Title	Effects of different promoters on the virulence and immunogenicity of a HIV-1 Env-expressing recombinant vaccinia vaccine
Author(s)	Isshiki, Mao; Zhang, Xianfeng; Sato, Hirotaka; Ohashi, Takashi; Inoue, Makoto; Shida, Hisatoshi
Citation	Vaccine, 32(7), 839-845 https://doi.org/10.1016/j.vaccine.2013.12.022
Issue Date	2014-02-07
Doc URL	http://hdl.handle.net/2115/55256
Туре	article (author version)
File Information	Vaccine_32(7)_839-845.pdf



1	Effects of different promoters on the virulence and immunogenicity of a HIV-1 Env-
2	expressing recombinant vaccinia vaccine
3	
4	Mao Isshiki ¹ , Xianfeng Zhang ^{1*} , Hirotaka Sato ^{1,2} , Takashi Ohashi ¹ , Makoto Inoue ³ ,
5	Hisatoshi Shida ¹
6	
7	¹ Institute for Genetic Medicine, Hokkaido University, Kita-ku, Sapporo 060-0815,
8	Japan
9	² Current address: Viral Infectious Diseases Unit, RIKEN, Hirosawa, Wako, Saitama,
10	351-0198, Japan
11	³ DNAVEC Corporation, Techno Park Oho, 6 Ohkubo, Tsukuba, Ibaraki 300-2611,
12	Japan
13	
14	
15	
16	* Corresponding author: Institute for Genetic Medicine, Hokkaido University, Kita-
17	ku, Sapporo 060-0815, Japan
18	Tel/Fax: +81-11-706-7543
19	Mail: zhangxf@igm.hokudai.ac.jp
20	
21	
22	
23	
24	
2 [

26 **Abstract** 27 28 Previously, we developed a vaccination regimen that involves priming with 29 recombinant vaccinia virus LC16 m8Δ (rm8Δ) strain followed by boosting with a 30 Sendai virus-containing vector. This protocol induced both humoral and cellular 31 immune responses against the HIV-1 envelope protein. The current study aims to 32 optimize this regimen by comparing the immunogenicity and safety of two r 33 m8Δ strains that express HIV-1 Env under the control of a moderate promoter, p7.5, 34 or a strong promoter, pSFJ1-10. m8 Δ -p7.5-JRCSFenv synthesized less gp160 but 35 showed significantly higher growth potential than m8Δ-pSFJ-JRCSFenv. The two 36 different rm8 Δ strains induced antigen-specific immunity; however, m8 Δ -pSFJ-37 JRCSFenv elicited a stronger anti-Env antibody response whereas m8Δ-p7.5-38 JRCSFenv induced a stronger Env-specific cytotoxic T lymphocyte response. Both 39 strains were less virulent than the parental $m8\Delta$ strain, suggesting that they would be 40 safe for use in humans. These findings indicate the vaccine can be optimized to 41 induce favorable immune responses (either cellular or humoral), and forms the basis 42 for the rational design of an AIDS vaccine using recombinant vaccinia as the delivery 43 vector. 44 45 **Keywords:** LC16m8Δ, promoter pSFJ1-10, promoter p7.5, HIV-1 Env, 46 immunogenicity. Safety 47 48

52 **Highlights** 53 1. HIV-1 Env expressing replication competent vaccinia m8Δ prime/Sendai virus 54 vector boost elicited both long-lasting cellular and humoral immunities. 55 2. VV m8Δ vectors containing different promoters activate different arms of the 56 immune system. 57 3. The vaccine regimen can be optimized to selectively induce either cellular or 58 humoral immune response. 59 60 1. Introduction 61 62 Despite the increasing availability and effectiveness of antiretroviral treatments, a safe 63 and effective vaccine that prevents HIV-1 infection would be invaluable. A recent 64 report from Thailand showed that the RV144 vaccine protocol, which involved 65 priming with a canarypox virus vector followed by boosting with recombinant gp120 66 protein, reduced HIV-1 infection by approximately 30% [1]. These results are 67 encouraging, and indicate that poxviruses may be used as vectors for HIV-1 subunit 68 vaccines. 69 . However, the efficacy of the RV144 vaccine was only moderate, suggesting the need 70 to improve either the vaccination regimen or the poxvirus vector used for delivery. 71 One improvement that may elicit a more potent protective immune response is the use 72 of a replication-competent vaccinia virus (VV) vector rather than the non-replicating 73 canarypox vector. 74 We previously reported that a heterologous prime-boost vaccination protocol using a 75 recombinant m8Δ (rm8Δ) virus (m8Δ-pSFJ-JRCSFenv), which expresses the HIV-

