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The Rate of the Photoelectrochemical Generation of Hydrogen at p-Type Semiconductors

J. O'M. Bockris* and K. Uosaki

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ABSTRACT

The current-potential relations with and without illumination, quantum efficiency-wavelength relations at several potentials, the flatband potentials, the transient behavior, and the stability of seven p-type semiconductors, i.e., ZnTe, CdTe, GaAs, InP, GaP, SiC, and Si, have been measured in 1N NaOH and 1N H₂SO₄. The position of the photocurrent-potential relations are related to the flatband potential and the energy gap of the semiconductor. The existence of the maximum in quantum efficiency-wavelength relation is analyzed by considering surface recombination. The stability and the transient behavior are analyzed.

Experimental

Apparatus.—The photoelectrochemical cell is shown in Fig. 1. Stopcocks and taps were Teflon. To avoid contact of the metal used to form an ohmic contact with the solution, the back face and side of the electrode were covered with epoxy resin. To minimize contact of this with the solution, a Teflon electrode holder was used. The absence of a leak was verified by the contact of the metal used to form an ohmic contact. All photoelectrode areas were 0.125 cm².

A PAR Model 173 potentiostat/galvanostat, with a Model 176 current-potential converter, was used to control the potential. The electrode potential was swept by a Wenking SMP 69 potential stepping motor control. The current-potential relationship was recorded by a Hewlett-Packard Model 7042A X-Y recorder. The time dependence of the photocurrent was recorded by means of a Hitachi QD25 recorder.

A 900W xenon lamp (Canrad-Hanovia 538C1) was used as a light source and a Jarrell-Ash quarter-meter grating monochromator (Cat. no. 82-410) was employed to obtain monochromatic light. An IR absorbing filter (Oriel G-776-7100) was placed between the cell and the light house, when current-potential measurements were carried out without the monochromator. However, a quartz lens (d = 5 cm, f = 5 cm) was employed to concentrate the light on the electrode surface when the photocurrent was recorded under monochromatic light. In this case, two long pass filters (Oriel G-772-3900 and Oriel G-772-5400) were used with an IR absorbing filter to eliminate second-order diffraction. The conditions used in this respect were: 3000 ~ 5000Å, IR absorbing filter only; 5000 ~ 7000Å, IR absorbing filter + G-772-3900 filter; 7000 ~ 7500Å, IR absorbing filter + G-772-5400 filter; 7500Å, G-772-5400 filter only.

The intensity of light was measured by means of a Hewlett-Packard Model 8334 radiant flux meter with either a 8334A radian flux detector or a Carl Zeiss vacuum thermocouple (VT Q3/A) with a Keithley 149 millimicrovoltmeter. The error in relative intensity measurement was < 5%. However, absolute intensity measurements had an uncertainty of ± 20% error.

The photoelectrochemical cell and the optical system were set up on an optical bench.

Impedance measurement.—The cell for impedance measurements had a working electrode surrounded by a cylindrical platinized platinum counterelectrode, apparent area 60 cm². Hydrogen gas was passed into the solution before and during measurement.

The direct method was employed (8, 9). The circuit contained a dry cell (6V) as a d-c source and the potential was controlled by a ten-turn variable resistor.

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Key words: hydrogen production, photoelectrochemical reaction, p-type semiconductors, photocathodes.

Fig. 1. The photoelectrochemical cell (front view). 1, Working electrode compartment (d = 50 mm); II, counterelectrode compartment (d = 25 mm); III, reference electrode compartment (d = 20 mm). 1, Working (semiconductor) electrode; 2, Teflon electrode holder; 3, Luggin capillary; 4, quartz optical flat; 5, gas collector; 6, 11, frits (gas inlets); 7, 12, 14, drains; 8, 9, Teflon stopcocks; 10, Pt counterelectrode; 13, reference electrode (SCE); 15, 16, gas bubbler.
while being monitored by means of a Keithley 616 digital electrometer. The a-c source was a Mini-Lab Model 603A (B.W.D. Electronics). A capacitor (10 μF) and a choke coil (35 H) were in the circuit. The resistor, the value of which was several hundred times larger than the cell impedance, Z\text{cell}, was connected in series to the cell so that the alternating current, I, became constant, and independent of the cell voltage. The impedance of the choke was at least one hundred times larger than that of the cell.

