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Author(s)	Matsuura, T.; Hara, J.; Inagaki, K.; Tsubota, M.; Hosokawa, T.; Tanda, S.
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Charge density wave soliton liquid

T. MATSUURA^{1,2}, J. HARA¹, K. INAGAKI³, M. TSUBOTA⁴, T. HOSOKAWA¹ and S. TANDA^{1,2}

¹ *Department of Applied Physics, Hokkaido University, Sapporo 060-8628, Japan.*

² *Center of Education and Research for Topological Science and Technology, Hokkaido University Sapporo 060-8628, Japan.*

³ *Department of Physics, Asahikawa Medical University, Asahikawa 078-8510, Japan.*

⁴ *Division of Quantum Science and Engineering, Hokkaido University, Sapporo 060-8628, Japan.*

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Abstract – We investigate the charge density wave transport in a quasi-one-dimensional conductor, orthorhombic tantalum trisulfide (*o*-TaS₃), by applying a radio-frequency ac voltage. We find a new ac-dc interference spectrum in the differential conductance, which appear on both sides of the zero-bias peak. The frequency and amplitude dependences of the new spectrum do not correspond to those of any usual ac-dc interference spectrum (Shapiro steps). The results suggest that CDW phase dynamics has a hidden degree of freedom. We propose a model in which 2π phase solitons behave as liquid. The origin of the new spectrum is that the solitons are depinned from impurity potentials assisted by an ac field when small dc field is applied. Our results provide a new insight as regards our understanding of an elementary process in CDW dynamics.

Introduction. – Phase solitons are topological defects in the phase field of a charge density wave (CDW) [1] and are similar to the vortices in superconductors and elementary excitation in a vacuum. The degree of freedom of the phase soliton is the key to understanding the nature of a CDW. Phase solitons have been expected in the one-dimensional CDW system of orthorhombic tantalum trisulfide (*o*-TaS₃) crystals to explain the CDW transport properties [2–4], and the Aharonov-Bohm interference in *o*-TaS₃ rings [5, 6]. In addition, the coexistence of commensurate and incommensurate CDWs in *o*-TaS₃ crystals was observed below the Peierls transition temperature $T_P = 218$ K by X-ray diffraction [7]. The satellite peaks of the incommensurate CDW of $\mathbf{Q}_{ic} \sim 0.5a^* + 0.125b^* + 0.255c^*$ develop first just below T_P , and those of the commensurate CDW of $\mathbf{Q}_c = 0.5a^* + 0.125b^* + 0.25c^*$ develops with decrease of temperature. Furthermore, the incommensurate CDW was enhanced when the CDW was sliding. The results suggest that there are unconventional degrees of freedom associated with the solitons, and that they will affect CDW dynamics.

In this letter, CDW dynamics in *o*-TaS₃ crystals is investigated using a conventional ac-dc interference measurement in the temperature range where the commensurate and incommensurate CDWs coexist. We find a new type

of ac-dc interference spectrum, which does not originate from the usual Shapiro interference mechanism. The results suggest that an *o*-TaS₃ CDW system has 2π phase solitons. The solitons are depinned from impurities and move individually as a liquid when a large ac electric field is applied.

Experimental. – The ac-dc interference measurement was performed as follows. The *o*-TaS₃ crystals were synthesized using the chemical vapor transport method [8, 9]. A needle-shaped *o*-TaS₃ crystal was selected and placed on a sapphire substrate, and two silver wires were connected to the crystal with gold evaporation films and silver paste for the two-probe measurement. The resistance of the sample present here was 7Ω at room temperature (280 K). The cross section area of the sample was $16 \times 7 \mu\text{m}^2$, the minimum distance between two terminals was $110 \mu\text{m}$, and the room temperature resistivity was consistent with a previously reported value [10]. The differential conductance of *o*-TaS₃ crystals was measured as a function of dc bias current while applying a radio-frequency (RF) ac voltage. A differential conductance measurement with a lock-in-amplifier (Stanford Research SR830DSP: a low-frequency (LF) ac current of $I_{LF} = 1 \mu\text{A}$, and $f_{LF} = 13$ Hz) was performed. The sample tem-

perature was controlled by using liquid nitrogen and an electrical heater with a ± 0.1 K accuracy.