1JR-CSF envelope glycoprotein, and a recombinant Sendai virus (rSeV), SeV-

77 JRCSFenv, elicited both HIV-1 Env-specific humoral and cell-mediated immune 78 responses [2]. This may be a promising vaccination protocol to protect against HIV-1 79 infection. The aim of the present study is to further optimize this regimen. 80 The replication-competent VV strain, LC16m8, is a smallpox vaccine licensed for use 81 in Japan. It has been used in 100,000 people without any serious adverse effects [3]. 82 LC16m8Δ (m8Δ) is a genetically stable derivative of LC16m8, which is safer than the 83 parental LC16m8 virus but shows the same degree of antigenicity [4]. Moreover, 84 immunization with m8Δ protects mice against infection by virulent VV much more 85 efficiently than the non-replicating VV strain, MVA [4]. Thus, m8Δ may be a 86 promising VV vector for use in vaccines against infectious diseases. 87 Three types of promoter (early, intermediate, and late) have been identified in VV. 88 Antigens that are highly expressed under the control of a powerful late promoter are 89 generally considered to be potent inducers of a strong immune response [5]. However, 90 early promoters appear to elicit stronger cytotoxic T lymphocyte (CTL) responses 91 [6,7]. The balance between antigen expression and viral propagation in vivo may be 92 crucial for optimal immunogenicity. The p7.5 promoter is an early-late promoter that 93 was identified in 1984 and is widely used for the construction of live VV-vectored 94 vaccines. Because the levels of gene expression driven by the p7.5 promoter have yet 95 to be optimal, a more potent promoter, pSFJ1-10, was constructed, which enables the 96 genes of interest to be expressed at higher levels during both the early and late phases 97 of the infection cycle [8,9]. 98 Here, we compared the immunogenicity and safety of two rm8Δs that express HIV-1 99 Env under the control of the p7.5 or pSFJ1-10 promoters. Both were tested in a 100 vaccination protocol that involved priming with rm8Δ followed by boosting with 101 rSeV. We found that one of the vectors preferably induced humoral responses against

102 HIV-Env, whereas the other primarily induced cellular immune responses. These 103 findings suggest that it may be possible to select vaccine vectors that induce favorable 104 immune responses. In suckling mice, both m8Δ-p7.5-JRCSFenv and m8ΔpSFJ-105 JRCSFenv were relatively less virulent than LC16m8Δ. Our results may provide 106 important information to develop HIV-1 vaccine for clinical trials. 107 108 109 2. Materials & Methods 110 111 2.1 Cells and viruses 112 The RK13 (rabbit kidney epithelial) cell line was cultured in RPMI1640 113 supplemented with 10% FCS at 37°C in an atmosphere containing 5% CO₂. BHK 114 (baby hamster kidney), TZM-bl (a CD4- and CCR5-expressing derivative of HeLa 115 cells) cell lines [10, 11], 293T (human embryonal kidney cell line) and L929 (mouse 116 fibroblastoid line) were cultured in DMEM supplemented with 10% FCS. VV 117 LC16m8Δ, m8ΔVNC110 that harbors multiple cloning site in the HA gene of 118 LC16m8Δ genome, m8Δ-pSFJ-JRCSFenv and SeV-JRCSFenv, which express gp160 119 of HIV-1 JRCSF, and canarypox virus were described previously [2]. 120 121 2.2 Construction of the LC16m8\Delta expressing JR-CSFenv under the control of the p7.5 122 promoter 123 To construct LC16m8Δ-p7.5-JRCSFenv, a transfer plasmid that harbors the HIV-1 124 JR-CSF env gene downstream of the p7.5 VV promoter was first constructed. The 125 gp160-encoding region was amplified from pJWJRCSFenvΔEcoR1 (the template) by 126 PCR using the following primer pair: JRCSFenv F1

12/	(AGI <u>GGATCC</u> GCCACCATGAGAGTGAAGGGGATCAGGAAG; BamH1 site
128	underlined) and JRCSFenvR1
129	(TTAGAGCTCTTATAGCAAAGCCCTTTCCAAGCC; Sac1 site underlined). The
130	VV transcription termination signals (TTTTNT) within the env gene sequence were
131	synonymously mutated in vitro using a mutagenesis kit (Stratagene). The env
132	fragment was then digested with Sac1 and ligated into the pVR1 vector [12], which
133	had been digested with Sac1 and Sma1. BHK cells, which had been infected with
134	canarypox virus, were then co-transfected with the resultant plasmid and LC16m8 Δ
135	genomic DNA to generate VV LC16m8Δ-p7.5-JRCSFenv. HA recombinants were
136	selected using erythrocytes isolated from white leghorn chickens (Sankyo Labo
137	Service Corporation, Inc.) [13,14]. Expression of HIV-1 Env protein was examined
138	by Western blotting and plaque immunostaining.
139	
140	2.3 Western Blotting
141	Vaccinia-infected-RK13 cells were lyzed and the proteins separated in 10% SDS-
142	PAGE gels. Immunoblot analysis was performed with human antiserum from a HIV-
143	1-infected patient or monoclonal mouse anti-β actin antibody, followed by alkaline
144	phosphatase-conjugated anti-human or mouse IgG (Promega). Proteins were
145	visualized using NBT/BCIP (Sigma).
146	
147	2.4 Plaque immunostaining
148	RK13 cells were cultured in 6-well plates and infected with recombinant VV (at
149	approximately 100 plaque forming unit (pfu)/well). The cells were incubated with the
150	virus for 72 h at 33°C, fixed with 2% paraformaldehyde solution, and permeabilized
151	by incubating with 0.5% Nonidet P-40 for 1 min. The fixed cells were blocked with

