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Author(s)	Hanagata, Nobutaka; Zhuang, Fei; Connolly, Sarah; Li, Jie; Ogawa, Nobuhiro; Xu, Mingsheng
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Molecular Responses of Human Lung Epithelial Cells to the Toxicity of Copper Oxide Nanoparticles Inferred from Whole Genome Expression Analysis

Nobutaka Hanagata^{1,2,*}, Fei Zhuang^{2,3}, Sarah Connolly^{1,**}, Jie Li¹, Nobuhiro Ogawa³ and Mingsheng Xu⁴

¹Nanotechnology Innovation Station, National Institute for Materials Science, 1-2-1 Sengen, Tsukuba, Ibaraki 305-0047, Japan

²Graduate School of Life Science, Hokkaido University, N10W8, Kita-ku, Sapporo 060-0812, Japan

³Biomaterials Unit, National Institute for Materials Science, 1-2-1 Sengen, Tsukuba, Ibaraki 305-0047, Japan

⁴State Key Laboratory of Silicon Materials, MOE Key Laboratory of Macromolecule Synthesis and Functionalization, and Department of Polymer Science and Engineering, Zhejiang University, Hangzhou 310027, P.R. China

* Corresponding author: Nobutaka Hanagata E-mail: HANAGATA.Nobutaka@nims.go.jp

** Present address; Microbiology and Cell Science, University of Florida, Gainesville, Florida 32611-0700, USA

ABSTRACT

This study proposes a molecular mechanism for lung epithelial A549 cells response to copper oxide nanoparticles (CuO-NPs) related to Cu ions released from CuO-NPs. Cells that survived exposure to CuO-NPs arrested the cell cycle as a result of the downregulation of proliferating cell nuclear antigen (*PCNA*), cell division control 2 (*CDC2*), cyclin B1 (*CCNB1*), target protein for Xklp2 (*TPX2*), aurora kinase A (*AURKA*) and B (*AURKB*). Furthermore, cell death was avoided through the induced expression of nuclear receptors *NR4A1* and *NR4A3*, and growth arrest and DNA damage-inducible 45 β and γ (*GADD45B* and *GADD45G*, respectively). The downregulation of *CDC2*, *CCNB1*, *TPX2*, *AURKA*, and *AURKB*, the expressions of which are involved in cell cycle arrest, was attributed to Cu ions released from CuO-NPs into medium. *NR4A1* and *NR4A3* expression was also induced by Cu ions released into medium. The expression of *GADD45B* and *GADD45G* activated the p38 pathway that was involved in escape from cell death. The upregulation of *GADD45B* and *GADD45G* was not observed with Cu ions released into medium but was observed in cells exposed to CuO-NPs. However, because the expression of the genes was also

induced by Cu ion concentrations higher than that released from CuO-NPs into medium, the expression appeared to be triggered by Cu ions released from CuO-NPs taken up into cells. We infer that for cells exposed to CuO-NPs, those able to make such a molecular response survived, and those unable to do so eventually died.

KEY WORDS: copper oxide nanoparticles, cytotoxicity, lung epithelial cells, cell cycle arrest, DNA microarray

As a result of recent advances in nanotechnology, the industrial use of nanomaterials is increasing. Although the size, shape, surface area, and surface activity of nanomaterials are attractive for many different applications, concern exists that these properties may contribute to the toxicity of nanomaterials. For example, their small size could allow them to easily enter the body through respiratory passages or wounds and affect various tissues. However, clear safety standards have not been established for nanomaterials.

Previously, we demonstrated that copper oxide nanoparticles (CuO-NPs) are the most toxic metal oxide nanoparticles.^{1,2} CuO-NPs are used in textiles for their antibacterial effects.³ They are also being developed for use in catalysts, gas sensors, microelectronic materials, and cosmetics.^{4,5} Furthermore, Cu-NPs are added as materials to ink, plastics, lubricants, metallurgical coatings, and cosmetics for the skin.⁶ Although SiO₂-NPs (15–25 nm), CeO₂-NPs (20 nm), and Al₂O₃-NPs (15–50 nm) are not toxic to A549 cells, ZnO-NPs (20–60 nm) are cytotoxic to a lesser extent than CuO-NPs.^{1,2}

These findings suggest that the toxicity of metal oxide nanoparticles is not due to their size but their chemical composition. Specifically, metal oxide nanoparticles such as CuO-NPs and ZnO-NPs that release metal ions are most likely to be cytotoxic. In addition to CuO-NPs and ZnO-NPs, Ag-NPs are highly cytotoxic to HeLa cervical cancer cells, and Ag ions that are released into the culture medium are responsible for much of the toxicity.⁷ However, until recently, the nanoparticles themselves, rather than released Cu ions, have been suggested to cause CuO-NP cytotoxicity.^{8,9} The uptake of CuO-NPs into cells and subsequent generation of intracellular reactive oxygen species (ROS) have been reported to cause cytotoxicity and genotoxicity,^{8,10} but the molecular basis of CuO-NP toxicity has not been clarified.

Human lung epithelial A549 cells were used in this study, because we have a greater chance of inhaling nanoparticles in the workplace rather than taking them up through the skin, ingestion and injection. A549 cells, that were derived from carcinoma tissue, are classified as Type I pneumocytes, which cover >95% of the internal surface that provides a barrier function and gas exchange in the lung. We used DNA microarrays to analyze the effects of CuO-NPs on the global gene expression of

A549 cells. First, we identified genes affected by exposure to CuO-NPs and inferred functional changes of cells using Gene Ontology (GO) analysis, in which affected genes were classified into functional categories. Next, we performed global gene expression analysis of cells exposed to Cu ions released from CuO-NPs into medium, and identified genes that were regulated by both CuO-NPs and released Cu ions. These analyses revealed the contribution of released Cu ions to the toxicity of CuO-NPs at the molecular level. Furthermore, we examined the gene expression of cells exposed to different concentrations of CuCl₂ to confirm the contribution of Cu ions released from CuO-NPs. Our results suggest that the *in vitro* cytotoxicity of CuO-NPs is primarily due to the effects of Cu ions that are released into the culture medium and absorbed into cells.

RESULTS AND DISCUSSION

Preparation and characterization of medium containing Cu ions released from CuO-NPs.

The average diameter of CuO-NPs used in this study was 50 nm,¹ and the mean aggregate size of the particles after dispersal in medium at a concentration of 25 µg/mL was around 300 nm (Supporting Information Figure S1). However, CuO-NPs were immediately sedimented. The morphology and electronic properties of CuO-NPs have been reported previously.¹

To investigate the contribution of the released Cu ions to the toxicity of CuO-NPs, we prepared culture medium containing Cu ions released from CuO-NPs (Supporting Information Figure S2a). CuO-NPs (25 µg/mL final concentration) were added to the culture medium and incubated at 37°C for 24 h. Then, the medium containing CuO-NPs was centrifuged at 150,000 × *g* for 1 h to remove the CuO-NPs. Inductively coupled plasma optical emission spectrometry (ICP-OES) indicated that the Cu concentration in the resulting supernatant was 13.2±1.54 µg/mL (11.6-15.0 µg/mL, *n*=5). To examine the presence or absence of CuO-NPs in the supernatant, we analyzed the supernatant using a laser diffraction particle analyzer (DLS). The analysis revealed the presence of NPs in the supernatant (Figure 1a). However, the similar pattern of size distribution was also observed in the culture medium without CuO-NPs after incubation at 37°C for 24 h (Figure 1b and Supporting Information Figure S2b), suggesting that the NPs in the supernatant are attributed to medium components, not CuO-NPs. In addition, as shown by transmission electron microscopy (TEM) and energy dispersive spectroscopy (EDS) (Figure 1c-f), NPs in the supernatant contained hardly any CuO-NPs. On the other hand, observation of the resulting precipitation, that contains CuO-NPs removed from the culture medium, using scanning electron microscope (SEM) revealed CuO-NPs with smaller than original size (Figure 1g, h). This implies that CuO-NPs released Cu ions into the culture medium, resulting in smaller size. Therefore, we used the supernatant as the culture medium containing released Cu ions to assess the contribution of the released Cu ions to the toxicity of CuO-NPs. Although CuO-NPs released Cu ions into the medium, they hardly released the ions in water (Supporting Information Figure S2c).

