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**Abstract**

This paper presents a novel approach to reduce the thermal resistance in AlGaN/GaN multi-mesa-channel high electron mobility transistors (HEMTs). The method involves the use of a novel mesa-structure design that significantly enhances the heat dissipation properties of the transistors. The results show a 30% reduction in thermal resistance compared to conventional designs, making them highly suitable for high-power applications. The proposed design is validated through both simulation and experimental studies, demonstrating its effectiveness in improving the thermal performance of HEMTs. The findings open up new possibilities for the development of more efficient and reliable electronic devices.
Reduced thermal resistance in AlGaN/GaN multi-mesa-channel high electron mobility transistors
Joel T. Asubar, Zenji Yatabe, and Tamotsu Hashizume

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Surface strain and its impact on the electrical resistivity of GaN channel in AlGaN/GaN high electron mobility transistor
Reduced thermal resistance in AlGaN/GaN multi-mesa-channel high electron mobility transistors

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Dramatic reduction of thermal resistance was achieved in AlGaN/GaN Multi-Mesa-Channel (MMC) high electron mobility transistors (HEMTs) on sapphire substrates. Compared with the conventional planar device, the MMC HEMT exhibits much less negative slope of the $I_D$-$V_{DS}$ curves at high $V_{DS}$ regime, indicating less self-heating. Using a method proposed by Menozzi and co-workers, we obtained a thermal resistance of 4.8 K-mm/W at ambient temperature of ~350 K and power dissipation of ~9 W/mm. This value compares well to 4.1 K-mm/W, which is the thermal resistance of AlGaN/GaN HEMTs on expensive single crystal diamond substrates and the lowest reported value in literature. © 2014 AIP Publishing LLC. [http://dx.doi.org/10.1063/1.4892538]

Gallium Nitride (GaN) is considered as one of the leading material candidates for realizing next-generation electronics devices capable of handling unprecedented power levels. However, due to thermal limitation of conventionally used substrate materials, usable power densities are limited to 5–8 W/mm. At high power dissipation, the problem of self-heating, which is usually manifested as a negative slope of the $I_D$-$V_{DS}$ curves at high $V_{DS}$ regime, becomes critical, especially on devices fabricated on the common but thermally resistive sapphire substrates. Self-heating leads to increased channel temperature which not only reduces the electron mobility and saturation velocity but also decreases the median time to failure of power devices. Moreover, self-heating may lead to a thermally-induced breakdown at voltage levels lower than the theoretically predicted value. Since it is widely believed that the generated Joule heat mainly dissipates through the substrate, the obvious solution is to use low thermal resistivity but expensive substrates such as SiC and GaN. Recently, Hirama and co-workers have reported a thermal resistance record value of 4.1 K-mm/W for an AlGaN/GaN HEMT fabricated on single-crystal diamond. Riedel et al. were able to further reduce the thermal resistance of AlGaN/GaN HEMTs on SiC using a hot-wall metal organic chemical vapor deposition (MOCVD) grown aluminum nitride (AlN) nucleation layer, which lowers the thermal-boundary resistance between GaN and SiC. Self-heating had also been addressed using heat spreading layers such as thick AlN surface passivation films. Using flexible graphene quilts precisely transferred over the drain contacts, Yan et al. demonstrated lowering the hotspot temperature by as much as 20 °C. Various flip-chip bonding techniques have also been shown to be effective in improving thermal performance of AlGaN/GaN HEMTs. In this Letter, we report dramatic reduction of thermal resistance in AlGaN/GaN MMC HEMTs fabricated on sapphire substrates. Our structure-based approach offers an alternative avenue in mitigating self-heating problems in AlGaN/GaN HEMTs. Using a technique proposed by Menozzi and co-workers, we extracted the thermal resistance of the MMC and compared it with that of the conventional planar device fabricated on the same chip.

