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1 **An alternative management regime of selection cutting for sustaining**  
2 **stand structure of mixed forests of northern Japan - a simulation study**

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20

21 **Abstract**

22 In uneven-aged conifer-broadleaved mixed forests in Hokkaido, northern Japan,  
23 single-tree selection cutting has been a common management practice since the early  
24 20th century. This practice is expected to produce timber without major changes in  
25 stand structure or tree species composition. The demographic response of forests to this  
26 practice has often been unexpected, and degradation of stand properties has been widely  
27 observed. We propose here a sustainable management regime of selection cutting, based  
28 on an individual-based forest dynamics simulation model, SORTIE-ND. Our  
29 simulations, based on demographic data from fifteen long-term monitoring stands,  
30 suggest that selection cutting using a lower cutting intensity, together with a longer  
31 rotation period and reduced removal of small trees and conifer species is more  
32 appropriate than traditional system in terms of maintaining stand structure and tree  
33 species composition, as well as being profitable financially. Supplemental regeneration  
34 practices, which can counter accidental mortality incurred during harvesting operations,  
35 would also be necessary to ensure tree recruitment.

36

37 **Key Words** cutting intensity; cutting rotation period; forest modeling using  
38 SORTIE-ND; natural uneven-aged mixed forest; single-tree selection system

39

## 40 **Introduction**

41 Forest management regimes are shifting to include greater focus, not only on  
42 sustaining wood production, but also on maintaining various functions of ecosystems  
43 (Kohm and Franklin 1997; Hunter Jr 1999; Puetmann et al. 2008). Ecological based  
44 management of forests generally emphasize maintenance or restoration of stand  
45 structure, including tree volume, size distribution and tree species composition, of  
46 natural forests based on an improved understanding of emulations of patterns and  
47 processes observed in the natural disturbance regime (Coates and Burton 1997; Harvey  
48 et al. 2002; Kuuluvainen 2002; Seymour 2002). In this sense, relative to clear cutting,  
49 the merits of partial cutting have been recognized, notably for retaining biological  
50 legacies that can be important for biodiversity and ecosystem functions (Franklin et al.,  
51 2002; Matsuda et al. 2002).

52 Fine-scale gap disturbance influences stand structure of cool-temperate  
53 conifer-broadleaved mixed forests in Hokkaido, northern Japan (Hiura and Fujiwara  
54 1999; Kubota 2000). Single-tree selection cutting, which introduces small gaps in a  
55 stand, has been a common management practice since the early 20th century (Matsui  
56 1976). This practice is generally expected to promote growth of residual trees,  
57 regardless of tree species and size classes, and to produce timber without major  
58 changes in stand structure or tree species composition. However, the response of  
59 forests to single-tree selection cutting in Hokkaido has often been unexpected and, to  
60 some extent, the positive effects of cutting on growth and survival of residual trees,  
61 and on regeneration, have been limited (Noguchi and Yoshida 2007, 2009; Miya et al.  
62 2009). In effect, degradation of stand structure has been widely observed (Noguchi and  
63 Yoshida 2004; Yoshida et al. 2006). Improvement of the traditional forest management

64 regime, to make harmony with resilience and preservation of the ecosystem, is  
65 therefore highly desirable. In particular, cutting intensity (amount to be harvested) in  
66 relation to a corresponding rotation period, which essentially determines the extent of  
67 disturbance, should be reconsidered. They have traditionally been based entirely on net  
68 volume growth of the sample stands (Matsui 1976), but their long-term effects on stand  
69 structural and compositional attributes have rarely been evaluated.

70 The aim of this study is to explore an ecologically sound forest management regime  
71 of selection cutting for maintaining original stand structure and species composition of  
72 a northern Japanese natural mixed forest. A field experiment involving various cutting  
73 regimes (e.g. Jalonen and Vanha-Majamaa 2001; Haeussler et al. 2007) would, in  
74 principle, be effective for this purpose; however, time horizons necessary for  
75 determining medium- to long-term outcomes, and high establishment and operational  
76 costs temper interest for this option. We therefore used a simulation approach for  
77 predicting the long term responses of a stand to various silvicultural cutting scenarios  
78 (Gratzer et al. 2004; Uriarte et al. 2009). We first estimated the parameters specifying  
79 the forest dynamics (i.e. individual growth, mortality and recruitment) which are  
80 required by a simulator (SORTIE-ND), based on a large set of field data. Following  
81 validation of the model, we determined the effects of several selection cutting  
82 scenarios. Allowable cutting intensity, with regard to the net growth rate of sample  
83 stands in Hokkaido, is generally estimated to be approximately 1-2 %/ year on average  
84 (in terms of volume; Hokkaido Pref. 2000). Consequently, we used a 10 % cutting  
85 intensity (to living stock) with a 10 year rotation period as the standard for our study,  
86 and compared it with scenarios having different combinations of cutting intensity and  
87 rotation period. Finally, in the discussion, we propose a sustainable silvicultural regime

88 based on the simulation results examining the effects of cutting on changes in the basal  
89 area sum, size structure and tree species composition, as well as the financial profit.

