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Automatic registration of MLS point clouds and SfM meshes of urban area

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\textbf{ABSTRACT}
Recent advances in 3D scanning technologies allow us to acquire accurate and dense 3D scan data of large-scale environments efficiently. Currently, there are various methods for acquiring large-scale 3D scan data, such as Mobile Laser Scanning (MLS), Airborne Laser Scanning, Terrestrial Laser Scanning, photogrammetry and Structure from Motion (SfM). Especially, MLS is useful to acquire dense point clouds of road and road-side objects, and SfM is a powerful technique to reconstruct meshes with textures from a set of digital images. In this research, a registration method of point clouds from vehicle-based MLS (MLS point cloud), and textured meshes from the SfM of aerial photographs (SfM mesh), is proposed for creating high-quality surface models of urban areas by combining them. In general, SfM mesh has non-scale information; therefore, scale, position, and orientation of the SfM mesh are adjusted in the registration process. In our method, first, 2D feature points are extracted from both SfM mesh and MLS point cloud. This process consists of ground- and building-plane extraction by region growing, random sample consensus and least square method, vertical edge extraction by detecting intersections between the planes, and feature point extraction by intersection tests between the ground plane and the edges. Then, the corresponding feature points between the MLS point cloud and the SfM mesh are searched efficiently, using similarity invariant features and hashing. Next, the coordinate transformation is applied to the SfM mesh so that the ground planes and corresponding feature points are adjusted. Finally, scaling Iterative Closest Point algorithm is applied for accurate registration. Experimental results for three data-sets show that our method is effective for the registration of SfM mesh and MLS point cloud of urban areas including buildings.

\textbf{1. Introduction}
High-quality 3D urban models are required for navigation and web mapping services, and 3D scan data is useful to create them. Currently, there are various ways for acquiring the 3D scan data of large-scale environments, such as laser scanning technology including Airborne Laser Scanning (ALS), Terrestrial Laser Scanning (TLS), and Mobile Laser Scanning (MLS), and image-based 3D reconstruction technology including photogrammetry and Structure from Motion (SfM) which estimates the 3D geometry and the position and orientation of the camera, simultaneously. Especially, MLS is useful to acquire high-density point clouds of the urban roads and the objects around them, efficiently. On the other hand, SfM is a powerful technique to reconstruct the textured meshes of a wide range of urban areas. Therefore, it is possible to reconstruct the high-quality 3D urban models by combining MLS point clouds and SfM meshes.

To combine the 3D scan data acquired from different positions or systems, a registration process is required as the pre-processing. A number of registration methods have been proposed. Generally, registration of 3D scan data consists of two steps: rough registration and accurate registration. For the automatic rough registration, features extracted from the scan data, e.g. Fast Point Feature Histograms (Rusu, Blodow, and Beetz 2009) and 3D Scale Invariant Feature Transform (SIFT) (Theiler, Wegner, and Schindler 2013), or randomized sampling approach, e.g. 4-points congruent sets (4PCS) (Aiger, Mitra, and Cohen-Or 2008) are used. For the accurate registration, Iterative Closest Point (ICP) algorithms and its variants are often used (Besl and McKay 1992; Rusinkiewicz and Levoy 2001). However, there is some room for improvement regarding the robustness for the variations of the scanned objects and the environments, and the computational efficiency.

In this research, we propose a registration method of the SfM mesh and the MLS point cloud for constructing the high-quality 3D urban model (Figure 1). This research targets the registration of the scan data of the urban area including the building. Moreover, the SfM meshes handled in this method have non-scale information; therefore, the scale of the SfM mesh is adjusted to the MLS point cloud in addition to the position and orientation.

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Our method consists of automatic efficient rough registration using the 2D feature points and the accurate registration by the scaling ICP (SICP) algorithm (Zinßer, Schmidt, and Niemann 2005) which is the extension method of ICP algorithm. The rough registration is done by the similarity transformation invariant feature extraction from the 2D feature points which are obtained from the building's vertical edges and the ground plane, the efficient feature point matching using hashing, and the coordinate transformations. After the rough registration, the accurate registration is performed by SICP.

The feature of our method is the efficiency of the registration, with scale adjustment, and robustness against the different densities and scanning noises of the 3D scan data in the urban areas. By comparing the results of the rough registration and accurate registration, the accuracy is evaluated using three data-sets of the SfM mesh and the MLS point cloud.

