



Title	Remodeling of the Extracellular Matrix by Endothelial Cell-Targeting siRNA Improves the EPR-Based Delivery of 100 nm Particles
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1 **Remodeling of the extracellular matrix by endothelial cell-targeting siRNA im-**
2 **proves the EPR-based delivery of 100 nm particles.**

3

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16

17 **Abstract**

18 A number of nano drug delivery systems (DDSs) have recently been developed for
19 cancer treatment, most of which are based on the enhanced permeability and retention
20 (EPR) effect. The advantages of the EPR effect can be attributed to immature vascula-
21 ture. Herein we evaluated the intratumoral distribution of lipid nanoparticles (LNPs)
22 when the VEGF receptor 2 (VEGFR2) on tumor endothelial cells was inhibited by lip-
23 osomal siRNA. VEGFR2 inhibition resulted in an increase in intratumoral distribution
24 and therapeutic efficacy despite the maturation of the tumor vasculature. A small mole-
25 cule inhibitor against matrix metalloproteinase and macrophage depletion canceled the
26 improvement in the distribution of the LNPs, suggesting that remodeling of tumor mi-
27 croenvironment played a role in the facilitated intratumoral distribution via the
28 down-regulation of VEGFR2. Accordingly, our results suggest that the EPR effect is
29 dependent, not only on the structure of the tumor vasculature, but also on the dynamics
30 of the tumor microenvironment including extracellular matrix remodeling. Regulating
31 the tumor microenvironment and the extracellular matrix by delivering tumor endothe-
32 lial cell-targeting siRNA could potentiate the EPR effect-based strategy.

33 **1 Introduction**

34 Over the past decades, a number of groups have reported on the development of
35 tumor-targeting nanoparticles, most of which function based on the enhanced permea-
36 bility and retention (EPR) effect.¹ The EPR effect involves the systemic injection of
37 macromolecules with a long circulation time that can passively accumulate in tumor
38 tissue because the high levels of vascular endothelial growth factor (VEGF) in tumor
39 tissue makes the vasculature porous and leaky.² On the other hand, recent studies re-
40 vealed that components of the extracellular matrix (ECMs), such as collagen and hya-
41 luronan, are major obstacles to the intratumoral diffusion of cancer-targeted nanoparti-
42 cles.³ The high density of cells, in addition to abundant ECMs in tumor tissue, results in
43 an elevated interstitial fluid pressure (IFP), which is inverse from the extracellular space
44 to the capillary.⁴ Additionally, these ECMs sterically hinder the diffusion of nanoparti-
45 cles. In fact, it has been shown that the enzymatic degradation of these components by
46 collagenase or hyaluronidase treatment resulted in the improved delivery of nano-sized
47 therapeutics.^{5,6} The effect of ECMs would be predicted to be proportional to the size of
48 nanoparticles. Cabral H *et al.* recently confirmed this, by showing that small-sized na-

49 nanoparticles with a diameter of 30 nm penetrated more deeply into tumor tissue than
50 large-sized nanoparticles with a diameters of 100 nm in a hypovascular cancer model.⁷
51 These collective findings indicate that ECMs severely restrict the intratumoral distribu-
52 tion of 100 nm nanoparticles. Accordingly, regulating tumor microenvironment includ-
53 ing abnormal vasculature and ECMs should be required for further development of
54 cancer-targeted nano medicines. Nowadays, a much attention has been paid for control-
55 ling tumor microenvironment for a more efficient cancer targeting.^{8,9}

56 Recently, we accidentally discovered that an inhibition of VEGF signaling in the
57 tumor endothelial cells (TECs) by siRNA unexpectedly elevated an accumulation and
58 an intratumoral distribution of nanoparticles in human renal cell carcinomas (RCCs),
59 which is known to be a highly vascularized cancer.¹⁰ This improvement must be unex-
60 plainable through the basis of EPR effect that the malformed vasculature is responsible
61 for EPR-based delivery. In this manuscript, we tried to elucidate the mechanism on this
62 unknown increase in the accumulation and intratumoral distribution of nanoparticles.
63 Our hypothesis is as follows; 1) VEGF signaling abnormally overexpressed in TECs is
64 inhibited by siRNA, 2) TECs regulated by siRNA attracts some cell population, 3) The

65 attracted cell population degrades ECMs by some proteases, 4) This series of phenom-
66 enon after the inhibition in VEGF signaling alters tumor microenvironment for an ap-
67 propriate distribution of nanoparticles.

68 To prove our hypothesis, we examined the effect of VEGF receptor 2 (VEGFR2)
69 inhibition on the intratumoral distribution of lipid nanoparticles (LNPs) and the dynam-
70 ics of ECMs in highly vascularized cancer RCCs. For the *in situ* down-regulation of a
71 specific gene on TECs, we used a cyclic RGD-modified liposomal siRNA
72 (RGD-MEND). This is because delivering a siRNA specifically to TECs circumvents
73 off-target effects in other cells such as cancer cells and stromal cells, indicating that a
74 small molecule or an antibody can affect the function of other cell populations. In addi-
75 tion, the RGD-MEND had no effect on endothelial cells in normal organs.¹¹ Therefore,
76 we were able to analyze the effect of gene silencing exclusively in TECs. The lipid en-
77 velope of the RGD-MEND was composed of YSK05, a pH-sensitive cationic lipid. The
78 acid dissociation constant, pK_a , of YSK05 is approximately 6.5, which allows
79 YSK05-containing liposomes to be biocompatible in the blood stream, where the pH is
80 maintained at 7.4. In addition, the positive charge under acidic conditions resulted in

81 efficient membrane fusion after the internalization of the particles by cells. This ex-
82 plains why YSK05-containing MENDs are able to deliver siRNA and suppress a gene
83 of interest in hepatocytes, tumor tissue.^{12, 13} In this study, cyclic RGD, which recognizes
84 the $\alpha_v\beta_3$ integrin heterodimer, was used as a specific ligand for TECs that express high
85 levels of $\alpha_v\beta_3$ integrin.¹⁴ Owing to these functional devices, the RGD-MEND had the
86 ability to inhibit a TEC gene at a dose of 0.75 mg siRNA/kg.^{11, 15}

87 We investigated the alteration in the intratumoral distribution of nano DDSs and the
88 tumor microenvironment after vasculature maturation via the inhibition of VEGFR2 on
89 TECs by the RGD-MEND. Our results suggest that vasculature leakiness as the result of
90 immature vessels is not necessarily required for the extravasation of LNPs, at least in
91 hypervascular cancer, and that carefully controlling the tumor microenvironment, in-
92 cluding ECMs, has the potential for maximizing the therapeutic effect of nanoparticles.

