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Role of the Rossby Waves in the Broadening of an Eastward Jet

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ABSTRACT
To investigate the effect of the Rossby waves on an eastward jet such as the Kuroshio or Gulf Stream Extensions, a series of numerical experiments is conducted using a primitive equation model. In these experiments, an inflow and an outflow imposed on the western and eastern boundaries drive an unstable narrow jet and a broad interior flow in the western and eastern regions of the model domain, respectively. The barotropic Rossby waves are radiated from the transient region between the two regions. The eddy potential vorticity flux by the waves tends to compensate for the difference in the mean potential vorticity along mean streamlines between both sides of the transient region. Instability of the jet is insufficient for this compensation and weakens the mean potential vorticity gradient too much. Moreover, as the potential vorticity of the outflow is increased, the Rossby waves are intensified in order to compensate for the increase in the difference in the mean potential vorticity. These features strongly suggest that the Rossby waves are substantial in matching a jet with an interior flow. The speed of the waves and properties of eddies in recirculations of the jet are consistent with a two-layer analytic model, which indicates that the Rossby waves are radiated from eddies in recirculations. These eddies as well as the Rossby waves increase in amplitude with the transport of the recirculation near the surface presumably because of mean advection. Therefore, the mean potential vorticity of the interior flow, the intensity of the Rossby waves, and the transport of the recirculation change consistently with one another.

1. Introduction
The Kuroshio and Gulf Stream systems separated from the coast in the northern half of the subtropical gyre are accompanied by high eddy activity (Ducet and Taron 2001), suggesting that instability, the interaction between eddies, and rectification of eddy energy occur in these regions. The nonlinearity of this western boundary current extension (WBCE) is considered to be integral, for example, to the driving of the recirculation of the Kuroshio and Gulf Stream systems (Holland and Rhines 1980). The WBCE is broadened and matched to the weak flow in the ocean interior, passing through this turbulent region.

Both observations (Thompson 1977; Hogg 1981) and numerical experiments (Holland 1978; Jayne et al. 1996) indicate that the radiation of the Rossby waves is a common feature in the WBCE region. Rectification of Rossby wave energy has been proposed as a mechanism for the maintenance of the northern recirculation gyre of the Gulf Stream (Hogg 1988; Malanotte-Rizzoli et al. 1995; Mizuta and Hogg 2004; Waterman and Jayne 2011). However, the precise role of the Rossby waves on the mean flow in the WBCE region has not yet been clarified.

In the theory of the wind-driven circulation, the western boundary current is required in order to supplement the Sverdrup interior flow, which cannot satisfy the boundary condition by itself (Stommel 1948; Munk 1950). Although the viscous boundary layer that is confined near the western boundary exists at all latitudes, this is not exactly the case for the inertial boundary layer at latitudes where the interior flow is eastward (Pedlosky 1987). The WBCE and recirculation are typically located at these latitudes between the western boundary and the interior region.

The low (high) potential vorticity of water advected from the low (high) latitudes by the western boundary current is adjusted to the same value as that of the ocean interior, when this water enters the interior through the WBCE region. For laminar flow with the no-slip boundary condition, the adjustment occurs because of horizontal viscosity while water circulates in the recirculation...
gyre and stationary meanders (Cessi et al. 1990; Cessi 1991). Eddy motions are essential for the adjustment of turbulent flow (e.g., Fox-Kemper and Pedlosky 2004). However, the precise mechanism of the adjustment has not yet been fully examined.

The entire adjustment process, including the redistribution of the potential vorticity by eddies, should depend on the distribution of the interior flow, to which the western boundary current is matched, unless the WBCE region is extended across the basin, reaching the eastern boundary. Recent analysis of data from satellite altimetry and an ocean general circulation model indicate that the decadal variability of the recirculation and eddy activities in the Kuroshio Extension is correlated with that of the large-scale interior flow excited by the wind stress in the North Pacific (Taguchi et al. 2005, 2007; Qiu and Chen 2005, 2010). Qiu and Chen (2005) identified the contracted and elongated modes, which are characterized by small and large zonal extent of the recirculation, respectively, in the decadal variability of the Kuroshio Extension. They showed that the shift between the two modes occurs when the baroclinic Rossby waves excited by the wind stress in the downstream region of the Kuroshio Extension arrives at the recirculation. These changes suggest the existence of an adjustment process of the Kuroshio Extension, although the wind stress in the real ocean changes in both strength and pattern, making a simple interpretation difficult.

The adjustment of the potential vorticity across the WBCE region is accompanied with the nonlinear evolution of the large-scale flow, mesoscale eddies, and Rossby waves and the interaction between them. To better understand the basic processes of this complex adjustment of the potential vorticity, the present study examines results of an idealized numerical experiment. This adjustment process is the fundamental part of the wind-driven circulation, and the understanding of this process may contribute to the study of the decadal variability of the WBCE.

The primary goal of the present study is to examine the role of the Rossby waves on the adjustment of the potential vorticity across the WBCE region. For this purpose, numerical experiments are conducted, in which a narrow unstable inflow and a broad stable outflow were imposed on the western and eastern boundaries of a rectangular basin, respectively. The inflow and outflow correspond to the WBCE near the coast and the western part of the interior flow, respectively. The distribution of the outflow on the eastern boundary was changed in order to examine how the circulation near the western boundary of the model domain adjusts to the change. This change of the outflow corresponds to the change of the wind stress curl in latitudes where the interior flow is eastward in the real ocean. We focus on the dependence of the experimental results on the distribution of the potential vorticity of the outflow. Because the distribution of the inflow was fixed, the total transport of the outflow was constant.

Moreover, the radiation mechanism of the Rossby waves from the WBCE has not yet been fully investigated. Talley (1983a,b) examined the radiation of the Rossby waves on the basis of the linear instability of a zonal jet. In her analysis, the phase velocity of the perturbation inside an eastward jet matches that of the westward-propagating Rossby waves outside the jet when the jet is flanked by westward flows, although, from the semicircle theorem with the nonzero beta effect, the westward flows are not the necessary condition for the perturbation to propagate westward. Hogg (1988) showed that the (neutral) Rossby waves can be radiated from eastward-propagating meanders of the Gulf Stream. Because of the sporadically growing and spatially localized feature, the meanders have a westward-propagating component in the frequency–wavenumber domain. By examining the Rossby waves radiated from a jet and recirculation in numerical experiments, Mizuta (2009) showed that the zonal phase speed of the Rossby waves matches the speed of the westward recirculation. This feature of the zonal phase speed cannot be directly explained by studies by Talley (1983a,b) and Hogg (1988). He speculated that the Rossby waves are radiated from the eddies in the recirculation and analytically showed that the zonal speed of the perturbation matches the speed of the basic flow in an initial value problem. However, the distribution and speed of the eddies in the recirculation were not examined. The analytic solution was obtained in the barotropic model, and the effect of the stratification on the perturbation was not clear.

The second goal of the present study is to examine the radiation mechanism of the Rossby waves in the WBCE region. In the present study, numerical experiments are conducted in order to demonstrate that the intensity of the Rossby wave radiation depends on the distribution of the potential vorticity of the outflow. Then, the relationship of the eddies in the recirculation with the potential vorticity of the outflow and the Rossby waves are examined. An analytic solution in the two-layer model is also obtained.

