



Title	Large-Scale Experiment and Numerical Modeling of a Riverine Levee Breach
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Citation	Journal of Hydraulic Engineering, 140(9), 4014039-1-4014039-9 <a href="https://doi.org/10.1061/(ASCE)HY.1943-7900.0000902">https://doi.org/10.1061/(ASCE)HY.1943-7900.0000902</a>
Issue Date	2014-09
Doc URL	<a href="http://hdl.handle.net/2115/57527">http://hdl.handle.net/2115/57527</a>
Type	article (author version)
File Information	JHE140-9 04014039.pdf



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# Large-Scale Experiment and Numerical Modeling of Riverine Levee Breach

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**Abstract:** This study aims to clarify the mechanism of riverine levee breach and propose a new numerical model for that phenomenon. We performed large-scale experiments of overtopping breach using an experimental flume located on the floodway of an actual river channel. By taking advantage of the scale of the flume, we monitored the levee breach process with state-of-the-art observation devices under highly precise hydraulic conditions. We performed four test cases with variations of inflow rate, levee material and levee shape, and monitored the levee breach quantitatively using acceleration sensors installed in the levee body. From the results of the experiments, we categorized the breach process into four stages, focusing on the breach progress and hydraulic characteristics. We determined that the correlation between the breached volume and the hydraulic quantities of velocity, water level and Shields number can be expressed by an equation similar to that for bed load transport. Finally, we proposed a two-dimensional numerical model by integrating the experimental results into geomechanics, and we obtained a fine reproduction result.

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Introduction

25       Recent years have seen a considerably increased incidence of typhoons, torrential rainstorms and other  
26 extreme meteorological phenomena due to climate change, thereby raising the risk of large-scale  
27 disasters caused by riverine floods. The flood damage is particularly severe when levee breaches occur,  
28 so estimating the flood magnitude and providing hazard maps are crucial for risk management. In light of  
29 this, we identified an urgent need to clarify the mechanism of riverine levee breach. Most of the riverine  
30 levees are earthen embankments, and a variety of research on earthen embankment breaches has been  
31 done for decades. That research can be classified as field case studies, laboratory experiments and breach  
32 models.

33       Firstly, field case studies: These are among the most important ways of studying embankment  
34 breaches; however, despite considerable effort, only a limited number of real-life, real-time breach  
35 events have been investigated because of observation difficulties and safety concerns.

36       Next, laboratory experiments: Wahl (2007) reviewed a large number of laboratory embankment breach  
37 experiments performed over the course of several decades. Most of these experiments were found to be  
38 small-scale, except for a few experiments such as the European IMPACT project (Morris and Hassan  
39 2005), the USDA-ARS project (Hanson et al. 2005; Hunt et al. 2005) and Sattar et al. (2008) who  
40 performed a mobile bed experiment of the 17<sup>th</sup> street canal breach by hurricane Katrina and stated the  
41 bed of breach was variable. Embankment breach, which depends on interaction among flows, sediment  
42 transport and corresponding morphological changes, is so complex that laboratory experiments,  
43 especially small-scale ones, encounter scale effects and simplifications that make it difficult to  
44 understand the breach processes and to collect reliable data toward developing embankment breach  
45 models. Wahl's review also clarified that most of the experiments, including the aforementioned two  
46 projects, addressed dam embankment breaches, whereas very few experiments addressed riverine levee  
47 breaches.

48 Thirdly, breach models: Many models have been proposed, and the ASCE/EWRI Task Committee on  
49 Dam/Levee Breaching (2012) reviewed such models and classified them as parametric models,  
50 simplified physically based models or detailed physically based models. According to the review, most  
51 of these models addressed overtopping dam breaches, and only a few models addressed riverine levee  
52 breaches.

53 Here we attempt to clarify the different characteristics of the dam embankment breaches and riverine  
54 levee breaches. Morphologically, the dam breaches are characterized by vertical progress, in contrast to  
55 the horizontal advancement of riverine levee breaches. Hydraulically, an overflow direction  
56 perpendicular to the cross section characterizes dam breaches, in contrast to oblique overflow for  
57 riverine levee breaches. Moreover, for dam breaches, the inflow decreases rapidly as the breach  
58 advances and the reservoir becomes empty, whereas for the riverine levee breaches, the inflow  
59 continues unless the upstream flood recedes.

60 Considering the aforementioned different characteristics of dam breach versus riverine breach, we  
61 assume that the results from the dam breach experiment can be applied only to the very initial stages of  
62 riverine levee breach and not to the later stages. This is because the horizontal scale versus the vertical  
63 scale for riverine levee breaches may exceed 100 or more, and such scales are beyond the scope of  
64 previous dam breach experiments. Therefore we realized that proper experiments were needed to  
65 reproduce the riverine levee breach, which is characterized by lateral breach widening normal to river  
66 flow. To obtain reliable data, we performed large-scale experiments using the Chiyoda Experimental  
67 Flume (hereinafter: “the flume”).

68 The flume is in the floodway of the Tokachi River in Hokkaido, Japan, and these facilities are  
69 attached to the flume, such as the inflow control gate and observation bridges. In the experiments, we  
70 simulated riverine levee breaches by erecting a model levee with an overtopping notch on the right side

71 of the flume, and we performed four test cases with varied inflow discharges, levee materials and levee  
72 structural configurations. We studied general characteristics of the riverine breach, and also obtained  
73 hydraulic data as well as morphological data during the breach process. We also applied a two-  
74 dimensional numerical model and modified it by integrating the experimental results into the  
75 geomechanics.

76

## 77 Description of Experiment

78

### 79 *Experiment facilities and test conditions*

80

81 Figure 1 is an aerial photo of the flume. The flume specifications are shown in Figure 2. We  
82 constructed the flume on the floodway channel of the Tokachi River, with a separation levee on the  
83 right side and vertical steel sheet piles on the left side. The bed slope was approximately 1/500. We also  
84 created an overflow area with a width of 80 m or more on the right side using part of the floodway  
85 channel. In the breach experiment section, we replaced a portion of the separation levee with a model  
86 levee that is made of homogeneous material and with a bare surface (an embankment with no turf) and  
87 a rectangular notch, 0.5 m in depth and 3 m in width, to trigger a breach. We constructed a dam-up  
88 facility at the downstream end of the flume to maintain the proper water level. To prevent bank erosions,  
89 we placed revetment works on the upstream slope side of the levee breach experiment section.