Toxicity of CuO-NPs. The number of viable A549 cells cultured in 25 $\mu\text{g}/\text{mL}$ CuO-NPs or the supernatant was 34% and 81% of that of the control culture, respectively (Figure 2a and Supporting Information Figure S3). This result indicated that released Cu ions are also toxic and may account for part of the toxicity of CuO-NPs. In addition, we used the water-soluble tetrazolium salt (WST) cell proliferation assay, which is based on the production of formazan from WST-8 by mitochondrial dehydrogenases in viable cells, to measure cytotoxicity. The amount of formazan produced by cells cultured in 25 $\mu\text{g}/\text{mL}$ CuO-NPs or the supernatant was 20% and 57% of that of the control culture, respectively (Figure 2b). This result implies that the CuO-NPs and released Cu ions damaged the mitochondria. This damage occurred after 4 h of exposure to CuO-NPs (Supporting Information Figure S4). Furthermore, supplementation of Al_2O_3 -NPs with 50 nm in size, that were used as non-toxic dummy NPs, to the supernatant did not affect the formazan formation of the supernatant (Supporting Information Figure S5), suggesting released Cu ions alone damaged mitochondria. Approximately 9% cells underwent apoptosis in response to CuO-NPs, but we observed few apoptotic cells in culture with the supernatant (Figure 2c). These results suggested that CuO-NPs damaged mitochondria and induced apoptosis, and that released Cu ions were responsible for some of the damage to the mitochondria.

To confirm the contribution of released Cu ions to the toxicity of CuO-NPs, we examined the cytotoxicity of different concentrations of CuCl_2 . In cultures with the supernatant, the number of viable cells and the amount of formazan was 81% and 57% of control culture levels (Figure 2a, b). The concentration of CuCl_2 required for similar toxicity was 25–33 $\mu\text{g}/\text{mL}$ (Figure 2d, e). This concentration is equivalent to 11.8–15.6 $\mu\text{g}/\text{mL}$ Cu ions, which was consistent with the concentration of Cu ions that were released from CuO-NPs. This result showed that the effect of Cu ions that are released from CuO-NPs is similar to that of Cu ions from CuCl_2 .

Next, we examined the uptake of CuO-NPs into cells using TEM. NP-like structures were observed inside cell (Supporting Information Figure S6). To verify whether these NP-like structures are attributed to CuO-NPs, elemental maps were analyzed. The elemental maps clearly showed that CuO-NPs were taken up into cell (Figure 3).

Molecular Response of Cells to CuO-NPs. To elucidate the underlying molecular mechanism of CuO-NP toxicity, comprehensive gene expression analysis was performed using DNA microarray. We exposed A549 cells to 25 $\mu\text{g}/\text{mL}$ CuO-NPs for 24 h, and then identified genes that demonstrated greater than 2-fold change in expression level compared with those in control cells. Our results revealed that CuO-NPs upregulated the expression of 648 genes and downregulated the expression of 562 genes. These data have been deposited in the Gene Expression Omnibus database with accession code GE33278. By classifying these genes into GO functional categories, we obtained the following statistically significant categories ($p < 0.001$): CuO-NPs upregulated genes that affect “nucleobase, nucleoside, nucleotide, and nucleic acid metabolic processes” and “response to stress”

and downregulated genes that affect “cell cycle,” “mitosis,” “cytokinesis,” “chromosome segregation,” “cellular component organization,” and “cellular component morphogenesis” (Figure 4; a list of genes in each category is shown in Supporting Information Tables S1-S6). The upregulated 31 genes in the “response to stress” category included genes that encode heat shock proteins (HSPs) (Supporting Information Table S2) and proteins involved in mitogen activated protein kinase (MAPK) pathways, such as growth arrest and DNA damage-inducible 45 β and γ (GADD45B/GADD45G) and nuclear receptors 4A1 and 3 (NR4A1/NR4A3) (Figure 4a and Table 1). We confirmed the upregulation of GADD45B/GADD45G and NR4A1/NR4A3 at protein level by using western blot analysis (Supporting Information Figure S7).

The upregulation of many HSPs suggested that CuO-NPs stimulate protein denaturation. GADD45B and GADD45G are members of the GADD45 family of proteins that are induced by genotoxic stresses and various apoptotic cytokines¹¹⁻¹³ and are involved in cell cycle arrest,¹⁴⁻¹⁹ DNA repair,²⁰⁻²² cell survival,²²⁻²⁷ and apoptosis.²⁸⁻³⁵⁷ These functions are mediated by proliferating cell nuclear antigen (PCNA), cell division control 2 (CDC2), cyclin B1 (CCNB1), and cyclin-dependent kinase inhibitor 1A (CDKN1A; also known as p21), which are classified in the GO “cell cycle” category. PCNA is involved in DNA repair and the transition from the G1 to the S phase of the cell cycle.²⁰⁻²² In contrast, CDKN1A inhibits PCNA and blocks the transition from the G1 to the S phase of the cell cycle.^{20,38} The CDC2-CCNB1 complex is required for the transition from the G2 to the M phase.^{39,40} Although CuO-NPs did not affect the expression of *CDKN1A*, they downregulated the expression of *PCNA*, *CDC2*, and *CCNB1* (Figure 4b, Table 1), which suggests that CuO-NPs induce cell cycle arrest in the G1 and G2 phases. In addition, CuO-NPs downregulated the expression of genes that encode aurora kinase A and B (*AURKA/AURKB*) and target protein for Xklp2 (*TPX2*) (Figure 4b and Table 1), which peak during the G2/M transition and are involved in the assembly and maintenance of the spindle.⁴¹

To confirm that CuO-NPs cause cell cycle arrest, we isolated cells that survived exposure to CuO-NPs and cultured them in fresh culture medium that did not contain CuO-NPs. However, these cells did not proliferate for 72 h (Supporting Information Figure S8a). When we harvested these cells and cultured them again in fresh culture medium that did not include CuO-NPs for an additional 72 h, their proliferative capacity was restored; however, their rate of proliferation lagged behind that of control cells (Supporting Information Figure S8b). These results indicated that the surviving cells were in a state of cell cycle arrest after exposure to CuO-NPs. Since cell cycle arrest is thought to provide time for cells to repair damaged DNA, it is likely that CuO-NPs compromise cell survival.

The expression of GADD45B and GADD45G has been reported to activate the c-Jun N-terminal kinase (JNK) and p38 pathways *via* MAP 3 kinase 1 (MTK1).^{28,42,43} In addition, activation of these pathways induces the activation of the constituent proteins of the activator protein 1 (AP-1) transcription factor complex, such as c-Jun, JunD, and activating transcription factor 2

(ATF2).^{44,45} AP-1 is involved in both apoptosis and cell survival.^{46,47} To examine how the JNK or p38 pathway is involved in the molecular response to CuO-NP exposure, we treated cells with CuO-NPs and either a JNK interacting protein 1 (JIP-1) peptide or SB239063, which are inhibitors of JNK and p38, respectively. Although the JIP-1 peptide did not have any effect on the number of viable cells, SB239063 markedly reduced the number of viable cells relative to treatment with CuO-NPs alone (Figure 5a and Supporting Information Figure S9). Similar to SB239063, when *GADD45B* in cells exposed to CuO-NPs was knocked down with small interfering RNA (siRNA) (Supporting Information Figure S10), they were more sensitive to CuO-NPs than cells with normal *GADD45B* expression, and the number of viable cells decreased (Figure 5b and Supporting Information Figure S11). Together, these results suggest that the upregulation of *GADD45B/GADD45G* due to CuO-NPs promotes cell survival by activating the p38 pathway. The activation of the p38 pathway in turn activates ATF2, which interacts with FOS, FOSB, and ATF3 in the AP-1 complex. In addition, we observed the upregulation of these proteins by CuO-NPs (Figure 4a and Table 1), which strongly suggested that the underlying mechanism of cell survival involved the p38 pathway.

CuO-NPs also upregulated NR4A1 and NR4A3. These nuclear receptors are involved in both cell survival and apoptosis and are activated *via* the mitogen-activated protein kinase/extracellular signal-regulated kinase 5 (MEK5/ERK5) pathways.^{48,49} When *NR4A1* in cells exposed to CuO-NPs was knocked down with siRNA (Supporting Information Figure S10), A549 cells were more sensitive to CuO-NPs than cells with normal *NR4A1* expression, and the number of viable cells decreased (Figure 5b and Supporting Information Figure S11). These results indicated that the upregulation of *NR4A1* is also involved in cell survival after exposure to CuO-NPs.

DNA damage is well known to activate p53, which induces checkpoint arrest in the G1 and G2/M phases of the cell cycle and apoptosis in cells that cannot recover from DNA damage.⁵⁰ The checkpoint function of p53 is activated by the phosphorylation of ataxia telangiectasia mutated (ATM), ataxia telangiectasia and Rad3-related protein (ATR), and checkpoint kinase 1 (Chk1). Subsequently, activated p53 induces the expression of *GADD45A* and *CDKN1A*.^{30,51-54} Although CuO-NPs downregulated ATR, they did not affect the expression of *GADD45A* or *CDKN1A* (Table 1). Therefore, we concluded that p53 does not play a major role in the response of cells exposed to CuO-NPs.