The remainder of the present study is organized as follows: In the next section, the numerical model used in the present study and the experimental conditions are described. The effect of the Rossby waves on the mean flow is examined in section 3. The radiation mechanism of the Rossby waves is then discussed in section 4. The results obtained in these sections are summarized in section 5.
2. Model

The present study used the Regional Ocean Model System (ROMS; Haidvogel et al. 2000), which is a primitive equation model with Boussinesq and hydrostatic approximations. The model domain was a rectangle on the beta plane with a uniform bottom depth of $H_0 = 4000$ m. An inflow $u_{in}$ and an outflow $u_{out}$, which are defined as

$$u_{in} = \frac{u_0 K(z)}{\cosh^2 \frac{y}{L_{in}}}$$

and

$$u_{out} = \frac{r u_0 K(z)}{\cosh^2 \frac{y}{L_{out}}}$$

were imposed on the western and eastern boundaries, respectively, where

$$r = \frac{\int_{-Y/2}^{Y/2} dy}{\int_{-Y/2}^{Y/2} dy}.$$  

Here, $(x, y, z)$ are the zonal, meridional, and vertical coordinates, respectively, with the origin located at the middle of the western boundary at the surface. Both the inflow and outflow have a maximum of the zonal velocity at the middle of the boundary, with their transport being the same at each depth. The width of the inflow $L_{in}$ was 30 km, which is roughly comparable to the instantaneous width of the Kuroshio (Imawaki et al. 1997). The amplitude of the inflow $u_0$, the width of the outflow $L_{out}$, and the meridional dimension of the model domain $Y$ will be discussed later. The vertical structure $K(z)$ of the inflow and outflow was

$$K(z) = \frac{1}{1 - k} (e^{\frac{y}{d_0}} - k e^{\frac{y}{d_1}}),$$

where $d_0 = 750$ m, $d_1 = 200$ m, and $k = 0.133$. These coefficients and the stratification were determined based on the climatological values in the upstream part of the Kuroshio Extension in the World Ocean Atlas 2001 (Boyer et al. 2002; Stephens et al. 2002). The temporal variability of the vertical structure of the Kuroshio is rather small, and the mean and the instantaneous structures of the transport averaged in the across-flow direction are similar to each other (Imawaki et al. 2001).

The density of the inflow and outflow was the sum of the climatological stratification that is horizontally uniform and the density that is in geostrophic balance with the zonal velocity prescribed at the boundaries. Here, because the density in the model does not depend on the salinity and pressure, the buoyancy frequency was fitted in the determination of the stratification. The first internal deformation radius by this stratification was $\sim 30$ km at the center of the model basin, where the Coriolis coefficient was $f_0 = 9 \times 10^{-5}$ s$^{-1}$, which is the value around $38^\circ$N. The planetary beta was $\beta = 2 \times 10^{-11}$ m$^{-1}$ s$^{-1}$. The zonal dimension of the model domain was $X = 5120$ km.

We examine how the mean flow, the intensity of the Rossby waves, and the distribution of eddy potential vorticity flux respond to the change in the potential vorticity of the outflow by conducting the experiments listed in Table 1. In these experiments, $L_{out}$ changed from $5L_{in}$ in experiment N5 to infinity (i.e., the outflow was uniform in the meridional direction) in experiment Ninf. As a measure of the potential vorticity of the outflow, the present study adopted the normalized potential vorticity flux $j_{out}$ through the northern half of the eastern boundary. Here, $j_{out}$ is defined as

$$j_{out} = \left[ \int_A u q_1 H(y) dy dz \right] / \left[ \int_A u H(y) dy dz \right]$$

and

$$q_1 = \beta y + v_x - u_y + f_0 \left( \frac{b}{N^2} \right) y,$$

where $u$ (v) is the eastward (northward) velocity, $q_1$ is the deviation of the potential vorticity from $f_0$, $b$ is the buoyancy, $N^2$ is the buoyancy frequency, $A$ is the area of the eastern boundary, and $H(y)$ is the Heaviside step function [i.e., $H(y) = 0$ and 1 in $y < 0$ and $y > 0$, respectively]. Thus, $j_{out}$ corresponds to the mean potential vorticity weighted with $u$. The present study adopted the zonal velocity as the weight, because the potential vorticity, for example, at the point where $u = 0$ in $A$ does not affect the matching between the inflow and outflow. Note that $u q_1$ is an odd function of $y$ and that the numerator of

<table>
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<th>Experiment</th>
<th>$L_{out}^{1/2}$</th>
<th>$Y$ (km)</th>
<th>$u_0$ (m s$^{-1}$)</th>
<th>$j_{out} L_{out}^{1/2}$</th>
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<tr>
<td>N5</td>
<td>5</td>
<td>1280</td>
<td>0.4</td>
<td>0.45</td>
</tr>
<tr>
<td>N10</td>
<td>10</td>
<td>1280</td>
<td>0.4</td>
<td>0.56</td>
</tr>
<tr>
<td>Ninf</td>
<td>$\infty$</td>
<td>1280</td>
<td>0.4</td>
<td>0.69</td>
</tr>
<tr>
<td>L20</td>
<td>20</td>
<td>1920</td>
<td>0.4</td>
<td>0.79</td>
</tr>
<tr>
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<td>$\infty$</td>
<td>1920</td>
<td>0.4</td>
<td>0.95</td>
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<tr>
<td>N15U8</td>
<td>10</td>
<td>1280</td>
<td>0.8</td>
<td>0.42</td>
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<tr>
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<td>0.8</td>
<td>0.49</td>
</tr>
<tr>
<td>L15U8</td>
<td>15</td>
<td>1920</td>
<td>0.8</td>
<td>0.50</td>
</tr>
<tr>
<td>LinfU8</td>
<td>$\infty$</td>
<td>1920</td>
<td>0.8</td>
<td>0.61</td>
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The beta effect, when the value of $\beta L^{-1}$ is listed in Table 1. Within the range of $L_{out}$ in Table 1, $j_{out}$ increases with $L_{out}$ because the planetary vorticity dominates in (6) (e.g., experiments N5, N10, and Ninf). The value of $j_{out}$ decreases with increasing $L_{out}$ for smaller values of $L_{out}$ because of the relative vorticity, which is negative and positive in $y < 0$ and $y > 0$, respectively. For such a small value of $L_{out}$, the profile of the outflow does not satisfy the sufficient condition of the linear stability, and the long waves originated from the eastern boundary can propagate eastward without matching with the western boundary condition. Thus, these small values of $L_{out}$ were not examined in the present study. The value of $L_{out}$ used in experiments N5 and N10U8 was close to this limit. The value of $j_{out}$ is offset by the stretching term by a constant amount independent of $L_{out}$.

The meridional dimension of the basin $Y$ was also changed, in order to examine more examples of $j_{out}$. The value of $j_{out}$ increases with $Y$ because of the planetary beta effect, when $L_{out}$ is large and satisfies $Y \leq L_{out}$ (e.g., experiments Sinf, Ninf, and Linf). The amplitude of the inflow and outflow $u_0$ was doubled in experiments N10U8, NinfU8, L15U8, and LinfU8. The total transport of the inflow and outflow was 15 and 30 Sv (1 Sv = $10^6$ m$^2$ s$^{-1}$) for $u_0 = 0.4$ and 0.8 m s$^{-1}$, respectively. These values are roughly comparable to the net eastward transport of the Kuroshio (Imawaki et al. 2001).