Results. – Figure 1 (a) shows the differential conductance of the *o*-TaS₃ crystal as a function of the dc bias current at 150 K, when we applied an ac voltage of 1 MHz with various amplitudes. The threshold current and voltage were $I_{\text{th}} = 0.35$ mA and $V_{\text{th}} = 70$ mV ($E_{\text{th}} = 636$ V/m) for $V_{\text{RF}} = 0$, respectively, and the zero bias peak became sharper when V_{RF} increased, then the unusual peaks appeared on both sides of the zero bias peak for $V_{\text{RF}} = 160$ mV [See also the peaks indicated by red arrows in Fig. 1 (b)]. We note that the vertical shifts of the dI/dV curves in Fig. 1 (a) are not artificial, but mean that there are several CDW phase domains. A major domain contributes the Shapiro interference peaks indicated by black arrows in Fig. 1, but other domains move incoherently. The threshold electric field of the sample was relatively larger than those reported in the previous papers. One reason is that it was two-probe transport measurement. Generally the threshold voltage observed by two-probe transport measurement is observed larger than that by four-probe transport measurement since the charge density wave phase distortion and phase slip must be involved.

The new peak structures appear under ac and dc electric fields have never before been reported explicitly. On the other hand, the step structures in the dI/dV curve have been often observed in NbSe₃ crystals [12, 13], and other quasi-one dimensional conductors. The step structures in the dI/dV curve are clearly different from these new peak structures, since there is no decrease of dI/dV in the step structures. In addition, a Joule heat effect cannot explain the decrease in the differential conductivity because the conductivity of *o*-TaS₃ crystals should increase when the temperature increases [10] (See also Fig. 3 inset).

The RF amplitude and frequency dependences of the current at the new peaks are not consistent with those of the Shapiro peaks. The first Shapiro peaks can also be seen in Fig. 1 (b). The frequency dependence of the differential conductance is shown in Fig. 2 (a). The peak positions and heights of the new peaks are symmetric, while the dI/dV curves are asymmetric. The asymmetry suggests that there is a macroscopic polarization associated with metastable states of the phase field in *o*-TaS₃ crystals [14], however, metastability is not directly related to the new peak structures.

From Figs. 1 (a) and 2 (a), the RF amplitude and frequency dependences of the CDW current at the new peaks and at the first Shapiro peaks are obtained. Figure 2 (b) shows the CDW current at the peaks as a function of the ac amplitude for $f_{\text{RF}} = 1$ MHz. The CDW current interval of the first Shapiro peaks is constant [$(I_{\text{CDW}}^{(+)} - I_{\text{CDW}}^{(-)})/2 = 0.065$ mA at $f_{\text{RF}} = 1$ MHz]. On the other hand, the CDW current at the new peaks increases as the RF amplitude increases. As shown in Fig. 2 (c) the CDW current at the first Shapiro peaks is proportional to f_{RF} , while the

CDW current at the new peaks decreases. Contrary to the Shapiro peaks following the conventional Shapiro interference mechanism, the new peaks have clearly different RF amplitude and frequency dependences. These results suggest that the CDW dynamics has an unconventional internal degree of freedom.

We also find that the new peaks disappear at low temperature. Figure 3 (a) shows the temperature dependence of the differential conductivity when an ac voltage of $V_{\text{RF}} = 200$ mV and $f_{\text{RF}} = 1$ MHz is applied. When the temperature decreases, the current at the new peaks decreases, and the height of the zero bias peak increases. Below 120 K, the new peaks are below the shoulder of the zero bias peak. At the temperature range at which the new peaks are observed, the commensurate and incommensurate CDWs coexist simultaneously [7]. The synchrotron X-ray diffraction measurement could reveal high resolution of satellite diffraction structure of the CDW in *o*-TaS₃. There were the peaks of commensurate and incommensurate CDWs while the diffraction peaks of the mother lattice did not split. The result shows that the CDW in *o*-TaS₃ has an internal degree of freedom in the phase structures, and which would be a key to understanding the origin of the new peak structures in the dI/dV curve.

We note that the temperature dependence of the low-field conductivity (dI/dV for $I_{\text{dc}} = 0$, and $V_{\text{RF}} = 0$) in the inset of Fig. 3 (a) is consistent with previous results [10]. The transition temperature is 218 K and the conductivity above 110 K follows the Arrhenius curve $\sigma(T) = \sigma_0 \exp(-2\Delta/T)$ with a semiconducting energy gap of $2\Delta = 828$ K.