90 The test cases have variations in inflow discharge, levee configuration (crest width) and levee  
91 materials, as shown in Table 1. The grain size distribution curves of the levee materials are shown in  
92 Figure 3. The bed material was roughly similar to the materials in Cases 1 and 2.

93

94 *Measurement method*

95 We placed water level sensors every 25 m in the Flume and every 40 m in the overflow area along  
96 the levee. We also placed flow meters in the channel at 50 m upstream and 120 m downstream from the  
97 overflowing notch, respectively, so that the overflow rate could be calculated from the balance of these  
98 flow rates. We applied Particle Image Velocimetry (PIV) to measure the surface flow rate distribution  
99 at the levee breach section. A unique feature of the experiments was that we placed accelerometers  
100 inside the levee body and substrata to monitor the breach process under overflowing water. The  
101 accelerometers were installed at intervals of 1.5 m in the cross-sectional and vertical directions, and 2 m  
102 in the longitudinal direction.

103

104 **Test Results**

105

106 *Water level observation*

107

108 The results of water level observations in the flume and the overflow area are shown in Figure 4 (top).  
109 Dotted lines indicate the notch height, and dashed lines indicate the time at which the gate closing  
110 began. In each case, the water level rose until it reached the target height and remained constant for a  
111 while. When the breach began to widen laterally, the water level fell and then kept constant. The tail  
112 water level in the overflow area began to rise when the head water level fell, and the difference between  
113 these water levels decreased. As indicated by the correlation between the head water level and the  
114 breach width, when the width was approximately 10 m in Cases 1 and 2 or 30 m in Cases 3 and 4, the  
115 head water began to fall and the water flowed quickly into the levee breach opening.

116

117 *Flow rate observation*

118

119 Figure 4 (middle) shows the flow rates in the upstream and the downstream of the levee breach  
120 section, as well as the overflow rates, which we calculated from the balance of the upstream and the  
121 downstream flow rates with consideration of increase or decrease of the water storage quantities in the  
122 breach section of the flume. The overflow rate increased a little at the initial overtopping stage in each  
123 case and began to increase significantly when breach widening was initiated. After the overflow rate  
124 peaked, it remained roughly constant until the gate was closing and the inflow began to decrease.

125

126 *Levee breach observation*

127

128 Figure 4 (bottom) shows the time history of the breach width along the center of the levee crest as  
129 measured by video images taken from above. The vertical axis indicates the distance from the notch,  
130 where plus means downstream direction and minus means upstream direction. The longitudinal axis  
131 indicates the time elapsed from the beginning of initial overtopping. The levee breach process was  
132 monitored by the accelerometers, and Figure 5 shows a time series of breach opening shapes for Case 1,  
133 which we estimated from the accelerometer results. The black dots indicate the position of the sensors  
134 that flowed out.

135

136 **Characteristics of Breach Process**

137

138 *Beginning of levee breach*

139

140 As shown in Figure 5, the initial breach stage began with downstream slope erosion. The erosion  
141 retrograded from the top of the downstream slope to the top of the upstream slope. After the erosion  
142 reached the top of the upstream slope, the breach opening began to widen gradually in the upstream and  
143 downstream directions. A similar process was seen in the other cases. As shown in Figure 4 (bottom),  
144 this initial stage took longer in Cases 3 and 4 than in Case 1. We assumed that the factor causing this for  
145 Case 3 was the relatively higher soil cohesion, which may have slowed the erosion processes, and that  
146 the factor causing this for Case 4 was the larger cross-sectional volume of the levee to be eroded.

147 Here we must mention that the notch placed on the levee top might have affected the processes in the  
148 beginning stages. So further studies are needed to clarify the experiments could reproduce the early  
149 stages correctly or not.

150

### 151 *Lateral widening of breach opening*

152

153 As shown in Figure 4 (bottom) and mentioned in the previous chapter, the breach opening began to  
154 widen gradually in the upstream and the downstream directions. Then, the opening began to widen in  
155 the downstream direction very rapidly. The rate of widening speed kept almost constant for a while. In  
156 Case 2 where the inflow was low, the rate of widening speed was lower than other cases. In Case 3  
157 where the levee material was relatively fine, the levee collapsed in bulk repeatedly and the rate of  
158 breach widening was higher than for other cases of coarse material.

159 Next, we examined the process of side breaching in detail. From the observations, we noticed that the  
160 lower part of the levee, which was hit by the water first, failed and subsequently the upper part lost  
161 support and collapsed. Comparing the timing of breaching at the crest (measured by video image from  
162 above) and below the crest (measured by accelerator sensors) at the same cross-section, we realized that



163 the whole structure in every case breached almost simultaneously. Also, we realized that in Case 4 the  
164 slightly remained lowest structure and the substrata were degraded later than the breach.

165 Another characteristic we found was that the downstream slope side failed before the upstream slope  
166 side.

167

### 168 *Levee breach and sedimentation process*

169

170 Comparing Figure 4 (middle) and Figure 4 (bottom), even when the overflow rate was nearly  
171 constant in the later stage, the breach widening continued. We estimate the reasons as follows. Figure 6  
172 shows the surface flow rate distributions for Case 3 from PIV observation. A narrow band of high-  
173 velocity flow, 4 m/s or more, appeared and struck the side of the breached levee. When the breach  
174 width reached approximately 30 m, the band became nearly half the entire breach width. Even when the  
175 breach width reached approximately 50 m, the width of the band remained roughly the same as before,  
176 and a dead-water area appeared near the upstream end of the breach opening where deposition may  
177 have occurred. We summarize this process as follows. The high-velocity band erodes the levee side and  
178 moves in the downstream direction, then, sedimentation occurs in the upstream area. This repeated  
179 process makes the breach widen in the downstream direction as the band moves downstream, keeping  
180 almost constant width.

