μM although  
15 nitrification was incomplete. These results could explain the occurrence of SND in the  
16 aeration basin.

17

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2

3

1

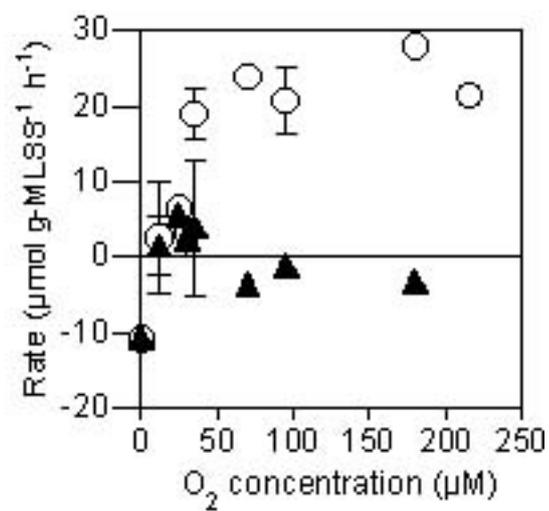


Fig. 1

1

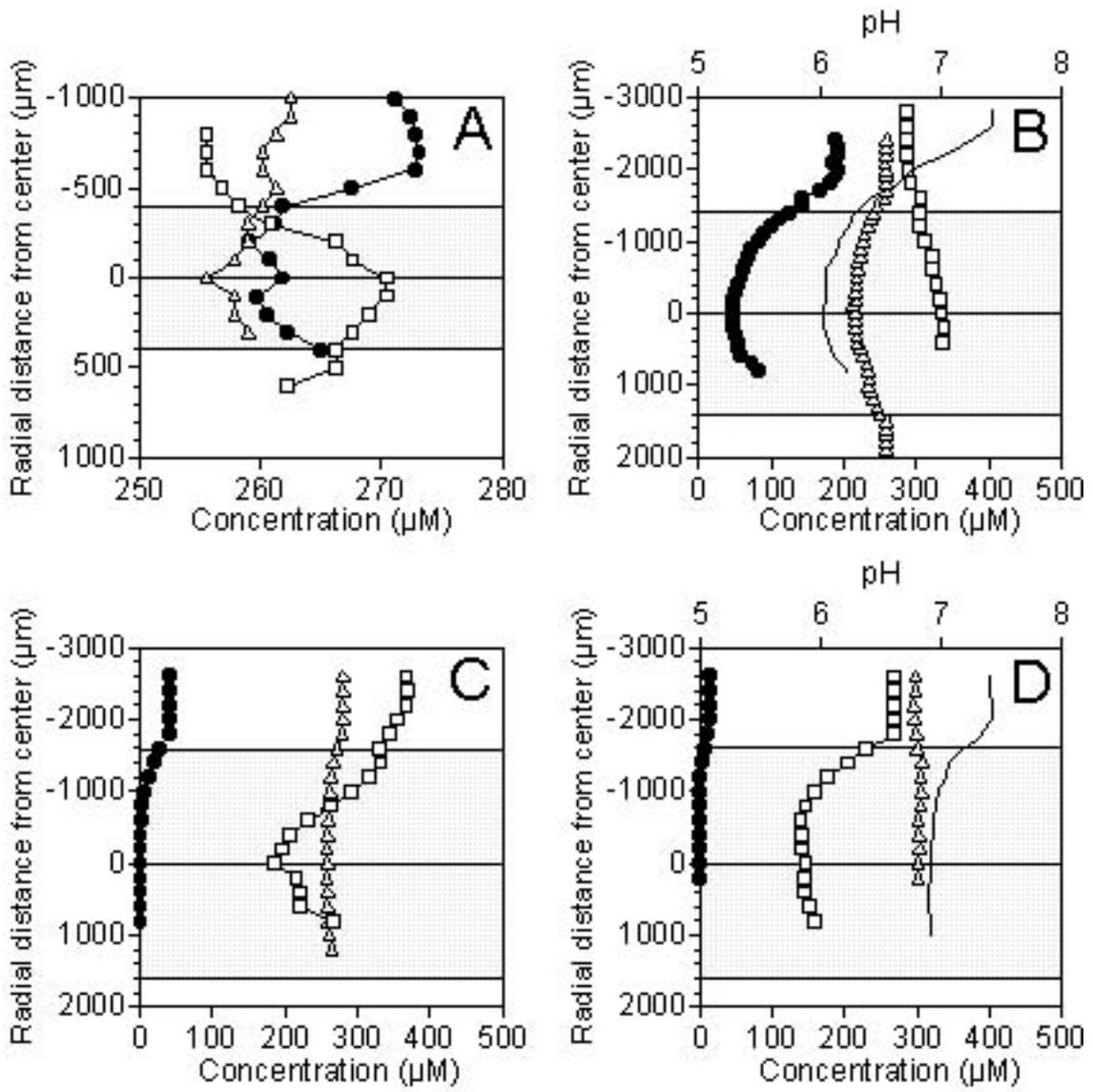


Fig.2

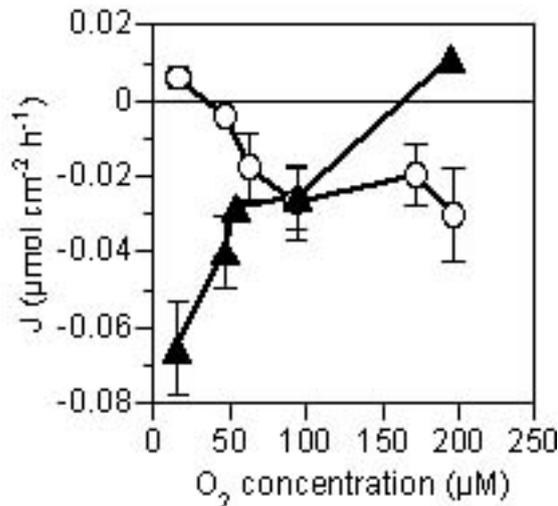


Fig.3

## List of Figures

**Fig. 1.** The consumption rates of  $\text{NH}_4^+$  (○) and inorganic nitrogen (the sum of  $\text{NH}_4^+$ ,  $\text{NO}_2^-$ , and  $\text{NO}_3^-$ ) (▲) as determined by the batch experiments at various  $\text{O}_2$  concentrations in the bulk liquid. Rates are the mean values and error bars show standard deviations.

**Fig. 2.** Typical concentration profiles of  $\text{O}_2$  (●),  $\text{NH}_4^+$  (△),  $\text{NO}_3^-$  (□), and pH (solid lines) in activated sludge flocs incubated at  $\text{O}_2$  concentrations of 270  $\mu\text{M}$  (A), 195  $\mu\text{M}$  (B), 45  $\mu\text{M}$  (C), and 15  $\mu\text{M}$  (D). The diameters of the flocs were 800  $\mu\text{m}$  (A), 2,800  $\mu\text{m}$  (B), 3,200  $\mu\text{m}$  (C), and 3,200  $\mu\text{m}$  (D), respectively. The shaded area indicates the floc. The surface of the floc is at a depth of 0  $\mu\text{m}$ . Note the expanded

1 scales in panel A.

2

3 **Fig. 3.**  $J(\text{NH}_4^+)$  (○) and  $J(\text{NO}_3^-)$  (▲) in the flocs as a function of  $\text{O}_2$  concentration in  
4 the bulk liquid. Rates are the mean values of triplicate measurements and error bars  
5 show standard deviations. Positive values indicate the release of the solutes and  
6 negative values indicate the uptake.