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Cluster states and isoscalar monopole transitions of $^{24}$Mg

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Background: Isoscalar monopole transition has been suggested as a key observable to search for exotic cluster states. Recently, excited $0^+$ states with strong isoscalar monopole transition strengths were experimentally reported in $^{24}$Mg, but their structures were not revealed because of the lack of theoretical analysis.

Purpose: We study the structure of the excited $0^+$ states of $^{24}$Mg populated by isoscalar monopole transition from the ground state, and identify their cluster configurations.

Method: The $0^+$ states of $^{24}$Mg and their isoscalar monopole transition strengths from the ground state are calculated with antisymmetrized molecular dynamics combined with the generator coordinate method using the Gogny D1S interaction.

Results: The calculated isoscalar monopole strength function shows reasonable agreement with experiment and is consistent with other theoretical calculations. The structures of the excited $0^+$ states with pronounced isoscalar monopole transitions are analyzed. It is found that the $0^+_3$, $0^+_4$, and $0^+_5$ states have mixed nature of mean-field and cluster, and that the $0^+_3$ state is dominated by a $^{12}$C + $^{12}$C cluster configuration. In addition, it is predicted that $5\alpha$-pentagon $+ \alpha$ states appear around 23 MeV.

Conclusions: The excited $0^+$ states which appear as the prominent peaks in the calculated strength function are associated with $^{20}$Ne $+ \alpha$, $^{12}$C $+ \alpha$, and $5\alpha$-pentagon $+ \alpha$ cluster states.

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Introduction. Clustering is a fundamental degree of freedom of nuclear excitation. According to the Ikeda threshold rule [1], the appearance of various cluster states is expected near the cluster decay thresholds. Clustering of $p$ shell nuclei has long been studied and is well established [2,3], including the dilute gas-like $\alpha$-cluster state of $^{12}$C($0^+_2$) [4–9]. On the other hand, in the mid sd-shell nuclei, the existence of cluster states is not well established, although many interesting phenomena can be expected. For example, in the case of $^{24}$Mg, a variety of exotic cluster states is expected: In addition to the ordinary $\alpha$ cluster state ($^{20}$Ne $+ \alpha$), $^{12}$C $+ ^{12}$C molecular states of astrophysical interest [10–17], $^{16}$O $+ 2\alpha$ clustering [18–23], and $6\alpha$ condensation [7,24,25] are theoretically discussed. However, their high excitation energies make it difficult to identify them experimentally.

In this decade, it was found that the isoscalar (IS) monopole transition strengths between the ground and excited cluster states are considerably enhanced, and hence can be a good probe for highly excited cluster states. The discussion was initiated by Kawabata [26] and Kanada-En’yo [27] on the enhanced IS monopole transition of $^{11}$B between the shell-model-like state predicted by theoretical calculations. Thus, IS monopole transition is a promising probe for highly excited cluster states.

Recently, excited $0^+$ states with strong isoscalar monopole transition strengths were experimentally reported in $^{24}$Mg [34], but their structures are ambiguous. Therefore, in this study, we aim to clarify the relationship between those excited $0^+$ states and clustering. For this purpose, we calculate the excited $0^+$ states of $^{24}$Mg and investigate their clustering and IS monopole transition strengths from the ground state by antisymmetrized molecular dynamics (AMD), which has successfully described a variety of structures of $p$-sd-pf-sd-shell nuclei [35–37] including the low-lying states of $^{24}$Mg [38]. To describe the cluster states and single-particle states including giant monopole resonance (GMR) simultaneously, we introduce a constraint on the harmonic oscillator quanta and perform the generator coordinate method (GCM) with a large number of basis wave functions.

Formalism. We employ the microscopic Hamiltonian

$$H = \sum_{i} \frac{\hat{p}_i^2}{2m} - \hat{\mu}_{c.m.} + \sum_{i<j} \hat{v}_{NN}(ij) + \sum_{i<j} \hat{v}_C(ij),$$

where $\hat{\mu}_{c.m.}$ and $\hat{v}_C$ stand for the center-of-mass kinetic energy and the Coulomb interaction approximated by a sum of seven Gaussians, respectively. We use Gogny D1S [39] and D1M [40] interactions as the effective nucleon-nucleon (NN) interaction $\hat{v}_{NN}$. Because these interactions yield qualitatively the same results, we focus on the result obtained by D1S in the following discussions. The AMD variational wave function used in this study is an antisymmetrized product of the single particle wave packets projected to the positive-parity
where the single-particle wave packet $\varphi_i$ is represented by a deformed Gaussian wave packet [41], and the variational parameters $v_\sigma$, $Z_i$, $a_i$, and $b_i$ are determined by the energy variation.