152 5% skimmed milk (in PBS) for 30 min at room temperature and incubated with the 153 primary antibody (HIV-1 infected human serum; diluted 1000-fold) for 1 h at room 154 temperature, followed by the secondary antibody (alkaline phosphatase-conjugated 155 anti-human IgG (Promega); diluted 2500-fold). The plaques were then stained with 156 NBT/BCIP. 157 158 2.5 Propagation potential of rm8∆ 159 To evaluate the propagation potential of LC16m8Δ and its recombinants, RK13, 293T 160 and L929 cells (3×10^5) were infected with the viruses at a multiplicity of infection of 3 and then incubated for 24 h at 33°C. Progeny viruses were harvested and titrated on 161 162 a monolayer of RK13 cells in a plaque assay. 163 164 2.6 Immunization of mice 165 Seven-week-old female C57BL/6J mice (CLEA Japan) were administered with LC16m8 Δ 's recombinants (each at 1×10^7 PFU by skin scarification). Eight weeks 166 later, the mice were boosted with rSeV expressing JRCSFenv $(4 \times 10^7 \text{ cell-infectious})$ 167 168 unit (CIU)) via intranasal administration (i.n.). Mice were sacrificed at 2 or 8 weeks 169 after the final immunization, and serum and spleen samples were collected. 170 171 2.7 Intracellular cytokine staining (ICS) of splenocytes 172 Env-specific cellular immune responses were measured using an ICS assay as described previously [2]. The percentage of IFN- γ +CD107a⁺ T cells within the 173 174 CD4- or CD8-gated lymphocyte populations were determined using a FACSCanto 175 flow cytometer (BD biosciences) and the data were analyzed using FlowJo software 176 (Tree Star).

177	
178	2.8 Evaluation of neutralizing activity
179	The HIV-1 neutralizing activity of the mouse sera was measured in a TZM-bl cell-
180	based assay as previously described [2, 15, 16].
181	
182	2.9 Measurement of anti-Env antibody levels by ELISA
183	The titer and avidity of the anti-HIV-1 Env IgG antibodies in the mouse sera were
184	determined by ELISA as described previously [2].
185	2.10 Safety of $m8\Delta s$
186	To evaluate the safety of the LC16m8 Δ and m8 Δ recombinants, 10 μ 1 of a serially
187	diluted solution that contains $10^3 - 10^7$ pfu of rm8 Δ s was injected intracerebrally (i.c.)
188	into 10 to 17 of 2–3-day-old suckling Crlj:CD1 (ICR) mice (Charles River). Survival
189	was then monitored daily for 2 weeks and the 50% lethal dose (LD50) was calculated
190	[17].
191	2.11 Statistical analysis
192	Statistical analysis was performed using Student's t-test (Microsoft Excel version
193	11.6.6). P values of <0.05, <0.01, and <0.001 were considered significant. The
194	survival of virus-injected suckling mice was evaluated using the log-rank test (R
195	version 2.15.1).
196	
197	
198	3. Results
199	
200	3.1 In vitro properties of rm8 Δ expressing JR-CSF env under the control of different
201	promoters.