181

### 182 *Summary of breach process stage*

183

184 In consideration of the breach processes observed in the tests, we categorized the levee breach  
185 process into the four stages shown in Figure 7.

186

## 187 Quantitative Evaluation of Breach Process

188

### 189 *Formula for breach morphology*

190

191 As mentioned in Chapter 1, the main purpose of this study is the evaluation of the breach lateral  
192 widening process toward developing a numerical riverine breach model. Therefore we focused on the  
193 3rd and 4th breach stages for analyzing the breach widening process. Although we mentioned in  
194 Chapter 4.2 that the breach process of the upper part of the levee differs from that of the lower part, as  
195 shown in Figure 8, we assumed that both parts would be subject to the same mechanism, because the  
196 upper part collapses onto the foot of the lower part and then washes out by the flow, which is the same  
197 mechanism as for the lower part. Therefore we also assumed that the total volume of breached levee  
198 transported per unit time and width (hereinafter: “breached load transport”) could be expressed by a  
199 kind of the sediment load transport formula, and we proposed eq. (1) with reference to the Meyer-Peter  
200 and Müller equation

$$201 \quad q_B = \alpha(\tau_* - \tau_{*c})^\beta \sqrt{sgd^3} \quad (1)$$

202 where  $q_B$ = breached load transport;  $\alpha$  and  $\beta$  are coefficients;  $\tau_*$ = Shields number;  $\tau_{*c}$ = critical Shields  
203 number;  $s$ = submerged specific sediment density;  $g$ = gravitational acceleration; and  $d$ = mean diameter  
204 of the levee material. Then  $q_B$  can be calculated from the experimental results as

$$205 \quad q_B = (1 - \lambda) \frac{dV}{dt} \frac{1}{L} \quad (2)$$

$$206 \quad \frac{dV}{dt} = \frac{dV_1}{dt} + \frac{dV_2}{dt} \quad (3)$$

207 where  $V$ = breached volume;  $V_1$ = breached volume for the lower part;  $V_2$ = breached volume for the

208 upper part;  $t$ = time;  $\lambda$ = porosity of the levee material; and  $L$ = characteristic length.

209 Then we obtained  $\alpha$  and  $\beta$  through the comparison of eq. (1) and (2).

210

### 211 *Analysis of test results*

212 We applied the experimental results to eq. (1) and (2), to obtain  $\alpha$  and  $\beta$  as follows. We assumed  $\lambda$   
213 should be 0.4,  $d$  should be  $d_{50}$ , and  $s$  should be 1.65. Then we calculated  $dV/dt$  ( $=dV_1/dt+dV_2/dt$ ) every 5  
214 minutes from the acceleration sensor data. The results are shown in Figure 9. Shields number  $\tau_*$  is  
215 calculated as

$$216 \quad \tau_* = \frac{N_m^2 u_m^2}{s d h^3} \quad (4)$$

217 where  $N_m$ = manning's roughness coefficient ( $=0.023$ );  $u_m$ = depth-averaged overflow velocity; and  $h$ =  
218 water depth. We set a value for the flow velocity  $u_m$  as the surface flow rate measured by PIV near the  
219 downstream slope of the breach opening end where the flow struck and eroded the levee. We also set a  
220 value for the water depth  $h$  calculating the balance of the water surface elevations measured by 3D  
221 analysis and the initial bed elevations. (Bed level change should be considered but we did not have such  
222 data near the downstream slope.) We set a value for the critical Shields number  $\tau_{*c}$  as 0.05 by applying  
223 the method of Iwagaki (1956). Lastly we applied the levee bottom width as the characteristic length.

224 We plotted the test results as shown in Figure 10. We observed different breach characteristics for  
225 each test case; however, the plotted results showed a correlation expressed by the following equation

$$226 \quad (1 - \lambda) \frac{dV}{dt} \frac{1}{L} \frac{1}{\sqrt{sgd^3}} = 18(\tau_* - \tau_{*c})^{1.5} \quad (5)$$

227 We found that the coefficient  $\beta$  in eq. (1) had the value of 1.5, which was similar to the value  
228 appearing in sediment load transport formulas such as those of Meyer-Peter and Müller. This finding is  
229 expected to be useful in the development of a levee breach model based on such a simple equation for

230 simulating the lateral widening breach process.

231

## 232 Numerical Simulation

233

### 234 *Conventional numerical model*

235

236 Faeh (2007) developed a numerical model based on shallow-water equations considering both bed  
237 load and suspended load. The model also has the ability to incorporate slope stability. He evaluated the  
238 ability of the model to simulate levee breaches on the Elbe River. The model was able to simulate the  
239 main characteristic of the flow field, but it was found to be still limited. He also pointed out that the  
240 most sensitive parameters were critical angles describing the bank failure mechanism and, thus,  
241 implicitly the ratio between vertical and horizontal erosion. For the simulation of the experimental  
242 result (Case 4), we assumed that suspended load could be neglected because the main levee materials  
243 were sand and gravel. Therefore we employed the two-dimensional model “Nays” (Shimizu 1996, Jang  
244 and Shimizu 2005, iRIC 2013), which is based on shallow-water flow and bed load transport. The  
245 model also has the ability to incorporate slope stability (repose angle) for bank erosion. The governing  
246 equations for the model in the orthogonal coordinate system are given as follows,

247 [Continuity equation for flow]

$$248 \frac{\partial h}{\partial t} + \frac{\partial(hu)}{\partial x} + \frac{\partial(hv)}{\partial y} = 0 \quad (6)$$

249 [Momentum equation for flow]

$$250 \frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + v \frac{\partial u}{\partial y} = -g \frac{\partial H}{\partial x} - \frac{C_f u}{h} \sqrt{u^2 + v^2} + \nu_t \left( \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} \right) \quad (7)$$

$$251 \quad \frac{\partial v}{\partial t} + u \frac{\partial v}{\partial x} + v \frac{\partial v}{\partial y} = -g \frac{\partial H}{\partial y} - \frac{C_f v}{h} \sqrt{u^2 + v^2} + \nu_t \left( \frac{\partial^2 v}{\partial x^2} + \frac{\partial^2 v}{\partial y^2} \right) \quad (8)$$