93

94 **2 Results**

95 **2.1 VEGFR2 knockdown and consequent changes of intratumoral distribution**

96 We first examined the silencing efficacy of RGD-MEND encapsulating siRNA against
97 murine VEGFR2 (si-VR2) with human RCC, OS-RC-2-bearing mice. RCCs are char-
98 acterized as a highly vascularized form of cancer, mainly due to the excessive amount
99 of VEGF that is produced, due to the von Hippel Lindau factor is absent.¹⁶ The LNPs
100 used contained the pH-sensitive lipid, YSK05, and details of their characterization are
101 shown in Supplemental Figure 1). Injection of the RGD-MEND significantly inhibited
102 VEGFR2 expression in terms of both the mRNA and protein level (Supplemental Figure
103 2a-c). A single injection of the RGD-MEND partially succeeded in improving penetra-
104 tion of the LNPs, but that was observed only in a small area of the tumor tissue by con-
105 focal laser scanning microscopy (CLSM) (Supplemental Figure 3). We speculated that a
106 level of VEGFR2 knockdown is not sufficient and too short to alter the tumor microen-
107 vironment. This is because VEGFR2 dissipated rapidly because the TECs grew more
108 rapidly than normal cells.¹⁷ In non-proliferative tissues, such as the liver, gene silencing
109 is observed, at least, for a week.¹⁸ The concentration of siRNA would be diluted by the

110 rapid proliferation. Therefore, we administered si-VR2 encapsulated in the
111 RGD-MEND continuously over a period of 4 days. CLSM revealed that the distribution
112 of the LNPs was obviously altered and the distribution was increased by 1.9-fold as the
113 result of a pre-treatment with the RGD-MEND (Fig. 1b, c). Further, the improvement in
114 intratumoral distribution was reproducible despite the short-lived knockdown of
115 VEGFR2 (Supplemental Figure 2a). The improvement appeared to be achieved when
116 the concentration of VEGFR2 was sufficiently decreased for a certain time. To quantita-
117 tively measure the intratumoral distribution, single cancer cells from tumor tissues were
118 subjected to fluorescence-activated cell sorting (FACS, Supplemental Figure 4). The
119 fluorescence intensity of the LNPs were increased by 2.1-fold and the coefficient of
120 variance (CV) was decreased (Fig. 1d-e). In addition, not only the distribution but also
121 level of accumulation was significantly augmented (Fig. 1f). On the other hand, particle
122 accumulation in normal organs was not changed except for the spleen (Supplemental
123 Figure 5). In addition, when a control siRNA was assembled in the RGD-MEND, the
124 intratumoral distribution of LNPs was not altered (Supplemental Figure 6). It is possible
125 that the increased accumulation of LNPs (Figure 1f) could be attributed to the widened

126 intratumoral distribution of LNPs. However, a 2.67-fold increase in the amount of sys-
127 temically administered LNPs failed to result in the broad intratumoral distribution of
128 LNPs (Supplemental Figure 7). Therefore, another factor aside from the elevated accu-
129 mulation of LNP appears to be responsible for the increased intratumoral accumulation.

130 To assess the impact of improving the intratumoral distribution of LNPs on therapeu-
131 tic efficacy, OS-RC-2-bearing mice were administered doxorubicin-loaded liposomes
132 (DOX-LNP, characterized in Supplemental Figure 1) and an RGD-MEND encapsulat-
133 ing si-VR2 at the same time. As a result, only the co-injection resulted in a substantial
134 inhibition of tumor growth (Fig. 1g). Incidentally, only the injection of the RGD-MEND
135 led to a moderate inhibition of tumor growth. This suppression was interpreted as being
136 due to the anti-angiogenic effect of VEGFR2 inhibition via the delivery of siRNA to
137 TECs. We previously confirmed that an RGD-MEND encapsulating si-VR2 caused a
138 delay in tumor growth by decreasing the density of microvessels in tumor tissue.¹⁵ On
139 the other hand, a continuous treatment (3 separate injections of the RGD-MEND was
140 started prior to the first injection of DOX-LNP) and the results indicated a more moder-
141 ate therapeutic effect (not data shown). This can be attributed to short-lived silencing by

142 the RGD-MEND (< 72 h). These results suggest that si-VR2 induced an alteration in the
143 tumor microenvironment as well as increasing the accumulation of LNPs in tumors. In
144 summary, the broad distribution of LNPs resulting from VEGFR2 inhibition could re-
145 sult in a better therapeutic effect.

146

147 **2.2 Maturation of the tumor vasculature by liposomal siRNA against VEGFR2**

148 We then explored the issue of whether the vasculature matured as the result of the
149 continuous inhibition of VEGFR2 by the si-VR2-loaded RGD-MEND. Pericyte cover-
150 age is regarded as a marker of vessel maturation.¹⁹ Injection of the RGD-MEND en-
151 capsulating si-VR2 significantly induced pericyte coverage around TECs (Fig. 2a, b),
152 and this increase was dependent of the dosage of siRNA used (Fig. 2c). We then ex-
153 plored the functionality of the tumor vasculature. Since the immaturation of the tumor
154 vasculature is known to be a cause of hypoxia because of diminished blood flow, stain-
155 ing with a hypoxia marker pimonidazole was performed. Hypoxic regions were signifi-
156 cantly suppressed in the RGD-MEND-treatment group (Fig. 2d, e). Moreover, the func-
157 tional vasculature was visualized by comparing the vasculature stained by the systemic

158 injection of FITC-isolectin B4 and the positive vasculature by immersion in
159 alexa647-isolectin B4 positive vasculature after sacrifice. The RGD-MEND treatment
160 resulted in an increase in vasculature stained by both isolectins, suggesting that the
161 blood flow was recovered as the result of injecting the RGD-MEND (Fig. 2f, g). These
162 results show that delivering siRNA by the RGD-MEND led to vascular maturation in
163 terms of both structure and function, and also implies that the maturation of the tumor
164 vasculature did not necessarily inhibit the extravasation and distribution of large nano-
165 particles (100 nm).

166

167 **2.3 Involvement of ECM remodeling on an altered tumor microenvironment for** 168 **delivering nanoparticles**

169 ECMs are one of the possible factors that restrict the tumor penetration of LNPs due to
170 steric hindrance and an increase in interstitial fluid pressure (IFP). We next focused on
171 type I collagen, a major component of ECMs. Type I collagen $\alpha 1$ (COL1A1), which
172 consists of type I collagen chains, was found to be localized in the perivascular region
173 and was distributed throughout the tumor sections (fig. 3a left panels). On the other

174 hand, the inhibition of VEGFR2 by the RGD-MEND significantly suppressed the ex-
175 pression of COL1A1 (fig. 3a right panels, Supplemental fig. 8), and this decrease was
176 dependent on the dosage of si-VR2 (fig. 3b). On the other hand, type IV collagen, a
177 scaffold protein of the vasculature and regarded as a maturation marker, was increased
178 slightly by the RGD-MEND, but the difference was not statistically significant (Sup-
179 plemental fig. 9). In addition, hydroxyproline, an amino acid that is specifically found in
180 collagen and elastin,²⁰ was also decreased (fig. 3c). We inferred that the inhibition of
181 VEGFR2 by the RGD-MEND resulted in the production of matrix metalloproteinases
182 (MMPs), which are a series of enzymes that require a divalent metal ion for their activ-
183 ity and which catalyze the degradation of collagens and elastins,²¹ thus leading to the
184 degradation of collagen molecules. Actually, the mRNA levels of MMP-2 and -9 were
185 drastically augmented by the RGD-MEND injection and this increase was
186 dose-dependent (Figure 3e and 3f). To verify that MMPs were involved in the distribu-
187 tion of LNPs and the degradation of COL1A1, we investigated a change in both of these
188 components in presence of marimastat, a MMPs inhibitor. When OS-RC-2 tu-
189 mor-bearing mice were treated with 5 separate injections of 30 mg/kg of marimastat

190 during the continuous inhibition, the intratumoral distribution of LNPs decreased sig-
191 nificantly (Figure 3g and 3h) compared to only the RGD-MEND treatment group. At
192 this time, COL1A1 diminished by the injection of the RGD-MEND was elevated by a
193 marimastat treatment. Taken together, the RGD-MEND injection facilitated the produc-
194 tion of MMPs, and the subsequently produced MMPs degraded excess ECMs, such as
195 Type I collagen. This explains the rapid diffusion of LNPs into the altered tumor mass.

