



Title	Comparison of the swimming ability and upstream-migration behavior between chum salmon and masu salmon
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1 **Comparison of the swimming ability and upstream-migration**  
2 **behavior between chum salmon and masu salmon**

3

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30

31 **Abstract:** The spawning ground of chum salmon (*Oncorhynchus keta*) is usually  
32 located farther downriver than that of masu salmon (*O. masou*) in Hokkaido, Japan. To  
33 compare the swimming abilities of these two species, the relationship between  
34 swimming speed and oxygen consumption was compared using a swim tunnel in the  
35 laboratory. Then, the upstream-migration behaviors of chum and masu salmon were  
36 compared using electromyogram (EMG) telemetry at fish passages in the Toyohira  
37 River, Hokkaido. In the laboratory study, the standard metabolic rate of masu salmon  
38 was lower and the critical swimming speed ( $U_{crit}$ ) was faster than those of chum salmon.  
39 In the field study, the holding time needed to recover the swimming performance  
40 exceeding  $U_{crit}$  at the fish passages, and the trial number needed to pass the fish  
41 passages were significantly lower for masu salmon than chum salmon. These results  
42 revealed that masu salmon are more adaptable to extended swimming in  
43 high-water-velocity conditions than chum salmon and that masu salmon are better  
44 equipped for a long distance upstream to their spawning ground than chum salmon.  
45  
46 **Keywords:** swimming ability; upstream-migration behavior; standard metabolic rate;  
47 critical swimming speed; fish passage; chum salmon; masu salmon.

48 **Introduction**

49 Semelparous Pacific salmon must migrate upstream with limited energy stores to  
50 reach their spawning ground, and the metabolic energy use involved in swimming  
51 ability is important for their upstream migration. The relationships between metabolic  
52 traits and swimming performance in salmon have been investigated previously. Gamperl  
53 et al. (2002) showed that wild rainbow trout (*Oncorhynchus mykiss*) exhibit aerobic  
54 fitness of at least one-third greater than that of hatchery-raised individuals. Lee et al.  
55 (2003b) suggested that the heightened aerobic fitness might be related to natural  
56 experiences during exercise. Wagner et al. (2006) investigated the differences in routine  
57 and active metabolic rates for sexually maturing migratory adult sockeye salmon (*O.*  
58 *nerka*) that were intercepted in the ocean and then held in either seawater or freshwater,  
59 suggesting that some of the metabolic costs might change during the migration from  
60 seawater to freshwater.

61 The upstream migration of salmon is energetically demanding because the fish  
62 must pass a variety of natural and anthropogenic barriers, such as waterfalls, rapidly  
63 flowing water, weirs, hydroelectric facilities, and ground sills in their natal rivers (Pon  
64 et al. 2006; 2009a, b). Specifically anthropogenic barriers have brought serious  
65 problems during the upstream migration of anadromous salmon. The upstream

66 spawning migration of sockeye salmon in the Fraser River, British Columbia, Canada,  
67 has been extensively examined (Hinch et al. 1996; Hinch and Rand 1998; Hinch and  
68 Bratty 2000), and many physiological data have been reported: the average swimming  
69 activity of sockeye salmon passing through Hell's Gate were higher than at any other  
70 location in the Fraser Canyon and non-aerobic swimming performance exceeding  
71 critical swimming speed ( $U_{crit}$ ) had to be sustained over a long period of time. Roscoe  
72 and Hinch (2010) reported that these barriers impede or hinder the spawning-migration  
73 routes of salmon and result in reduced salmon populations. In response, a large number  
74 of fish passages have been installed all over the world.

75         The recent rapid development of radio telemetry technology and methods make it  
76 possible to observe the upstream-migration behavior of salmon (Cooke et al. 2004).  
77 Fish telemetry with integrated muscle electromyogram (EMG) has been used to  
78 examine the upstream migration of sockeye salmon (Hinch et al. 1996; Hinch & Bratty,  
79 2000; Pon et al. 2009a, b). EMG can be used directly as an indicator of the intensity of  
80 fish muscle activity and to evaluate the efficiency of fish passage in relation to the  
81 swimming performance of Pacific salmon (Roscoe and Hinch 2010; Makiguchi et al.  
82 2011).

83         In Hokkaido, Japan, the spawning seasons of chum salmon (*O. keta*) and masu

84 salmon (*O. masou*) are nearly identical, but there are many differences in their upstream  
85 spawning migrations. Masu salmon enter their natal river between April and June and  
86 then migrate upstream to the spawning ground near the riverhead in September, possibly  
87 triggered by the swollen autumnal river (Kato 1991; Mayama 1992). In contrast, chum  
88 salmon begin upstream migration between September and October and quickly reach  
89 the spawning ground in the middle reaches of the river (Salo 1991). We hypothesized  
90 that differences in swimming ability might shape the different upstream-migration  
91 behaviors of chum and masu salmon; however, to date, no study has examined the  
92 relationship between swimming ability in the laboratory and upstream-migration  
93 behavior in the field using chum and masu salmon.

94       The purposes of this study were (1) to examine the relationship between swimming  
95 speed and oxygen consumption, and compare swimming abilities of chum and masu  
96 salmon in a swim tunnel in the laboratory using  $U_{crit}$ , standard metabolic rate (SMR),  
97 active metabolic rate (AMR), and aerobic metabolic scope (AMS); (2) to investigate the  
98 upstream-migration behaviors of chum and masu salmon in the field using EMG  
99 telemetry to compare their behaviors at the fish passages in protection beds and  
100 groundsills in the Toyohira River; and (3) to discuss their upstream spawning-migration  
101 behavior in terms of metabolic energy use and swimming ability.

102

## 103 **Materials and Methods**

### 104 **1) Swimming abilities in the laboratory**

#### 105 **Experimental animals**

106 Adult chum salmon (3 males and 2 females; mean  $\pm$  SE: fork length:  $62.1 \pm 4.9$  cm;  
107 body weight:  $1.9 \pm 0.7$  kg) and adult masu salmon (6 males and 5 females; fork length:  
108  $53.3 \pm 13.4$  cm; body weight:  $1.7 \pm 1.4$  kg) were captured using a waterwheel located  
109 approximately 70 km from the Chitose River mouth in the upper reaches of the Ishikari  
110 River in western Hokkaido, Japan, during their upstream spawning migration (Fig 1A).  
111 Experiments were conducted at the Chitose Salmon Aquarium in September and  
112 December of 2010 and 2011. Fish were individually transferred to a compact water tank  
113 ( $L \times W \times H = 1.8 \times 0.9 \times 0.6$  m) in an artificially flowing stream using water from the  
114 Chitose River.

#### 115 **Swim tunnel and swimming test protocol**

116 A swim tunnel (West Japan Fluid Engineering Laboratory Co. Ltd, Nagasaki,  
117 Japan) was used to measure critical swimming speed ( $U_{crit}$ ) and dissolved oxygen  
118 consumption. Water flow was generated using a voltage-controlled motor and propeller,  
119 with the voltage calibrated against the flow velocity. The swim tunnel was sealed with

120 an acrylic board so that no gas exchange occurred, and water from the Chitose River  
121 was pumped into it before the  $U_{crit}$  trial. The water temperature ranged from 12.0 to  
122 13.5°C and did not vary more than 1°C during any experiments.

123 Fish were individually placed into the swimming chamber of the swim tunnel. In  
124 all cases, fish were acclimated for 1 hour at 0.25 body lengths (BL)  $s^{-1}$  to minimize  
125 handling effects before all  $U_{crit}$  trials. After each 15-min period, the water velocity was  
126 increased by an additional 0.25 BL  $s^{-1}$  and was maintained at the new speed for 15 min  
127 until the fish became fatigued or was unable to swim against the current. After the  $U_{crit}$   
128 trial was completed, body mass and fork length were measured. Flow-velocity values  
129 were corrected to account for solid blocking effects (Gehrke et al. 1990), as described  
130 by Bell and Terhune (1970).

131 The  $U_{crit}$  (BL  $s^{-1}$ ) was calculated with Brett's formula (1964):

$$132 \quad U_{crit} = U_i + (t \cdot t_i^{-1}) \cdot U \quad (1)$$

133 where  $U_i$  (BL  $s^{-1}$ ) is the highest velocity maintained for the entire swimming period;  $t_i$  is  
134 the prescribed swimming period (i.e., 15 min);  $t$  (min) is the amount of time spent at the  
135 fatigue velocity; and  $U$  is the velocity increment (i.e., 0.25 BL  $s^{-1}$ ).

136 To measure oxygen consumption ( $MO_2$ ) during the acclimatization period and  
137 during the  $U_{crit}$  trials, the oxygen concentration in the swim tunnel was measured

138 throughout the trial at 1-min intervals using a multi-water-quality sensor probe (U-50;  
139 Horiba Ltd., Kyoto, Japan), which was housed inside the swim tunnel. Before the fish  
140 were introduced, air bubbles were removed from the swim tunnel. Prior to each  $U_{crit}$   
141 trial, oxygen levels in the tunnel were reset by pumping fresh river water into the tunnel.  
142 The  $MO_2$  per 15-min period for each fish was calculated by subtracting the quantity of  
143 oxygen at the end from the starting value. The  $MO_2$  rate ( $mg\ O_2\ kg^{-1}\ h^{-1}$ ) for individual  
144 fish during a velocity increment was calculated as:

$$145 \quad MO_2 = [O_2] v/m \quad (2)$$

146 where the rate of oxygen concentration  $[O_2]$  is measured in  $mg\ O_2\ per\ l^{-1}\ h^{-1}$ ;  $v$  is the  
147 water volume of the swim chamber (l); and  $m$  is the body mass of the fish (kg).  $MO_2$   
148 was then standardized to a value corrected for a fish of 1 kg ( $MO_{2cor}$ ), using the  
149 following allometric relationship derived by Schurmann and Steffensen (1997):

$$150 \quad MO_{2cor} = MO_{2meas} \cdot (w \cdot w_{cor}^{-1})^{(1-A)} \quad (3)$$

151 where  $MO_{2meas}$  is the  $MO_2$  measured during the trial;  $w$  is the mass of the fish; and  $w_{cor}$   
152 is the standard body mass of 1 kg.  $A$  is the condition factor [weight (g) /  
153 length<sup>3</sup>(cm)•100], calculated using the mean fork length and bodyweight for each  
154 species.