Contribution of Cu Ions Released from CuO-NPs at the Molecular Level. In addition to the genes that demonstrated altered expression in response to CuO-NPs, we identified genes that were altered in response to the Cu ions released from CuO-NPs into culture medium to determine their contribution to the molecular response to CuO-NP exposure. Cells exposed to the supernatant for 24 h upregulated 108 genes (Figure 6a). Of these 108 genes, 54 were also found in the list of 648 genes upregulated by CuO-NPs (Figure 6a and Supporting Information Table S7). Therefore, of 648 genes

upregulated by CuO-NPs, 594 upregulated genes were induced by CuO-NPs themselves, but 54 upregulated genes were attributable to Cu ions released from CuO-NPs into the culture medium. After classifying these 54 shared genes into GO functional categories, we did not identify any statistically significantly enriched categories. This finding suggests that released Cu ions do not contribute to changes in cellular functions related to “nucleobase, nucleoside, nucleotide, and nucleic acid metabolic processes” or “response to stress,” categories found to be enriched in the classification of genes upregulated by CuO-NPs. The changes in these two functions were specifically induced by CuO-NPs themselves. However, *NR4A1* and *NR4A3*, which are involved in “response to stress”, were upregulated by both CuO-NPs and the supernatant (Table 1 and Supporting Information Table S7), suggesting that these genes were induced by Cu ions released from the CuO-NPs.

We identified genes encoding super oxide dismutase 2 (SOD2), which functions as a ROS quencher, and 9 genes for metallothionein isomers (*MT1A*, *MT1B*, *MT1E*, *MT1F*, *MT1G*, *MT1H*, *MT1L*, *MT1X*, and *MT2A*) among the 54 common genes upregulated by both CuO-NPs and the supernatant (Figure 6a and Supporting Information Table S7). Since MT isomers help protect cells from oxidative stress due to excess metal ions, such as cadmium, zinc, and copper,⁵⁵⁻⁵⁸ the released Cu ions may generate ROS. To examine whether CuO-NPs and Cu ions induce ROS in A549 cells, we pre-treated cells with *N*-acetylcysteine (NAC), which is a ROS scavenger. NAC protected cells exposed to supernatant from mitochondrial damage (Figure 7a). NAC also partially protected cells exposed to CuO-NPs from mitochondrial damage and cell death (Figure 7b). These findings imply that ROS generation by Cu ions released from CuO-NPs is one of the causes of CuO-NP toxicity.

The supernatant also downregulated 125 genes (Figure 6b). Of these genes, 55 genes were also among the 562 genes downregulated by CuO-NPs (Figure 6b and Supporting Information Table S8). Therefore, of 562 genes downregulated by CuO-NPs, 507 genes were specifically downregulated by CuO-NPs themselves, but 55 genes were downregulated by Cu ions released from CuO-NPs. After classifying these 55 shared downregulated genes into GO categories, we identified statistically significantly enriched functional categories including “cell cycle,” “mitosis,” and “chromosome segregation” (Figure 6c; a list of genes in each category is shown in Supporting Information Table S9). These categories were also identified in the classification of genes downregulated by CuO-NPs (Figure 4b), suggesting that changes in these cellular functions by CuO-NPs were attributable to Cu ions released from CuO-NPs into culture medium.

Among genes downregulated by CuO-NPs, *CDC2*, *CCNB1*, *PCNA*, *AURKA/AURKB*, and *TPX2* were classified into GO categories “cell cycle,” “mitosis,” or “chromosome segregation” (Figure 4b). Downregulation of *CDC2*, *CCNB1*, *AURKA/AURKB*, and *TPX2* has been reported to induce cell cycle arrest in the G2 phase,³⁹⁻⁴¹ and downregulation of *PCNA* led to cell cycle arrest in the G1 phase.^{20,38} Among these genes, *CDC2*, *CCNB1*, *AURKA/AURKB*, and *TPX2* were also

downregulated by the supernatant, but *PCNA* was not changed by the supernatant (Table 1). We observed a marked increase in the number of cells in the G2/M phase upon exposure to CuO-NPs and the supernatant compared with control cells (Figure 8). In addition, an increase in the G1 population accompanied by a decrease in the S-phase population was observed in the cells exposed to CuO-NPs compared to the cells exposed to the supernatant (Figure 8). Therefore, released Cu ions are responsible for the cell cycle arrest in the G2 phase induced by CuO-NPs, while cell cycle arrest in the G1 phase is attributable to CuO-NPs themselves. This observation corresponds to the results of gene expression analysis.

A question remains: what causes the toxicity of CuO-NPs themselves? One possibility is the effect of Cu ions released from CuO-NPs taken up into cells. When Cu ions are released from internalized CuO-NPs, the intracellular concentration of Cu ions increases. Indeed, in cells cultured in medium containing 60 $\mu\text{g/mL}$ CuCl_2 , which has a Cu ion concentration twice of that released from CuO-NPs into culture medium, the expression levels of *GADD45B*, *GADD45G*, *FOSB*, and *ATF3*, which were induced by CuO-NPs but not Cu ions released from CuO-NPs into culture medium, were upregulated (Table 1). These results suggest that the changes in gene expression due to CuO-NP exposure might be not due to the nanoparticles themselves but rather to the high concentration of Cu ions that are released from internalized CuO-NPs.

Proposed Mechanism for Cellular Response to CuO-NPs. We propose a model for cellular responses to the toxicity of CuO-NPs on the basis of these results (Figure 9). In this model, CuO-NPs damage both mitochondria and DNA, and Cu ions that are released into the culture medium contribute to the mitochondrial damage. In addition, released Cu ions generate ROS and lead to cell cycle arrest at the G2 phase by altering the expression of various cell cycle genes such as *CDC2*, *CCNB1*, *TPX2*, *AURKA*, and *AURKB*. Moreover, CuO-NPs induce the expression of many HSPs, and strongly arrest the cell cycle at the G1 phase by downregulating *PCNA*. Furthermore, cells that are exposed to CuO-NPs avoid cell death by activating the p38 pathway *via* upregulation of *GADD45B* and *GADD45G*. We observed numerous CuO-NPs in dead cells compared to viable cells (Supporting Information Figure S12), suggesting that the difference in the amount of CuO-NPs that are absorbed into individual cells may determine their fate.

Finally, we examined toxicity of CuO-NPs using primary human lung epithelial cells. CuO-NPs and Cu ions also showed a toxic effect on primary cells (Supporting Information Figure S13). In addition, primary cells upregulated expression of genes involved in MAPK pathways such as *GADD45B/GADD45G* and *NR4A1/NR4A3*, which is similar to that in A549 cells (Supporting Information Figure S13). However, expression of several genes related to cell cycle regulation such as *PCNA*, *CDC2* and *AURKB* showed a different pattern from that of A549 cells.

This study demonstrated that change of gene expression in A549 cells exposed to CuO-NPs is similar to that of cells exposed to CuCl_2 , which suggests that Cu ions that are released from

CuO-NPs inside and outside cells may be the primary cause of their cytotoxicity. However, our model established using A549 cells does not completely explain the molecular responses to the toxic effects of CuO-NPs. For example, although CuO-NPs downregulated *PCNA*, a high concentration of CuCl₂ did not have a similar effect. In addition, our model does not entirely apply to primary human lung epithelial cells because some of genes showed different expression patterns from that of A549 cells. Further studies are needed to elucidate the differences in molecular mechanism of primary cells from that of A549 cells demonstrated in this study.

CONCLUSIONS

CuO-NPs showed strong cytotoxicity to carcinoma-derived human lung epithelial A549 cells *in vitro*. CuO-NPs upregulated genes involved in MAPK pathways such as *GADD45B/GADD45G* and *NR4A1/NR4A3*, while downregulated genes involved in cell cycle progression. Of these changes in gene expression, upregulation of *NR4A1/NR4A3* and downregulation of genes related to cell cycle progression were attributed to Cu ions released from CuO-NPs into culture medium. Although *GADD45B/GADD45G* were not induced by Cu ions released into culture medium, higher concentration of Cu ions prepared from CuCl₂ enhanced the expression. The results indicated that change of gene expression involved in MAPK pathways and cell cycle progression in cells exposed to CuO-NPs was similar to that in cells exposed to Cu ions.

MATERIALS AND METHODS

CuO Nanoparticles. CuO nanoparticles (CuO-NPs) were purchased from Sigma-Aldrich (MO, USA). The average diameter of these particles was 50 nm. The mean aggregate size of the particles after dispersal in a medium was assessed using a laser diffraction particle size analyzer (DLS6000AL, Otsuka, Japan) at a concentration of 25 µg/mL.