Signals taken from two points in the circuit and applied to the X and Y inputs of a cathode ray oscilloscope (Tetronix 5103N with 5A20N and 5A21N differential amplifiers) displayed Lissajous’s figures. Since the X and Y inputs showed \( I (Z_{\text{cell}} + R) \) and \( IZ_{\text{cell}} \), respectively, and \( R > > Z_{\text{cell}} \), the absolute value of the cell impedance, and phase difference due to the cell, could be ascertained. Assuming a series equivalent circuit (measurements were carried out under nearly ideally polarized conditions), the cell capacitance, which is effectively the space charge capacitance of the semiconductor electrode, can be obtained.

The accuracy of the direct method is low compared with that of the bridge method (8, 9). At metal electrodes the phase difference is small, and hence determination of C is inaccurate by this method.

However, at semiconductor electrodes, capacitance is low so that the phase difference can be measured accurately.

Semiconductors chosen.—Cathodes were selected on the basis of sufficiently low values of energy gap (2.57 eV < 1.3 eV) and electron affinity (\( E_a < 4.0 \text{ eV} \)) (28).

**Zinc telluride (ZnTe).**—A ZnTe single crystal (Ag doped), grown by the Bridgeman method, was cut parallel to the cleaved face (100). After being etched in \( \text{K}_2\text{Cr}_2\text{O}_7-\text{HNO}_3 \) aqueous solution, the specimen was dipped in \( \text{H}_2\text{AuCl}_4 \) solution to make an ohmic contact (10). It was masked with paraffin, later removed in trichloroethylene. The contact was ohmic and the specific resistance was 0.2 Ω cm. The face of the specimen was polished by means of emery paper to 600 grade. The electrode was etched in HF-\( \text{HNO}_3 \) (11) solution.

**Cadmium telluride (CdTe).**—A CdTe single crystal (undoped) grown by the Bridgeman method was cut parallel to the cleaved face (100). The specimen was heated in Te vapor at 300°C for 8 hr to increase nonstoichiometry. Thereafter, the crystal was etched in \( \text{K}_2\text{Cr}_2\text{O}_7-\text{HNO}_3 \) (12), dipped into \( \text{AgNO}_3 \) solution, and heated at 200°C for 30 min. Finally, a gold film was grown on the crystal by dipping it into aqueous \( \text{HAuCl}_4 \) (13). During the processes of etching and dipping into \( \text{AgNO}_3 \) and \( \text{HAuCl}_4 \) solutions, the crystal was covered with paraffin except for the spots where it was intended to make a contact. The specific resistance was 105 Ω cm and the ohmic characteristic of the contact was good. The face to be used was polished by emery paper, 400 to 600 grade, and the surface etched in HF-\( \text{HNO}_3 \) solution.

**Gallium arsenide (GaAs).**—The GaAs was a single crystal in wafer form, (100) face; Zn doped; carrier density \( 2 \times 10^{19} \text{ cm}^{-3} \); 0.5 mm thick. It was etched (12) by dipping into \( \text{CH}_3\text{OH-Br}_2 \) (5%) solution, for 1 min before an ohmic contact was made by soldering with indium (14). The I-V relation was ohmic. The specific resistance was 0.2 Ω cm.

**Indium phosphide (InP).**—The InP was a single crystal wafer (100) face; Zn doped; carrier density \( 5.6 \times 10^{18} \text{ cm}^{-3} \); 0.8 mm thick. Treatment was as for GaAs except that the ohmic contact was by means of an In-Zn alloy (15). The specific resistance was 0.21 Ω cm.

**Gallium phosphide (GaP).**—The GaP was a single crystal wafer, Zn doped; carrier density \( 6.7 \times 10^{17} \text{ cm}^{-3} \); (111) face; 0.4 mm thick. A \( \text{HNO}_3-\text{HCl} \) mixture was used for etching (12). An ohmic contact was obtained by the use of an In-Zn alloy (7). The specific resistance was 2.0 Ω cm.