The new peak structures have been observed in another *o*-TaS₃ whisker (sample 2). Fig. 3 (b) shows the temperature dependence of the differential conductance under a RF electric field. In this sample the new peaks appear but no clear zero bias peak are observed. The new peak structures are observed at 80 K to 100 K, and the peaks disappear higher and lower temperatures. This measurement was performed with both current sweep directions. The new peak structures do not have hysteresis and dependence associated with current sweep direction. We note that all samples did not show the new peak structures. We measured 10 *o*-TaS₃ whiskers, and two samples showed the unconventional ac-dc interference peaks. The sample dependence is not understood yet.

Discussions. – The peak structures nearby the zero bias peak were clearly observed in the sample 1, and 2. The appearance of the peaks is a physical phenomenon, not a data error. The differential conductance without RF bias has no special features. This means that there is no large crack in the crystals to make two or more threshold voltages. In the electrical measurement, there may be a transversal current flow. However, in our samples, the probe to probe lengths are more than 10 times larger than the crystal width and thickness. The conductivity anisotropy of the TaS₃ is order of 100 at room tempera-

ture and increases at low temperature. Thus only 0.1 % of the conductivity is affected by the transverse current. Thus we can neglect the effect of transverse current.

The origin of the new peak structures is discussed. The inhomogeneous CDW current explain the step structures in dI/dV curves [12, 13]. If the CDW current flows inhomogeneously due to impurities or inhomogeneous current injection, the step structures might appear in dI/dV curves. When there are parallel CDW chains with different CDW currents flow, total current vs. voltage curves must have many threshold voltages, which seems step structures in dI/dV curves. Moreover, the frequency and amplitude dependences of the new peaks are completely different from those of the Shapiro interference. Thus the new peaks cannot be produced the Shapiro interference of the inhomogeneous current.

Other possible internal degree of freedom causing the new peak structures is the CDW phase solitons. Solitons have been expected to exist in the commensurate CDW of an α -TaS₃ system from electrical transport and optical excitation experiments [2, 3]. The existence of a fractional $2\pi/M$ phase soliton (with a fractional charge $2e/M$) is predicted in commensurate CDW systems with commensurability M , where $M = 4$ for α -TaS₃ systems. Therefore, we consider the soliton dynamics and its contribution into IV characteristics with a RF field. Solitons must play an important role for the CDW dynamics, since a soliton is a topologically stable and carries a $2e/M$ electrical charge. Since the soliton has electrical charge, the solitons must be pinned by impurities. Thus the soliton pinning and depinning must be taken into account. When a RF electric field is applied, the solitons must be depinned and contribute dc conduction at a moment, while the bulk CDW does not contribute dc conduction. The degree of freedom of the solitons would modify CDW dynamics. The soliton flow would be associated with the origin of the unconventional ac-dc interference peaks.

Soliton liquid model. – Here we discuss the structure of α -TaS₃ CDWs based on the sine-Gordon type equation, comparing with the structural information obtained by the X-ray diffraction experiment [7]. The high resolved X-ray diffraction experiment revealed that there are two components corresponding to the commensurate ($Q_c = 0.25c^*$) and incommensurate ($Q_{ic} = 0.255c^*$) CDWs, and the intensities of them have a temperature dependence, not that the wavenumber has [11].

The electron density of the CDW on an α -TaS₃ chain is expressed as $\rho(z, t) = |\rho_0| \sin(Q_c z + \phi(z, t))$, where $|\rho_0|$ is amplitude of the CDW order parameter. The dynamical equation of the phase field $\phi(z, t)$ of a commensurate CDW with commensurability M is written as

$$\begin{aligned} \frac{\partial^2 \phi}{\partial t^2} - v_{\text{ph}}^2 \frac{\partial^2 \phi}{\partial z^2} + g_M \sin(M\phi) - F_{\text{imp}} \\ = -\frac{1}{\tau} \frac{\partial \phi}{\partial t} - \frac{\pi n e_e}{m^*} E(t), \end{aligned} \quad (1)$$

where m^* is the CDW effective mass, $n_e = Q_c/\pi$ is the base one-dimensional electron density, g_M is the commensurability coupling constant, τ is the damping time, $E(t)$ is the external electric field, and $v_{\text{ph}} = \sqrt{m/m^*} v_F$ is the CDW phason velocity. $F_{\text{imp}}(z, t) = g_P \sum_i \sin(Q_c z + \phi(z, t)) \delta(z - z^i)$ is the pinning potential force of impurities at $z = z^i$.