Preparation of Medium Containing CuO-NPs and Medium Containing Released Cu Ions. To prepare the medium containing CuO-NPs, CuO-NPs were first dispersed in water sterilized with ultrasonic waves for 15 min. Next, CuO-NPs were added to high-glucose Dulbecco's Modified Eagle's Medium supplemented with 10% fetal bovine serum, 100 units/ml penicillin, and 100 µg/ml streptomycin (hereafter referred to as DMEM) to obtain a concentration of 25 µg/ml CuO-NPs. This medium was prepared just prior to its use. To prepare the medium containing released Cu ions, the medium containing CuO-NPs was incubated in a rotary shaker 37°C for 24 h. The CuO-NPs suspension was then centrifuged at 150,000 × *g* for 1 h to eliminate the NPs. The upper portion of supernatant was collected and used as medium containing Cu ions released from CuO-NPs.

To measure the concentration of Cu in the supernatant, the supernatant was ashed using nitric acid and perchloric acid, and then diluted with water. The concentration was then measured using

coupled plasma optical emission spectrometry (ICP-OES; SPS1700HVR, Seiko Instruments Inc., Chiba, Japan).

To study CuO-NPs in the cell culture medium, a grid for transmission electron microscopy (TEM) was immersed in the CuO-NPs suspension (25 $\mu\text{g}/\text{ml}$) for 24 h and then dried in air. To confirm the removal of NPs by centrifugation, a TEM grid was immersed in the supernatant for 24 h and dried in air. The dark-field TEM images, elemental mapping, and energy dispersive spectroscopy (EDS) spectrum were obtained by JEM-2100F (JEOL, Tokyo, Japan). The size of Cu-NPs after incubation for 24 h was examined scanning electron microscope (SEM) (S-4800, Hitachi High-Technology, Tokyo Japan).

Cell Culture. Carcinoma-derived human lung epithelial A549 cells were seeded in culture dishes and plates with DMEM at a concentration of 5,000 cells/ cm^2 . Normal human small airway epithelial cells (SAEC, TaKaRa Bio, Tokyo, Japan) were seeded in basal medium (SAGM BulletKit, TaKaRa Bio) at a concentration of 2,500 cells/ cm^2 . After culturing in medium at 37°C in a 5% CO_2 humidified environment for 48 h, the cell concentration of this culture reached about 70% confluence. Next, the medium used to culture the cells was replaced with media containing CuO-NPs, released Cu ions, or CuCl_2 . After culturing the cells for another 24 h, the cellular toxicity of the culture was evaluated. Six-well culture plates were used for the following: viable cell counts, calcein-AM staining, propidium iodide (PI) staining, TUNEL assay, DNA microarray, real-time quantitative PCR (qPCR), p38 and JNK inhibition, and siRNA-based gene knockdown. Ninety-six-well culture plates were used for the formazan-formation assay. Furthermore, 35-mm glass bottom dishes were used for detecting mitochondrial damage.

Evaluation of Cytotoxicity. Cytotoxicity of the cells was evaluated by assessing viable cell counts and formazan formation. To count cells, cells were removed from cell plates by trypsin treatment after washing with PBS three times. These cells were centrifuged at $2,000 \times g$ for 2 min, and then resuspended in DMEM. A hemocytometer was used to count viable cells not stained with trypan blue. To count the total number of cells, cells were visually counted in 8 different areas for each of the 4 independently prepared rounds of cultures. To visualize whether a cell was dead or alive, cells on each plate were double-stained with calcein-AM and PI using the Cellstain Double Staining Kit (Dojindo, Kumamoto, Japan). Each stained cell was observed under a fluorescence microscope. To determine the ratio of apoptotic cells, harvested cells were fixed in ethanol, and then stained with PI and FITC-labeled Annexin V. Fluorescent intensity was measured with flow cytometry (FACS Calibur, BD, NJ, USA). The level of formazan formed from the water-soluble tetrazolium salt (WST-8) was measured using Dojindo's Cell Counting Kit-8.

Observation of Internalized CuO-NPs. Cells exposed to CuO-NPs for 24 h were removed from culture plates by trypsin treatment and harvested by centrifugation at $2,000 \times g$ for 2 min. The cells were fixed with a mixture of 2% paraformaldehyde and 2.5% glutaraldehyde in 0.1 M cacodylate

buffer (pH 7.4) for 2 h at room temperature. After a short rinse in the buffer, the samples were postfixed for 1 h in 1% OsO₄ in 0.1 M cacodylate buffer (pH 7.4). The samples were then rinsed in the buffer, dehydrated in a graded series of ethanol, and embedded in Spurr resin (Agar Scientific, England). Ultrathin sections were cut with an ultramicrotome (EM UC-6, Leica, Wetzlar, Germany) using a diamond knife. Sections were examined with a JEM-2100F (JEOL) for the dark-field TEM image and elemental mapping. Sections were stained with oolong tea extract (Oken Shoji, Tokyo, Japan), Ti blue (Oken Shoji), and lead citrate for observation with a field emission transmission electron microscope (JEM-3000F, JEOL).

Detection of Mitochondrial Damage. A549 cells were seeded at a concentration of 5,000 cells/cm². After culturing for 48 h, CuO-NPs were added to the cells to obtain a concentration of 25 µg/ml, and the cells were cultured for an additional 4 h. This treatment was followed by the addition of CuCl₂ to obtain a concentration of 30 µg/ml, and the cells were further cultured. To detect mitochondrial damage, cells were stained with JC-1 (5,5',6,6'-tetrachloro-1,1',3,3'-tetrathylbenzimidazolylcarbocyanin iodide; Invitrogen, CA, USA), and mitochondria were detected using a confocal laser microscope. Damaged mitochondria accumulate less JC-1 and therefore exhibit less fluorescence.

DNA Microarray Analysis. Cells cultured previously in DMEM for 48 h were further cultured for 24 h in media containing CuO-NPs, released Cu ions, or in DMEM (control medium). Total RNA was then recovered from the cells in each culture using ISOGEN (Nippon Gene, Tokyo, Japan). These RNAs were amplified using Amino Allyl MessageAmp II aRNA Amplification Kit (Ambion, TX, USA) and then labeled with Cy3 and Cy5. Whole Human Genome Microarray Kit 4 × 44K (Agilent, CA, USA) was applied to the Cy3- and Cy5-labeled amplified RNAs, which were then competitively hybridized for 18 h at 65°C. After washing this DNA microarray, the fluorescence intensity of Cy3 and Cy5 was scanned using GenePix 4000B (Molecular Devise, CA, USA) at 10-µm resolution at 3 levels, from a low PTM gain value to a high value. Spots in the scanned images were detected using GenePix Pro (Molecular Devise). The foreground and background median values of each spot were obtained from the median values of the pixels included in each spot area. The difference between the foreground and background values of each spot was established as the signal strength. The standard deviation of the background values was treated as the noise value. Only spots with signal values 3× the noise value or greater were considered valid spots. Data from scans, whose gains were separated into 3 levels, were globally normalized and merged. Finally, locally weighted scatterplot smoothing (LOWESS) adjustment was applied.

The DNA microarray experiment was carried out twice using RNA obtained from different cultures. For the first trial, RNA obtained from cells cultured in the control medium was labeled with Cy3. RNA obtained from cells cultured in media containing CuO-NPs and released Cu ions was labeled with Cy5. Genes whose Cy5 fluorescence intensity was 2× the fluorescence intensity of Cy3

or greater, or 0.5× the fluorescence intensity of Cy3 or lesser, were extracted as genes with altered expression levels. For the second trial, the roles of Cy3 and Cy5 were switched; i.e., RNA obtained from the control cells was labeled with Cy5. Genes with altered expression level as indicated by the ratio of Cy3 to Cy5 fluorescence intensity were extracted. Of these extracted genes, only genes whose ratio of expression levels from the 2 trials was less than double the margin of error were identified as reproducible genes. The ratio of the expression levels of these genes was set as the average value of the 2 trials.

Next, these genes were placed into GO biological process categories using PANTHER gene expression analysis/compare gene lists (<http://www.pantherdb.org/tools/genexAnalysis.jsp>). Significant changes were indicated by categories with over(+) and *p* value < 0.001.

Real-Time Quantitative PCR. Real-time quantitative PCR (qPCR) was performed to confirm the reproducibility of the ratio of RNA expression levels obtained from independent cultures for certain genes that revealed altered expression levels during the DNA microarray analysis. The primer sequence of each gene for qPCR is shown in Supporting Information (Table S10). The expression level of each gene was set as a value relative to the expression level of the *GAPDH* gene.