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**Silicon carbide (SiC).**—The silicon carbide was a single crystal; (0001) face; Al doped; carrier density \( 4 \times 10^{18} \text{ cm}^{-3} \); 0.2 mm thick. “Acme” conductive adhesive gave an ohmic contact if heated in hydrogen at 300°C for 2 hr. The specific resistance was 0.31 Ω cm. The electrode was dipped in HF for 1 min before each experiment.

**Silicon (Si).**—The silicon was a single crystal wafer, (100) face; B doped; 0.2 mm thick. An In-Zn alloy was used to obtain an ohmic contact. The specific resistance was 1.2 Ω cm. The crystal was etched in HF solution before each experiment.

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**Results**

The current-potential relations.—The current-potential relations with and without illumination by means of a 900 W Xe lamp were measured in 1N NaOH and in 1N \( \text{H}_2\text{SO}_4 \). The relations found can be divided into two groups. Results typical of the first group (ZnTe, CdTe, GaP, SiC, and Si) are exemplified in Fig. 2 (ZnTe). Dark curvers are low. Typical results of the second group (GaAs and InP) are in Fig. 3 (GaAs).

The degree of displacement of the current-potential curves observed for GaP were similar to those reported earlier by other workers (17-19).
curves in H₂SO₄ and NaOH respectively is shown in Table I.

At CdTe in NaOH no photocurrent was observed at a potential more positive than −0.75V, when the oxygen concentration in the solution had been sufficiently diminished.

A white film was observed on the InP electrode after measurements in 1N H₂SO₄. Mayumi et al. (20) observed such white films: Irreproducibility due to them may account for the fact that the critical potential observed by Mayumi was 0.5V more negative than that reported here. No films were observed in 1N NaOH.

Photocurrents at SiC electrodes were < 10 μA cm⁻².

Quantum efficiency-wavelength relations at several potentials.—Photocurrents were measured under monochromatic light at several potentials. Quantum efficiencies were calculated by using measured values of the photocurrents and the light intensity. Typical results are shown in Fig. 4 (GaP). The spectral response of the quantum efficiency in 1N NaOH of the semiconductors examined in this work except for GaP and Si are shown in Fig. 5. Those in 1N H₂SO₄ are as in 1N NaOH. Quantum efficiencies at SiC in 1N NaOH (Fig. 6) are low.

The flatband potential.—The flatband potentials were determined by using Mott-Schottky plots. A typical plot is shown in Fig. 7 (SiC). Table II shows the flatband potential of the semiconductors in 1N NaOH and 1N H₂SO₄ and the slopes of the corresponding Mott-Schottky plot. The flatband potentials of InP in 1N H₂SO₄ and Si in 1N NaOH and 1N H₂SO₄ could not be measured due to the instability of these materials in solution.

Gleria and Memming reported (22) difficulties in respect to the Mott-Schottky plot on SiC but none were noted here.

Transient measurement.—Current-time relations at fixed potentials following illumination and interruption of light were measured in 1N NaOH and 1N H₂SO₄. Typical results are in Fig. 8. When the potential is relatively negative, the current becomes stable just after the light was on or off, but when the electrode potential became relatively positive, it took time to attain a steady state (Fig. 8d).

Stability.—The photocurrents at fixed potentials were measured as a function of time (1-20 hr) at all semiconductors measured above in 1N NaOH and 1N H₂SO₄. Results are listed in Table III in terms of (1/4) (di/dt), which is a measure of the instability.

Discussion

By analogy to well-known behavior at the metal-vacuum interface, the electrode potential corresponding to the commencement of electron emission (“the critical potential”) would have been expected to vary with change of the frequency of the exciting source. That the critical potential is not thus dependent for the semiconductor-solution interface is demonstrated in Fig. 9 (ZnTe). An interpretation is that electron-phonon collisions in the semiconductor cause the electrons photogenerated within the semiconductors at various energies (depending on the wavelength of the incident light) to fall to the bottom of the conduction band before they have reached the electrode surface.

The iphoto-V relations are Tafel-like (Table IV), whereas, at metals, iзо is linear with V. Thus, in metals, nearly all the photoactivated electrons decay before the surface is reached. The small fraction (< 10⁻²%) of photoactivated electrons which reach the surface and emit have an energy distribution which is a function of the energy of the exciting source. In the semiconductor, a greater fraction (~ 1%) of the photogenerated electrons reaches the surface but the energy of nearly all of them is that of the conduction band, Fig. 10. (See above). The variation of the electrochemical photocurrent with potential then becomes subject to the reasoning [e.g., Ref. (23)] which relates the thermal current to potential at metals.