196

197 **2.4 Altering nanoparticle distribution by macrophages through ECM degradation**

198 We then attempted to identify which cell population produced MMPs. In tumor tis-
199 sues, macrophages are a major source of MMPs.²² We hypothesized that si-VR2 encap-
200 sulated in the RGD-MEND induced the infiltration of macrophages. First, we confirmed
201 whether macrophages were localized in the tumor tissues by CLSM. Actually, macro-
202 phage levels were significantly increased by the RGD-MEND administration (fig. 4a, b)
203 and most were adjacent to the vasculature. The substantial infiltration of macrophages
204 into tumor tissue might have originated in the spleen. A previous report revealed that the
205 origins of tumor-associated macrophages and neutrophils were the spleen.²³ The transi-

206 tion of macrophages from the spleen to the tumor would lead to a decrease in the level
207 of macrophages in the spleen, and consequently might alter the accumulation of LNPs
208 in the spleen (Supplemental Figure 5). To investigate the impact of macrophage infiltra-
209 tion, macrophages were depleted by a liposomal clondronate (L-clondronate) treatment.
210 When 1.4 mg of L-clondronate was injected into the tail vein of mice, macrophages
211 were completely removed (Supplemental fig. 11). LNPs and formulated si-VR2 were
212 systemically administered to OS-RC-2 bearing mice with or without L-clondronate.
213 LNPs were more broadly distributed in the presence of L-clondronate (fig. 4c, d). At
214 this time L-clondronate significantly increased the levels of COL1A1 (fig. 4e, f). Ac-
215 cordingly, macrophages infiltrating into tumor tissues by the injection for RGD-MEND
216 produced MMPs, and ECMs were subsequently broken down. In such a tumor micro-
217 environment, LNPs would be able to readily diffuse into the tumor mass.
218

219 **3 Discussion**

220 The EPR effect is attributed to abnormal tumor vasculature with leaky intercellular
221 junctions and intracellular fenestrae owing to overexpressed VEGF (<30-fold) in tumor
222 tissue.^{24, 25} In fact, inflammatory factors, such as bradykinin and nitric oxide, facilitated
223 the extravasation of the pigment, Evans Blue.²⁶ In our study, VEGFR2 inhibition by
224 siRNA significantly increased both tumor accumulation and the penetration of LNPs
225 despite vasculature maturation (fig. 1b, f and 2a-g), at least in hypervascular cancer
226 human RCCs. The improvement in intratumoral distribution was caused by the remod-
227 eling of ECMs by infiltrating cells, not by the leakiness of the tumor vasculature. Figure
228 5 shows a summary of our study.

229 It is known that VEGFR2 is a major protein in primary angiogenesis in tumor tis-
230 sue, and thus blocking the action of VEGFR2 would result in the inhibition of tumor
231 growth via the anti-angiogenic effect.^{27, 28} The relationship, however, between VEGFR2
232 and ECMs in the tumor tissue is not well-validated. On the other hand, abundant ECMs
233 are a typical symptom for fibrotic diseases in non-cancerous tissues, such as cirrhosis of
234 the liver. In the case of normal organs, it was known that VEGFR2 plays a pivotal role

235 in the progression of fibrosis, and the inhibition of VEGFR2 ameliorates fibrosis.^{29,30} In
236 addition, VEGFR2 inhibition was also reported to improve renal fibrosis in a fibrosis
237 model³¹ Although the exact mechanism responsible for the decomposition by blocking
238 the action of VEGFR2 is not understood, some reports have indicated that MMPs are
239 involved.³² Our hypothesis seems to be consistent with these results on the involvement
240 between hepatic and renal fibrosis and VEGFR2.

241 Several studies have reported that reagents, such as bradykinin³³ and nitric
242 oxide²⁵, accelerate the development of hypervascularity in the tumor vasculature, thus
243 improving EPR-based delivery. We initially expected that the blocking of VEGFR2 by
244 the RGD-MEND would improve the intratumoral distribution of LNPs, but not the ac-
245 cumulation of LNPs. However, the actual results indicated that the accumulation of
246 LNPs were increased by 1.9-fold as the result of VEGFR2 inhibition, despite the matu-
247 ration of the tumor vasculature. The mechanism responsible for how LNPs extravasate
248 through mature vasculature is currently unclear. Tong RT *et al.* reported that inhibiting
249 the VEGF cascade altered the tumor microenvironment to the extent that nano DDSa
250 were able to penetrate more easily.³⁴ They revealed that a VEGFR2 antibody DC101

251 increased pericyte coverage around the vasculature and decreased IFP. This reduction in
252 IFP by inhibiting the VEGF cascade could also potentiate the distribution of LNPs in
253 tumor tissue. Although the inhibition of the VEGF cascade can have both positive (reg-
254 ulating the tumor microenvironment, such as IFP) and negative impacts (vascular mat-
255 uration) on the delivery of EPR-based nano DDSs, a positive impact caused by blocking
256 VEGF signaling might be dominant in hypervascular cancer.

257 Besides, pericyte coverage and collagen degradation was increased in a siR-
258 NA-dose dependent manner (Figure 2c and 3b). This dose-dependency suggests that
259 altering tumor microenvironment would depend on an extent of VEGFR2 inhibition.
260 Therefore, more frequent injections or an increase in the amount of siRNA would result
261 in a more robust silencing of VEGFR2, and therefore more efficacious improvement in
262 the distribution of large nanoparticles.

263 The suppression of VEGFR2 by si-VR2 evoked the infiltration of macrophages, and
264 the subsequent ECMs degradation of MMPs by macrophages (fig. 4a, e). Thus, LNPs
265 were able to deeply penetrate into tumor tissue. This infiltration can be attributed to the
266 fact that the inhibition of VEGFR2 on endothelial cells forced them to produce some

267 types of cytokines or chemokines that are attracted to monocytes in the blood stream. A
268 previous report by Kroepper *et al.* also suggested that inhibiting VEGFR2 by an anti-
269 body increased the levels of macrophages in a glioblastoma model, specifically M1-like
270 phenotypes.³⁵ However, these investigators did not conclude that VEGFR2 was a factor
271 in attracting M1 macrophages after treatment with a VEGFR2 antibody. The relation-
272 ship between VEGF signaling and the tumor microenvironment including macrophages
273 currently remains unclear. Further study will be needed to achieve an understanding of
274 the involvement of macrophages on anti-angiogenic therapy and our strategy.

275 However, macrophage infiltration is known to be an indicator of metastasis and a
276 poor prognosis in patients.³⁶ Specifically M2 macrophages are immunosuppressive and
277 support the proliferation of cancer cells, while M1 macrophages play a role in the an-
278 ti-tumor effect by supporting immunoresponse.³⁷ We then determined the phenotypes of
279 the induced macrophages by measuring M1 marker genes (inducible NO synthase (iN-
280 OS), Cxcl-9, IL-1 β , IL-6 and TNF- α) and M2 marker genes (mannose receptor C, type I
281 (MMR and Arginase-1) (Supplemental Figure 11). In the case of M1 markers, Cxcl-9
282 and TNF- α were significantly increased while, in the case of M2 markers, MMR and

283 Arginase-1 were decreased. This result suggests that macrophages induced by the injec-
284 tion of the RGD-MEND are M1-like macrophages. That is consistent with previous re-
285 ports, in which the injection of an anti-VEGFR2 antibody DC101 evoked the produc-
286 tion of M1-like macrophages, and consequently potentiated the efficacy of immunoad-
287 jutant therapy.³⁸ In addition, M1 macrophages supported an autoimmune system to ex-
288 clude cancer cells.³⁹ Therefore, M1 macrophages elevation in our strategy would not
289 induce a progress in infiltration and metastasis of cancer cells.