The velocity and density were nudged to the boundary values near the western and eastern boundaries, at which a radiation condition was imposed. The southern and northern boundaries were solid and a sponge layer was imposed along the boundaries to suppress the reflection of waves. The nudging and sponge layer were the same as in Mizuta (2009). Bottom friction with the damping time scale of 1000 days was included as dissipation. In ROMS, the third-order upstream horizontal advection and the fourth-order centered horizontal advection were used for the momentum and tracer, respectively. Hence, the model included numerical viscosity. Horizontal grid spacings were 10 km, and vertical grid spacings were 11 m near the surface and the bottom and increased to 335 m at middle depths.

Model equations were integrated for 8000 days for each experiment in Table 1, starting from a zonal jet that has the same profile as that imposed at the western boundary and an initial perturbation that consists of a Gaussian eddy of 30-km radius. After the spinup of 4000 days, during which the initial trend of the total kinetic energy attenuates, the motions obtained for the last 4000 days were analyzed. The sensitivity of the model results to the distance to the eastern boundary and vertical grid spacings was also investigated by increasing the domain width and the grid numbers in the vertical direction. The experimental results were found to vary little with these changes.

3. Effect of the Rossby waves on the mean flow

In this section, we first examine the basic structure of the mean flow and variabilities in a typical example. Then, we examine the structure of the eddy potential vorticity flux, the character of the radiation of the Rossby waves, and the effect of the Rossby waves on the mean flow.

The mean flow and variabilities obtained in experiment Ninf are examined as a typical example (Fig. 1). In the following analysis, the flows on the 26.3- and 27.8-$\sigma_0$ isopycnal surfaces, which are located at depths approximately 400 and 3300 m, are adopted as being representative of the surface and deep flows, respectively. These depths correspond to the upper part of the pycnocline and the part below the pycnocline. The velocity $(u, v)$; isopycnal depth $z$; and Bernoulli function $H$, which corresponds to the quasigeostrophic streamfunction in isopycnal coordinates, are interpolated onto these isopycnals in 4-day intervals, and the potential vorticity $q$ on the isopycnals is calculated from the interpolated variables. Then, the time averages, which are denoted by overbars, and the deviations from the time average, which are denoted by primes, are obtained for these variables.
Driven by the inflow from the western boundary, an eastward jet is formed at $y \sim 0$ in the mean field in the western region of the model domain (Fig. 1a). The jet extends from (26.3–27.8)$\sigma_\theta$ (Figs. 1a,b). The jet is flanked by westward recirculations especially on the 27.8-$\sigma_\theta$ surface. There is a front of the mean potential vorticity along the jet axis on the 26.3-$\sigma_\theta$ surface (Fig. 2a), whereas the mean potential vorticity in this region is nearly homogeneous on the 27.8-$\sigma_\theta$ surface (Fig. 2b), as indicated by the results of the numerical experiment of wind-driven circulation (Holland and Rhines 1980). The meridional gradient of the mean potential vorticity is negative on both sides of the jet and along the jet axis on the 26.3- and 27.8-$\sigma_\theta$ surfaces, respectively, satisfying the necessary condition of both barotropic and baroclinic instability.

Driven by the outflow from the eastern boundary, a broad and almost parallel flow, which is in the eastward direction, is formed on the 26.3-$\sigma_\theta$ surface in the eastern region of the model domain (Fig. 1a). Because the mean potential vorticity is approximately constant in the zonal direction and takes a value close to that on the eastern boundary due to the planetary beta effect (Fig. 2a), this region will hereafter be referred to as the interior region. In the region between the western and interior regions, the jet is broadened at $x \sim 2000$ km and mostly continues eastward on the 26.3-$\sigma_\theta$ surface, whereas flows return to the western region on the 27.8-$\sigma_\theta$ surface. This region will be referred to as the transient region. As an indicator of the center of the transient region, the dashed lines in Figs. 1 and 2 denote $x = x_c$, which is defined as $x_c = (x_{c1} + x_{c2})/2$, with $x_{c1}$ and $x_{c2}$ being the maxima of the barotropic meridional velocity in the southern and northern halves of the domain, respectively. The difference in the potential vorticity between the inflow and outflow must be eliminated somewhere in the western and transient regions before flows enter the interior region, especially on the 26.3-$\sigma_\theta$ surface, on which the transport of the inflow and outflow is large. The three regions similar to the present study have been identified by Waterman and Jayne (2011) based on the character of baroclinic and barotropic instability and the eddy potential vorticity flux.

The meridional profile of the mean zonal velocity on the 26.3-, 27.1-, 27.7-, and 27.8-$\sigma_\theta$ surfaces are compared in Fig. 3a, where these isopycnal surfaces are located at depths of approximately 400, 1100, 2200, and 3300 m, respectively. The maxima of the recirculations are located at $y \sim \pm 150$ km, with the maximum speed being $\sim 4$ cm s$^{-1}$ on these isopycnal surfaces. The maximum speed of the jet and the magnitude of the front of the mean potential vorticity at the jet axis decrease monotonically with depth, and the front is absent from the 27.8-$\sigma_\theta$ surface (Figs. 3a,b). The meridional gradient of the mean potential vorticity in the southern and northern recirculations is smaller than that outside the recirculation, where the planetary potential vorticity is dominant, on these isopycnal surfaces (Fig. 3b). Thus, the present study adopts the 26.3- and 27.8-$\sigma_\theta$ surfaces as being two typical isopycnals.

The variance of the velocity is large on the 26.3-$\sigma_\theta$ surface along the jet axis (Fig. 1). The variance increases in the eastward direction and reaches a maximum in the transient region on both the 26.3- and 27.8-$\sigma_\theta$ surfaces (Fig. 1). A large variance extends over a broader area on the 27.8-$\sigma_\theta$ surface than on the 26.3-$\sigma_\theta$ surface. Because the major axes of the variance ellipses are oriented in the northwest–southeast direction in the northern part and are oriented in the southwest–northeast direction in the southern part, it is suggested that energy is radiated from the jet by the Rossby waves. Mizuta (2009) showed that the variabilities of velocity are dominated by the barotropic Rossby waves, except for those near the jet and recirculation.

The eddy potential vorticity flux $\overline{u' q'}$ on the 26.3-$\sigma_\theta$ surface converges and diverges in the northern and southern parts of $x \sim 2000$ km, which corresponds to the transient region (Fig. 4a). This dipole structure of the eddy potential vorticity flux $\overline{u' q'}$ tends to accelerate cyclonic and anticyclonic circulations in the northern and southern parts, respectively. The convergence of $\overline{u' q'}$ in the western region has the opposite sign from that in the transient region in similar latitudes. Thus, the eddy potential vorticity...
flux tends to decelerate cyclonic and anticyclonic circulations on cyclonic and anticyclonic sides of the jet, respectively, suggesting barotropic or mixed instability. The relative vorticity flux dominates in the potential vorticity flux on this isopycnal surface (Figs. 4b,c). Decreasing with depth, the relative vorticity flux near the jet and recirculation is comparable to the potential thickness flux on the 27.8-$\sigma_U$ surface, and the dipole structure is not dominant on this surface (not shown). The convergence of the eddy potential vorticity flux $\mathbf{u} \cdot \mathbf{q}'$ almost cancel with that of the mean potential vorticity flux $\mathbf{u} \cdot \mathbf{q}$ in most of the model domain, except for a narrow region near the jet axis, indicating that the region of significant dissipation is limited (Fig. 4d).