We previously constructed m8Δ-pSFJ-JRCSFenv, which expresses the HIV-1JR-CSF env gene under the control of the high expression pSFJ1-10 promoter, and showed that it elicited HIV-1 Env-specific cellular and humoral responses when used in combination with the Sendai vector, SeV-JRCSFenv [2]. However, because an rVV that moderately expresses a foreign gene, but propagates better, might elicit more potent immunological responses, we constructed recombinant m8 Δ expressing JR-CSFenv under the control of the p7.5 promoter (which is a moderate driver of foreign gene expression) (Fig.1A). We first compared expression of the Env protein in various cells infected with m8Δ-p7.5-JRCSFenv or m8Δ-pSFJ-JRCSFenv by Western blotting (Fig. 1B). Regardless of the cell type, m8Δ-p7.5-JRCSFenv produced severalfold less gp120/160 than m8Δ-pSFJ-JRCSFenv. In addition, the bands corresponding to gp120/160 expressed by cells infected with m8Δ-pSFJ-JRCSFenv were much broader than those expressed by cells infected with m8Δ-p7.5-JRCSFenv. Meanwhile, titration of the progeny virus after one-step growth revealed that the growth potential of rVVs in mouse L929 cells are 10 times lower than that in human 293T cells and rabbit RK13 cells (Fig 1C), Nevertheless, m8Δ-p7.5-JRCSFenv showed growth potential similar to that of the parental $m8\Delta$, and significantly higher (6 to 50 fold) than that of m8Δ-pSFJ-JRCSFenv (Fig. 1C). This indicates that overexpression of the foreign gene suppresses viral propagation. 3.2 Immunogenicity of $m8\Delta$ -p7.5-JRCSFenv and $m8\Delta$ -pSFJ-JRCSFenv. We next compared the immunogenicity of m8Δ-p7.5-JRCSFenv and m8Δ-pSFJ-

202

203

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218

219

220

221

222

223

224

225

226

JRCSFenv by using them to prime mice, which were then boosted with SeV-

JRCSFenv according to the schedule outlined in Fig.2A. Splenocytes were isolated,
stimulated with a mixture of HIV-1 consensus subtype B Env (15-mer) peptides (NIH
AIDS reagent program, No. 202/203; corresponding to aa 805-819 and aa 809-823 of
gp160), the two most immunogenic HIV-derived peptides, and then examined by ICS
[2]. The percentage of HIV-1 Env-specific IFN- γ -secreting CD107a ⁺ CD8 ⁺ T cells
was then calculated. A representative gating strategy is shown in Fig. 2B. Vaccination
with m8Δ-pSFJ-JRCSFenv and m8Δ-p7.5-JRCSFenv elicited HIV-1JR-CSF Env-
specific CTL responses. Mice primed with $m8\Delta$ -p7.5-JRCSFenv showed higher levels
of HIV-1 Env-specific IFN γ *CD107a*CD8* T cells than mice primed with m8 Δ -
pSFJ-JRCSFenv (Fig. 2C; $12.8 \pm 1.2\%$ vs. $7.8 \pm 2.1\%$; p=0.002). The proportion of
IFN γ +CD107a+CD8+ T cells in both groups somewhat declined at 8 weeks post-
boost; however, the difference between the groups was maintained (p=0.016). We
next measured the levels of Env-specific Abs (Fig. 3A) and anti-HIV-1-neutralizing
Abs (Fig. 3B) in mice sera. The levels of anti-HIV-1 Env-specific IgG were 6-7-fold
higher in mice immunized with m8 Δ -pSFJ-JRCSFenv than in mice immunized with
$m8\Delta$ -p7.5-JRCSFenv; this was in sharp contrast to the observed cellular responses
(Fig. 2). The difference of humoral immunity had already been detected 6 weeks after
$rm8\Delta$ prime (supplementary data). The uneven of sample numbers between two
groups did not introduce bias into the data, since we obtained the same results when
two groups have the same number of animals (data not shown). Sera from both groups
of mice showed neutralizing activity against a tier 1 pseudotyped HIV-1 strain, SF162,
but only after rSeV boost, and no neutralization activity against tier 2 HIV-1s had
been detected. At both 2 and 8 weeks post-SeV-JRCSFenv boost, the neutralizing
competency of sera from mice immunized with m8 Δ -pSFJ-JRCSFenv was marginally
stronger than that of mice immunized with m8 Δ -p7.5-JRCSFenv; however, the

