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Mesoscale Modeling of Chloride Penetration in Unsaturated Concrete Damaged by Freeze-Thaw Cycling

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Abstract: For concrete structures exposed to frost attack, cracks or microcracks induced by freeze-thaw cycling can format interconnecting flow paths and allow more water or chloride ions to penetrate into the bulk concrete. It will subsequently facilitate further deterioration of concrete structures and accelerate the corrosion of embedded reinforced steel bars. Moreover, in reality most concrete structures are rarely fully saturated, so that chloride transportation in unsaturated concrete must be studied with respect to the water moving process in order to cover the real existing service conditions. In the current work, a numerical simulation method based on the mesoscale composite structure of concrete, named the lattice network model, is established to analyze the penetration property of concrete; especially the effects of microcracking induced by freeze-thaw damage on the unsaturated flow behavior are investigated. In the mesoscale model, concrete is treated as a three-phase composite material consisting of coarse aggregates, mortar matrix and interfacial transition zone (ITZ) between the aggregate and the mortar matrix. The diffusivities of each phase, i.e., water and chloride diffusion coefficients, is separately characterized and quantified in terms of the published test results. The unsaturated flow theory for capillary water absorption and chloride transport is employed to simulate the ingress of water and chloride ions into concrete. It is found

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20 that the water absorption and chloride penetration are substantially influenced by the frost action,
21 and the cumulative absorbed water and chloride penetration depth are increased with the increase of
22 freezing-thawing cycles (FTCs). Furthermore, the numerical predictions about water absorption and
23 chloride profiles are compared with the experimental measurements. The comparisons indicate that
24 numerical predictions agree very well with the test data.

25 **CE Database subject headings:** Concrete; Cracking; Freeze-thaw; Chlorides; Absorption

26 **Author keywords:** Chloride; unsaturated concrete; freezing-thawing cycles (FTCs); numerical
27 modeling; mesoscale; lattice network model.

28 **Introduction**

29 Degradation of concrete structures has attracted more and more attention in recent years although
30 concrete was once treated as a durable maintenance-free construction material. The deterioration
31 mechanism of concrete facilities can be summarized as carbonation, sulfate and chloride attack,
32 freezing-thawing cycles (FTCs), and so on. Generally, in the ingressive environment, penetration of
33 chloride ions through concrete cover is a major factor affecting the durability of reinforced concrete
34 structures because it can result in corrosion of the reinforcing bars (Boddy et al. 1999). Furthermore,
35 the formation of rust in the interface between steel bars and surrounding concrete is associated with
36 large volume expansion, which may cause cracking, spalling, and delamination of the concrete
37 cover. Particularly in the severe rust cases, the bearing capacity of the structural members may be
38 decreased due to the reduction of the cross-section of reinforcement (Ababneh et al. 2003).
39 Therefore, there is growing interest in clarifying the deterioration mechanism and to predict the
40 life-cycle performance of concrete structures.

41 In reality, most concrete structures are always subjected to cyclic drying-wetting actions by
42 wind, sun, rain/snow fall and water level of ocean/river. Coastal and marine structures in splash and

43 tidal zones are a good example. Concrete within the splashing and tidal zones is not fully saturated.
44 The process of chloride ingress in partially saturated concrete is clearly different from that in fully
45 saturated concrete because of the different driving force and transport mechanism (Nagesh and
46 Bhattacharjee 1998). There exist two transport mechanisms for chloride ions penetrating in the
47 unsaturated concrete; one is the capillary suction of chloride-containing water into partially
48 water-filled pores and the other is the diffusion of chloride ions in water-filled pores. It has been
49 shown that the transport of chlorides by capillary suction makes greater contribution than by
50 diffusion in the partially saturated concrete (Lunk 1998; Nagesh and Bhattacharjee 1998), since
51 under this condition the diffusion is a quite slow process in comparison with the capillary suction.
52 This has already been confirmed by some experimental findings. For example, Nielsen and Geiker
53 (2003) concluded that chloride diffusion coefficient in saturated condition was about 5 times higher
54 than that with RH of 65%. Climent et al. (2002) and de Vera et al. (2007) found that the diffusion
55 coefficient decreased about two orders of magnitude, from 6×10^{-12} m²/s to 2×10^{-14} m²/s
56 approximately, when the degree of water saturation was lowered from values of 0.7-0.8 to values of
57 0.3-0.4. The fact that corrosion of steel reinforcement in the splashing and tidal zone is most severe
58 can be partly attributed to the alternate wetting and drying which result in high chloride and oxygen
59 content. To properly describe the deterioration process of reinforced concrete structure under the
60 unsaturated condition, the chloride ingress by capillary absorption must be taken into account.

61 In addition, water penetration depth significantly depends on the characteristics of porosity in
62 the surface layer of concrete, including the pore diameter and distribution, and the pore continuity
63 and tortuosity (McCarter et al. 1992; Kelham 1988). When subjected to freeze-thaw cycling,
64 concrete deteriorates through a variety of physical and chemical process, which will result in
65 cracking, and subsequently increase the porosity of concrete. It has been widely realized that the

66 existence of cracks in concrete can significantly modify the transport properties of the material
67 (Gérard et al. 1996; Wang et al. 1997; Aldea et al. 1999; Yang et al. 2006; Sahmaran et al. 2007).
68 Moreover, cracking due to freeze-thaw cycling generally forms interconnecting flow paths and
69 allows more water or chloride ions to penetrate into the concrete, thus facilitating further
70 deterioration. A number of studies have shown that increased chloride transport due to cracking can
71 accelerate the corrosion of reinforced steel in concrete (Schuessl and Raupach 1997; Qi 2003; Jaffer
72 and Hansson 2008). Therefore, in a cold and ingressive environment, it is necessary to properly take
73 into account the internal cracking or damage of concrete caused by freeze-thaw cycling in order to
74 realistically estimate the reduction of bearing capacity of RC structural members and then to predict
75 their durability and life-cycle performance. The mechanical behavior of frost-damaged concrete has
76 been successfully simulated by means of a mesoscale numerical model (Ueda et al. 2009). However,
77 few works have been done to investigate the agents moving process and mechanism in
78 FTC-damaged concrete, especially under the unsaturated condition.

79 In the present paper a lattice network model in mesoscale is proposed which is able to simulate
80 the chloride transport process in unsaturated concrete and account for the damage induced by
81 freeze-thaw cycling. The formulation of the model and its implementation into a three-phase
82 composite structure of concrete is presented on the basis of reasonable quantification of transport
83 characteristics of water and chlorides into mortar, interfacial transition zone (ITZ) and cracks. The
84 application of the model is illustrated on a numerical example in which the concrete is subjected to
85 different FTCs in order to investigate the influence of damage or cracking on the chloride
86 penetration. The result of numerical analysis is compared with the previous test data published in
87 literature.

88 ***Principal Mechanisms for Chloride Ingress***

89 **Chloride binding capacity**

90 Under a natural and open condition, if the gravity or water pressure gradient is ignored, the
91 principal penetration processes for chlorides in concrete can be represented by diffusion (which is
92 caused by the concentration gradient of dissolved ions) and the convection as a result of bulk
93 moving water (which is induced by the capillary absorption). In addition, when chlorides penetrate
94 into concrete, it has been observed that some of the chlorides are chemically and physically bound
95 to the hydrated products of cement or the surfaces of some gel pores within concrete. This behavior
96 is called chloride binding capacity of concrete. Therefore, chloride in concrete is commonly
97 categorized as free and bound chloride. The total chloride concentration, C_t , can then be expressed
98 as

99
$$C_t = C_f + C_b \quad (1)$$

100 in which C_f is the free chloride concentration in kg/m^3 of the solution; C_b is the bound chloride
101 concentration. The chloride binding capacity is usually defined as the ratio of the change in the free
102 chloride concentration to the change in the total chloride concentration, which can be written as
103 follows:

104
$$\frac{\partial C_f}{\partial C_t} = \frac{1}{\frac{\partial C_t}{\partial C_f}} = \frac{1}{\frac{\partial(C_f + C_b)}{\partial C_f}} = \frac{1}{1 + \frac{\partial C_b}{\partial C_f}} \quad (2)$$

105 Here $\lambda = \frac{\partial C_b}{\partial C_f}$ is an experimentally obtained parameter. It is apparent that in concrete only the free
106 chloride is responsible for the steel corrosion because the bound chloride cannot migrate freely
107 through the concrete cover to reach the surface of embedded steel bars. Thereafter, in the current
108 study, the governing equation for chloride ingress, including diffusion and convection, is formulated
109 in terms of the free chloride concentration. Additionally, the chlorides brought by the concrete

110 mixtures are not considered here.