To deal with the low-lying quadrupole collective states and highly excited cluster states simultaneously, we introduce the quantities

$$N_{\sigma\tau} = \langle \Phi^+_\sigma | \sum_{i=1}^A a_i^\dagger(i) a_t(i) | \Phi^+_\tau \rangle, \quad \sigma, \tau = x, y, z. \quad (4)$$

Here $a_t(i)$ is an ordinary annihilation operator of the harmonic oscillator acting on the $i$th nucleon, and the oscillator parameter $\hbar\omega$ is estimated from the ground state radius and set to 12.6 MeV. As a measure of the particle-hole excitation, we introduce the quantity $\Delta N = N_e + N_y + N_z - N_0$ where $N_0$ is the lowest Pauli-allowed value equal to 28. Under the condition of $\Delta N = 0, 2, 4, 6, 8$, we put the constraints for all possible integer values of $N_e$, $N_y$, and $N_z$. In other words, roughly speaking, we searched for the various many-particle-hole configurations within $8\hbar\omega$ excitation. We denote the thus-obtained set of the wave functions as $\Phi_{\Delta N}^+$. We also introduce the energy nonweighted and weighted sums

$$m_k = \sum_{n} \int dE_x |M_n(ISO)|^2 E_n^{k} \times \delta(E_n^{k} - E_x), \quad (11)$$

where $E_n^{k}$ stands for the excitation energy of the $n$th $0^+$ state, i.e., $E_n^{k} = E_n - E_{g.s.}$.

Results of the energy variation. Figures 1(a) and 1(b) show the typical configurations obtained by the constraint on the quadrupole deformation. After the GCM calculation, they become the dominant component of the ground and $0^+_1$ states, respectively. The centroids of the Gaussian wave packets are gathered around the center of mass, describing a triaxially deformed mean-field configuration. As already discussed in

![FIG. 1. (Color online) (a)–(i) Intrinsic density distributions at the $z = 0$ plane obtained by constraints on the matter quadrupole deformation parameters [(a) and (b)] and the expectation values of the harmonic oscillator quanta [(c)–(i)]. The crosses in each figure show the centroids of Gaussians describing nucleons. The contour lines are plotted in intervals of 0.02 fm$^{-3}$. (j) The geometry of 6$\alpha$ particles, in which the crosses represent the centroids of Gaussians describing $\alpha$ particles.](https://doi.org/10.1103/PhysRevC.91.061302.R)
our previous work [38], the constraint on the quadrupole deformation generates deformed mean-field configurations [44,45], but no cluster configuration.