The dipole structure of the convergence of $\mathbf{u} \cdot \mathbf{q}'$ on the 26.3-$\sigma_U$ surface is qualitatively similar to that in an
idealized experiment conducted by Haidvogel and Rhines (1983), who examined the Rossby waves excited by a localized forcing in a circular region. In their experiment, $u' q'$ is northward in the region where the forcing to the wave is applied, and $u' q'$ converges and diverges in the northern and southern parts of the forcing region, respectively. In the following, we further examine the relationship between the dipole structure and the wave radiation, by comparing results from different experiments.

The convergences of the eddy potential vorticity flux in four experiments are compared in Fig. 5 as typical examples. The mean potential vorticity of the outflow $j_{\text{out}}$ scaled with $u_0 L_m^{-1}$ is 0.45, 0.56, 0.69, and 0.95, in Figs. 5a–d, respectively, and increases in this order (Table 1). The dipole structure of the potential vorticity flux convergence is apparent in the experiments other than experiment N5 (Fig. 5a). The dashed lines in each figures in Figs. 5 and 6 denote the center of the transient region, $x = x_c$. In these experiments, the dipole is located in the transient region where the jet is broadened and matched to the interior flow. The intensity of the dipole increases systematically with the potential vorticity of the outflow $j_{\text{out}}$ (Fig. 5). The value of $x_c$ varies from $x_c \sim 2800$ km in experiment N5 to $x_c \sim 1400$ km in experiment Linf as the zonal width of the western region varies between these experiments. The change of the zonal width may be related to that of the $e$-folding scale in time and/or space of the instability of
the jet (Jayne and Hogg 1999). However, determining the precise reason for this change is beyond the scope of the present study.

The character of the Rossby wave radiation is examined, by using the distribution of the Reynolds stress $\overline{u'u'}$ that is averaged in the vertical direction (Fig. 7). The Reynolds stress $\overline{u'u'}$ is nonzero in regions where the Rossby waves propagate over a long distance in the meridional direction without being dissipated or forced. This is not the case for the convergence of the eddy potential vorticity flux due to the nonacceleration theorem (Fig. 5). The Reynolds stress $\overline{u'u'}$ in experiment Ninf is negative (positive) in the northern (southern) part of the basin, corresponding to the orientation of the major axis of variance ellipses shown in Fig. 1 (Fig. 7c). A maximum and a minimum of $\overline{u'u'}$ are located in the transient region. The Reynolds stress $\overline{u'u'}$ integrated in the zonal and vertical direction in experiment Ninf is examined in Fig. 8. The integrated Reynolds stress is approximately constant in the meridional direction outside the jet and recirculation, $|y| > 250$ km, indicating that the Rossby waves propagate without the forcing and dissipation there (Fig. 8). Thus, the present study adopts the amplitude of $\overline{u'u'}$ outside the recirculation as a measure of the intensity of the Rossby waves radiated from the jet and recirculation.

The same (qualitatively) feature of the horizontal distribution of the Reynolds stress is observed in other experiments in Fig. 7. As in Fig. 5, Figs. 7a–d are displayed in the increasing order of the mean potential vorticity of the outflow $j_{\text{out}}$. The Reynolds stress indicates that the Rossby wave radiation is intensified with $j_{\text{out}}$. Thus, the intensity of the Rossby wave and that of the dipole of the convergence of the eddy potential vorticity flux are correlated with each other. According to a precise analysis in which motions are divided into frequency bands, the dipole structure in Fig. 5 is due to low-frequency motions, which are dominated by the Rossby waves (Mizuta 2009). Similarly, the potential vorticity flux convergence along the jet axis in the western region is due to high-frequency motions concentrated near the jet. Therefore, the dipole structure in Fig. 5 is due to the wave radiation.

![Fig. 7](image-url)  
FIG. 7. As in Fig. 6, but for the vertically averaged Reynolds stress. The contour interval is $ci = 0.4$ (cm$^2$ s$^{-2}$), with the shaded regions indicating the regions in which the integrated Reynolds stress is $< -ci$.

![Fig. 8](image-url)  
FIG. 8. Meridional distribution of the Reynolds stress $\overline{u'u'}$ integrated in the zonal and vertical directions ($10^2$ m$^4$ s$^{-2}$) in experiment Ninf.
In the following, we further examine how the wave radiation affects the mean flow. To examine the relationship between $\overline{uv}$ and the change of $\overline{q}$ along mean streamlines, $\overline{q}$ is divided into two components,

$$\overline{q} = Q(\overline{\Pi}) + \delta \overline{q},$$  \hspace{1cm} (7)\]

where $Q(\overline{\Pi})$ denotes a representative value of the mean potential vorticity along a prescribed contour of $\overline{\Pi}$ and $\delta \overline{q}$ denotes the deviation of $\overline{q}$ from this mean potential vorticity. Then, only the second term, the magnitude of which is expressed by colors in Fig. 9, contributes to advection of $\overline{q}$ to the first approximation. The form of $Q(\overline{\Pi})$ was determined by means of a polynomial fitting of $\overline{q}$ in the interior region by $\overline{\Pi}$ for each experiment and differs between Figs. 9a,b.

We first examine the change in $\delta \overline{q}$ along contour A–A’, which passes through the northern part of the transient region in experiment Ninf, starting from point A (Fig. 9b). The value of $\delta \overline{q}$ is high at point A, which is located on the cyclonic side of the jet. Then $\delta \overline{q}$ decreases eastward along the contour in the region $1200 \leq x \leq 1900$ km near the jet axis. This part of the contour is located in the area, in which $\overline{uv}$ diverges, presumably because of instability (Fig. 5c). The value of $\delta \overline{q}$ is negative at $(x, y) \sim (1900, 20)$ km and increases along the contour in the region $50 \leq y \leq 250$ km as the jet broadens. This part of the contour is located in the northern part of the dipole of the convergence of $\overline{uv}$ associated with the Rossby wave radiation (Fig. 5c). The value of $\delta \overline{q}$ along contour B–B’ that passes through the southern part of the transient region in experiment Ninf, changes in the opposite manner from contour A–A’. That is, $\delta \overline{q}$ increases because of the instability of the jet in the western and transient regions and decreases because of the Rossby wave radiation as the jet broadens in the transient region. Note that $\overline{q}$ along contour A–A’ is larger than that along contour B–B’ if the contribution from $Q(\overline{\Pi})$ is included. Thus, instability excessively reduces the difference in $\overline{q}$ between the two contours as compared with that of the interior flow, and the radiation of the Rossby waves compensates for the reduced difference. When the width of outflow is narrower and hence the mean potential vorticity of the outflow $j_{out}$ is smaller, the change of $\delta \overline{q}$ associated with the broadening of the jet is not clear, corresponding to the weak radiation of the Rossby waves (Fig. 9a).

The correlation between the potential vorticity of the outflow $j_{out}$, and the intensity of the Rossby waves is examined in Fig. 10 for all of the experiments listed in Table 1. Here, the Reynolds stress $\overline{uv}$ outside the jet and recirculation is adopted as the intensity of the Rossby waves. Because $l_\beta = \sqrt{u_0/\beta}$ is a typical meridional scale of the recirculation (Mizuta 2009), the difference in the Reynolds stress, which is integrated on $y = \pm 2l_\beta$ in the zonal and vertical directions, is adopted in Fig. 10. The value of $2l_\beta$ corresponds to 280 and 400 km for $u_0 = 0.4$ and 0.8 m s$^{-1}$, respectively, and the integrated Reynolds stress is approximately constant in the meridional direction near $y = \pm 2l_\beta$ (Fig. 8).