111 **Water convection induced by capillary absorption**

112 When a dried (or unsaturated) concrete is in contact with water, convection of bulk moving water
113 induced by capillary absorption serves as the main carrier for chloride ingress. Generally, the water
114 movement is not influenced by the existence of chloride ions. The flux of mass of chloride at any
115 point in concrete, J_c , in kg/m².s, due to capillary absorption can be written as (Nagesh and
116 Bhattacharjee 1998):

$$117 \quad J_c = -C_f D_w(\theta) \frac{d\theta}{dx} \quad (3)$$

118 where $D_w(\theta)$ is the water or solution diffusivity in m²/sec if the chloride ions are supposed to have
119 the same transport speed with water; θ , here and elsewhere in the text, is the normalized water
120 content, scaled to be zero and one for the initial (dried state) and saturated volumetric solution
121 contents (i.e., volume of solution/bulk volume of concrete in m³ of solution /m³ of concrete), Θ_i and
122 Θ_s , respectively; x is the space coordinate in concrete perpendicular to the exposed surface.
123 Obviously, θ can be written as:

$$124 \quad \theta = \frac{\Theta - \Theta_i}{\Theta_s - \Theta_i} \quad (4)$$

125 in which Θ is the volumetric solution content in m³ of solution/m³ of concrete. In experiments, the
126 initial state Θ_i is specially reached by drying a specimen to constant weight at 105°C (in some
127 experiments, lower than 105°C), so that, in this case Θ_i is close or equal to zero. The saturated state
128 is reached by direct contact with an unlimited supply of water, which is approximately equal to the
129 volume fraction porosity (Hall 1989). Apparently, the porosity of a material is an important
130 parameter to influence the total water volume when exposed to the water source. Additionally, in
131 the current study, the difference of diffusivity between salt solution and pure water is neglected

132 implying that $D_w(\theta)$ is independent of the solute concentration.

133 As has been pointed out, in absorption tests on capillary suction of NaCl solution, the speed of
134 the chloride ions ingress is lower than the speed of water due to the filter effect of the cement paste,
135 which is also called the retardation effect. This effect can be characterized by a retardation
136 coefficient R , defined as (Lunk 1998):

$$137 \quad R = 1 - \frac{x_{cl}}{x_w} \quad (5)$$

138 where x_{cl} is the mean chloride penetration depth and x_w is the mean water penetration depth.

139 In order to consider this effect, the flux of mass of chloride ions in Eq. (3) will be reduced by
140 multiplying the water diffusivity with the coefficient $(1-R)$ (Roelfstra et al. 2004).

141 **Chloride diffusion under unsaturated state**

142 Because of the retardation effect of cement paste, the free chloride concentration is likely to vary
143 along the penetrating direction, even in the water-filled pores, so that a concentration gradient will
144 be generated. This concentration gradient, therefore, causes diffusion of chloride ions from higher
145 concentration to lower concentration. Usually the diffusion of chloride ions is formulated with
146 Fick's second law:

$$147 \quad J_d = -D_{cl}(\theta) \frac{dC_f}{dx} \quad (6)$$

148 where J_d is the flux of mass of chloride induced by the diffusion process; $D_{cl}(\theta)$ is the chloride
149 diffusion coefficient in m^2/sec and is dependent on the water content.

150 The chloride diffusion in concrete is a humid process, which can occur only if water is present
151 in the pores of concrete (Saetta et al. 1993). Therefore, it has been stated that water content
152 (sometime referred as relative humidity) in concrete plays an important role in the chloride

153 diffusion process. Generally speaking, the diffusion coefficient of chloride decreases with the
 154 decrease of water content. Two different models to describe the interrelation between chloride
 155 diffusion coefficient and water content (or relatively humidity) are available currently.

156 The first model was developed by Saetta et al. (1993), using an *S*-shaped curve to account for
 157 the effect of relative humidity, RH, on the chloride diffusion coefficient, which can be expressed as:

$$158 \quad \frac{D_{cl}(RH)}{D_{cl1}} = \left(1 + \frac{(1-RH)^4}{(1-RH_c)^4} \right)^{-1} \quad (7)$$

159 where $D_{cl}(RH)$, D_{cl1} are the chloride diffusion coefficient at any relative humidity and at the
 160 saturated state, respectively; RH_c is the critical relative humidity, at which $D_{cl}(RH_c)=0.5D_{cl1}$, and
 161 given as 75% by Saetta et al. (1993). When RH drops below RH_c , the ion diffusivity shows a rough
 162 drop due to the loss of liquid connectivity inside the porous network. In terms of Eq. (7), Nielsen
 163 and Geiker (2003) experimentally determined RH_c to be 83% for a Portland cement mortar with
 164 $w/c=0.5$. De Vera et al. (2007) found that, for concrete with $w/c=0.6$, RH_c is fitted as 83.1%. In the
 165 current study, the curves of Eq. (7) with the previously proposed values of RH_c are presented in Fig.
 166 2. To use Eq. (7), the relative humidity RH in Eq. (7) can be transferred into the normalized water
 167 content (θ) with the method proposed by Akita et al. (1994) as follows:

$$168 \quad \theta = a_1 + a_2RH + a_3\alpha + a_4RH^2 + a_5RH\alpha + a_6\alpha^2 + a_7RH^3 + a_8RH^2\alpha + a_9RH\alpha^2 + a_{10}\alpha^3 \quad (8)$$

169 in which $a_1 \sim a_{10}$ are constants, and their values have been experimentally fitted as 33.4, 1.46, -0.287,
 170 -1.58×10^{-2} , -1.45×10^{-2} , 4.22×10^{-4} , 7.73×10^{-5} , 1.74×10^{-4} , -4.22×10^{-6} and 0, respectively; α is the
 171 water/cement ratio expressed in percentage (e.g. for $w/c=0.5$, the value of α is 50). The validity of
 172 Eq. (8) is illustrated in Fig. 1 by comparing the predicted results with available test data. It is
 173 evident that the method proposed by Akita et al. (1994) (Eq. (8)) is able to build an approximate
 174 relation between the RH and θ if ignoring the scatter of test results.

175 Saeki and Niki (1996) suggested another model with an empirical relationship to consider the

176 influence of water content on chloride diffusion coefficient:

$$177 \quad \frac{D_{cl}(\theta)}{D_{cl1}} = 0.0032 \times 10^{0.025\theta} \quad (9)$$

178 $D_{cl}(\theta)$ in Eq. (9) has the same definition as $D_{cl}(\text{RH})$ in Eq. (7) considering the relationship between
 179 relative humidity RH and relative water content θ . The comparison of the above two models, as
 180 well as compared with available test data, is depicted in Fig. 2. It can be seen that the curve
 181 obtained from Eq. (7) with $\text{RH}_c=83\%$ matches better with the experimental data than the curve from
 182 Eq. (9). Thereafter, Eq. (7) with $\text{RH}_c=83\%$ will be adopted in the subsequent analysis.