290 There is a possibility that siRNA was recognized by toll-like receptors (TLRs) 3, 7 or
291 8,⁴⁰ and thus macrophages were attracted by produced cytokines via immunostimulation
292 of siRNA, not by VEGFR2 inhibition. We examined the immune reaction by the siRNA
293 used in this study to exclude this possibility. To assess the immune response caused by
294 the RGD-MEND encapsulating siRNA against VEGFR2 itself, we measured the pres-
295 ence of an interferon-induced protein with tetratricopeptide repeats 1 (IFIT1), which
296 was previously reported as a marker gene for an immune response to the presence of
297 siRNA.⁴¹ The findings indicated that IFIT1 was not changed by the RGD-MEND injec-
298 tion (Supplemental Figure 12) compared to the PBS (-) treatment. At this time, an-

299 ti-polo like kinase 1 (PLK1) siRNA (negative control), which was used as a
300 non-immunostimulatory siRNA in Ref. 41, and not chemically modified si-VR2 (posi-
301 tive control) were also tested. As a result, the chemically modified si-VR2 used in this
302 study failed to induce an immune response after its systemic injection. This result sug-
303 gests that the immune response by the formulated siRNA did not contribute to the infil-
304 tration of macrophages, and that the inhibition of VEGFR2 itself induced the infiltration
305 of macrophages.

306 ECMs are also known to a factor in inhibiting the tumor penetration of nanoparticles,
307 except for vasculature structures. Collagen degradation by the intratumoral injection of
308 type I collagenase was reported to increase the accumulation of liposomes in tumors.⁴²
309 Moreover, Frimpong *et al.* studied the effect of the angiotensin receptor inhibitor,
310 Losartan, on the penetration of intratumorally injected herpes simplex virus (HSV).⁴³
311 The Losartan treatment drastically improved the intratumoral penetration of HSV, ac-
312 companied by the inhibition of TGF- β production and subsequent decrease in type I
313 collagen levels. The same group also reported that this decrease in collagen content was
314 the result of the deactivation of cancer-associated fibroblasts (CAFs) via the inhibition

315 of the angiotensin II receptor-1.⁴⁴ These studies suggest that the stiffness of ECMs are
316 important factors in the tumor penetration of nanoparticles, as opposed to vessel struc-
317 ture. Likewise, a si-VR2 treatment induced the degradation type 1 collagen due to the
318 activation of MMPs (fig. 3a, d). The improved intratumoral distribution of LNPs could
319 be caused by an increase in vascular dynamics through the infiltration of macrophages
320 and the subsequent remodeling of vessels and/or ECMs. On the other hand, the produc-
321 tion of high levels of MMPs is also known to facilitate metastasis via the degradation of
322 the basement membrane around the vasculature.⁴⁵ If the basement membrane was de-
323 graded, cancer cell readily intravasate. Thus, MMPs production increased a risk for
324 progression of cancer because intravasation is the first step of metastasis.⁴⁶ Based on
325 these previous reports, it is possible that the injection of the RGD-MEND might also
326 promote metastasis from a primary tumor in our strategy. However, type IV collagen
327 was not changed after the RGD-MEND treatment (Supplemental figure 9). This means
328 that the increased MMPs are not likely involved in the degradation of the basement
329 membrane, and consequently that increased MMPs didn't allow cancer cells to intrava-
330 sate. Taken together, the improvement in intratumoral distribution through the inhibition

331 of VEGFR2 by the RGD-MEND does not appear to be a risk factor for cancer metasta-
332 sis. For developing this strategy, the effect of si-VR2 treatment on progression and/or
333 metastasis should be investigated.

334 In this study, we show that the siRNA-mediated alteration in structural properties
335 of the tumor vasculature and tumor microenvironment improved the distribution of
336 LNPs, which results in a synergistic therapeutic effect when 100 nm DOX-LNP parti-
337 cles were used. As mentioned in the introduction section, small nanoparticles (<30 nm)
338 have been found to easily extravasate and penetrate in tumor tissue deeply, whereas
339 large nanoparticles (>100 nm) cannot.⁷ This means that relatively large nanoparticles
340 are not applicable for use in EPR-based nanotherapeutics. On the other hand, our find-
341 ings suggest that regulating the tumor microenvironment via inhibiting VEGFR2 allows
342 even large nanoparticles to extravasate and diffuse in tumor tissue. In short, the results
343 show that relatively large nanoparticles (>100 nm) can be use in the above processes,
344 thus expanding the spectrum of available nano DDSs.

345 The fact that the dynamics of the tumor vasculature and ECMs remodeling had a huge
346 impact on the accumulation of LNPs raised some questions about the EPR effect-based

347 strategy as a static phenomenon. It should, however, be noted that we have no perspec-
348 tive regarding with which types of cancers the improvement of nanoparticles could be
349 induced by blockade in VEGF signaling. A previous study suggested that tumor vascu-
350 lature phenotypes defined VEGF sensitivity.⁴⁷ In that study, stromal types (vasculature
351 in stromal cells) was not responsive to anti-VEGF therapy, while the tumor type (vas-
352 culature in cancer cells) was sensitive. Likewise, the response to this strategy would
353 depend on the type of cancer. Further study will clearly be required to elucidate the ex-
354 act mechanism by which the anti-VEGF cascade-mediated improves the intratumoral
355 distribution of nano DDSs. The control of the intratumoral distribution of nano DDSs
356 by delivering siRNA to the tumor vasculature indicated that comparably large nanopar-
357 ticles could deeply penetrate tumor tissue via controlling the tumor microenvironment,
358 which represents an innovative approach for developing cancer-targeting nanotherapeu-
359 tics.
360

361 **4 Materials and Methods**

362 **Materials.**

363 RPMI 1640, cholesterol and TriReagent were obtained from Sigma-Aldrich (St. Louis,
364 MO, USA). SiRNA was synthesized by Hokkaido System Sciences (Sapporo, Japan).
365 High-Capacity RNA-to-cDNA kit and Quanti-iT RiboGreen were purchased from
366 ThermoFisher SCIENTIFIC (Waltham, MA, USA). THUNDERBIRD SYBR qPCR
367 Mix was purchased from TOYOBO (Osaka, Japan). Hoechst33342 was purchased from
368 DOJINDO (Kumamoto, Japan). Chlondronate liposome was obtained from FormuMax
369 (Palo Alto, CA, USA). Polyethylene glycol-dimyristoyl-glycerol (PEG-DMG), Poly-
370 ethylene glycol-distearoyl-glycerol (PEG-DSG) and dis-
371 tearoyl-sn-glycerophosphocholine (DSPC) were purchased from the NOF CORPORA-
372 TION (Tokyo, Japan). OCT compound was obtained from Sakura Finetek Japan (Tokyo,
373 Japan). Cyclic RGD was synthesized by Peptides international (Louisville, KY, USA).
374 Lipidic fluorescent dye 1,1'-dioctadecyl-3,3,3',3'-tetramethylindocarbocyanine perchlo-
375 rate (DiI) and 1,1'-dioctadecyl-3,3,3',3'- tetramethylindodicarbocyanine
376 4-chlorobenzenesulfonate salt (DiD) were purchased from PromoKine (Heidelberg,