Western Blotting. The following primary antibodies were used for western blotting: anti-GADD45B polyclonal antibody (ab105060, abcam, Cambridge, UK), anti-GADD45G polyclonal antibody (ab96578, abcam), anti-NR4A1 monoclonal antibody (ab109180, abcam), anti-NR4A3 monoclonal antibody (WH0008013M6, Sigma-Aldrich), anti-CDC2 polyclonal antibody (9122, Cell Signaling Technology, MA, USA), anti-CCNB1 monoclonal antibody (ab72, abcam).

A549 cells were seeded at 5,000 cells/cm² in 14.5 cm-culture dishes containing DMEM. After 48 h, medium was replaced with media containing CuO-NPs, released Cu ions, or CuCl₂, and cultured for another 24 h. Total protein was extracted using RIPA buffer (Thermo Fisher Scientific, MA, USA) after cell washing with PBS buffer. Thirty µg of total protein was size fractionated on a precast SDS-polyacrylamide gel (15% acrylamide, Atto Corporation, Tokyo, Japan) and blotted onto an Immobilon-PSQ membrane (Millipore, MA, USA). After 1 h of blocking at room temperature with 3% Immunoblot Blocking Reagent (Millipore), the membrane was incubated overnight at 4°C with a primary antibody. The membrane was then washed three times with PBS buffer containing 0.05% Tween-20 and incubated for 1 h at room temperature or overnight at 4°C with HRP-F(ab')₂ goat antirabbit IgG(H+L) (Zymed Laboratories, CA, USA) or HRP goat anti-mouse IgG(H+L) (Zymed Laboratories). Proteins were detected using Immobilon Western Chemiluminescent HRP Substrate (Millipore).

Inhibition of JNK and p38. A549 cells were cultured in DMEM for 46 h. JIP-1 peptide (GIBCO) or SB239063 (Sigma-Aldrich), inhibitors of JNK and p38, respectively, was added so that the concentration of each reagent was 10 µM. After 2 h, these media were exchanged with media

containing CuO-NPs, to which JIP-1 peptide or SB239063 was added to obtain the same concentration. The cells were cultured for another 24 h, and the number of live cells was counted.

Knock-Down of GADD45B and NR4A1 using siRNA. The sequences of siRNAs used to knock down genes were the following: *GADD45B* sense (5'-GCACUUAUUCGAACCATT-3') and antisense (5'-UGGUUCGAAUAACAAGUGCTT-3'); *NR4A1* sense (5'-GCAUGGUGAAGGAAGUUGUTT-3') and antisense (5'-ACAACUCCUUCACCAUGCTT-3'). A549 cells were cultured in DMEM for 42 h, and each siRNA was added to the control medium to obtain a concentration of 25 μ M. The siRNAs were introduced using Lipofectamine RNAiMAX (Invitrogen). After 6 h, the media were exchanged with medium containing CuO-NPs, and each siRNA was transfected. After culturing for 24 h, the number of viable cells was counted. Control cells were transfected with siRNA with the sequence of sense (5'-UCUAAUUCGCGUAUAAGGCTT-3') and antisense (5'-GCCUUAUACGCGAUUAAGATT-3').

N-acetylcysteine (NAC) Treatment. A549 cells were cultured in a 96-well plate or 35 mm² dish for 46 h, and NAC was added to the medium to obtain a concentration of 10 mM. After 2 h, the media were exchanged with medium containing CuO-NPs or released Cu ions. The cells were cultured another 24 h to examine recovery from toxicity.

Cell Cycle Analysis. Cells cultured previously in DMEM for 48 h were cultured for a further 24 h in media containing 25 μ g/mL CuO-NPs, released Cu ions, or in DMEM (control medium). These cells were harvested, fixed in ethanol, and then stained with PI. Fluorescence intensity was measured with flow cytometry (FACS Calibur).

Statistics. Differences between samples and control were evaluated using two-tailed Student's *t*-test, and post hoc Bonferroni correction was performed for multiple comparisons. The results were considered significant if $p < 0.05$.

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Supporting Information Available: Tables S1 – S9: Lists of genes. Table S10: Primer sequences. Figure S1: Particle size distribution of CuO-NPs (25 μ g/mL) in culture medium. Figure S2: Preparation and characterization of medium containing Cu ions released from CuO-NPs. Figure S3: Cell viability as indicated by staining of cells that were exposed to CuO-NPs and the supernatant for 24 h with calcein acetoxymethyl ester (calcein-AM). Figure S4. Damage to mitochondria by

CuO-NPs. Figure S5: Effect of non-toxic dummy Al₂O₃-NPs on supernatant toxicity. Figure S6: Internalized CuO-NPs observed by TEM. Figure S7: Western blotting analysis to confirm the change of gene expression. Figure S8: Cell cycle arrest due to CuO-NPs. Figure S9: Effects of SB239063 and JNK interacting protein 1 (JIP-1), which are inhibitors of p38 and JNK, respectively. Figure S10: siRNA knockdown efficiency of *GADD45B* and *NR4A1*. Figure S11: Effect of siRNA knockdown on the expression of *GADD45B* and *NR4A1* on the cytotoxicity of CuO-NPs. Figure S12. CuO-NPs in dead cell observed by TEM. Figure S13: Cytotoxicity of CuO-NPs and Cu ions to primary human lung epithelial cells and change of gene expression. This information is available free of charge *via* the internet at <http://pubs.acs.org>.

Figure legends

Figure 1. Characterization of supernatant for preparation of medium containing Cu ions released from CuO-NPs. **(a)** Size distribution of NPs in supernatant. **(b)** Size distribution of NPs in medium without CuO-NPs incubated at 37°C for 24 h. **(c)** Dark-field TEM image of a CuO-NPs sample. A TEM grid was immersed into the cell culture medium that contained 25 µg/mL CuO-NPs, and then air-dried. **(d)** EDS spectrum of the point indicated by the arrow in (c), which suggests the presence of Cu and O. **(e)** Dark-field TEM image of the supernatant. The CuO-NPs suspension was centrifuged at 150,000 x g for 1 h. Subsequently, a TEM grid was immersed into the supernatant, and then air-dried. **(f)** EDS spectra of points 1–8 in (e). **(g)** SEM image of original CuO-NPs. **(h)** SEM image of CuO-NPs incubated in medium at 37°C for 24 h.

Figure 2. Toxicity of CuO-NPs and released Cu ions. **(a)** Cytotoxicity of CuO-NPs and supernatant as indicated by the number of viable cells. A549 human lung epithelial cells were cultured in media containing 25 µg/mL CuO-NPs or supernatant at 37°C for 24 h, and then the number of viable cells was compared to that of control (untreated) cells (defined as 1). Results are expressed as mean (SE) ($n = 4$). $**p < 0.05$. **(b)** Cytotoxicity of CuO-NPs and supernatant as indicated by cell viability in the WST assay. Results are expressed as mean (SE) ($n = 8$). $**p < 0.05$. **(c)** Ratio of apoptotic cells determined by using flow cytometry. Cells were stained with propidium iodide and FITC-labeled Annexin V. 20,000 cells were analyzed. Apoptotic cells are distributed in the upper right-hand area. Apoptosis was not observed in cells exposed to supernatant. However, about 9% cells underwent apoptosis in response to CuO-NPs. Living cells in early apoptotic stage are distributed in the upper left-hand area. Therefore, only 2% cells were in the early apoptotic stage when cells were exposed to CuO-NPs. **(d)** Cytotoxicity of CuCl₂ as indicated by the number of viable cells. Arrow indicates concentration of CuCl₂ whose toxicity is similar to that of supernatant. **(e)** Cytotoxicity of CuCl₂ as indicated by cell viability. Arrow indicates concentration of CuCl₂ whose toxicity is similar to that of supernatant.

Figure 3. Dark-field TEM image and elemental map of NPs inside cells. A549 cells were cultured in medium containing 25 µg/ml CuO-NPs at 37°C for 24 h, and then living cells were harvested for the observation. Elemental map suggests the presence of Cu and O.

Figure 4. Gene ontology classification of genes that were upregulated or downregulated by CuO-NPs. A549 cells were exposed to 25 µg/mL CuO-NPs for 24 h, and then DNA microarray analysis was used to identify genes that demonstrated a 2-fold or greater change in expression level compared with control cells. These genes were grouped into statistically significant GO functional

categories ($p < 0.001$). **(a)** Functional categories of genes upregulated by CuO-NPs. The numbers within parentheses indicate the number of genes in each category. **(b)** Functional categories of genes downregulated by CuO-NPs. Representative genes are shown in each category. The gene list for each category is included in Supporting Information (Table S1 – S6).