252	difference was not significant (Fig. 3B). We also measured the avidity of the anti-Env
253	Abs in both groups: no significant difference was observed (Fig. 3C). Since the $m8\Delta$ -
254	pSFJ-JRCSFenv prime/SeV-JRCSFenv boost elicited greater HIV-1 Env-specific
255	antibody responses, we next asked whether this antibody titer is maintained over the
256	long-term. We followed a subgroup of mice treated with this vaccination regimen for
257	28 weeks after the Sendai virus boost and found that the anti-HIV-1 Env antibody titer
258	was maintained throughout the observation period (Fig. 3D).
259	3.3 Safety evaluation of the rm8 Δ in suckling mice
260	
261	To evaluate the safety of rm8 Δ , we i.cinjected suckling mice with m8 Δ ,
262	m8 Δ VNC110, m8 Δ -pSFJ-JRCSFenv, or m8 Δ -p7.5-JRCSFenv. At 2 weeks post-
263	injection, more of the mice in the m8 Δ VNC110- and m8 Δ -pSFJ-JRCSFenv-injected
264	(at 10^4 and 10^5 pfu) groups survived compared with those in the m8 Δ -p7.5-
265	JRCSFenv-injected group (Fig. 4B, C, and D). LC16m8 Δ , which should be safe for
266	human use, showed the highest mortality (Fig 4A). The median lethal doses (LD50)
267	for each strain were as follows: LC16m8 Δ , <10 3 PFU; m8 Δ -p7.5-JRCSFenv, 5.5 \times
268	10^3 PFU; m8 Δ -pSFJ-JRCSFenv, 1.4×10^5 PFU; and m8 Δ VNC110, 5.75×10^5 PFU
269	(Fig. 4E). These results suggest that both m8 Δ -p7.5-JRCSFenv and m8 Δ -pSFJ-
270	JRCSFenv may be safer for use in humans.
271	
272	
273	4. Discussion
274	

An effective HIV-1 vaccine should induce long-lasting humoral and cellular immunity against HIV-1. A replication-competent VV would be a good candidate for such a vaccine because recombinant VV can induce both antigen-specific CTL and antibody responses. In addition, the process of viral replication may allow the repeated presentation of viral antigens, leading to affinity maturation of both antibodies and T cell receptors. LC16m8Δ-JRCSFenv is a replication-competent vaccinia vector that induces HIV-1 Env-specific cellular and humoral immune responses when used in combination with a Sendai virus vector [2]. Here, we tried to optimize this vaccination regimen by using HIV-1 Env recombinant VV vectors expressed under the control of different promoters. We found that viruses expressed under the control of these different promoters induced different cellular and humoral immune responses. m8Δ-pSFJ-JRCSFenv induced increased production of anti-HIV-1 Env-specific Abs when compared with m8Δ-p7.5-JRCSFenv. By contrast, m8Δ-p7.5-JRCSFenv induced the production of more HIV-1 Env-specific IFN- γ -secreting CD107a⁺CD8⁺ T cells. These results suggest that the induction of Env-specific CTL and humoral responses may be dependent upon different presentation pathways and/or different structures of the Env protein. The peptides used to stimulate the splenocytes in the ICS assay correspond to the 3' domain of gp41, since previous mapping of the consensus subtype B Env peptide pool identified peptides comprising aa 805-819 and aa 809–823 as the best immunogens [2]. It also indicated that gp41 but not gp120 is a more potent inducer of cellular immunity when liberated from gp160 than it is when buried in gp160. The amount of gp41 in m8Δ-p7.5-JRCSFenv-infected 293T and L929 cells are comparable with that infected with m8Δ-pSFJ-JRCSFenv and even more in m8Δ-p7.5-JRCSFenv-infected RK13 cells, which is different from the case of gp160/gp120 (Fig 1B). Considering the better replication of m8Δ-p7.5-JRCSFenv

275

276

277

278

279

280

281

282

283

284

285

286

287

288

289

290

291

292

293

294

295

296

297

298

(Fig 1C), we may expect that repetitive antigenic stimulation, which favors CTL induction, strengthens the immunogenicity of gp41 that is derived from m8 Δ -p7.5-JRCSFenv to induce production of Env-specific IFN- γ +CD107a+CD8+ T cells. The relative lower ratio of gp41to gp160/gp120 in m8Δ-pSFJ-JRCSFenv-infected cells than m8Δ-p7.5-JRCSFenv cells indicates that the cleavage of gp160 to gp120 and gp41 was less efficient due to overexpression of Env. On the other hand, the efficient production of anti-Env-specific antibodies may require higher expression of HIV-1 Env in primarily-infected cells. The 6-7 fold higher level of the HIV-1 Env binding antibody titre observed in mice immunized with m8Δ-pSFJ-JRCSFenv is consistent with the higher levels of Env observed in m8Δ-pSFJ-JRCSFenv-infected cells. The Gp120/160 isolated from m8Δ-pSFJ-JRCSFenv-infected cells migrated more quickly and showed a broader band in PAGE gels than that from m8Δ-p7.5-JRCSFenv-infected cells. This suggests the incomplete glycosylation of gp120/160 due to an insufficiency of host glycosyltransferases. Nevertheless, the impact on the ability of m8Δ-pSFJ-JRCSFenv to elicit anti-Env antibody response was minimal. Although m8Δ-pSFJ-JRCSFenv induced greater production of Env binding Abs than m8 Δ -p7.5-JRCSFenv, it did not induce the production of more potent anti-HIV-1 neutralizing Abs. At 8 weeks after rSeV-JRCSFenv boost, the average ID50 of serum from mice immunized with m8Δ-pSFJ-JRCSFenv was higher than that of serum from mice immunized with m8Δ-p7.5-JRCSFenv; however, the difference was not significant. This suggests that, in addition to the amount of expressed Env, the properties of the antigen (for example, the structure of the exposed epitopes) may also be important for the induction of neutralizing antibody production. There was no difference in the avidity of the anti-Env antibodies between the two groups (Fig 3C), implicating that the process of

