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A Spectral Dilation  
Of  
Some Non-Dirichlet Algebra

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Abstract. A Dirichlet algebra has always a spectral dilation for any operator representation. We don't know the examples of non-Dirichlet algebras which have spectral dilations for any operator representations. In this paper we give an example of such an algebra.

Let  $X$  be a compact Hausdorff space, let  $C(X)$  be the algebra of complex-valued continuous functions on  $X$ , and let  $A$  be a uniform algebra on  $X$ . Let  $H$  be a complex Hilbert space and  $L(H)$  the algebra of all bounded linear operators on  $H$ .  $I$  is the identity operator in  $L(H)$ . An algebra homomorphism  $f \rightarrow T_f$  of  $A$  in  $L(H)$ , which satisfies

$$T_1 = I$$

and

$$\|T_f\| \leq \|f\|$$

is called a representation of  $A$  on  $H$ . A representation  $\phi \rightarrow U_\phi$  of  $C(X)$  on a Hilbert space  $K$  is called a spectral dilation of the representation  $f \rightarrow T_f$  of  $A$  on  $H$  if  $H$  is a Hilbert subspace of  $K$  and

$$T_f x = P U_\phi x \quad \text{for } f \in A \text{ and } x \in H$$

where  $P$  is the orthogonal projection of  $K$  on  $H$ .

If  $A$  is a Dirichlet algebra on  $X$  and  $f \rightarrow T_f$  a representation of  $A$  on  $H$ , then there exists a spectral dilation. This was proved by Foias and Suciú (cf. [3, Theorem 8.7.1]). However it is unknown whether any representation of a non-Dirichlet algebra has a spectral dilation. In this paper we give an example of a uniform algebra which has a spectral dilation for any operator representation and is a subalgebra of a disc algebra, of codimension one.

If  $f \rightarrow T_f$  is a representation of  $A$  on a Hilbert space  $H$  with the inner product  $(x,y)$  ( $x,y \in H$ ), then there are measures  $\mu_{x,y}$  ( $x,y \in H$ ) such that  $\|\mu_{x,y}\| \leq \|x\| \|y\|$  for  $x,y \in H$  and

$$(T_f x, y) = \int f d\mu_{x,y} \quad \text{for } f \in A \text{ and } x, y \in H.$$

(see [3, p173]). Let  $\tau$  be in the maximal ideal space of  $A$  and  $G$  the Gleason part of  $\tau$ . We say that the representation  $f \rightarrow T_f$  of  $A$  is  $G$ -continuous ( $G$ -singular) if there exists a system of finite measures  $\{\mu_{x,y}\}$  such that  $\mu_{x,y}$  is  $G$ -absolutely continuous ( $G$ -singular) and  $(T_f x, y) = \int f d\mu_{x,y}$  for all  $f \in A$  and all  $x, y \in H$  (cf. [2, p182]). We need the following three lemmas to give a theorem. The first one is a theorem of Mlak [2, Theorem 2.3] and the second one is one result of Foias and Suciú (cf. [3, p173]).

Lemma 1. Let  $f \rightarrow T_f$  be a representation of  $A$  on  $H$ . Then  $f \rightarrow T_f$  is a unique orthogonal sum  $T_f = T_f^a \oplus T_f^s$  where the representation  $f \rightarrow T_f^a$  ( $f \rightarrow T_f^s$ ) of  $A$  is  $G$ -absolutely continuous ( $G$ -singular).

Lemma 2. Let  $f \rightarrow T_f$  be a representation of  $A$  on  $H$ . Then there are measures  $\mu_{x,y}$  ( $x,y \in H$ ) such that  $\|\mu_{x,y}\| \leq \|x\| \|y\|$  for  $x,y \in H$  and

$$((T_f + T_g^*)x, y) = \int f + \bar{g} d\mu_{x,y}$$

for  $f, g \in A$  and  $x, y \in H$ .