The phase solitons can exist without any excitation if there is a mismatch between $2k_F$ and $1/4c^*$. With α -TaS₃ systems, the true one-dimensional electron density must be $Q_{ic}/2\pi$, and the excess charges should make $2\pi/M$ solitons. If there are many phase solitons in the system, the phase $\phi(z)$ is approximately written as $\phi(z, t) = \sum_i \phi^i(z, t)$, where the i -th 2π phase soliton is expressed as

$$\phi^i(z, t) = \frac{4}{M} \tan^{-1} \left\{ \exp \left[\frac{\gamma}{d} (z - z_0^i - v_S t) \right] \right\}. \quad (2)$$

Here v_S is the soliton velocity, $\gamma = [1 - (v_S/v_{\text{ph}})^2]^{-1/2}$ is the Lorentz factor, and $d = v_{\text{ph}}/(M\sqrt{g_M})$ is the soliton width. Due to the experimental situation, the soliton velocity $v_S = \lambda_c f_{\text{NBN}} \ll v_{\text{ph}}$, where $\lambda_c = 2\pi/Q_c$ is the commensurate CDW wavelengths.

The X-ray diffraction [7] gave a hint about M [15]. In the experiment, the commensurate and incommensurate satellite diffraction spots were observed simultaneously at from 220 K to 50 K, while the Bragg diffraction spots of the mother lattice did not split. We found that 2π phase solitons can only reproduce the commensurate and incommensurate satellite diffraction spots, as follows. By calculating the Fourier transformation of the electron density $\rho(z, t)$, we can expect the CDW satellite diffraction spectrum. The Fourier magnitudes have a spot at Q_{ic} , and high order spots at $Q_{ic} + nM\Delta Q$, where n is $\pm 1, \pm 2, \dots$, and $\Delta Q = Q_{ic} - Q_c$, due to the Bessel function expansion. To reproduce the commensurate peak at Q_c [7], M should be 1. The existence of the 2π solitons is reasonable since a 2π soliton can exist when a smallest phase dislocation loop encircles a one-dimensional chain, while the chain-chain Coulomb interaction energy is minimized [5, 6]. If a fractional soliton exists in a chain, the chain-chain Coulomb interaction energy between the neighboring chains must be increased. In other words, the fractional solitons must form a domain wall across the cross section of the crystal to match the CDW phase with those of neighboring chains.

If the soliton-soliton interaction is strong, the soliton-soliton distance will be constant. Then the 2π phase solitons form a one-dimensional lattice as the curve above the dashed line in Figure 4 (a) [16–18]. The Fourier magnitude of the electron density for the soliton lattice state shows not only the commensurate and incommensurate spots, but also high order spots as the curve above the dashed line in Figure 4 (b), contrary to the experimental results. The reason why only two spots at Q_{ic} and $Q_c = Q_{ic} - \Delta Q$ were observed corresponds to that the solitons are distributed randomly.

When the interaction becomes weaker than the impurity potential or thermal fluctuation, the soliton lattice will melt and the solitons will move individually [19, 20]. This behavior is analogous with that in superconducting vortices [21]. The soliton positions are random in the liquid state. The position of the i -th soliton z_0^i is assumed to be distributed randomly in the $\frac{\lambda_c Q_c}{M \Delta Q} - d$ range. The curves below the dashed line in Fig. 4 (a) show $d\phi(z)/dz$ of the soliton liquid state for several soliton widths.

The Fourier magnitudes for the soliton liquid state are shown below the dashed line in Fig. 4 (b). For the soliton liquid state of 2π phase solitons, both the commensurate and incommensurate components remain in the Fourier spectrum, and the randomness of the soliton positions causes other high order components to become diffused.