252 [Sediment transport equation]

$$253 \quad q_b = 8(\tau_* - \tau_{*c})^{1.5} \quad (9)$$

$$254 \quad q_b^x = \frac{u}{\sqrt{u^2 + v^2}} q_b, \quad q_b^y = \frac{v}{\sqrt{u^2 + v^2}} q_b \quad (10)$$

255 [Continuity equation for sediment]

$$256 \quad \frac{\partial Z_b}{\partial t} + \frac{1}{1-\lambda} \left( \frac{\partial q_b^x}{\partial x} + \frac{\partial q_b^y}{\partial y} \right) = 0 \quad (11)$$

257 where  $x, y$  are orthogonal coordinates;  $h$ =water depth;  $t$ =time;  $u, v$  are velocity in the  $x$  and  $y$  directions,  
 258 respectively;  $H$ =water level elevation;  $C_f$ =riverbed shear coefficient;  $\nu_t$ =eddy viscosity coefficient;  $q_b$ =total bed  
 259 load transport per unit width;  $q_b^x, q_b^y$  are bed load transport per unit width in the  $x$  and  $y$  directions, respectively;  
 260  $Z_b$ =riverbed elevation;  $\lambda$ =porosity of the levee material.

261 It is useful to write the sediment transport equation in the general coordinate system because we modify  
 262 the equation in the general coordinate system later in this paper.

$$263 \quad \frac{\partial}{\partial t} \left( \frac{Z_b}{J} \right) + \frac{1}{1-\lambda} \left\{ \frac{\partial}{\partial \xi} \left( \frac{q_b^\xi}{J} \right) + \frac{\partial}{\partial \eta} \left( \frac{q_b^\eta}{J} \right) \right\} = 0 \quad (12)$$

264 where  $\xi, \eta$  are general coordinates;  $q_b^\xi, q_b^\eta$  are bed loads transport in the  $\xi$  and  $\eta$  directions,  
 265 respectively;  $J$ = jacobian of the coordinate transformation.

266 Figure 11 shows the calculation area and the boundary conditions. In the simulations, we made a set of  
 267 elevation data of the flume, the notched levee, and the overflow area for geographical input data to  
 268 reproduce Case 4. We also simulated inflow as the experimental result of Case 4. Then we made the  
 269 calculation settings shown in Table 2. We set the grid size as 1 m by 1 m for Run 1, 2, and 3.

270 Figure 12 shows the time history of the breached volume for each calculation run and the  
271 experimental result. The experiment took longer time in the early stage which could not be reproduced  
272 by calculation, so we shift the experimental result so that the data starts from the beginning of breach  
273 widening. Focusing on the breach widening speed, Run 1 (repose angle of 30 degrees) reproduced  
274 better results but was still slower than the experimental result. Numerical models using critical failure  
275 angles are known to depend strongly on a fine grid size, therefore we calculated Run 4, in which the  
276 grid size was 0.5 m by 0.5 m. Run 4 showed almost the same result as Run 1, so we recognized that the  
277 grid size of 1 m by 1 m was reasonable.

278 Next, we analyzed the simulation results and observed breach mechanisms. As observed in the  
279 experiment, the lower part of the levee hit by the water was eroded laterally and the levee side surface  
280 of the collapsed part is very steep (almost 90 degrees). However, the numerical model neither includes a  
281 lateral erosion mechanism nor does the repose angle reflect the observation results. In the breach  
282 widening stage, the lateral erosion process becomes the primary mechanism of breach. Therefore we  
283 suppose that improved lateral erosion formulas need to be developed.

284

### 285 *Proposed model*

286

287 We modified only formulas relating morphodynamics in the aforementioned model. Instead of using  
288 the sediment transport formula and the slope stability model, we brought an empirical formula delivered  
289 from the experimental results. First, we separate the calculation cells of the levee (except near the  
290 notch) from other cells. We call the former “levee cells” and the latter “normal cells”. For the levee  
291 cells, we propose a breach rate formula which is different from the sediment transport formula for the  
292 normal cells. Referring to the eq. (5), we assume the breached loads to be collapsed in the orthogonal

293 direction of the streamline as eq. (13) and (14). The breached loads are to be placed on adjacent normal  
 294 cells and to be transported using the original transport formulas from the next time step. Figure 13  
 295 shows the streamline and coordinate systems.

$$296 \quad q_v^n = \frac{1-\lambda}{L} \frac{dV}{dt} = 18\sqrt{sgd^3} (\tau_* - \tau_{*c})^{1.5} \quad (13)$$

$$297 \quad q_v^s = 0 \quad (14)$$

298 where  $q_v^n$  = breached loads orthogonal to the streamline; and  $q_v^s$  = breached loads along the streamline.  
 299 As for the characteristic length L, it can be represented by the calculation cell width.

300 Here we describe the detailed calculation processes for breached loads. Referring to the governing  
 301 equations developed by Jang and Shimizu (2005), we transform  $q_v^s$  and  $q_v^n$  into the general coordinate  
 302 system as

$$303 \quad q_v^\xi = \left( \frac{\partial x}{\partial s} \frac{\partial \xi}{\partial x} + \frac{\partial y}{\partial s} \frac{\partial \xi}{\partial y} \right) q_v^s + \left( \frac{\partial x}{\partial n} \frac{\partial \xi}{\partial x} + \frac{\partial y}{\partial n} \frac{\partial \xi}{\partial y} \right) q_v^n = (\cos \theta_s \xi_x + \sin \theta_s \xi_y) q_v^s + (-\sin \theta_s \xi_x + \cos \theta_s \xi_y) q_v^n \quad (15)$$

$$304 \quad q_v^\eta = \left( \frac{\partial x}{\partial s} \frac{\partial \eta}{\partial x} + \frac{\partial y}{\partial s} \frac{\partial \eta}{\partial y} \right) q_v^s + \left( \frac{\partial x}{\partial n} \frac{\partial \eta}{\partial x} + \frac{\partial y}{\partial n} \frac{\partial \eta}{\partial y} \right) q_v^n = (\cos \theta_s \eta_x + \sin \theta_s \eta_y) q_v^s + (-\sin \theta_s \eta_x + \cos \theta_s \eta_y) q_v^n \quad (16)$$