A family  $\lambda_{x,y}$  ( $x, y \in H$ ) of measures on  $X$  is called semispectral if it satisfies the following properties:

$$(1) \lambda_{\alpha x + \beta y, z} = \alpha \lambda_{x, z} + \beta \lambda_{y, z},$$

$$(2) \int \phi d\lambda_{x,y} = \overline{\int \bar{\phi} d\lambda_{y,x}} \quad (\phi \in C(X)),$$

$$(3) \lambda_{x,x} \geq 0,$$

$$(4) |\lambda_{x,y}| \leq \gamma \|x\| \|y\|$$

where  $\alpha$  and  $\beta$  are complex numbers, and  $\gamma$  is a positive number.

Now we can give an example of a uniform algebra which has a spectral dilation for any operator representation and is not a Dirichlet algebra. Let  $T$  be the unit circle and  $A$  the algebra of those continuous functions on  $T$  which have analytic extensions  $\tilde{f}$  to the interior such that  $\tilde{f}(0) = f(1)$ . Then  $A$  is a uniform algebra on  $T$  and  $T$  is the Shilov boundary of  $A$ . The complex homomorphism  $\tau$  on  $A$  is defined by  $\tau(f) = \tilde{f}(0) = f(1)$ . Both  $d\theta/2\pi$  and the unit point mass  $\delta_1$  at 1 represent the same linear functional  $\tau$  on  $A$ . Therefore  $A$  is not a logmodular algebra and hence not a Dirichlet algebra on  $T$  (cf. [1, p38]).



Lemma 3. If  $\mu$  is an annihilating measure on  $\mathcal{T}$  for  $A + \bar{A}$  then  $d\mu = c(d\theta/2\pi - d\delta_1)$  for some constant  $c$ .

Proof. We may assume that  $\mu$  is a real measure on  $\mathcal{T}$ . If  $\mu$  annihilates  $A$  then

$$\int z d\mu = \int z^2 d\mu = \int z^3 d\mu = \dots$$

because the functions  $z - z^2, z^2 - z^3, z^3 - z^4, \dots$  are all in  $A$ . Hence for any positive integer  $n$

$$\int z^n (d\mu - c_1 d\delta_1) = 0,$$

where  $c_1 = \int z d\mu$ . By a theorem of F. and M. Riesz (cf. [1, p45]),

$d\mu - c_1 d\delta_1 = h d\theta/2\pi$  for some  $h$  in the usual Hardy space  $H^1$ .

The absolutely continuous part of  $\mu$  with respect to  $d\theta/2\pi$  is a real measure and coincides with  $h d\theta/2\pi$ . Since  $H^1$  has not nonconstant real functions,  $h$  is constant. Thus  $d\mu = c d\theta/2\pi + c_1 d\delta_1$  and  $c = -c_1$  because  $\int 1 d\mu = 0$ .

Theorem. Let  $f \rightarrow T_f$  be a representation of  $A$  on a Hilbert space  $H$ . There exists a spectral dilation  $\phi \rightarrow U_\phi$  of  $f \rightarrow T_f$ .

Proof. By Lemma 1 we may assume that the representation  $f \rightarrow T_f$  of  $A$  is  $G$ -continuous or  $G$ -singular, where  $G$  is the Gleason part of  $\tau$  in the maximal ideal space of  $A$ . Suppose the

representation is  $G$ -continuous. By Lemma 2 there are measures  $\mu_{x,y}$  ( $x, y \in H$ ) such that  $\|\mu_{x,y}\| \leq \|x\| \|y\|$  and  $((T_f + T_g^*)x, y) = \int f + \bar{g} d\mu_{x,y}$  for  $f, g \in A$  and  $x, y \in H$ . Since the representation of  $A$  is  $G$ -continuous, by the definition  $\mu_{x,y}$  is absolutely continuous with respect to  $d\theta/2\pi + d\delta_1$ . Hence

$$d\mu_{x,y} = h_{x,y} d\theta/2\pi + c_{x,y} d\delta_1$$

where  $h_{x,y}$  is in the usual Lebesgue space  $L^1(d\theta/2\pi)$  and  $c_{x,y}$  is constant.

Put

$$d\lambda_{x,y} = (h_{x,y} + c_{x,y}) d\theta/2\pi .$$

We shall prove that the family  $\lambda_{x,y}$  ( $x, y \in H$ ) of measures on  $T$  is semispectral, that is, it satisfies (1) ~ (4). (4) is clear.

