



Title	Functions in $N_+$ with the positive real parts on the boundary
Author(s)	Nakazi, T.
Citation	Hokkaido University Preprint Series in Mathematics, 486, 1-21
Issue Date	2000-7-1
DOI	10.14943/83632
Doc URL	<a href="http://hdl.handle.net/2115/69236">http://hdl.handle.net/2115/69236</a>
Type	bulletin (article)
File Information	pre486.pdf



[Instructions for use](#)

**Functions In  $N_+$  With The Positive Real Parts  
On The Boundary,  
And Extremal Problems In  $H^1$**

Takahiko Nakazi

Series #486. July 2000

**HOKKAIDO UNIVERSITY**  
**PREPRINT SERIES IN MATHEMATICS**

- #462 T. Nakazi, Essential norms of some singular integral operators, 6 pages, 1999.
- #463 R. Agemi, Global existence of nonlinear elastic waves, 57 pages, 1999.
- #464 T. Mikami, Dynamical systems in the variational formulation of the Fokker-Plank equation by the Wasserstein metric, 52 pages. 1999.
- #465 N. Kawazumi and Y. Shibukawa, The meromorphic solutions of the Bruschi-Calogero equation, 20 pages. 1999.
- #466 S. Izumiya, G. Kossioris and G. Makrakis, Multivalued solutions to the eikonal equation in stratified media, 24 pages. 1999.
- #467 K. Kubota and K. Yokoyama, Global existence of classical solutions to systems of nonlinear wave equations with different speed of propagation, 105 pages. 1999.
- #468 A. Higuchi, K. Matsue and T. Tsujishita, Deductive hyperdigraphs – a method of describing diversity of coherences, 35 pages. 1999.
- #469 M. Nakamura and T. Ozawa, Small solutions to nonlinear Schrödinger equations in the Sobolev spaces, 26 pages. 1999.
- #470 M. Nakamura and T. Ozawa, Small solutions to nonlinear wave equations in the Sobolev spaces, 27 pages. 1999.
- #471 K. Ito and Y. Kohsaka, Stability of a stationary solution for evolving boundaries of symmetric three-phases driven by surface diffusion, 36 pages. 1999.
- #472 Y. Giga and M.-H. Sato, A level set approach to semicontinuous viscosity solutions for Cauchy problems, 32 pages. 1999.
- #473 R. Yoneda, Characterization of Bloch space and Besov spaces by oscillations, 31 pages. 1999.
- #474 T. Nakazi, Norm inequalities for some singular integral operators, 13 pages. 1999.
- #475 A. Inoue and Y. Kasahara, Asymptotics for prediction errors of stationary processes with reflection positivity, 15 pages. 1999.
- #476 T. Sano, On affine parallels of generic plane curves, 8 pages. 1999.
- #477 T. Nakazi, On an invariant subspace whose common zero set is the zeros of some function, 11 pages. 1999.
- #478 M.-H. Giga and Y. Giga, Generalized motion by nonlocal curvature in the plane, 68 pages. 2000.
- #479 M.-H. Giga and Y. Giga, Crystalline and level set flow – Convergence of a crystalline algorithm for a general anisotropic curvature flow in the plane, 16 pages. 2000.
- #480 A. Arai and M. Hirokawa, Stability of ground states in sectors and its application to the Wigner-Weisskopf model, 16 pages. 2000.
- #481 T. Nakazi, Two dimensional  $Q$ -algebras, 11 pages. 2000.
- #482 N. H. Bingham and A. Inoue, Tauberian and Mercerian theorems for systems of kernels, 16 pages. 2000.
- #483 N. H. Bingham and A. Inoue, Abelian, Tauberian and Mercerian theorems for arithmetic sums, 29 pages. 2000.
- #484 I. A. Bogaevski and G. Ishikawa, Lagrange mappings of the first open Whitney umbrella, 22 pages. 2000.
- #485 A. Arai and H. Kawano, A class of deformations of the Schrödinger representation of the Heisenberg commutation relation and exact solution to a Heisenberg equation and a Schrödinger equation, 22 pages. 2000.

