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| Title | Interaction between viral RNA silencing suppressors and host factors in plant immunity |
| Author(s) | Nakahara, Kenji S; Masuta, Chikara |
| Citation | Current Opinion in Plant Biology, 20, 88-95 https://doi.org/10.1016/j.pbi.2014.05.004 |
| Issue Date | 2014-04 |
| Doc URL | http://hdl.handle.net/2115/56348 |
| Type | article (author version) |
| File Information | text.pdf |



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1 **Interaction between viral RNA silencing suppressors and host factors**
2 **in plant immunity**

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4

5 Short title

6 Zigzag model of the arms race between plants and viruses

7

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15 To elucidate events in the molecular arms race between the host and pathogen in
16 evaluating plant immunity, a zigzag model is useful for uncovering aspects common to
17 different host–pathogen interactions. By analogy of the steps in virus–host interactions
18 with the steps in the standard zigzag model outlined in recent papers, we may regard
19 RNA silencing as pattern-triggered immunity (PTI) against viruses, RNA silencing
20 suppressors (RSSs) as effectors to overcome host RNA silencing and resistance gene
21 (R-gene)-mediated defense as effector-triggered immunity (ETI) recognizing RSSs as
22 avirulence proteins. However, because the standard zigzag model does not fully apply
23 to some unique aspects in the interactions between a plant host and virus, we here
24 defined a model especially designed for viruses. Although we simplified the
25 phenomena involved in the virus–host interactions in the model, certain specific
26 interactive steps can be explained by integrating additional host factors into the model.
27 These host factors are thought to play an important role in maintaining the efficacy of

28 the various steps in the main pathway of defense against viruses in this model for
29 virus–plant interactions. For example, we propose candidates that may interact with
30 viral RSSs to induce the resistance response.

31

32 **Introduction**

33 Plants use two major strategies to defend against pathogens; the resistance (R)-protein-
34 mediated strategy works effectively against diverse pathogens, including fungi,
35 bacteria and viruses, while the RNA silencing strategy is a major antiviral mechanism
36 [1-3]. Most viruses encode RNA silencing suppressors (RSSs) to interfere with RNA
37 silencing [4,5]. As a consequence of the particular strategy used in the battle between
38 virus and host, infected plants develop various symptoms [6].

39 According to the zigzag model (Figure 1A) to explain the two-branched immune
40 system of plants in response to a plant pathogen [7,8], R-protein-mediated resistance
41 developed to control a pathogen that had overcome basal resistance or innate immunity,
42 the first line of preformed, inducible defenses against the major groups of pathogens.
43 Basal resistance starts with the detection of pathogen-associated molecular patterns
44 (PAMPs), such as bacterial flagellin and fungal chitin, by the host's pattern-
45 recognition receptors (PRRs). In the zigzag model, it is defined as pattern-triggered
46 immunity (PTI). PRRs for bacteria and fungi have been identified, and these are
47 mostly receptor-like kinases, which were once classified in the R-protein family. For
48 example, the host transmembrane FLS2 protein recognizes the flg22 peptide from
49 *Pseudomonas* flagellin [9]. To circumvent basal defense (PTI), pathogens produce
50 effector proteins. When pathogen effectors overcame PTI, plants next evolutionally
51 developed R-proteins to activate effector-triggered immunity (ETI), by which host
52 proteins recognize the effectors as avirulence (Avr) factors, which then induces an
53 amplified version of resistance comparable to PTI. R-protein-mediated resistance is
54 often accompanied by a hypersensitive response (HR), which is observed as local

55 necrotic lesions. Therefore, we regard the HR-associated resistance response as a
56 consequence of R-protein-mediated resistance, unless the HR pathway is independent
57 of this resistance pathway.

58 There are not many comparative studies between antiviral and antibacterial/antifungal
59 immune responses. Mandadi and Scholthof [10] have once reviewed analogous viral
60 and nonviral immune concepts, but it was found not to be so simple to define viral PTI,
61 ETI and ETS finding concrete examples; they did not actually integrate RNA silencing
62 into their model. On the other hand, because RNA silencing against viruses is
63 reminiscent of basal resistance against fungi and bacteria, by regarding RNA silencing
64 as a type of PTI, viruses can be also integrated in a modified zigzag model; here, viral
65 double-stranded RNA (dsRNA) corresponds to a PAMP [11,12]. However, there are
66 certainly differences between viruses and other pathogens in their molecular
67 interactions with plant hosts. In devising a model for viruses, we here integrate
68 additional host factors to explain certain virus–host interactions and highlight aspects
69 of the anti-viral defense that differ from the standard zigzag model for fungi and
70 bacteria. In addition, we focus on the molecular cross-talk between RNA silencing and
71 R-protein-mediated resistance. Figure 1C shows our entire scheme to explain the host–
72 virus interactions in our model described here.