90

## 91 **Method**

### 92 1. Study sites

93 Our simulation was of natural conifer-broadleaved mixed forests in northern  
94 Hokkaido. The parameters required for the simulation were estimated from the data for  
95 stand structure, dynamics and individual tree properties in fifteen long-term monitoring  
96 stands in the Nakagawa (the forest office is at 44°43' N, 142°16'E) and Uryu  
97 Experimental Forest (44°22' N, 142°16'E) of Hokkaido University. The mean annual  
98 temperatures is around 5°C, and mean annual precipitation is about 1400mm, with 1.5-  
99 3m of maximum snow cover in winter. These monitored stands, 0.5-3ha in area, have  
100 been studied for 5-25 years at intervals of 1-10 years. For about ten thousands  
101 individual trees (consisting of seventeen tree species), we measured the diameter at  
102 breast height (DBH) or diameter at the base, tree height, crown depth, crown radius,  
103 and location (X-Y coordinate) of individual trees.

104 The model validation and simulations were performed using the data obtained from a  
105 selection-cut stand (3.2 ha in area) in the Nakagawa Experimental Forest. The stand  
106 consists of evergreen conifers, mainly *Abies sachalinensis* (Fr. Schm.) Masters, and  
107 deciduous broadleaved species including *Quercus crispula* Bl., *Acer mono* Maxim.,  
108 *Betula ermanii* Cham. and *Tilia japonica* (Miq.) Simonkai commonly in a multi-aged  
109 and uneven-sized stand structure (Yoshida et al. 2006). The forest floor in these stands is  
110 generally densely covered with dwarf bamboos, *Sasa senanensis* (Franch. et Savat.)  
111 Rehd.) and *Sasa kurilensis* (Rupr.) Makino et Shibata (Noguchi and Yoshida, 2005). In

112 this stand single-tree selection cutting has been conducted at 9–10 year intervals, with  
113 harvesting intensities of around 10% in volume (Ohgane et al., 1988; Yoshida et al.,  
114 2006). These intensities were determined in consideration of the repeated census data to  
115 maintain harvested volume equivalent to the growth increment. The harvested trees  
116 were selected from a broad range of size classes and no preference was shown for any  
117 particular tree species.

## 118 2. Simulator

119 We employed SORTIE-ND, a spatially explicit, individual-based forest dynamics  
120 model (ver 6.09; Murphy 2008; <http://www.sortie-nd.org/>) as the platform for the  
121 simulations. The model considers species-specific demographic parameters such as  
122 growth, death and establishment of trees. The original SORTIE program was  
123 developed for hardwood forests in the northeastern United States (Pacala et al. 1993;  
124 1996), and has since been improved to apply for tropical, temperate, and boreal forests  
125 (Coates et al. 2003; Uriarte et al. 2009; Thorpe et al. 2010). The latest version  
126 SORTIE-ND, with modular structure and open source code, enables us to look at  
127 spatially explicit effects of competition and disturbances including forestry activities.  
128 Details of the simulator are available in a SORTIE-ND's manual document (Murphy  
129 2008).

## 130 3. Parameter estimations

131 Forest properties were updated every 5 years (basic timestep) in the simulations.  
132 'Trees' in the model were assigned to one of five conditions: seed, seedling, sapling,  
133 adult and coarse woody debris. 'Seedling' represents the first growing stage (defined as  
134 trees with height < 2 m in this study) after germination of 'seeds', followed by  
135 'sapling' (height  $\geq$  2 m and DBH < 12.5 cm) and adult (DBH  $\geq$  12.5 cm). Adult trees

136 were further grouped into four size-classes (DBH 12.5-22.5cm, 22.5-32.5cm,  
137 32.5-42.5cm,  $\geq 42.5$ cm). Dead trees were regarded as 'coarse woody debris'. Trees in  
138 the SORTIE-ND were assumed to have cylindrical crowns. We estimated the tree  
139 height, crown depth and crown radius with their species-specific allometric relations  
140 with DBH (cm), based on monitoring data.

141 If the sample size of a particular tree species for a particular parameter was  
142 insufficient, the parameterizations were based on species groups, according to  
143 taxonomy (conifer or broadleaved species) and shade-tolerance (tolerant and  
144 intolerant), involving shade-tolerant conifers (CF), shade-tolerant broadleaved (BT)  
145 and shade-intolerant broadleaved species (BI) (Yoshida et al., 2006).