183 **Combined formulation of convection and diffusion**

184 Therefore, the total flux of chloride mass can be written as:

$$185 \quad J = J_c + J_d = - \left[C_f D_w(\theta)(1-R) \frac{\partial \theta}{\partial x} + D_{cl}(\theta) \frac{\partial C_f}{\partial x} \right] \quad (10)$$

186 Considering the mass balance of chloride ions in an infinitesimal volume of concrete, the following
 187 equation can be obtained:

$$188 \quad \frac{\partial C_t}{\partial t} = - \frac{\partial J}{\partial x} \quad (11)$$

189 By substituting Eq. (10) into Eq. (11) and applying Eq. (2), the governing equation for chloride
 190 ingress in a 1-D case can thus be expressed as

$$191 \quad \frac{\partial C_t}{\partial t} = \frac{\partial C_t}{\partial C_f} \frac{\partial C_f}{\partial t} = (1+\lambda) \frac{\partial C_f}{\partial t} = \frac{\partial}{\partial x} \left[C_f D_w(\theta)(1-R) \frac{\partial \theta}{\partial x} + D_{cl}(\theta) \frac{\partial C_f}{\partial x} \right] \quad (12)$$

192 Rearranging Eq. (12), one can obtain

$$193 \quad \frac{\partial C_f}{\partial t} = \frac{1-R}{1+\lambda} C_f \frac{\partial}{\partial x} \left[D_w(\theta) \frac{\partial \theta}{\partial x} \right] + \frac{1}{1+\lambda} \frac{\partial}{\partial x} \left(D_{cl}(\theta) \frac{\partial C_f}{\partial x} \right) \quad (13)$$

194 The first term in the right-hand side of Eq. (13) represents the convection effect, i.e. chloride ingress
 195 caused by water movement and the second term describes the diffusion process due to concentration
 196 gradient. Water movement due to capillary absorption is usually described as follows:

$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial x} \left[D_w(\theta) \frac{\partial \theta}{\partial x} \right] \quad (14)$$

Thus, Eq. (13) can be written as:

$$\frac{\partial C_f}{\partial t} = \frac{1-R}{1+\lambda} \frac{\partial \theta}{\partial t} C_f + \frac{1}{1+\lambda} \frac{\partial}{\partial x} \left(D_{cl}(\theta) \frac{\partial C_f}{\partial x} \right) \quad (15)$$

To sum up, the penetration of chloride ions in an unsaturated concrete is governed by this coupled system of partial differential equation (PDEs), i.e. Eqs. (14) and (15). Moreover, one can clearly see that Eq. (14) and the second term of Eq. (15), representing the two mechanisms of convection and diffusion respectively, have the similar form. Therefore, when the coupled PDEs are applied numerically, two consecutive steps are usually adopted with in a time interval (time step) to solve this problem: the first step is to solve Eq. (14) for water movement; the second step is to solve Eq. (15) following the results of water absorption in the first step to obtain the chloride concentration (Ababneh et al. 2003). It should be pointed out that an iteration process should be used to solve Eq. (14) (the first step) because $D_w(\theta)$ is strongly dependent on the relative water content, which will be argued in the following section.

Transport of capillary water

When concrete is assumed to be fully hydrated, implying no further water consumed during the ingress process, transport of capillary water can be described in terms of volume fraction of pore water by Richards' equation (Ozbolt et al. 2010). The equation for unsaturated flow through porous media is expressed as:

$$\frac{\partial \theta}{\partial t} = \nabla \cdot (D_w(\theta) \nabla \theta) \quad (16)$$

For a 1-D semi-infinite system subject to a boundary condition $\theta=1$ at $x=0$ and an initial condition $\theta=0$, $x>0$, $t=0$ (uniform initial water content within the sample of material), Eq. (16) turns into Eq. (14). By applying the Boltzmann's transformation, i.e., introducing the Boltzmann variable,

219 $\phi = x \cdot t^{1/2}$, the partial differential Eq. (14) can be reduced to an ordinary boundary-value problem
 220 (Lockington, et al. 1999):

$$221 \quad -\frac{1}{2}\phi \frac{d\theta}{d\phi} = \frac{d}{d\phi} \left(D_w(\theta) \frac{d\theta}{d\phi} \right) \quad (17)$$

222 with $\theta=1$ for $\phi=0$, and $\theta=0$ as $\phi \rightarrow \infty$.

223 The functional relationship between D_w and θ is strongly non-linear, which is commonly
 224 approximated by the exponential-law (Hall 1989; Hall 1994; Lockington et al. 1999):

$$225 \quad D(\theta) = D_0 e^{n\theta} \quad (18)$$

226 in which D_0 and n are empirically-fitted constants. Eq. (18) is widely utilized because of its
 227 relatively simple expression and rather narrow range of parameter n (6 to 8 by Hall (1989)). The
 228 cumulative water absorption of a sample, i , increases as the square root of the elapsed time t :

$$229 \quad i = S t^{1/2} \quad (19)$$

230 in which S is defined as the sorptivity of a porous materials, and regarded as the rate of water uptake
 231 by the material when exposed to a water resource. In some literatures, it is also named as the
 232 coefficient of capillary suction (Wittmann et al. 2006). Obviously from Eq. (19), S can be easily
 233 determined from the slope of the i versus $t^{1/2}$ curve, where i is measured in experiment as $i = \Delta w / A \rho_w$
 234 (in which Δw is the increase in weight, A the cross-sectional area and ρ_w the density of water).

235 An approach was proposed to determine the constants n and D_0 with the experimentally
 236 regressed value of S (Lockington et al. 1999). In their method, the constant n is taken a universal
 237 value as 6.0, and then D_0 can be approximately estimated by:

$$238 \quad D_0 = s^2 / 123.131 \quad (20)$$

239 where $s = S / (\Theta_s - \Theta_i)$, is the normalized sorptivity. The rationality of Lockington (1999)'s method has
 240 been illustrated in a previous work (Wang and Ueda 2011).

241 **Lattice network model on mesoscale**

242 One of the advantages of mesoscale modeling of strongly heterogeneous materials such as concrete
 243 is that it can particularly represent the characteristics of interface between different materials. For
 244 example, recent studies have begun to treat concrete as a three-phase composite material, consisting
 245 of coarse aggregates, mortar matrix and the interfacial transition zones (ITZs) between the
 246 aggregate particles and the surrounding mortar. Compared with the bulk cement paste, the ITZ has
 247 higher w/c and porosity. Except the HPC (High Performance Concrete), the ITZ is likely to be
 248 cracking when subjected to load or environmental attack. From this point of view, the ITZ is often
 249 regarded as a zone of weakness in both the mechanical and transport properties. To precisely predict
 250 the transport properties of concrete, especially to account for the influence of damage or cracking,
 251 the ITZ should be separately taken into account and treated as the critical phase of concrete.

252 **Mesoscale structure of concrete**

253 The size distribution of coarse aggregates in a geometrical domain is generated in terms of the
 254 Fuller's curve for aggregate gradation. The Fuller's curve is usually given by the following formula:

$$255 \quad P_i = \left(\frac{d_i}{D_{\max}} \right)^n \times 100\% \quad (21)$$

256 where D_{\max} represents the maximum aggregate grain size; d_i represents a given particle size, and P_i
 257 denotes the percent of the aggregate that is finer than d_i . A typical value for the exponent n is 0.45
 258 or 0.50. On the basis of Fuller's curve, Walraven et al. (1981) transferred this 3-D gradation curve
 259 into a 2-D aggregate distribution model for the cross section of a concrete sample, i.e. the area
 260 percentage of the aggregates with diameter less than D_0 can be written as:

$$261 \quad P_c(D < D_0) = P_k (1.065 D_0^{0.5} D_{\max}^{-0.5} - 0.053 D_0^4 D_{\max}^{-4} - 0.012 D_0^6 D_{\max}^{-6} - 0.0045 D_0^8 D_{\max}^{-8} + 0.0025 D_0^{10} D_{\max}^{-10}) \quad (22)$$

262 in which D_0 is one of the aggregate diameter in the gradation series; P_k is the volume fraction of
 263 coarse aggregate.