73

74 **Comparison of the viral version of PTI and ETI with those in the standard zigzag** 75 **model proposed for bacterial or fungal pathogens**

76 To encompass all the phenomena involved in the complicated arms race between a
77 particular host and pathogen, the zigzag model is quite useful. The concept of the
78 model may be applied also to host–virus interactions, paying attention to some
79 analogous phenomena in PTI and ETI. For example, one review totally fit a model for
80 host defense against viruses to the standard zigzag model, regarding viral dsRNAs as
81 PAMPs, host RNA silencing as PTI and counterattack by viral RSSs as ETS and so on

82 [12]. Consistent with this review, based on extreme resistance observed for tobacco
83 plants expressing the P19 protein, an RSS of tombusvirus, Sansregret et al. [13•]
84 showed that the general scheme of host induction and viral suppression of RNA
85 silencing could be adapted to the classical frame of PTI and ETI. However, in another
86 review, although some degree of analogy of PTI and ETI between viruses and other
87 pathogens was drawn, the author indicated a clear difference and the uniqueness in
88 virus–host interactions [11,14]. Fungal and bacterial pathogens have various Avr
89 proteins in their arsenal when ETI is activated; one can be replaced by other redundant
90 effectors. However, viruses have a limited number of proteins that are all important for
91 their survival. When one of the viral proteins is recognized by a host R protein, viruses
92 cannot easily replace it with another; rather they modify it by changing the amino acid
93 sequence while retaining the protein structure necessary for the function. Whether the
94 host R protein still recognizes the modified version depends on the LRR domain in the
95 R protein with a varying degree of affinity. Alternatively, according to the bait and
96 switch model, a host co-factor that binds to an R protein may affect the specificity of
97 the host recognition for the viral Avr protein [10]. Therefore, for virus–host
98 interactions, we cannot draw an actual zigzag model in which multiple rounds of ETS
99 followed by ETI are repeated with different combinations of host R protein and viral
100 Avr. As such, the molecular virus–host interaction must be explained by a limited
101 number of players.

102 Although the idea that RNA silencing and its suppression by viral RSSs can be
103 rationalized within the PTI–ETI framework is attractive, we need more experimental
104 evidence because there are actually viral proteins that are not RSSs but are recognized
105 by R-proteins. Instead of expanding on the standard zigzag model to fit virus–host
106 interactions, we can create a model that allows a quick overview of the molecular
107 phenomena in the virus–host arms race, the strategies unique to viruses and the steps
108 that are analogous to the standard zigzag model. As we will discuss, we consider that

109 the host response branches from the general course of antiviral response instead of
110 repeating the ETI; the strategies at these branches vary depending on the specific host
111 and virus and the particular point of the interaction.

112

113 **RNA silencing and viral RNA silencing suppressors**

114 RNA silencing functions as an antiviral mechanism in plants [2,4] (Figure 1, B-D). As
115 a counterdefense, viruses developed RNA silencing suppressor (RSS) proteins, which
116 function to inhibit RNA silencing through diverse modes of action. The main
117 mechanism for the RSSs appears to be binding with long dsRNA or siRNA duplexes,
118 subsequently inhibiting siRNA biogenesis or RISC formation [15]. Another
119 mechanism is binding to the components in the silencing pathway such as AGO1.
120 Several RSSs (TCV CP, CMV 2b, TBSV P19, PVX P25, Polerovirus P0 and P1 of
121 *Sweet potato mild mottle virus*) have been reported to repress or interfere with the
122 function of AGO1 [16-21]. Diverse RSSs appear to reduce AGO1 in infected plant
123 tissues [22]. However, although viral RSSs interfere with host RNA silencing and are
124 mostly effective, hosts have some mechanisms to activate another or secondary
125 defense. For example, *Arabidopsis thaliana* encodes 10 AGO proteins. AGO1
126 performs not only antiviral RNA silencing, but also silences endogenous genes by
127 cleaving viral RNA and endogenous target mRNA. Recent screening of other AGO
128 proteins in antiviral defense using knockout mutants revealed that AGO2 is also
129 induced and functions in the defense against TCV and CMV when the viral RSSs
130 targeted AGO1 [23]. This study suggested that AGO2 is involved in antiviral RNA
131 silencing, which is induced via infection by viruses that encode RSSs targeting AGO1
132 or via miRNA-mediated RNA silencing because AGO2 expression is repressed by
133 AGO1 via miR403. In addition, miRNA-mediated RNA silencing appears to control
134 other RNA silencing components, including DCLs, DRB4, RDR6, and AGOs [24],
135 implying their involvement in secondary antiviral RNA silencing. Interestingly, AGO2