155 Standard metabolic rate (SMR) is defined as the  $MO_2$  at zero activity (Fry 1971;

156 Claireaux et al. 2006; Luna-Acosta et al. 2011). Because  $MO_2$  was expected to increase  
157 exponentially with swimming speed (Brett 1964), a curve was fitted for each individual,  
158 according to the following equation:

$$159 \quad MO_{2cor} = SMR \cdot \exp^{bU} \quad (4)$$

160 where  $MO_{2cor}$  is the oxygen consumption obtained from (3); SMR is the standard  
161 metabolic rate that corresponds to the intercept (i.e., the  $MO_2$  when  $U = 0 \text{ BL s}^{-1}$ );  $U$  is  
162 the swimming speed (in  $\text{BL s}^{-1}$ ); and  $b$  is a constant.

163 The active metabolic rate (AMR) of the fish was estimated as the maximum  $MO_2$   
164 recorded during the swimming test (i.e., when swimming speed was close to  $U_{crit}$ ), and  
165 AMS (aerobic metabolic scope) was calculated as follows (Fry 1971):

$$166 \quad AMS = AMR - SMR \quad (5)$$

167 The net cost of transport ( $COT_{net}$ ;  $\text{mgO}_2 \text{ kg}^{-1} \text{ m}^{-1}$ ) was calculated as follows for  
168 each swimming speed:

$$169 \quad COT_{net} = MO_{2net} / U \quad (6)$$

170 where  $U$  is the swimming speed ( $\text{m h}^{-1}$ ) and  $MO_{2net}$  is equal to the  $MO_2$  at the  
171 considered swimming speed minus SMR. AMR, SMR, AMS and  $COT_{net}$  values were  
172 determined for each fish.

173

174 **2) Upstream migration behavior in the field**

175 **Study area**

176 The Toyohira River in Hokkaido, Japan, which flows through the city of Sapporo,  
177 is a rare rapid-flow urban river and an important spawning ground for chum and masu  
178 salmon. Six cross-river constructions (#1, #3-8), called groundsills, were constructed to  
179 prevent the lowering of the riverbed, and fish passages were built at each groundsill (Fig.  
180 1B). A protection bed was also constructed at the groundsill #5, and a fish passage was  
181 installed in March 2010 (Fig. 2). Makiguchi et al. (2011) reported the effects of  
182 groundsills on the upstream migration of chum salmon in 2008 and 2009 using EMG  
183 telemetry; however, the Toyohira River environment changed significantly after their  
184 investigation; the fish passage was established in protection bed #5 in 2010, and a  
185 severe typhoon hit near Sapporo City in September 2011. In the protection bed #5, a  
186 large part of the passage was filled by a sandbar because of the change in water flow  
187 and current caused by the typhoon.

188 **Experimental animals and transmitter attachment procedures**

189 In the Toyohira River, adult chum and masu salmon migrate upstream from  
190 September to October. All chum and masu salmon used in this study were captured  
191 using a net 11.5-16.5 km from the mouth of the Toyohira River and transferred to

192 outdoor tanks at the Chitose Salmon Aquarium for calibration of the EMG signals to  
193 swimming speeds. In 2010, 3 males (fork length, 56.4–74.1 cm; body weight 1.8–4.2  
194 kg) and 3 females (fork length, 62.6–73.5 cm; body weight 2.7–3.9 kg) chum salmon,  
195 and 3 males (fork length, 44.3–55.1 cm; body weight 0.9–1.8 kg) and 3 females (fork  
196 length, 51.0–58.6 cm; body weight 1.5–2.5 kg) masu salmon were studied. In 2011, 1  
197 male (fork length, 68.7 cm; body weight 3.3 kg) and 5 females (fork length, 54.6–69.5  
198 cm; body weight 1.4–3.4 kg) chum salmon, and 2 males (fork length, 53.2–56.5 cm;  
199 body weight 1.6–1.7 kg) and 1 female (fork length, 58.7 cm; body weight 2.6 kg) masu  
200 salmon were studied.

201 Each fish was equipped with a cylindrical, epoxy-encased EMG transmitter  
202 (CEMG-R11-35, Lotek Engineering Inc., Newmarket, Ontario, Canada; 18.3 g in air,  
203 16.2 mm in diameter, and 53.0 mm in length) attached externally to the body surface  
204 and positioned in front of the dorsal fin using the procedure developed by Makiguchi et  
205 al. (2011). External attachment is suitable for short-term telemetry research, which  
206 reduces handling stress for the fish (Bridger and Booth 2003; Makiguchi et al. 2007). To  
207 attach the tag, experimental fish were anaesthetized using FA100 (eugenol; Tanabe  
208 Seiyaku Co. Ltd, Osaka, Japan) at a concentration of 0.5 ml l<sup>-1</sup> in water from the  
209 Chitose River and placed upright on a surgical table. Their gills were irrigated with

210 water containing diluted FA100 to maintain sedation during the attachment procedure.

211 Two stainless-steel needles large enough hold the restraining nylon ties were pushed

212 through the dorsal muscle to secure the EMG transmitter and sutured into place using

213 nylon ties and epoxy resin. Silicon pads were attached to minimize abrasion. The nylon

214 ties were passed through the needles and tied on the opposite side (Bridger and Booth

215 2003). The EMG transmitters consisted of an epoxy-coated transmitter package with a

216 pair of Teflon-coated electrodes with brass muscle-anchoring tips (dimension  $5 \times 1$

217 mm). The EMG electrodes were inserted subcutaneously using a hypodermic needle at a

218 ratio to the body length of approximately 0.7 on the left side of the fish. The electrodes

219 detected electrical potentials within the axial dark muscle, which contained red muscle

220 tissue. The amplitude and frequency of these pulses was directly correlated to the level

221 of muscle activity. Paired electrode tips were positioned approximately 1 cm apart and

222 secured in the lateral red muscle toward the rear of the fish. These muscles are primarily

223 used in steady, non-bursting, aerobic swimming activity (Beddow and McKinley 1999).

224 EMG signals are generally related to swimming speed (Økland et al. 1997). The

225 electrodes were sutured to avoid entangling vegetation or other environmental structures.

226 The CEMG model was equipped with a differential muscle probe, a signal conditioning

227 circuit, a digitizer, a microcontroller, and a radio transmitter. The voltage corresponding

228 to muscle activity was sampled at 2-s intervals. Individual samples were summed and  
229 stored temporarily. At the end of the interval, the mean value was calculated, and an  
230 activity level (EMG signal) ranging from 0 to 50 (no units) was assigned, and  
231 transmitted to a radio receiver (model SRX\_600; Lotek Engineering Inc.). The  
232 attachment procedures usually required approximately 5 min to complete.

### 233 **Calibration of EMG signals to swimming speeds**

234 EMG signals were calibrated to swimming speed in the swim tunnel using  
235 procedures developed by Makiguchi et al. (2011). The Chitose River water was poured  
236 into the swim tunnel before each trial. The water temperature was set between 11.5 and  
237 14.5°C, which was similar to the water temperature at the capture point in the Toyohira  
238 River. Experimental fish were individually placed into the swimming section of the  
239 swim tunnel, and 10 EMG signals at 0 m s<sup>-1</sup> were measured using the EMG radio  
240 receiver when the fish maintained a holding position. Next, the trial was started at 0.3 m  
241 s<sup>-1</sup>, and the water velocity was increased incrementally by 0.3 m s<sup>-1</sup>, with 10 EMG  
242 signals measured at each increment. Fish readily swam against the current and rarely  
243 came in contact with the grid at the back of the swim chamber. The trial ended at 1.2 m  
244 s<sup>-1</sup>. We used the average EMG output at each velocity for each fish to calibrate  
245 transmitter output to swimming speed. We evaluated the relationship between the mean

246 EMG output and water velocity using a linear regression analysis.

247 **Field Study**

248 Few studies report that fish with EMG transmitters were calibrated in respirometers  
249 prior to their release. In September and October of 2010 and 2011, chum and masu  
250 salmon used in the EMG-calibration experiment were individually released in the study  
251 area and tracked on foot during upstream migration using a hand-held directional Yagi  
252 antenna (Table 1). The position of each individual was determined using  
253 EMG-transmitter signals received by three SRX\_600 radio receivers. The radio  
254 receivers recorded EMG signals at 2-s intervals. Migration time in each segment was  
255 measured for each fish by subtracting the time on reaching the exit from the time at  
256 entry. The chum and masu salmon were often observed to have stopped swimming  
257 during their upstream migration, and more than 3 min of swimming cessation during  
258 upstream migration was defined as holding behavior. The calculation of swimming time  
259 did not include holding time. EMG signals were converted to swimming speed using the  
260 equations established from the EMG calibration for each fish. After tracking, water  
261 depth was measured at 0.2-m intervals in the water column, and water velocity was  
262 measured at 2–5-m intervals along the tracking area. All tagged fish were released  
263 below protection bed #5, and the tagged fish were tracked intermittently for

264 approximately 2–5 days. The number of times the fish exceeded  $U_{crit}$  and the time spent  
265 swimming over  $U_{crit}$  were calculated from the time-course trajectory of swim speed,  
266 using the  $U_{crit}$  calculated in the laboratory for both species.