264 In this study, a standard pseudo-random number generator is used to produce probabilities from
265 which the diameter of the aggregate is determined. This procedure is repeated until the calculated
266 volume fraction of aggregates by Eq. (22) is obtained. After accomplishing the database of
267 aggregate particles, the particles are randomly placed one by one into the domain of the concrete
268 sample in such a way that there is no overlapping with particles already placed (Grassl and Pearce
269 2010; Wang et al. 1999). An example of the generated concrete sample by the above procedure is
270 shown in Fig. 3 with coarse aggregate volume fraction of 39.5% and maximum and minimum
271 diameters of 20 mm and 4 mm respectively.

272 **Lattice network model**

273 In the past few years, it has been proposed that on the mesoscale level, mass transport in concrete
274 can be described by means of lattice-type model. This type of model is categorized as a discrete
275 numerical method and has the advantage of mesh-independence and accurate descriptions of basic
276 properties of the continuum response (Sadouki and Van Mier 1997; Grassl 2009). In our previously
277 published paper (Wang and Ueda 2011), the lattice network model was established to simulate
278 capillary water transport process by concrete on the basis of Voronoi tessellation. Fig. 4 illustrates
279 the composition of lattice network of a concrete specimen on mesoscale. In Fig. 4, polygons with
280 dotted sides are the Voronoi elements after meshing the specimen. By connecting the nodes in
281 Voronoi diagram (nuclei of Voronoi polygons and the intermediate points on edges of the Voronoi
282 polygons), a network with five types of lattice elements is established with respect to their position
283 falling in the mesostructure of concrete (see Fig. 4a). Each type of lattice element has their unique
284 transport property. When concrete is damaged due to mechanical loading or environmental action
285 (e.g., freeze and thaw cycling), cracks are always supposed to generate and propagate along the
286 joint edge of two neighbouring Voronoi elements (see Fig. 4b). In other words, the cracks are only

287 allowed to present on the dotted lines. It should be pointed out that the 3-D configuration of cracks
288 is not considered due to the 2-D analysis nature. Therefore, in the present approach, the crack depth
289 perpendicular to mass moving direction is assumed to be same with thickness of the specimen,
290 which was usually set as unit thickness. As a result, the lattice elements along cracks will be given
291 their cross-sectional area and diffusivity in terms of the crack openings. Only an overview of this
292 approach is briefly given here. Additional details, such as those related to Voronoi element
293 construction, lattice element meshing method and the discrete form of governing equation have
294 been reported by Wang et al. (2008) and Wang and Ueda (2011).

295 The use of an irregular lattice network for the description of water movement in uncracked
296 concrete has been successfully implemented (Wang and Ueda, 2011). In the current work, the effect
297 of cracks caused by FTCs attack is under the consideration.

298 ***Chloride penetration of undamaged concrete***

299 **Chloride diffusion coefficient of the ITZ**

300 As already mentioned, chloride ions penetrate faster in the ITZ than in the bulk cement paste.
301 Numerous studies have been carried out to investigate and quantify the effect of ITZs on the
302 transport properties of mortar and concrete. The diffusion coefficients of the ITZ, as well as its
303 thickness obtained from the literatures are listed in Table 1. Although their conclusions are not in
304 full agreement with each other, it can be generally summarized that D_{ITZ} is usually less than 16
305 times of that of cement paste, especially in most cases no more than 10 times. Moreover, because
306 the diffusion coefficient of mortar has the same magnitude of order as that of cement paste (Caré
307 2003; Caré and Hervé 2004), the D_{ITZ} , in the calculation process of this paper, is roughly assumed
308 as 10 times of that corresponding to mortar. It means that the diffusion coefficient for lattice

309 elements on the aggregate-mortar boundary is taken as 10 times higher than that for the lattice
310 elements within mortar.

311 **Numerical example- initial and boundary conditions**

312 The modeling approach described above, which was implemented by C++ language and performed
313 on a personal computer on Windows, is applied to a NaCl solute absorption problem. The
314 experimental study on this numerical example has been performed by Wittmann et al. (2006) to
315 investigate the freezing-thawing damage on water and chloride transport properties of concrete. In
316 the current analysis, the water-to-cement ratio is assumed to be 0.6 in order to coincide with that
317 used in test. In the domain of the specimen, the volume fraction of coarse aggregate is 49%, which
318 is very close to that used in the experiment. The Voronoi meshing diagram of the sample, as well as
319 the illustration of boundary condition, is shown in Fig. 5, which is modeled with 844 Voronoi
320 elements and 8961 lattice elements (but for sake of clearness, the lattice elements are not depicted).
321 In the experimental program, concrete cubic specimens with an edge length of 100 mm were cut
322 into two halves (i.e. the thickness of each slice is 50 mm). After adequately cured in the humid
323 curing room, the samples were further stored under laboratory conditions at 20 ± 3 °C and 50 % RH
324 for another 21 days. And then, they were dried in an aerated oven at a temperature of 50°C for 24
325 hrs before put in contact with NaCl solution with a concentration of 3%. It was found that there was
326 no further apparent weight loss for the samples undergone the above drying operation. **During the**
327 **penetration test, the four lateral sides of the slice were sealed with wax in order to have one**
328 **directional flow from the bottom surface through the specimen. The schematic representation of the**
329 **experimental set-up can be referred to Wittmann et al. (2006).** To fit the test conditions, the bottom
330 and top surfaces of the specimen are treated to be exposed to chloride solution and to fully dried
331 atmosphere respectively, whereas the two side surfaces are completely sealed. In terms of the above

332 drying procedure and thin thickness of the slice, the sample can be assumed to fully dried before
333 contacting to water solution. As a result, the initial and boundary condition for transport of capillary
334 water are taken as follows: $\theta(x)=0.0$, for $t=0$; $\theta(x_b)=1.0$, for $t>0$; x_b is the coordinate of the nodes of
335 lattice elements that are exposed to the solution. It implies that on the exposed surface, concrete is
336 assumed to be fully saturated. Therefore, the chloride concentration on the exposed surface was
337 determined as $4.86 \times 10^{-3} \text{ g/cm}^3$ for undamaged concrete in terms of the concrete porosity, which was
338 estimated as 26.7% with $w/c=0.6$ by means of the Powers' model (Hansen 1986). It was kept
339 constant in the 24 hours' analysis by assuming that the 3% NaCl solution (with chloride
340 concentration in the solution of $1.82 \times 10^{-2} \text{ g/cm}^3$) completely fill in the pores at the very thin surface
341 layer of concrete. It should be noted that the chloride concentration on the exposed surface, is varied
342 with the damage degree of concrete because the damage can result in a porosity increase. In
343 addition, from the test data of all samples, constant chloride content (0.07% by weight of concrete)
344 was observed in deep position along penetration direction, at which the chlorides were unreachable
345 in 24 hours. This implies that initial chloride content was present in the concrete mixture, which
346 was estimated to be $1.68 \times 10^{-3} \text{ g/cm}^3$ if the concrete density is taken 2.4 g/cm^3 . To summarize, for
347 chloride transport, the initial and boundary conditions are: $C_f(x)=1.68 \times 10^{-3} \text{ g/cm}^3$ for $t=0$, which
348 was observed from the experimental results; $C_f(x_b)=4.86 \times 10^{-3} \text{ g/cm}^3$ of concrete, for $t>0$. The filter
349 effect, making the chloride front slower than water penetration, is also taken into account with the
350 coefficient of $R=0.7$.