136 is also involved in the induction and secretion of antimicrobial pathogenesis-related
137 protein 1, in addition to antiviral RNA silencing [25]. Since DRB4 is involved not only
138 in RNA silencing but also in R-gene-mediated resistance, if many R-genes are
139 controlled by miRNAs, seemingly, when a virus suppresses RNA silencing, diverse
140 secondary defense systems could be activated.

141

142 **Viral RNA silencing suppressors as Avr determinants**

143 Direct and indirect interactions occur between R-gene-mediated resistance and RSSs.
144 For example, a link between ETI-like phase and RNA silencing has been suggested for
145 *Cucumber mosaic virus* (CMV), *Tobacco etch virus* (TEV), and *Potato virus Y* (PVY).
146 The RSS of CMV, the 2b protein (CMV 2b), inhibits the salicylic acid (SA)-mediated
147 defense response [26]. Some examples of molecular interactions have been reported
148 between a viral RSS and an R-protein [27-29]. Well-established examples of host
149 recognition of an RSS are the coat protein (CP) of *Turnip crinkle virus* (TCV) and the
150 replicase of *Tobacco mosaic virus* (TMV). The TCV CP serves as an RSS, but also as
151 the TCV Avr protein that induces R-gene (the *HRT* gene)-mediated resistance in
152 *Arabidopsis* ecotype Di-17 [30,31]. TMV replicase has RSS activity [32], and the p50
153 helicase domain in the replicase can induce an HR, serving as the Avr determinant in
154 tobacco carrying the N-gene, which is the well-known R-gene working for ETI against
155 TMV.

156

157 Using an agroinfiltration assay to study the ability of viral RSSs to elicit HR-like
158 necrosis, Angel and coworkers [33] also found that the P19 protein (P19) of *Tomato*
159 *bushy stunt virus* (TBSV) was recognized by a putative R-protein in *Nicotiana* species,
160 which then induced an HR-like necrosis. Using a similar agroinfiltration assay for
161 *Capsicum annuum*, Ronde and colleagues [34] recently showed that the RSS of
162 *Tomato spotted wilt virus* (TSWV), the NSs protein, function as the Avr determinant in

163 *C. annuum* carrying the R-gene (*Tsw*) against TSWV. They discussed their
164 pathosystem in light of the putative interplay between RNA silencing and the R-gene-
165 mediated resistance.

166

167 Consistent with the reports by Angel and colleagues [33,35], P19 was recently
168 demonstrated to function as the Avr protein that induced extreme resistance (ER),
169 characterized by strong SA-dependent resistance without visible HR lesions, in
170 *Nicotiana tabacum* [13•]. In addition, the binding of P19 to small RNA (sRNA) was
171 necessary to induce ER, suggesting that RNA silencing and an ETI-like phase are
172 linked to each other. Similarly, the 2b protein (TAV 2b) of *Tomato aspermy virus*
173 (TAV), an RSS of TAV, was found to induce HR on the leaves of *N. tabacum* and
174 *Nicotiana benthamiana* infected with the TMV vector expressing TAV 2b [36]. In this
175 case, Lys 21 and Arg 28, both located within the N-terminal region of TAV 2b, were
176 critical for the HR induction. These positively charged residues were later shown to be
177 involved in sRNA binding and thus the RSS activity of TAV 2b [37]. These studies
178 thus suggest the existence of an R-protein that recognizes TAV 2b with RSS activity as
179 an Avr protein in *Nicotiana* species. However, when its expression was driven by its
180 own parent virus or when 2b of CMV, which is closely related to TAV, was expressed
181 by the TMV vector, no necrotic lesions were observed [36]. These contradictory
182 observations imply additional involvement of other viral or host factors in the HR-
183 associated resistance responses in specific combinations of RSS and host.