### 146 3.1. Tree growth

147 The diameter growth parameters, with the assumption of light-dependency, were  
148 estimated from the long-term monitoring data. Diameter growth of individual trees was  
149 calculated at every timestep, and added to the original tree DBH. Tree height, crown  
150 depth, and crown radius were accordingly modified with the updated DBH based on  
151 allometric relations.

152 The amount of light available in a growing season (May - Sep) for an individual tree  
153 was calculated as the gap light index (GLI; Canham 1988; Beaudet et al. 2011), at the  
154 mid-crown of trees, taking into account the sun-track, assumption of open sky  
155 distribution, and light transmission in the crown. We referred to Pacala et al. (1996) for  
156 the light transmission of various tree species. The light model in SORTIE-ND has been  
157 evaluated and used independently by Beaudet et al. (2002, 2011) and Lefrancic et al.  
158 (2008). Because of model constraints, we did not explicitly consider topographic  
159 factors in this study.

160 The logistic and linear growth model (sensu SORTIE-ND; Murphy 2008), which  
161 assume light-dependent individual growth, were respectively applied for adults and  
162 saplings. Growth of seedlings was assumed to be constant in this model; most  
163 seedlings in the monitored stands were grown under a dense carpet of understory  
164 plants (dwarf bamboos), so that growth dependency on light conditions created by  
165 overstory trees is presumably not important (Noguchi and Yoshida 2007). We applied  
166 the averages of the diameter increment at the base (height 0.1 m) observed in the  
167 monitored stands for each tree species.

### 168 3.2. Tree mortality

169 We considered several factors for tree mortality in our model. First, adult and  
170 sapling mortality were assumed to be dependent according to species on the estimated  
171 diameter growth; the slower the growth, the higher the probability of mortality (BC  
172 mortality in SORTIE-ND; Murphy 2008). Second, a higher mortality rate due to  
173 senescence was assumed for adult trees by considering the species-specific maximum  
174 DBH observed in the monitored stands (Senescence mortality in SORTIE-ND).

175 Third, an accidental mortality, caused by harvesting and logging operations, was set  
176 for adults and saplings at the timestep when cutting was conducted (Episodic mortality  
177 in SORTIE-ND); its regression with cutting intensity was estimated. Previous studies  
178 have reported the significance of this type of mortality in managed forests of this type  
179 (Noguchi and Yoshida 2009; Miya et al. in preparation). Fourth, for seedlings, a  
180 random mortality (Background mortality in SORTIE-ND) was applied based on the  
181 observations in the monitored stands.

182 We also allowed for mortality caused by episodic natural disturbances (Storm  
183 behavior in SORTIE-ND). The historical record of wind-induced damage caused by a

184 powerful typhoon in 1954 (Yoshida and Noguchi 2009) was taken as the most severe  
185 windthrow. Severe typhoon damage again occurred throughout the region in 2004  
186 (Yoshida et al. 2011), so that the rotation period of the disturbance was assumed to be  
187 50 years.

### 188 3.3. Tree establishment

189 Tree recruitment in the model is a function of seed dispersal and seed germination.  
190 The annual supply of seeds in the forest simulation was taken to be proportional to the  
191 basal area sum of parent trees, defined as conspecific trees larger than the minimum  
192 seed-production size (given by Koike et al. (1992)), based on the data from one of the  
193 monitored stands (Uryu Experimental Forest, unpublished). Because we lacked reliable  
194 data on seed germination rate, parameters were set according to the density of  
195 current-year seedlings observed for each species for each substrate type (to be  
196 explained shortly) at several monitored sites (Yoshida et al. 2005; unpublished); the  
197 rates were calculated assuming linear correlation with the estimated seed supply.

198 Several substrate types (i.e. conditions of forest floor) were specified for the square  
199 grid of side 8m, which divide the simulated stand. The standard condition is ‘Forest  
200 floor’. ‘Fresh logs’ are supplied by tree death (the proportion in the grid was  
201 determined from the DBH of the dead trees); these gradually changed into ‘Decayed  
202 logs’ over 25 years, in which most cut stumps moved into the third decay class (of five  
203 classes; Inoue et al., in preparation). ‘Tip-up mounds’ can be created when a live tree  
204 dies, with species- and size-specific probabilities, as presented by Yoshida and  
205 Noguchi (2009). ‘Scarified soil’ represents disturbed areas caused by tree cutting, and  
206 are assumed to appear with a certain proportion (estimated as 20 % from personal  
207 observation) in the grid after a cutting event. The durations required for the transition

208 to 'Forest floor' from 'Decayed log', 'Tip-up mound' and 'Scarified soil' were taken as  
209 50, 10, and 10 years, respectively (approximations based on personal observations).

