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HOKKAIDO UNIVERSITY
High pressure densification and dielectric properties of perovskite-type oxynitride SrTaO$_2$N

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Abstract

Perovskite-type SrTaO$_2$N was densified using a belt-type high pressure apparatus at 2.5-7.7 GPa with and without sample heating. The relative density of the samples treated at 2.5 GPa was 64% and was increased to 75% in those treated at 7.7 GPa without sample heating. The color of the compact changed from orange to brown with densification and the crystals were fractured to nanometer size grains. The density was increased up to 86% with heating above 800 °C at 7.7 GPa and the sample color changed to black, accompanied by electrically conductive nature. The permittivity of compacts that were densified to a relative density of around 75% with heating at 600 °C and without sample heating were 200 and 90 at 100 Hz, respectively.

Keywords: SrTaO$_2$N, Perovskite oxynitride, High pressure densification, Dielectric property
1. Introduction

Perovskite-type oxynitrides, SrTaO$_2$N and BaTaO$_2$N have attracted much attention since the report of their superior dielectric properties by Kim et al. in 2004 [1]. The bulk ceramics had a low relative density value around 55% even after a powder compact is cold isostatically pressed (CIP) at 430 MPa and sintered at 1020 °C in NH$_3$ flow [1]. Their permittivity of several hundred was reported in the temperature range between 180 and 300 K, with a relatively large dielectric loss > 1 [1]. However there have been no other reports to support the interesting information. Two independent reports in 2011 indicate the presence of cis-type TaO$_4$N$_2$ in SrTaO$_2$N from neutron diffraction data [2,3]. The cis-configuration of TaO$_4$N$_2$ was maintained up to 1100 °C from high temperature neutron diffraction measurements [4].

To clarify the intrinsic dielectric properties of the oxynitride, highly densified SrTaO$_2$N ceramics were prepared by sintering at 1400 °C in 0.2 MPa of N$_2$ and subsequent annealing at 1000 °C in NH$_3$ flow [5,6]. SrCO$_3$ (2.5 wt%) was necessary as a sintering additive to compensate for the partial loss of SrO during high temperature sintering. The sample color changed from orange to black with sintering due to a slight loss of nitrogen, although the sintered ceramics was
single phase of SrTaO$_2$N. Less dense SrTaO$_2$N ceramics with relative density of < 85% recovered both the orange color, stoichiometric nitrogen content and electrical insulating behavior after the annealing in NH$_3$. The nitrogen loss was not recovered in fully dense SrTaO$_2$N ceramics. The dielectric constant of SrTaO$_2$N changed in the range between 450 and 9000 with the relative density. The recovery in the post-annealing was more difficult in the denser ceramics. Sintering with hot isostatic pressing (HIPping) at 1400 °C under 196 MPa has also been applied to prepare highly densified SrTaO$_2$N ceramics [7]. The color of the SrTaO$_2$N ceramics also changed from brown to dark gray by HIPping and the original brown color was recovered by post-annealing in NH$_3$. Neither high temperature sintering in nitrogen atmosphere nor HIPping suppressed the nitrogen loss from SrTaO$_2$N. Thus, the sintered bodies must be post-annealed in NH$_3$ to compensate for the nitrogen loss.

The thermal stability of perovskite-type oxynitrides has been investigated using a combination of thermogravimetry (TG) and mass spectroscopy (MS) in different atmospheres [8]. The oxidation reaction of SrTaO$_2$N begins at 480 °C in 10–20% O$_2$ diluted with Ar to produce SrTaO$_{3.5}$. TG-MS measurement in Ar indicated SrTaO$_2$N released N$_2$ gas above 1120 °C.
and the color was changed from orange to dark orange with black particles, although the perovskite phase was retained, as confirmed by X-ray diffraction (XRD) measurement. Thermal annealing of SrTaO$_2$N in an evacuated silica tube also partially released nitrogen and a secondary phase of rock-salt type TaO$_{0.9}$ was formed together with the perovskite phase at 1100 °C [6]. Thin film formation is another way of material shaping different from ceramic sintering. SrTaO$_2$N thin films were grown epitaxially on a SrTiO$_3$ substrate by nitrogen plasma-assisted pulsed laser deposition method [9]. The compressively strained SrTaO$_2$N thin film was composed of small domains that exhibited ferroelectric response and a surrounding matrix that exhibited relaxor-type behavior.