Figure 5. Changes in the number of viable cells due to disruption of mitogen-activated protein kinase (MAPK) pathways. After culturing A549 cells for 42 h, p38 or c-Jun N-terminal kinase (JNK) inhibitors or siRNA were added and incubated for 6 h. Subsequently, CuO-NPs were added to a final concentration of 25 $\mu\text{g}/\text{mL}$ and then cultured for 24 h. **(a)** Effects of SB239063 and JNK interacting protein 1 (JIP-1), which are inhibitors of p38 and JNK, respectively, on the number of viable cells. SB239063 increased the cytotoxicity of CuO-NPs ($n = 3$). $**p < 0.05$. **(b)** Effect of siRNA knockdown on the expression of *GADD45B* and *NR4A1* on the cytotoxicity of CuO-NPs. Knockdown of the expression of these genes markedly increased the cytotoxicity of CuO-NPs ($n = 3$). $**p < 0.05$. The knockdown efficiency for each gene is shown in Supporting Information Figure S10.

Figure 6. Gene expression altered by both CuO-NPs and released Cu ions. **(a)** Genes upregulated by both CuO-NPs and supernatant. Of 108 genes that were upregulated in response to supernatant, 54 genes were also upregulated by CuO-NPs. **(b)** Genes downregulated by both CuO-NPs and supernatant. Of 125 genes that were downregulated in response to supernatant, 55 genes were also downregulated by CuO-NPs. The names of the common genes are boxed. Yellow, orange, and green highlighting of gene names indicate ROS scavenger genes, MAPK-related gene, and cell cycle-related genes, respectively. **(c)** Gene ontology classification of genes that were downregulated by both CuO-NPs and supernatant. Categories with $p < 0.001$ were considered statistically significant functional categories. The numbers within parentheses indicate the number of genes in each category. Gene names are listed under each category.

Figure 7. Effect of pre-treatment of cells with NAC, a scavenger of ROS. **(a)** NAC decreased mitochondrial damage of cells exposed to CuO-NPs and supernatant ($n=8$). $**p < 0.05$. **(b)** NAC increased the number of living cells exposed to CuO-NPs and supernatant ($n=3$). $**p < 0.05$.

Figure 8. Cell cycle analysis in A549 cells determined by flow cytometry. A549 cells were cultured for 48 h, and then were exposed to CuO-NPs and supernatant for another 24 h. Viable cells were harvested and stained with PI. **(a)** Control cells. These cells were under confluent. **(b)** Cells exposed to 25 $\mu\text{g}/\text{mL}$ CuO-NPs for 24 h. **(c)** Cells exposed to supernatant for 24 h.

Figure 9. Model of the molecular responses of cells exposed to CuO-NPs. The boxes outlined in blue and red indicate genes that are induced by CuO-NPs and released Cu ions, respectively. Orange and light green boxes indicate downregulated and upregulated genes, respectively. The solid black lines indicate pathways that are induced by CuO-NPs or released Cu ions, while the dotted lines indicate inferred pathways. The gray lines indicate pathways that are not induced by CuO-NPs. Cells that survive exposure to CuO-NPs halt their cell cycle progression *via* downregulation of *PCNA*, *CDC2*, *CCNB1*, *tTPX2*, *AURKA*, and *AURKB*. Cell death is also prevented by the induction of *NR4A1*, *NR4A3*, *GADD45B*, and *GADD45G*. In addition, Cu ions that are released into the culture medium downregulate *CDC2*, *CCNB1*, *TPX2*, *AURKA*, and *AURKB* and upregulate *NR4A1* and *NR4A3*. However, the expression of *PCNA* is only downregulated by CuO-NPs. The expression of *GADD45B* and *GADD45G* activates the p38 pathway to prevent cell death. Furthermore, CuO-NPs upregulate the expression of *FOS*, *FOSB*, and *ATF3*. These products dimerize with *ATF2*, which is activated by the p38 pathway, to form the AP-1 transcription factor complex. It is not known whether activation of the p38 pathway and upregulation of *NR4A1/NR4A3* are involved in cell survival *via* cell cycle arrest. The induced expression of *GADD45B* and *GADD45G* is thought to be due to the absorption of Cu ions that are released from CuO-NPs into cells.

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Figure 1. Hanagata et al.

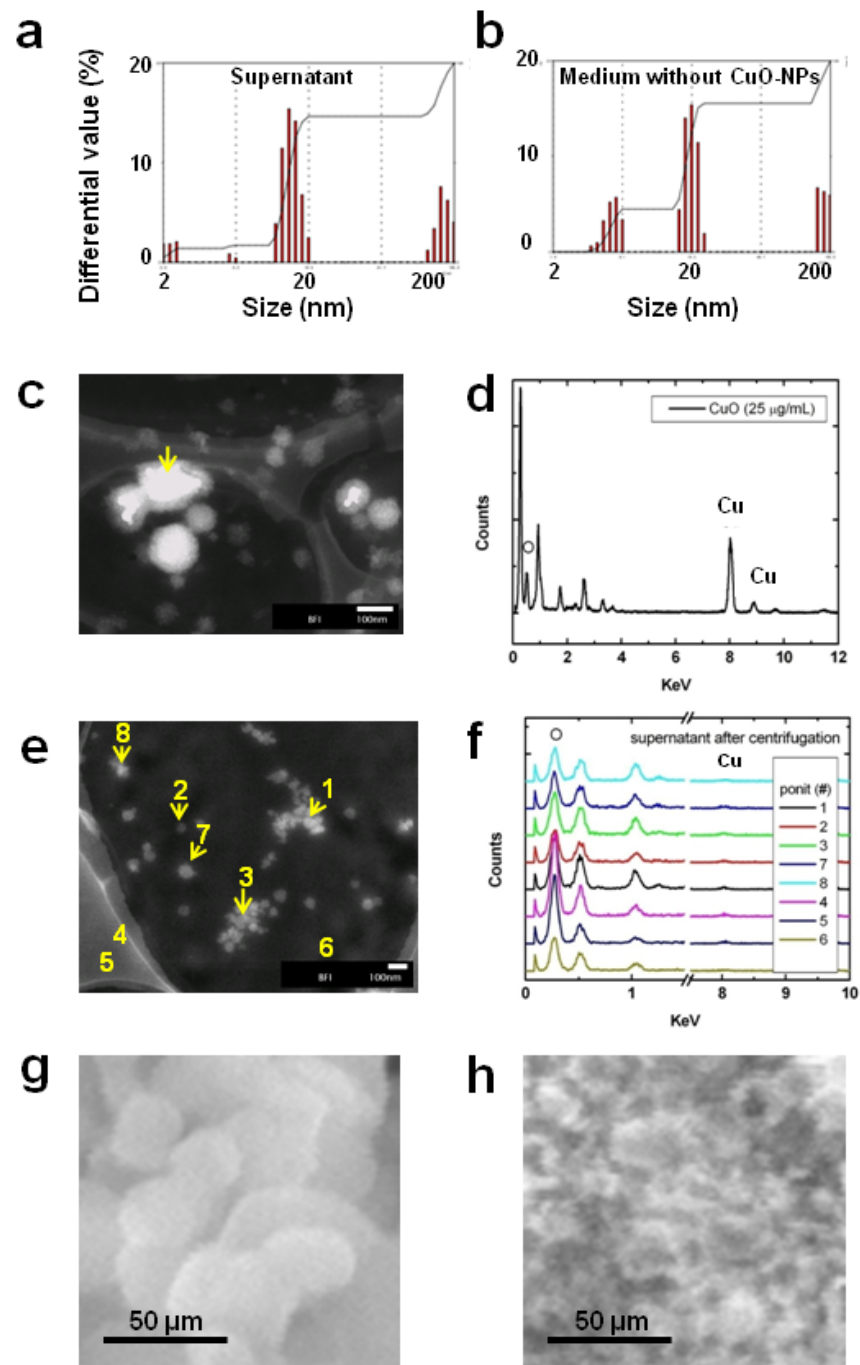


Figure 2. Hamagata et al.