300

301

302

303

304

305

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

321

322

323

325 affinity maturation was similar. This suggests that affinity maturation of antibodies is 326 necessary, but not sufficient to induce the production of potent neutralizing antibodies. 327 Even so, higher levels of Env binding antibodies may enable the induction of other 328 types of antiviral immunity, such as antibody-dependent cellular cytotoxicity and 329 antibody-dependent cell-mediated virus inhibition. 330 We recently showed that priming mice with an m8Δ that expresses both CD40Lm and 331 Env induces the production of high-avidity anti-Env antibodies [2]. The above results 332 suggest that it might be important to incorporate an adjuvant, such as CD40Lm, 333 within the AIDS vaccine regimen to induce more potent humoral responses and 334 produce higher levels of neutralizing antibodies. 335 A successful AIDS vaccine should induce the production of long-lasting antibodies. 336 Both m8Δ-pSFJ-JRCSFenv and m8Δ-p7.5-JRCSFenv induced the production of long-337 lasting anti-Env antibodies when used in the rm8Δs prime/rSeV boost regimen. 338 Immunized mice maintained high levels of anti-Env antibodies for up to 28 weeks 339 (Fig 3D). This supports our previous report showing that the rm8Δs prime/rSeV 340 regimen is a good platform for the development of an HIV-1 vaccine. 341 Safety is critical when evaluating vaccines in clinical trials. Both m8Δ-pSFJ-342 JRCSFenv and m8Δ-p7.5-JRCSFenv were less virulent in new-born mice than the 343 parental strain, LC16m8Δ. LC16m8Δ was more virulent probably because it contains 344 an intact HA gene. The LD50 of m8 Δ -p7.5-JRCSFenv was significantly lower than 345 that of m8 Δ VNC110, although their growth potential was similar. This suggests that 346 the expression of HIV-1 Env in the mouse brain is harmful. This is supported by the 347 fact that that virulence of m8 Δ -pSFJ-JRCSFenv is similar to that of m8 Δ VNC110, 348 despite having a much lower capacity for replication. Nevertheless, our finding that

recombinant VVs expressing HIV-1 env are safer than LC16m8 Δ suggests that they may be promising candidates for clinical trials. In conclusion, the results of the present study suggest that VV m8Δ vectors containing different promoters activate different arms of the immune system. That said, both strains induced long-lasting CTL and antibody responses and both appear safe enough for clinical trials. Thus, it is possible to manipulate the immune response induced by a rational AIDS vaccine by using VV m8Δs harboring different promoters. Acknowledgements We are grateful for the assistance of Ms. Y. Ishida and Ms. Y. Okuda, and for the HIV-1 Env peptide panels supplied by the NIH AIDS Research and Reference Reagent program. We thank Dr. S. Dales for the gift of RK13 cell and Dr. M. Kidokoro, National Institute of Infectious Diseases of Japan for BHK cells. This work was supported by a grant (No:SAB4861) from the Human Health Foundation.

374	Refere	ences
375		
376	1.	Rerks-Ngarm, S., P. Pitisuttithum, S. Nitayaphan, J. Kaewkungwal, J.
377		Chiu, R. Paris, N. Premsri, C. Namwat, M. de Souza, E. Adams, M.
378		Benenson, S. Gurunathan, J. Tartaglia, J. G. McNeil, D. P. Francis, D.
379		Stablein, D. L. Birx, S. Chunsuttiwat, C. Khamboonruang, P.
380		Thongcharoen, M. L. Robb, N. L. Michael, P. Kunasol, and J. H. Kim.
381		2009. Vaccination with ALVAC and AIDSVAX to prevent HIV-1 infection in
382		Thailand. N Engl J Med 361 :2209-2220.
383	2.	Zhang, X., T. Sobue, M. Isshiki, S. Makino, M. Inoue, K. Kato, T. Shioda,
384		T. Ohashi, H. Sato, J. Komano, H. Hanabusa, and H. Shida. 2012.
385		Elicitation of both anti HIV-1 Env humoral and cellular immunities by
386		replicating vaccinia prime Sendai virus boost regimen and boosting by
387		CD40Lm. PLoS One 7: e51633.
388	3.	Kenner, J., F. Cameron, C. Empig, D. V. Jobes, and M. Gurwith. 2006.
389		LC16m8: an attenuated smallpox vaccine. Vaccine 24:7009-7022.
390	4.	Kidokoro, M., M. Tashiro, and H. Shida. 2005. Genetically stable and fully
391		effective smallpox vaccine strain constructed from highly attenuated vaccinia
392		LC16m8. Proc Natl Acad Sci U S A 102: 4152-4157.
393	5.	Gomez, C.E, J.L. Najera, E.P. Jimenez, V. Jimenez, R. Wagner, M. Graf, J.J.
394		Fanchette, P. Liljestrom, G. Pantaleo, M. Esteban. 2007. Head-to head
395		comparison on the immunogenicity of two HIV/AIDS vaccine candidates
396		based on the attenuated poxvirus strains MVA and NYVAC co-expressing in a
397		single locus the HIV-1BX08 gp120 and HIV-1(IIIB) Gag-Pol-Nef proteins of
398		clade B. Vaccine 25 :2863-2885.