184

185 In further support of 2b serving as an Avr protein, we recently demonstrated that CMV
186 2b induced weak necrosis and SA and hydrogen peroxide accumulation in *Arabidopsis*
187 *thaliana* Col-0 ecotype (hereafter, *Arabidopsis*), suggesting that the plant has an R-
188 protein that recognizes CMV 2b as an Avr protein. In fact, CMV Y strain (CMV-Y)
189 causes mosaics with fine necrotic spots in the upper leaves, but not typical HR-like

190 necrosis, although we observed slightly stronger necrosis on Col-0 infected with CMV-
191 HL (a lily strain). From the results of an in situ molecular interaction study, the
192 necrosis on *Arabidopsis* seemed to have been driven by a specific interaction between
193 CMV 2b and the *Arabidopsis* catalase-3 (CAT3) [38••,39], a key enzyme in cellular
194 scavenging of hydrogen peroxide and induction of HR. If this type of HR-like
195 induction is indeed part of the host ETI-like phase, then an *Arabidopsis* R-protein may
196 recognize CMV 2b as a complex with a host factor(s) that includes CAT3. The affinity
197 between CMV 2b and CAT3 seems to be important for determining the degree of
198 necrosis because the observed necrosis depends on the CMV strain and the
199 *Arabidopsis* ecotype.

200

201 **miRNA-mediated regulation of the R-genes against viruses**

202 Recent studies demonstrated that plant microRNAs (miRNAs) target and negatively
203 regulate R-gene expression via RNA silencing [29-33]. This miRNA-mediated R-gene
204 regulation was actually inhibited upon viral infection, suggesting that RNA silencing is
205 linked to R-protein-mediated resistance. Downregulation of R-gene expression by
206 RNA silencing is perhaps because plants prevent unwanted autoimmunity by
207 overexpressing the R-gene in the absence of viruses. Although RNA silencing
208 primarily targets viruses in the model, we also consider that RNA silencing can also
209 affect the subsequent host defense governed by R-proteins as discussed here.

210

211 Recent evidence has indicated that plant small RNAs (sRNAs) (siRNAs and miRNAs)
212 are involved in the basal resistance against pathogens. For bacteria, the bacterial
213 peptide flag22 actually induces miRNA393, which targets auxin receptors, which in
214 turn mediate the signaling that activates the SA resistance pathway [40]. In fact, an
215 RNA-induced silencing complex (RISC) containing Argonaute 2 (AGO2) programmed
216 with miR393 plays a critical role in ETI against *Pseudomonas syringae* [25]. The

217 suppression of auxin signaling by miR393 ultimately activates the SA-mediated
218 defense response, which is also one of the main mechanisms in the antiviral PTI-like
219 phase; interference with the miR393-mediated regulation of auxin receptors by viral
220 suppressors must impair the PTI- and ETI-like phases against viruses.

221 Some sRNA can target R-gene transcripts directly. With the recent discovery of many
222 new miRNAs through deep-sequencing studies (e.g., RNASeq), bioinformatics
223 analyses of the sRNA libraries obtained have identified novel miRNAs and putative
224 functions that potentially target host R-genes. For example, He and colleagues [41]
225 found an miRNA in *Brassica rapa* (named bra-miR1885) that was induced by *Turnip*
226 *mosaic virus* (TuMV) infection and potentially targeted the mRNAs of R-genes
227 encoding TIR-NB-LRR class proteins; unfortunately, whether the R-gene targets are
228 involved in the host resistance to TuMV was not determined. As another example,
229 after searching tobacco sRNA libraries for N-gene-related sRNAs, Li and coworkers
230 [42•] found that two newly discovered plant miRNAs (nta-miR6019 and nta-miR6020)
231 could guide cleavage of the N-gene transcript in tobacco, conferring resistance to TMV.
232 In a search for phased, *trans*-acting siRNAs (phasiRNAs) isolated from *Medicago* after
233 deep sequencing, Zhai and colleagues [43] revealed that the majority of phasiRNAs
234 were produced from R-genes, suggesting a close association between RNA silencing
235 and the R-gene-mediated resistance response. Although the phasiRNAs targets have
236 not been identified, we should consider generation of phasiRNAs when trying to
237 understand R-gene-mediated immunity.

