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## Mesoscale Modeling of Chloride Penetration in Unsaturated 1 Concrete Damaged by Freeze-Thaw Cycling 2 Licheng WANG<sup>1</sup> and Tamon Ueda<sup>2</sup> 3

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Abstract: For concrete structures exposed to frost attack, cracks or microcracks induced by 5 freeze-thaw cycling can format interconnecting flow paths and allow more water or chloride ions to 6 penetrate into the bulk concrete. It will subsequently facilitate further deterioration of concrete 7 structures and accelerate the corrosion of embedded reinforced steel bars. Moreover, in reality most 8 9 concrete structures are rarely fully saturated, so that chloride transportation in unsaturated concrete must be studied with respect to the water moving process in order to cover the real existing service 10 conditions. In the current work, a numerical simulation method based on the mesoscale composite 11 structure of concrete, named the lattice network model, is established to analyze the penetration 12 property of concrete; especially the effects of microcracking induced by freeze-thaw damage on the 13 unsaturated flow behavior are investigated. In the mesoscale model, concrete is treated as a 14 three-phase composite material consisting of coarse aggregates, mortar matrix and interfacial 15 transition zone (ITZ) between the aggregate and the mortar matrix. The diffusivities of each phase, 16 i.e., water and chloride diffusion coefficients, is separately characterized and quantified in terms of 17 the published test results. The unsaturated flow theory for capillary water absorption and chloride 18 19 transport is employed to simulate the ingress of water and chloride ions into concrete. It is found

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that the water absorption and chloride penetration are substantially influenced by the frost action, and the cumulative absorbed water and chloride penetration depth are increased with the increase of freezing-thawing cycles (FTCs). Furthermore, the numerical predictions about water absorption and chloride profiles are compared with the experimental measurements. The comparisons indicate that numerical predictions agree very well with the test data.

25 **CE Database subject headings**: Concrete; Cracking; Freeze-thaw; Chlorides; Absorption

Author keywords: Chloride; unsaturated concrete; freezing-thawing cycles (FTCs); numerical
 modeling; mesoscale; lattice network model.

28 Introduction

Degradation of concrete structures has attracted more and more attention in recent years although 29 concrete was once treated as a durable maintenance-free construction material. The deterioration 30 mechanism of concrete facilities can be summarized as carbonation, sulfate and chloride attack, 31 freezing-thawing cycles (FTCs), and so on. Generally, in the ingressive environment, penetration of 32 chloride ions through concrete cover is a major factor affecting the durability of reinforced concrete 33 structures because it can result in corrosion of the reinforcing bars (Boddy et al. 1999). Furthermore, 34 the formation of rust in the interface between steel bars and surrounding concrete is associated with 35 large volume expansion, which may cause cracking, spalling, and delamination of the concrete 36 cover. Particularly in the severe rust cases, the bearing capacity of the structural members may be 37 decreased due to the reduction of the cross-section of reinforcement (Ababneh et al. 2003). 38 Therefore, there is growing interest in clarifying the deterioration mechanism and to predict the 39 life-cycle performance of concrete structures. 40

In reality, most concrete structures are always subjected to cyclic drying-wetting actions by
 wind, sun, rain/snow fall and water level of ocean/river. Coastal and marine structures in splash and

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tidal zones are a good example. Concrete within the splashing and tidal zones is not fully saturated. 43 The process of chloride ingress in partially saturated concrete is clearly different from that in fully 44 45 saturated concrete because of the different driving force and transport mechanism (Nagesh and Bhattacharjee 1998). There exist two transport mechanisms for chloride ions penetrating in the 46 unsaturated concrete; one is the capillary suction of chloride-containing water into partially 47 water-filled pores and the other is the diffusion of chloride ions in water-filled pores. It has been 48 shown that the transport of chlorides by capillary suction makes greater contribution than by 49 diffusion in the partially saturated concrete (Lunk 1998; Nagesh and Bhattacharjee 1998), since 50 under this condition the diffusion is a quite slow process in comparison with the capillary suction. 51 This has already been confirmed by some experimental findings. For example, Nielsen and Geiker 52 (2003) concluded that chloride diffusion coefficient in saturated condition was about 5 times higher 53 than that with RH of 65%. Climent et al. (2002) and de Vera et al. (2007) found that the diffusion 54 coefficient decreased about two orders of magnitude, from  $6 \times 10^{-12}$  m<sup>2</sup>/s to  $2 \times 10^{-14}$  m<sup>2</sup>/s 55 approximately, when the degree of water saturation was lowered from values of 0.7-0.8 to values of 56 0.3-0.4. The fact that corrosion of steel reinforcement in the splashing and tidal zone is most severe 57 can be partly attributed to the alternate wetting and drying which result in high chloride and oxygen 58 content. To properly describe the deterioration process of reinforced concrete structure under the 59 unsaturated condition, the chloride ingress by capillary absorption must be taken into account. 60

In addition, water penetration depth significantly depends on the characteristics of porosity in the surface layer of concrete, including the pore diameter and distribution, and the pore continuity and tortuosity (McCarter et al. 1992; Kelham 1988). When subjected to freeze-thaw cycling, concrete deteriorates through a variety of physical and chemical process, which will result in cracking, and subsequently increase the porosity of concrete. It has been widely realized that the

existence of cracks in concrete can significantly modify the transport properties of the material 66 (Gérard et al. 1996; Wang et al. 1997; Aldea et al. 1999; Yang et al. 2006; Sahmaran et al. 2007). 67 Moreover, cracking due to freeze-thaw cycling generally forms interconnecting flow paths and 68 allows more water or chloride ions to penetrate into the concrete, thus facilitating further 69 deterioration. A number of studies have shown that increased chloride transport due to cracking can 70 accelerate the corrosion of reinforced steel in concrete (Schiessl and Raupach1997; Qi 2003; Jaffer 71 and Hansson 2008). Therefore, in a cold and ingressive environment, it is necessary to properly take 72 into account the internal cracking or damage of concrete caused by freeze-thaw cycling in order to 73 realistically estimate the reduction of bearing capacity of RC structural members and then to predict 74 their durability and life-cycle performance. The mechanical behavior of frost-damaged concrete has 75 been successfully simulated by means of a mesoscale numerical model (Ueda et al. 2009). However, 76 few works have been done to investigate the agents moving process and mechanism in 77 FTC-damaged concrete, especially under the unsaturated condition. 78