The rest of the paper is organized as follows. Section 2 discusses the related works. The detail of the proposed registration method of the SfM mesh and the MLS point cloud is described in Section 3. In Section 4, experimental results for the three data-sets are shown in order to evaluate the effectiveness of our method. Section 5 shows conclusions of the paper.

2. Related work

For the rough registration of the 3D scan data of the large-scale environments, various methods based on features extracted from the point clouds and randomized approach have been proposed. Kang et al. (2009) proposed a registration method between the point clouds by using panoramic reflectance images and SIFT, and Nüchter et al. (2011) proposed a registration method between the point clouds by using the skylines from the range images. These methods require panoramic images obtained from a fixed scan position; therefore, the methods are applicable only to the TLS point clouds. As a registration method using more general features of point clouds, Rusu, Blodow, and Beetz (2009) proposed a registration method of point clouds by using the feature histogram generated from the set of the point normals in the local point clouds. The method can find the correspondences between two unrelated point clouds, but it is difficult to apply the method to the data with a large density difference. Moreover, the method requires relatively correct normals for generating histograms, but robust normal estimation of the complex urban scene including trees or wired objects is still one of the challenging problems. AL-Durgham, Habib, and Kwak (2013) proposed a registration method of the point clouds by random sample consensus (RANSAC) for straight line edges extracted from the planes in the scene. They concluded that the improvement of the computational time is required. The 4PCS is one of the effective methods for registration of the 3D point clouds with a large number of outliers and less overlaps. They used coplanar 4-points as the key, and internal ratio of intersection points of the diagonals of the 4-points is used for efficient search of congruent set in each data. However, the low robustness and high computational cost of the method for the point clouds of large-scale environments are concluded by Theiler, Wegner, and Schindler (2013). These methods have some limitations for the application to the registration of SfM mesh and MLS point cloud. Moreover, these methods cannot adjust the scale of the model.

Some registration methods which can adjust the scale of the 3D scan data of large-scale environments have been proposed as follows. Kochi (2013) proposed a registration method for interpolating the TLS point cloud by the mesh obtained from photogrammetry. In this method, the vertical edges of the objects in the scene are extracted from both data, and they are used in the matching of the two data. However, the method requires prior knowledge about the orientation of each data. Novák and Schindler (2013) proposed a registration method of the photogrammetric point cloud and the laser-scanned point cloud by using height maps. This method uses overlapping height maps with distinctive features, and the effectiveness of the method has been shown through several experiments using different types of scanned objects.
and environments. However, the MLS point cloud and the SfM mesh generally have quite low overlapping, and useful height maps for matching may not be obtained because the commonly scanned surfaces in both data are only road surfaces and facades of the building.

In our research, the intersection points between the building’s vertical edges and ground plane are used as feature points of the registration for solving the above problems. The features are extracted efficiently and stably from the 3D scan data including the buildings in the urban area. Furthermore, the similarity invariant features, which are invariant under similarity transformations, are extracted from the feature points for registration with scale adjustment. Simple feature representation from the buildings is useful for registration of scan data of urban environments. Recently, registration methods using building outlines on the grounds have been proposed for aligning the point clouds acquired from ALS and TLS (Yang et al. 2015) or sequent images from UAV (Yang and Chen 2015). In addition, similar to our method, Yang et al. (2016) uses intersection points between grounds and vertical lines from pole-like objects and vertical planes for the registration of TLS point clouds of large-scale urban scene. Based on the geometric constraints, spectral graphs, and triangulations, these methods provide useful matching methods of the building outlines and feature points on the ground for rough registration. However, scale adjustment is not considered, and the registration performance may depend on the overlap and scanned area of the object surfaces.

For the accurate registration, ICP algorithm (Besl and McKay 1992) is usually used. The ICP algorithm provides an accurate registration between two point clouds by iteratively minimizing registration error function which is the sum of squared distances between corresponding points or planes in each point cloud. The ICP requires correct initial position and orientation to avoid incorrect results caused by local minima of the error function. Therefore, it is better to apply rough registration before the ICP, if the original position and orientations of given point clouds differ greatly. Most variants of the ICP algorithm (Rusinkiewicz and Levoy 2001) derive a rigid body transformations for registration. Some accurate registration methods capable of scaling based on the ICP algorithm have been proposed. Du et al. (2007) and Zinßer, Schmidt, and Niemann (2005) extend the ICP algorithm by adding the scale factor. In our research, the SICP algorithm proposed by Zinßer, Schmidt, and Niemann (2005) is used for the accurate registration. The SICP algorithm provides simple calculation of the scale factor under the traditional computational framework of the ICP algorithm.