238

239 **Host factor(s) that regulate the interactions between RNA silencing and R-gene-** 240 **mediated resistance in a model for viruses**

241 In our model for viruses (Figure 1B-D), viruses first produce dsRNAs in infected
242 plants. In turn, plants activate RNA silencing as a PTI-like phase to target the viral
243 RNAs. Then, the viruses produce RSSs as viral effectors to suppress RNA silencing. In

244 the subsequent ETI-like phase, to generate an effective defense, the plants should
245 activate an R-protein that specifically recognizes the viral RSSs as the Avr protein,
246 thus leading to the HR and SA-dependent resistance. Although we have simplified
247 each phase to give a general overview, depending on the particular host–virus
248 combination, additional host factors should be integrated into the model for
249 understanding certain specific stages in host–virus interactions. For example, the RNA
250 silencing component DRB4 is potentially one such mediator of PTI-like phase. DRB4
251 is a dsRNA-binding protein that associates with a dicer-like protein 4 (DCL4) to
252 produce virus-specific siRNA [44,45]. A recent study revealed that the *Arabidopsis* R-
253 protein requires DRB4 for the subsequent HRT (an R protein to TCV)-mediated HR
254 against the RSS or CP of TCV [46••]. Notably, DRB4 interacts with both HRT and the
255 TCV CP and stabilizes HRT, but inhibits the interaction between HRT and the TCV
256 CP. Although we do not yet know how DRB4 contributes to the HR, we do know that
257 DRB4 is also involved in R-gene-mediated resistance against bacteria, implying that it
258 is involved in ETI. Another candidate mediator is the plant calmodulin-like protein,
259 rgs-CaM, which we describe in detail next.

260

261 **Possible branches in the model between plants and viruses**

262 RSSs that can suppress SA-related defense responses include CMV 2b, CaMV P6, and
263 TCV CP [14•,26,47-49]. Since viral RSSs participate in an arms race between viruses
264 and plants, and since RNA silencing is a PTI-like phase against diverse viruses, RSSs
265 reduce host defense, shifting the phase to effector-triggered susceptibility (ETS)-like in
266 the model. On the other hand, because some RSSs also behave as an SA-mediated
267 immunity suppressor (SIS), those RSSs can be considered to create another ETS-like
268 phase, implying additional branches in the model. All of these RSSs also function as
269 avirulence proteins, which can elicit the HR in plants that possess the corresponding R-
270 gene. In these cases, the HR is closely associated with SA-related defense responses.

271 Integrating all these data, we propose a model in which viral SIS has been developed to
272 repress or evade the HR-mediated resistance against viruses (Figure 1, B and D). If a
273 virus has RSS or other viral proteins with SIS ability, SIS might be able to mask the R-
274 protein-mediated defense responses, resulting in a phenotype similar to that seen in a
275 susceptible plant. Therefore, we believe that many potential resistant interactions
276 between viruses and plants are still hidden. For example, the exacerbation of the HR
277 and symptoms that accompanies necrosis in plants infected with virus vectors that
278 express heterologous viral RSSs or other proteins [36,50] might be explained by the
279 induction of defense responses to the expressed viral proteins, which are not induced
280 by the parental viruses because of the viral SISs.

281

282 In addition to R-gene-mediated resistance, recent studies have suggested that plants
283 have additional counter-counterdefense systems against viral RSSs. We discovered an
284 antiviral counter-counterdefense that involves rgs-CaM in tobacco [51••,52]. When
285 rgs-CaM was initially found, rgs-CaM was reported to interact with the TEV RSS, HC-
286 Pro and act as an endogenous RSS [53,54]. Later, we found another function for rgs-
287 CaM in antiviral defense. Our previous study suggested that rgs-CaM binds not only
288 HC-Pro, but also other RSSs, including CMV and TAV 2b, via its affinity to the
289 negatively charged dsRNA-binding domains of RSSs. Then, rgs-CaM presumably
290 reinforces antiviral RNA silencing by directing the degradation of its associated RSSs
291 via autophagy (Figure 1, C and D). Calmodulin-like proteins are one of the three
292 protein families of EF-hand Ca^{2+} sensors in plants and are thought to coordinate the
293 functions of several endogenous proteins by binding to the targets as a hub protein in
294 response to the Ca^{2+} stimulus [55]. Since they are known to function in countering
295 abiotic and biotic stresses, we suspect that rgs-CaM functions in antiviral defense [41].
296