In the present paper a lattice network model in mesoscale is proposed which is able to simulate 79 the chloride transport process in unsaturated concrete and account for the damage induced by 80 freeze-thaw cycling. The formulation of the model and its implementation into a three-phase 81 composite structure of concrete is presented on the basis of reasonable quantification of transport 82 characteristics of water and chlorides into mortar, interfacial transition zone (ITZ) and cracks. The 83 application of the model is illustrated on a numerical example in which the concrete is subjected to 84 different FTCs in order to investigate the influence of damage or cracking on the chloride 85 penetration. The result of numerical analysis is compared with the previous test data published in 86 87 literature.

## 88 Principal Mechanisms for Chloride Ingress

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# 89 Chloride binding capacity

Under a natural and open condition, if the gravity or water pressure gradient is ignored, the 90 principal penetration processes for chlorides in concrete can be represented by diffusion (which is 91 92 caused by the concentration gradient of dissolved ions) and the convection as a result of bulk 93 moving water (which is induced by the capillary absorption). In addition, when chlorides penetrate into concrete, it has been observed that some of the chlorides are chemically and physically bound 94 to the hydrated products of cement or the surfaces of some gel pores within concrete. This behavior 95 is called chloride binding capacity of concrete. Therefore, chloride in concrete is commonly 96 categorized as free and bound chloride. The total chloride concentration,  $C_t$ , can then be expressed 97 98 as

in which  $C_f$  is the free chloride concentration in kg/m<sup>3</sup> of the solution;  $C_b$  is the bound chloride concentration. The chloride binding capacity is usually defined as the ratio of the change in the free chloride concentration to the change in the total chloride concentration, which can be written as follows:

104 
$$\frac{\partial C_f}{\partial C_t} = \frac{1}{\frac{\partial C_f}{\partial C_f}} = \frac{1}{\frac{\partial (C_f + C_b)}{\partial C_f}} = \frac{1}{1 + \frac{\partial C_b}{\partial C_f}}$$
(2)

105 Here  $\lambda = \frac{\partial C_b}{\partial C_f}$  is an experimentally obtained parameter. It is apparent that in concrete only the free

106 chloride is responsible for the steel corrosion because the bound chloride cannot migrate freely 107 through the concrete cover to reach the surface of embedded steel bars. Thereafter, in the current 108 study, the governing equation for chloride ingress, including diffusion and convection, is formulated 109 in terms of the free chloride concentration. Additionally, the chlorides brought by the concrete 110 mixtures are not considered here.

## 111 Water convection induced by capillary absorption

When a dried (or unsaturated) concrete is in contact with water, convection of bulk moving water induced by capillary absorption serves as the main carrier for chloride ingress. Generally, the water movement is not influenced by the existence of chloride ions. The flux of mass of chloride at any point in concrete,  $J_c$ , in kg/m<sup>2</sup>.s, due to capillary absorption can be written as (Nagesh and Bhattacharjee 1998):

117 
$$J_c = -C_f D_w(\theta) \frac{d\theta}{dx}$$
(3)

118 where  $D_w(\theta)$  is the water or solution diffusivity in m<sup>2</sup>/sec if the chloride ions are supposed to have 119 the same transport speed with water;  $\theta$ , here and elsewhere in the text, is the normalized water 120 content, scaled to be zero and one for the initial (dried state) and saturated volumetric solution 121 contents (i.e., volume of solution/bulk volume of concrete in m<sup>3</sup> of solution /m<sup>3</sup> of concrete),  $\Theta_i$  and 122  $\Theta_s$ , respectively; *x* is the space coordinate in concrete perpendicular to the exposed surface. 123 Obviously,  $\theta$  can be written as:

124 
$$\theta = \frac{\Theta - \Theta_i}{\Theta_s - \Theta_i} \tag{4}$$

in which  $\Theta$  is the volumetric solution content in m<sup>3</sup> of solution/m<sup>3</sup> of concrete. In experiments, the initial state  $\Theta_i$  is specially reached by drying a specimen to constant weight at 105°C (in some experiments, lower than 105°C), so that, in this case  $\Theta_i$  is close or equal to zero. The saturated state is reached by direct contact with an unlimited supply of water, which is approximately equal to the volume fraction porosity (Hall 1989). Apparently, the porosity of a material is an important parameter to influence the total water volume when exposed to the water source. Additionally, in the current study, the difference of diffusivity between salt solution and pure water is neglected 132 implying that  $D_{w}(\theta)$  is independent of the solute concentration.

As has been pointed out, in absorption tests on capillary suction of NaCl solution, the speed of the chloride ions ingress is lower than the speed of water due to the filter effect of the cement paste, which is also called the retardation effect. This effect can be characterized by a retardation coefficient *R*, defined as (Lunk 1998):

$$R = 1 - \frac{x_{\rm cl}}{x_{\rm w}} \tag{5}$$

138 where  $x_{cl}$  is the mean chloride penetration depth and  $x_w$  is the mean water penetration depth.

In order to consider this effect, the flux of mass of chloride ions in Eq. (3) will be reduced by
multiplying the water diffusivity with the coefficient (1-*R*) (Roelfstra et al. 2004).

