



Title	Ciliates promote the transfer of a plasmid encoding blaNDM-5 from Escherichia coli, isolated from a hospital in Japan, to other human pathogens
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Citation	International Journal of Antimicrobial Agents, 49(3), 387-388 https://doi.org/10.1016/j.ijantimicag.2017.01.003
Issue Date	2017-03
Doc URL	http://hdl.handle.net/2115/68719
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Type	article (author version)
File Information	Int. J. Antimicrob. Agents.2017.pdf



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1 **Ciliates promote the transfer of plasmid encoding *bla*_{NDM-5} from *Escherichia coli*,**
2 **isolated from a hospital in Japan, to other human pathogens**

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26 Sir,

27 The rapid global dissemination of New Delhi metallo- β -lactamase
28 (NDM)-producing isolates is unquestionably a major concern to public health. However,
29 this is a significant challenge for controlling the emergence of multidrug-resistant
30 bacteria leading to hospital or community-acquired infections [1]. Ciliates
31 (*Tetrahymena*), which are ubiquitous in natural environments such as moist soil or pond
32 water [2], facilitate the transfer of genes encoding extended-spectrum β -lactamases
33 (ESBLs) between *Escherichia coli* strains [3], by conjugation via vesicle accumulation
34 [4]. We therefore assessed if ciliates could promote gene transfer from NDM
35 (*bla*_{NDM-5})-producing *E. coli* 9/III strain isolated at a hospital in Japan to other *E. coli* or
36 *Salmonella*.

37 *E. coli* strain 9/III was isolated in 2016 from a fecal sample from a 20-year-old
38 woman with hematologic disease hospitalized at Hokkaido University Hospital. The
39 patient had traveled abroad but had not visited India or the Middle East. This strain
40 exhibited carbapenem resistance, as confirmed by a screening test in the hospital's
41 bacteriological examination laboratory. According to the CLSI guideline [5], the isolate

42 was determined to be resistant with cefotaxime (CTX) (>256 mg/L), ceftazidime (CAZ)
43 (>256 mg/L), imipenem (IPM) (32 mg/L), tetracycline (TET) (256 mg/L), and
44 ciprofloxacin (CIP) (>256 mg/L), but not to tigecycline (TIG) (1 mg/L) and colistin
45 (COL) (2 mg/L). The phylogenic analysis of the β -lactamase genes revealed that *E. coli*
46 9/III carried two distinct β -lactamase genes, *bla*_{NDM-5} (Accession number: LC189236)
47 and *bla*_{CTX-M-15} (Accession number: LC189237). The *tet(A)* gene that mediates
48 resistance to TET was detected. Also, mutations in GyrA (S83L, D87N), ParC (S80I),
49 and ParE (S458A) were detected that are characteristic of CIP resistance. O serotyping
50 using the Denka-Seiken kit No.1, large-scale population structure analysis, and
51 multilocus sequence typing (MLST) analysis revealed that *E. coli* strain 9/III was
52 characterized as OUT, A₁, and ST410, respectively. Also, S1-PFGE analysis revealed
53 that *E. coli* 9/III carried two plasmids, of approximately 45 kb and 130 kb (data not
54 shown). The transferability of carbapenem-resistance determinants was determined by
55 conjugation experiments as previously established [3, 4]. In brief, equal numbers (10⁹
56 CFU/mL) of *E. coli* 9/III as a donor and *E. coli* ML4909 or *Salmonella* ser. Enteritidis
57 as a recipient were mixed in fresh Page's amoeba saline with or without ciliates

58 [*Tetrahymena thermophila* (Tth) and *Tetrahymena* sp. (Tsp)] (10^5 cell/mL) and
59 incubated overnight at 30°C. The transconjugants were detected as blue colonies on
60 modified Drigalski lactose agar (for *E. coli*) or black colonies on MLCB agar (for
61 *Salmonella*) containing CAZ (10 mg/L), and the presence of carbapenem-resistance
62 determinants was also confirmed by PCR. The gene transfer frequency was expressed as
63 the number (CFU) of transconjugants per recipient. Both recipients, *E. coli* strain
64 ML4909 and *Salmonella* Enteritidis, showed susceptibility to all of the antibiotics used
65 for the study. Both *E. coli* strain 9/III and *Salmonella* Enteritidis are clinical strains
66 originally isolated in Hokkaido University Hospital. Also, *E. coli* ML4909 was kindly
67 provided from Dr. Tamura, Rakuno Gakuen University, Japan.

68 The frequency of transconjugants in the absence of ciliates was 8.2×10^{-6} (*E. coli*
69 ML4909) (Fig. 1A, left panel) and 2.4×10^{-8} (*Salmonella* Enteritidis) (Fig. 1A, right
70 panel). By contrast, the frequency of transconjugants in the presence of ciliates was 2.6
71 $\times 10^{-4}$ (Tsp) ($p < 0.05$) or 1.3×10^{-5} (Tth) ($p = 0.563$) (Fig. 1A, left panel) for *E. coli*
72 ML4909, and 5.8×10^{-6} (Tsp) ($p < 0.05$) or 5.3×10^{-6} (Tth) ($p < 0.05$) (Fig. 1A, right
73 panel) for *Salmonella* Enteritidis. Giemsa staining revealed that in the mixed culture