Moreover, increasing of the soliton width d reproduces the crossover from the commensurate to the incommensurate CDWs. When the soliton width is small, then the commensurate peak is only observed. Decreasing of the soliton width, the CDW is approaching to be incommensurate, then the incommensurate diffraction peak is enhanced. The increasing of the soliton width d corresponds to increasing of temperature observed in the experiment [7]. The temperature dependence of d could be interpreted as the development of the CDW order parameter, since the commensurate coupling constant g_M is an increasing function of the CDW amplitude.

The existence of solitons qualitatively explains the enhancement of the incommensurate CDW at the sliding state [7]. The experiment found that the intensity of the incommensurate CDW diffraction spots in the sliding state is stronger than that in the pinning state. The numerical calculation of eq. (1) in overdamped situation provides a consistent result. Figure 1 (d) shows $d\phi/dx$ as a function of applied dc electric field. The peaks of $d\phi/dx$ correspond to the 2π -solitons. The soliton at zero external electric field have a maximum of the peak height. Thus the soliton width is smallest. The soliton width for 2π -solitons is expressed by $d = v_{ph}/\sqrt{g_M}$, while soliton-soliton distance is larger than the soliton width. When the external electric field exceeds the threshold field, the bulk CDW slides, and the soliton height becomes drastically small, namely, the soliton width becomes larger, as shown in Fig. 1 (d). The CDW moves when the external electric field exceeds the threshold field, then the many parts of the CDW do not stay the minimum of the commensurability energy potential. Then the constant g_M effectively decreases for the moving CDW, since an incommensurate CDW reduces the elastic energy instead of the commensurability energy in the sliding state. Hence, the soliton width is increased at the CDW sliding state, and the CDW phase field becomes rather incommensurate, as shown in Fig. 4 (a) and (b). The one-dimensional soliton liquid model is naturally explain the enhancement of the incommensurate satellite spots at the sliding state.

We investigate CDW dynamics with the 2π soliton liq-

uid and impurities in the presence of RF bias using numerical calculation of eq. (1). The dc (time-averaged) CDW current $\langle d\phi/dt \rangle$ is calculated as a function of dc electric field. All calculations are performed with overdamped situation, and following parameters; $v_{ph} = 3$, $g_M = 0.5$, $g_P = 5$, and $\tau = 0.01$. If there is no soliton, and there is no impurity potential, the calculation reproduces the well-known nonlinear conduction of the CDW system, as shown in Fig. 5 (a). When a RF field is applied, the Shapiro interference occurs at $\langle d\phi/dt \rangle = n f_{RF}$, where n is integer. The steps in the IV curve correspond to the integer Shapiro steps.

Secondly, if impurities are assumed to be induced randomly in the one-dimensional chain, the phase field $\phi(z)$ are modified since the phase is locked locally by the impurities. Then the threshold electric field is increased rather than that without impurities, as shown in Fig. 5 (b). In the presence of the RF field, the fractional Shapiro steps appear. This must be caused by the interaction between the impurities and the CDW phase field.

Thirdly, if 2π phase solitons are introduced in the system, the current flows when a low electric field is applied, as shown in Fig. 5 (c). Here the impurities was neglected. The solitons move and carry charges, while the bulk CDW is pinned by the commensurability energy potential. So, the system shows an Ohmic conduction at zero voltage.

Finally, Fig. 5 (d) shows the results when both the 2π phase solitons and the impurities are taken into account for the calculation. For $E_{RF} = 0$, both the bulk CDW and the solitons are pinned by impurities when $E_{dc} < E_{th}$, and depinned when $E_{dc} > E_{th}$. The soliton depinning energy is much larger than the CDW depinning energy from the commensurability potential and impurity potential, then only one threshold electric field should be observed by the experiment. We note that this is same with our experimental results. If we assumed two or more separated CDW domains, two or more threshold fields must be expected.

When a RF electric field is applied, then the IV curve shows step-like structures around zero bias. Fig. 5 (e) shows magnification of the step-like structure. When $E_{dc} < E_{S1}$, solitons and CDW are moved by the RF field, however, the contribution to the dc conductivity is zero, as well as at the pinning state. For $E_{RF} \gg E_{th}$, the solitons depinned when $E_{dc} > E_{S1}$ by with the help of the RF field, and contribute to dc conduction. The soliton conduction is much smaller than the bulk CDW conduction since the soliton charge is localized and sparse. Therefore, a step-like structure appears in the current-voltage characteristics. When $E_{dc} > E_{S2}$, the bulk CDW is depinned, and the sliding CDW causes the integer and fractional Shapiro steps. The differential conductivity of the calculation results indicates a peak structure beside the zero-bias peak, as shown in Fig. 5 (f), which is quantitatively consistent with our experimental results. This model provides a possible explanation for both experimental results of the ac-dc interference measurement and the X-ray diffraction measurement. While the strength of im-

379 purity potential has arbitrariness, this calculation suggests
 380 that the unconventional ac-dc interference effect is associ-
 381 ated with an interaction between solitons and impurities.
 382 The sample dependence of strength of impurity potential
 383 is might be the reason why a few samples show the new
 384 ac-dc interference peaks.