# 141 Chloride diffusion under unsaturated state

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Because of the retardation effect of cement paste, the free chloride concentration is likely to vary along the penetrating direction, even in the water-filled pores, so that a concentration gradient will be generated. This concentration gradient, therefore, causes diffusion of chloride ions from higher concentration to lower concentration. Usually the diffusion of chloride ions is formulated with Fick's second law:

147 
$$J_d = -D_{\rm cl}(\theta) \frac{dC_f}{dx}$$
(6)

148 where  $J_d$  is the flux of mass of chloride induced by the diffusion process;  $D_{cl}(\theta)$  is the chloride 149 diffusion coefficient in m<sup>2</sup>/sec and is dependent on the water content.

The chloride diffusion in concrete is a humid process, which can occur only if water is present in the pores of concrete (Saetta et al. 1993). Therefore, it has been stated that water content (sometime referred as relative humidity) in concrete plays an important role in the chloride diffusion process. Generally speaking, the diffusion coefficient of chloride decreases with the decrease of water content. Two different models to describe the interrelation between chloride diffusion coefficient and water content (or relatively humidity) are available currently.

The first model was developed by Saetta et al. (1993), using an *S*-shaped curve to account for the effect of relative humidity, RH, on the chloride diffusion coefficient, which can be expressed as:

158 
$$\frac{D_{\rm cl}(\rm RH)}{D_{\rm cl1}} = \left(1 + \frac{(1 - \rm RH)^4}{(1 - \rm RH_c)^4}\right)^{-1}$$
(7)

where  $D_{cl}(RH)$ ,  $D_{cl1}$  are the chloride diffusion coefficient at any relative humidity and at the 159 saturated state, respectively; RH<sub>c</sub> is the critical relative humidity, at which  $D_{cl}(RH_c)=0.5D_{cl1}$ , and 160 given as 75% by Saetta et al. (1993). When RH drops below RH<sub>c</sub>, the ion diffusivity shows a rough 161 drop due to the loss of liquid connectivity inside the porous network. In terms of Eq. (7), Nielsen 162 and Geiker (2003) experimentally determined RH<sub>c</sub> to be 83% for a Portland cement mortar with 163 164 w/c=0.5. De Vera et al. (2007) found that, for concrete with w/c=0.6, RH<sub>c</sub> is fitted as 83.1%. In the current study, the curves of Eq. (7) with the previously proposed values of RH<sub>c</sub> are presented in Fig. 165 2. To use Eq. (7), the relative humidity RH in Eq. (7) can be transferred into the normalized water 166 167 content ( $\theta$ ) with the method proposed by Akita et al. (1994) as follows:

168 
$$\theta = a_1 + a_2 RH + a_3 \alpha + a_4 RH^2 + a_5 RH \alpha + a_6 \alpha^2 + a_7 RH^3 + a_8 RH^2 \alpha + a_9 RH \alpha^2 + a_{10} \alpha^3$$
(8)

in which  $a_1 \sim a_{10}$  are constants, and their values have been experimentally fitted as 33.4, 1.46, -0.287, -1.58×10<sup>-2</sup>, -1.45×10<sup>-2</sup>, 4.22×10<sup>-4</sup>, 7.73×10<sup>-5</sup>, 1.74×10<sup>-4</sup>, -4.22×10<sup>-6</sup> and 0, respectively;  $\alpha$  is the water/cement ratio expressed in percentage (e.g. for w/c=0.5, the value of  $\alpha$  is 50). The validity of Eq. (8) is illustrated in Fig. 1 by comparing the predicted results with available test data. It is evident that the method proposed by Akita et al. (1994) (Eq. (8)) is able to build an approximate relation between the RH and  $\theta$  if ignoring the scatter of test results.

175 Saeki and Niki (1996) suggested another model with an empirical relationship to consider the

176 influence of water content on chloride diffusion coefficient:

177 
$$\frac{D_{\rm cl}(\theta)}{D_{\rm cl1}} = 0.0032 \times 10^{0.025\theta}$$
(9)

178  $D_{cl}(\theta)$  in Eq. (9) has the same definition as  $D_{cl}(RH)$  in Eq. (7) considering the relationship between 179 relative humidity RH and relative water content  $\theta$ . The comparison of the above two models, as 180 well as compared with available test data, is depicted in Fig. 2. It can be seen that the curve 181 obtained from Eq. (7) with RH<sub>c</sub>=83% matches better with the experimental data than the curve from 182 Eq. (9). Thereafter, Eq. (7) with RH<sub>c</sub>=83% will be adopted in the subsequent analysis.

## 183 Combined formulation of convection and diffusion

184 Therefore, the total flux of chloride mass can be written as:

185 
$$J = J_c + J_d = -\left[C_f D_w(\theta)(1-R)\frac{\partial\theta}{\partial x} + D_{cl}(\theta)\frac{\partial C_f}{\partial x}\right]$$
(10)

186 Considering the mass balance of chloride ions in an infinitesimal volume of concrete, the following187 equation can be obtained:

188 
$$\frac{\partial C_t}{\partial t} = -\frac{\partial J}{\partial x}$$
(11)

189 By substituting Eq. (10) into Eq. (11) and applying Eq. (2), the governing equation for chloride

190 ingress in a 1-D case can thus be expressed as

191 
$$\frac{\partial C_t}{\partial t} = \frac{\partial C_t}{\partial C_f} \frac{\partial C_f}{\partial t} = (1+\lambda) \frac{\partial C_f}{\partial t} = \frac{\partial}{\partial x} \left[ C_f D_w(\theta) (1-R) \frac{\partial \theta}{\partial x} + D_{cl}(\theta) \frac{\partial C_f}{\partial x} \right]$$
(12)

192 Rearranging Eq. (12), one can obtain

193 
$$\frac{\partial C_f}{\partial t} = \frac{1-R}{1+\lambda} C_f \frac{\partial}{\partial x} \left[ D_w(\theta) \frac{\partial \theta}{\partial x} \right] + \frac{1}{1+\lambda} \frac{\partial}{\partial x} \left( D_{cl}(\theta) \frac{\partial C_f}{\partial x} \right)$$
(13)