Densification below the decomposition temperature is required to obtain highly densified SrTaO$_2$N ceramics without compositional change. The synthesis and sintering of metal nitrides have been examined on optical, magnetic, electronic and refractory materials, such as GaN, FeN$_x$, BN, and AlN [10-15]. However, little research has been conducted on synthesis of oxynitrides, e.g. Ga$_3$O$_3$N, and REZrO$_2$N (RE = Pr, Nd, and Sm) suppressing a release of nitrogen under high pressure in the order of gigapascals [16,17]. Sintering of TaON has been investigated under high pressures of 3 and 5.5 GPa at temperatures above
900 °C using a belt-type high pressure apparatus, while the green compacts were not densified but were oxidized to Ta$_2$O$_5$ during HIPping in Ar [18,19].

In this study, densified SrTaO$_2$N were prepared by using a belt-type high pressure apparatus to avoid the thermal decomposition under pressures of several gigapascals, with and without sample heating. The electrical properties of the densified ceramics were then investigated with respect to their phase decomposition.

2. Experimental

2.1. High pressure and high temperature experiments

SrTaO$_2$N was prepared by the ammonolysis reaction of SrTaO$_{3.5}$, as reported in our previous paper [6]. The SrTaO$_2$N precursors were encapsulated in Pt or Au tubes (6 mm diameter, 2-3 mm height) under a dried Ar atmosphere. The encapsulated samples were set in the belt-type high pressure apparatus with a NaCl pressure transmitting medium and a graphite heater [20,21]. High pressure was applied in the range of 2.5-7.7 GPa at room temperature and the sample was then heated to temperatures in the range of 600-1000 °C and held for 30 or 60 min, as summarized in Table 1. After sintering under pressure, the
sample was quenched to room temperature within a few minutes by turning off the heating power under the pressure. After release the pressure, the SrTaO$_2$N compacts were removed from the Pt (Au) wrapping.

2.2. Characterization

The crystalline phases were characterized using powder XRD (Ultima IV, Rigaku) with monochromatized Cu Kα radiation. XRD patterns were measured for the polished surface of the high pressure compacts. The relative densities of the compacts were determined using bulk densities measured from their dimensions and weight. Scanning electron microscopy (FE-SEM; JSM-6500F, Jeol) was used to observe the microstructure of the fractured surface. Transmission electron microscopy (TEM; JEM-2010, Jeol) observations were performed using thin slices prepared by focused ion beam milling (FIB; JIB-4600F, Jeol). The dielectric properties were measured using an LCR meter (4274A, Hewlett-Packard) in the frequency range from $10^2$ to $10^6$ Hz at room temperature with both surfaces of the compacts coated with silver paste as electrodes. Diffuse reflectance of the SrTaO$_2$N precursor and the high pressure compacts were measured with a UV-Vis spectrometer (V-550, Jasco).
3. Results and discussion

3.1. High pressure compacts obtained at ambient temperature

SrTaO$_2$N compacts obtained at high pressures were single phase of perovskite as shown in Fig. 1. The diffraction lines broadened with the increase of pressure, while their lattice parameters were almost constant at room temperature, as summarized in Table 1. The relative density of the compact formed at 2.5 GPa was 64%, which reached 75% at pressures above 5.5 GPa without sample heating. The compact formed at 2.5 GPa was bright orange colored, similar to the SrTaO$_2$N precursor powder. However, the color of the compact formed at 7.7 GPa had changed to dark brown, as shown in Fig. 2. Optical microscopic images of the fracture surfaces of the samples are shown in Fig. S1 of the Supporting Information (SI). As the pressure was increased, brown or black particles appeared together with the original orange particles. UV-Vis spectra for the powdered samples had a band gap energy of approximately 2.05 eV which was comparable with the reported value of 2.1 eV [1]. The compacts became dark in color, but without impurity phases, although the crystallinity was lowered, which could be related to a microstructural change. A high density of
defects on the particle surface was proposed as a reason for the optical change in perovskite-type tantalum oxynitrides [22].

The starting SrTaO$_2$N powder showed a coagulation of plate-like crystals and a particle size distribution from several micrometers to several hundreds of nanometers, as shown in Fig. 3(a). Then fracture surfaces of the high pressure compacts obtained at 2.5 and 7.7 GPa without heating revealed dense microstructures where the morphology of the secondary particles was maintained. The primary particle size seems to be smaller than that of the original powder (Figs. 3(b) and 3(c)). Residual pores were evident in the TEM image of the compact obtained at 7.7 GPa, as depicted in Fig. 3(d), because no grain growth or sintering between SrTaO$_2$N grains occurred under the non-heating condition. The magnified image shown in Fig. 3(e) indicates that the grain surface was fractured into small particles of several tens of nanometers. The nanosized surface structure may be formed by inter-grain stress that arises during high pressure compaction. Similar diffraction line broadening has been reported for oxides, nitrides and carbides, where the grain size was reduced to the nanometer scale under microstructural strain [13,23,24]. The electron diffraction shown in Fig. 3(f) suggests that the fractured grains in Fig. 3(e)
retained the perovskite-type crystal lattice. No amorphous halo pattern was observed or extra diffraction spots that would indicate the presence of a secondary phase. These observations suggest that the grain was fractured into nanosized particles during the room temperature compaction, as indicated by the XRD line broadening in Fig. 1, while the crystalline perovskite structure was still maintained.