351 **Predicted result of the chloride profile**

352 As the water absorption have been analyzed in a previous paper (Wang and Ueda, 2011), only the
353 distribution of chloride will be argued here, and shown in Fig. 6. The effect of coarse aggregates on
354 the chloride penetration front can be clearly observed in the penetration area: on one hand, the

355 coarse aggregates, especially those with relatively larger size can “block” the moving chlorides due
356 to their lower permeability; on the other hand, the presence of ITZs, which have higher porosity,
357 will contribute to facilitating the penetration of chlorides. Therefore, from Fig. 6, one can see that
358 the chloride concentration in the area behind coarse aggregates is much lower than that in the area
359 of surrounding mortar matrix. This phenomenon has been experimentally observed and considered
360 to cause the scatter in the depths of chloride penetration even within the same sample (Rodriguez
361 and Hooton 2003)

362 Fig. 7 provides the comparison of chloride profiles in concrete between the predicted results by
363 the lattice network model and the experimental data obtained by Wittmann et al. (2006). It can be
364 seen that in this example, after 24 hrs exposure to NaCl solution, the predicted chloride profile is in
365 good agreement with the experimental measurement.

366 ***Chloride Penetration of Freeze-thaw damaged concrete***

367 **Cracks induced by freeze-thaw cycling**

368 Recent studies have shown that subjecting to freeze-thaw damage can result in the increase of
369 permeability of concrete due to the occurrence of new cracks and connectivity of originally existing
370 microcracks (Jacobsen et al. 1996; Yang et al. 2006). For example, Jacobsen et al. (1996) observed
371 that the freeze-thaw cycling can increase the chloride penetration rate up to about 2.5 to 8 times
372 after 31 to 95 FTCs when compared with the undamaged concrete. It also indicates that the internal
373 cracking of concrete induced by freeze-thaw cycling is significantly dependent on the number of
374 cycles. Therefore, after different FTCs, the knowledge on internal cracks, e.g., the crack distribution
375 (numbers and location) and crack width should be investigated and made clear so as to estimate
376 their effects on chloride transport.

377 Contrary to the research effort on freeze-thaw mechanism, it seems that in the past, studies on

378 the characteristics of FTC-induced cracks were mostly focused on the qualitative interpretation, but
 379 few could give a precise and quantitative description of the cracks. For instance, Jacobsen et al.
 380 (1996) performed the rapid freeze-thaw experiment on a non-air entrained concrete with w/c of 0.4
 381 and measured an ultimate volume increase as 2.9% after 95 FTCs by weighing the frosted specimen
 382 in air and water respectively. Hasan et al. (2004) conducted a freezing-thawing test on the non-air
 383 entrained concrete (w/c=0.5) in a climate chamber. In this experiment, the strain variation, defined
 384 as the plastic tensile strain, was measured during the freezing-thawing process and regarded as the
 385 evaluation of damage degree. Therefore, for the purpose of application of numerical models, it is
 386 necessary to convert the above test measurements into the cracking information.

387 For a prismatic specimen with the initial size of $V_0=L_1 \times L_2 \times L_3$, the increase length of each side
 388 due to freeze-thaw cycling is set as ΔL_1 , ΔL_2 and ΔL_3 , then the increased volume ΔV may be
 389 calculated as:

$$390 \quad \Delta V=(L_1+\Delta L_1) (L_2+\Delta L_2) (L_3+\Delta L_3)-V_0 \quad (23)$$

391 Then the ratio of volume increase is written as:

$$392 \quad \frac{\Delta V}{V_0} = \frac{(L_1 + \Delta L_1)(L_2 + \Delta L_2)(L_3 + \Delta L_3)}{L_1 L_2 L_3} - 1 = \left(1 + \frac{\Delta L_1}{L_1}\right) \left(1 + \frac{\Delta L_2}{L_2}\right) \left(1 + \frac{\Delta L_3}{L_3}\right) - 1 \quad (24)$$

393 Here, a uniform assumption is typically given that freeze-thaw cycling may cause the same
 394 expansion along each direction. Thus the above equation can be written as:

$$395 \quad \frac{\Delta V}{V_0} = (1 + \varepsilon)(1 + \varepsilon)(1 + \varepsilon) - 1 = (1 + \varepsilon)^3 - 1 \quad (25)$$

396 in which ε is the plastic strain induced by freeze-thaw cycling in any direction. Reversely, according
 397 to Eq. (25), when the volume increase is measured, the plastic strain of a specimen can be estimated
 398 as:

$$399 \quad \varepsilon = \sqrt[3]{1 + \frac{\Delta V}{V_0}} - 1 \quad (26)$$

400 The volume increase and plastic strain derived from experimental data (Hasan et al. 2004;
401 Jacobsen et al. 1996) based on Eq. (26) are given in Table 2, together with their originally measured
402 results.

403 From Table 2 it can be observed that results from the two tests differ too much up to an
404 order-of-magnitude variation. The most significant reason seems to be attributed to the different
405 freezing-thawing process and deformation measuring method as explained above. However, it
406 should be noted that in Jacobsen et al.'s experiment, not only the volume increase was measured but
407 also the crack density and crack width were also evaluated with the scanning electron microscope
408 (SEM). Further more, the calculated crack width using the measured volume increase, as shown in
409 Table 2, was proved to be in good accordance with the SEM observation (Jacobsen et al. 1995). And
410 recently, a similar crack width magnitude order was also observed by using the SEM technology
411 (Yang et al. 2006). Therefore, in this study, the width of FTC-induced cracks will be determined
412 based on experimental data obtained by Jacobsen et al. (1996).

413 In addition to the crack width, observation with SEM reveals that after freeze-thaw exposure,
414 cracks mostly occur in the interface between cement paste and aggregate (Jacobsen et al. 1995;
415 1996; Yang et al. 2006). Based on this fact, in the lattice network model, all the cracks are assumed
416 to be present around the coarse aggregates and the cross-sectional area of lattice elements on the
417 ITZs (see Fig. 4) will be adjusted according to the crack width. And then the corresponding
418 diffusivity of water and chloride through these elements will be determined using the approach in
419 the following sections. The influence of FTC-induced cracking and the above modeling method
420 have been demonstrated in a previous paper in terms of a pure diffusion process of chloride under
421 saturated condition (Wang and Ueda 2009). It was found that the diffusion coefficient of concrete
422 after frost attack is increased with the increase of freezing-thawing cycles.

423 **Diffusivity of water and chlorides through cracks**

424 Because few attempts have been done to quantify the process for water transport through a single
425 crack, Nakamura et al. (2006) suggested that, under the saturated condition, the water transport
426 coefficient through a single crack could be empirically set as 1.0×10^5 mm²/day (i.e., 4200 mm²/hr).

427 Presently, there are a number of experimental investigations available for assessing the
428 penetration rate of chlorides through a single crack. This is well documented in the literatures
429 (Djerbi et al. 2008; Kato et al. 2005; Takewaka et al. 2003; Ismail et al. 2008; Rodriguez and
430 Hooton 2003). Sahmaran (2007) found that that for crack width less than 135 μm, the effect of
431 crack width on the diffusion coefficient of mortar was marginal, whereas for crack width higher
432 than 135 μm the diffusion coefficient increased rapidly. This threshold value was found to be 75 μm
433 by Kato et al. (2005). Because the width of a crack induced by freeze-thaw is usually very small
434 (e.g., the order of magnitude was measured as about 10 μm, see Table 2), the diffusion coefficient
435 of chloride transport through a crack is assumed to be independent to the crack width and set as
436 3000 mm²/hr based on the previous findings (Wang and Ueda 2011). Moreover, for one crack lattice
437 element, the smooth surface is assumed since it has been experimentally observed that the chloride
438 diffusion in cracked concrete is independent of the crack surface roughness (Rodriguez and Hooton
439 2003).