## 267 **Statistical analyses**

268 An analysis of covariance (ANCOVA) was used to compare the swimming abilities  
269 of chum and masu salmon in the laboratory, and separate predictive relationships were  
270 required for body length and body mass. ANCOVA was also used to compare the  
271 swimming abilities of male and female in masu salmon in the laboratory. If the  
272 ANCOVA was not be applicable in the statistical analysis, Student's t-test was used.

273 Statistical comparisons of the swimming performance of chum and masu salmon in  
274 the field were analyzed using Student's t-test. The results were expressed as the mean  $\pm$   
275 SE, and data were considered significant when  $P < 0.05$ .

276 For the relationship between swimming speed and  $MO_{2cor}$ , regression analysis of  
277 exponential equations using a parametric analysis of variance (ANOVA) were used. A  
278 value of  $P < 0.05$  was considered statistically significant.

279

## 280 **Results**

281 The statistical analysis by ANCOVA showed no significant parallelism of the

282 regression line for body length ( $P = 0.217 > 0.05$ ) or body mass ( $P = 0.234 > 0.05$ ) to  
283 compare the swimming abilities of chum and masu salmon in the laboratory. In the test  
284 of parallelism on regression line in the swimming performance (e.g.  $U_{crit}$ ) in the  
285 laboratory study,  $U_{crit}$  of male and female masu salmon was not significant ( $P = 0.895 >$   
286  $0.05$ ). Student's t-test also revealed no significant differences ( $P > 0.05$ ) in the  
287 swimming abilities in the laboratory or upstream-migration behavior in the field  
288 between females and males of chum and masu salmon.

### 289 **Swimming abilities in the laboratory**

290 The  $U_{crit}$  values for the chum and masu salmon were  $1.42 \text{ BL s}^{-1}$  and  $1.89 \text{ BL s}^{-1}$ ,  
291 respectively, and masu salmon were significantly faster than chum salmon ( $P < 0.01$ ;  
292 Fig. 3). The chum and masu salmon SMRs were  $243.6 \text{ mgO}_2 \text{ h}^{-1} \text{ kg}^{-1}$  and  $112.3 \text{ mgO}_2$   
293  $\text{h}^{-1} \text{ kg}^{-1}$ , respectively, and the masu salmon's SMR was significantly lower than that of  
294 chum salmon ( $P < 0.01$ ; Fig. 3). The chum and masu salmon AMRs were  $369.0 \text{ mgO}_2$   
295  $\text{h}^{-1} \text{ kg}^{-1}$  and  $391.9 \text{ mgO}_2 \text{ h}^{-1} \text{ kg}^{-1}$ , respectively. The chum and masu salmon AMSs were  
296  $174.1 \text{ mgO}_2 \text{ h}^{-1} \text{ kg}^{-1}$  and  $272.8 \text{ mgO}_2 \text{ h}^{-1} \text{ kg}^{-1}$ , respectively. The differences in AMR  
297 and AMS values between the 2 salmon species were not significant (Fig. 3).

298 During the swimming test, the rate of oxygen consumption ( $\text{MO}_2$ ) tended to  
299 increase proportionally with swimming speed. No increases in  $\text{MO}_2$  were observed at

300 low swimming speeds in masu salmon (Fig. 4A). Figure 4B shows the relationship  
301 between the  $MO_{2cor}$  and swimming speed on an exponentially curve. The exponential  
302 curves satisfactorily fitted the data for chum and masu salmon, and a significant  
303 difference was found between chum and masu salmon ( $P < 0.05$ ). The  $COT_{net}$  was also  
304 influenced by swimming speed. It is worth noting that the lowest  $COT_{net}$  was found at  
305 0.5 and 1.0  $BL s^{-1}$ , which were considered the optimal swimming speeds in chum  
306 salmon and masu salmon, respectively (Fig. 5). Although the  $COT_{net}$  of masu salmon  
307 tended to be lower than for chum salmon, there was no significant difference between  
308 the two species ( $P > 0.05$ ).

309 From the EMG data, the muscle-activity levels were significantly correlated with  
310 swimming speed according to simple regression analysis for all chum and masu salmon  
311 (average of 12 chum salmon in 2010 and 2011,  $r^2=0.92$ ,  $P<0.05$ ; average of 9 masu  
312 salmon in 2010 and 2011,  $r^2=0.97$ ,  $P<0.05$ ). A simple regression analysis was used to  
313 convert EMG signals to swimming speeds in the field study by avoiding EMG values  
314 above 40 showing no change in swimming speed. In the plots of all fishes,  
315  $U=0.043EMG- 0.0138$  for the chum salmon (Fig. 6A) and  $U=0.049EMG- 0.0487$  for  
316 the masu salmon (Fig. 6B), where U is swimming speed ( $BL s^{-1}$ ) and EMG is the EMG  
317 values.

318 **Upstream-migration behavior in the field**

319 In 2010 and 2011, EMG data for all chum and masu salmon were collected around  
320 the protection bed and groundsill #5. All tagged fish migrated upstream or downstream  
321 using the fish passages on the day of release, and none of the fish that entered fish  
322 passages at the protection bed and groundsill #5 made downstream migrations. Table 1  
323 shows the percentage of passing fish. The passage rate for both chum and masu salmon  
324 at each structure dropped in 2011. At the fish passages of the ground sill #5, the passage  
325 rate for masu salmon did not change, but only one fish was observed passing  
326 successfully in 2011.

327 Figures 7 shows the water velocity and passage path at the fish passages of the  
328 protection bed and groundsill #5 in 2010 (A) and 2011 (B). In 2011, 60-80% of the fish  
329 passage area at the protection bed #5 was filled up by a sandbar because a severe  
330 typhoon hit Sapporo City in September 2011. As a result, the water velocity was greater  
331 in 2011 than in 2010. In 2010, all fish passed through the fish passages at the protection  
332 bed and groundsill #5, but the fish only passed along the sandbar at the protection bed  
333 #5.

334 Table 2 shows the swimming speed and approach time at the fish passages of the  
335 protection bed and groundsill #5 in 2010 and 2011. At the groundsill #5, chum and masu

336 salmon showed no difference in swimming speed and approach time in either 2010 or  
337 2011.

338 Fig. 8 shows the time-course trajectory of swimming speeds of representative chum  
339 salmon (A, C) and masu salmon (B, D) through the fish passages. Non-aerobic  
340 swimming performance exceeding  $U_{crit}$  was observed in both species at each step in the  
341 fish passages. In the pools of the fish passages, each fish swam relatively slowly,  
342 approaching the optimal swimming speed calculated in the laboratory. No significant  
343 difference was found the approaching time between chum and masu salmon at each step  
344 ( $P > 0.05$ ).

345 The holding time at each step through the fish passage at the protection bed and  
346 groundsill #5 was significantly shorter for masu salmon than chum salmon in 2010 ( $P <$   
347  $0.01$ ; Fig. 9A), and the search time at each step through the fish passage at the  
348 protection bed and groundsill #5 was significantly longer for masu salmon than chum  
349 salmon in 2010 ( $P < 0.01$ ) and 2011 ( $P < 0.05$ ; Fig. 9B). There was also a significant  
350 difference in the search time for chum salmon between 2010 and 2011 ( $P < 0.01$ ; Fig.  
351 9B). Fig. 9C shows the number of trial times for each step through fish passage at the  
352 protection bed and groundsill #5. The number of trial times for the protection bed and  
353 groundsill #5 in 2010 was significantly shorter for masu salmon than chum salmon ( $P <$

354 0.01). The number of times that fish were observed swimming at speeds greater than  
355  $U_{crit}$  at the protection bed and groundsill #5 was significantly lower for masu salmon  
356 than chum salmon in 2010 (Fig. 9D). In addition, the time over  $U_{crit}$  for the protection  
357 bed and groundsill #5 was significantly longer for masu salmon than chum salmon in  
358 both 2010 and 2011 ( $P < 0.01$ ; Fig. 9E).

359

## 360 **Discussion**

361 Swimming ability, measured using a swim tunnel in the laboratory, and upstream  
362 spawning-migration behavior, measured using EMG telemetry at the fish passages in  
363 the Toyohira River, were compared between chum and masu salmon. Masu salmon are  
364 better adapted to swimming in a high-water-velocity area for an extended periods of  
365 time than chum salmon, and this adaptability could make masu salmon more successful  
366 than chum salmon in completing a migration to their spawning ground in the upper  
367 reaches of the river.

368 Both the mean  $MO_2$  throughout the swimming test and the  $COT_{net}$  tended to be  
369 lower in masu salmon than chum salmon. This pattern was particularly obvious above 1  
370  $BL s^{-1}$ , where the  $COT_{net}$  increased with speed in a parallel in the two species until 2  $BL$   
371  $s^{-1}$  (Fig. 5). Excess post-exercise oxygen consumption (EPOC) is estimated as the time

372 required to return to their normal level of oxygen consumption (recovery time) and the  
373 total oxygen cost of swimming to  $U_{crit}$  (Brett 1964; Lee et al. 2003b). Lee et al. (2003b)  
374 and Farrell (2007) suggested that increase in the COT rate was estimated 20-50 % lower  
375 than it was without EPOC. Although we did not examine EPOC in this study, the  
376  $COT_{net}$  increased in parallel with increasing the swimming speed over  $1 BL^{-1} s$  in chum  
377 and masu salmon.