3. Registration method
3.1. Overview of the algorithm

Our registration method is applied to the SfM mesh and MLS point cloud of the urban area including the buildings. In general, the SfM meshes have non-scale information. Therefore, scale, position, and orientation of the SfM mesh are adjusted to the MLS point cloud in our registration method.

Several geometric features of buildings, such as the surfaces, corners, edges, etc., may be useful for the registration of the SfM mesh and the MLS point cloud. In this research, we use the vertical edges of the building, which can be extracted stably from both data. By assuming that the ground planes are identical in both data, we reduce the 3D registration problem to a 2D problem consisting of 2DOF for translations and 1DOF for rotation. To solve the 2D registration problem, 2D feature points, which are intersections between the ground plane and the building’s vertical edges, are used. For the registration with scaling of the SfM mesh, feature point matching is efficiently performed using the similarity invariant features and a hash table.

Figure 2 shows the overview of our method. Our method consists of the following three steps.

**Step 1:** Feature Point Extraction (A1 and A2 in Figure 2).
First, planar regions are extracted by region growing, and the ground plane is detected based on the number of points or triangles in the regions. Then, intersection lines between neighboring planar regions are calculated, and vertical intersection lines are extracted. Finally, intersection points between the vertical lines and the ground plane are extracted as 2D feature points. These processes are applied to both SfM mesh and MLS point cloud.

**Step 2:** Feature Point Matching (A3 in Figure 2).
The corresponding feature points between the SfM mesh and the MLS point cloud are efficiently found using similarity invariant features obtained from each of the feature points and the hash table. Then, the coordinate transformation is applied to the SfM meshes so that the ground planes and the corresponding feature points can be adjusted.

**Step 3:** Accurate Registration (A4 in Figure 2).
The SICP algorithm (Zinßer, Schmidt, and Niemann 2005) is applied to both data. In this process, the source (movable data) is the SfM mesh after rough registration and the target (fixed data) is the MLS point cloud.

In the following sections, the details of Steps 1 and 2 are described.

3.2. Feature point extraction
3.2.1. Feature points extraction for SfM mesh

(1) Planar region extraction
The connected sets of triangles which have a similar normal direction are extracted by region growing. Region growing is performed in the following procedure.

**Step 1:** The seed triangle $j$ is selected randomly from the unprocessed triangles, and the seed triangle’s normal is set to the base normal of the region.
Vertical edge extraction and feature points extraction

First, if an edge is shared by two triangles belonging to different vertical planar regions, the regions are recognized as neighboring regions. For all neighboring regions, the intersecting lines between their planes are calculated. Then, the intersecting lines which are nearly vertical to the ground plane are extracted. Finally, intersection points between the extracted vertical lines and the ground plane are calculated as 2D feature points.

3.3. Feature point matching

In this research, to find the correspondence of the feature points independently from the scale of the SfM mesh, similar triangles consisting of three feature points are used. The similar triangle pair is found from both data and the coordinate transformation is calculated so that they are adjusted to each other. This process is applied repeatedly to some similar triangle pairs, and the optimal coordinate transformation is obtained. The optimal coordinate transformation maximizes the number of feature points of the SfM mesh corresponding to that of the MLS point cloud.

The similar triangles consisting of three feature points have the same ratio of the distances from a feature point to the other two points and the angle between the two difference vectors. In this paper, the three points are defined as \((i, j, k)\), where \(i\) is a reference point and \(\text{dist}(i, k) \geq \text{dist}(i, j)\), \(\text{dist}(x, y)\) is the distance between point \(x\) and \(y\). The ratio \(L_{i,j,k}\) is defined by \(\text{dist}(i, j)/\text{dist}(i, k)\). The angle \(\theta_{i,j,k}\) is defined as the angle between the vector of points \(i\) and \(j\) and that of points \(i\) and \(k\). In this research, pairs of these two measures are used as similarity invariant features, and similar triangles (i.e. three points have similar similarity invariant features) are searched efficiently using the hash table created by using the two measures.