194 The first term in the right-hand side of Eq. (13) represents the convection effect, i.e. chloride ingress 195 caused by water movement and the second term describes the diffusion process due to concentration 196 gradient. Water movement due to capillary absorption is usually described as follows:

197 
$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial x} \left[ D_w(\theta) \frac{\partial \theta}{\partial x} \right]$$
(14)

198 Thus, Eq. (13) can be written as:

199 
$$\frac{\partial C_f}{\partial t} = \frac{1-R}{1+\lambda} \frac{\partial \theta}{\partial t} C_f + \frac{1}{1+\lambda} \frac{\partial}{\partial x} \left( D_{\rm cl}(\theta) \frac{\partial C_f}{\partial x} \right)$$
(15)

To sum up, the penetration of chloride ions in an unsaturated concrete is governed by this coupled 200 system of partial differential equation (PDEs), i.e. Eqs. (14) and (15). Moreover, one can clearly see 201 that Eq. (14) and the second term of Eq. (15), representing the two mechanisms of convection and 202 diffusion respectively, have the similar form. Therefore, when the coupled PDEs are applied 203 numerically, two consecutive steps are usually adopted with in a time interval (time step) to solve 204 this problem: the first step is to solve Eq. (14) for water movement; the second step is to solve Eq. 205 (15) following the results of water absorption in the first step to obtain the chloride concentration 206 207 (Ababneh et al. 2003). It should be pointed out that an iteration process should be used to solve Eq. (14) (the first step) because  $D_w(\theta)$  is strongly dependent on the relative water content, which will be 208 argued in the following section. 209

#### 210 Transport of capillary water

When concrete is assumed to be fully hydrated, implying no further water consumed during the ingress process, transport of capillary water can be described in terms of volume fraction of pore water by Richards' equation (Ozbolt et al. 2010). The equation for unsaturated flow through porous media is expressed as:

215 
$$\frac{\partial \theta}{\partial t} = \nabla \cdot \left( D_w(\theta) \nabla \theta \right)$$
(16)

For a 1-D semi-infinite system subject to a boundary condition  $\theta=1$  at x=0 and an initial condition  $\theta=0$ , x>0, t=0 (uniform initial water content within the sample of material), Eq. (16) turns into Eq. (14). By applying the Boltzmann's transformation, i.e., introducing the Boltzmann variable, 219  $\phi=x.t^{-1/2}$ , the partial differential Eq. (14) can be reduced to an ordinary boundary-value problem 220 (Lockington, et al. 1999):

221 
$$-\frac{1}{2}\phi \frac{d\theta}{d\phi} = \frac{d}{d\phi} \left( D_w(\theta) \frac{d\theta}{d\phi} \right)$$
(17)

222 with  $\theta=1$  for  $\phi=0$ , and  $\theta=0$  as  $\phi \rightarrow \infty$ .

223 The functional relationship between  $D_w$  and  $\theta$  is strongly non-linear, which is commonly 224 approximated by the exponential-law (Hall 1989; Hall 1994; Lockington et al. 1999):

225 
$$D(\theta) = D_0 e^{n\theta}$$
(18)

in which  $D_0$  and *n* are empirically-fitted constants. Eq. (18) is widely utilized because of its relatively simple expression and rather narrow range of parameter *n* (6 to 8 by Hall (1989)). The cumulative water absorption of a sample, *i*, increases as the square root of the elapsed time *t*:

229 
$$i = St^{1/2}$$
 (19)

in which *S* is defined as the sorptivity of a porous materials, and regarded as the rate of water uptake by the material when exposed to a water resource. In some literatures, it is also named as the coefficient of capillary suction (Wittmann et al. 2006). Obviously from Eq. (19), *S* can be easily determined from the slope of the *i* versus  $t^{1/2}$  curve, where *i* is measured in experiment as  $i=\Delta w/A\rho_w$ (in which  $\Delta w$  is the increase in weight, *A* the cross-sectional area and  $\rho_w$  the density of water).

An approach was proposed to determine the constants n and  $D_0$  with the experimentally regressed value of S (Lockington et al. 1999). In their method, the constant n is taken a universal value as 6.0, and then  $D_0$  can be approximately estimated by:

238 
$$D_0 = s^2 / 123.131$$
 (20)

where  $s=S/(\Theta_s-\Theta_i)$ , is the normalized sorptivity. The rationality of Lockington (1999)'s method has been illustrated in a previous work (Wang and Ueda 2011).

#### 241 Lattice network model on mesoscale

One of the advantages of mesoscale modeling of strongly heterogeneous materials such as concrete 242 is that it can particularly represent the characteristics of interface between different materials. For 243 example, recent studies have began to treat concrete as a three-phase composite material, consisting 244 of coarse aggregates, mortar matrix and the interfacial transition zones (ITZs) between the 245 aggregate particles and the surrounding mortar. Compared with the bulk cement paste, the ITZ has 246 higher w/c and porosity. Except the HPC (High Performance Concrete), the ITZ is likely to be 247 cracking when subjected to load or environmental attack. From this point of view, the ITZ is often 248 regarded as a zone of weakness in both the mechanical and transport properties. To precisely predict 249 the transport properties of concrete, especially to account for the influence of damage or cracking, 250 251 the ITZ should be separately taken into account and treated as the critical phase of concrete.

# 252 Mesoscale structure of concrete

The size distribution of coarse aggregates in a geometrical domain is generated in terms of the Fuller's curve for aggregate gradation. The Fuller's curve is usually given by the following formula:

255 
$$P_i = \left(\frac{d_i}{D_{\text{max}}}\right)^n \times 100\%$$
(21)

where  $D_{\text{max}}$  represents the maximum aggregate grain size;  $d_i$  represents a given particle size, and  $P_i$ denotes the percent of the aggregate that is finer than  $d_i$ . A typical value for the exponent *n* is 0.45 or 0.50. On the basis of Fuller's curve, Walraven et al. (1981) transferred this 3-D gradation curve into a 2-D aggregate distribution model for the cross section of a concrete sample, i.e. the area percentage of the aggregates with diameter less than  $D_0$  can be written as:

$$261 \quad P_c(D < D_0) = P_k(1.065 D_0^{0.5} D_{\max}^{-0.5} - 0.053 D_0^4 D_{\max}^{-4} - 0.012 D_0^6 D_{\max}^{-6} - 0.0045 D_0^8 D_{\max}^{-8} + 0.0025 D_0^{10} D_{\max}^{-10})$$
(22)

in which  $D_0$  is one of the aggregate diameter in the gradation series;  $P_k$  is the volume fraction of coarse aggregate.