378 Lee et al. (2003a) also showed that the  $MO_2$  routine, with properties similar to the  
379 SMR, was no different between coho and sockeye salmon captured around the same  
380 time. Both  $U_{crit}$  and the optimal swimming speed were significantly faster for masu  
381 salmon than chum salmon, and the SMR of masu salmon was significantly lower than  
382 that of chum salmon in this study. Masu salmon spawning grounds are usually located  
383 in the upper reaches of rivers (Kato 1991). Therefore, it is likely that masu salmon must  
384 pass through more cross-river constructs with high-water-velocity than chum salmon. In  
385 high-water-flow areas, masu salmon may swim use aerobic swimming more  
386 successfully than chum salmon. As masu salmon stay in the river longer time than chum  
387 salmon, the metabolic rate of masu salmon is lower than chum salmon. Therefore, it  
388 was reasonable to assume that the basal metabolism of the masu salmon was lower than  
389 chum salmon, and there was difference in slope of  $MO_{2cor}$  between chum and masu

390 salmon. Lee et al. (2003a) showed that salmon, migrating for long-distance, the  
391 attained a significantly higher  $U_{crit}$  at and were more efficient swimmers at  $U_{crit}$  because  
392 of a lower  $MO_{2max}$  compared with other salmon migrating for a comparatively short  
393 distance. Masu salmon have higher  $U_{crit}$  and lower SMR than chum salmon. However,  
394 AMR was similar between chum and masu salmon in this study presumably because  
395 that small sample sizes may have prevented detecting differences.

396       It is well known that water temperature influences the metabolism and swimming  
397 performance of salmonids (Lee et al. 2003a; Farrell 2007). This study was carried out at  
398 the water temperature of the Chitose River in autumn. It should be mentioned that a  
399 significant change might be observed in the metabolism and swimming performance of  
400 masu salmon at a higher water temperature (as in summer, before masu salmon start  
401 their upstream migration). Lee et al. (2003a) investigated relationships between  
402 swimming performance and metabolism for sockeye salmon of different stocks in the  
403 swim test and found that fishes captured in the upper reaches of the river migrates much  
404 longer distances and negotiate more severe hydraulic challenges than the coastal fish.  
405 Compared to chum salmon, masu salmon have their spawning grounds farther upriver  
406 and remain in the river longer time; therefore, masu salmon are better adapted to swim  
407 for extended time in an area of high-water-velocity.

408 The  $MO_2$  of both species tended to plateau before reaching  $U_{crit}$  (Fig.4A), and the  
409 slopes of the  $COT_{net}$  shifted between  $1.75 \text{ BL s}^{-1}$  and  $2 \text{ BL s}^{-1}$  in both species (Fig.5), the  
410 slopes of the EMG shifted between  $1.5 \text{ BL s}^{-1}$  and  $2 \text{ BL s}^{-1}$  in both species (Fig. 6). The  
411 amplitude and frequency of these pulses was directly correlated to the level of  
412 red-muscle activity (Beddow and McKinley 1999; Økland et al. 1997), indicating that  
413 the shift in muscle performance from white muscle to red muscle occurred between  $1.5$   
414  $\text{BL s}^{-1}$  and  $2 \text{ BL s}^{-1}$ ; this result suggests that supplementary swimming effort when  
415 approaching  $U_{crit}$  was sustained by anaerobic metabolism. Farrell (2007) indicated that  
416 the oxygen requirements should either plateau or decrease at nearly  $U_{crit}$  because of the  
417 activation of white muscle (based on electro-myography recordings) and glycolysis  
418 (based on glycogen depletion and lactate accumulation in white muscle); however,  
419 Farrell (2007) also explained that white-muscle contraction has a significant aerobic  
420 component that drives an increase in  $MO_2$  near  $U_{crit}$ . White muscle has aerobic capacity  
421 and is activated at speeds below  $U_{crit}$ . Therefore, the metabolic cost of swimming  
422 increases exponentially with velocity (Fig. 4B).

423 In the field study, the searching time was significantly longer for masu salmon than  
424 chum salmon, and non-aerobic swimming performance exceeding  $U_{crit}$  was necessary  
425 for both two species at each step in the fish passages because they had to swim at high

426 flow rate (exceeding  $2\text{m}^{-1}\text{ s}$ ). It is likely that masu salmon could search long periods of  
427 time in the each pool in order to find easy routes for the reduction of energy loss.  
428 Gowans et al. (1999) reported that migrating Atlantic salmon (*Salmo salar*) sometimes  
429 failed to locate the entrance to a pool of fish passage and appeared to be attracted by  
430 tailrace and turbine flows. Makiguchi et al. (2011) indicated that it was important for the  
431 successful migration that salmon find passage upstream rapidly and easily without  
432 wandering. Our results suggested that masu salmon search approach routes, reducing  
433 energy expended in approaching the fish passages compared to chum salmon.

434 Hinch and Bratty (2000) tracked adult sockeye salmon during upstream migration  
435 using EMG telemetry at Hell's Gate in the Fraser River and reported that swimming  
436 speed patterns alternated at different time scales between relatively fast and slow speeds.  
437 In our study, the search time was significantly longer for masu salmon than chum  
438 salmon, but the number of times over  $U_{\text{crit}}$  at each fish passages were significantly lower  
439 for masu salmon than chum salmon. Masu salmon could select slow and rapid  
440 swimming, depending on the river obstacle, and chum and masu salmon searched for  
441 routes of approach at speeds approximating the optimal swimming speed. Hinch and  
442 Rand (2000) reported that successful sockeye salmon swam at the Hell's Gate, and  
443 migrated according to an optimal swimming speed model range in whole of Fraser

444 River, which migrants minimized transport costs per unit distance. Our study also  
445 showed that successful chum and masu salmon used the energy-saving swimming at  
446 fish passages. In fact the fish passages were organized by some steps to secure  
447 recovering time in the Toyohira River, successful chum and masu salmon could almost  
448 use optimal swimming speed except over the  $U_{crit}$  swimming at each step.

449 Chum salmon, however, had longer holding times and shorter search times than  
450 masu salmon at each fish passage. Because the holding time occurred when each fish  
451 crossed to the next step in the fish passage, this finding indicated that the holding time  
452 occurred before or after the burst speed over  $U_{crit}$ . During upstream migration, chum  
453 salmon had a consistent holding behavior after swimming at high speed over  $U_{crit}$ ,  
454 indicating that they swim at speeds greater than  $U_{crit}$  prior to holding (Makiguchi et al.,  
455 2007). Chum salmon showed the more trial than masu salmon at each fish passage,  
456 indicating that chum salmon swim over  $U_{crit}$ .

457 Lee et al. (2003b) reported that routine oxygen consumption was restored within  
458 approximately 1 h in adult sockeye salmon in the EPOC test. In our study, if the holding  
459 time was defined as the recovery time after excess swimming, chum salmon spent  
460 approximately 15-20 minutes in recovery at each steps. These observations may show  
461 that chum salmon suffered an energy loss at the fish passages; extended periods of

462 swimming at fast speeds may deplete the limited energy reserves of fish and leave little  
463 energy for additional upriver progress (Rand and Hinch 1998). It is also possible that  
464 the sustained and repeated bouts of anaerobic activity (e.g., swimming at speeds over  
465  $U_{crit}$  for prolonged periods of time) could lead to mortality by causing lethal levels of  
466 blood lactate to accumulate, resulting in metabolic acidosis (Hinch and Bratty, 2000). It  
467 is possible that the loss of stored energy decreased the passing rate of chum salmon at  
468 the groundsill #5 (see Table 2). Moreover, swimming over  $U_{crit}$  caused the fish fatigue  
469 and stress. Webb (1971) reported that anaerobic metabolism occurred during swimming  
470 at 80% of  $U_{crit}$ . Chum salmon were considered more stressed than masu salmon because  
471 the  $U_{crit}$  of chum salmon were lower than that of masu salmon.

472 In contrast, masu salmon required only approximately 10 minutes of recovery time,  
473 suggesting two possibilities. First, masu salmon may be resilient to exceeding  $U_{crit}$ .  
474 Second, no more than 10 minutes was required to pass through the protection bed and  
475 groundsill #5 in the Toyohira River. For example, the total length of the fish passage of  
476 the protection bed and groundsill #5 was less than 50 m (8 pools; see Fig. 2), but the  
477 vertical-slot fishway at the Seton River and the Fraser River, British Columbia, is more  
478 than 100 m long (Hinch et al. 1996; Pon et al. 2009a). Nevertheless, more information is  
479 needed regarding energy cost and metabolism (e.g., EPOC) and spawning behavior in

480 masu and chum salmon.

481 Percentages of fish passing the fish passage of the protection bed #5 were 67 % and  
482 30 % in chum and masu salmon, respectively. It was unclear if the differences between  
483 successful and unsuccessful passages were due to swimming speed and approach time;  
484 however, it was likely that unsuccessful individuals gave up quickly at the fish passages  
485 or required a very long time to find passing routes. No significant difference was  
486 observed between 2010 and 2011 in migration behavior at each fish passage, except in  
487 the search time of chum salmon. The mean search time of masu salmon was lower in  
488 2011 than 2010, when the routes had been filled up by a sandbar during the typhoon.