The hash table generation and the search process for efficiently finding the corresponding three feature points are described as follows.
The above process is repeated \(N\) times, and the coordinate transform matrix which gives the maximum matching value is obtained. \(N\) is calculated by the following equation (Fischler and Bolles 1981):

\[
N = \frac{\log(1 - p)}{\log(1 - w^3)} \tag{1}
\]

where \(p\) is the user-specified success probability, and \(w\) is the ratio of the number of feature points of the SfM mesh, which correspond to those of the MLS point cloud, to the one of all feature points of the SfM mesh.

After the feature point matching, rigid body transformation is applied to the SfM mesh so that the ground planes of both data are adjusted, and then similarity transformation is applied so that the feature points of both data are adjusted.

### 3.4. Accurate registration

In the SICP algorithm (Zinßer, Schmidt, and Niemann 2005), ICP with scale adjustment is achieved by adding the scale factor \(s\) to the standard ICP algorithm (Besl...
and McKay 1992; Rusinkiewicz and Levoy 2001). The scale factor $s$, the rotation matrix $R$, and the translation vector $t$ for adjusting position, orientation and scale of the SfM meshes to the ones of the MLS point clouds are obtained from the following minimization:

$$\text{minimize}_{s,R,t} \sum_{i=1}^{N} \| q_i - sRp_i - t \|^2$$

(2)

where $p_i$ is a vertex of the SfM mesh, $q_i$ a point of the MLS point cloud corresponding to the $p_i$ and $N$ the number of the sampled vertices of the SfM mesh. $R$ and $t$ in Equation (2) are calculated by using the conventional methods such as the singular value decomposition or quaternions. The scale factor $s$ is obtained by the following equation:

$$s = \frac{\sum_{i=1}^{N} R(p_i - \bar{p}).(q_i - \bar{q})}{\sum_{i=1}^{N} (p_i - \bar{p}).(p_i - \bar{p})}$$

(3)

where $\bar{p}$ and $\bar{q}$ are the barycentre of the vertices and points used in the Equation (2), respectively. Finally, the similarity transformation defined by the $s, R$ and $t$ is applied to the SfM mesh.

4. Results

The proposed method was applied to three data-sets which are pairs of the SfM mesh and the MLS point cloud acquired from the same regions. The three data-sets have different characteristics, i.e. size, height, and number of buildings, and the overlap data. The test site is the area around the National Stadium Japan. The size of each SfM mesh is 250 m square area and the SfM mesh covers the entire area. On the other hand, each MLS point cloud represents the roads, road-side objects, and buildings in a part of the area of corresponding to the SfM mesh. The MLS system which was used for acquiring point clouds was Riegl VQ-250, and each SfM mesh was created by the commercial software Smart3DCapture (Acute3D n.d.). In our data-sets, the SfM meshes have the correct scale because they are generated by using the camera’s potion and orientation. In order to verify the effectiveness of our method, we changed the scale, position, and orientation of the SfM meshes, and used them in the experiments. The specification of the PC used in the experiments was: CPU of Intel Core-i7 3.50 GHz, RAM with 32 GB, and GPU of GeForce GTX680.

4.1. Data-set 1

Figure 5(a) shows the SfM mesh (#vertices: 489,625) and the MLS point cloud (#points: 34,163,957). The number of the vertices of the SfM mesh and the points of the MLS point cloud are 474,792 and 34,163,957, respectively. The numbers of the feature points of the SfM mesh and the MLS point cloud are 58 and 51; The processing time of the rough registration and accurate registration are 11 and 48 s; The scale-error rates of the rough registration and the accurate registration were about 0.26 and 0.11%, respectively.

Figure 5(e) shows the registration errors after the rough registration and accurate registration. The distance from the vertex of the SfM mesh to its nearest point of the MLS point cloud is calculated as the error. The average error after the rough registration and accurate registration were 0.44 and 0.37 m, respectively. The average error is calculated using the points with error rate registration were about 0.26 and 0.11%, respectively.

These results show that our method is useful for roughly aligning the scale, position, and orientation of the SfM mesh and the MLS point cloud of urban areas with large buildings, and can solve the rough registration problem before the accurate registration by SICP.

4.2. Data-set 2

Data-set 2 is scanned in the urban area with relatively low buildings. Input data, initial position and orientation, and registration results of Data-set 2 are shown in Figure 6 (similar to that of Data-set 1).