### 3.2. High pressure compacts obtained at high temperature

High pressure compacts obtained at ambient temperature and pressures above 5.5 GPa had a maximum relative density of 75%. No secondary phases were observed in their XRD patterns; however, the diffraction lines were broadened due to the formation of nanosized particles that were responsible for the color change from orange to brown. To improve the density and crystallinity, high pressure sintering at high temperature was performed at a pressure of 7.7 GPa. Figure 4 shows that the relative density increased with temperatures above 800 °C and reached 83% at 1000 °C. The product pressed at 600 °C was colored brown, similar to that obtained at ambient temperature. However, the color changed to black when sintered above 800 °C. The sintered pellets were
also single phase of perovskite structure, as shown in Fig. S2 in SI. The lattice parameters were almost comparable with those of the powder precursor but became slightly smaller along the $a$-axis with the increased sintering temperature under pressure of 7.7 GPa (Table 1). Fracture surface of the pellet obtained at 600 °C was almost similar to that of the high pressure compact obtained without heating as shown in Fig. 5(a). However, sintering at 1000 °C slightly disrupted the particles to make a denser morphology in Fig. 5(b). The diffuse reflectance of the black pellets sintered above 800 °C was reduced in the near infrared region due to the appearance of electrical conductive nature in the sintered pellets as shown in Fig. S3 in SI. Dense phase is stabilized under high pressure as in the case of graphite to diamond phase transition. The observed lattice shrinkage was also induced by the application of high pressure, and this shrinkage may be compensated by the partial loss of nitrogen when the high pressure was released.

### 3.3. Dielectric property of the high pressure compacts

Figure 6 shows complex impedance plots for the high pressure compacts. Insulative characteristics are suggested by observation of only a large
partial semi-circle for the compacts obtained under pressure of 2.5 and 7.7 GPa at room temperature and that formed at 600 °C under 7.7 GPa. The compacts had slightly lower relative density below 75%, and the sample color was orange or brown. At higher heating temperature above 800 °C and at 7.7 GPa, the sintered pellets were black and the complex impedance plots were semi-circles. The resistivities were estimated from the intersection with the real axis and were 25 MΩcm and 12 kΩcm for the pellets sintered at 800 °C and 1000 °C, respectively. The conductivity may be related to a partial loss of nitrogen and the formation of rock-salt type TaO$_{0.9}$ impurity, as reported in our previous paper [6]. Black colored conductive pellets were previously obtained when SrTaO$_2$N was sintered with a SrCO$_3$ additive at high temperatures above 1300 °C under a 0.2 MPa N$_2$ atmosphere or when HIPped above 1200 °C at 196 MPa [5-7]. The TaO$_{0.9}$ impurity phase has also appeared in powder compacts annealed at 1100 °C in a silica tube under vacuum [6]. Nitrogen release from SrTaO$_2$N and thermal decomposition of the oxynitride have also been confirmed in a temperature range between 1120 °C and 1200 °C by thermal analysis with mass spectroscopic analysis [8]. Both decomposed products contained black particles due to the presence of the reduced Ta$^{5+}$ species, and which also indicates the
conductive nature of the product. The color of pellets sintered under a high pressure of 7.7 GPa was changed to black above 800 °C, which is approximately 200 °C lower than the decomposition temperature of SrTaO$_2$N in Ar or vacuum. The slight shrinkage of the unit cell volume could be related to partial decomposition and the release of nitrogen. Pressure-induced phase decomposition has been reported in many oxides with various crystal structures [25,26]. The FeTiO$_3$ perovskite-type oxide (as a high pressure polymorph) was also decomposed into a mixture of rock-salt type “FeO” and TiO$_2$ at high pressure above 67 GPa [27,28]. The high pressure-induced decomposition can be understood by the formation of a denser assemblage of the decomposed oxides that is favorable at high pressure rather than the parent ternary oxide. Pressure-induced phase decomposition has also been suggested in ternary nitride based on density functional calculations [29]. SrTaO$_2$N has a theoretical density of 7.95 g/cm$^3$ [2], which is less dense than those of simple tantalum oxides and nitrides such as 9.93 g/cm$^3$ for Ta$_3$N$_5$ [30], 8.31 g/cm$^3$ for Ta$_2$O$_5$ [31] and around 15 g/cm$^3$ for rock-salt type TaO and TaN [32,33]. Rock-salt type TaO$_{0.9}$ has been reported to appear as a high temperature impurity phase in SrTaO$_2$N [6]. High pressure inducing microstructural stress on the SrTaO$_2$N
grains decreases its thermal stability because higher density phases such as TaO or TaN with theoretical densities around 15 g/cm\(^3\) may stabilize under the high pressure rather than SrTaO\(_2\)N with a lower density of 7.9 g/cm\(^3\).