In this study, a standard pseudo-random number generator is used to produce probabilities from 264 which the diameter of the aggregate is determined. This procedure is repeated until the calculated 265 volume fraction of aggregates by Eq. (22) is obtained. After accomplishing the database of 266 aggregate particles, the particles are randomly placed one by one into the domain of the concrete 267 sample in such a way that there is no overlapping with particles already placed (Grassl and Pearce 268 2010; Wang et al. 1999). An example of the generated concrete sample by the above procedure is 269 shown in Fig. 3 with coarse aggregate volume fraction of 39.5% and maximum and minimum 270 diameters of 20 mm and 4 mm respectively. 271

#### 272 Lattice network model

In the past few years, it has been proposed that on the mesoscale level, mass transport in concrete 273 can be described by means of lattice-type model. This type of model is categorized as a discrete 274 275 numerical method and has the advantage of mesh-independence and accurate descriptions of basic properties of the continuum response (Sadouki and Van Mier 1997; Grassl 2009). In our previously 276 published paper (Wang and Ueda 2011), the lattice network model was established to simulate 277 capillary water transport process by concrete on the basis of Voronoi tessellation. Fig. 4 illustrates 278 the composition of lattice network of a concrete specimen on mesoscale. In Fig. 4, polygons with 279 dotted sides are the Voronoi elements after meshing the specimen. By connecting the nodes in 280 281 Voronoi diagram (nuclei of Voronoi polygons and the intermediate points on edges of the Voronoi polygons), a network with five types of lattice elements is established with respect to their position 282 falling in the mesostructure of concrete (see Fig. 4a). Each type of lattice element has their unique 283 transport property. When concrete is damaged due to mechanical loading or environmental action 284 (e.g., freeze and thaw cycling), cracks are always supposed to generate and propagate along the 285 joint edge of two neighbouring Voronoi elements (see Fig. 4b). In other words, the cracks are only 286

allowed to present on the dotted lines. It should be pointed out that the 3-D configuration of cracks 287 is not considered due to the 2-D analysis nature. Therefore, in the present approach, the crack depth 288 perpendicular to mass moving direction is assumed to be same with thickness of the specimen, 289 which was usually set as unit thickness. As a result, the lattice elements along cracks will be given 290 their cross-sectional area and diffusivity in terms of the crack openings. Only an overview of this 291 approach is briefly given here. Additional details, such as those related to Voronoi element 292 293 construction, lattice element meshing method and the discrete form of governing equation have been reported by Wang et al. (2008) and Wang and Ueda (2011). 294

The use of an irregular lattice network for the description of water movement in uncracked concrete has been successfully implemented (Wang and Ueda, 2011). In the current work, the effect of cracks caused by FTCs attack is under the consideration.

# 298 Chloride penetration of undamaged concrete

# 299 Chloride diffusion coefficient of the ITZ

As already mentioned, chloride ions penetrate faster in the ITZ than in the bulk cement paste. 300 Numerous studies have been carried out to investigate and quantify the effect of ITZs on the 301 transport properties of mortar and concrete. The diffusion coefficients of the ITZ, as well as its 302 thickness obtained from the literatures are listed in Table 1. Although their conclusions are not in 303 full agreement with each other, it can be generally summarized that  $D_{\text{ITZ}}$  is usually less than 16 304 times of that of cement paste, especially in most cases no more than 10 times. Moreover, because 305 the diffusion coefficient of mortar has the same magnitude of order as that of cement paste (Caré 306 2003; Caré and Hervé 2004), the  $D_{\text{ITZ}}$ , in the calculation process of this paper, is roughly assumed 307 as 10 times of that corresponding to mortar. It means that the diffusion coefficient for lattice 308

elements on the aggregate-mortar boundary is taken as 10 times higher than that for the latticeelements within mortar.

# 311 Numerical example- initial and boundary conditions

The modeling approach described above, which was implemented by C++ language and performed 312 on a personal computer on Windows, is applied to a NaCl solute absorption problem. The 313 314 experimental study on this numerical example has been performed by Wittmann et al. (2006) to investigate the freezing-thawing damage on water and chloride transport properties of concrete. In 315 the current analysis, the water-to-cement ratio is assumed to be 0.6 in order to coincide with that 316 317 used in test. In the domain of the specimen, the volume fraction of coarse aggregate is 49%, which is very close to that used in the experiment. The Voronoi meshing diagram of the sample, as well as 318 the illustration of boundary condition, is shown in Fig. 5, which is modeled with 844 Voronoi 319 320 elements and 8961 lattice elements (but for sake of clearness, the lattice elements are not depicted). In the experimental program, concrete cubic specimens with an edge length of 100 mm were cut 321 into two halves (i.e. the thickness of each slice is 50 mm). After adequately cured in the humid 322 curing room, the samples were further stored under laboratory conditions at  $20 \pm 3$  °C and 50 % RH 323 for another 21 days. And then, they were dried in an aerated oven at a temperature of 50°C for 24 324 hrs before put in contact with NaCl solution with a concentration of 3%. It was found that there was 325 326 no further apparent weight loss for the samples undergone the above drying operation. During the penetration test, the four lateral sides of the slice were sealed with wax in order to have one 327 directional flow from the bottom surface through the specimen. The schematic representation of the 328 experimental set-up can be referred to Wittmann et al. (2006). To fit the test conditions, the bottom 329 and top surfaces of the specimen are treated to be exposed to chloride solution and to fully dried 330 atmosphere respectively, whereas the two side surfaces are completely sealed. In terms of the above 331

