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Homomorphisms Of A Uniform**

**Takahiko Nakazi**

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## Some Special Bounded Homomorphisms Of A Uniform Algebra

Takahiko Nakazi

**ABSTRACT.** Let  $L(H)$  be the algebra of all bounded linear operators on a Hilbert space  $H$  and let  $A$  be a uniform algebra. In this paper we study the following questions. When is a unital bounded homomorphism  $\Phi$  of  $A$  in  $L(H)$  completely bounded? When is the norm  $\|\Phi\|$  of  $\Phi$  equal to the completely bounded norm  $\|\Phi\|_{cb}$ ? In some special cases we answer this question. Suppose  $\Phi$  is  $\rho$ -contractive ( $0 < \rho < \infty$ ) where  $\Phi$  is contractive if  $\rho = 1$ . We show that if  $A$  is a Dirichlet algebra or  $\dim A/\ker \Phi = 2$  then  $\Phi$  has a  $\rho$ -dilation. If  $\Phi$  is a  $\rho$ -contractive homomorphism then  $\|\Phi\| = \max(1, \rho)$  and if it has a  $\rho$ -dilation then  $\|\Phi\|_{cb} = \max(1, \rho)$ . Moreover we give a new example of a hypo-Dirichlet algebra in which a unital contractive homomorphism has a contractive dilation.

### 1. Introduction

Let  $X$  be a compact Hausdorff space, let  $C(X)$  be the algebra of complex-valued continuous functions on  $X$ , and let  $A$  be a uniform algebra on  $X$ . Let  $H$  be a complex Hilbert space and  $L(H)$  the algebra of all bounded linear operators on  $H$ .  $I = I_H$  is the identity operator in  $H$ . An algebra homomorphism  $f \rightarrow \Phi(f)$  of  $A$  in  $L(H)$ , which satisfies

$$\Phi(1) = I \text{ and } \|\Phi(f)\| \leq \gamma \|f\|_\infty$$

for some positive constant  $\gamma \geq 1$ , is called a unital bounded homomorphism of  $A$ . If  $\gamma = 1$ , it is called a unital contractive homomorphism.

For a subspace  $B$  of  $A$ , let  $M_n(B)$  denote the set of  $n \times n$  matrices with entries from  $B$ . For a map  $\phi : B \rightarrow L(H)$ , we obtain maps  $\phi_n : M_n(B) \rightarrow M_n(L(H))$  via the formula

$$\phi_n((a_{ij})) = (\phi(a_{ij})).$$

If  $\phi$  is a bounded map, then each  $\phi_n$  will be bounded, and when  $\sup_n \|\phi_n\|$  is finite, we call  $\phi$  a completely bounded map of  $B$  in  $L(H)$ . We write

$$\|\phi\|_{cb} = \sup_n \|\phi_n\|.$$

The following problem is natural and important.

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PROBLEM . Suppose  $\Phi$  is a unital bounded homomorphism of  $A$ .

- I. When is  $\Phi$  completely bounded ?
- II. When is  $\Phi$  completely bounded and  $\|\Phi\| = \|\Phi\|_{cb}$  ?

A unital contractive homomorphism  $v \rightarrow \tilde{\Phi}(v)$  of  $C(X)$  on a Hilbert space  $K$  is called a contractive dilation of the unital contractive homomorphism  $f \rightarrow \Phi(f)$  of  $A$  on  $H$  if  $H$  is a Hilbert subspace of  $K$  and

$$\Phi(f) = P\tilde{\Phi}(f)|_H \quad (f \in A)$$

where  $P$  is the orthogonal projection of  $K$  onto  $H$ . If  $\Phi$  has a contractive dilation then  $\Phi$  is completely contractive and hence  $\|\Phi\| = \|\Phi\|_{cb}$ . If  $\Phi$  is completely contractive then  $\Phi$  has a contractive dilation. This is well known (see [18, Corollary 6.7]).

If  $A$  is a uniform algebra and the uniform closure of  $A + \bar{A}$ , that is,  $[A + \bar{A}]$  has finite codimension  $n$  in  $C(X)$  then  $A$  is called a  $n$ -hypo-Dirichlet algebra and it is called a Dirichlet algebra when  $[A + \bar{A}] = C(X)$ , that is,  $n = 0$ .

If  $\dim H < \infty$ ,  $\Phi$  is completely bounded for arbitrary uniform algebra  $A$  (see [18, Exercises 3.11]). If  $\dim H = \infty$  and  $A$  is the disc algebra, then there exists a unital bounded homomorphism  $\Phi$  which is not completely bounded. This was recently shown by G.Pisier [19]. If  $A$  is a  $n$ -hypo-Dirichlet algebra and  $\Phi$  is a unital contractive homomorphism then  $\Phi$  is completely bounded. This was shown by R.G.Douglas and V.I.Paulsen [6]. However we don't know whether  $\Phi$  is completely contractive or not. They are known solutions for Problem I.