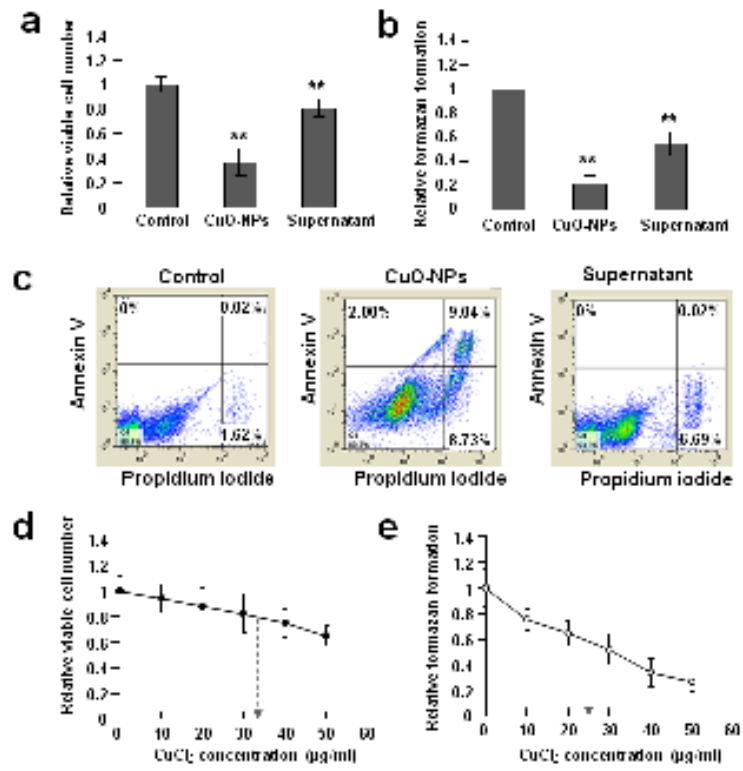


Figure 3. Hamagata et al.

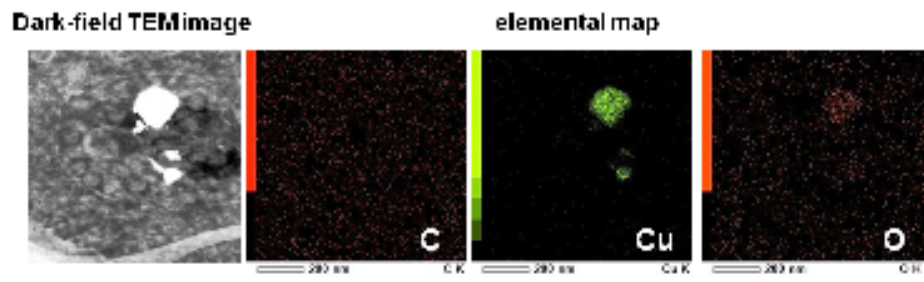
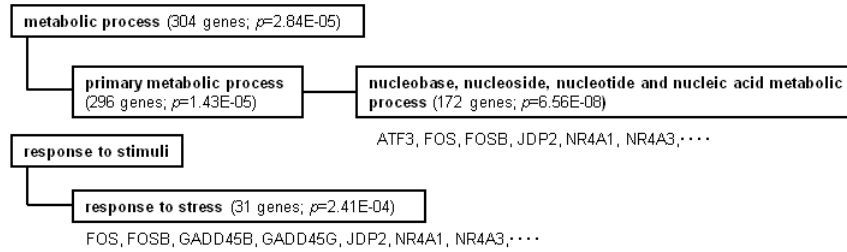


Figure 4. Hanagata et al.

a. Up-regulated genes



b. Down-regulated genes

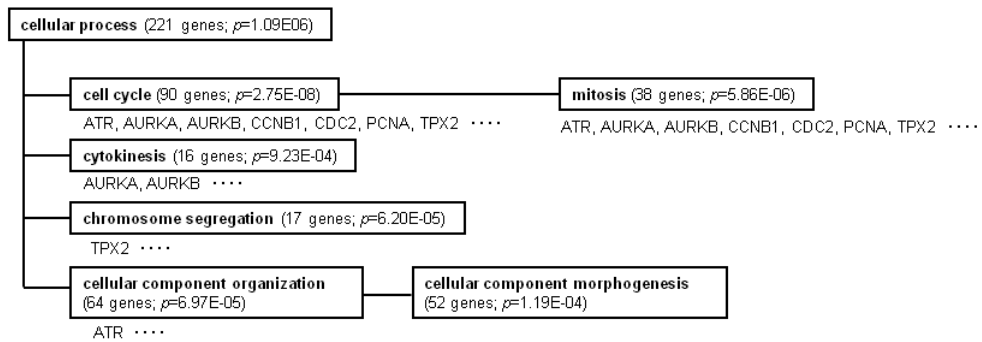


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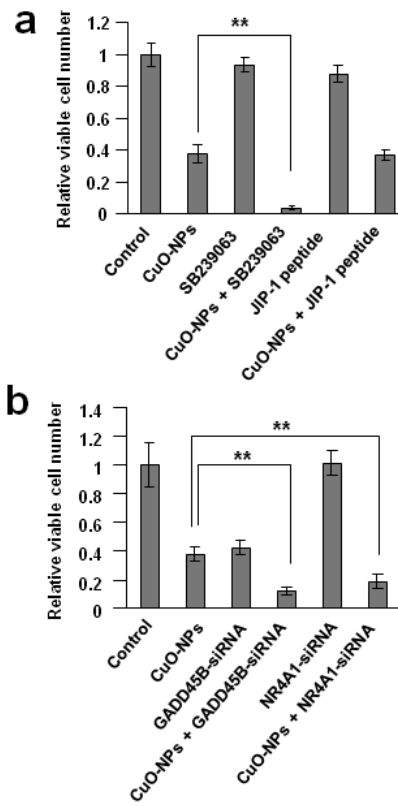


Figure 6. Hanagata et al.

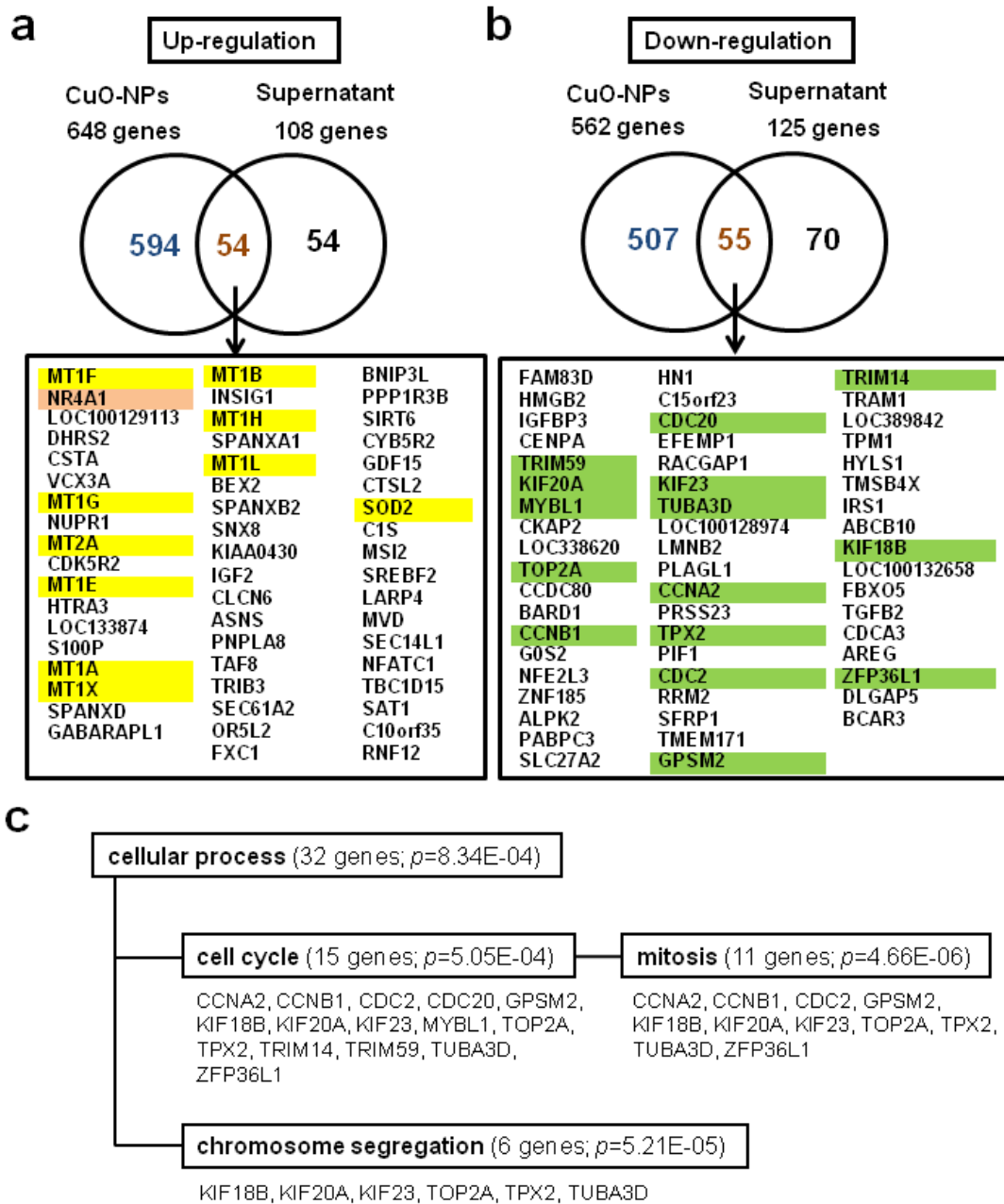


Figure 7. Hanagata et al.