The use of the constraint on the harmonic oscillator quanta generates various kinds of cluster configurations as well as single-particle excited configurations with approximate $\Delta N \hbar \omega$ excitations which lie energetically above the energy surface of $\Phi_{\beta\gamma}$ and are not accessible by the constraint on the quadrupole deformation. The panels (c)–(i) show examples of thus-obtained cluster wave functions, and they are the dominant component of the excited $0^+$ states corresponding to the prominent peaks in the IS monopole strength function $S(E)$. Using the constraint $\Delta N = 2$, $^{20}\text{Ne} + \alpha$ and $^{12}\text{C} + ^{12}\text{C}$ cluster states start to appear. As $\Delta N$ increases, the intercluster distance grows and the orientation of clusters changes depending on the combination of $N_x$, $N_y$, and $N_z$. For example, panels (c) and (d) show the $^{20}\text{Ne} + \alpha$ cluster configuration with $\Delta N = 4$ and 8, which mainly contribute to the $0^+_1$ and $0^+_2$ states, respectively. They have different orientations of $^{20}\text{Ne}$ and intercluster distances (distance between the centroids of Gaussians describing clusters) of 3.0 and 3.3 fm, respectively. The panels (e), (f), and (g) show $^{12}\text{C} + ^{12}\text{C}$ cluster states with $\Delta N = 6$, 6, and 8, respectively. They have different orientations of the oblate deformed $^{12}\text{C}$ clusters, and intercluster distances are 3.5, 3.5, and 4.0 fm. By further increase of $\Delta N$, a very exotic cluster structure composed of $6\alpha$ particles appears. Typical examples are shown in panels (h) and (i), which were obtained using the constraint $\Delta N = 8$. In panel (h), the centroids of Gaussians describing $5\alpha$ clusters locate at the vertex of a pentagon with sides of 1.5 fm, and the last $\alpha$ cluster is 0.25 fm above it. This geometry of $6\alpha$ particles is schematically illustrated in panel (j). The configuration shown in panel (i) has a geometry of $6\alpha$ clusters similar to that of panel (h) but the shape of the $5\alpha$-pentagon is distorted. After the GCM calculation, these $5\alpha$-pentagon + $\alpha$ configurations generate two $0^+$ states above 20 MeV. We note that this geometry of $6\alpha$ particles is different from the octahedral configuration reported by Hartree-Fock-Bogoliubov (HFB) calculation [25], and that the octahedral $6\alpha$ configuration is not obtained in our calculation. We conjecture that such a configuration will be obtained by applying a much larger value of $\Delta N$, because it has very large radius. We also note that $^{16}\text{O} + 2\alpha$ cluster configurations were also obtained in the present calculation, but they are not discussed here, because it was found that they do not have large IS monopole transition strengths.

$\text{IS monopole transition strengths.}$ The ground and excited $0^+$ states are calculated by the GCM with three different basis sets: (a) $\Phi_{\beta\gamma}$, (b) $\Phi_{\beta\gamma} + \Phi_{\text{IS}}$, and (c) $\Phi_{\beta\gamma} + \Phi_{\text{IS}} + \Phi_{\Delta N}$. The IS monopole transition strengths derived from these GCM wave functions are shown in Fig. 2, and their energy weighted sums and the centroid energies of GMR are summarized in Table I. The Gogny D1M interaction yielded results almost identical with the D1S interaction, hence we focus on D1S results. With only the basis set $\Phi_{\beta\gamma}$, the strength function [Fig. 2(a)] fails to describe GMR, and the energy weighted sum $m_1$ amounts to only 35% of the sum rule (EWSR). Addition of the basis set $\Phi_{\text{IS}}$ [Fig. 2(b)] greatly improves the $m_1$ value (116% of EWSR), but overestimates the observed GMR centroid energy [46–48] because the GMR strength distributes widely in the region of $E_x > 30$ MeV. The inclusion of the basis set $\Phi_{\Delta N}$ yields the reasonable strength function as shown in Fig. 2(c). Namely various cluster and single-particle states with $\Delta N \hbar \omega$ excitation described by $\Phi_{\Delta N}$ lower the GMR position and enhance its strength. As a result, the strength function exhausts approximately

\begin{table}[h]
\caption{Calculated energy weighted sums $m_1$ and $m_1^*$ in fraction of the EWSR and the centroid energies of GMR ($m_1/m_0^*$ and $\sqrt{m_1^*/m_0^*}$) in MeV, where $m_0^*$, $m_1^*$, and $m_0^*$ are the sums between $0^+_0$, $0^+_1$, and $0^+_2$ state. The results obtained by using the Gogny D1M interaction are also shown in parentheses.}
\begin{tabular}{cccccc}
Basis set & $m_1$ & $m_1^*$ & $m_1^*/m_0^*$ & $\sqrt{m_1^*/m_0^*}$ \\
\hline
(a) $\Phi_{\beta\gamma}$ & 35 & 26 & 20.3 & 24.2 \\
(b) $\Phi_{\beta\gamma} + \Phi_{\text{IS}}$ & 116 & 101 & 25.6 & 29.3 \\
(c) $\Phi_{\beta\gamma} + \Phi_{\text{IS}} + \Phi_{\Delta N}$ & 103(107) & 90(96) & 22.3(22.7) & 25.2(25.7) \\
Expt. [46–48] & 82 $\pm$ 9 & 21.9$^{+0.3}_{-0.2}$ & 24.7$^{+0.5}_{-0.3}$ \\
QRPA [49] & 94 & 20.57 & \\
\end{tabular}
\end{table}
100% of EWSR and plausibly agrees with the experimental energy weighted sum and the GMR centroid energy observed in the energy range $E_x = 9 - 40$ MeV. It is also noted that the quasiparticle random phase approximation (QRPA) with Gogny D1S interaction also yielded similar values and qualitatively agrees with our results and experiment with respect to the global structure of GMR.