#### 210 4. Model evaluation

211 We evaluated the model by comparing the simulated results with actual stand  
212 dynamics from a monitored site; the changes in basal area and DBH-class distributions  
213 were assessed respectively with 95 percent confidential intervals and chi-square test  
214 derived from ten simulation runs. The data observed over 20 years were obtained from  
215 the 3.2 ha of selection-cut stand (see study sites), which was not used in the parameter  
216 estimations. In the simulations the initial stand condition was set as actually recorded.  
217 Because of the absence of data on seedlings, saplings and forest floor substrate in the  
218 past data, we set their initial conditions according to values measured in a neighboring  
219 unmanaged stand (Miya et al., unpublished). The attributes of cuttings (e.g. cutting  
220 intensity for each tree species and for each size class) were chosen to be close to the  
221 actual cutting records.

#### 222 5. Cutting scenarios

223 We then simulated (ten repetitions) a series of silvicultural scenarios, with particular  
224 focus on effects of cutting intensity and rotation period. The number of repetitions was  
225 ten. As the net growth rate of natural forests in Hokkaido is estimated to be  
226 approximately 1-2 %/ year on average (in terms of volume; Hokkaido Pref. 2000), we  
227 used a 10 % cutting intensity (defined in this study as percentage of basal area sum of  
228 cut trees to the living stock) with a 10 year rotation period as the standard for our study,  
229 and compared it with scenarios having different combinations of cutting intensity and  
230 rotation period (Table 1). Since the traditional regime has often caused stand  
231 degradation (Yoshida et al. 2006), we allowed a halved cutting intensity (relative to the

232 standard) in the latter two scenarios. Initial stand properties were set as the recent  
233 condition of the 3.2 ha area (160m×200m) of the selection-cut stand (Table 2). Cutting  
234 intensity was assigned proportional to the pre-harvest basal area sum of each tree  
235 species-group and each DBH-class.

## 236 6. Analyses

237 Results of the simulations, the averages of ten repetitions, were evaluated using  
238 three indices. Basal area recovery is expressed as a percentage of the term-end basal  
239 area sum relative to the initial value. Similarities in tree species composition and size  
240 structure are represented by the Bray-Curtis index. The statistical differences in these  
241 variables among scenarios were tested with one-way ANOVA and Tukey's HSD  
242 *post-hoc* test.

243 To assess the economic feasibility of the scenarios, we estimated potential financial  
244 profit based on income from timber sales and costs of harvesting operations. The  
245 former was calculated by multiplying the amount of merchantable trees (empirically  
246 assumed to be 65 % of cut volume, with 90 % of lower grade timber for pulp and wood  
247 chips; personal communication with Nakagawa Exp. For.) by the current timber price  
248 of each tree species (Hokkaido prefecture, 2010). Harvesting expenses included labor  
249 and machinery costs for cutting and skidding, and were estimated based on their  
250 relation to the amount of harvested trees (Nakagawa Exp. For. personal  
251 communication). Because economic conditions are volatile in a long-term, the  
252 resulting financial income and expenses is shown separately as a proportion relative to  
253 the standard scenario.

254 .

## 255 Results

256 The simulation reproduced the 20 years of change in the basal area sum and size  
257 structure (Fig.1); the observed basal area from the monitored stand were within the 95  
258 percent confidential interval derived from the ten runs for validation exercise.  
259 Moreover, the term-end DBH-class distributions were not significantly different  
260 between the model and the field data, both when considering all species ( $p=0.75$ ) and  
261 each species group (conifer,  $P=0.58$ ; shade-tolerant broadleaved,  $P=0.53$ ,  
262 shade-intolerant broadleaved,  $P=0.73$ ).

263 The basal area sum fell to 53.2% of the initial value under the scenario #10-10 in  
264 one hundred years (Table 3). The greatest decrease was for conifers (38.8% of initial  
265 basal area sum), followed by shade-intolerant broadleaved (63.3%) and shade-tolerant  
266 broadleaved species (70.7%). The similarity of tree species composition and size  
267 structure were respectively 0.62 and 0.53 (Table 3 and Fig 2).

268 Scenario #20-20, with doubled cutting intensity (20%) and doubled rotation period  
269 (20 years), resulted in a 62.7% recovery. Conifers again showed a drastic decrease  
270 (43.9% of initial basal area sum), whereas shade-tolerant broadleaved species showed  
271 greater recovery (91.3%) than in scenario #10-10. The similarities of tree species  
272 composition and size structure were respectively 0.67 and 0.62. Financial income was  
273 similar (101%), but expenses were less than two-thirds of the scenario #10-10 (64%).

274 Scenario #5-10, with halved cutting intensity (5%) together while maintaining a 10  
275 year rotation period, gave significantly higher recovery in basal area sum (75.3%).  
276 Shade-intolerant broadleaved species recovered fully (96.2%) to the initial condition,  
277 but conifers and shade-tolerant broadleaved species recovered less, at 61.0% and  
278 78.9%, respectively. The similarity of tree species composition was 0.75, and that of  
279 size structure was 0.60. Financial income and expenses decreased respectively to 67

280 and 90% of scenario #10-10.