Now we will give known solutions for Problem II when  $\Phi$  is contractive. If  $A$  is the disc algebra then there exists a contractive dilation. This is a famous theorem of B.Sz.-Nagy [10]. T.Ando [2] generalized this to the bidisc algebra. However S.K.Parrot [17] gave an example of  $\Phi$  which does not have a contractive dilation in the polydisc algebra for  $n \geq 3$ . If  $A$  is a Dirichlet algebra then there exists a contractive dilation (cf. [7]). For a  $n$ -hypo-Dirichlet algebra with  $n \neq 0$ , we don't know whether there exists a contractive dilation or does not. The polydisc algebra for  $n \geq 2$  is not a  $n$ -hypo-Dirichlet algebra. If  $A$  is an annulus algebra, that is, a rational function algebra on an annulus, then there exists a contractive dilation. This was shown by J.Agler [1]. An annulus algebra is a 1-hypo-Dirichlet algebra. If  $\mathcal{A}$  is the disc algebra and  $A = \{f \in \mathcal{A} ; f(0) = f(1)\}$ , then  $A$  is also a 1-hypo-Dirichlet algebra. The author [12] proved that  $\Phi$  has a contractive dilation for this example. Even if  $\dim H < \infty$ , by an example of S.K.Parrot [17]  $\Phi$  may not have a contractive dilation for some uniform algebra. The author and the late K.Takahashi [14], and Che-Chen Chu [5] showed that if  $\dim H \leq 2$ ,  $\Phi$  has a contractive dilation for an arbitrary uniform algebra.

Now we will give more concrete problems than Problem II.

PROBLEM . Suppose  $\Phi$  is a unital bounded homomorphism of  $A$ .

(II-a) Suppose  $\|\Phi\| \leq 1$ . When  $A$  is a  $n$ -hypo-Dirichlet algebra and  $n \geq 1$ , does  $\Phi$  have a contractive dilation ?

(II-b) Under what conditions on  $\Phi$  which is  $\|\Phi\| > 1$ , is  $\Phi$  completely bounded with  $\|\Phi\| = \|\Phi\|_{cb}$  when  $A$  is a  $n$ -hypo-Dirichlet algebra and  $n \geq 0$ , or  $\dim H \leq 2$  ?

In this paper, we study Problem (II-a) and (II-b). In §2, we give a new example of a 1-hypo-Dirichlet algebra in which a unital contractive homomorphism has a contractive dilation. In §3, we define a  $\rho$ -contractive homomorphism  $\Phi$  and a  $\rho$ -dilation of  $\Phi$  for  $0 < \rho < \infty$ . If  $\Phi$  is a  $\rho$ -contractive homomorphism then  $\|\Phi\| \leq$

$\max(1, \rho)$  and if it has a  $\rho$ -dilation then  $\|\Phi\|_{cb} \leq \max(1, \rho)$ . In §4, we introduce a  $\delta$ -homomorphism of  $A$  for  $-\infty < \delta < 1$ . This homomorphism is bounded. In fact, we show more, that is, ' $\delta$ -homomorphism' is equivalent to ' $\rho = 1/(1 - \delta)$ -contractive homomorphism'. In §5, we show that a  $\rho$ -contractive homomorphism has a  $\rho$ -dilation when  $A$  is a Dirichlet algebra. In §6, we consider Problem II under conditions on  $\Phi$ , that is,  $\dim A/\ker \Phi = 2$  or a hypothesis on  $\ker \Phi$ .

## 2. Third example of a hypo-Dirichlet algebra for Problem II-a

For a  $n$ -hypo-Dirichlet algebra with  $n \neq 0$ , we know only two examples ([1], [11]) in which a unital contractive homomorphism has a contractive dilation, that is, Problem (II-a). They are 1-hypo-Dirichlet algebras. In this section, we give a new example which is also a 1-hypo-Dirichlet algebra. In the proof of Theorem 2.1, a theorem of T.Ando [2] is used essentially. Unfortunately we could not generalize Theorem 2.1 to  $A = \{f \in \mathcal{A} ; f'(0) = f''(0) = \dots = f^{(n)}(0) = 0\}$ .

**THEOREM 2.1.** *Let  $\mathcal{A}$  be the disc algebra and  $A = \{f \in \mathcal{A} ; f'(0) = 0\}$ . If  $\Phi$  is a unital contractive homomorphism of  $A$  then  $\Phi$  has a contractive dilation or equivalently  $\Phi$  is a completely contractive.*

**PROOF.** Since  $A = \mathbf{C} + z^2\mathcal{A}$ ,  $A_0 = \{f \in A ; f(0) = 0\} = z^2\mathcal{A}$ .  $A_0$  has two generators, that is,  $A_0$  is generated by  $z^2$  and  $z^3$  because  $2\ell \pm 1$  can be written as the form  $2n + 3m$ . Let  $\Phi(z^2) = S$  and  $\Phi(z^3) = T$  then  $ST = TS$ ,  $\|S\| \leq 1$  and  $\|T\| \leq 1$ . By a well known theorem of T.Ando [2], there exist two commuting operators  $U$  and  $V$  on a Hilbert space  $K$  with  $H \subset K$  such that

$$S^n T^m = P U^n V^m | H$$

for all nonnegative integers  $n$  and  $m$  where  $P$  is an orthogonal projection from  $K$  to  $H$ . Any polynomial  $f$  in  $A_0$  is written as the following :

$$f = a_{10}z^2 + a_{01}z^3 + \sum_{j, \ell \geq 1} a_{j\ell} z^{2j} z^{3\ell}$$

and so

$$\begin{aligned} \Phi(f) &= a_{10}S + a_{01}T + \sum_{j, \ell \geq 1} a_{j\ell} S^j T^\ell \\ &= P(a_{10}U + a_{01}V + \sum_{j, \ell \geq 1} a_{j\ell} U^j V^\ell) | H \end{aligned}$$