440 **Water and chloride distribution in damaged concrete**

441 The parameters used for numerical simulation of water and chloride transport through
442 freezing-thawing damaged concrete are summarized in Table 3. The contacting time of sample to
443 water is assumed as 24 hrs long. Wittmann et al. (2006) conducted the research on water and
444 chloride penetration in concrete after 50 and 150 FTCs, so that their experimental data will be used

445 to verify the numerical result. After subjected to 50 and 150 FTCs, the width of microcracks within
446 concrete is taken as 8 μm and 15 μm respectively, which are deduced from the observation of
447 Jacobsen et al (1996). The distribution of water content over the depth of the specimen after 8 and
448 24 hrs' exposure is shown in Fig. 8. Moreover, the numerical results of cumulative absorbed water
449 for concrete samples after different FTCs are compared with the experiment data and depicted in
450 Fig. 9. It can be seen that the penetration of water is much faster and deeper in cracked than in
451 un-cracked concrete. The amount of up-taken water by the sample is found to increase with the
452 increase of FTCs, which is in good agreement with experimental observation. This result can be
453 attributed to the formation of more penetration paths and connectivity of the initial microcracks
454 when subjected to the action of freezing-thawing. To further illustrate this phenomenon, the
455 relationship between water content θ and Boltzmann variable ϕ of concrete subjected 50 and 150
456 FTCs, as well as that without damage, is shown in Fig. 10. It is noted that at the same value of
457 Boltzmann variable, the water content has a notable increase after subjected to freeze-thaw cycling.

458 Similarly, Fig. 11 shows the comparison of the model predictions and experimental results for
459 the chloride profiles after 50 and 150 FTCs. Comparing the distribution of chlorides after subjecting
460 to different FTCs (see Figs. 7 and 11), it can be seen that the chlorides penetrate deeper with
461 increase of FTCs. A similar conclusion was drawn in experiments (Wittmann et al. 2006). The
462 agreement between the prediction of numerical model and test data illustrates that the lattice
463 network model, combined with the foregoing formulation in this paper, can successfully simulate
464 the chloride penetration in unsaturated, FTC-damaged concrete.

465 **Summary and Conclusions**

466 In the present paper, a numerical simulation approach in mesoscale with the lattice network model
467 is reported to evaluate the chloride ions penetration process within unsaturated concretes before and

468 after subjected to the freezing-thawing cycles (FTCs). The following conclusions can be drawn:

469 1) In the mesoscale, concrete is represented as a three-phase composite, i.e., the mortar matrix,
470 aggregate particles and interfacial transition zones (ITZs), so that it is possible to involve
471 separate material models (e.g., transport model) for each phase. The strategy of Voronoi diagram
472 is adopted that is able to account for the damage or cracking with the concept of discrete cracks.
473 On the basis of Voronoi tessellation of a concrete domain (uncracked or cracked), an irregular
474 network composing of various types of lattices is built up to describe the water and chlorides
475 transport.

476 2) Under unsaturated state, chloride penetration in concrete is attributed to two mechanisms, i.e.
477 convection (water absorption) and diffusion. The mathematical formulation of this combined
478 process is proposed and implemented into the lattice network, and then solved by two
479 consecutive steps separately.

480 3) FTC-induced damage can be represented by a uniformly distributed and connected crack
481 network throughout the concrete. As a result, both the water absorption and chloride penetration
482 will be accelerated after subjected to FTCs. In this study, the features of microcracks, including
483 crack distribution and widths, are clarified by investigating and analyzing the available
484 experimental observations. After applying the lattice network model to the FTC-damaged
485 concrete, the distribution of water and chloride over the depth of the specimen are quantitatively
486 obtained. It is observed that the penetration of water and chloride is faster and deeper in
487 damaged than in undamaged concrete. The damage of concrete also significantly increases the
488 amount of up-taken water.

489 4) The lattice network model, coupled with the mesoscale structure of concrete, seems to provide a
490 realistic tool for simulating the chloride penetration process in intact or damaged concrete

491 material since the predicted chloride profiles agree well with experimental data. Therefore, it
492 may be hoped that the attempt in this paper could be helpful to reduce the experimental time and
493 errors, and further be used to facilitate the service life prediction of concrete structures subjected
494 to the combination action of freeze-thaw cycling and salt attack. It should also be pointed out
495 that further work, especially the quantification of FTC-induced damage and media transport
496 property through crack, is needed in order to increase the precision of simulation.

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- Fig.1.** Relationship between relative humidity (RH) and normalized water content θ
- Fig. 2.** Comparison of models on chloride diffusion coefficient versus water content
- Fig. 3.** The 2-D mesoscale model of a concrete cube
- Fig. 4.** Demonstration of the construction of the lattice network model
- Fig. 5.** Specimen model (width×height=50mm×60mm)
- Fig. 6.** Distribution of chloride (wt% of concrete) over the section of the specimen after 24 hrs
- Fig. 7.** Comparison of chloride profiles between test data and numerical result
- Fig. 8.** Distribution of water content in concrete after subjected to 0, 50 and 150 FTCs
- Fig. 9.** Amount of water absorbed by the concrete after subjected to 0, 50 and 150 FTCs
- Fig. 10.** Relationship between water content θ and Boltzmann variable ϕ
- Fig. 11.** Comparison of chloride profiles after subjected to 50 and 150 FTCs

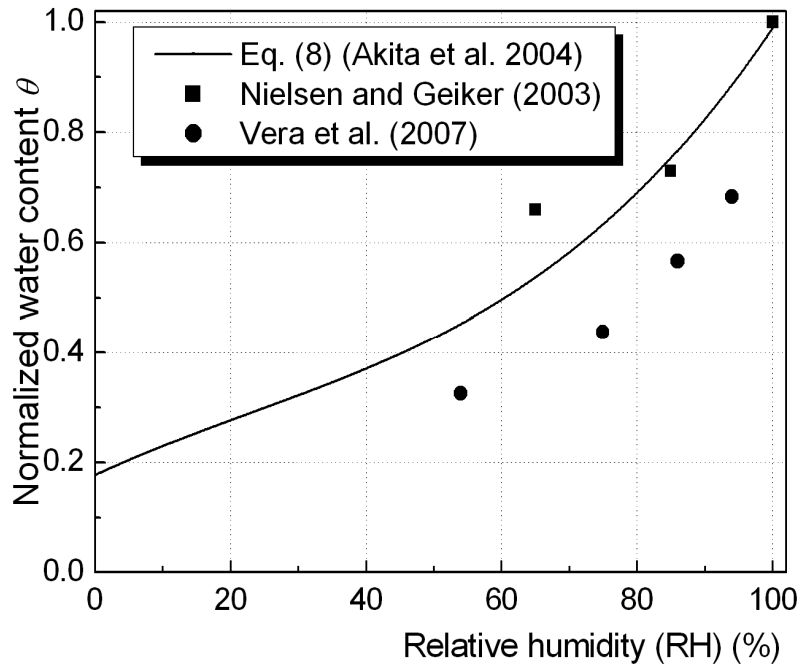


Fig. 1. Relationship between relative humidity (RH) and normalized water content θ