The saturation part of the photocurrent-potential relation can be understood from Fig. 11. When the electrode potential is such that the energy of the emitting electrons is equal to that of the ground state of the acceptor levels in H₂O⁺, no further increase in the availability of acceptor levels in solution occurs as the potential is made more negative (24).

![Fig. 4. The quantum efficiency-wavelength relations of GaP in 1N NaOH (a) and 1N H₂SO₄ (b) at several electrode potentials.](image-url)

![Fig. 5. The quantum efficiency-wavelength relations for ZnTe, CdTe, GaAs, and InP in 1N NaOH.](image-url)

### Table 1. The difference in the range of potentials (volts) for photocurrent-voltage relations in NaOH and H₂SO₄

<table>
<thead>
<tr>
<th>Semiconductor</th>
<th>NaOH</th>
<th>H₂SO₄</th>
</tr>
</thead>
<tbody>
<tr>
<td>ZnTe</td>
<td>0.6</td>
<td>0.5</td>
</tr>
<tr>
<td>CdTe</td>
<td>0.5</td>
<td>0.6</td>
</tr>
<tr>
<td>GaAs</td>
<td>0.5</td>
<td>0.5</td>
</tr>
<tr>
<td>InP</td>
<td>0.5</td>
<td>0.5</td>
</tr>
<tr>
<td>SiC</td>
<td>Not applicable</td>
<td>0.5</td>
</tr>
</tbody>
</table>

### References

1. Reasonable agreement was observed with the results of Yoneyama et al. (31), but the maximum in the quantum efficiency-wavelength relation was at 4500Å in their measurement and at 3500Å in ours. In the Yoneyama work, published data on xenon lamps (instead of calibration) was used.
The "critical potential"—$\Delta H'(e)$ (Fig. 12) at the flatband potential is given by (23)

$$\Delta H'(e) = -L_o + E_a - J + A + R + (S.C\Delta\phi)_{fbp}$$

where $L_o$, $E_a$, $J$, $A$, $R$, and $(S.C\Delta\phi)_{fbp}$ are the hydration energy of the proton, electron-affinity of the semiconductor, ionization energy of hydrogen, adsorption energy of hydrogen, H-H$_2$O repulsive force, and the potential drop in the electric double layer at the flatband potential.

$(S.C\Delta\phi)_{fbp}$ can be estimated as follows.

The flatband potential, $V_{fbp}$, with respect to normal hydrogen electrode (NHE) is given by

$$V_{fbp} = \frac{(S.C\Delta\phi)_{fbp} - \Delta\phi_{fbp}}{F}$$

where $(S.C\Delta\phi)_{fbp}$ is the potential difference between the semiconductor and the Pt wire, $(S.C\Delta\phi)_{H_2O=1}$ is the potential drop in the electric double layer of the semiconductor at the flatband potential and $(S.C\Delta\phi)_{H_2O=1}$ is the potential drop in the electric double layer at the Pt electrode in the presence of 1 atm of hydrogen gas and with $C_{H^+} = 1$. (See Fig. 12).

Since

$$\mu_e - Pt = \mu_e - S.C$$

where $\mu_e - Pt$ and $\mu_e - S.C$ are the electrochemical potentials of electrons in Pt and the semiconductor, respectively. Hence

$$V_{fbp} = \left(\frac{(S.C\Delta\phi)_{fbp} - \Delta\phi_{fbp}}{F}\right)$$

is the flatband potential, with respect to normal hydrogen electrode (NHE), as given by

$$V_{fbp} = \frac{(S.C\Delta\phi)_{fbp} - \Delta\phi_{fbp}}{F}$$

with $F$ being the Faraday constant.

$\Delta\phi_{fbp}$ can be estimated as follows.