399	6.	Zhou, J. A., A. McIndoe, H. Davies, X. Y. Sun, and L. Crawford. 1991.
400		The induction of cytotoxic T-lymphocyte precursor cells by recombinant
401		vaccinia virus expressing human papillomavirus type 16 L1. Virology
402		181: 203-210.
403	7.	Townsend, A., J. Bastin, K. Gould, G. Brownlee, M. Andrew, B. Coupar,
404		D. Boyle, S. Chan, and G. Smith. 1988. Defective presentation to class I-
405		restricted cytotoxic T lymphocytes in vaccinia-infected cells is overcome by
406		enhanced degradation of antigen. J Exp Med 168:1211-1224.
407	8.	Jin, N. Y., S. Funahashi, and H. Shida. 1994. Constructions of vaccinia
408		virus A-type inclusion body protein, tandemly repeated mutant 7.5 kDa
409		protein, and hemagglutinin gene promoters support high levels of expression.
410		Arch Virol 138: 315-330.
411	9.	Kidokoro, M., A. Aoki, K. Horiuchi, and H. Shida. 2002. Large-scale
412		preparation of biologically active measles virus haemagglutinin expressed by
413		attenuated vaccinia virus vectors. Microbes Infect 4: 1035-1044.
414	10.	Platt, E. J., K. Wehrly, S. E. Kuhmann, B. Chesebro, and D. Kabat. 1998
415		Effects of CCR5 and CD4 cell surface concentrations on infections by
416		macrophagetropic isolates of human immunodeficiency virus type 1. J Virol
417		72: 2855-2864.
418	11.	Wei, X., J. M. Decker, H. Liu, Z. Zhang, R. B. Arani, J. M. Kilby, M. S.
419		Saag, X. Wu, G. M. Shaw, and J. C. Kappes. 2002. Emergence of resistant
420		human immunodeficiency virus type 1 in patients receiving fusion inhibitor
421		(T-20) monotherapy. Antimicrob Agents Chemother 46: 1896-1905.
422	12.	Itamura, S., Y. Morikawa, H. Shida, K. Nerome, and A. Oya. 1990.
423		Biological and immunological characterization of influenza virus

424	haemagglutinin expressed from the haemagglutinin locus of vaccinia virus. J
425	Gen Virol 71 (Pt 6):1293-1301.
426	
427	13. Shida, H., and S. Matsumoto. 1983. Analysis of the hemagglutinin
428	glycoprotein from mutants of vaccinia virus that accumulates on the nuclear
429	envelope. Cell 33: 423-434.
430	14. Shida, H., T. Tochikura, T. Sato, T. Konno, K. Hirayoshi, M. Seki, Y. Ito,
431	M. Hatanaka, Y. Hinuma, M. Sugimoto, and et al. 1987. Effect of the
432	recombinant vaccinia viruses that express HTLV-I envelope gene on HTLV-I
433	infection. EMBO J 6: 3379-3384.
434	15. Li, M., F. Gao, J. R. Mascola, L. Stamatatos, V. R. Polonis, M.
435	Koutsoukos, G. Voss, P. Goepfert, P. Gilbert, K. M. Greene, M. Bilska, D
436	L. Kothe, J. F. Salazar-Gonzalez, X. Wei, J. M. Decker, B. H. Hahn, and
437	D. C. Montefiori. 2005. Human immunodeficiency virus type 1 env clones
438	from acute and early subtype B infections for standardized assessments of
439	vaccine-elicited neutralizing antibodies. J Virol 79: 10108-10125.
440	16. Montefiori, D. C. 2005. Evaluating neutralizing antibodies against HIV, SIV,
441	and SHIV in luciferase reporter gene assays. Curr Protoc Immunol Chapter
442	12: Unit 12 11.
443	17. Sato, H., C. Jing, M. Isshiki, K. Matsuo, M. Kidokoro, S. Takamura, X.
444	Zhang, T. Ohashi, and H. Shida. 2013. Immunogenicity and safety of the
445	vaccinia virus LC16m8Delta vector expressing SIV Gag under a strong or
446	moderate promoter in a recombinant BCG prime-recombinant vaccinia virus
447	boost protocol. Vaccine 31:3549-3557.
448	