489 In conclusion, comparisons between chum salmon and masu salmon of both  
490 swimming ability in the laboratory swim tunnel and upstream-migration behavior using  
491 EMG telemetry at fish passages in the Toyohira River provided strong evidence that  
492 masu salmon were better adapted than chum salmon to swimming for an extended  
493 periods of time in areas of high-water-velocity. Masu salmon were better able to migrate  
494 upstream to their spawning grounds in the upper reaches of the river than chum salmon.  
495 In this study, swimming performance did not vary significantly with body length or  
496 mass; however, these differences should be analyzed by further morphological and  
497 genetic studies.

498

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505

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## Figure Captions

Figure 1. A map of the study site showing the experimental site in the Chitose Salmon Aquarium near the Chitose River (A), the positions of the groundsills, and chum and masu salmon release point in the Toyohira River (B)

Figure 2. A diagram of the fish passage of the protection bed #5 (a) and fish passage of the groundsill #5 (b). The dotted line represents the water's edge for a typical water level in 2010. The number of circles in the fish passage is the pool number.

Figure 3. Average ( $\pm$  SE) standard metabolic rate (SMR), active metabolic rate (AMR), aerobic metabolic scope (AMS), and critical swimming speed ( $U_{crit}$ ) for chum salmon (white column) and masu salmon (black column). The left Y axis corresponds to oxygen consumption ( $MO_2$  in  $mgO_2 h^{-1} kg^{-1}$ ) related to SMR, AMR, and AMS. The right Y axis corresponds to swimming speed ( $BL s^{-1}$ ) for  $U_{crit}$ . The asterisk indicates significant differences by Student's t-test ( $P < 0.01$ ).

Figure 4. (A) The relationship between the rate of oxygen consumption ( $MO_2$ ) and swimming speed ( $BL s^{-1}$ ) for chum salmon (solid line) and masu salmon (dotted line). (B) The relationship between the  $MO_{2cor}$  and swimming speed ( $BL s^{-1}$ ) for chum salmon (solid line) and masu salmon (dotted line). The relationship is exponential; for chum salmon,  $MO_{2cor} = 224.39e^{0.409U}$  ( $P < 0.05$ ;  $r^2 = 0.952$ ); for

masu salmon,  $MO_{2cor} = 112.51e^{0.734U}$  ( $P < 0.05$ ;  $r^2 = 0.903$ ).

Figure 5. The relationships between net cost of transport ( $COT_{net}$ ) and swimming speed ( $BL s^{-1}$ ) for chum salmon (solid line) and masu salmon (dotted line). The  $COT_{net}$  varied for chum salmon according to the polynomial equation  $COT_{net} = 3.55 e^{-1} + 4.25 e^{-3} + 0.19 U^2 - U$  ( $r^2=0.911$ ) and for masu salmon according to the polynomial equation  $COT_{net} = 3.91 e^{-1} + 5.25 e^{-3} + 0.18 U^2 - U$  ( $r^2=0.920$ ).

Figure 6. The relationships between electromyogram (EMG) signals and swimming speed ( $BL s^{-1}$ ) for chum salmon (A: n=12) and masu salmon (B: n=9) in all fish in the field study in 2010 and 2011. The standard error of each EMG value is expressed.

Figure 7. The swimming path (red dotted line) of passing chum and masu salmon and water velocity at the fish passage for the protection bed and groundsill #5 in 2010 (A) and 2011(B).

Figure 8. Time-series plots of swimming speeds of representative chum salmon in 2010(A) and 2011(C), and masu salmon in 2010 (B) and 2011(D). The dotted line represents the mean critical swimming speed ( $U_{crit}$ ;  $1.42 BL^{-1} s$  or  $1.89 BL^{-1} s$ ) and optimal swimming speed ( $U_{opt}$ ;  $0.5 BL^{-1} s$  or  $1.0 BL^{-1} s$ ) in chum salmon and masu salmon, respectively. The number of circles in the fish passage is the pool number

in Fig. 2.

Figure 9. The holding time (A), searching time (B), number of trials (C), number of times over  $U_{crit}$  (D) and over  $U_{crit}$  time (E) at each fish passage step in the fish passage of the protection bed and groundsill #5 for chum salmon (white column) and masu salmon (black column) in 2010 and 2011. The asterisk indicates significant differences by Student's t-test ( $P < 0.01, 0.05$ ). The number of fish is provided in parentheses.

# Table. 1

Year	Species	Releasing point	Releasing date	Number of experimental fish (female)	Number of upstream migrating fish (female)		Number of passing fish (female)		Percentage of passing fish at the fishway	
					#5 protection bed	#5 ground sill	#5 protection bed	#5 ground sill	#5 protection bed	#5 ground sill
2010	chum	#5 protection bed	2010/10/6	6(3)	4(2)	4(2)	4(2)	2(2)	100	50
	masu		2010/9/26	6(3)	6(3)	6(3)	6(3)	100	100	
2011	chum	#5 protection bed	2011/10/4	6(5)	6(5)	4(3)	4(3)	1	67	25
	masu		2011/9/25	3(1)	3(1)	1	1	1	33	100

\* Data in parentheses are the number of female fish

# Table. 2

Year	Species	Swimming speed (BL/s)*		Approaching time (min)*	
		#5 Protection bed	#5 ground sill	#5 Protection bed	#5 ground sill
2010	chum	0.87 ± 7.3 (4)	1.33 (2)	38.2 ± 28.0 (4)	45.1 (2)
	masu	1.13 ± 6.6 (6)	1.28 ± 6.5 (6)	25.3 ± 19.2 (6)	58.9 ± 37.1 (6)
2011	chum	1.14 ± 2.6 (4)	1.34 (1)	25.3 ± 16.5 (4)	16.5 (1)
	masu	1.38 ± 4.3 (3)	1.24 (1)	37.2 ± 4.3 (3)	16.0 (1)