281 Scenario #10-20, with the doubled rotation period (20 years), resulted in an 83.5%  
282 recovery, which was the highest of the scenarios considered (difference with scenario  
283 #10-10 was significant). This higher recovery was derived mainly from  
284 shade-intolerant and shade-tolerant broadleaved species (97.9% and 87.7%,  
285 respectively), while that for conifers was still lower (71.5%). Similarity of tree species  
286 composition was 0.78, and of size structure was 0.63 (Table 3). Financial income fell  
287 to 70%, but expenses also fell to 54% relative to scenario #10-10.

288

## 289 **Discussion**

290 This work constitutes one of the first long-term simulation studies of managed  
291 mixed-species stands in Hokkaido and, as such, further studies are clearly needed to  
292 validate assumptions and refine simulations. SORTIE-ND is a flexible open source  
293 program, and it would be possible to include artificial regeneration options in further  
294 studies. Topographic considerations could also be integrated to reflect real site  
295 conditions of sloped sites. As well, we could increase confidence in assumptions  
296 regarding mortality and recruitment by more detailed demographic studies. In  
297 particular, seedling dynamics beneath understory dwarf bamboos should be included in  
298 the subsequent models.

299 None of the four scenarios, especially #10-10 and #20-20, produced adequate  
300 recovery in terms of the basal area sum, tree species composition and size structure  
301 (Table 3, Fig 2), suggesting that these management regimes are not sustainable over  
302 the long-term. The simulated stand degradation ostensibly reflects negative factors  
303 associated with cutting. Traditional thinking concerning allowable cutting intensity,

304 based on estimated net volume growth rate (Matsui 1976), clearly appears to fall short  
305 in terms of maintaining forest structural attributes. It is highly required to account for  
306 natural variability, notably episodic disturbances and unexpected demographic  
307 responses due to cutting, to estimate the cutting strategy.

308 Obviously reduction of the cutting intensity (amount to be harvested) is necessary  
309 for a sustainable silvicultural scenario in this type of mixed forest. Even in the  
310 scenarios with halved cutting intensity (#5-10 and #10-20), the recovery in terms of  
311 basal area sum was only around 80 % (Table 3). This was due to the effects of  
312 disturbance (i.e. periodic typhoon), and the shortage of regeneration; the densities of  
313 small trees were less than 50 % in any of the scenarios (Fig 2). The understory of  
314 forests in northern Hokkaido are generally covered with dense dwarf bamboo carpet,  
315 and it is therefore difficult to expect significant increase in regeneration density after a  
316 cutting (Nagaike et al. 1999; Noguchi and Yoshida 2004, 2007). Consequently, we  
317 propose that the reduction of the cutting intensity should be associated with targeting  
318 less on small trees to a maximum extent.

319 In addition, to reduce the chance of accidental mortality induced by harvesting and  
320 skidding operations (i.e. to reduce cutting frequency) would be effective. This type of  
321 mortality is particularly significant in small trees (Noguchi and Yoshida 2007), so  
322 careful attention to conservation of those during the operations should also contribute  
323 to maintain regeneration. In this case, we suppose extending rotation period is more  
324 effective than reducing each cutting intensity for enhancing recovery, because the  
325 mortality does not increase proportionally to the cutting intensity (it rather appeared to  
326 be affected by the total distance of skidding; Miya et al. in preparation). In actual, the  
327 scenario with shorter rotation (e.g. scenario #10-10) gave significantly greater

328 size-class similarity than that with correspondent longer rotation (#20-20; Table 3).

329 Our model simulations would also suggest that reducing the cutting intensity of  
330 conifer species is likely to have a positive effect on maintaining initial stand properties.  
331 The basal area sum of conifers recovered only 68.5% of maximum in these simulations  
332 (Table 3), indicating that these scenarios would be unable to maintain tree species  
333 composition. Conifer regeneration has been shown to be limited under selection cut  
334 management (Yoshida et al. 2006; Noguchi and Yoshida 2007), due apparently to  
335 increased mortality of small trees in canopy gaps created by cutting (Yoshida and  
336 Noguchi 2010). Higher mortality of adult conifers was also observed where cutting  
337 was conducted in the surrounding area, probably as a result of sudden changes in  
338 growth conditions (Noguchi and Yoshida 2009).