377 Germany). The Sequence of siRNA against VEGFR2 are as follows. sense: cAAc-
378 cAGAGAcccucGuuudTsdT, antisense: AAACGAGGGUCUCUGGUUGdTsdT (lower
379 case; RNA, upper case; 2'-OMe, s; phosphorothioate linkage). Chemical modifications
380 were carried out to reduce the immune response. Primers were synthesized by Sig-
381 ma-Aldrich Japan (Ishikari, Japan). Primer sets used in this study were as follows.
382 *Vegfr2*; forward GATTTACCTGGCACTCTCCTT, reverse GGTCACCTCTTGGTCAC
383 *Mmp2*; forward TAAGCTCATCGCAGACTC, reverse *Mmp9*; forward
384 CCCTCTGAATAAAGACGAC, reverse TATAGTGGGACACATAGTGG.

385

386 ***in vivo* experiment**

387 A human renal cell carcinoma cell line, OS-RC-2 cells were cultured in RPMI 1640
388 supplemented with 10% fetal bovine serum (FBS) and penicillin (100 U/ml), strepto-
389 mycin (100 µg/ml) at 37°C under a 5% CO₂ humidified atmosphere. BALB/c nude
390 mice were purchased from CLEA Japan Inc. (Shizuoka, Japan). To prepare tu-
391 mor-bearing mice, athymic mice were injected with 1×10⁶ cells in 70 µL of PBS (-) on
392 the right flank. All of the experiments with mice were performed when the tumor vol-

393 ume reached 100 mm³. The experimental protocols were approved by the Hokkaido
394 University Animal Care Committee in accordance with the guidelines for the care and
395 use of laboratory animals.

396

397 **Lipid nanoparticles (LNPs) preparation.**

398 LNPs encapsulating siRNA was prepared by the tertiary butyl alcohol (t-BuOH) dilu-
399 tion method, as previously reported.^{13, 48} Lipids (3,000 nmol,
400 YSK05/cholesterol/PEG-DMG, 70/30/3, molar ratio) in 400 µL of t-BuOH were gradu-
401 ally added to a siRNA solution, and the mixture was then added stepwise to 2.0 mL of
402 citrate buffer (pH 4.0). The diluted mixture was rapidly added to 4.0 mL of PBS (-),
403 and was then subjected to ultrafiltration by means of a Vivaspin (Sartorius Stedim Bio-
404 tech, Goettingen, German: MWCO 100,000 Da) twice. This carrier has already been
405 shown to accumulate in cancer cells, as previously reported.¹³

406 To target tumor endothelial cells, a cyclic RGD peptide conjugated to
407 N-hydroxysuccinimide-PEG-distearoyl-*sn*-glycerolethanol amine
408 (NHS-PEG2000-DSPE) (cRGD-PEG) was used. LNPs were modified with cRGD-PEG

409 by incubating them for 30 min at 60°C in 7.5% of ethanol. After the incubation, the
410 mixture was ultrafiltered by Vivaspin. Thus, the prepared RGD-MEND was able to de-
411 liver siRNA specifically to tumor endothelial cells.¹⁵ When the LNPs were fluorescently
412 labeled, a lipophilic dye, DiI or DiD was added to the lipid mixture prior to the first di-
413 lution. The recovery rate and encapsulation efficiency of siRNA was determined by Ri-
414 boGreen. The LNPs were characterized with a ZetaSizer nano ZS (Malvern Instruments
415 Ltd, Malvern, UK).

416

417 **Preparation of DOX-LPs**

418 Doxorubicin-loaded nanoparticles were prepared by a pH-loading method as previously
419 reported.⁴⁸ Lipid thin films (4,000 nmol, DSPC/cholesterol/PEG-DSPE, 50/50/5, molar
420 ratio) were prepared in glass tubes, and 500 µL of ammonium sulfate buffer (300 mM,
421 pH 4.5) was then added. The glass tubes were sonicated for 30 sec in a bath-type soni-
422 cator (AU-25C, Aiwa Co., Tokyo, Japan)) and then for 10 min with a probe-type soni-
423 cator (Misonix, Farmingdale, NY, USA). The sonicated colloidal solution was then cen-
424 trifuged (15,000 rpm, 10 min, room temperature) three times to remove debris at a son-

425 ication step. The supernatant was mixed with 200 µg of doxorubicin, and then incubated
426 for 30 min at 60°C. Unloaded doxorubicin was removed by ultrafiltration with Vivaspin.
427 The Doxorubicin content was determined by measuring the absorbance at 495 nm.

428

429 **FACS analysis for particle distribution**

430 To quantitatively assess the nanoparticle distribution in the tumor tissue, single dis-
431 persed tumor tissues were analyzed by flow cytometry. The collected tumor tissues were
432 minced with scissors, and the resulting sample then incubated in a collagenase solution
433 (2,800 U/mL of type I collagenase, 100 µg/mL of DNase I, 5 mM of CaCl₂, 10% FBS in
434 Hank's balanced salt solution) for 30 min at 37°C. Debris was then removed from the
435 resulting suspension by passing it through a 100 µm cell strainer. To distinguish human
436 cancer cells from other stromal cells, the cells were stained with an anti-human
437 HLA-A,B,C antibody (Biolegend, 311402) after blocking by a CD16/32 antibody (Bio-
438 legend, 101302). Cell were then assayed by flow cytometry (FACSCalibur, BD Biosci-
439 ences, San Jose, CA, USA). Propidium iodide positive cell populations were gated out
440 as dead cells. The obtained data were analyzed with the CellQuest software.

441

442 **Observation of LNPs distribution with raw tumor section.**

443 To investigate the intratumoral distribution of LNPs, 400 μm thick sections of tumor
444 tissues were prepared using a Microslicer (DTK-1000, Dosaka-em, Kyoto, Japan)
445 without being frozen. The sliced tumor sections was immersed in 10 $\mu\text{g}/\text{mL}$
446 Hoechst33342 and 10 $\mu\text{g}/\text{mL}$ Griffonia Simplicifolia isolectin B4 conjugated with FITC
447 (Vector Laboratories, Burlingame, CA, U.S.A.) or Alexa647 (ThermoFisher Scientific,
448 Waltham, MA, U.S.A.) observed by CLSM (A1, Nikon, Tokyo, Japan). To assess
449 whether blood flows in the tumor vasculature, mice were systemically injected with 40
450 μg of FITC-isolectin.

451

452 **Immunofluorescent analysis**

453 For immunostaining, paraformaldehyde-fixed tumor tissues were subjected to cryosec-
454 tion with CM3050S (Leica, Nussloch GmbH, German). Tumor sections were then im-
455 mersed in the diluted antibody solutions (COL1A1 (NOVUS Biuologicals, NB600-408),
456 COLIV (Sigma-Aldrich, SAB4300738), F4/80 (Biolegend, 123101), αSMA (Sig-

457 ma-Aldrich, C6198), VEGFR2 (Biolegend, 136402)) for 1 h. The sections were washed
458 with PBS (-), and then treated with the appropriate secondary antibodies, and, finally,
459 washed with PBS (-). The sections finally covered with cover glasses in the presence of
460 VECTASHIELD Mounting Medium (Vector Laboratories, Peterborough, UK). The sec-
461 tions were then observed with A1 (Nikon, Tokyo, Japan).