$d\mu_{\alpha x + \beta y, z} - (\alpha d\mu_{x,z} + \beta d\mu_{y,z})$  annihilates  $A + \bar{A}$ . Therefore by Lemma 3 for some constant  $a_{x,y,z}$

$$d\mu_{\alpha x + \beta y, z} - (\alpha d\mu_{x,z} + \beta d\mu_{y,z}) = a_{x,y,z} (d\theta/2\pi - d\delta_1),$$

consequently

$$h_{\alpha x + \beta y, z} - (\alpha h_{x,z} + \beta h_{y,z}) = a_{x,y,z}$$

and

$$c_{\alpha x + \beta y, z} - (\alpha c_{x, z} + \beta c_{y, z}) = -a_{x, y, z} .$$

This implies (1).  $d\mu_{x, y} - d\bar{\mu}_{y, x}$  annihilates  $A + \bar{A}$  .

Therefore by Lemma 3 for some constant  $b_{x, y}$

$$d\mu_{x, y} - d\bar{\mu}_{y, x} = b_{x, y} ,$$

consequently

$$h_{x, y} - \bar{h}_{y, x} = b_{x, y}$$

and

$$c_{x, y} - \bar{c}_{y, x} = -b_{x, y} .$$

This implies (2). By Proposition 7.8 in [3], if  $f \in A$  and  $\operatorname{Re} T_f \geq 0$  then  $\operatorname{Re} T_f \geq 0$  . Hence if  $u \in A + \bar{A}$  and  $u \geq 0$  then

$$\int u d\mu_{x, x} \geq 0 . \text{ Thus for } u \in A + \bar{A}$$

with  $u \geq 0$

$$\begin{aligned} \int u d\lambda_{x, x} &= \int u (h_{x, x} + c_{x, x}) d\theta/2\pi = \int u h_{x, x} d\theta/2\pi + c_{x, x} \int u d\theta/2\pi \\ &= \int u h_{x, x} d\theta/2\pi + c_{x, x} \int u d\delta_1 = \int u d\mu_{x, x} \geq 0 \end{aligned}$$

By the Riemann-Lebesgue lemma we know that  $z^n \rightarrow 0$  in the weak\* topology of  $L^\infty(d\theta/2\pi)$ . Hence the functions  $z, z^2, z^3, \dots$  are all in the weak\*-closure of  $A$  because  $z^k = (z^k - z^{k-1}) + \dots$

+  $(z^n - z^{n-1}) - z^n$  for  $n > k$ . Therefore for  $u \in C(\gamma)$  with  $u \geq 0$   
 $\int u d\lambda_{x,x} \geq 0$  and this implies (3).

Since the family  $\lambda_{x,y}$  ( $x,y \in H$ ) of measures on  $\gamma$  is semispectral, there is a positive definite map  $\phi \rightarrow T'_\phi$  of  $C(\gamma)$  in  $L(H)$  (cf. [3, Theorem 7.11]). By a dilation theorem of Naimark (cf. [3, Theorem 7.51]), we obtain a representation  $\phi \rightarrow U_\phi$  of  $C(\gamma)$  on a Hilbert space  $K$  which is a spectral dilation of  $\phi \rightarrow T'_\phi$ . If  $f \in A_0$  then  $\int f d\theta/2\pi = \int f d\delta_1 = 0$  and hence

$$\begin{aligned} (T'_f x, y) &= \int f d\lambda_{x,y} = \int f h_{x,y} d\theta/2\pi \\ &= \int f d\mu_{x,y} = (T_f x, y), \quad (x, y \in H). \end{aligned}$$

Thus  $T'_f = T_f$  if  $f \in A$  and the representation  $\phi \rightarrow U_\phi$  is the spectral dilation of  $f \rightarrow T_f$ .

If the representation is  $G$ -singular the family  $\mu_{x,y}$  ( $x,y \in H$ ) in the case of the proof of  $G$ -continuous is singular with respect to  $d\theta/2\pi + d\delta_1$ . Then Lemma 3 implies that it is semispectral immediatly.

## References

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