drying procedure and thin thickness of the slice, the sample can be assumed to fully dried before 332 contacting to water solution. As a result, the initial and boundary condition for transport of capillary 333 water are taken as follows:  $\theta(x)=0.0$ , for t=0;  $\theta(x_b)=1.0$ , for t>0;  $x_b$  is the coordinate of the nodes of 334 lattice elements that are exposed to the solution. It implies that on the exposed surface, concrete is 335 assumed to be fully saturated. Therefore, the chloride concentration on the exposed surface was 336 determined as  $4.86 \times 10^{-3}$  g/cm<sup>3</sup> for undamaged concrete in terms of the concrete porosity, which was 337 estimated as 26.7% with w/c=0.6 by means of the Powers' model (Hansen 1986). It was kept 338 constant in the 24 hours' analysis by assuming that the 3% NaCl solution (with chloride 339 concentration in the solution of  $1.82 \times 10^{-2}$  g/cm<sup>3</sup>) completely fill in the pores at the very thin surface 340 layer of concrete. It should be noted that the chloride concentration on the exposed surface, is varied 341 with the damage degree of concrete because the damage can result in a porosity increase. In 342 addition, from the test data of all samples, constant chloride content (0.07% by weight of concrete) 343 344 was observed in deep position along penetration direction, at which the chlorides were unreachable in 24 hours. This implies that initial chloride content was present in the concrete mixture, which 345 was estimated to be  $1.68 \times 10^{-3}$  g/cm<sup>3</sup> if the concrete density is taken 2.4 g/cm<sup>3</sup>. To summarize, for 346 chloride transport, the initial and boundary conditions are:  $C_t(x)=1.68\times10^{-3}$  g/cm<sup>3</sup> for t=0, which 347 was observed from the experimental results;  $C_t(x_b)=4.86\times10^{-3}$  g/cm<sup>3</sup> of concrete, for t>0. The filter 348 effect, making the chloride front slower than water penetration, is also taken into account with the 349 350 coefficient of *R*=0.7.

# 351 **Predicted result of the chloride profile**

As the water absorption have been analyzed in a previous paper (Wang and Ueda, 2011), only the distribution of chloride will be argued here, and shown in Fig. 6. The effect of coarse aggregates on the chloride penetration front can be clearly observed in the penetration area: on one hand, the coarse aggregates, especially those with relatively larger size can "block" the moving chlorides due to their lower permeability; on the other hand, the presence of ITZs, which have higher porosity, will contribute to facilitating the penetration of chlorides. Therefore, from Fig. 6, one can see that the chloride concentration in the area behind coarse aggregates is much lower than that in the area of surrounding mortar matrix. This phenomenon has been experimentally observed and considered to cause the scatter in the depths of chloride penetration even within the same sample (Rodriguez and Hooton 2003)

Fig. 7 provides the comparison of chloride profiles in concrete between the predicted results by the lattice network model and the experimental data obtained by Wittmann et al. (2006). It can be seen that in this example, after 24 hrs exposure to NaCl solution, the predicted chloride profile is in good agreement with the experimental measurement.

## 366 Chloride Penetration of Freeze-thaw damaged concrete

#### 367 Cracks induced by freeze-thaw cycling

Recent studies have shown that subjecting to freeze-thaw damage can result in the increase of 368 permeability of concrete due to the occurrence of new cracks and connectivity of originally existing 369 microcracks (Jacobsen et al. 1996; Yang et al. 2006). For example, Jacobsen et al. (1996) observed 370 that the freeze-thaw cycling can increase the chloride penetration rate up to about 2.5 to 8 times 371 372 after 31 to 95 FTCs when compared with the undamaged concrete. It also indicates that the internal cracking of concrete induced by freeze-thaw cycling is significantly dependent on the number of 373 cycles. Therefore, after different FTCs, the knowledge on internal cracks, e.g., the crack distribution 374 (numbers and location) and crack width should be investigated and made clear so as to estimate 375 their effects on chloride transport. 376

377 Contrary to the research effort on freeze-thaw mechanism, it seems that in the past, studies on

the characteristics of FTC-induced cracks were mostly focused on the qualitative interpretation, but 378 379 few could give a precise and quantitative description of the cracks. For instance, Jacobsen et al. (1996) performed the rapid freeze-thaw experiment on a non-air entrained concrete with w/c of 0.4 380 and measured an ultimate volume increase as 2.9% after 95 FTCs by weighing the frosted specimen 381 in air and water respectively. Hasan et al. (2004) conducted a freezing-thawing test on the non-air 382 entrained concrete (w/c=0.5) in a climate chamber. In this experiment, the strain variation, defined 383 as the plastic tensile strain, was measured during the freezing-thawing process and regarded as the 384 evaluation of damage degree. Therefore, for the purpose of application of numerical models, it is 385 necessary to convert the above test measurements into the cracking information. 386

For a prismatic specimen with the initial size of  $V_0=L_1 \times L_2 \times L_3$ , the increase length of each side due to freeze-thaw cycling is set as  $\Delta L_1$ ,  $\Delta L_2$  and  $\Delta L_3$ , then the increased volume  $\Delta V$  may be calculated as:

$$\Delta V = (L_1 + \Delta L_1) (L_2 + \Delta L_2) (L_3 + \Delta L_3) - V_0$$
(23)

391 Then the ratio of volume increase is written as:

392 
$$\frac{\Delta V}{V_0} = \frac{(L_1 + \Delta L_1)(L_2 + \Delta L_2)(L_3 + \Delta L_3)}{L_1 L_2 L_3} - 1 = \left(1 + \frac{\Delta L_1}{L_1}\right)\left(1 + \frac{\Delta L_2}{L_2}\right)\left(1 + \frac{\Delta L_3}{L_3}\right) - 1$$
(24)