\* Values represent the mean ± standard error

\*\* Data in parentheses are the number of female

Fig. 1

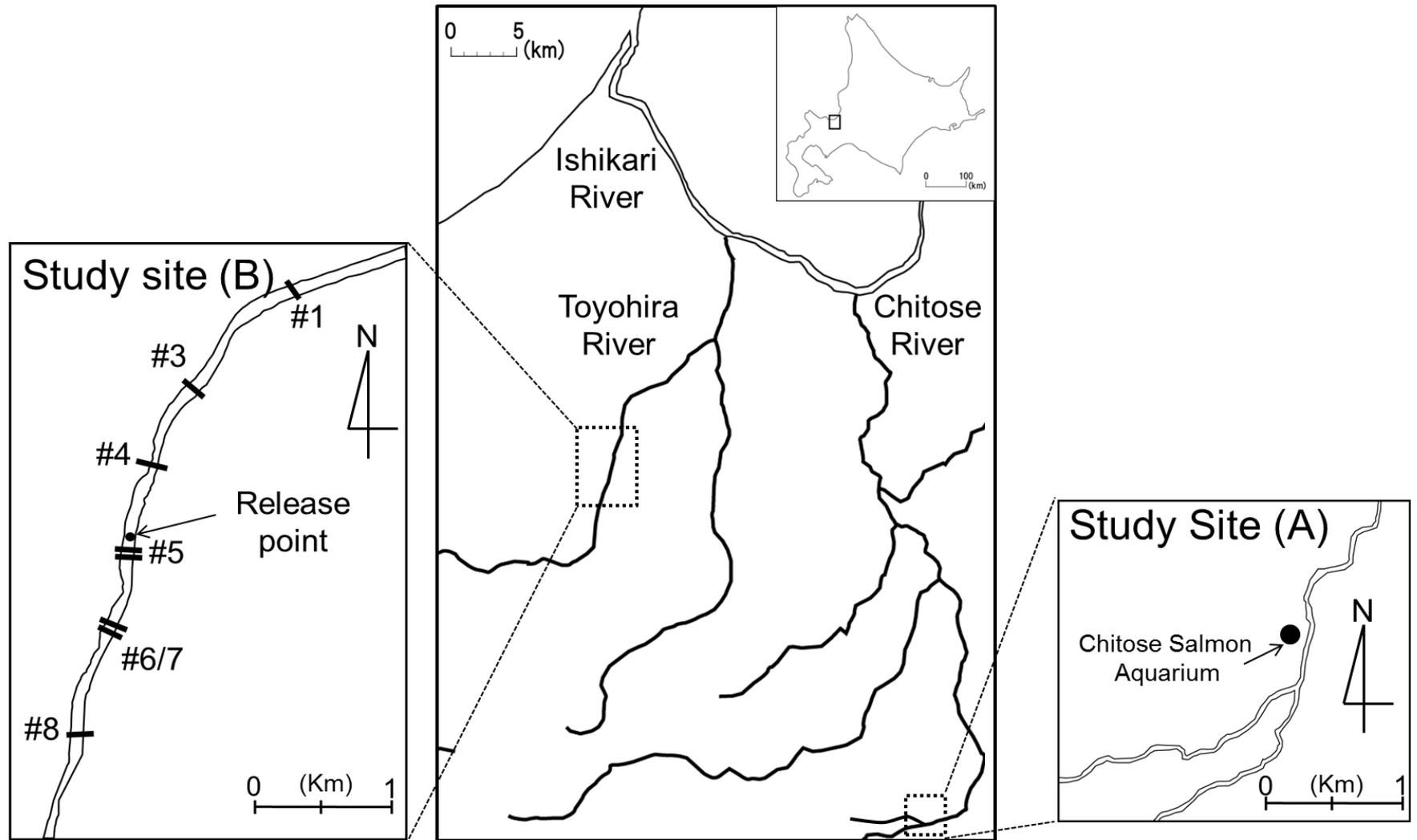


Fig. 2

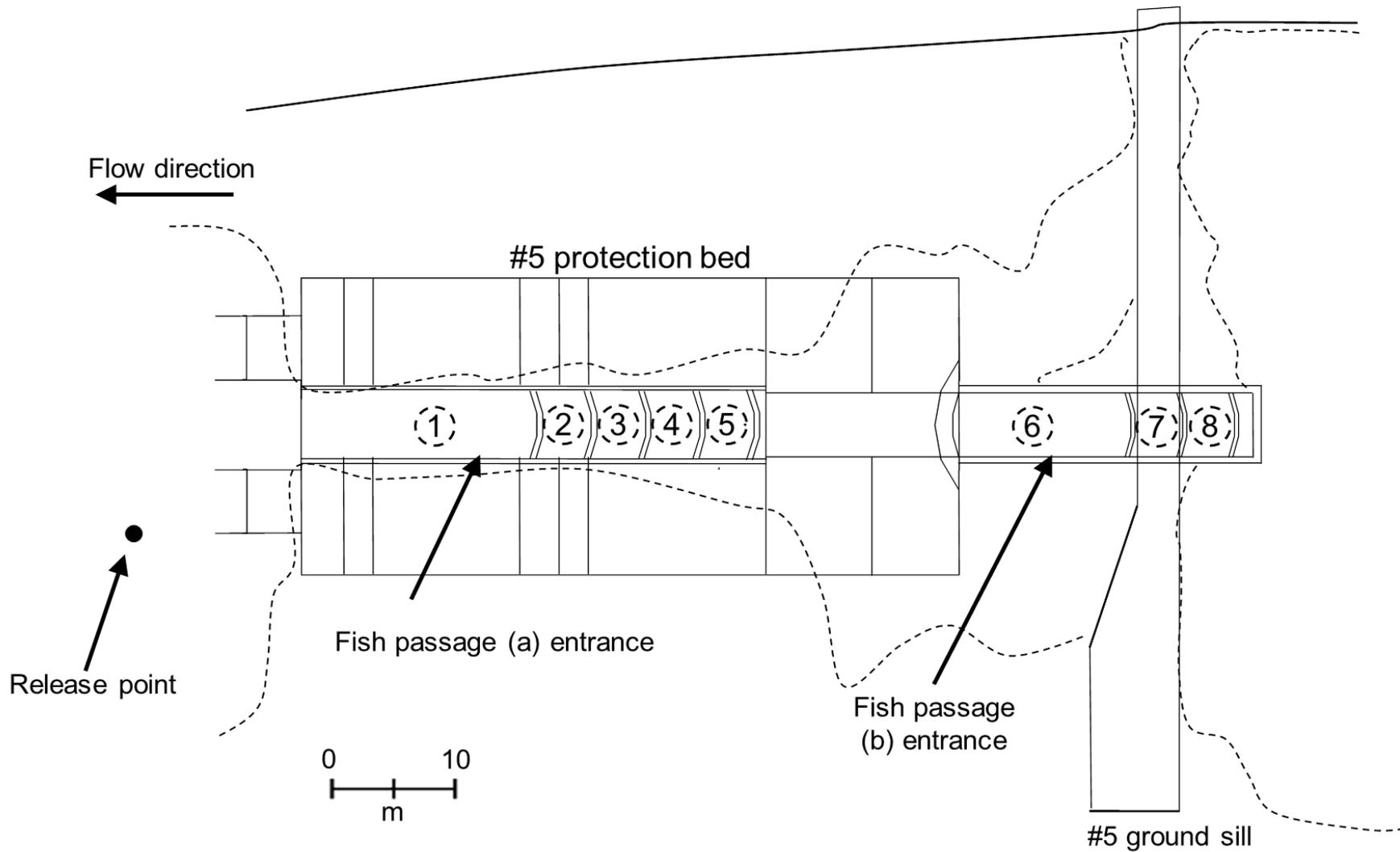


Fig. 3

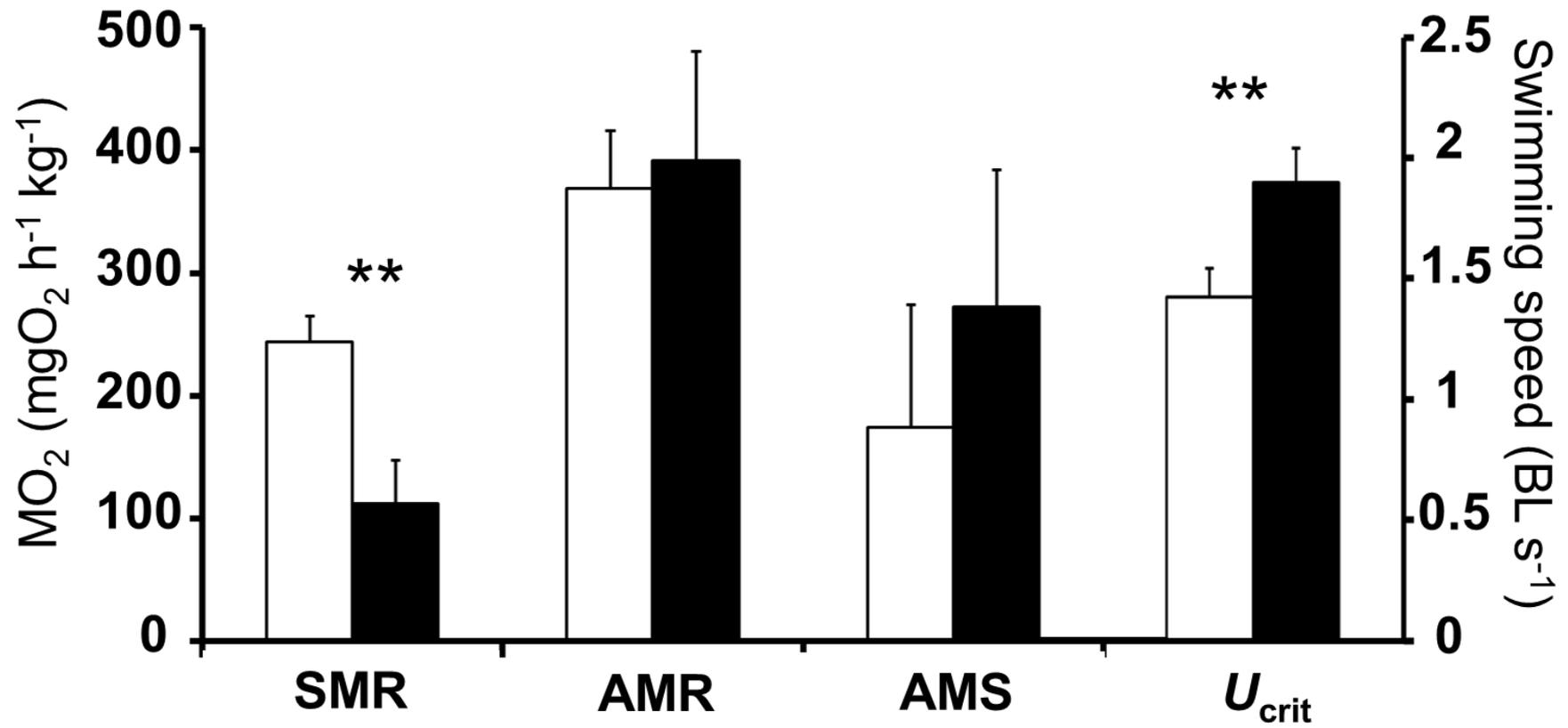