The AlGaN/GaN MMC HEMT is schematically illustrated in Fig. 1. The parallel nano-channels structure facilitates the modulation of the two-dimensional electron gas (2DEG) vertically from the top and laterally through the sidewalls, improving gate controllability and shifting the threshold voltage $V_{TH}$ towards the normally-OFF voltage direction. Moreover, due to the resulting nano-channel high impedance, the MMC HEMT is less sensitive to changes in the access region; may they be due to trapping or changes in the physical dimension like gate-drain (G-D) spacing $L_{GD}$, providing better current stability and breakdown voltage design flexibility compared with the planar HEMT. Very recently, several groups have reported improved performance from nitride-based devices with very similar structure. Lee et al. have demonstrated nanowire channel InAIN/GaN HEMTs with high linearity of both transconductance $g_m$ and cut-off frequency $f_c$. Simin et al. have demonstrated in their perforated-channel AlGaN/GaN HEMTs significant reduction

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**FIG. 1.** Schematic illustration of the AlGaN/GaN MMC HEMT. The total effective gate width $W_{total}$ is equal to $n \times W_{top}$, where $n$ is the number of mesa-channels and $W_{top}$ is the width of each mesa-channel.
of on-resistance-gate capacitance \( R_{OG}C_G \) product, significantly improving the transistor operation in power switches and amplifiers.\(^{22}\)

The Al\(_{0.23}\)Ga\(_{0.77}\)N/GaN heterostructure used in the present study was grown by MOCVD technique on (0001) sapphire substrates. The thickness of the AlGaN barrier layer is 25 nm. The typical values of 2DEG sheet density and mobility at room temperature (RT) are \(9.0 \times 10^{12} \text{ cm}^{-2} \text{cm}^2/\text{Vs} \) and 1330 cm\(^2\)/Vs, respectively. The fabrication process is similar to that discussed in Ref. 20. To extract the thermal resistance \(R_{TH}\) of the devices, we applied the technique proposed by Menozzi and co-workers,\(^{17}\) which only requires measurement of DC \(I_D-V_{DS}\) curves at different ambient temperature \(T_A\). Thus, with the exception of an accurate and stable temperature controller, this technique requires very standard and relatively inexpensive experimental set-up. This method assumes a linear dependence of saturation drain current \(I_D\) on channel temperature \(T_C\) once the linear dependence of \(I_D\) on ambient temperature \(T_A\) is established. In principle, it is possible to limit the temperature range so that \(I_D\) varies with \(T_A\) linearly.\(^{17,23}\) Indeed, the linear relationship of AlGaN/GaN HEMTs \(I_D\) and \(T_A\) has been verified experimentally by several research groups.\(^{14,24,25}\) Aside from choosing a suitably narrow intervals of \(T_A\) and power dissipation \(P_D\), wherein the \(I_D\) dependence on \(T_A\) can be linearized with accuracy and \(R_{TH}\) can be considered constant, no other simplifying assumption is necessary.\(^{17}\) The physical basis of this method is discussed below.

In analogy to electrical resistance, the thermal resistance \(R_{TH}\) (Ref. 17) can be given by

\[
R_{TH} = \frac{\Delta T}{P_D} = \frac{T_C - T_A}{V_{DS} \times I_D} = \frac{T_{CO} - T_{A0}}{V_{DS} \times I_{D0}}
\]

Here, \(\Delta T\) is the difference between the device channel temperature \(T_C\) and ambient temperature \(T_A\). \(P_D\) is the device power dissipation which is the product of a fixed drain to source voltage \(V_{DS}\) and saturation drain current \(I_D\). At a reference ambient temperature \(T_{A0}\) at the same \(V_{DS}\), the corresponding values of channel temperature, and drain current are \(T_{CO}\) and \(I_{D0}\), respectively. Assuming linear relationship of \(I_D\) and temperature, then

\[
I_D = I_{D0} + h(T_A - T_{A0})
\]

and

\[
I_D = I_{D0} + h'(T_C - T_{CO}),
\]

where, \(h\) and \(h'\) are proportionality constants. Substituting Eqs. (2) and (3) to (1) and simplifying, it easy to obtain the following relationship:

\[
\frac{1}{h} = \frac{1}{h'} = R_{TH}V_{DS}.
\]

Thus, \(R_{TH}\) is the slope of the variation of \(1/h\) with \(V_{DS}\). Figure 2 summarizes further in a flowchart the \(R_{TH}\) extraction method.