339 In summary, the necessary conditions for a selection cutting that is more likely to  
340 sustain stand structure and dynamics of mixed forests are (1) to set a lower cutting  
341 intensity with a longer rotation period, (2) reducing the accidental mortality, and (3)  
342 targeted less on small trees and conifer components. Based on these, we present an  
343 alternative selection cutting regime, with the simulation set at a 10% cutting intensity  
344 and 20 year rotation period, with more trees cut in the larger DBH-classes and  
345 broadleaved species. Specifically, the cutting avoided targeting trees with DBH  
346 12.5-22.5cm (irrespective of species), and the cutting intensity for conifers was set at  
347 8%; the reduction in cutting intensity was compensated by proportionally targeting  
348 trees in the larger DBH classes and broadleaved species. At the same time, we assumed  
349 accidental mortality would be reduced by 50%.

350 The simulation outcome at 100 years (Table 4; Fig 3) showed considerable  
351 improvements; basal area sum had recovered to 93.6% and that of conifers,

352 shade-tolerant broadleaved and shade-intolerant broadleaved species attained values of  
353 83.9%, 101.1%, and 103.8% respectively relative to the initial values.

354 As well, while financial income was three-quarters (77%), expenses fell to about  
355 half (54%). The improved basal area recovery enabled the increase in cutting,  
356 producing better income in the period. It seemed to be contributed also by targeting  
357 broadleaved species, which generally have higher timber prices in the current market.  
358 On the other hand, the effect of extended rotation on the expenses was also significant;  
359 as shown in the comparison between the scenario #10-20 and #10-10 (the former  
360 brought two-thirds of the income, but involved only half of the expenses), the  
361 proportion of fixed costs per cutting was considerable. The profit is particularly  
362 pronounced in the current market conditions of low timber prices and high costs of  
363 cutting.

364 Nevertheless, the similarity of tree species composition and size structure of the  
365 alternative scenario remained at a relatively low level (0.71 and 0.82, respectively), as  
366 a result of the reduced tree density in smaller size-classes. This effect should be  
367 countered by practicing artificial regeneration (fill planting) in zones that are poorly  
368 stocked, besides reducing accidental mortality and targeting less on small trees.  
369 Conifer plantations and soil scarification for natural regeneration are practiced in this  
370 region and it is generally recognized that plantations can improve conifer recovery  
371 whereas scarification tends to result in dominance by pioneer species (particularly  
372 *Betula* sp.; Yoshida et al. 2005). The former is more preferable with considering the  
373 decrease in conifer component, but it clearly requires more costs. To apply natural  
374 regeneration practice, in combination with targeting more on broadleaved species  
375 (especially shade-intolerant ones) could be a more potent substitute for the traditional

376 selection management system.

377

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386

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504

#### 505 **Figure captions**

506 Fig 1. Comparisons of changes in basal area (relative to initial values) (left) and the  
507 end-term DBH-class distributions (averages of ten simulations) (right) predicted  
508 by the simulator with those observed in the field censuses.

509 Fig 2. Simulation results showing the end-term DBH-class distributions of the four  
510 scenarios (See also Table 3). Averages of ten simulations are shown.

511 Fig 3. Simulation results showing the end-term DBH-class distributions of the  
512 alternative scenario (See also Table 4). Averages of ten simulations are shown.

**Table 1.** Cutting scenarios examined by the simulation in this study.

Scenario ID#	Cutting intensity (% to living stock)	Rotation period (years)
10-10	10	10
20-20	20	20
5-10	5	10
10-20	10	20

Cutting targets in terms of tree species and size were set proportionally to the initial stand conditions (shown in Table 2 and Fig 1). See text for the details.

**Table 2.** Species composition of the sample stand, which corresponds to the 'measured' stand in Fig 1. Trees with DBH equal to or larger than 12.5 cm (defined as 'adults' in this study) were considered in this table. The condition was used for the initial state for the simulations.

Species	Species group <sup>+</sup>	Basal area m <sup>2</sup> /ha (%)	Stem density /ha (%)
<i>Abies sachalinensis</i>	CF	5.6 (48)	42 (36)
<i>Quercus crispula</i>	BI	1.4 (12)	11 (9)
<i>Acer mono</i>	BT	1.2 (10)	18 (15)
<i>Betula ermanii</i>	BI	1.0 (9)	12 (10)
<i>Tilia japonica</i>	BT	0.9 (8)	11 (10)
other species *	-	1.7 (15)	24 (20)
Total	-	11.8 (100)	117 (100)
shade-tolerant conifer	CF	5.7 (48)	43 (37)
shade-tolerant broadleaved	BT	2.7 (23)	38 (32)
shade-intolerant broadleaved	BI	3.4 (29)	37 (31)

<sup>+</sup> The classification was based on Yoshida et al., (2006); CF, conifer; BI, shade-intolerant broadleaved species; BT, shade-tolerant broadleaved species. \* 'Other species' include *Ulmus davidiana* (BT), *Kalopanax pictus* (BI), *Alnus hirsuta* (BI), *Sorbus alnifolia* (BT), *Magnolia obovata* (BI), *Phellodendron amurense* (BI), *Picea glehnii* (CF), *Sorbus commixta* (BT), *Salix hultenii* (BI), *Betula platyphylla* (BI), *Acanthopanax sciadophylloides* (BT) and *Fraxinus mandshurica* (BT) (in order of dominance).