305 where  $q_v^\xi$  = breached loads in the  $\xi$  direction; and  $q_v^\eta$  = breached loads in the  $\eta$  direction;  $\theta_s$  = angle of  
 306 the streamline to the  $x$ -axis as given by eq. (17) and (18); and  $\xi_x = \partial \xi / \partial x$ ,  $\xi_y = \partial \xi / \partial y$ ,  
 307  $\eta_x = \partial \eta / \partial x$ , and  $\eta_y = \partial \eta / \partial y$ .

$$308 \quad \sin \theta_s = -\frac{\partial x}{\partial n} = \frac{\partial y}{\partial s} = \frac{v}{U}, \quad \cos \theta_s = \frac{\partial y}{\partial n} = \frac{\partial x}{\partial s} = \frac{u}{U} \quad (17)$$

$$309 \quad U = \sqrt{u^2 + v^2} \quad (18)$$

310 where  $U$  = composite velocity. From eq. (13), (14), (15), and (16), we obtain the breached loads of the  
 311 general coordinates as

312  $q_v^\xi = (-\sin\theta_s \xi_x + \cos\theta_s \xi_y) q_v^\eta = (-\sin\theta_s \xi_x + \cos\theta_s \xi_y) \left\{ 18\sqrt{sgd^3} (\tau_* - \tau_{*c})^{1.5} \right\} (19)$

313  $q_v^\eta = (-\sin\theta_s \eta_x + \cos\theta_s \eta_y) q_v^\xi = (-\sin\theta_s \eta_x + \cos\theta_s \eta_y) \left\{ 18\sqrt{sgd^3} (\tau_* - \tau_{*c})^{1.5} \right\} (20)$

314 The breach rate formula is given as

315  $\frac{\partial}{\partial t} \left( \frac{Z_L}{J} \right) + \frac{1}{1-\lambda} \left\{ \frac{\partial}{\partial \xi} \left( \frac{q_v^\xi}{J} \right) + \frac{\partial}{\partial \eta} \left( \frac{q_v^\eta}{J} \right) \right\} = 0 (21)$

316 where  $Z_L$ = levee cell elevation;  $J$ = jacobian of the coordinate transformation. When applying this  
 317 formula, Shields numbers are set as the largest value within 4 m from the levee cell in the same way of  
 318 the experiment analysis. When the elevation of a levee cell comes below the riverbed, the cell becomes  
 319 a normal cell.

320 Table3 shows the calculation conditions. Figure 14 shows the calculation results of time-series  
 321 breached volume by the proposed model (Run 5). The model could not reproduce the early stage, but  
 322 when comparing the widening stage, the proposed model is found to be improved in terms of breach  
 323 widening speed and total volume. Next, we conducted some other comparisons of the test result and the  
 324 calculation result. Figure 15 shows comparisons of levee breach shapes and the flow distributions at the  
 325 same stage, and they are found to be well reproduced. Figure 16 shows comparisons of overflow  
 326 discharges and stage hydrographs at the channel center near the notch, and they are reasonably  
 327 reproduced except discharge in the early timing.

328 Lastly, we checked the sensitivity by the constant values. Figure 14 also shows the calculation results  
 329 using various values of  $\alpha$  and  $\beta$ . We found that the value of  $\beta$  affect greater than the value of  $\alpha$ , which  
 330 can be supposed from the eq. (13).

331

### 332 *Limitations and future improvement of modeling*

333 The scope of our study is to reproduce the breach widening stage, so the poor performance by the



334 model in the early stage is not focused on. However, we recognize the importance to simulate the early  
335 stage of the breach. In the early stage, the flow and sediment interaction is significant like dam breaks,  
336 so the dam break models can be appropriate to use for the initial stage. Xia et al. (2010) used a coupled  
337 approach to solve simultaneously the flow and sediment transport processes induced by dam breaks. To  
338 applying coupled approach for the initial stage may be a future challenge. Furthermore we need  
339 investigations to find parameters for various levee materials or levee shapes other than the experiment  
340 conditions we performed in order to apply the model to real rivers.

341

## 342 Conclusions

343

- 344 1. We performed large-scale levee breach experiments and identified several characteristics of the  
345 breach process using sensors placed in the levee structure.
- 346 2. We categorized the breach processes into four stages. The breach began with downstream slope  
347 erosion (1st stage). After the erosion reached the top of the upstream slope, the breach opening  
348 began to widen gradually (2nd stage). The breach widened in the downstream direction rapidly and  
349 the overflow rate became maximum (3rd stage). The overflow rate kept constant and the breach  
350 rate decreased (4th stage).
- 351 3. We identified the breach widening mechanism, focusing on sedimentation and overflow  
352 distribution. The narrow and strong overflow produced by combination of breach and deposition  
353 eroded the levee side and moved in the downstream direction.
- 354 4. We identified a correlation equation to estimate the volume of breached levee.
- 355 5. We understand the importance of spiral flow effect, which could be crucial to determine the lateral  
356 breaching process. However, we proposed modifying two-dimensional numerical model which

357 cannot replicate such effect physically, and thus it has to be parameterized in some way, therefore  
358 we integrated the empirical correlation equation delivered from the experimental result. The  
359 modified model could not reproduce the early stage but well reproduced the breach widening stage.

360

## 361 Notation

362

363 The following symbols are used in this paper:

364  $q_B$  = breached load transport

365  $\alpha, \beta$  = coefficients of breached load transport formula

366  $\tau_*$  = Shields number

367  $\tau_{*c}$  = critical Shields number

368  $s$  = submerged specific sediment density

369  $g$  = gravitational acceleration

370  $d$  = mean diameter of the levee material

371  $V$  = breached volume

372  $V_1$  = breached volume for the lower part of the levee

373  $V_2$  = breached volume for the upper part of the levee

374  $t$  = time

375  $\lambda$  = porosity of the levee material

376  $L$  = characteristic length.