From the comparison between the strength functions shown in Figs. 2(b) and 2(c), we also see that not only the GMR strength ($E_x \gtrsim 18$ MeV) but also the low-lying structure ($E_x \lesssim 18$ MeV) of the strength function is largely modified by the basis set $\Phi_{\Delta N}$. For example, note that the prominent peak at 15.3 MeV in Fig. 2(c) is completely missing in Fig. 2(b). Based on the analysis of the wave functions corresponding to those peaks, we conclude that several prominent peaks are attributed to the cluster configurations and suggest that the cluster states shown in Fig. 1 can be populated and observed by their enhanced IS monopole transition strengths. To see this point, we discuss the structure of the $0^+$ states relevant to the prominent peaks in $S(E_x)$ in the following.

**Cluster states and their transition strengths.** The ground state is dominated by the mean-field structure and has the largest overlap (0.93) with the wave function shown in Fig. 1(a). However, at the same time, it also has non-negligible overlaps with the cluster wave functions. It has 0.26 and 0.40 overlaps with $^{20}\text{Ne} + \alpha$ and $^{12}\text{C} + ^{12}\text{C}$ cluster states shown in Figs. 1(c) and 1(e), respectively. This result yields the following two points. The first is that the cluster correlation exists even in the ground state. The binding energy of the ground state increases from 198.3 to 199.2 MeV by including $\Phi_{\Delta N}$ which indicates that the additional binding energy of 0.9 MeV is brought about by the cluster correlation. Secondly, it shows that the ground state has "duality nature" of the mean-field and clusters and that the degrees of freedom of cluster excitation are embedded in the ground state. This is an essential ingredient for the discussion of the IS monopole transition from the ground to the excited cluster states [28].

By including $\Phi_{\Delta N}$, the low-lying mean-field states [the excited states having $E_x < 15$ MeV in Fig. 2(b)] are strongly mixed with $^{20}\text{Ne} + \alpha$ and $^{12}\text{C} + ^{12}\text{C}$ cluster states and constitute the low-lying prominent peaks at 9.3, 11.7, and 13.2 MeV in Fig. 2(c), which correspond to the $0^+_1$, $0^+_2$, and $0^+_3$ states, respectively. In contrast to those mixed states, the $0^+_1$ state at 15.3 MeV is dominated by the $^{12}\text{C} + ^{12}\text{C}$ cluster configurations. Furthermore, $5\alpha$-pentagon+$\alpha$ cluster state configurations generate the $0^+_1$ and $0^+_2$ states at 21.8 and 24.9 MeV.

The $0^+_2$ state which appears as the lowest peak at 9.3 MeV has the largest overlap (0.36) with the mean-field configuration of Fig. 1(b), which has a larger quadrupole deformation parameter $\beta$ than the ground state. It can be regarded as the $\beta$ band built on the ground band, and hence has large IS monopole transition strength as listed in Table II. However, it also has 0.32 overlap with the $^{20}\text{Ne} + \alpha$ cluster configuration shown in Fig. 1(c). Owing to this cluster correlation, it gains additional binding energy of 1.8 MeV which reduces the excitation energy from 10.2 to 9.3 MeV. The $^{20}\text{Ne} + \alpha$ cluster structure also constitutes the $0^+_2$ state at 11.7 MeV by mixing with the mean-field structure. It has the largest overlap (0.30) with the configuration of the $^{20}\text{Ne} + \alpha$ cluster configuration shown in Fig. 1(d) and a comparable overlap (0.25) with the mean-field wave function with $(\beta, \gamma) = (0.4, 57^\circ)$ in $\Phi_{\Delta N}$.