462

463 **Hydroxyproline assay**

464 Hydroxyproline was analyzed as described in a previous report.⁴⁹ About 20 mg of tu-
465 mor tissue was vigorously homogenized using 1.4 mm zirconium beads and hy-
466 dro-lyzed for 5 h at 120°C in an autoclave. The lysates were then centrifuged (15,000
467 rpm, 10 min, 4°C), and 100 µL aliquots of the supernatants were gently mixed with a
468 0.2 M chloramine-T solution (acetate/citrate buffer (pH 6.5)/n-propanol. 90/10) for 2 h
469 at 4°C. Ehrlich's reagent (1 M p-N,N-dimethylaminobenzaldehyde in n-propanol/60%
470 perchloric acid 2/1) were added to the mixture, and then incubated for 30 min at 70°C.
471 The solu-tions became clear yellow. Hydroxyproline contents were determined by
472 measuring the absorbance of these solutions at 564 nm.

473

474 **Inhibition of MMPs and macrophages.**

475 For inhibiting matrixmetalloproteinases (MMPs), a non-selective MMPs inhibitor,
476 marimastat (MedChemExpress, Monmouth Junction, NJ, USA), was administered 1 and
477 3 days before the collection at a dose of 30 mg/kg. To examine the effect of macro-
478 phages on nanoparticle distribution, liposomal clondronate (FormuMax, Palo Alto, CA,
479 USA) was intraperitoneally administered to tumor-bearing mice at a dose of 50 mg/kg.

480

481 **quantitative RT-PCR analysis**

482 To assess the mRNA expression level, tumor tissues were minced with scissors, and
483 preserved at -80°C until assayed. The minced tumor tissues were treated with 1.4 mm
484 zirconium beads with PreCellys (Bertin Technologies, Montigny-le-Bretonneux,
485 France) in 500 μL of TriReagent. RNA extraction was done according to the manufac-
486 turer's instructions. cDNA was obtained by the reverse transcription of 1.0 μg of total
487 RNA with a RNA-to-cDNA kit using the following procedure; for 5 min at 60°C , dena-
488 turing for 10 min at 4°C , then for 60 min at 42°C , and finally for 5 min at 95°C for re-

489 verse transcription. The 50-times diluted cDNA was then subjected to quantitative
490 RT-PCR with a THUNDERBIRD SYBR qPCR Mix. The mRNA expression levels were
491 estimated by the $\Delta\Delta C_t$ method.

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684

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692

693 **6 Author contributions**

694 Y.S. and H.H. designed all the experiments and wrote the manuscript. T.H. performed
695 all the experiments. S.Y assisted the flow cytometry analysis, and A.K. supported the
696 experiments of macrophage depletion. W.M supported *in vivo* experiments.

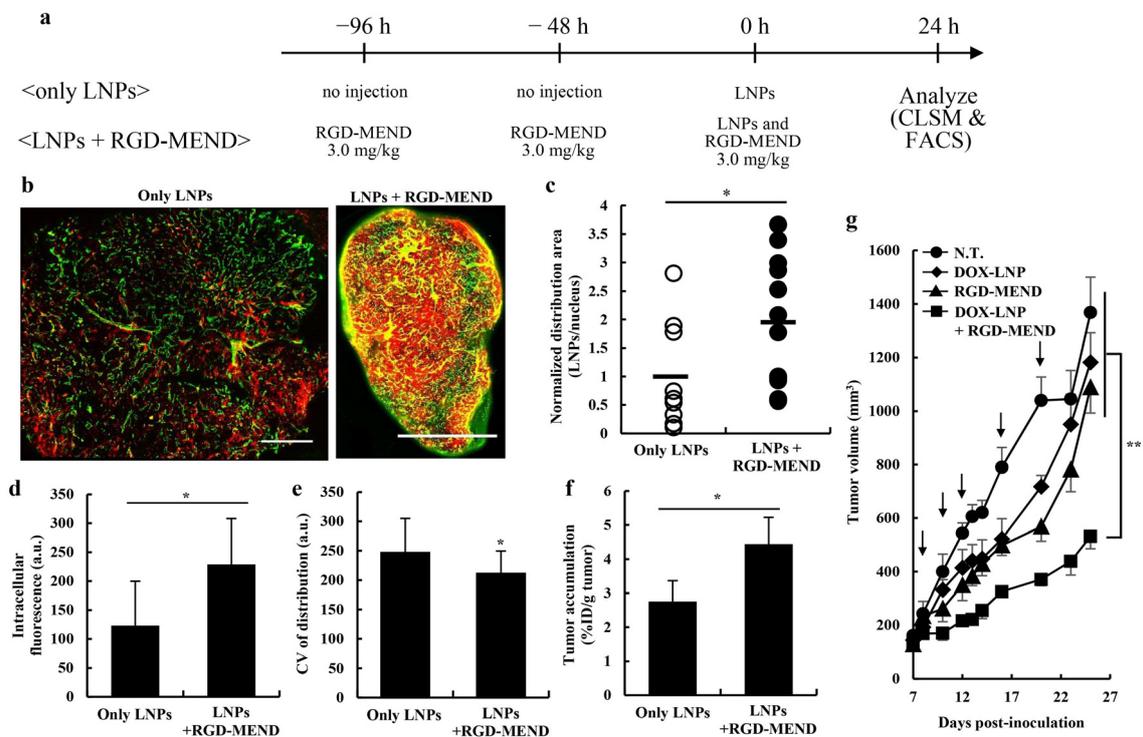
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698 **7 Additional information**

699 The authors declare no competing financial interests. Supplementary information Cor-
700 respondence and requests for materials should be addressed to H.H.

701

702 **Figure Legends**



703

704 **Figure 1 | Improvement in the subsequent distribution of LNPs' and efficacy after**

705 **the continuous inhibition of VEGFR2.** a) Schematic diagram of experimental protocol.

706 b) Whole images of the intratumoral distribution of fluorescently labeled LNPs. Left

707 panel and right panel denotes only LNPs and LNPs with pre-treatment of 3

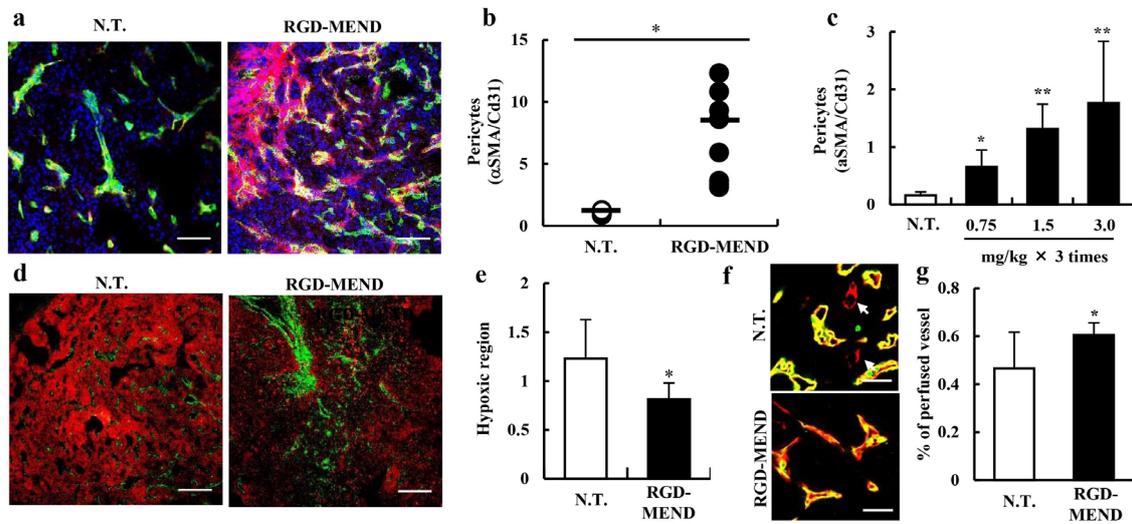
708 RGD-MEND injections, respectively. Red dots and green dots denote LNPs and tumor