Fig. 4

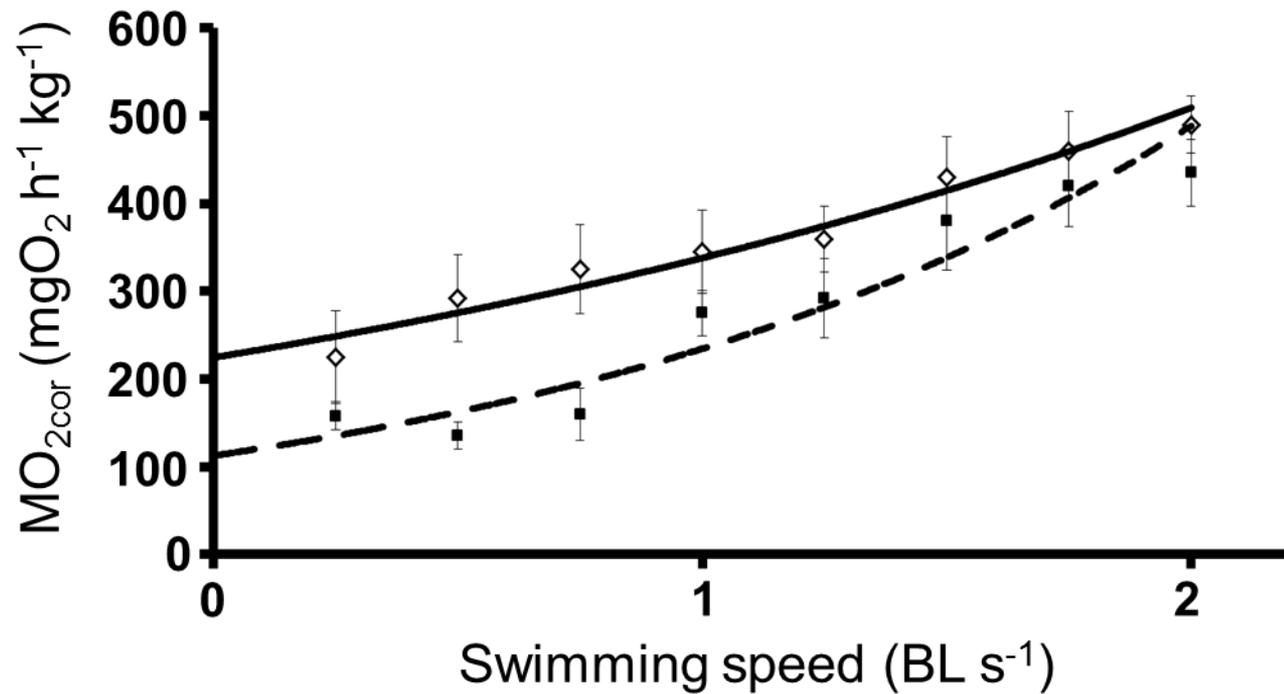
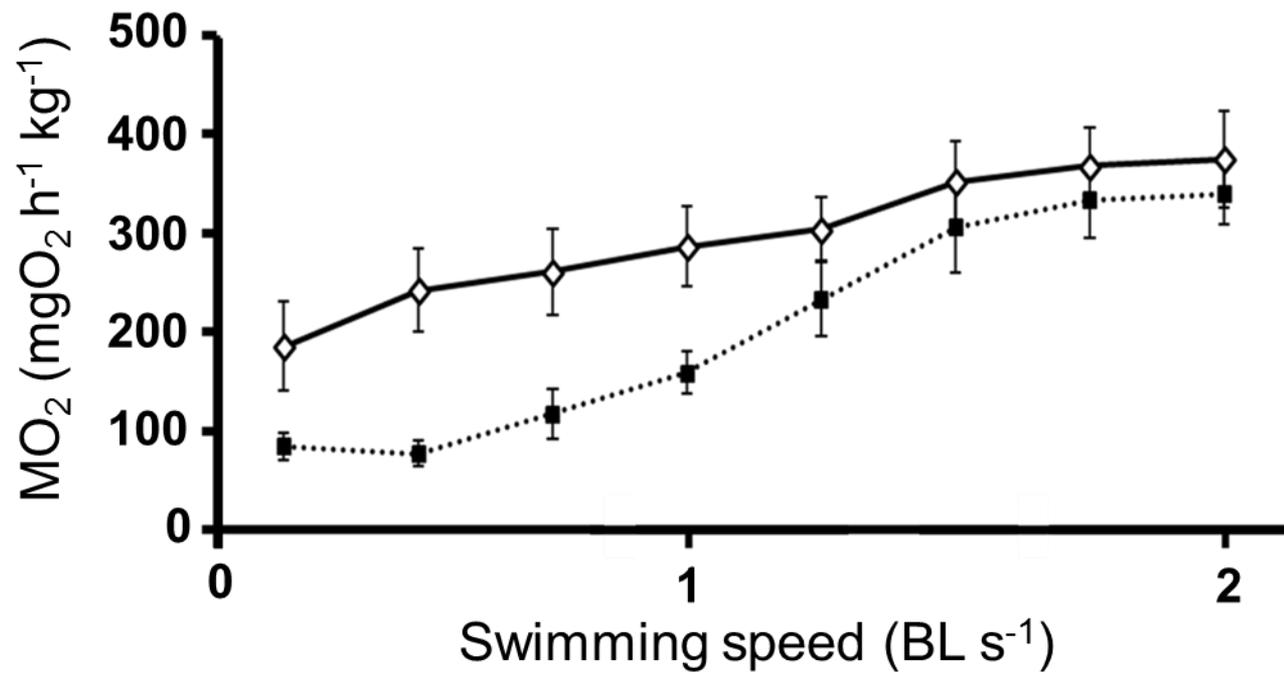


Fig. 5

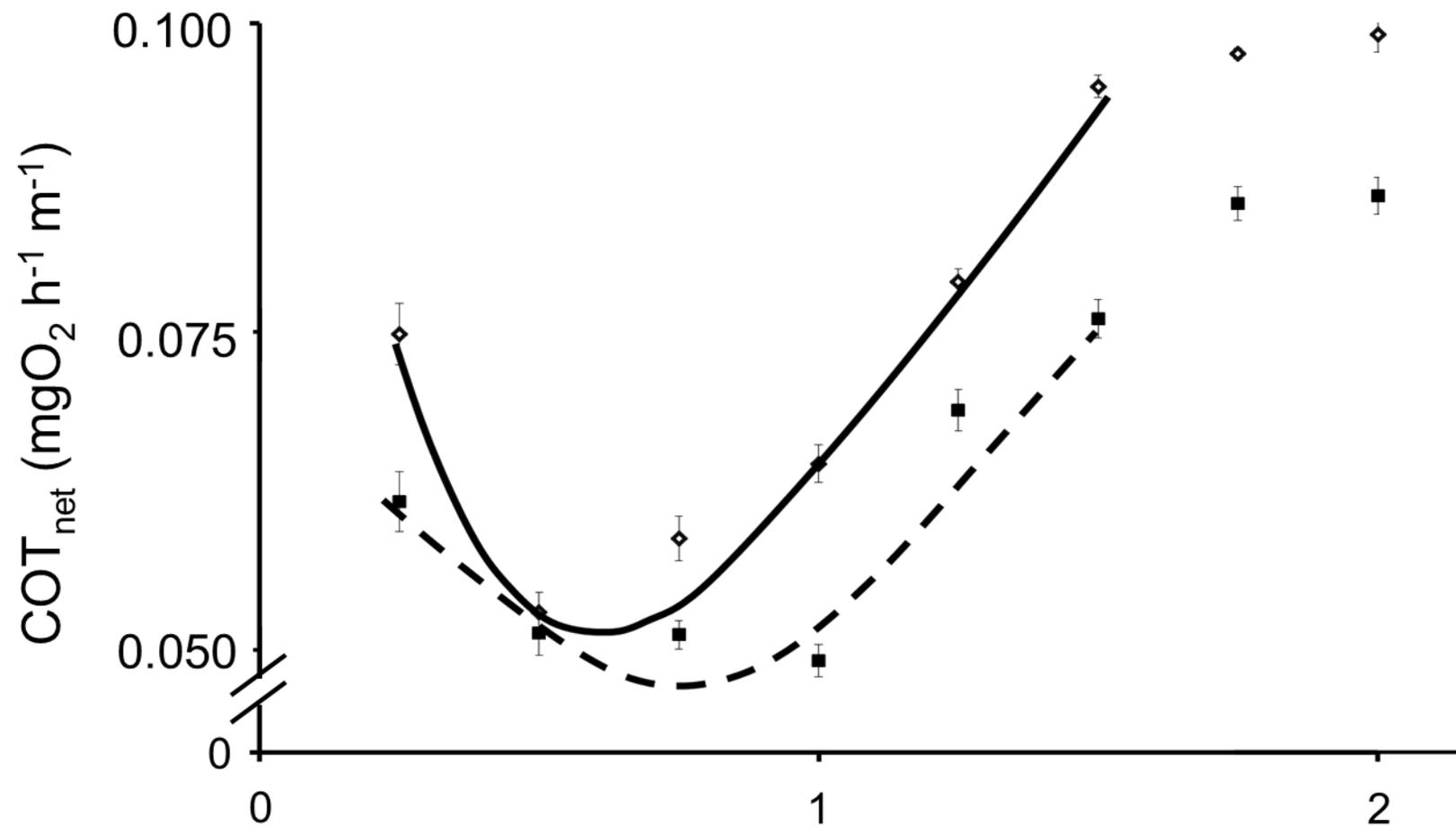


Fig. 6

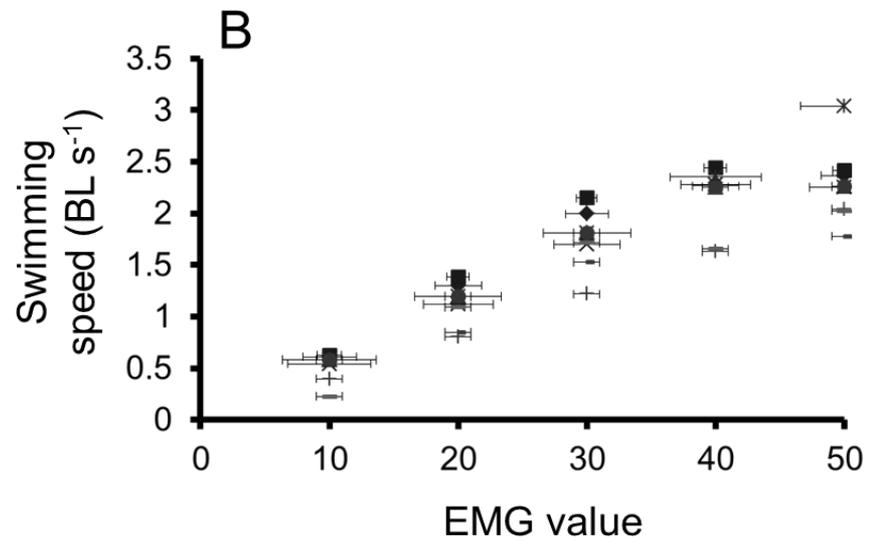
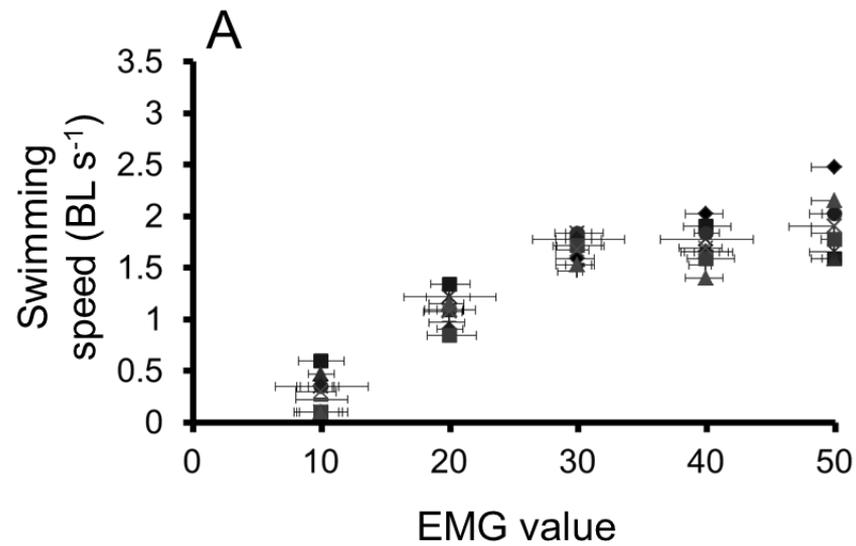