The intensity of the Rossby wave increases systematically with the potential vorticity of the outflow, except for one experiment for $u_0 = 0.8$ m s$^{-1}$ (Fig. 10). Therefore, the change in the mean potential vorticity associated with the broadening of the jet in the meridional direction is compensated for by the potential vorticity flux by the Rossby wave radiation. Note that experiments with various combinations of the outflow width $L_{out}$ and domain size $Y$ are aligned near a single curve on the $j_{out}$-$\overline{uv}$ plane, especially for $u_0 = 0.4$ m s$^{-1}$. The integrated Reynolds stress is partitioned into the contribution from

![Fig. 9. Distribution of $\delta \overline{q}$ along contours of $\Pi$ in experiments (a) N5 and (b) Ninf. Colors indicate the value of $\delta \overline{q}$. The contour interval is 0.2 m$^2$ s$^{-2}$. The dotted lines A–A’ and B–B’ in (b) indicate typical contours that pass through the northern and southern parts of the transient region, respectively.](image-url)
the intervals $0 < x$ (circle), $0 < x < x_c + \lambda_0$ (triangle), and $0 < x < x_c$ (square), where $\lambda_0 = 400$ km is a typical value of the wavelength of the Rossby waves. The contribution from $x_c + \lambda_0 < x$ (circle minus triangle) is about 0.1–0.2 for $u_0 = 0.4$ m s$^{-1}$ and 0.2–0.3 for $u_0 = 0.8$ m s$^{-1}$, and it does not increase significantly with $j_{\text{out}}$ for $u_0 = 0.4$ m s$^{-1}$ and decreases with increasing $j_{\text{out}}$ for $u_0 = 0.8$ m s$^{-1}$, suggesting that the increase of the short Rossby waves is rather small (Fig. 10). Thus, we focus on the Rossby waves radiated into the western and transient regions in the next section.

Before proceeding to the next section, some remarks are added to the effect of the inflow width on the mean potential vorticity. The minimum of $\delta \bar{\eta}$ along contour A–A$'$ and the maximum of $\delta \bar{\eta}$ along contour B–B$'$ in experiment Ninf (Fig. 9b) are listed in Table 2. The minimum $\delta \eta_{\text{min}}$ and maximum $\delta \eta_{\text{max}}$ are negative and positive, respectively, corresponding to the effect of instability. For comparison, the width of inflow $L_{\text{in}}$ is increased by 20% with the total transport of the inflow and the outflow condition unchanged in experiment NinfB (Table 2). Both $\delta \eta_{\text{min}}$ and $\delta \eta_{\text{max}}$ decrease with increasing $L_{\text{in}}$, corresponding to the weakening of the perturbation of the jet by instability (the maximum of the rms of the potential vorticity on the 26.3-$\sigma_0$ surface is 3.7 and 2.3 in experiments Ninf and NinfB, respectively). This feature of $\delta \bar{\eta}$ is may not be surprising, if we consider a limit, in which $L_{\text{in}}$ is increased to the same value as $L_{\text{out}}$. In this limit, streamlines are zonal and $\delta \bar{\eta}$ vanishes. However, quantitative analysis of the change of $\delta \bar{\eta}$ by intrinsic dynamics of the jet is beyond the scope of the present study. The Reynolds stress integrated in the zonal and vertical direction indicates again that the radiation of the Rossby waves decreases with $|\delta \eta_{\text{min}}|$ and $|\delta \eta_{\text{max}}|$ (Table 2). Qualitatively same results are obtained in experiments with $u_0 = 0.8$ m s$^{-1}$ (not shown).

4. Radiation process of the Rossby waves

In the previous section, the intensity of the Rossby waves is shown to increase with the mean potential vorticity of the outflow in order to compensate for difference in the potential vorticity between the inflow and outflow. In this section, the source of the Rossby waves and the process that adjusts the wave intensity to a value that is appropriate to the difference between the inflow and outflow are examined. The zonal phase speed of the Rossby waves tends to be close to the maximum speed of the recirculation (Mizuta 2009). Because advection by the mean flow is expected to be dominant in the variabilities of the potential vorticity in the recirculation, in which the mean potential vorticity is nearly homogeneous, it is most probable that the waves are related to the variabilities in

![Figure 10. Scatterplots of $j_{\text{out}}$ vs the Reynolds stress $\vec{u}\vec{v}$ integrated in the zonal and vertical directions in all experiments for $u_0 = (a) 0.4$ and (b) 0.8 m s$^{-1}$. The circles, triangles, and squares indicate the Reynolds stress integrated in $0 < x$, $0 < x < x_c$, and $x_c < x$, respectively. The values of $j_{\text{out}}$ and integrated Reynolds stress are normalized with $u_0 L_{\text{in}}$ and $u_0^2 H_{\text{in}}$, respectively.](image-url)

| Table 2 | The minimum and maximum of $\delta \bar{\eta}$ along typical mean streamlines that pass through the northern and southern parts of the transient region, respectively. The fifth, sixth, and last columns represent the minimum of $\delta \bar{\eta}$ along a streamline $\Pi = \Pi_5$, the maximum of $\delta \bar{\eta}$ along a streamline $\Pi = \Pi_5$, and the Reynolds stress $\vec{u}\vec{v}$ integrated in the zonal and vertical direction at $y = 2L_0$, respectively. The values in parentheses in the fifth and sixth columns denote $\Pi_{5'}$ and $\Pi_{5''}$, respectively. |
|---|---|---|---|---|---|
| $u_0$ (m s$^{-1}$) | $L_{\text{in}}$ (km) | $L_{\text{out}}$ (km) | $\delta \eta_{\text{min}}(\Pi_5) \times 10^{-6}$ s$^{-1}$ | $\delta \eta_{\text{max}}(\Pi_5) \times 10^{-6}$ s$^{-1}$ | Integrated $\vec{u}\vec{v}$ (10$^6$ m$^4$ s$^{-2}$) |
| Ninf | 0.4 | 30 | $\infty$ | $-1.22$ | $0.85$ | (0.4, B–B$'$) | 0.46 |
| NinfB | 0.33 | 36 | $\infty$ | $-0.86$ | $0.72$ | (0.4) | 0.27 |
the recirculation. This property of the Rossby waves is apparent in the Hovmoeller diagram of the velocity at a latitude to the north of the northern recirculation (Fig. 11). The variabilities of the velocity are approximately barotropic and propagate at an almost constant speed during most of the period in \( x = 2200 \) km, even though the barotropic Rossby waves are dispersive. The waves are not monochromatic, because low-frequency motions are more dominant in the zonal velocity \( u \) than in the meridional velocity \( v \). Thus, the variabilities in Fig. 11 are the sum of the waves that satisfy:

\[
\frac{\omega}{c_x} = \frac{k}{l} \text{ const}
\]

where \( c_x = -\beta/(k^2 + l^2) \), \( \omega = k c_s \), and is consistent with the difference in frequency between \( u' \) and \( v' \) in Fig. 11. The dominant zonal phase speed, \( c_x \approx 4.3 \) cm s\(^{-1}\), is close to the maximum westward speed of the recirculation \( U_m \) (Fig. 3a). Mizuta (2009) hypothesized that eddies advected westward within the recirculation, in which the mean potential vorticity is nearly homogeneous, are the source of the Rossby waves. We first validate this hypothesis in section 4a.

\[ a. \text{ Source of the Rossby waves} \]

The variabilities of velocity are large near the jet and recirculation on shallow isopycnals (Fig. 1). The rms of eddy potential vorticity on the 26.3-\( \sigma_b \) surface attains maxima in the meridional direction along the jet axis, \( y \approx 0 \), and the recirculation, \( y \approx \pm 150 \) km, in experiment Ninf in which the Rossby waves are apparent (Fig. 12c). The evolution of eddies along the jet, in the transient region, along the recirculation, and at a longitude in the western region is examined in Figs. 13a–d, respectively, by using Hovmoeller diagrams of the eddy potential vorticity. Eddies in the jet move eastward in the western and transient regions, \( x \approx 2000 \) km (Fig. 13a). Although standing waves are suggested by patches of the eddy potential vorticity with a zonal scale of \( \approx 100 \) km, the westward-propagating motions appear to be rather minor.