74 with the bacteria ciliates formed a large number of vacuoles into the cells ciliates with
75 the vacuoles packed by the bacteria, indicating the presence of bacteria into ciliates (Fig.
76 1B, See arrows). In addition, in the presence of ciliates, the bacteria could survive,
77 however, with a decrease of bacterial number, approximately 3-log CFUs. Also, the
78 number of ciliates into the mixed culture with the bacteria was more or less constant
79 during culture period. Successful conjugations by both of the bacteria were confirmed
80 by PCR using primers specific to the *bla*_{NDM-5} gene sequence, but not the *bla*_{CTX-M-15}
81 gene sequence (data not shown). The antimicrobial susceptibilities of transconjugants
82 derived from *E. coli* ML4909 were similar to those of the donor *E. coli* 9/III strain:
83 CTX (8 mg/L), CAZ (128 mg/L), IPM (4 mg/L), TET (1 mg/L), CIP (0.25 mg/L), TYG
84 (0.5mg/L), and COL (0.25 mg/L). Also, the transconjugant strain derived from
85 *Salmonella* Enteritidis showed resistance to β -lactams [CTX (>128 mg/L) and CAZ
86 (>128 mg/L)], but not to TET (1 mg/L) and CIP (\leq 0.03125 mg/L). Since the
87 transferable plasmid was found to be small (approximately 45 kb) (data not shown), this
88 suggested that it carried the *bla*_{NDM-5} gene, which was transferred to the transconjugants.
89 Also, since neither resistance to TET or CIP was detected in the transconjugants, these

90 resistant genes [*tet(A)* and *gyrA*] were likely encoded on the genome. The frequency of
91 gene transfer with *Salmonella* significantly increased in the presence of ciliates,
92 compared with that of the *E. coli* recipient. *Salmonella*, which is a facultative
93 intracellular pathogen, might live longer than *E. coli* in the vacuoles of ciliates. Also, no
94 increase in the gene transfer frequency with an *E. coli* recipient was seen in the presence
95 of Tth ciliates. The combination between bacteria and ciliates may be an important
96 factor in determining the frequency of gene transfer among bacteria in the presence of
97 ciliates.

98 Thus, environmental niches in which ciliates accumulate may be a hotspot
99 facilitating the emergence of NDM-producing bacteria due to the promotion of gene
100 transfer among human pathogenic bacteria.

101

102 **Funding**

103 This work was supported by Management Expenses Grants for National
104 University, Japan

105

106 **Competing interests**

107 None declared.

108

109 **Ethical approval**

110 Not required.

111 **References**

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127 **Figure Legend**

128

129 **Fig. 1.** Effect of ciliates on the conjugation frequency of NDM-producing *E. coli* strain

130 9/III. (A) Conjugation frequency of *E. coli* 9/III to *E. coli* ML4909 (left panel) or

131 *Salmonella* Enteritidis (right panel) in the presence or absence of ciliates (Tsp:

132 *Tetrahymena* sp.; Tth: *Tetrahymena thermophila*). Conjugation frequency was

133 estimated as the number of transconjugants for each recipient. Data show averages of

134 the conjugation frequency \pm standard deviation. Statistical analysis was performed using

135 the Mann-Whitney test and a *p* value of <0.05 was considered significant. *, $p < 0.05$ vs.

136 values for bacteria alone. (B) Representative Giemsa-stained images showing the

137 vacuoles of ciliates packed with the bacteria. Arrows show the vacuoles. Square

138 surrounded by dashed line are enlarged to define the bacterial accumulation in vacuole.

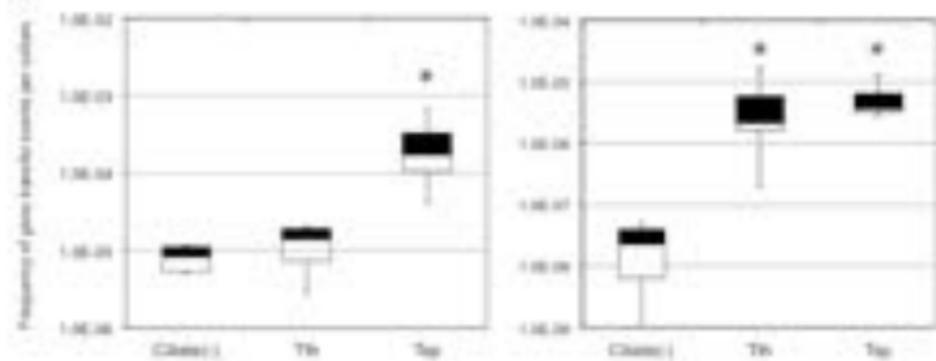
139 N, nucleus. Magnification, $\times 1,000$.

140

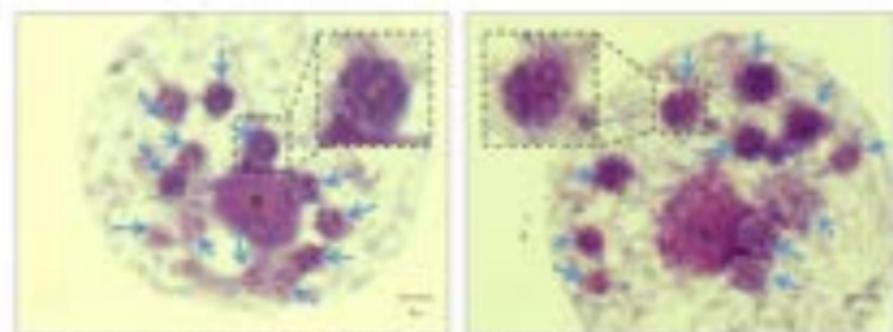
141

142

A



B

With *E. coli* strain 933 and *E. coli* ML4909With *E. coli* strain 933 and *Salmonella enteritidis*