The flatband potential, $V_{fbp}$, with respect to normal hydrogen electrode (NHE) is given by

$$V_{fbp} = \frac{(S.C\Delta\phi)_{fbp} - \Delta\phi_{fbp}}{F}$$

Table 11. The flatband potential and slope of Mott-Schottky plots of semiconductors

<table>
<thead>
<tr>
<th>Semiconductor</th>
<th>1N NaOH Flatband potential, $V$, NHE</th>
<th>Slope ($dV/dE$) cm$^{-2}$/V</th>
<th>1N H$_2$SO$_4$ Flatband potential, $V$, NHE</th>
<th>Slope ($dV/dE$) cm$^{-2}$/V</th>
</tr>
</thead>
<tbody>
<tr>
<td>ZnTe</td>
<td>-0.79</td>
<td>50</td>
<td>-0.35</td>
<td>150</td>
</tr>
<tr>
<td>CdTe</td>
<td>0.21</td>
<td>6000</td>
<td>0.43</td>
<td>122</td>
</tr>
<tr>
<td>GaAs</td>
<td>-0.04</td>
<td>0.12</td>
<td>0.18</td>
<td>4.6</td>
</tr>
<tr>
<td>InP</td>
<td>-0.07</td>
<td>0.48</td>
<td>0.12</td>
<td>4.6</td>
</tr>
<tr>
<td>SiC</td>
<td>1.39</td>
<td>0.86</td>
<td>1.39</td>
<td>0.7</td>
</tr>
</tbody>
</table>

Fig. 6. The quantum efficiency-wavelength relations of SiC in 1N NaOH (a) and 1N H$_2$SO$_4$ (b).

Fig. 7. The Mott-Schottky plots of SiC in 1N NaOH (a) and 1N H$_2$SO$_4$ (b) at several frequencies.

Fig. 8. The transient behavior of the current of ZnTe after illumination and interruption of light in 1N NaOH at several potentials. The current before illumination is taken as zero. Intensity of light: 0.08 W/cm$^2$. 

![Graphs and diagrams](image-url)
Table III. The stability of photocathodes, as measured by their change with time at various potentials (given)

<table>
<thead>
<tr>
<th>Semiconductor</th>
<th>1N NaOH (sec⁻¹)</th>
<th>1N H₂SO₄ (sec⁻¹)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ZnTe</td>
<td>6 × 10⁻⁴ (−1.26V)</td>
<td>5 × 10⁻⁴ (−0.56V)</td>
</tr>
<tr>
<td>CdTe</td>
<td>2.5 × 10⁻⁴ (−1.16V)</td>
<td>1 × 10⁻⁴ (−0.76V)</td>
</tr>
<tr>
<td>GaAs</td>
<td>2 × 10⁻⁴ (−1.36V)</td>
<td>3 × 10⁻⁴ (−0.76V)</td>
</tr>
<tr>
<td>GaP</td>
<td>5 × 10⁻⁴ (−1.36V)</td>
<td>5 × 10⁻⁴ (−0.56V)</td>
</tr>
<tr>
<td>Si</td>
<td>9 × 10⁻⁵ (−1.16V)</td>
<td>1.7 × 10⁻⁴ (−0.41V)</td>
</tr>
</tbody>
</table>

* Value of Δf is very small 9 μA/cm² in 1N NaOH and 2 μA/cm² in 1N H₂SO₄.
** After 10 hr in dark, almost no photocurrent was observed.

Thus, the potential drop in the electric double layer of the semiconductor at the flatband potential is given by

\[ \Delta V_{dl} = \Delta V_{fbp} = \frac{\Phi}{kT} - \frac{\mu_e}{e} \]

The probability of the existence of acceptors at energy E, G(E), is given by

\[ G(E) = \exp \left( \frac{H(e) - E_a}{kT} \right) \]

where \( H(e) \) is the energy of the electrons at the surface at a potential V.

Fig. 9. The photocurrent-potential relations of ZnTe in 1N NaOH and in 1N H₂SO₄ for light of several wavelengths.

Fig. 10. The schematic diagram of energy levels of the valence band and conduction band of a semiconductor and of an acceptor.