The $^{12}\text{C} + ^{12}\text{C}$ cluster configurations dominantly contribute to the $0^+_1$ and $0^+_2$ states. The $0^+_1$ state at 11.7 MeV exhausts 3.7% of EWSR and has large overlaps with $^{12}\text{C} + ^{12}\text{C}$, $^{20}\text{Ne} + \alpha$ and cluster mean-field configurations. The overlaps are 0.21, 0.19, and 0.16 with $^{12}\text{C} + ^{12}\text{C}$ and $^{20}\text{Ne} + \alpha$ cluster configurations and the mean-field configuration with $(\beta, \gamma) = (0.76^\circ, 2.4^\circ)$, respectively. In contrast to the above mentioned states, the $0^+_2$ state at 15.3 MeV, which is close to the $^{12}\text{C} + ^{12}\text{C}$ cluster decay threshold energy, is governed by the $^{12}\text{C} + ^{12}\text{C}$ cluster configurations. It has 0.14 0.11 0.18 overlaps with the configurations of Figs. 1(e)–1(g). The overlaps with other configurations are less than 0.09. The $0^+_3$ state has strong IS monopole transition strength and 6.4% of EWSR. It is noted that this state is completely missing in Figs. 2(a) and 2(b) and also appears to be missing in the QRPA calculation [49], which is consistent with its strong $^{12}\text{C} + ^{12}\text{C}$ cluster nature. Very interestingly, the $0^+$ state with enhanced IS monopole transition is observed at the $^{12}\text{C} + ^{12}\text{C}$ cluster threshold energy in the $^{24}\text{Mg}(a, a')$ experiment [34], which can be associated with the present $0^+_3$ state. We also note that the $0^+_3$ state is the band-head of $^{12}\text{C} + ^{12}\text{C}$ cluster band which is a candidate of the observed $^{12}\text{C} + ^{12}\text{C}$ molecular resonances of astrophysical interest, which we will discuss in detail in a forthcoming paper.

Adding to those clusters, the $5\alpha$-pentagon+$\alpha$ cluster states appear as the $0^+_1$ and $0^+_2$ states at 21.8 and 24.9 MeV that exhaust 2.5% and 1.2% of EWSR, respectively. The $0^+_3$ state has the largest overlap which amounts to 0.43 with the $5\alpha$-pentagon+$\alpha$ cluster structure shown in Fig. 1(h). It also has non-negligible overlap (0.20) with the single-particle excited configuration described by $\Phi_{\Delta N}$. The $0^+_4$ state has the largest overlap (0.26) with the $5\alpha$-pentagon+$\alpha$ cluster configuration shown in Fig. 1(i) and has rather minor contributions from other configurations. One may attempt to associate these $5\alpha$-pentagon+$\alpha$ cluster states with a dilute $6\alpha$ gas state analogous to the Hoyle state of $^{12}\text{C}(0^+_2)$. Indeed, the recent HFB calculation showed the possible existence of dilute $na$.

### Table II. Properties of the $0^+$ states obtained by GCM calculation with $\Phi_{\beta, \gamma}$, $\Phi_{1\text{SO}}$, and $\Phi_{\Delta N}$, $E_x$, proton radius $\sqrt{r_p^2}$, $B(1\text{SO})$, and $E, B(1\text{SO})$ are given in units of MeV, fm, fm$^4$, and fraction of EWSR as percentage, respectively. The values in parentheses are the observed values [50–53]. The observed $\sqrt{r_p^2}$ is deduced from the observed charge radius [52] and the proton charge radius [53].