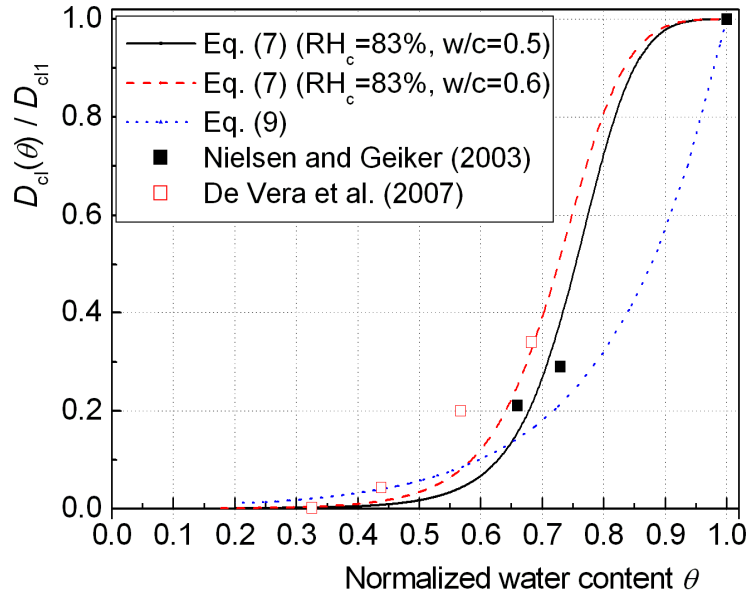


Fig.2. Comparison of models on chloride diffusion coefficient versus water content

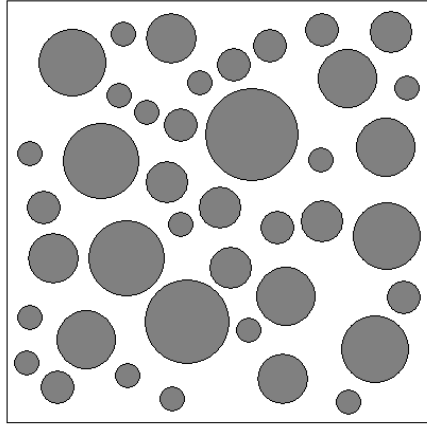


Fig. 3. The 2-D mesoscale model of a concrete cube

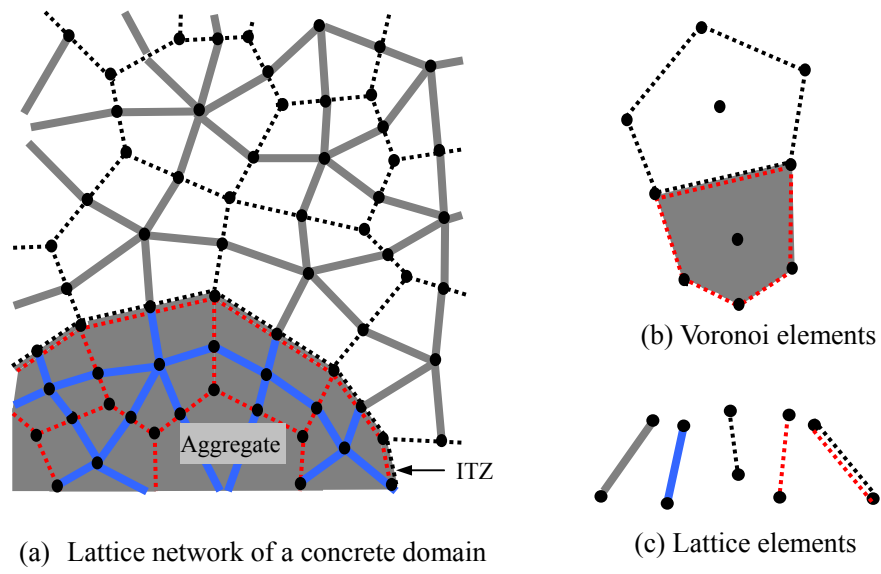


Fig. 4. Demonstration of the construction of the lattice network model

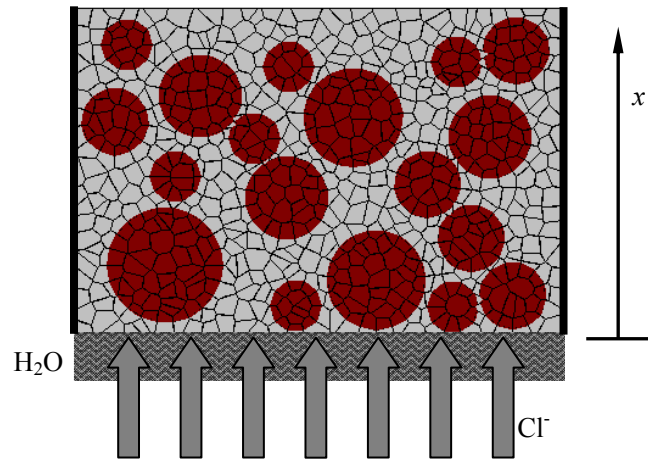


Fig. 5. Specimen model (width×height=50mm×60mm)

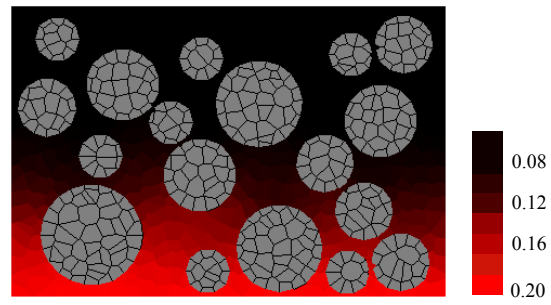


Fig. 7. Distribution of chloride (wt% of concrete) over the section of the specimen after 24 hrs

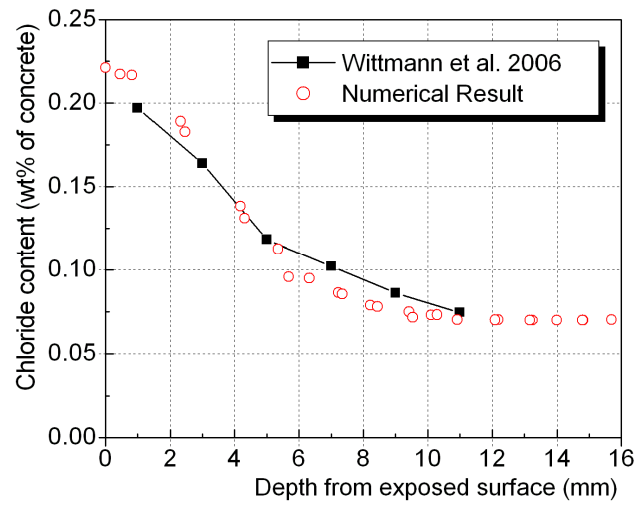
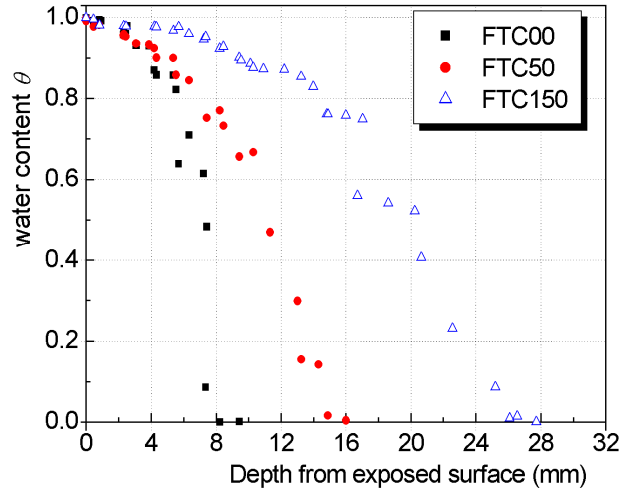
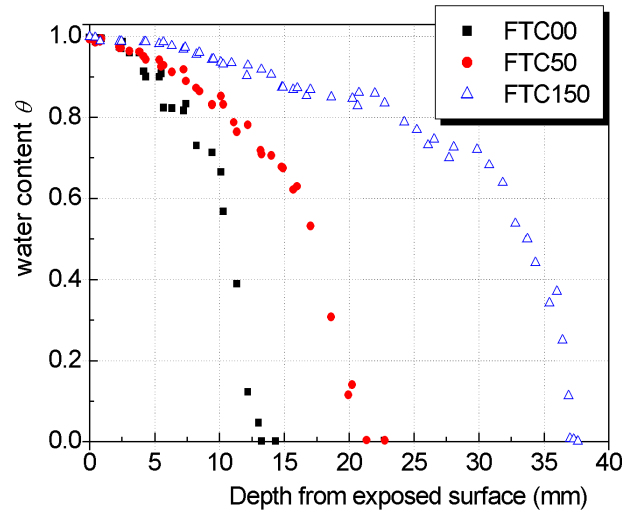