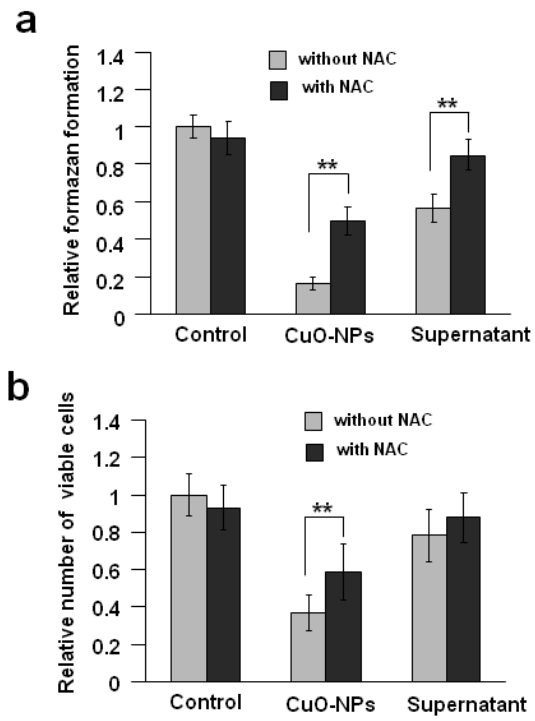


Figure 8. Hanagata et al.

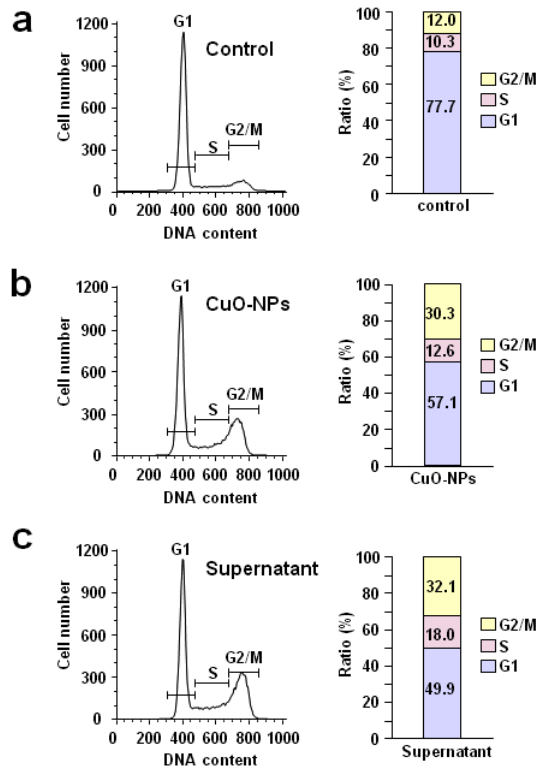


Figure 9. Hanagata et al.

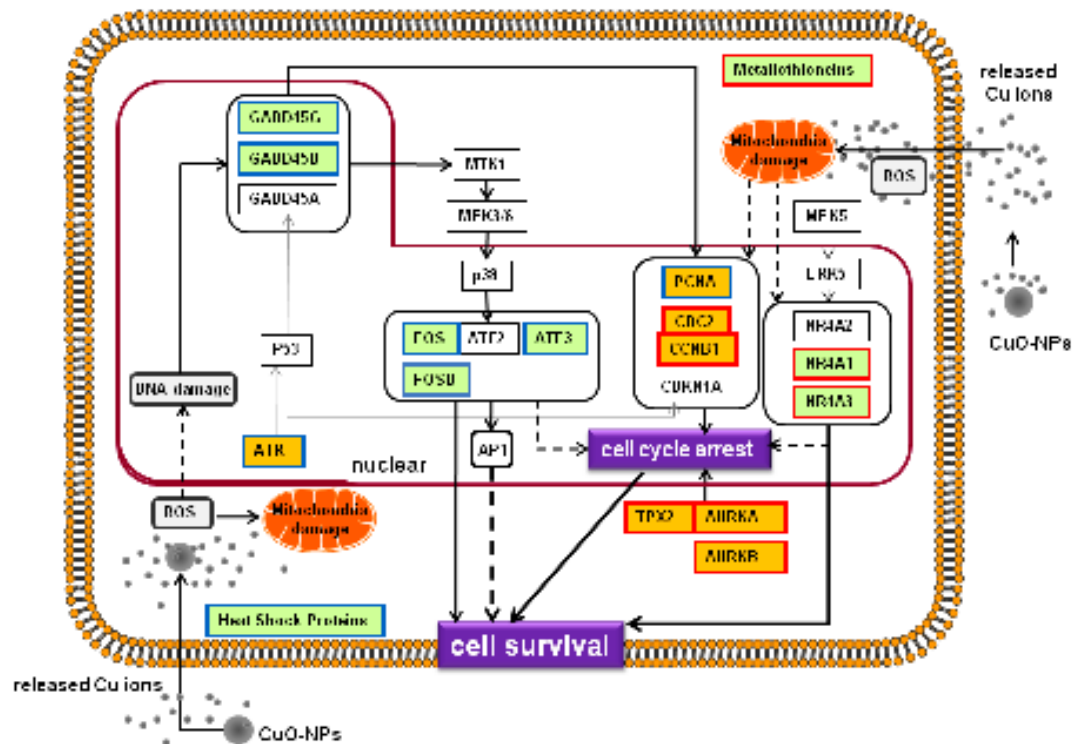


TABLE 1. Fold change of gene expression level mainly discussed in this study.

Gene Name	Fold-change (\log_2 ratio) *						GO category
	CuO-NP (25 μ g/ml)		Supernatant (contained about 15 μ g-Cu/ml)		CuCl ₂ (30 μ g/ml)	CuCl ₂ (60 μ g/ml)	
	DNA microarray	qPCR	DNA microarray	qPCR	qPCR	qPCR	
GADD45A	0.24	0.55	-0.76	0.68	0.14	1.07	response to stress
GADD45B	2.96	3.00	-0.02	0.30	-0.42	1.52	response to stress
GADD45G	3.59	3.22	NR	0.61	0.38	1.70	response to stress
PCNA	-1.37	-0.87	NR	-0.28	-0.05	-0.23	cell cycle
CDC2	-1.41	-1.48	-1.16	-1.08	-1.40	-1.22	cell cycle
CCNB1	-1.74	-2.14	-1.33	-1.51	-1.27	-1.46	cell cycle
CDKN1A	0.30	0.78	-0.08	0.63	-0.07	-0.49	cell cycle
FOS	4.48	1.06	NR	-0.14	0.37	0.61	nucleobase, nucleoside, nucleotide and nucleic acid metabolic process; response to stress
FOSB	5.70	3.97	NR	0.68	0.57	3.43	nucleobase, nucleoside, nucleotide and nucleic acid metabolic process; response to stress
ATF3	4.22	1.23	NR	-0.01	0.07	1.14	nucleobase, nucleoside, nucleotide and nucleic acid metabolic process
JDP2	1.13	-0.67	NR	-0.19	-0.36	-0.41	nucleobase, nucleoside, nucleotide and nucleic acid metabolic process
ATR	-1.11	-0.83	-0.20	-0.48	-0.33	-0.51	cell cycle; cellular component organization
TP53	NR	-1.10	NR	-1.12	-0.04	0.15	induction of apoptosis; cell cycle; Nucleobase, nucleoside, nucleotide and nucleic acid metabolic process
NR4A1	5.28	2.82	2.71	1.25	0.89	2.09	nucleobase, nucleoside, nucleotide and nucleic acid metabolic process; response to stress
NR4A2	NR	-1.12	NR	-0.96	0.07	0.33	nucleobase, nucleoside, nucleotide and nucleic acid metabolic process; response to stress
NR4A3	3.06	1.08	NR	0.94	1.55	2.96	nucleobase, nucleoside, nucleotide and nucleic acid metabolic process ; response to stress
AURKA	-1.21	-1.62	-0.91	-1.34	-0.59	-1.12	cell cycle; cytokinesis
AURKB	-1.13	-1.76	-0.81	-1.18	-0.96	-1.37	cell cycle; cytokinesis
TPX2	-1.25	-2.13	-1.17	-1.63	-1.16	-2.11	cell cycle; chromosome segregation

* Fold-change is represented by logarithmic ratio (\log_2 ratio) to expression level in control. NR: Not reproducible