By a theorem of C.R.Putnam and B.Fuglede [20, Corollary 1.19],  $U^*V = VU^*$ . Hence if we set  $\tilde{\Phi}((z^2)^j (z^3)^\ell) = U^j V^\ell$  for any integers  $j$  and  $\ell$  then  $\tilde{\Phi}$  is a unital contractive homomorphism of  $C(X)$  in  $L(K)$  and  $\Phi = P\tilde{\Phi}|H$  on  $A_0$ . Thus  $\tilde{\Phi}$  is a contractive dilation of  $\Phi$ .  $\square$

## 3. $\rho$ -Contractive homomorphism

A bounded linear operator  $T$  on  $H$  is said to be of class  $C_\rho$  if there exists a unitary operator  $U$  (called a unitary  $\rho$ -dilation) on a Hilbert space  $K \supset H$  such that  $T^n = \rho P U^n | H$  for  $n = 1, 2, \dots$  where  $P$  is an orthogonal projection from  $K$  to  $H$ . B.Sz.-Nagy [9] showed that if  $T$  is a contraction then it is of class  $C_1$ . If the numerical radius of  $T$  is less than equal to one then it is of class  $C_2$  [3]. If  $T$  is of class  $C_\rho$  then  $\|T\| \leq \max(1, \rho)$ .

Suppose  $\Phi$  is a unital algebra homomorphism of  $A$  in  $L(H)$  and  $0 < \rho < \infty$ . When  $\Phi(f)$  is of class  $C_\rho$  for any  $f$  in  $A$  with  $\|f\|_\infty \leq 1$ ,  $\Phi$  is called a  $\rho$ -contractive homomorphism of  $A$ . A 1-contractive homomorphism is equivalent to a contractive homomorphism. A 2-contractive homomorphism  $\Phi$  is equivalent to that

$$\sup_{\substack{f \in A \\ \|f\|_\infty \leq 1}} \sup_{\substack{y \in H \\ \|y\|=1}} |\langle \Phi(f)y, y \rangle| = 1.$$

If  $\Phi$  is  $\rho$ -contractive then  $\|\Phi\| \leq \max(1, \rho)$ . We will study Problem (II-b) when  $\Phi$  is  $\rho$ -contractive.

A unital contractive homomorphism  $v \rightarrow \tilde{\Phi}(v)$  on a Hilbert space  $K$  is called a  $\rho$ -dilation of the unital bounded homomorphism  $f \rightarrow \Phi(f)$  of  $A$  on  $H$  if  $H$  is a Hilbert subspace of  $K$  and

$$\Phi(f) = \rho P \tilde{\Phi}(f)|_H \quad (f \in A_\tau)$$

where  $P$  is the orthogonal projection of  $K$  onto  $H$ ,  $A_\tau$  is the kernel of  $\tau$  in  $M(A)$  and  $0 < \rho < \infty$ .

If  $\Phi$  has a  $\rho$ -dilation then  $\Phi$  is a unital completely bounded map. However the converse is not true even for the disc algebra  $A$  and  $\dim H = 2$ . This may be well known. Suppose  $T = \begin{bmatrix} 1 & 1 \\ 0 & -1 \end{bmatrix}$ , then  $f \rightarrow \Phi(f) = f(T)$  is a unital completely bounded homomorphism but it has not a  $\rho$ -dilation for any  $\rho$  [15]. If  $\Phi$  is a unital completely bounded homomorphism, then  $\Phi/\rho$  is completely contractive but it is not unital. However if  $\Phi$  has a  $\rho$ -dilation then the following is true.

**PROPOSITION 3.1.** *For a unital bounded homomorphism  $\Phi$ ,  $\Phi$  has a  $\rho$ -dilation with respect to  $\tau$  if and only if  $\Phi(f) = \rho \Phi_0(f)$  ( $f \in A_\tau$ ) where  $\Phi_0$  is a unital completely contractive map on  $A$ , equivalently  $\Phi_0$  has a contractive dilation.*

**PROOF.** For the 'only if' part, put  $\Phi_0(f) = P \tilde{\Phi}(f)|_H$  ( $f \in A$ ). Then  $\Phi_0$  has a contractive dilation  $\tilde{\Phi}$  and hence it is completely contractive on  $A$ . The 'if' part follows from a theorem of W.Arveson (cf.[18, Corollary 6.7]).  $\square$

#### 4. $\delta$ -homomorphism

For  $-\infty < \delta < 1$ ,  $\Phi$  is called a  $\delta$ -homomorphism for  $\tau$  in  $M(A)$  if  $\Phi$  is a unital algebra homomorphism of  $A$  and  $\operatorname{Re} \Phi(f) \geq 0$  whenever  $f$  in  $A$ ,  $\tau(f) = 1$  and  $\operatorname{Re} f \geq \delta$ . In this section we show that  $\Phi$  is a  $\delta$ -homomorphism for some  $\tau$  if and only if  $\Phi$  is a  $\rho = 1/(1 - \delta)$ -contractive homomorphism.