The number of the vertices of the SfM mesh and the points of the MLS point cloud are 474,792 and 34,163,957, respectively. The numbers of the feature points of the SfM mesh and the MLS point cloud are 58 and 51; The processing time of the rough registration and accurate registration are 11 and 48 s; The scale-error rates of the rough registration and accurate registration are about 0.41 and 0.18%; The average error after the rough registration and accurate registration is 0.48 and 0.42 m, respectively. From these experiments, it was observed that our method could work well for the scan data, which included a lot of relatively low buildings.

4.3. Data-set 3

Figure 7 shows the input data, initial position and orientation, and registration results of Data-set 3. In Data-set 3, overlapping of the SfM mesh and the MLS point cloud is relatively low, compared with the previous two
The scale error rates of the rough registration and the accurate registration are about 0.49 and 0.02%; The average error after the rough registration and accurate registration is 0.53 and 0.48 m, respectively. The three experimental results show that our method is useful for efficient automatic rough registration of SfM meshes from aerial photographs and MMS point clouds of urban areas with different complexities, i.e. different data-sets, and most buildings exist in the area far from the road scanned by the MLS system. In spite of the small overlap of the SfM mesh and the MLS point cloud, our method could produce a correct rough registration result when enough feature points are able to be extracted.

The numbers of the feature points of the SfM mesh (#vertices: 426,256) and the MLS point cloud (#points: 14,339,237) are 87 and 27, respectively. The processing time of the rough registration and the accurate registration are 9 and 22 s; The scale error rates of the rough registration and the accurate registration are about 0.49 and 0.02%; The average error after the rough registration and accurate registration is 0.53 and 0.48 m, respectively.

The three experimental results show that our method is useful for efficient automatic rough registration of SfM meshes from aerial photographs and MMS point clouds of urban areas with different complexities, i.e. different

Figure 5. Experimental results of Data-set 1. (a) Aerial view of the MLS point cloud (left) and SfM mesh (right). (b) Input data from two viewpoints (pink: SfM mesh, colored points: MLS point cloud). (c) The vertical edges and extracted feature points (left: MLS point cloud, right: SfM mesh). (d) Rough registration result (left) and accurate registration result (right). (e) The distance from the vertex of the SfM mesh to its nearest point of the MLS point clouds is colored (left: rough registration, right: accurate registration).
applications of 3D scan data of urban areas. After the rough registration, the distance error defined by the average distances between closest points was 0.53 m at a maximum, and the maximum scale-error rate was 0.49%. Noticeable difference of the errors was not

number and sizes of the buildings. The processing times for rough and accurate registration were less than 11 and 51 s, respectively, for the SFM meshes with about 0.4 million vertices and the MMS point clouds with tens of millions of points. This may be acceptable in many

applications of 3D scan data of urban areas. After the rough registration, the distance error defined by the average distances between closest points was 0.53 m at a maximum, and the maximum scale-error rate was 0.49%. Noticeable difference of the errors was not

Figure 6. Experimental results of Data-set 2. (a) Aerial view of the MLS point cloud (left) and SFM mesh (right). (b) Input data from two viewpoints (pink: SFM mesh, colored points: MLS point cloud). (c) The vertical edges and extracted feature points (left: MLS point cloud, right: SFM mesh). (d) Rough registration result (left) and accurate registration result (right). (e) The distance from the vertex of the SFM mesh to its nearest point of the MLS point clouds is colored (left: rough registration; right: accurate registration)
observed in three data-sets. After the SICP, both errors were improved and correct registration results were obtained. The resulting registered data will be useful for high-quality urban model construction by combining them, which will be included in our future work.

5. Conclusions

In this study, we proposed a registration method for SfM mesh and MLS point cloud for generating high-quality surface models of urban areas. In our method, first, the vertical edges of the buildings are extracted, and the
intersection points of the vertical edges and the ground are obtained as the feature points. Then, similarity invariant features are estimated from the feature points, and the feature is used for finding correspondences between the two data by hashing. Finally, the accurate registration by the SICP algorithm is conducted for the MLS point cloud and the SFM mesh after the rough registration.

In order to verify the effectiveness of our method, experiments using the three data-sets are carried out. The scale-error rates of the rough registration and the accurate registration were within 0.49 and 0.18%, respectively. The average error of the rough registration and the accurate registration were 0.53 and 0.48 m at maximum, respectively. The results of the experiments show that our method could work well for data-sets with different properties related to the size of buildings and overlapping of the data. The experimental results show that our method is useful for registration of the SFM meshes from aerial photographs and the MLS point clouds of urban areas.

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