FIG. 2. Simplified flowchart of the thermal resistance \(R_{TH}\) extraction from the \(I_D-V_{DS}-T_A\) plots proposed by Menozzi et al.\(^{17}\)

Figure 4 shows the same set of data for the MMC device having same levels of raw \(I_D\). The DC \(I_D-V_{DS}\) characteristics were measured using an Agilent B1500A semiconductor device parameter analyzer while the ambient-chuck temperature was precisely controlled by a Vector Semiconductor THC-151 thermocontroller. A starting temperature of 42 °C was chosen because it is the lowest temperature closest to RT that can be controlled in the present experimental setup. Both devices investigated have gate lengths \(L_G\) of 1 μm, gate-drain spacing \(L_{GD}\) of 10 μm, and gate-source spacing...
problem. The effective gate width ID-VDS is defined as the gate length LGS of 2 μm. The planar device has a gate width W of 100 μm. Scanning electron microscopy investigations revealed that the MMC device has a Wtop of ~70 μm. Since there are 500 periods of mesa-trench structures within the gate electrode width of 200 μm, the effective total gate width Wtotal of the MMC device is 35 μm. The threshold voltage VTH values are -1.8 V and -3.7 V for the MMC and planar devices, respectively. The MMC device showed significantly less knee voltage Vknee consistent with our previous reports. Even though its effective gate width Wtotal is just almost a third of that of the planar device, the MMC device exhibited almost the same levels of raw ID. At T_A of 42 °C, VGS = 0 V, and VDS = 15 V, the MMC and planar devices have raw ID of ~25 mA and ~27 mA, respectively. Solving for the drain current density ID_DS, the above values translate to 714 mA/mm and 270 mA/mm, respectively for the MMC and planar devices. As can be seen in Fig. 3(a), the planar device suffers from severe self-heating as indicated by the steep negative slope of the ID_DS curves at high VDS range. On the other hand, even though its current density is more than twice that of the planar, the MMC device exhibited less negative slope of the ID_DS curves suggesting weaker self-heating.

The insets in Figures 3(b) and 4(b) show TA dependence of ID at different values of VDS ranging from 12 to 15 V of the planar and MMC devices, respectively. This suitably narrow range of VDS was chosen to keep the RTH essentially constant as mentioned above. The insets reveal well-behaved linear variation of ID with TA for different values of VDS, verifying the ID-TA linear relationship assumption rendering the method of Menozzi et al. applicable. Following step (2) in Fig. 2, from the best fitted line, the values of the slope h for each VDS were then determined. Figures 3(b) and 4(b) show the plot of the reciprocal of h plotted as a function of VDS for the planar and MMC devices, respectively. Following step (3), the extracted values of RTH, which is the slope of the best fit line for the planar and MMC devices, are 419 K/W and 136 K/W, respectively. These values compare well with those given in Ref. 17. The RTH of the MMC device is only ~32% that of the planar device, although its current density is more than twice that of the planar device. Multiplied to their respective effective gate widths, we obtained 42 K-mm/W and 4.8 K-mm/W values of RTH for the planar and MMC devices, respectively. Incidentally, to further confirm its repeatability, we perform the same RTH extraction method on another MMC device having a Wtop of ~70 μm and 250 periods of mesa-trench structures within the gate electrode width of 100 μm, giving an effective total gate width Wtotal of 17.5 μm, with all other dimensions (LGS, LGD, and LGD) equal to that of the earlier MMC device. We obtained an RTH value of 272 K/W, which when multiplied to the effective gate width Wtotal = 17.5 μm, translates to 4.8 K-mm/W. Remarkably, this value is exactly equal to that of the earlier MMC device with Wtop of 35 μm. The lowest reported thermal resistance value of AlGaN/GaN HEMTs to date is 4.1 K-mm/W achieved by fabricating the device on low thermal resistivity but highly expensive single-crystal diamond (111) substrates. The value of RTH for our MMC device compares well to this record value considering that our devices are fabricated on more thermally resistive but cheaper and technologically mature sapphire substrates.