**PROPOSITION 4.1.** *If a unital algebra homomorphism  $\Phi$  has a  $\rho$ -dilation for  $\tau$ , then  $\Phi$  is a  $\delta = \left(1 - \frac{1}{\rho}\right)$ -homomorphism for  $\tau$ .*

**PROOF.** Suppose that  $\Phi(h) = \rho P \tilde{\Phi}(h)|_H$  for  $h \in A_\tau$ . If  $f \in A$ ,  $\tau(f) = 1$  and  $\operatorname{Re} f \geq 1 - \frac{1}{\rho}$ , since  $\tau(f - \tau(f)) = 0$ ,

$$\Phi(f) - \tau(f)I = \rho P \tilde{\Phi}(f)|_H - \rho \tau(f)I$$

and so

$$\Phi(f) = \rho \left\{ P \tilde{\Phi}(f)|_H + \tau(f) \left( \frac{1}{\rho} - 1 \right) I \right\}.$$

Since  $Re f \geq 1 - \frac{1}{\rho}$ ,  $\tilde{\Phi}(Re f) \geq 1 - \frac{1}{\rho}$  and so

$$Re \Phi(f) \geq \rho \left\{ P \tilde{\Phi}(Re f) |H + \left( \frac{1}{\rho} - 1 \right) I \right\} \geq 0.$$

□

PROPOSITION 4.2. Suppose  $\delta \neq 0$ .  $\Phi$  is a  $\delta$ -homomorphism for  $\tau$  if and only if for any  $h$  in  $A_\tau$  with  $\|h\|_\infty < 1$ ,

$$|\langle \Phi(h)y, y \rangle| \leq \frac{1}{2|\delta|} \|y\|^2 + \frac{2\delta - 1}{2|\delta|} \|\Phi(h)y\|^2 \quad (y \in H)$$

PROOF. Suppose  $\Phi$  is a  $\delta$ -homomorphism for  $\tau$ . Put

$$f = (1 - \delta) \frac{1 + h}{1 - h} + \delta$$

where  $h \in A_\tau$  and  $\|h\|_\infty < 1$  then  $f \in A$ ,  $Re f \geq \delta$  and  $\tau(f) = 1$ . For  $x \in H$ , put  $x = (I - \Phi(h))y$  then

$$\begin{aligned} & Re \langle \Phi(f)x, x \rangle \\ &= (1 - \delta) Re \left\langle \frac{I + \Phi(h)}{I - \Phi(h)} x, x \right\rangle + \delta \|x\|^2 \\ &= (1 - \delta) Re \langle (I + \Phi(h))y, (I - \Phi(h))y \rangle + \delta \langle (I - \Phi(h))y, (I - \Phi(h))y \rangle \\ &= (1 - \delta) (\|y\|^2 - \|\Phi(h)y\|^2) + \delta (\|y\|^2 + \|\Phi(h)y\|^2 - 2 Re \langle \Phi(h)y, y \rangle) \\ &= \|y\|^2 + (2\delta - 1) \|\Phi(h)y\|^2 - 2\delta \langle \Phi(h)y, y \rangle. \end{aligned}$$

By hypothesis on  $\Phi$ ,

$$2\delta Re \langle \Phi(h)y, y \rangle \leq \|y\|^2 + (2\delta - 1) \|\Phi(h)y\|^2$$

and so

$$|\langle \Phi(h)y, y \rangle| \leq \frac{1}{2|\delta|} \|y\|^2 + \frac{2\delta - 1}{2|\delta|} \|\Phi(h)y\|^2.$$

The proof is reversible. In fact, if  $f \in A$ ,  $Re f \geq \delta$  and  $\tau(f) = 1$  then

$$f = (1 - \delta) \frac{1 + h}{1 - h} + \delta$$

for some  $h \in A_\tau$  with  $\|h\|_\infty \leq 1$ . Hence if we put for  $0 < \varepsilon < 1$

$$f_\varepsilon = (1 - \delta) \frac{1 + \varepsilon h}{1 - \varepsilon h} + \delta$$

then  $f_\varepsilon \rightarrow f$  uniformly as  $\varepsilon \rightarrow 1$ ,  $\|\varepsilon h\|_\infty \leq 1$  and  $\tau(f_\varepsilon) = 1$ . Since  $Re \langle \Phi(f_\varepsilon)x, x \rangle \geq 0$ , as  $\varepsilon \rightarrow 1$ ,  $Re \langle \Phi(f)x, x \rangle \geq 0$  for any  $x \in H$ . □

THEOREM 4.3.  $\Phi$  is a  $\delta$ -homomorphism for some (or any)  $\tau$  in  $M(A)$  if and only if  $\Phi$  is a  $\rho = 1/(1 - \delta)$ -contractive homomorphism.

PROOF. [16, Theorem 2] and Proposition 4.2 imply the theorem, or we can show this by the proof of Proposition 4.2 and [11, Theorem 11.1]. □



## 5. Condition A

In this section, we show that a  $\rho$ -contractive homomorphism has a  $\rho$ -dilation when  $A$  is a Dirichlet algebra. This is a generalization of a theorem of C.Foias and I.Suciu [7] for  $\rho = 1$  and a theorem of B.Sz.Nagy and C.Foias (cf. [11]) for the disc algebra. They give solutions for Problem (II-b).