Permittivity and dielectric loss (tan\(\delta\)) were measured for the orange and brown insulative pellets. The relative dielectric constants for the high pressure compacts obtained at 2.5 and 7.7 GPa without sample heating were 60 and 90, respectively as shown in Fig. 7. Heating at 600 °C under a pressure at 7.7 GPa significantly increased the dielectric constant to 200 at 100 Hz. The dielectric loss for the sintered pellet were slightly increased above tan\(\delta\) > 0.1. Their permittivity had a small frequency dependence. The permittivity and low dielectric loss values measured for the high pressure compacts were comparable to those of previously measured for dense SrTaO\(_2\)N ceramics sintered at 1450 °C under 0.2 MPa N\(_2\) atmosphere with subsequent post-annealing at 1000 °C in NH\(_3\) flow [6]. High pressure compaction at low heating temperatures and at ambient temperature densified the thermally unstable SrTaO\(_2\)N up to a relative density of 75%, while conductive behavior appeared in the high pressure compacts sintered above 800 °C, which is 200 °C lower than the decomposition temperature of SrTaO\(_2\)N under vacuum or Ar flow.
Conclusion

High pressure densification was investigated for perovskite-type SrTaO$_2$N with and without sample heating. The products were phase pure perovskites and the relative density was increased for samples pressed at ambient temperature from 64 at 2.5 GPa to 75% at 7.7 GPa. In addition to the densification, the sample color changed from orange to brown and the crystallinity was decreased due to the formation of nanoparticles, while the perovskite structure was retained. Heating above 800 °C at 7.7 GPa promoted densification up to 85% accompanied by a slight contraction of the crystal lattice. The sintered ceramics were black and exhibited electrical conductivity due to a partial loss of nitrogen. The decomposition reaction may occur under high pressure sintering above 800 °C, which is lower than the temperature of thermal decomposition at ambient pressure or in a vacuum. The presence of crystalline phases that are denser than SrTaO$_2$N may depress the stability of the perovskite phase at high pressure. High pressure compacts with a relative density of 75% prepared at 600 °C and 7.7 GPa were insulative and had a permittivity of 200 (100 Hz) at room temperature.
Acknowledgement

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Figure captions

Figure 1. XRD patterns for the SrTaO$_2$N (a) powder and high pressure compacts obtained at (b) 2.5, (c) 5.5, and (d) 7.7 GPa and at ambient temperature.

Figure 2. Relative density of high pressure compacts obtained at ambient temperature. Inset photographs indicate the color of the compacts.

Figure 3. SEM images of the SrTaO$_2$N starting powder (a) and fracture surfaces of the high pressure compacts obtained at (b) 2.5 and (c) 7.7 GPa. TEM image of the compact obtained at (d) 7.7 GPa and (e) a magnified image of the area indicated by a red square in (d), and (f) an electron diffraction pattern.

Figure 4. Relative density of the high pressure compacts as a function of the heating temperature. Inset photographs indicate the color of the compacts.

Figure 5. SEM images of the high pressure compacts sintered at 600 °C (a) and 1000 °C (b) under a pressure of 7.7 GPa.
Figure 6. Complex impedance plots for the high pressure compacts. The inset shows an expanded low frequency plot for the compacts.

Figure 7. Frequency dependence of the permittivity and dielectric loss for the high pressure compacts.
Figure 1, Y. Masubuchi, et al.,
Figure 2, Y. Masubuchi, et al.,
Figure 3, Y. Masubuchi, et al.,

(a) 

(d) 

(b) 

(e) 

(c) 

(f)
Figure 4, Y. Masubuchi, et al.,
Figure 5, Y. Masubuchi
Figure 6, Y. Masubuchi, et al.,
Figure 7, Y. Masubuchi, et al.,