377  $N_m$  = manning's roughness coefficient

378  $u_m$  = depth-averaged overflow velocity

379  $h$  = water depth

380  $q_v^n$  = breached loads orthogonal to the streamline

381  $q_v^s$  = breached loads along the streamline

382  $q_v^\xi$  = breached loads in the  $\xi$  direction

383  $q_v^\eta$  = breached loads in the  $\eta$  direction

384  $\xi, \eta$  = general coordinates

385  $x, y$  = orthogonal coordinates

386  $\theta_s$  = angle of the streamline to the x-axis

387  $u$  = velocity in the  $x$  direction

388  $v$  = velocity in the  $y$  direction

389  $U$  = composite velocity

390  $Z_L$  = levee cell elevation

391  $J$  = jacobian of the coordinate transformation

392

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Figure 1 Photo of the experimental flume

Figure 2 Specification of the flume

Figure 3 Grain size distribution of levee material

Figure 4 Time history of water level (a), flow rates (b), and breach width (c)

Figure 5 Time series of breach opening shapes for Case 1

Figure 6 Surface flow rate distributions for Case 3

Figure 7 Illustration of breach stages

Figure 8 Breach processes of upper part and lower part

Figure 9 Speed of breached volume

Figure 10 Correlation of breach volume and Shields number

Figure 11 Calculation area and boundary conditions

Figure 12 Calculation results of breached volume (conventional model)

Figure 13 Coordinate system of proposed model

Figure 14 Calculation results of breached volume (proposed model)

Figure 15 Calculation results of breach shapes and flow rate distributions

Figure 16 Calculation results of overflow discharge and stage hydrograph

Table 1 Test conditions of the experiment

Table 2 Calculation settings (conventional model)

Table 3 Calculation settings (proposed model)

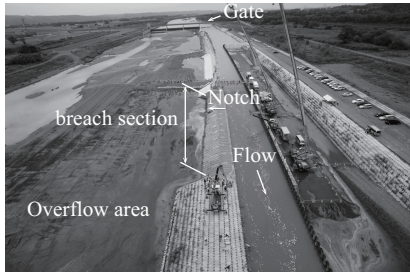
Gate

Notch

breach section

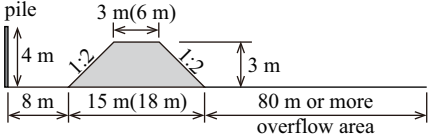
Flow

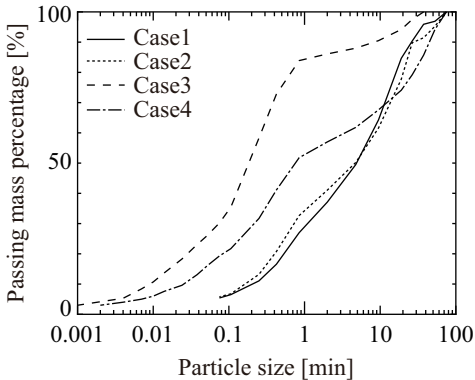
Overflow area



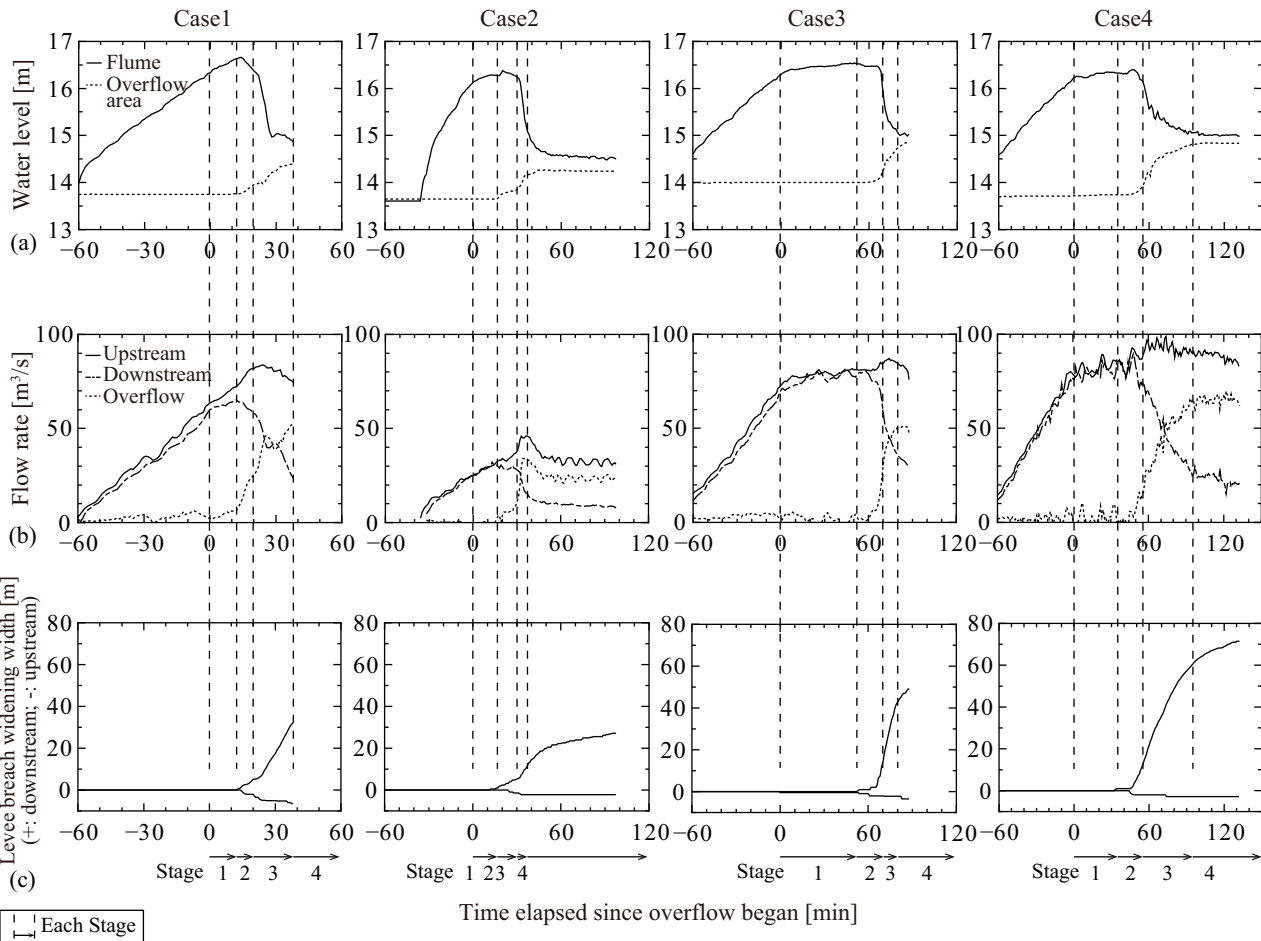
## Case 1, 2, 3 (Case 4)