Here, a uniform assumption is typically given that freeze-thaw cycling may cause the same
expansion along each direction. Thus the above equation can be written as:

395 
$$\frac{\Delta V}{V_0} = (1+\varepsilon)(1+\varepsilon)(1+\varepsilon) - 1 = (1+\varepsilon)^3 - 1$$
(25)

in which  $\varepsilon$  is the plastic strain induced by freeze-thaw cycling in any direction. Reversely, according to Eq. (25), when the volume increase is measured, the plastic strain of a specimen can be estimated as:

$$\mathcal{E} = \sqrt[3]{1 + \frac{\Delta V}{V_0}} - 1 \tag{26}$$

The volume increase and plastic strain derived from experimental data (Hasan et al. 2004; Jacobsen et al. 1996) based on Eq. (26) are given in Table 2, together with their originally measured results.

From Table 2 it can be observed that results from the two tests differ too much up to an 403 order-of-magnitude variation. The most significant reason seems to be attributed to the different 404 freezing-thawing process and deformation measuring method as explained above. However, it 405 should be noted that in Jacobsen et al.'s experiment, not only the volume increase was measured but 406 also the crack density and crack width were also evaluated with the scanning electron microscope 407 (SEM). Further more, the calculated crack width using the measured volume increase, as shown in 408 Table 2, was proved to be in good accordance with the SEM observation (Jacobsen et al. 1995). And 409 recently, a similar crack width magnitude order was also observed by using the SEM technology 410 (Yang et al. 2006). Therefore, in this study, the width of FTC-induced cracks will be determined 411 412 based on experimental data obtained by Jacobsen et al. (1996).

In addition to the crack width, observation with SEM reveals that after freeze-thaw exposure, 413 cracks mostly occur in the interface between cement paste and aggregate (Jacobsen et al. 1995; 414 1996; Yang et al. 2006). Based on this fact, in the lattice network model, all the cracks are assumed 415 to be present around the coarse aggregates and the cross-sectional area of lattice elements on the 416 ITZs (see Fig. 4) will be adjusted according to the crack width. And then the corresponding 417 diffusivity of water and chloride through these elements will be determined using the approach in 418 the following sections. The influence of FTC-induced cracking and the above modeling method 419 have been demonstrated in a previous paper in terms of a pure diffusion process of chloride under 420 saturated condition (Wang and Ueda 2009). It was found that the diffusion coefficient of concrete 421 after frost attack is increased with the increase of freezing-thawing cycles. 422

19

## 423 Diffusivity of water and chlorides through cracks

Because few attempts have been done to quantify the process for water transport through a single 424 crack, Nakamura et al. (2006) suggested that, under the saturated condition, the water transport 425 coefficient through a single crack could be empirically set as  $1.0 \times 10^5$  mm<sup>2</sup>/day (i.e., 4200 mm<sup>2</sup>/hr). 426 Presently, there are a number of experimental investigations available for assessing the 427 penetration rate of chlorides through a single crack. This is well documented in the literatures 428 (Djerbi et al. 2008; Kato et al. 2005; Takewaka et al. 2003; Ismail et al. 2008; Rodriguez and 429 Hooton 2003). Sahmaran (2007) found that that for crack width less than 135 µm, the effect of 430 crack width on the diffusion coefficient of mortar was marginal, whereas for crack width higher 431 than 135 µm the diffusion coefficient increased rapidly. This threshold value was found to be 75 µm 432 433 by Kato et al. (2005). Because the width of a crack induced by freeze-thaw is usually very small (e.g., the order of magnitude was measured as about 10 µm, see Table 2), the diffusion coefficient 434 435 of chloride transport through a crack is assumed to be independent to the crack width and set as 3000 mm<sup>2</sup>/hr based on the previous findings (Wang and Ueda 2011). Moreover, for one crack lattice 436 element, the smooth surface is assumed since it has been experimentally observed that the chloride 437 diffusion in cracked concrete is independent of the crack surface roughness (Rodriguez and Hooton 438 439 2003).

## 440 Water and chloride distribution in damaged concrete

The parameters used for numerical simulation of water and chloride transport through freezing-thawing damaged concrete are summarized in Table 3. The contacting time of sample to water is assumed as 24 hrs long. Wittmann et al. (2006) conducted the research on water and chloride penetration in concrete after 50 and 150 FTCs, so that their experimental data will be used

to verify the numerical result. After subjected to 50 and 150 FTCs, the width of microcracks within 445 concrete is taken as 8 µm and 15 µm respectively, which are deduced from the observation of 446 Jacobsen et al (1996). The distribution of water content over the depth of the specimen after 8 and 447 24 hrs' exposure is shown in Fig. 8. Moreover, the numerical results of cumulative absorbed water 448 for concrete samples after different FTCs are compared with the experiment data and depicted in 449 Fig. 9. It can be seen that the penetration of water is much faster and deeper in cracked than in 450 un-cracked concrete. The amount of up-taken water by the sample is found to increase with the 451 increase of FTCs, which is in good agreement with experimental observation. This result can be 452 attributed to the formation of more penetration paths and connectivity of the initial microcracks 453 when subjected to the action of freezing-thawing. To further illustrate this phenomenon, the 454 relationship between water content  $\theta$  and Boltzmann variable  $\phi$  of concrete subjected 50 and 150 455 FTCs, as well as that without damage, is shown in Fig. 10. It is noted that at the same value of 456 Boltzmann variable, the water content has a notable increase after subjected to freeze-thaw cycling. 457 Similarly, Fig. 11 shows the comparison of the model predictions and experimental results for 458 the chloride profiles after 50 and 150 FTCs. Comparing the distribution of chlorides after subjecting 459 to different FTCs (see Figs. 7 and 11), it can be seen that the chlorides penetrate deeper with 460 increase of FTCs. A similar conclusion was drawn in experiments (Wittmann et al. 2006). The 461 agreement between the prediction of numerical model and test data illustrates that the lattice 462 network model, combined with the foregoing formulation in this paper, can successfully simulate 463

## 465 **Summary and Conclusions**

464

In the present paper, a numerical simulation approach in mesoscale with the lattice network modelis reported to evaluate the chloride ions penetration process within unsaturated concretes before and

the chloride penetration in unsaturated, FTC-damaged concrete.