The above-mentioned area, which is characterized by intense eddies, ends in the transient region, in which eddies move northward and southward from the jet axis, \( y \approx 0 \) km, to the recirculation, \( y \approx \pm 150 \) km (Fig. 13b). Potential vorticity anomalies are shed northward from the jet axis at approximately 6100, 6600, 7100, and 7300 days. Contours of the potential vorticity near the jet are distorted from horizontal lines by \( O(10^2) \) km in the meridional direction around these days. Note that the contours of the potential vorticity to the north of the recirculation, \( y > 250 \) km, appear to be disturbed after this shedding of eddies. Dominated by the planetary potential vorticity, the meridional gradient of the potential vorticity is always
positive in $|y| > 250$ km. This is consistent with the fact that the variabilities in these areas are dominated by the linear Rossby waves. The distortion of contours of the potential vorticity from horizontal lines is an order of magnitude smaller in $|y| > 250$ km than that in the recirculation. In contrast to the variabilities in $|y| > 250$ km, there are minima and maxima of the potential vorticity in the meridional direction in the northern and southern recirculations, where the mean potential vorticity is approximately homogeneous, except for $y \sim 0$ (Fig. 3b). Some contours of the potential vorticity are closed around these minima and maxima. These closed contours and the

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{fig12.png}
\caption{As in Fig. 7, but for the rms of the potential vorticity $(\overline{q^2})^{1/2}$ on the 26.3-$\sigma_g$ surface. The contour interval is 0.8 s$^{-1}$.}
\end{figure}

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{fig13.png}
\caption{Hovmoeller diagrams of $q'$ on the 26.3-$\sigma_g$ surface in experiment Ninf along (a) $y = 0$ km, (b) $x = 1950$ km, (c) $y = 150$ km, and (d) $x = 1500$ km. The location $x = 1950$ km adopted in (b) corresponds to the maximum of meridional velocity in the northern half of the domain ($x = x_c$). The thick dashed lines in (c) correspond to the westward-propagating speed of $c_w = 4.3$ cm s$^{-1}$. The contour interval is 1.5 s$^{-1}$ in (a),(b),(d) and 0.75 s$^{-1}$ in (c).}
\end{figure}
large distortion of contours from horizontal lines indicate nonlinearity of the variabilities inside the recirculation. Eddies characterized by closed contours of the potential vorticity are in contrast to the linear waves outside the recirculation due to this nonlinearity.

Eddies that have entered the recirculation move westward at an almost constant speed (Fig. 13c). This speed is close to 4.3 cm s⁻¹ and coincides with that of the Rossby waves radiated to the north of the recirculation (Fig. 11). Shedding of eddies from the jet is absent from the western region at $x = 1500$ km (Fig. 13d). The variabilities of the potential vorticity at this longitude again consist of eddies characterized with closed contours of potential vorticity within the recirculation and the linear waves to the north and south of the recirculation.

The behavior of the eddy potential vorticity shown in Fig. 13 supports the hypothesis that the variabilities by eddies in the recirculation are the source of the Rossby waves. Examples of this type of the source of the waves can be demonstrated in an initial value problem of eddies in a piecewise basic flow in a zonally periodic channel (Fig. 14). The essential feature of the problem is formulated in the present study in order to represent the flows in the upper and lower layers, respectively. The basic flow in the upper and lower layers, respectively, $U_m$ and $U_1$, and the perturbation streamfunctions; $V^2$ is the Laplacian in the horizontal direction; and $F_n = f_n^2/(g'H_n)$, with $g'$ and $H_n$ representing the reduced gravity and layer thickness, respectively. The basic flow $U_n$, which is absent in the region $|y| > D$, is defined in appendix A, and the basic potential vorticity $Q_n$ determined from this $U_n$ satisfies

$$Q_{n,y} = 0 \quad (n = 1, 2),$$

where $t$ is the time; $U_1$ and $U_2$ are the basic zonal flows in the upper and lower layers, respectively, $\psi_1$ and $\psi_2$ are the perturbation streamfunctions; $V^2$ is the Laplacian in the horizontal direction; and $F_n = f_n^2/(g'H_n)$, with $g'$ and $H_n$ representing the reduced gravity and layer thickness, respectively. The basic flow $U_n$, which is absent in the region $|y| > D$, is defined in appendix A, and the basic potential vorticity $Q_n$ determined from this $U_n$ satisfies

$$Q_{n,y} = \begin{cases} 0 & \text{at } 0 < |y| < D \\ \beta & \text{at } |y| > D \end{cases}$$

with

$$[Q_1]_0 = 2\Delta Q, \quad [Q_2]_0 = 0,$$

indicating that $Q_n$ is homogeneous in $0 < |y| < D$, which corresponds to the recirculation. The basic flow in the upper layer is eastward near $y = 0$ and westward to the north and south of $y = 0$ (Fig. 14), when $\Delta Q$ satisfies (A5). Thus, the qualitative features of the mean flow and the mean potential vorticity in the numerical experiments are roughly captured.

The perturbation streamfunctions are assumed to be periodic in the zonal direction. That is,

$$\psi_n = \phi_n e^{ikx}.$$  

Because the last term on the lhs of (8) vanishes in the recirculation, $0 < |y| < D$, the value of the perturbation potential vorticity is nonzero there only if a nonzero value is initially prescribed (Pedlosky 1964). The normal modes of (8) do not change the potential vorticity in $0 < |y| < D$. The large variabilities of the jet shown in Fig. 13b are absent from the linearized equation, (8). Thus, a perturbation potential vorticity $q_0(y)e^{ikx}$ that corresponds to closed contours of the potential vorticity is initially prescribed in the upper layer of the northern recirculation, $0 < y < D$,

$$q_n = \phi_{n,yy} - k^2\phi_n + (-1)^n F_n (\phi_1 - \phi_2) = q_0 \delta_{n1}$$

at $t = 0$.  