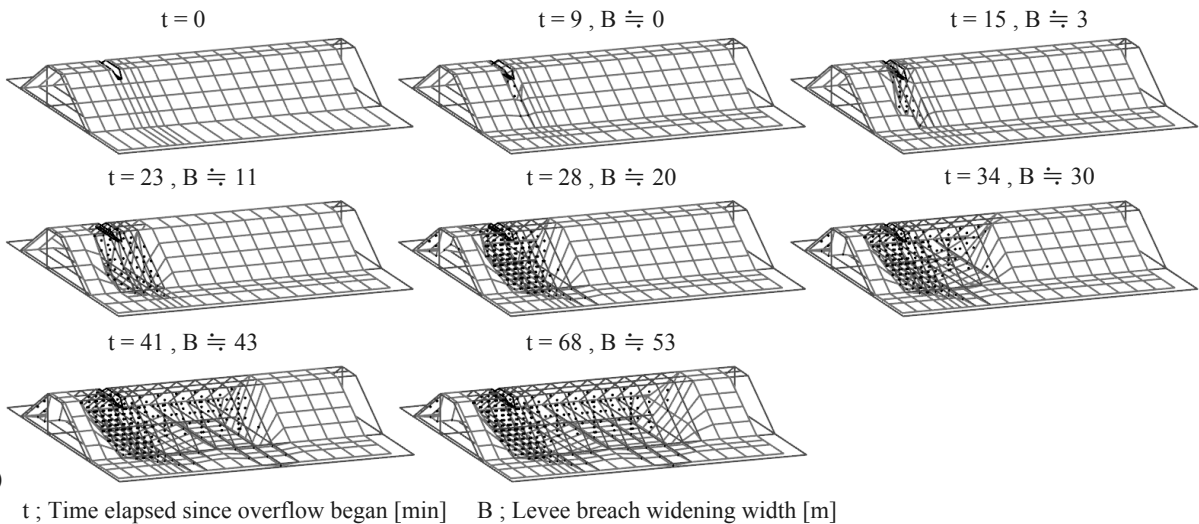
Steel sheet  
pile

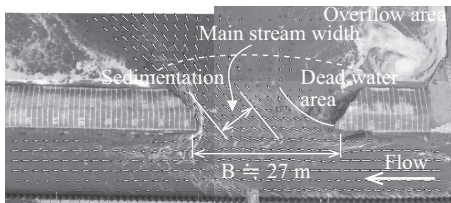




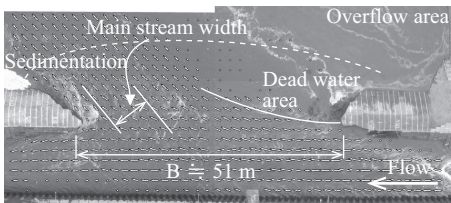








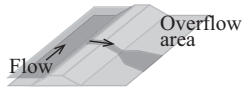
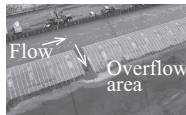
Levee breach widening width  $B \approx 27 \text{ m}$



Levee breach widening width  $B \approx 51 \text{ m}$

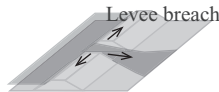
Legend for PIV flow rates  $0 \leq 10 \text{ m/s}$

### Stage 1 (Initial levee breach)



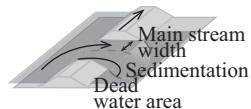
- The back slope and the top of the back slope of the levee were eroded after overflow began.
- The crown was eroded from the top of the back slope to the top of the front slope.
- The breach widening did not proceed and the overflow rate did not increase.

### Stage 2 (Onset of widening)



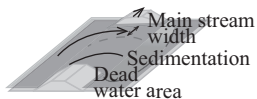
- When the top of the front slope was eroded, the erosion proceeded rapidly downward to the bottom of the levee. Then breach progressed in the upstream and downstream directions.
- The overflow rate began to increase.

### Stage 3 (Acceleration of widening)

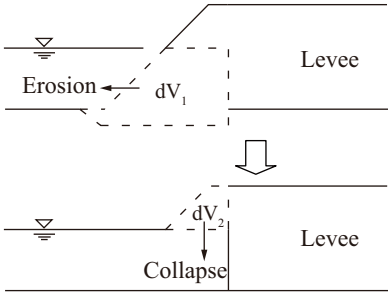


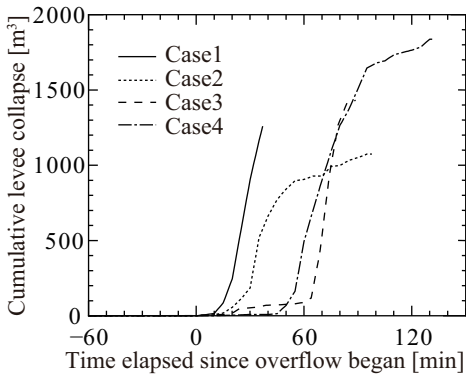
- Once most of the cross-section of levee where the notch located was eroded, the levee breach mainly progressed rapidly in the downstream direction.
- The flow from the flume to the breach opening became stronger and the flow rate increased, then the overflow rate increased and peaked.
- The overflow velocity from the breached part of the levee increased, and this flow hit and breached the levee in the downstream direction.