**THEOREM 5.1.** *Let  $A$  be a Dirichlet algebra and  $0 < \rho < \infty$ . If  $\Phi$  is a  $\rho$ -contractive homomorphism of  $A$  in  $L(H)$  then for any  $\tau$  in  $M(A)$  it has a  $\rho$ -dilation.*

**PROOF.** Put  $\Phi'(h) = \frac{1}{\rho}\Phi(h) - \tau(h)\left(\frac{1}{\rho} - 1\right)I$  for  $h \in A$ . By Theorem 4.3 if  $\Phi$  is  $\rho$ -contractive then  $\Phi$  is a  $\delta = \left(1 - \frac{1}{\rho}\right)$ -homomorphism for any  $\tau \in M(A)$ . Hence if  $Reh \geq 0$  then  $Re\Phi'(h) \geq 0$ . If we extend  $\Phi'$  to  $\tilde{\Phi} : A + \bar{A} \rightarrow L(H)$  by  $\tilde{\Phi}(f + \bar{g}) = \Phi'(f) + \Phi'(g)^*$ , then  $\tilde{\Phi} : C(X) \rightarrow L(H)$  is positive because  $A$  is a Dirichlet algebra. By the dilation theorem of M.A.Naimark (cf. [21, Theorem 7.5]) there exists a Hilbert space  $K$ , an orthogonal projection  $P : K \rightarrow H$  and a multiplicative linear map  $u \rightarrow \tilde{\Phi}(u)$  of  $C(X)$  in  $L(K)$ , which satisfies  $\tilde{\Phi}(1) = I_K$ ,  $\|\tilde{\Phi}(u)\| \leq \|u\|_\infty$ ,  $u \in C(X)$  and  $\Phi'(f) = P\tilde{\Phi}(f)|_H$  for  $f \in A$ . If  $f \in A_\tau$  then  $\Phi'(f) = \frac{1}{\rho}\Phi(f)$  and so

$$\Phi(f) = \rho P\tilde{\Phi}(f)|_H.$$

□

**PROPOSITION 5.2.** *Let  $A$  be an arbitrary uniform algebra and  $0 < \rho < \infty$ . Suppose  $\Phi$  is a  $\rho$ -contractive homomorphism of  $A$  in  $L(H)$ . If  $A/\ker \Phi$  is isometrically isomorphic to  $A/\mathcal{J}$  where  $A$  is a Dirichlet algebra on some compact Hausdorff space  $Y$  and  $\mathcal{J}$  is a closed ideal in  $A$ , then  $\Phi$  has a  $\rho$ -dilation for any  $\tau$  in  $M(A)$  with  $\tau = 0$  on  $\ker \Phi$ .*

**PROOF.** Let  $\phi$  be an isometric isomorphism from  $A/\mathcal{J}$  onto  $A/\ker \Phi$ . For each  $f \in A$ , we will write  $\phi(f + \mathcal{J}) = \phi(f) + \ker \Phi$  where  $\phi(f) \in A$ . Moreover we will write  $\Phi$  again for the map :  $f + \ker \Phi \rightarrow \Phi(f)$ . Put  $\Psi = \Phi \circ \phi$ , then  $\Psi$  is a unital homomorphism of  $A/\mathcal{J}$  in  $L(H)$ . We will write  $\Psi$  again for the map :  $f \rightarrow \Psi(f + \mathcal{J})$ , then  $\mathcal{J} = \ker \Psi$ . Since we may assume that  $\tau$  is a complex homomorphism on  $A/\ker \Phi$  by [8, Theorem 6.2 in Chapter I],  $\tau \circ \phi$  is a complex homomorphism on  $A/\mathcal{J}$  and so we may assume that  $\tau \circ \phi \in M(A)$ . If  $f \in A_{\tau \circ \phi}$ , and  $\|f\|_\infty \leq 1$ , then  $\phi(f) \in A_\tau$  and  $\|\phi(f) + \mathcal{J}\| \leq 1$ . By hypothesis,  $\Phi(\phi(f))$  is of class  $C_\rho$  and so  $\Psi(f) = \Phi \circ \phi(f)$  is of class  $C_\rho$  for  $f \in A_{\tau \circ \phi}$  with  $\|f\|_\infty \leq 1$ . Hence  $\Psi$  is a  $\rho$ -contractive homomorphism of  $A$  in  $L(H)$  with respect to  $\tau \circ \phi$ . Since  $A$  is a Dirichlet algebra, by Proposition 3.1 and Theorem 5.1  $\Psi = \rho\Psi_0$  on  $A_{\tau \circ \phi}$  where  $\Psi_0$  is a unital completely contractive map on  $A$ . Put  $\Phi_0 = \Psi_0 \circ \phi^{-1}$  then  $\Phi_0$  is a unital completely contractive map on  $A$  and  $\Phi = \rho\Phi_0$  on  $A_\tau$ . Proposition 3.1 implies the theorem. □

Let  $A$  be a  $n$ -hypo-Dirichlet algebra and let  $N_\tau$  be the set of all representing measures of  $\tau$  in  $M(A)$ . Then  $\dim N_\tau = n$  and there exists a core measure  $m$  of  $N_\tau$  (cf. [8, p106]). Then by [8, Theorem 5.1 in Chapter IV], there is a constant  $c > 0$  such that  $\nu \leq cm$  for all  $\nu$  in  $N_\tau$ . Hence if  $h$  is the Radon-Nikodym derivative of  $\nu$  with respect to  $m$  then  $\nu = hdm$ . Set  $N_\tau^m = \{h : \nu = hdm \text{ and}$

$\nu \in N_\tau$ , then  $N_\tau^m$  is a subset of  $L^\infty(m)$ . Thus  $N_\tau^m$  can be considered as a subset of  $L^\infty(m)$ . Many important  $n$ -hypo-Dirichlet algebras satisfy a natural condition on  $N_\tau^m : N_\tau^m \subset C(X)$ . The author showed [13] that if  $N_\tau^m \subset C(X)$  then a unital contractive homomorphism  $\Phi$  of  $A$  has a  $\rho$ -dilation with respect to  $\tau$ . It is a long standing open question whether we can choose  $\rho = 1$ . The motivation of our study in this paper is in this open question. The following Proposition 5.4 implies that if  $\Phi$  is  $\rho$ -contractive for enough small  $\rho > 0$  then  $\Phi$  has a 1-dilation.