Using the method of Laplace transformation in time, we have
Here, the normal modes originate from the poles of $G_n$ at $p = p_m$ ($m = 1, 2, \ldots$). The first term corresponds to a continuous spectrum (Pedlosky 1964). The continuous spectrum is associated with the perturbation potential vorticity $q_0$, which is advected by the basic flow in $0 < y < D$. Thus, the phase speed of the Rossby waves originated from the continuous spectrum matches with the speed of the basic flow. The continuous spectrum is missing from the solution of a similar analytic model proposed by Talley (1983a,b). The perturbation attenuates as the contours of the perturbation potential vorticity are zonally elongated by the shear of the basic flow (Fig. 14). If (A5) is satisfied, then the basic flow in the upper layer reaches a minimum $U_m$ at $|y| = y_m < D$. Then, the solution asymptotes to

$$\psi_n = \psi_n' e^{i k x} = \int_0^D G_n(y; y', -i k U_1(y')) q_0(y') e^{i k (x - U_1(y') t)} dy'$$

for large $t$ because contours of the potential vorticity are not sheared at the minimum $U_m$. It can be shown that the normal modes are neutral in this case because $\langle Q_n \rangle = \langle -U_{n,y} \rangle > 0$ at $y = 0, \pm D$ (see Fig. 14). Thus, failing to extract energy from the basic flow, the normal modes cannot radiate energy and are evanescent in $|y| > D$. The baroclinic mode is also evanescent in midlatitudes at the frequencies considered in the present study, $\approx O(10^{-2})$ cpd (Fig. 11). Thus, only the barotropic Rossby waves that match with $U_m$ are radiated. Therefore, the analytic model discussed here captures the basic feature of the Rossby waves in the numerical experiments.

The radiation of the Rossby waves is accompanied by the eddy potential vorticity flux in the upgradient direction of the basic potential vorticity. From the transformed Eulerian mean theory for the zonal-mean flow, the temporal variation of the eddy enstrophy at the discontinuity of the basic potential vorticity at $y = 0, \pm D$ is essential for the eddy potential vorticity flux, because the forcing and dissipation are not explicitly considered here. Similarly, advection of eddy enstrophy, as well as the forcing and dissipation, corresponds to the eddy potential vorticity flux in the time-mean flow. As reported by Holland and Rhines (1980), the eddy enstrophy decreases in the downstream direction of the mean flow in the transient region, from which the Rossby waves are radiated (Figs. 6, 12).

b. Adjustment process of the wave intensity

The rms of the eddy potential vorticity on the 26.3-$\sigma_\theta$ surface for different experiments is compared in Fig. 12. As in Fig. 7, Figs. 12a–d are displayed in the increasing order of the mean potential vorticity of the outflow $J_{out}$. The intensity of eddy motions along the recirculation, $y \sim \pm 150$ km, increases with the mean potential vorticity of the outflow, and the region of the large rms of eddy potential vorticity along the recirculation is not clear in experiment N5. Thus, the intensity of eddy motions along the recirculation is correlated with that of the Rossby wave radiation, which is consistent with the radiation mechanism proposed in the previous subsection.

In the transient region, where eddies are shed from the jet to the recirculation, the eastward jet is broadened on
The northern and southern parts of the broadened jet do not directly enter the interior region but rather turn westward and join the recirculation, contributing to the increase in the transport of the recirculation near the transient region (Fig. 6). The transport of the recirculation increases with the mean potential vorticity of the outflow and is correlated with the rms of eddy potential vorticity along the recirculation. In contrast, the maximum rms of the eddy potential vorticity along the jet axis, which corresponds to the source of eddies, does not change significantly in experiments shown in Fig. 12. Thus, the entrainment of eddies into the recirculation is enhanced more significantly by the increase of the mean transport, which affects advection of eddies than by the strengthening of the source in these experiments. Note that the transport on the 26.3-σ₀ surface depends primarily on the width of the recirculation rather than on the speed (not shown). Thus, significant effects on the phase speed of the Rossby waves are not expected.

The maximum transport of the recirculation on the 26.3-σ₀ surface and the intensity of the Rossby waves are compared for all experiments (Fig. 15). Here, in order to estimate the transport of the recirculation, changes in the Bernoulli function across westward flows that flank each side of the eastward flow at y = 0 are calculated for all x as a measure of the transport of the northern and southern recirculations. This quantity is expressed as ΔΠ₁(x) and ΔΠ₂(x) for the southern and northern recirculations, respectively. Then, ΔΠₘ = max[ΔΠ₁(x) + ΔΠ₂(x)] is adopted as a measure of the maximum transport of the recirculation. The transport of the recirculation and the intensity of the Rossby waves are highly correlated, except for one experiment for u₀ = 0.8 m s⁻¹ (Fig. 15). Therefore, the potential vorticity of the interior flow, the potential vorticity of the jet and interior flow.

5. Summary

The relationship between the Rossby waves and the WBCE was investigated, by conducting numerical experiments with the inflow and outflow boundary conditions. An unstable eastward jet flanked by westward recirculations and a broad interior flow are driven by the inflow and outflow in the western and eastern regions, respectively. We focused on the difference in the potential vorticity between the jet and interior flow. The difference changes with the width of the outflow because the normalized potential vorticity flux jout of the outflow increases with width because of the planetary beta effect.

The effect of the Rossby waves on the mean flow is first examined. The barotropic Rossby waves are radiated from the transient region between the jet and interior regions. The eddy potential vorticity flux due to the radiation of the Rossby waves converges and diverges in the northern and southern parts of the transient region, respectively. The change in the mean potential vorticity along mean streamlines is also examined. The meridional gradient of the mean potential vorticity is weakened too much by the instability of the jet, as compared to that of the interior flow. Here, the term gradient denotes the difference between streamlines. When the jet is broadened in the transient region, the potential vorticity flux by the radiation of the Rossby waves compensates for the difference in the mean vorticity between the jet and interior flow.
potential vorticity between the interior flow and jet that is modified by the instability. Moreover, as the outflow is broadened and the difference in the mean potential vorticity between the jet and interior flow is increased, the Rossby waves are intensified in order to compensate for the increase in the difference. Thus, it is strongly suggested that the Rossby waves are important in matching a jet, such as the Kuroshio Extension, with the broad interior flow.

Then, the radiation process of the Rossby waves from the jet and recirculation is examined. The intensity of the Rossby waves increases with that of eddies, which are characterized with closed contours of the potential vorticity in the recirculation near the surface. The zonal phase speed of the Rossby waves coincides with the speed of these eddies, which are advected westward by the recirculation. These features are consistent with the argument that the Rossby waves are radiated from eddies in the recirculation. An analytic solution in a two-layer model is also presented as a typical example of this argument. The Rossby waves that appear in this solution correspond to the continuous spectrum rather than the normal modes, which is in contrast to the previous study by Talley (1983a,b). The process that determines the intensity of eddies (i.e., the source of the Rossby waves) is also examined. Eddies are shed from the jet to the recirculation in the transient region. The intensity of eddies increases with the transport of the recirculation near the surface, presumably because of mean advection.

Therefore, the potential vorticity of the interior flow, the intensity of the Rossby waves, and the transport of the recirculation near the surface, change consistently with one another. That is, when the mean potential vorticity of the interior flow increases, the transport of the recirculation increases, the radiation of the Rossby waves is intensified, and the convergence of the eddy potential vorticity flux is strengthened, compensating for the increased difference in the mean potential vorticity between the jet and interior flow. In the present study, the inflow and outflow were fixed in time. The results obtained herein may be extended to a more complex flow, such as a wind-driven circulation forced by decadally oscillating winds, in order to gain more insight into the decadal variability in the North Pacific. This is left for future research.