709 endothelium, respectively. Scale bars: 1000 μ m. c) Areas of red pixels were calculated

710 with ImageJ software. Pixel area of the LNPs were normalized by nucleus areas (n=

711 9~11). d) Fluorescent intensity and e) coefficient of variance of histogram of single dis-

712 persed cancer cells were determined by FACS analysis. f) Accumulation of LNPs were
713 determined using radioisotope labeled-LNPs. Radioactivity of LNPs labeled with
714 [³H]-cholesteryl hexadecyl ether were measured by liquid scintillation counting at 24 h
715 after the injection. G) The effect of improved intratumoral distribution on anti-cancer
716 treatment by liposomal-doxorubicin (DOX-LNP). After the tumor volume reached 100
717 mm³, DOX-LNP and/or the RGD-MEND were administered 5 times (n=5). Tumor
718 volumes were chronologically measured according to the equation; (major axis
719 (mm))×(minor axis (mm))² / 2. Arrows indicated the injection of therapeutics.
720



721

722 **Figure 2 | Vascular maturation by siRNA against VEGFR2 encapsulated in the**

723 **RGD-MEND.** A) Representative image of the increase in pericyte coverage by the

724 RGD-MEND. Tumor tissues were cryo-sectioned after the continuous inhibition of

725 VEGFR2. The sections were stained with Hoechst33342 (blue, nucleus), FITC-isolectin

726 (green, vessels) and cy3-αSMA (red, pericytes). Scale bars: 100 μm. B) Quantitative

727 data of A). Pixels were counted in 9 images from 3 independent mice, and the red pixels

728 (pericytes) were then normalized to green pixels (vessels). c) Dose-dependency for the

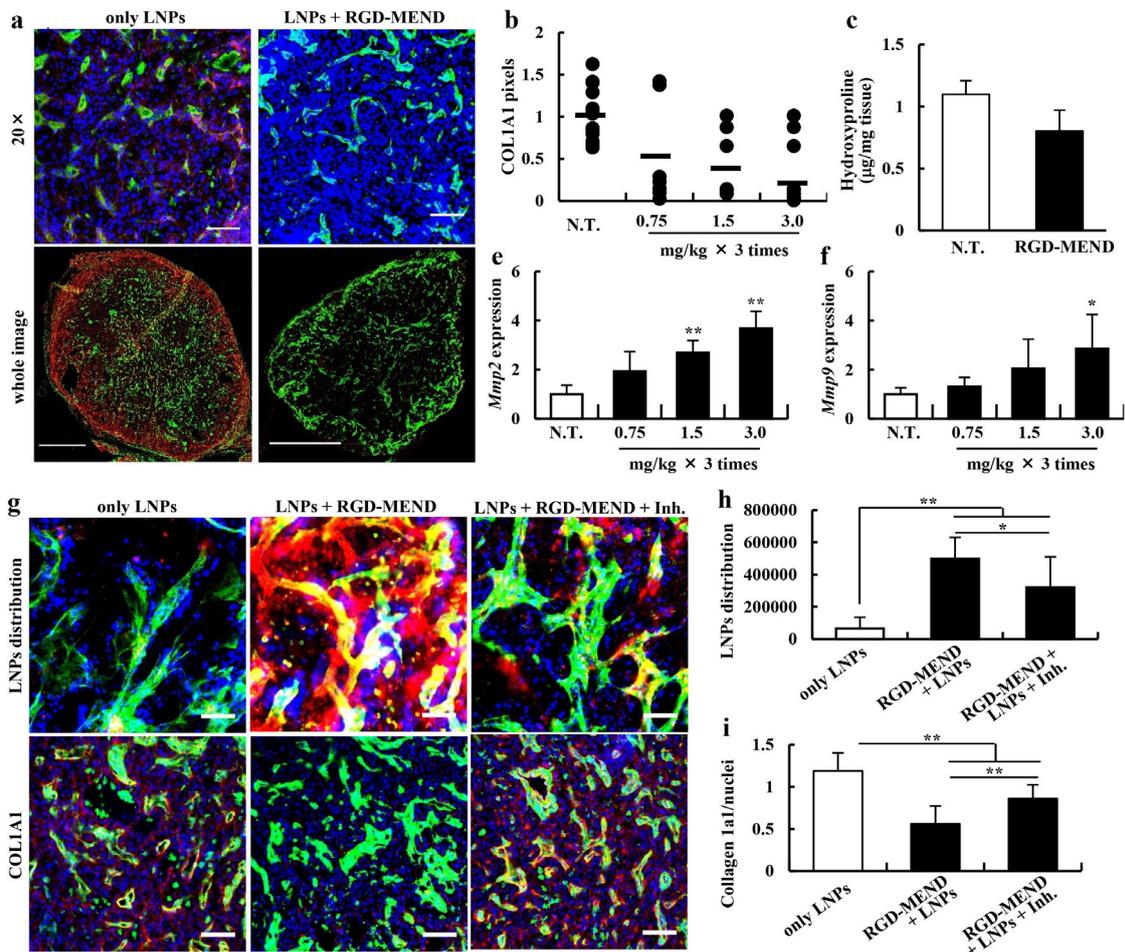
729 increase in pericyte coverage. Pericytes were counted when the dosages of si-VR2 var-

730 ied from 0.75 mg/kg to 3.0 mg/kg (each groups were 3 mice). d) Decrease in hypoxic

731 area in RGD-MEND-treated mice. Tumor tissues were collected 90 min after the injec-

732 tion of the hypoxia-probe pimonidazole. Green and red pixels vessels and the indicated

733 hypoxic regions, respectively. Scale bars: 100 μm . e) Red dots indicating hypoxic re-
734 gions were counted, and normalized to nucleus areas. Data were obtained from 9 images
735 from 3 independent mice. f) Recovery of blood flow by the RGD-MEND.
736 FITC-isolectin B4 were systemically injected before sacrifice, and the collected tumor
737 tissues were then immersed in Alexa647-isolectin B4. Arrows show the vasculature
738 without blood flow. G) Quantitative data of perfused vessels. Population of the vascula-
739 ture with blood flows (shown as yellow) against all of the vasculature (shown as yellow
740 and red) were counted.
741



742

743 **Figure 3 | Degradation of extracellular matrixes (ECMs) by a matrix metallopro-**

744 **teinase induced via si-VR2 encapsulated in the RGD-MEND. a) Degradation of col-**

745 **lagen1a1 by the injection of RGD-MEND. Upper and lower panels represent magnified**