LEMMA 5.3. *Let  $A$  be a  $n$ -hypo-Dirichlet algebra and  $N_\tau^m \subset C(X)$ . Then there exists a positive linear map  $T$  from  $C(X)$  to  $[A + \bar{A}]$  such that  $T(f) = f$  ( $f \in A_\tau$ ) and  $T(1)$  is a positive constant  $\geq 1$ .*

PROOF. This is proved in the proof of [13, Theorem].  $\square$

PROPOSITION 5.4. *Let  $A$  be a  $n$ -hypo-Dirichlet algebra and  $N_\tau^m \subset C(X)$  for some  $\tau$  in  $M(A)$  where  $m$  is a core measure of  $N_\tau$ . If  $\Phi$  is a  $\rho$ -contractive homomorphism of  $A$  in  $L(H)$  then it has a  $\rho'$ -dilation where  $\rho' = \rho T(1)$  and  $T$  is a map in Lemma 5.3.*

PROOF. Suppose  $\Phi$  is  $\rho$ -contractive. Then  $\Phi$  is a  $\delta = \left(1 - \frac{1}{\rho}\right)$ -homomorphism for  $\tau$  by Theorem 4.3. Put

$$\Phi'(f) = \frac{1}{\rho}\Phi(f) - \tau(f) \left(\frac{1}{\rho} - 1\right) I \quad (f \in A),$$

then by the proof of Theorem 5.1, there exists an extension  $\tilde{\Phi}'$  of  $\Phi'$  on  $[A + \bar{A}]$  and  $\tilde{\Phi}'$  is positive on it. Then  $T(1)^{-1}\tilde{\Phi}' \circ T$  is a positive map from  $C(X)$  to  $L(H)$  and  $T(1)^{-1}\tilde{\Phi}' \circ T(1) = I$ . By the dilation theorem of M.A.Naimark (cf. [21, Theorem 7.5]) there exists a Hilbert space  $K$ , an orthogonal projection  $P$  from  $K$  to  $H$  and a multiplicative linear map  $u \rightarrow \tilde{\Phi}(u)$  of  $C(X)$  in  $L(K)$ , which satisfies  $\tilde{\Phi}(1) = I_K$ ,  $\|\tilde{\Phi}(u)\| \leq \|u\|_\infty$ ,  $u \in C(X)$  and  $\tilde{\Phi}' \circ T(u) = T(1)P\tilde{\Phi}(u)|_H$ . Because  $T(f) = f$  ( $f \in A_\tau$ ),

$$\Phi(f) = \rho T(1)P\tilde{\Phi}(f)|_H \quad (f \in A_\tau).$$

Suppose  $\rho' = \rho T(1)$ .  $\square$

## 6. Condition on $\Phi$

In this section, under conditions on  $\Phi$  we consider Problem II. We consider  $\rho$ -contractive homomorphisms when  $A/\ker\Phi$  is of two dimension. The author and the late K.Takahashi [13] showed that  $\Phi$  has a 1-dilation when  $\rho = 1$ . We generalize it to any  $\rho$ . Proposition 6.3 is a generalization of a result of G.Misra [8] which was shown for a rational uniform algebra on the complex plane and  $\rho = 1$ .

For  $x, y$  in  $M(A)$  and a bounded point derivation  $\delta$  at  $x$ , let

$$\sigma_A(x, y) = \sup\{|f(y)| ; f(x) = 0, f \in A \text{ and } \|f\|_\infty \leq 1\}$$

and

$$\omega_A(x, \delta) = \sup\{|\delta(f)| ; f(x) = 0, f \in A \text{ and } \|f\|_\infty \leq 1\}.$$

THEOREM 6.1. *Let  $A$  be an arbitrary uniform algebra. If  $\Phi$  satisfies one of the following conditions (1), (2) and (3), then  $\Phi$  is completely bounded and  $\|\Phi\| = \|\Phi\|_{cb}$ .*

1.  $\|\Phi(f)^2\| = \|\Phi(f)\|^2 \quad (f \in A)$

2.  $E$  is an interpolation set in  $X$  and  $\ker \Phi = \{f \in A ; f = 0 \text{ on } E\}$   
 3.  $\|\Phi\| \leq 1$ ,  $\ker \Phi = \{f \in A ; f = 0 \text{ on } E\}$  for some finite set  $E \subset M(A)$  and  $\sigma_A(x, y) = 1$  for any  $x, y$  in  $E$  with  $x \neq y$ .

PROOF. (1) If  $\|\Phi(f)^2\| = \|\Phi(f)\|^2$  ( $f \in A$ ) then the closure of  $\Phi(A)$  is regarded as a uniform algebra. By [18, Theorem 3.8],  $\|\Phi\| = \|\Phi\|_{cb}$ .