468 after subjected to the freezing-thawing cycles (FTCs). The following conclusions can be drawn:

In the mesoscale, concrete is represented as a three-phase composite, i.e., the mortar matrix, aggregate particles and interfacial transition zones (ITZs), so that it is possible to involve separate material models (e.g., transport model) for each phase. The strategy of Voronoi diagram is adopted that is able to account for the damage or cracking with the concept of discrete cracks.
On the basis of Voronoi tessellation of a concrete domain (uncracked or cracked), an irregular network composing of various types of lattices is built up to describe the water and chlorides transport.

Under unsaturated state, chloride penetration in concrete is attributed to two mechanisms, i.e.
 convection (water absorption) and diffusion. The mathematical formulation of this combined
 process is proposed and implemented into the lattice network, and then solved by two
 consecutive steps separately.

3) FTC-induced damage can be represented by a uniformly distributed and connected crack 480 network throughout the concrete. As a result, both the water absorption and chloride penetration 481 will be accelerated after subjected to FTCs. In this study, the features of microcracks, including 482 crack distribution and widths, are clarified by investigating and analyzing the available 483 experimental observations. After applying the lattice network model to the FTC-damaged 484 concrete, the distribution of water and chloride over the depth of the specimen are quantitatively 485 obtained. It is observed that the penetration of water and chloride is faster and deeper in 486 damaged than in undamaged concrete. The damage of concrete also significantly increases the 487 amount of up-taken water. 488

489 4) The lattice network model, coupled with the mesoscale structure of concrete, seems to provide a
 490 realistic tool for simulating the chloride penetration process in intact or damaged concrete

material since the predicted chloride profiles agree well with experimental data. Therefore, it
may be hoped that the attempt in this paper could be helpful to reduce the experimental time and
errors, and further be used to facilitate the service life prediction of concrete structures subjected
to the combination action of freeze-thaw cycling and salt attack. It should also be pointed out
that further work, especially the quantification of FTC-induced damage and media transport
property through crack, is needed in order to increase the precision of simulation.

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Fig.1. Relationship between relative humidity (RH) and normalized water content  $\theta$ 

- Fig. 2. Comparison of models on chloride diffusion coefficient versus water content
- Fig. 3. The 2-D mesoscale model of a concrete cube
- Fig. 4. Demonstration of the construction of the lattice network model
- **Fig. 5.** Specimen model (width×height=50mm×60mm)
- Fig. 6. Distribution of chloride (wt% of concrete) over the section of the specimen after 24 hrs
- Fig. 7. Comparison of chloride profiles between test data and numerical result
- Fig. 8. Distribution of water content in concrete after subjected to 0, 50 and 150 FTCs
- Fig. 9. Amount of water absorbed by the concrete after subjected to 0, 50 and 150 FTCs
- **Fig. 10.** Relationship between water content  $\theta$  and Boltzmann variable  $\phi$
- Fig. 11. Comparison of chloride profiles after subjected to 50 and 150 FTCs



Fig. 1. Relationship between relative humidity (RH) and normalized water content  $\theta$ 



Fig.2. Comparison of models on chloride diffusion coefficient versus water content



Fig. 3. The 2-D mesoscale model of a concrete cube



Fig. 4. Demonstration of the construction of the lattice network model



**Fig. 5.** Specimen model (width×height=50mm×60mm)



Fig. 7. Distribution of chloride (wt% of concrete) over the section of the specimen after 24 hrs



Fig. 8. Comparison of chloride profiles between test data and numerical result



Fig. 9. Distribution of water content in concrete after subjected to 0, 50 and 150 FTCs



Fig. 10. Amount of water absorbed by the concrete after subjected to 0, 50 and 150 FTCs



**Fig. 11.** Relationship between water content  $\theta$  and Boltzmann variable  $\phi$ 



Fig. 12. Comparison of chloride profiles after subjected to 50 and 150 FTCs

Series	Thickness of ITZ(µm)	$D_{ m ITZ}/D_{ m p}$	Reference	
1	20-40	1.6-1.3	Yang 2003	
2	30	16.2	Caré and Herve 2004	
3	10-30	5	Delagrave et al. 1997	
4	20	4-8	Oh and Jang 2004	
5	-	6-12	Breton et al. 1992	
6	20	2-6	Bentz et al. 1997	
7	15-30	2-7	Shane et al. 2000	

**Table 1** Literatures summary on the  $D_{\text{ITZ}}$ 

<b>Table 2</b> Comparison	n of the	strain and	l crack	width	from	different	tests
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Ref.	Index —	Number of FTCs			
		31	61	95	
Jacobsen et al. 1996	$\Delta V/V_0$ (vol-%)	0.7	1.7	2.9	
	ε (×10 <sup>-6</sup> )*	2328	5635	9575	
	w <sub>cr</sub> (µm)	5	9	12	
Ref.	Index	Number of FTCs			
	Index —	50	100	150	
Hasan et al.	$\Delta V/V_0 (vol-\%)^*$	0.09	0.18	0.24	
2004	ε (×10 <sup>-6</sup> )	314	596	809	

\* indicates the calculated results using the corresponding experimental data.

Diffusivity	Mortar	IT7	Crack	Aggragata	
coefficient	Wiortai	IIL		Aggregate	
$D_0 (\mathrm{mm}^2/\mathrm{hr})$	0.06	0.541	4200	0	
$D_{\rm cl1}(\rm mm^2/hr)$	0.35	3.5	3000	0	

Table 3 Parameters for mass (water and chloride) transport in different phases of concrete