450 451 Figure legends 452 453 Fig 1. Construction of the Env-expressing vaccinia vector, Env expression, and 454 virus propagation. (A). Schematic illustration showing the structure of the 455 hemagglutinin (HA) gene region within LC16m8Δ and its derivatives. Arrows 456 indicate the direction of the HA coding frame. (B). Comparison of Env expression in 457 cells infected with m8Δ-pSFJ-JRCSFenv or m8Δ-p7.5-JRCSFenv. One microgram of 458 cell lysate derived from RK13, 293T, and L929 cells infected with rVV was subjected 459 to SDS-PAGE and analysed by Western blotting as described in "Materials and 460 Methods". Lanes 1, 4, and 7 represent the cells infected with LC16m8Δ, lanes 2, 5, 461 and 8, m8Δ-pSFJ-JRCSFenv; Lanes 3, 6, and 9, m8Δ-p7.5-JRCSFenv. 462 (C). Comparison of the growth potential of the LC16m8Δ constructs. Viruses were 463 recovered from RK13, 293T, and L929 cells 24 h after infection and titrated in a 464 plaque assay. Data represent the mean \pm SD (n=4). 465 466 Fig 2. The p7.5 promoter induces more efficient production of Env-specific CTL 467 responses than the pSFJ promoter. (A). Schematic illustration showing the rm 8Δ 468 prime/rSeV boost vaccination protocol. Seven-week-old female C57BL/6J mice were vaccinated with LC16m8Δ's recombinants (16 mice for m8Δ-pSFJ-JRCSFenv (group 469 1) and 10 mice for m8 Δ -p7.5-JRCSFenv (group2); each at 1×10^7 PFU) followed by 470 a boost with SeV-JRCSFenv (4×10^7 CIU). Blood samples and spleen tissues were 471 472 examined at the indicated time points. (B). Representative diagram showing FACS 473 analysis of HIV-1 Env-specific IFN- γ -secreting CD107a⁺CD8⁺ T cells derived

from vaccinated mouse splenocytes. (C). Comparison of Env-specific cellular immune response between the two vaccinated groups at 2 and 8 weeks post-SeV boost.

477

478

479

480

481

482

483

484

485

486

487

488

489

490

491

492

493

494

474

475

476

Fig 3. m8Δ-pSFJ-JRCSFenv induces stronger humoral immune responses than m8Δ-p7.5-JRCSFenv (A). Comparison of Env-specific antibody levels. Serum from individual immunized mice was analyzed in a HIV-1JR-CSF gp160 ELISA as described previously (Ref.2). The plates were developed with an HRP-conjugated anti-mouse IgG antibody. The Env-specific antibody titer was determined by subtracting the background values at OD450. Data represent the mean \pm SD of the Env-specific antibody titer of all animals in each group. Env binding antibody titers measured at 2 and 8 weeks post-rSeV boost are shown. (B). Comparison of anti-HIV-1 neutralizing antibody activity in sera from the two groups of immunized mice. We included more previously accumulated mice samples that subjected to the same immunization procedure as group 1 in Fig 2. The 50% inhibitory dose (ID50) against an HIV-1 SF162 env-pseudotyped virus was measured using TZM-bl cells. The neutralizing activity of mouse sera is shown at 2 and 8 weeks post-SeV boost. (C). Comparison of the avidity of HIV-1 Env-specific anti-sera from the two groups at 2 and 8 weeks post-SeV boost as described previously (Ref. 2). (D). Comparison of HIV-1 Env-specific antibody induction dynamics between the two groups after the rSeV boost.

495

496

497

498

Fig 4. m8Δ-pSFJ-JRCSFenv is safer than m8Δ-p7.5-JRCSFenv in vivo.

Cumulative survival curves for vaccinated suckling mice are shown. Mice were injected with LC16m8Δ (A), m8ΔVNC110 (B), m8Δ-pSFJ-JRCSFenv (C), or m8Δ-

p7.5-JRCSFenv (D). Percentage of survival at 2 weeks post inoculation was plotted to make a survive curve and the 50% lethal dose (LD50) was calculated according to the Trendline of the curve. The LD50 for each VV is shown (E). The numbers of the mice used for each dose are indicated in the chart. Statistical analysis was performed using the log-rank test.