**Table 3.** Simulation results for the four cutting scenarios.

Scenario ID#	Amount of cut in BA (m <sup>2</sup> / year /ha)	BA change (% of the term-end to the initial)				Similarity (index of the term-end to the initial)		Financial profit (proportion relative to scenario #10-10)	
		CF	BT	BI	Total	Species composition	DBH-class distribution	Expences	Income
10-10	0.095 <i>a</i> (0.008)	38.8 <i>a</i> (10.4)	70.7 <i>a</i> (5.6)	63.3 <i>a</i> (12.7)	53.2 <i>a</i> (8.2)	0.62 <i>a</i> (0.07)	0.53 <i>a</i> (0.03)	1.00 <i>a</i> (0.02)	1.00 <i>a</i> (0.16)
20-20	0.102 <i>b</i> (0.006)	43.9 <i>a</i> (11.6)	91.3 <i>b</i> (8.1)	71.8 <i>a</i> (10.8)	62.7 <i>a</i> (7.3)	0.67 <i>a</i> (0.05)	0.62 <i>bc</i> (0.02)	0.64 <i>b</i> (0.02)	1.01 <i>a</i> (0.12)
5-10	0.058 <i>c</i> (0.003)	61.0 <i>b</i> (11.5)	78.9 <i>a</i> (6.5)	96.2 <i>b</i> (12.1)	75.3 <i>b</i> (7.4)	0.75 <i>b</i> (0.04)	0.60 <i>b</i> (0.02)	0.90 <i>c</i> (0.01)	0.67 <i>b</i> (0.07)
10-20	0.061 <i>c</i> (0.004)	71.5 <i>b</i> (21.4)	87.7 <i>b</i> (6.5)	99.9 <i>b</i> (17.1)	83.5 <i>b</i> (14.4)	0.78 <i>b</i> (0.07)	0.63 <i>c</i> (0.03)	0.54 <i>d</i> (0.01)	0.70 <i>b</i> (0.07)

The averages (standard deviations in parentheses) of the ten simulations are shown. Different letters indicate significant difference ( $p < 0.05$  at one-way ANOVA, Tukey's test) between scenarios. BA: basal area at breast height. Explanations of each scenario are provided in Table 1. CF, BT and BI indicate conifer, shade-tolerant broadleaved and shade-intolerant broadleaved species, respectively. See text for the details of the indices.

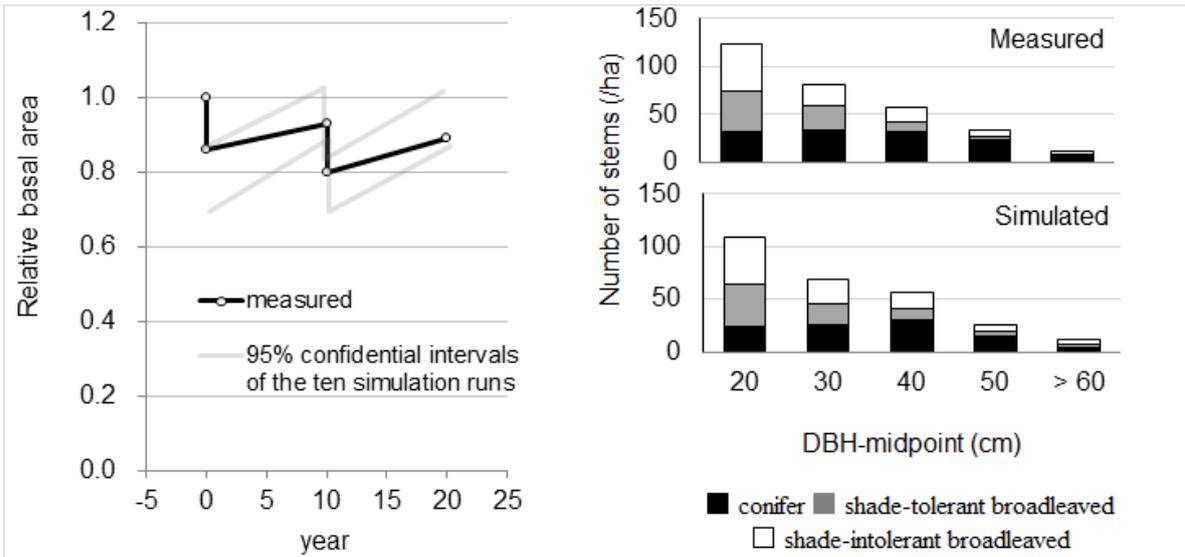
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**Table 4.** Simulation results for the alternative cutting scenario.