(2) Since  $E$  is an interpolation set in  $X$ ,  $A/\ker \Phi$  is isometrically isomorphic to a subalgebra of  $C(E)$  and hence  $\Phi(A) \subseteq C(E)$ . Again by [18, Theorem 3.8],  $\|\Phi\| = \|\Phi\|_{cb}$ .

(3) Since  $E = \{x_1, \dots, x_n\}$  is a finite set,  $\dim A/\ker \Phi = n < \infty$  and  $\Phi(A) = \{\sum_{j=1}^n a_j P_j ; a_j \in \mathbf{C}, P_i P_j = \delta_{ij} P_j \text{ and } j = 1, \dots, n\}$ . Suppose  $\Phi(f_j) = P_j$  and  $f_j \in$

$A$ . Then  $f_i f_j = \delta_{ij} f_j$  and  $f_i(x_j) = \delta_{ij}$ . Since  $\sigma_A(x_i, x_j) = \delta_{ij}$ , there exist  $\{g_n^{(i)}\}_{n=1}^\infty$  in  $A$  such that  $g_n^{(i)}(x_i) \rightarrow 1$  ( $n \rightarrow \infty$ ),  $g_n^{(i)}(x_j) = 0$  ( $j \neq i$ ) and  $\|g_n^{(i)}\|_\infty \leq 1$ . Since

$A/\ker \Phi = \{\sum_{i=1}^n a_i f_i + \ker \Phi ; a_i \in \mathbf{C} \text{ and } i = 1, \dots, n\}$ ,  $g_n^{(i)} - a_{in} f_i \in \ker \Phi$  and

$\Phi(g_n^{(i)}) = a_{in} P_i$ . Since  $\Phi$  is contractive,  $|a_{in}| \|P_i\| \leq 1$  and so  $\{a_{in}\}$  is bounded. Hence there exists a subsequence  $\{a_{in(j)}\}$  such that  $a_{in(j)} \rightarrow a_i$  as  $j \rightarrow \infty$  for each  $i$ . Then  $\lim_{j \rightarrow \infty} g_n^{(i)} - a_i f_i \in \ker \Phi$  and  $\lim_{j \rightarrow \infty} g_n^{(i)}(x_i) = 1$ . Therefore  $a_i = 1$  and  $\|f_i + \ker \Phi\| \leq 1$ , and  $P_i$  is selfadjoint for  $i = 1, \dots, n$  because  $\Phi$  is contractive. Thus  $\Phi(A)$  is a commutative  $C^*$ -algebra. By [18, Theorem 3.8],  $\|\Phi\| = \|\Phi\|_{cb}$ .  $\square$

THEOREM 6.2. Suppose  $\Phi$  is a  $\rho$ -contractive homomorphism of  $A$ . If  $A/\ker \Phi$  is of two dimension then  $\Phi$  has a  $\rho$ -dilation for any  $\tau$  in  $M(A)$  with  $\tau = 0$  on  $\ker \Phi$ .

PROOF. Suppose  $\ker \Phi = \{f \in A ; f(x) = f(y) = 0\}$  where  $x, y \in M(A)$  with  $x \neq y$ . By [13, Lemma 1 and its proof],

$$\Phi(f) = \begin{pmatrix} f(x)I_{H_1} & (f(x) - f(y))C \\ 0 & f(y)I_{H_2} \end{pmatrix} \text{ on } H = H_1 \oplus H_2$$

for all  $f \in A$  where  $C$  is a bounded linear operator from  $H_2$  to  $H_1$ , and

$$A/\ker \Phi = \{f(x)f_1 + f(y)f_2 + \ker \Phi ; f \in A\}$$

where  $f_1(x) = f_2(y) = 1$  and  $f_1(y) = f_2(x) = 0$ . By [13, Lemma 3], if  $\|C\|^2 + 1 = 1/\sigma_A(x, y)^2$  then  $\|\Phi(f)\| = \|f + \ker \Phi\|$  for all  $f \in A$ .

For any  $x, y \in M(A)$ , there exist a Dirichlet algebra  $\mathcal{A}$  and  $s, t \in M(\mathcal{A})$  such that  $\sigma_A(x, y) = \sigma_A(s, t)$ . In fact, we can choose the disc algebra  $\mathcal{A}$ . Suppose

$$\Psi(F) = \begin{pmatrix} F(s)I_{H_1} & (F(s) - F(t))B \\ 0 & F(t)I_{H_2} \end{pmatrix}$$

for all  $F \in \mathcal{A}$  where  $B$  is a bounded linear operator from  $H_2$  to  $H_1$ . Then

$$A/\ker \Psi = \{F(s)F_1 + F(t)F_2 + \ker \Psi ; F \in \mathcal{A}\}$$

where  $F_1(s) = F_2(t)$  and  $F_1(t) = F_2(s)$ , and  $\|\Phi(f)\| = \|\Psi(F)\|$  whenever  $f(x) = F(s)$  and  $f(y) = F(t)$ , and  $B = C$ . If  $B = C$  and  $\|C\|^2 + 1 = 1/\sigma_A(x, y)^2$ , then  $\|B\|^2 + 1 = 1/\sigma_A(s, t)^2$  and so  $\|f + \ker \Phi\| = \|\Phi(f)\| = \|\Psi(f)\| = \|f + \ker \Psi\|$ . Hence for given  $\Phi$ , we can find a unital homomorphism  $\Psi$  on  $\mathcal{A}$  such that  $A/\ker \Phi \cong A/\ker \Psi$ . By Proposition 5.2,  $\Phi$  has a  $\rho$ -dilation for any  $\tau \in M(A)$  with  $\tau = 0$  on  $\ker \Phi$ . If  $\ker \Phi$  is not the above form, then  $\ker \Phi = \{f \in A ; f(x) = \delta(f) = 0\}$