Fig.7

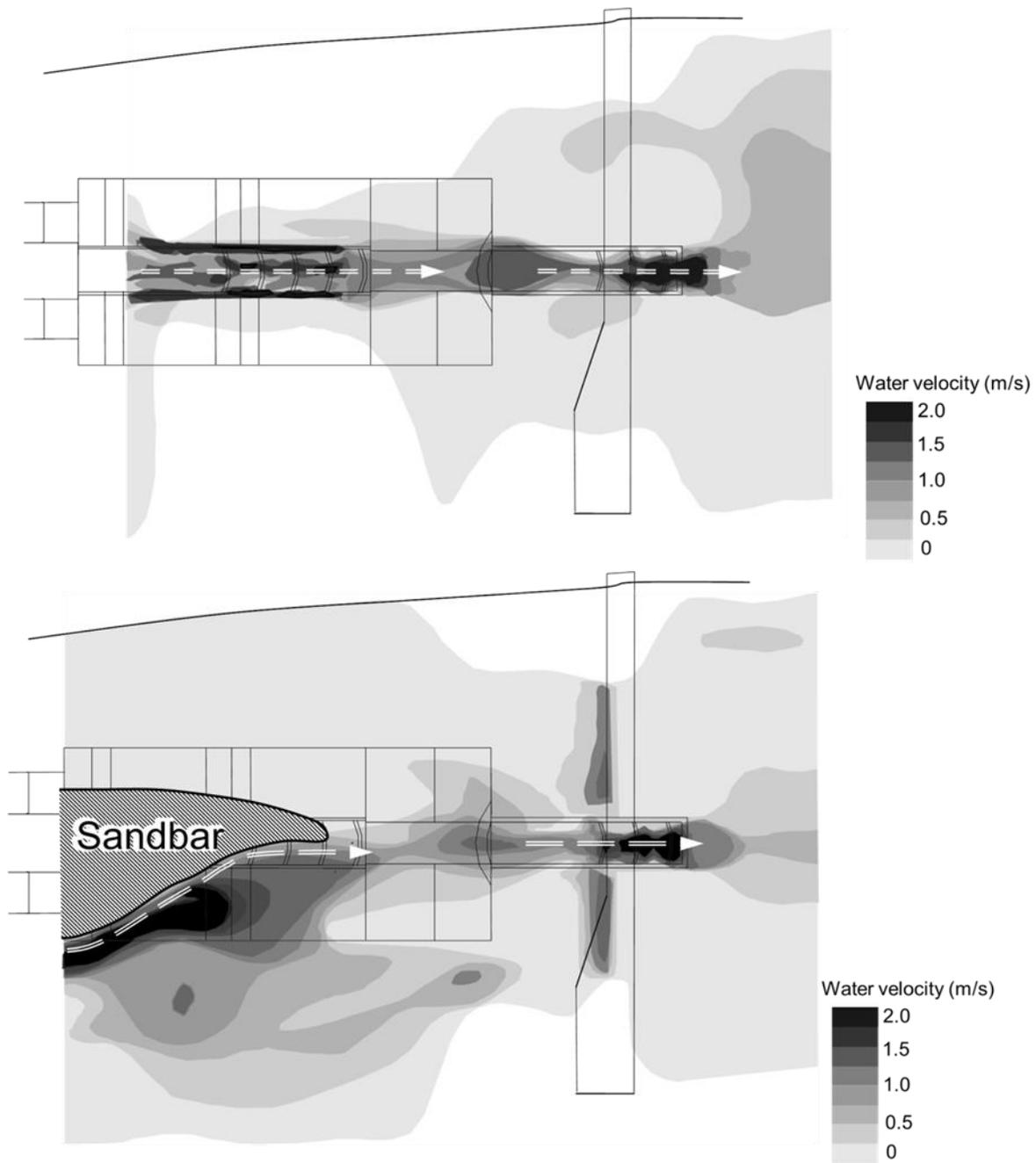


Fig.8

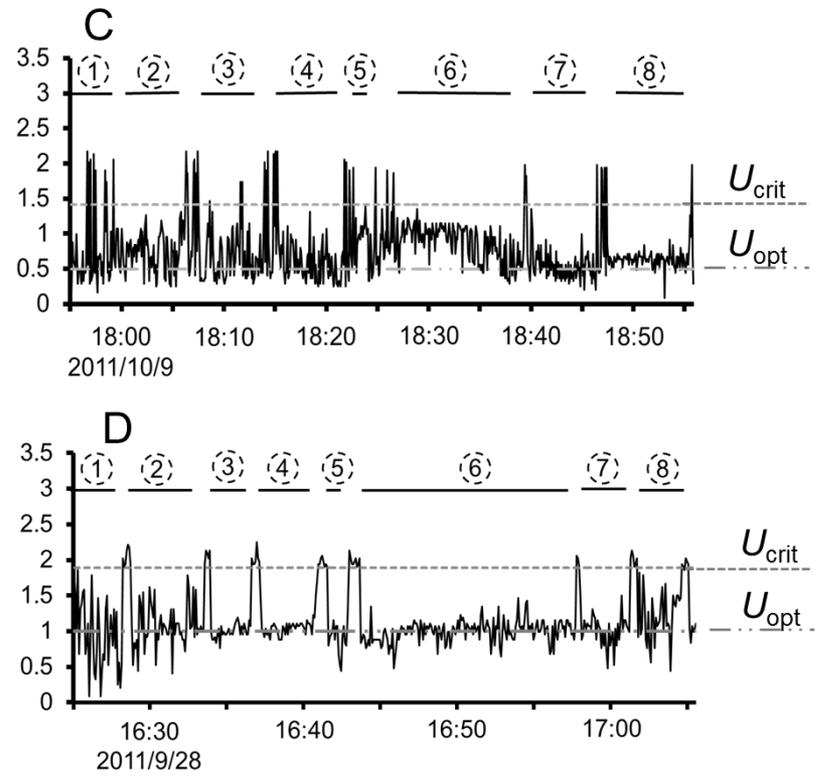
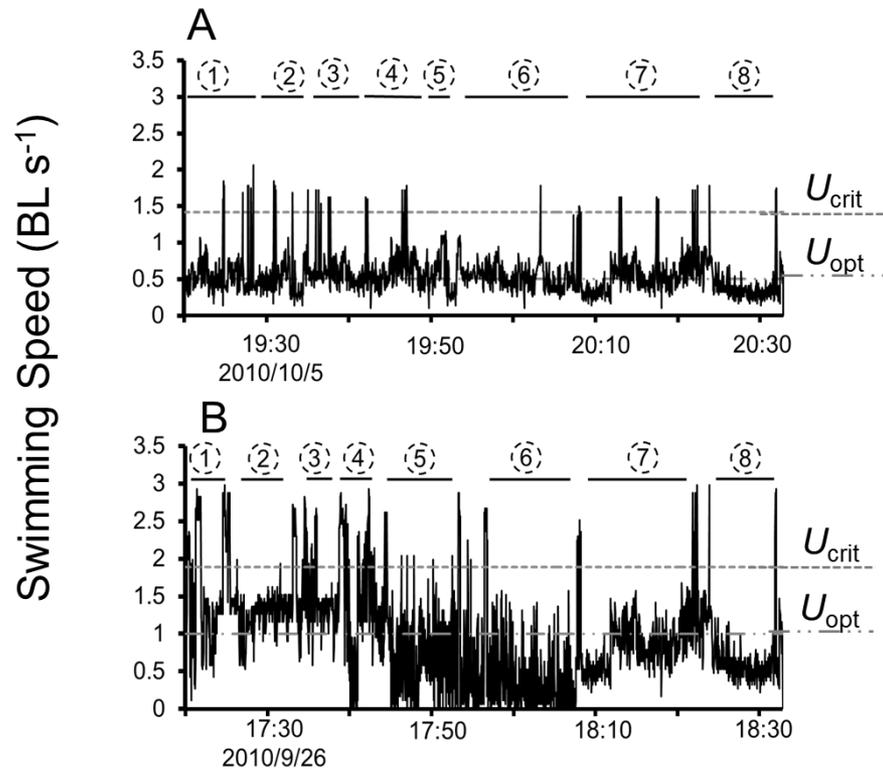


Fig.9