385 **Summary.** – In summary, we found new ac-dc inter-
 386 ference peaks in the differential conductance of *o*-TaS₃
 387 crystals when we applied a radio-frequency (RF) ac elec-
 388 tric field. We proposed the 2π soliton liquid model to
 389 explain our results and the coexistence of commensurate
 390 and incommensurate CDWs. The existence of the soliton
 391 liquid may provide a consistent explanation for the low-
 392 energy excitations [2,3], and nonlocal voltages [4], and will
 393 play an important role for understanding the quantum inter-
 394 ference effect in *o*-TaS₃ systems [5,6].

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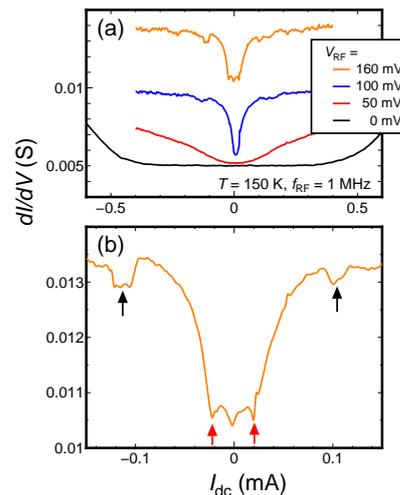


Fig. 1: (a) Differential conductance of a *o*-TaS₃ crystal at 150 K when applying an RF ac voltage of $f_{RF} = 1$ MHz as a function of dc bias current I_{dc} . Dc bias current swept from negative to positive for all dV/dI v.s. I_{dc} curves. The low-field conductivity for $V_{RF} = 0$ mV is $R_N^{-1} = 5.0 \times 10^{-3}$ S. (b) The magnification of a differential conductance curve for $V_{RF} = 160$ mV. New ac-dc interference peaks and the first Shapiro interference peaks are indicated by the red and black arrows, respectively.

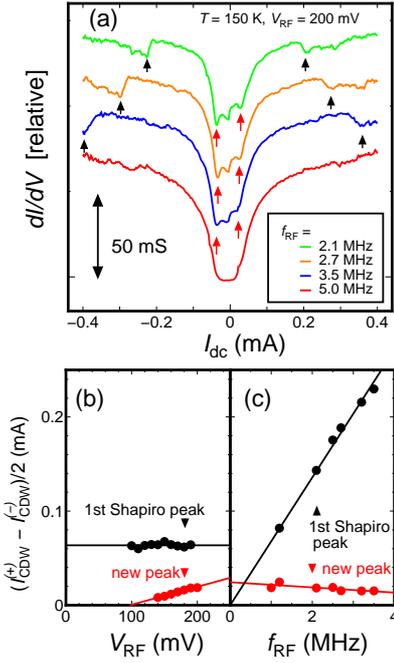


Fig. 2: (a) Frequency dependence of dI/dV vs. I_{dc} curves at $T = 150$ K. The applied external ac voltage is $V_{RF} = 200$ mV. The curves are vertically shifted for clarity. The red and black arrows indicate new ac-dc interference peaks and the first Shapiro step peaks, respectively. (b) Intervals between positive and negative dc CDW current ($I_{CDW}^{(\pm)} = I_{dc}^{(\pm)} - R_N^{-1}V_{dc}^{(\pm)}$) of the new peaks and the first Shapiro peaks as a function of V_{RF} for $f_{RF} = 1$ MHz and (c) as a function of f_{RF} for $V_{RF} = 200$ mV, both at 150 K. The solid lines are linear fitting curves.