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APPENDIX A

Basic Flow

To approximately represent the meridional distribution of the mean potential vorticity obtained in numerical experiments (Fig. 3), the barotropic and baroclinic components of the basic flow are assumed to be

\[ \hat{U}_1 = -\frac{B}{2} (y^2 - D^2) - \frac{F_1}{\sqrt{F}} \Delta Q (|y| - D) \quad \text{and} \quad (A1) \]

\[ \hat{U}_2 = \frac{\Delta Q \sinh \sqrt{F} (|y| - D)}{\sqrt{F} \cosh \sqrt{F} D} \quad (A2) \]

in \(|y| < D\) and absent in \(|y| > D\), where \(F = F_1 + F_2\). Then, we obtain the basic flow \(U_n (n = 1, 2)\) and the basic potential vorticity \(Q_n\) by using

\[ U_1 = \hat{U}_1 + \frac{F_1}{\sqrt{F}} \hat{U}_2, \quad \text{(A3)} \]

\[ U_2 = \hat{U}_1 - \frac{F_2}{\sqrt{F}} \hat{U}_2, \quad \text{and} \quad \text{(A4)} \]

\[ Q_{n,y} = \beta + (-1)^n F_n (U_1 - U_2) - U_{n,yy}, \]

respectively. The basic flow in the upper layer \(U_1\) is eastward near \(y = 0\) and westward to the north and south of \(y = 0\), when

\[ \Delta Q_a < \Delta Q < \Delta Q_b \quad \text{(A5)} \]

with

\[ \Delta Q_a = \frac{\beta DF}{2[F_2 + F_1 (\sqrt{F}D)^{-1} \tanh \sqrt{F}D]}, \]

\[ \Delta Q_b = \frac{\beta DF}{F_2 + F_1 (\cosh \sqrt{F}D)^{-1}}. \]

APPENDIX B

Green’s Function

To obtain the Green’s function \(G_{pq}\), a new function \(G_n^{(pq)} (p = 1, 2, q = 1, 2)\) is considered. The function \(G_n^{(pq)}\) is expressed with vertical modes as

\[ G_n^{(pq)} = \hat{G}_1^{(pq)} - (-1)^q h_n \hat{G}_2^{(pq)}, \quad h_n = \frac{F_n}{\sqrt{F}}, \]

and each mode satisfies
where
\[ k_1 = k, \quad k_2^2 = k^2 + F. \]

Thus, \( G_n^{(pq)} \) is forced only in the \( q \)th mode in the vertical mode domain and symmetric (antisymmetric) in \( y \) for \( p = 1 \) (2). Then, \( G_n \) is expressed as
\[
G_n = \frac{h_2}{2} [G_n^{(11)} + G_n^{(21)}] + \frac{1}{2} [G_n^{(12)} + G_n^{(22)}]. \tag{B2}
\]

Because \( G_n^{(1q)}[G_n^{(2q)}] \) is symmetric (antisymmetric), in the following \( G_n^{(pq)} \) is considered only in \( y > 0 \).

The matching conditions appropriate for \( G_n^{(pq)} \) at \( y = D \) are
\[
[G_n^{(pq)}]_D = 0 \quad \text{and} \quad [G_n^{(pq)}]_D = -[n' - (-1)^n h_n m]G_n^{(pq)}, \tag{B3}
\]

where
\[
n'c = \beta D + h_2 \Delta Q, \quad mc = \frac{\Delta Q}{\cosh \sqrt{FD}}
\]
correspond to the barotropic and baroclinic components of the relative vorticity by the basic flow, respectively.

Matching conditions at \( y = 0 \) are
\[
G_n^{(1q)} = -\frac{\xi_0}{V_1 - c} G_n^{(1q)}, \tag{B5}
\]
\[
G_n^{(2q)} = 0, \tag{B6}
\]

where
\[
\xi_0 = \Delta Q.
\]
\[
V_1 = \left( -\frac{\beta D}{2} + h_2 \Delta Q \right) D + \frac{h_1 \Delta Q}{\sqrt{F}} \tanh \sqrt{FD}
\]
correspond to the relative vorticity and zonal velocity of the basic flow in the upper layer, respectively. These matching conditions indicate the interaction between vertical modes.

We first seek \( H_n^{(pq)} \) that satisfies the same condition as \( G_n^{(pq)} \), except that the inhomogeneous condition
\[
(G_q)_y = 1, \quad (G_q)_{-y} = (-1)^p - 1,
\]

which is derived from the first equation in (B1), is replaced by
\[
(H_q)_y = (-1)^{p-1} (H_q)_{-y}.
\]

Here and in the following, the superscript \( (pq) \) is omitted when it should not be confusing.

The form of \( H_n \) is assumed to be
\[
H_q(y) = \begin{cases} H_q^-(y) & \text{at } y < y' \\ H_q^+(y) & \text{at } y' < y < D \text{ and } D < y' \\ H_q^D e^{-\mu_n (y-D)} & \text{at } D < y \\ \end{cases}
\]

where \( r = 3 - q \) and \( \mu_n \) satisfies
\[
k_n^2 - \frac{\beta^2}{c} = 0, \quad \arg \mu_n = 0, \frac{\pi}{2}. \tag{B8}
\]

Using the matching conditions and introducing
\[
L_1^{(pq)}(y) = \frac{1}{k_1} \left\{ \left[ -\nu_1 + h_2 \gamma^{(pq)} \right] \sinh k_1 (y - D) + k_1 \cosh k_1 (y - D) \right\}
\]

and
\[
L_2^{(pq)}(y) = \frac{1}{k_2 h_1} \left\{ \left[ -\nu_2 + h_1 m \right] \sinh k_2 (y - D) + k_2 \gamma^{(pq)} \cosh k_2 (y - D) \right\}
\]

with
\[
\nu_1 = \nu_1 - n', \quad \nu_2 = \mu_2 - n' + (h_1 - h_2) m,
\]
we have
The coefficient $\gamma$ that appears in $L_n$ is defined as

$$
\gamma^{(11)} = \frac{h_1 S_1}{R_1}, \quad \gamma^{(21)} = \frac{h_1 S'_1}{R'_1},
$$

$$
1\gamma^{(12)} = \frac{h_2 S_2}{R_2}, \quad 1\gamma^{(22)} = \frac{h_2 S'_2}{R'_2}.
$$

Then, we have

$$
H_q(y) = L_q(y)
$$

$$
H'_q(y) = L'_q(y)
$$

$$
H_{1(11)}(y) = \frac{h_2 L_{2,3}(0) \sinh k_1(y - y')}{k_1 \cosh k_1 y'} + \frac{L_1(y') \cosh k_1 y}{\cosh k_1 y'}
$$

$$
H_{1(21)}(y) = \frac{L_1(y') \sinh k_1 y}{\sinh k_1 y'}
$$

$$
H_{2(12)}(y) = \frac{h_2 k_2 \cosh k_2 y'}{k_2 \cosh k_2 y'}
$$

$$
H_{2(22)}(y) = \frac{L_2(y') \sinh k_2 y}{\sinh k_2 y'}
$$

$$
\begin{align*}
[H_{1,1}^{(11)}]_{y'} &= \frac{1}{\cosh k_1 y'} \left[ L_{1,1}(0) - h_2 L_{2,3}(0) \right] \\
[H_{1,1}^{(21)}]_{y'} &= -\frac{k_1 L_1(0)}{\sinh k_1 y'} \\
[H_{2,2}^{(12)}]_{y'} &= \frac{1}{\cosh k_2 y'} \left[ L_{2,2}(0) - \frac{1}{h_2} L_{1,1}(0) \right] \\
[H_{2,2}^{(22)}]_{y'} &= -\frac{k_2 L_2(0)}{\sinh k_2 y'}
\end{align*}
$$

REFERENCES