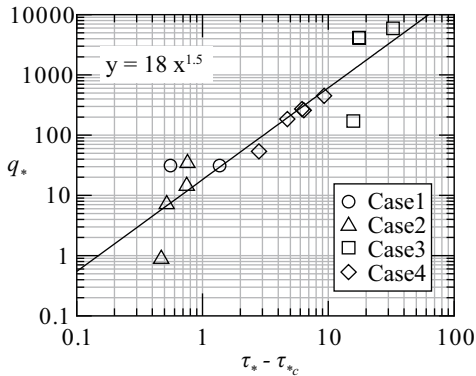
### Stage 4 (Deceleration of widening)

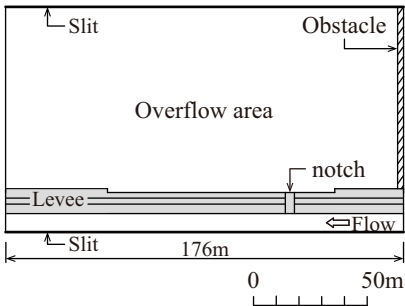
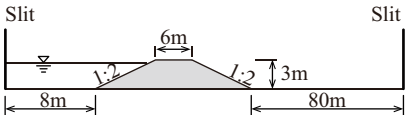


- The levee breach continued and sediment accumulated in the overflow area repeatedly, and the mainstream of the overflow shifted downstream keeping almost the same width
- The overflow rate was almost constant and the rate of breach widening decreased.
- The downstream end of the breached part of the levee slanted significantly to the overflow area and levee breach progressed.

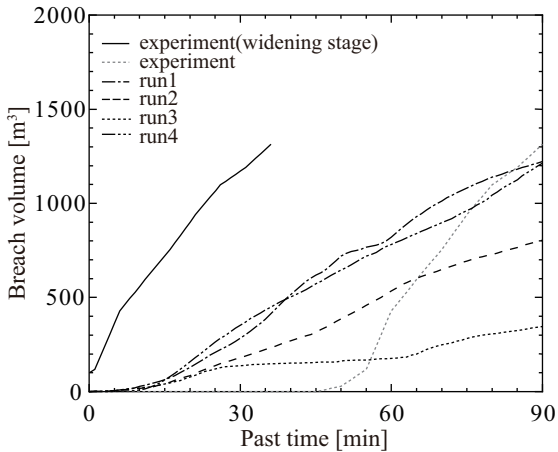


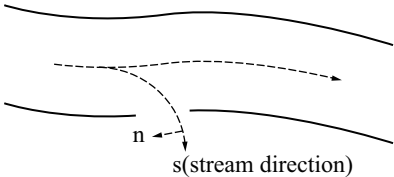




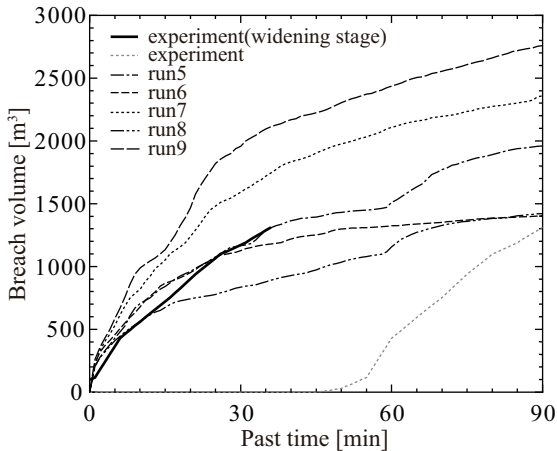


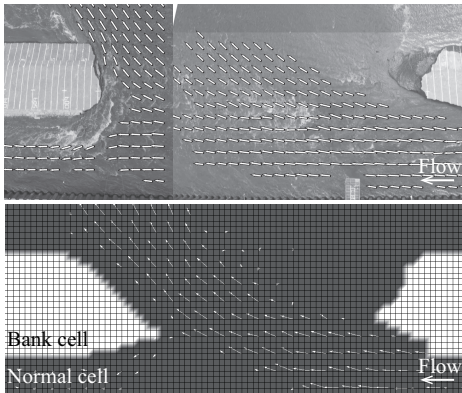






- Levee line
- - - Stream line





Levee breach widening width  $B \doteq 49$  m

Legend for PIV flow rates ;  $\rightarrow 5\text{m/s}$

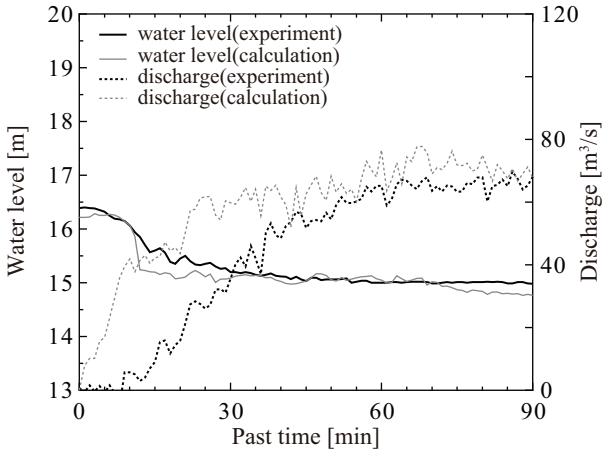


Table 1. Test case condition

Case	Levee Material Size $d_{50}$ (mm)	Crest Width (m)	Inflow Discharge ( $m^3/s$ )
1	5.4	3	70
2	4.9	3	35
3	0.2	3	70
4	0.7	6	70

Table 2. Calculation settings (conventional model).

Run	Manning's Roughness Coefficient	Material Size (mm)	Repose Angle (degrees)	Grid Size (m)
1	0.023	0.7	30	1 × 1
2	0.023	0.7	45	1 × 1
3	0.023	0.7	90	1 × 1
4	0.023	0.7	30	0.5 × 0.5

Table 3. Calculation settings (proposed model).

Run	Manning's Roughness Coefficient	Material Size (mm)	$\alpha$	$\beta$
5	0.023	0.7	18	1.5
6	0.023	0.7	9	1.5
7	0.023	0.7	36	1.5
8	0.023	0.7	18	1.0
9	0.023	0.7	18	2.0