Scenario ID	Amount of cut in BA (m <sup>2</sup> / year /ha)	BA change (% of the term-end to the initial)				Similarity (index of the term-end to the initial)		Financial profit (proportion relative to scenario #10-10)	
		CF	BT	BI	Total	Species composition	DBH-class distribution	Expences	Income
alternative	0.062 (0.004)	83.9 (14.9)	101.1 (13.1)	103.8 (7.7)	93.6 (8.3)	0.71 (0.02)	0.82 (0.05)	0.54 (0.01)	0.77 (0.08)

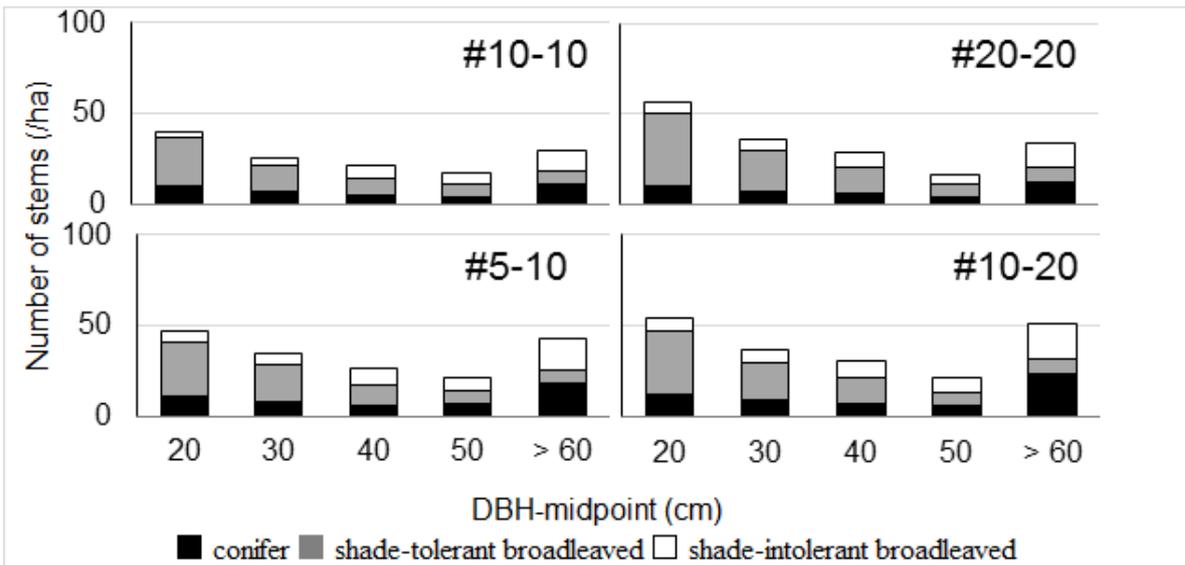
The averages (standard deviations in parentheses) of the ten simulations are shown. BA: basal area at breast height. CF, BT and BI indicate conifer, shade-tolerant broadleaved and shade-intolerant broadleaved species, respectively. See text for the details of the indices.

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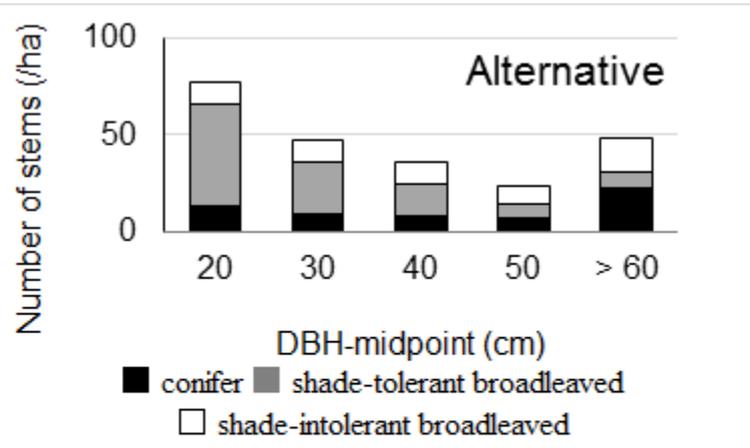
**Fig 1.** Comparisons of changes in basal area (relative to initial values) (left) and the end-term DBH-class distributions (averages of ten simulations) (right) predicted by the simulator with those observed in the field censuses.

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**Fig 2.** Simulation results showing the end-term DBH-class distributions of the four scenarios (See also Table 3). Averages of ten simulations are shown.

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**Fig 3.** Simulation results showing the end-term DBH-class distributions of the alternative scenario (See also Table 4). Averages of ten simulations are shown.

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