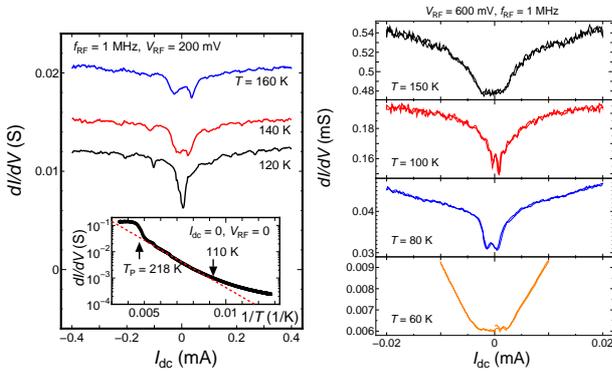


Fig. 3: Left panel: Temperature dependence of dI/dV vs. I_{dc} curves of o - TaS_3 crystal for $V_{RF} = 200$ mV and $f_{RF} = 1$ MHz. The red arrows indicate new ac-dc interference peaks, and the black arrows indicate integer Shapiro steps. The inset shows the temperature dependence of the differential conductance dI/dV for $V_{dc} = 0$ V, and $V_{RF} = 0$ V. The broken line indicates the Arrhenius curve mentioned in the text. Right panel: Temperature dependence of dI/dV vs. I_{dc} curves of sample 2 for $V_{RF} = 600$ mV and $f_{RF} = 1$ MHz.

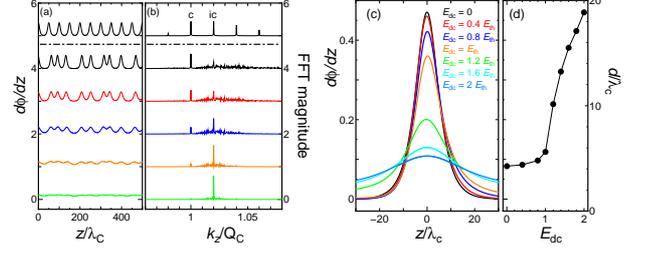


Fig. 4: (a) $d\phi/dz$ of a one-dimensional CDW for 2π phase soliton lattice (above dashed line) and soliton liquid (below dashed line) with several soliton width. d increases from top to bottom. A 2π soliton exists every 50 commensurate CDW wavelengths ($\lambda_c = 2\pi/Q_c$). (b) Magnitude of the Fourier transform of electron density $\rho(z)$ for soliton lattice and soliton liquid states. (c) $d\phi/dt$ as a function of dc electric field calculated from eq. (1) without impurity potential. E_{th} is the threshold electric field. (d) Soliton width d as a function of dc electric field.

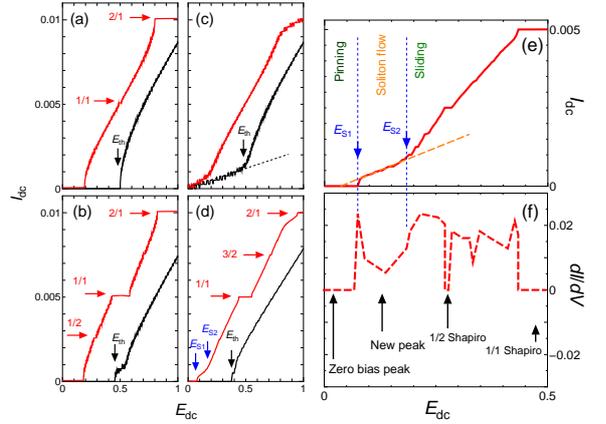


Fig. 5: Current-voltage characteristics calculated numerically from eq. (1) taking effects of soliton and impurity into consideration. (a) No soliton and no impurity in the system. (b) No soliton and five impurities introduced at random in the system of 100 crystal lattices. (c) A soliton is introduced. (d) Both a soliton and five impurities are introduced. The black curves indicate dc current-voltage characteristics, where I_{dc} is proportional to time-average of $\partial\phi/dt$. The red curves indicate the results when an alternative electric field of amplitude $E_{RF} = 2$ and frequency $f_{RF} = 0.005/2\pi$ is applied. (e) Magnification of current-voltage characteristics in (d) around $E_{dc} = 0$ for $E_{RF} = 2$. Both a soliton and five impurities are in the system. (f) Differential conductance obtained by differentiation of curve for $E_{RF} = 2$ in (e).