Fig. 8. Comparison of chloride profiles between test data and numerical result



(a) Exposed time = 8 hrs



(b) Exposed time = 24 hrs

Fig. 9. Distribution of water content in concrete after subjected to 0, 50 and 150 FTCs

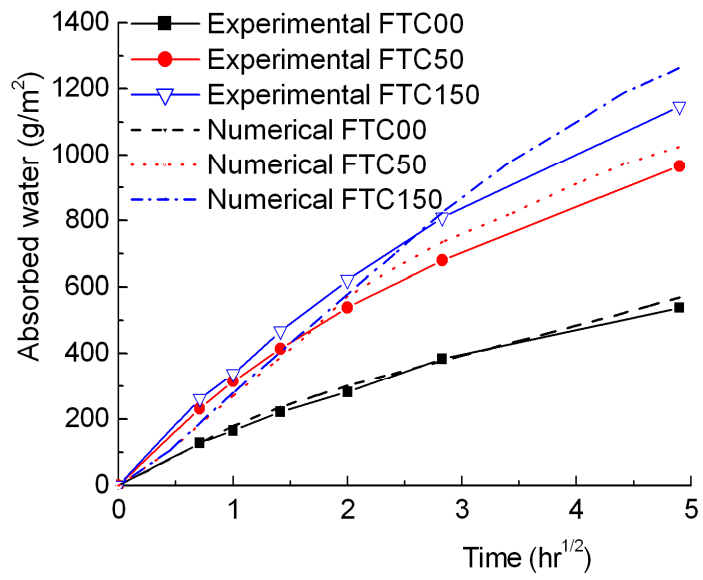
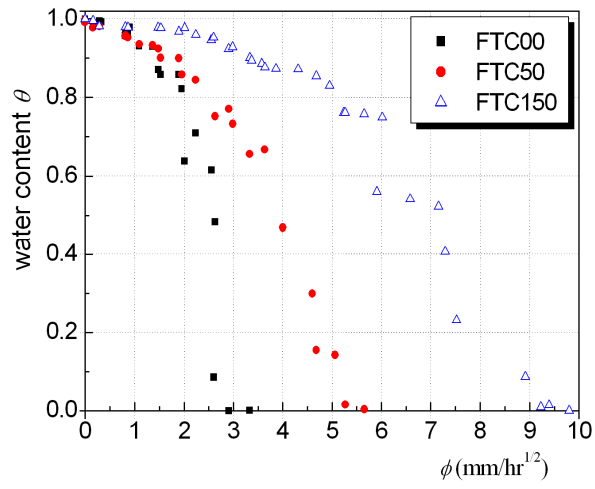
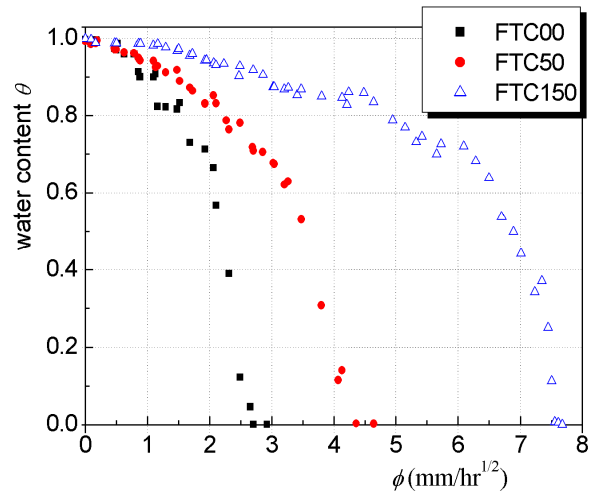


Fig. 10. Amount of water absorbed by the concrete after subjected to 0, 50 and 150 FTCs

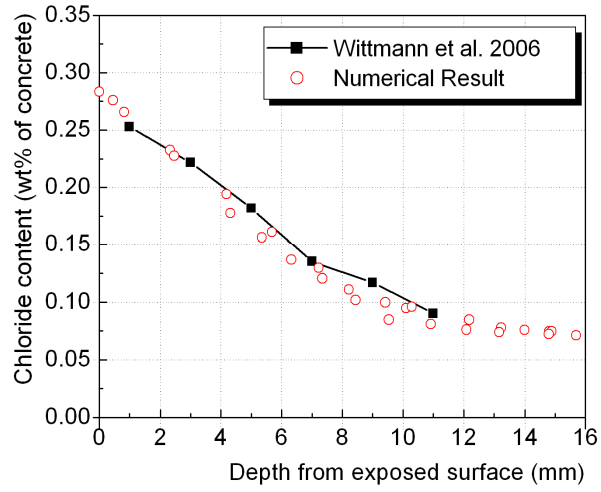


(a) Exposed time = 8 hrs

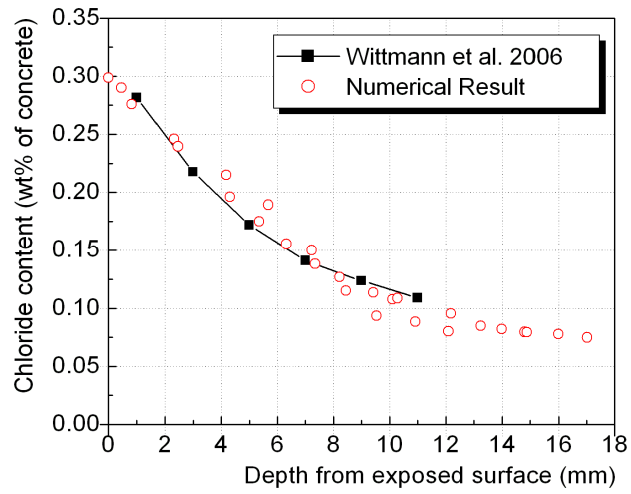


(b) Exposed time = 24 hrs

Fig. 11. Relationship between water content θ and Boltzmann variable ϕ



(a) 50 FTCs



(b) 150 FTCs

Fig. 12. Comparison of chloride profiles after subjected to 50 and 150 FTCs

Table 1 Literatures summary on the D_{ITZ}

Series	Thickness of ITZ(μm)	D_{ITZ}/D_p	Reference
1	20-40	1.6-1.3	Yang 2003
2	30	16.2	Caré and Herve 2004
3	10-30	5	Delagrave et al. 1997
4	20	4-8	Oh and Jang 2004
5	-	6-12	Breton et al. 1992
6	20	2-6	Bentz et al. 1997
7	15-30	2-7	Shane et al. 2000

Table 2 Comparison of the strain and crack width from different tests

Ref.	Index	Number of FTCs		
		31	61	95
Jacobsen et al. 1996	$\Delta V/V_0$ (vol-%)	0.7	1.7	2.9
	$\varepsilon (\times 10^{-6})^*$	2328	5635	9575
	w_{cr} (μm)	5	9	12
Ref.	Index	Number of FTCs		
		50	100	150
Hasan et al. 2004	$\Delta V/V_0$ (vol-%)*	0.09	0.18	0.24
	$\varepsilon (\times 10^{-6})$	314	596	809

* indicates the calculated results using the corresponding experimental data.

Table 3 Parameters for mass (water and chloride) transport in different phases of concrete

Diffusivity coefficient	Mortar	ITZ	Crack	Aggregate
D_0 (mm ² /hr)	0.06	0.541	4200	0
D_{cl} (mm ² /hr)	0.35	3.5	3000	0