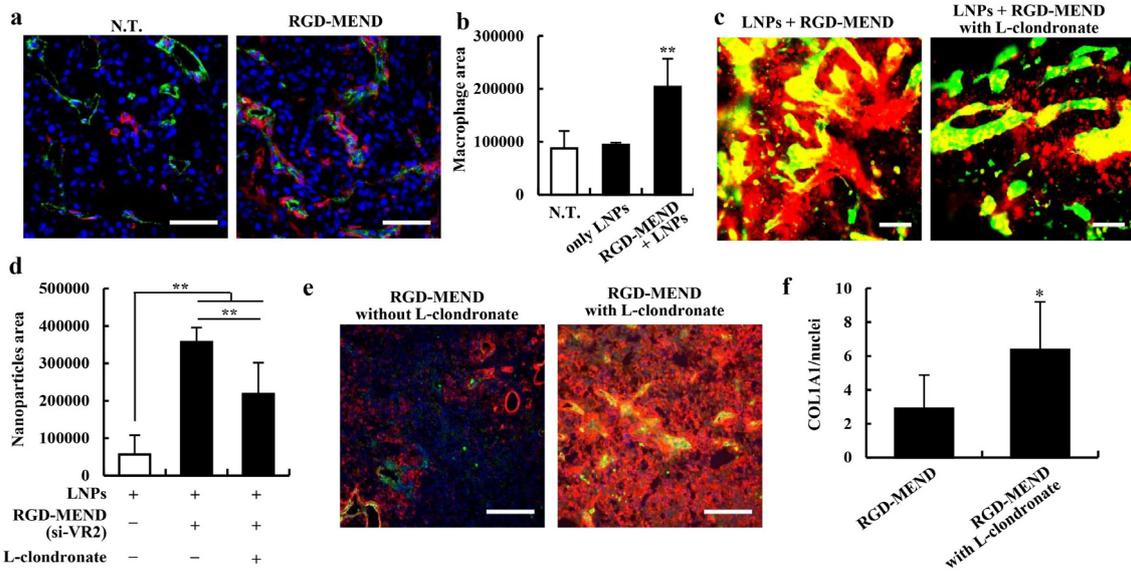
746 **images of frozen sections (Scale bar: 50 µm) and whole images (Scale bar: 1000 µm).**

747 **Tumor sections after the RGD-MEND treatment were immunostained and observed by**

748 **CLSM. Green and red dots indicate vessels and COL1A1, respectively. b) Red dots in-**

749 **dicating COL1A1 were counted when si-VR2 dosages varied from 0.75 mg/kg to 3.0**

750 mg/kg. c) Decrease hydroxyproline levels in tumor tissue. The amount of hydroxypro-
751 line was determined by Ehrlich's reagent. e) MMP-2 and f) -9 expression level after the
752 injection of the RGD-MEND. Expression level of MMPs 24 h after three injections of
753 the RGD-MEND was determined by quantitative RT-PCR ANOVA was performed for
754 statistical analysis, followed by SNK test. *:P<0.05. **: P<0.01. g) The impact of
755 MMP inhibitor Marimastat on LNP distribution and collagen degradation. In the upper
756 panels, blue, green and red dots indicate nuclei, vessels and LNPs, respectively (Scale
757 bars: 100 μ m), observing raw sections. In the lower panels, red dots indicate COL1A1,
758 and the others are the same as the upper panels (Scale bars: 100 μ m), observing frozen
759 sections. h) and i) Pixel counts of LNPs and COL1A1. ANOVA was performed for sta-
760 tistical analysis, followed by SNK test. **: P<0.01.
761



762

763 **Figure 4 | Involvement of macrophages on the improvement in the intratumoral**

764 **distribution of LNPs.** a) Increase in macrophages by si-VR2 encapsulated in the

765 RGD-MEND. Blue, green and red dots indicate nuclei (Hoechst33342), vessel

766 (FITC-isolectin) and macrophages (F4/80). Scale bars are 100 μ m. b) Pixels indicating

767 macrophages from A) were counted by Image J. ANOVA was performed for statistical

768 analysis, followed by the SNK test (n=9~12). **:P<0.01. c) The effect of macrophage

769 depletion on LNP distribution. Tumor sections were observed when liposomal clondro-

770 nate (L-clondronate) was administered. Green and red dots indicate vessels and LNPs,

771 respectively. Scale bars are 100 μ m. d) Pixels indicating LNPs were counted, and ana-

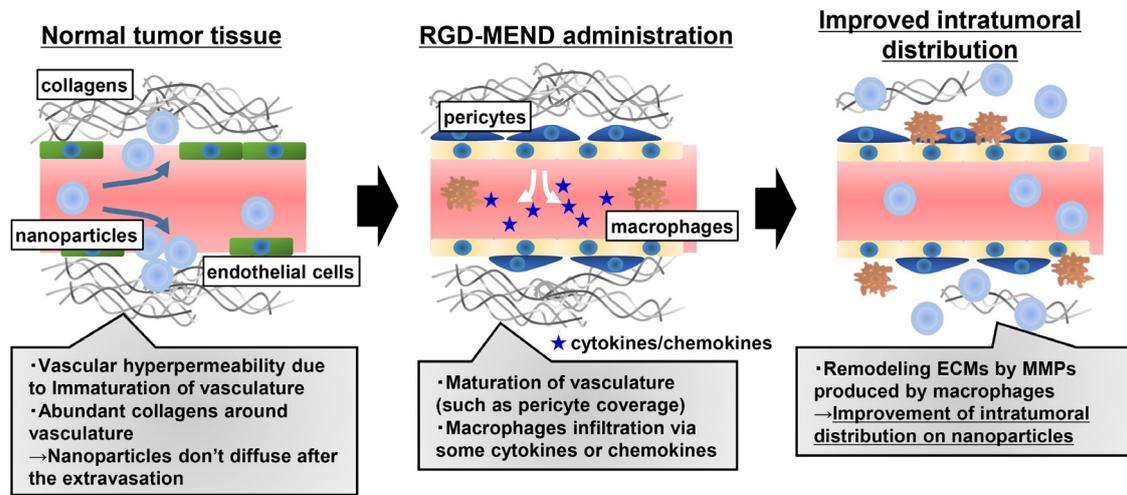
772 lyzed by ANOVA, followed by SNK test (n=9~12). **:P<0.01. e) COL1A1 change by

773 the injection of L-clondronate. Blue, green and red dots showed nuclei, vessels and

774 COL1A1, respectively. Scale bars are 50 μm . f) Pixels were quantified by Image J. Sta-

775 tistical analysis was performed by unpaired t-test. *:P<0.05 (n=9~12).

776



777

778 **Figure 5 | Conceptual illustration of the improvement of intratumoral distribution**

779 **of nanoparticles.** In un-treated tumor tissue, the tumor vasculature is immature. Spe-

780 cifically the vasculature lacks pericyte coverage and basement membrane and fenestrae

781 (intracellular pore) and a loose junction (intercellular gap) exists. For these reasons, na-

782 noparticles can pass through the vascular wall, a process that is called the EPR effect.

783 However, the presence of abundant collagen molecules restrict the intratumoral diffu-

784 sion of the nanoparticles. This study revealed that the inhibition of VEGFR2 on tumor

785 endothelial cells by the RGD-MEND leads to the infiltration of macrophages. The

786 macrophages then produce matrix metalloproteinases (MMPs) that catalyze the degra-

787 dation of the extracellular matrices (ECMs). After the remodeling of the ECMs, nano-

788 particles are able to penetrate more deeply into the tumor tissue.

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