297 Recent studies on the interaction of the TCV CP with the *Arabidopsis* NAC
298 transcription factor (TIP) imply that an alternative branch should be included in the
299 model between viruses and plants. TCV CP is a viral RSS [56,57] and the avirulence
300 protein recognized by the R-gene, HRT in *Arabidopsis* Di-17 [31], suggesting that TIP
301 is involved in the RSS activity and HR induction by the TCV CP. However, TIP is not
302 required for either the RSS activity or HR induction. Instead, TIP was recently shown
303 to be involved in SA-mediated basal immunity in *Arabidopsis* [58]. TCV CP
304 suppresses the SA-mediated basal immunity via its binding to TIP [14•]. These studies
305 indicate that TCV CP suppresses both the RNA silencing and SA-mediated basal
306 immunity to facilitate the initial infection of *Arabidopsis* with TCV (Figure 1D). In the
307 canonical zigzag model for the interaction between other microorganisms and plants,
308 PTI should be an induced defense, which partly shares defense responses with ETI
309 after the perception of PAMPs by receptor-like kinases. Therefore, the TIP-associated
310 PTI seems to be integrated in the viral model as another interaction between virus and
311 host. Here, we draw those new interactions as branches in the viral model. More
312 interestingly, the basal resistance involving TIP also affects CMV accumulation,
313 indicating that the basal resistance is not specific to TCV [58]. For many other viruses,
314 similar sets of defense-related genes have been reported to be induced during viral
315 infection of a susceptible plant [59,60], suggesting that the host resistance response is
316 somehow suppressed in those plants, although it is partly activated. As such
317 interactions are uncovered, we can better organize the branches from the main course
318 of defense involving RNA silencing in the model for host–virus interactions.

319

320 **Acknowledgements**

321 This work was supported in part by Grants-in-Aid for Scientific Research from the
322 Ministry of Education, Culture, Sports, Science and Technology of Japan and the
323 Sumitomo Foundation.

324

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532

533

534 **Figure legend**

535 **Figure 1**

536 The interactions between viral RSS (SIS) and host factors involved in plant immunity.

537 (A) Model of the arms race between pathogens and plants using the standard zigzag

538 model. (B) Model of molecular virus–host interactions involving RNA silencing and

539 R-protein (NB-LRR)-mediated resistance. Unlike the innate immunity against other

540 pathogens, the first layer of the immunity against viruses is RNA silencing. RNA

541 silencing is induced by intra- and intermolecularly formed double-stranded RNAs

542 (dsRNAs) of the viral genome or its transcripts. Then, dsRNA is processed into

543 siRNAs by the DCL4–DRB4 complex and DCL2 in *Arabidopsis*. AGO1 binds siRNA

544 and cleaves viral RNA guided by the incorporated siRNA. Most viruses counteract this

545 by expressing RNA silencing suppressors (RSSs). Plants coevolved an immune system

546 that is associated with the HR in response to the RSS. In this figure, the HR that is not

547 associated with SA-mediated resistance is defined as programmed cell death (PCD).

548 Recent studies have suggested that host cofactors such as DRB4, a tobacco

549 calmodulin-like protein, rgs-CaM, and the *Arabidopsis* NAC transcription factor (TIP)

550 help putative NB-LRRs to recognize RSSs [46••]. Salicylic-acid (SA)-mediated

551 defense responses were found to be suppressed by RSSs such as CMV 2b and TCV CP,

552 suggesting viral evasion of induced HR, which is associated with the SA-mediated

553 immunity to prevent viral infection. Here, an SA-mediated immunity suppressor is

554 designated SIS. (C) Entire scheme to explain the host–virus interactions, integrating

555 steps unique to viruses compared with the standard zigzag model. (D) Branches from

556 the main path of the model, where viral factors (RSS and SIS) participate, represent

557 other virus–host interactions that are mediated by the same viral factors. For example,

558 the SA-mediated basal immunity involving TIP [58] and the rgs-CaM-directed

559 degradation of RSS via autophagy [51••] are also thought to contribute to antiviral
560 immunity, although TIP and rgs-Cam seem to be independent of the general course of
561 host defense. TCV CP counteractively suppresses the basal immunity by binding to
562 TIP [14•].
563