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<th>State</th>
<th>$E_x$</th>
<th>$\sqrt{r_p^2}$</th>
<th>$B(1\text{SO})$</th>
<th>$E, B(1\text{SO})$</th>
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<td>$0^+_1$</td>
<td>0.0</td>
<td>3.06 (2.93)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$0^+_2$</td>
<td>9.3 (6.4)</td>
<td>3.11</td>
<td>122 (180 ± 20)</td>
<td>6.1 (6.4 ± 0.7)</td>
</tr>
<tr>
<td>$0^+_3$</td>
<td>11.7</td>
<td>3.08</td>
<td>59.3</td>
<td>3.7</td>
</tr>
<tr>
<td>$0^+_4$</td>
<td>13.2</td>
<td>3.06</td>
<td>31.1</td>
<td>2.2</td>
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<tr>
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<td>15.3</td>
<td>3.11</td>
<td>77.8</td>
<td>6.4</td>
</tr>
<tr>
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<td>21.8</td>
<td>3.14</td>
<td>21.6</td>
<td>2.5</td>
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<tr>
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<td>24.9</td>
<td>3.28</td>
<td>8.90</td>
<td>1.2</td>
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cluster states at very low density in \( N = Z \) nuclei \([25]\). However, we conclude that the calculated \( 0^+_{15} \) and \( 0^+_{24} \) states do not correspond to dilute \( \alpha \) gas state suggested by the HFB calculation due to the following reasons. First, the geometries of \( \alpha \) particles of those states are different from those of Ref. \([25]\) as mentioned before. Second, the radii of those states are not large enough to be a dilute \( \alpha \) gas state and are much smaller than those of Ref. \([25]\). Third, they are much more deeply bound compared to \( E_x \approx 80 \text{ MeV} \) reported in Ref. \([25]\). Since AMD is free from the spurious center-of-mass kinetic energy and the parity and angular-momentum projections are correctly performed, the excitation energies of cluster states are greatly reduced. In addition, the present \( 0^+_{15} \) and \( 0^+_{24} \) states are rather compact and have non-negligible interaction energies between \( \alpha \) clusters. Therefore, we conclude that those states have cluster structure but do not have dilute gas nature. Nevertheless, we emphasize that the exotic \( \alpha \) cluster states are first obtained without a priori assumption of clustering in this study, and it is shown that they are experimentally accessible via IS monopole transition from the ground state. Although they are embedded in the GMR energy region, we expect that they can be experimentally identified by their decay mode, because, differently from GMR, they will selectively decay through \( \alpha \) particle emission.

**Conclusions.** In summary, we investigated the structure of the excited \( 0^+ \) states of \( ^{24}\text{Mg} \) and their IS monopole transition strengths based on AMD. The mean-field and cluster configurations of \( ^{24}\text{Mg} \) were obtained by the energy variation. In particular, by using a constraint on the harmonic oscillator quanta, the \( ^{20}\text{Ne} + \alpha + ^{12}\text{C} + \alpha + ^{12}\text{C} \) and \( 5\alpha + ^{12}\text{C} + \alpha + ^{12}\text{C} \) cluster configurations were obtained without any a priori assumption on clustering. In addition, \( 1p-1h \) (2\( \hbar \omega \)) excited configurations built by the IS monopole operator were also introduced as the basis wave functions of GCM. With these basis wave functions, the calculated \( 0^+ \) states yielded reasonable IS monopole strength functions. Namely, they exhausted almost 100% of EWSR and reproduced the observed centroid energy of GMR. The result is also consistent with the QRPA calculation.

We have shown that several excited \( 0^+ \) states with the enhanced IS monopole transitions are associated with \( ^{20}\text{Ne} + \alpha + ^{12}\text{C} + ^{12}\text{C} \) and \( 5\alpha + ^{12}\text{C} + ^{12}\text{C} \) cluster configurations. The \( 0^+_{15} \), \( 0^+_{24} \), and \( 0^+_{34} \) states have the mixed nature of mean-field, \( ^{20}\text{Ne} + \alpha + ^{12}\text{C} + ^{12}\text{C} \) cluster configurations, while the \( 0^+_{25} \) state is governed by \( ^{12}\text{C} + ^{12}\text{C} \) cluster configuration. The \( 0^+_{25} \) state may be associated with the strong peak observed at the \( ^{12}\text{C} + ^{12}\text{C} \) cluster threshold energy in the \( ^{24}\text{Mg}(\alpha,\alpha') \) experiment \([34]\). Furthermore, we predicted that the \( 5\alpha + ^{12}\text{C} + \alpha + ^{12}\text{C} \) cluster states appear in the GMR energy region. Even though they do not correspond to the dilute \( 6\alpha \) gas state, it is emphasized that the exotic \( \alpha \) cluster states were firstly obtained without any a priori assumption on clustering and were shown to be experimentally accessible via IS monopole transition from the ground state. We expect that a detailed comparison with the latest experimental data will reveal the exotic clustering of \( ^{24}\text{Mg} \).

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