where  $x \in M(A)$  and  $\delta$  is a bounded point derivation at  $x$ . By [13, Lemma 1 and its proof],

$$\Phi(f) = \begin{pmatrix} f(x)I_{H_1} & \delta(f)C \\ 0 & f(x)I_{H_2} \end{pmatrix} \text{ on } H = H_1 \oplus H_2$$

for all  $f \in A$  where  $C$  is a bounded linear operator from  $H_2$  to  $H_1$ , and

$$A/\ker \Phi = \{f(x)1 + \delta(f)f_0 + \ker \Phi ; f \in A\}$$

where  $f_0(x) = 0$  and  $\delta(f_0) = 1$ . By [13, Lemma 3], if  $\|C\| = 1/\omega_A(x, \delta)$  then  $\|\Phi(f)\| = \|f + \ker \Phi\|$  for all  $f \in A$ . As in the first part of the proof, by Proposition 5.2 we can show that  $\Phi$  has a  $\rho$ -dilation for any  $\tau \in M(A)$ .  $\square$

If  $\dim H = 2$ , then an algebra homomorphism  $\Phi$  has the following form :

$$\Phi_1(f) = \begin{pmatrix} f(x) & c(f(x) - f(y)) \\ 0 & f(y) \end{pmatrix}$$

where  $x, y \in M(A)$  and  $x \neq y$  or

$$\Phi_2(f) = \begin{pmatrix} f(x) & c\delta(f) \\ 0 & f(x) \end{pmatrix}$$

where  $x \in M(A)$  and  $\delta$  is a bounded point derivation at  $x$ .

**PROPOSITION 6.3.** *Suppose  $\Phi$  is a unital bounded homomorphism of  $A$  in  $L(H)$  and  $\dim H = 2$ .*

1. *When  $\Phi = \Phi_1$ ,  $\Phi$  is a  $\rho$ -contractive homomorphism if and only if*

$$(1 + |c|^2)|\rho\zeta(f(x) - f(y))|^2 \\ \leq |\{\rho + (1 - \rho)\overline{f(x)\zeta}\}\{\rho + (1 - \rho)f(y)\zeta\} - \overline{f(x)f(y)}\zeta|^2$$

*for any  $f \in A$  with  $\|f\|_\infty \leq 1$  and any  $\zeta \in D$ .*

2. *When  $\Phi = \Phi_2$ ,  $\Phi$  is a  $\rho$ -contractive homomorphism if and only if*

$$|c|^2|\delta(f)|^2 \\ \leq (\rho - 2)|f(x)|^2 + 2(1 - \rho)|f(x)| + \rho$$

*for any  $f \in A$  with  $\|f\|_\infty \leq 1$ .*

**PROOF.** The author and Okubo [15] gave a necessary and sufficient condition for that a triangle  $2 \times 2$  matrix is of class  $C_\rho$ . By [15, Theorem]  $\Phi_1(f)$  is of class  $C_\rho$  if and only if

$$|c|^2|f(x) - f(y)|^2 + |f(x) - f(y)|^2 \\ \leq \inf_{\zeta \in D} \left| \frac{\{\rho + (1 - \rho)\overline{f(x)\zeta}\}\{\rho + (1 - \rho)f(y)\zeta\} - \overline{f(x)f(y)}\zeta|^2}{\rho\zeta} \right|^2$$

and by [15, Remark]  $\Phi_2(f)$  is of class  $C_\rho$  if and only if

$$|c|^2|\delta(f)|^2 \leq (\rho - 2)|f(x)|^2 + 2(1 - \rho)|f(x)| + \rho.$$

$\square$

In Proposition 6.3, suppose  $\rho = 1$ .  $\Phi = \Phi_1$  is a 1-contractive homomorphism if and only if  $|c|^2 \leq (1 - |f(x)|^2)(1 - |f(y)|^2)/|f(x) - f(y)|^2$  for any  $f \in A$  with  $\|f\|_\infty \leq 1$ . This implies [9, Theorem 1.1].  $\Phi = \Phi_2$  is a 1-contractive homomorphism if and only if  $|c|^2 \leq (1 - |f(x)|^2)/|\delta(f)|^2$  for any  $f \in A$  with  $\|f\|_\infty \leq 1$ . Suppose  $\rho = 2$ .  $\Phi = \Phi_1$  is a 2-contractive homomorphism if and only if  $1 + |c|^2 \leq$

$\inf_{\zeta \in D} \left| \frac{2 - (\overline{f(x)}\zeta + f(x)\bar{\zeta})}{\zeta(f(x) - f(y))} \right|^2$  for any  $f \in A$  with  $\|f\|_\infty \leq 1$ .  $\Phi = \Phi_2$  is a 2-contractive homomorphism if and only if  $|c|^2 \leq 2(1 - |f(x)|)/|\delta(f)|^2$  for any  $f \in A$  with  $\|f\|_\infty \leq 1$ .

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