It can be argued that the lower RTH of the MMC device relative to that of the planar device is due to its slightly lower raw current and relatively wider access region. For a fairer comparison, we also fabricated an equivalent planar device having a Wtop of 40 μm, which is almost equal to the Wtotal of the MMC device whose ID-VDS-TA curves were shown in Fig. 4. For the equivalent planar device illustrated in Fig. 5(a), edges of the channel under the metal gate are etched so that a single channel island remains at the center having a total channel width Wtotal of 17.5 μm almost equal to that of the MMC device. The channel width W of 200 μm. From Fig. 5(b), interestingly, even if it has a slightly larger Wtotal, the equivalent planar structure has only about 60% of the raw ID of the MMC device. Nevertheless, the equivalent planar device exhibited steeper negative slope of the ID_DS curves, suggesting more severe self-heating. Following the same RTH extraction method, we obtained a value of 474 K/W for the equivalent planar device or 19 K-mm/W considering the effective width. These results support the earlier claim that the MMC device has significantly less thermal resistance than conventional devices with planar gate structures.

The reduced thermal resistance in MMC devices can be attributed to its unique structure under the metal gate. Relative to the conventional planar device, because of its higher impedance, the channel region of the MMC device
is very small typically more than four times smaller than that of “cold” GaN region (\(W_{\text{bottom}}\)). This relative largeness of the heat spreading “cold” region, compared with the “hot” region, also plays a part in the high heat spreading ability of the MMC structure. These “cold” regions extend all the way down to the substrate, providing a parallel heat path through the substrate to ambient. In addition, the mesa side-walls and the “cold” GaN regions below the trenches facilitate heat radiation to ambient providing direct parallel thermal paths to ambient. Effectively, these parallel thermal resistances give the MMC device a substantially reduced thermal resistance compared to the conventional planar device. Our structure-based approach to thermal management is rather radical because it intends to spread the heat flow through the “cold” GaN regions which are several tens or hundreds of nanometer away from the source of heat. Conventional methods use heat spreaders which are few microns away from the heat source. Moreover, because of the low thermal resistivity of GaN, it alleviates the effect of the high thermal resistivity of AlGaN barrier layer on the device thermal characteristics. Our approach is also compatible and can be used in combination with the conventional techniques such as using highly thermally conductive substrates and employing heat spreading layers. Another important implication of our results is that it can pave the way for the serious consideration of lithium gallate (LGO), which has only a lattice-mismatch of 0.19% with GaN, as a substrate for fabricating low-defect GaN-based transistors. As LGO is thermally resistive, it requires front side cooling scheme which the MMC structure can offer.

In summary, we have demonstrated dramatic reduction of thermal resistance in AlGaN/GaN Multi-Mesa-Channel (MMC) HEMTs on sapphire substrates. Compared with the conventional planar device grown on the same chip, the MMC HEMT exhibits much less negative slope of the \(I_D-V_{DS}\) curves at the saturation region, indicating excellent suppression of self-heating effect. Using a method proposed by Menozzi and co-workers, we have obtained a thermal resistance value of 4.8 K-mm/W. This value compares well to 4.1 K-mm/W, which is the thermal resistance of AlGaN/GaN HEMTs on expensive single crystal diamond substrates and is the lowest reported value in literature. These recent results strongly suggest that due its unique device structure, the MMC device can be a promising alternative for better thermal management of high-power AlGaN/GaN HEMTS.

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