Fig. 11. Schematic illustration of the model proposed to describe the observed photocurrent behavior of semiconductor electrodes.
PHOTOELECTROCHEMICAL GENERATION OF H

Semiconductor

Fig. 12. The energy levels at a semiconductor solution interface

Table V. Calculated values of the potential drop (volts) in the electric double layer at the flatband potential (see Eq. [10])

<table>
<thead>
<tr>
<th>Semiconductor</th>
<th>1N NaOH</th>
<th>1N H₂SO₄</th>
</tr>
</thead>
<tbody>
<tr>
<td>ZnTe</td>
<td>-2.25</td>
<td>-1.42</td>
</tr>
<tr>
<td>CdTe</td>
<td>-1.27</td>
<td>-1.93</td>
</tr>
<tr>
<td>GaAs</td>
<td>-1.42</td>
<td>-0.77</td>
</tr>
<tr>
<td>InP</td>
<td>-1.42</td>
<td>-1.71</td>
</tr>
<tr>
<td>GaP</td>
<td>-2.07</td>
<td>-1.12</td>
</tr>
</tbody>
</table>

Fig. 13. Schematic diagram of the energy levels of a semiconductor with surface states in a vacuum.

Fig. 14. The relations between \( V_{\text{Np}} = E_f/F \) and the critical potential with respect to the flatband potential in 1N NaOH (a) and 1N H₂SO₄ (b).

The stability.—The most outstanding differences in stability (Table III) are for ZnTe and CdTe. Figures 15 and 16 (drawn for us by Dr. T. Ohashi) show the equilibrium potentials for several reactions relevant to ZnTe and CdTe. For CdTe, the equilibrium potential of hydrogen evolution at \( pH = 14 \) is more negative than that of the decomposition of CdTe. Hence, CdTe will not decompose in the potential range of hydrogen evolution. For ZnTe, the equilibrium potential of hydrogen evolution is close to that of ZnTe decomposition.
Transient behavior.—Surface recombination.—The transient behavior may be due to either a process in the semiconductor or a process in the surface of the electrode, or a process in solution.

In the former case, the behavior would be due to time dependence of the electron concentration at the surface. The time constant is $10^{-8}$ sec and could arise from the recombination process. Then, the number of excess electrons $t$ sec after illumination, $N(t)$, is

$$ N(t) = N(1 - e^{-t/\tau}) \quad [16] $$

where $N$ represents excess electrons at the steady state and $\tau$ is the lifetime of the excited electron. The number of electrons $t$ sec after illumination is turned off, $N'(t)$, is

$$ N'(t) = N_0(1 - e^{-t/\tau}) \quad [17] $$

The time constant of diffusion is less than that of recombination process, so that

$$ N_0(t) = N_0(1 - e^{-t/\tau}) \quad [16] $$

when the light is on and

$$ N_0(t) = N_0 e^{-t/\tau} \quad [19] $$

when the light is off, where $N_0$ is the steady-state concentration of excess electrons at the surface.

Since the photocurrent, $i_p(t)$ is

$$ i_p(t) = N(t) \quad [20] $$

the time dependence of the photocurrent is

$$ i_p(t) = i_{st}(1 - e^{-t/\tau}) \quad [21] $$

with illumination on, where $i_{st}$ is the steady-state photocurrent. Also

$$ i_p(t) = i_{st} e^{-t/\tau} \quad [22] $$

when the light is off.

The photocurrent according to Eq. [21] and [22] is shown in Fig. 17. The result of the behavior found in the present work was quite different from these predictions, especially when the electrode potential was near to the flatband potential (Fig. 17).

Hence, since the lifetime of excited electrons is $<10^{-3}$ sec, the transient behavior observed is due to surface electrochemical processes, such as the reduction of oxygen. Thus

$$ i_p = i_{p, H_2} + i_{p, \text{red}} \quad [23] $$

where $k_{H_2}$ and $k_{\text{red}}$ are the rate constant for hydrogen evolution reaction and for some other reduction reaction, respectively.

Using Faraday's laws

$$ i_p(t) = i_{p, H_2} C_{H_20 +} + k_{\text{red}} C_{\text{red}} \quad [24] $$

where $C_{\text{red}}$ is the concentration of the species to be reduced at time zero.

From Eq. [24], $\log \frac{i(t) - i(\infty)}{i(0)}$ should be proportional to time, as shown in Fig. 18 [see also Ref. (28)].

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