Functions In  $N_+$  With The Positive Real Parts On The Boundary,  
And  
Extremal Problems In  $H^1$

by

Takahiko Nakazi\*

\*This research was partially supported by Grant-in-Aid for Scientific Research,  
Ministry of Education

1991 Mathematics Subject Classification : Primary 30 D 55, 47 B 35 ; Secondary  
46 J 15

Key words and phrases : Hardy space, exposed point, extremal problem, existence  
of solutions, Smirnov class, outer function, positive real part

Abstract. An essentially bounded function  $\phi$  on the unit circle gives a continuous linear functional  $T_\phi$  on the Hardy space  $H^1$ .  $\rho(\phi)$  denotes a set of all complex numbers  $s$  such that there exists at least one function which attains the norm of  $T_{\phi-s}$ . In a previous paper, we showed that  $\mathbf{C} \setminus \overline{\rho(\phi)}$  is empty or an open disc. Unfortunately we did not know when  $\rho(\phi)$  is open or closed. In this paper, we study when  $\rho(\phi)$  is open or closed. Moreover the functions in the Smirnov class  $N_+$  whose real parts are nonnegative on the unit circle are described and studied. Then we give new characterizations of exposed points in the unit ball of  $H^1$  and we determine when the sum of two inner functions is outer. As an result, we can describe all functions which have their Denjoy-Wolff points on the unit circle.

## §1. Introduction

Let  $D$  be the open unit disc in the complex plane and let  $\partial D$  be the boundary of  $D$ . An analytic function in  $D$  is said to be of class  $N$  if the integrals  $\int_{-\pi}^{\pi} \log^+ |f(re^{i\theta})| d\theta$  are bounded for  $r < 1$ . If  $f$  is in  $N$ , then  $f(e^{i\theta})$ , which we define to be  $\lim_{r \rightarrow 1} f(re^{i\theta})$ , exists almost everywhere on  $\partial D$ . If

$$\lim_{r \rightarrow 1} \int_{-\pi}^{\pi} \log^+ |f(re^{i\theta})| d\theta = \int_{-\pi}^{\pi} \log^+ |f(e^{i\theta})| d\theta$$

then  $f$  is said to be of class  $N_+$ . The set of all boundary functions in  $N_+$  is denoted by  $N_+$ . For  $0 < p \leq \infty$ , the Hardy space  $H^p$ , is defined by  $N_+ \cap L^p$ .

For  $\phi$  in  $L^\infty$ , we denote by  $T_\phi$  the corresponding functional defined on  $H^1$ , that is,

$$T_\phi(f) = \int_{-\pi}^{\pi} f(e^{i\theta}) \phi(e^{i\theta}) d\theta / 2\pi.$$

Let  $S_\phi$  be the set of functions in  $H^1$  which satisfy  $T_\phi(f) = \|T_\phi\|$  and  $\|f\|_1 \leq 1$ . For each positive integer  $n$ ,  $\rho_n(\phi)$  denotes the set of all complex numbers  $s$  for which the dimension of  $\langle S_{\phi-s} \rangle$ , the linear span of  $S_{\phi-s}$ , is  $n$ . Put

$$\rho(\phi) = \bigcup_{n=1}^{\infty} \rho_n(\phi);$$

then  $\rho(\phi)$  is the set of all complex numbers  $s$  for which  $S_{\phi-s}$  is nonempty. In the previous paper [7], the author used the set  $E(\phi)$  in order to describe  $\rho(\phi)$ , where

$$E(\phi) = \{f(0) : \|\phi - f\|_\infty = \|\phi + H^\infty\| \text{ and } f \in H^\infty\}.$$

In fact he showed that  $\mathbf{C} \setminus E(\phi) \subseteq \rho(\phi)$ . Hence if  $E(\phi) = \{s\}$  for some complex number  $s$  then  $\rho(\phi) = \mathbf{C}$  or  $\rho(\phi) = \mathbf{C} \setminus \{s\}$ . In this paper we show the converse. In the previous paper [7], the author did not consider  $\rho_n(\phi)$ . In this paper we show that  $\rho(\phi) = \rho_1(\phi) \cup \rho_n(\phi)$  for some  $n$  with  $2 \leq n \leq \infty$  when  $\bigcup_{n=2}^{\infty} \rho_n(\phi) \neq \emptyset$ . In the previous paper [7], the author showed that

$$\mathbf{C} \setminus E(\phi) \subseteq \rho(\phi) \subseteq \mathbf{C} \setminus E(\phi)^\circ$$

when  $\rho(\phi) \neq \mathbf{C}$ ; here  $E(\phi)^\circ$  denotes the interior of  $E(\phi)$ . In this paper we show that  $\rho(\phi) = \mathbf{C} \setminus E(\phi)^\circ$  under a certain condition on  $\phi$ . This depends on a well known theorem of Adamyan, Arov and Krein (cf. Theorem 5.3 in [3, Chapter IV]). Our argument has some connection with a theorem of Sarason in [10]. We give a proof of a weakened version of a Corollary in [10].

There exist a lot of functions in  $N_+$  which are real valued on  $\partial D$ . Moreover  $\bigcap_{p < 1} H^p$  has nonconstant functions that are real valued on  $\partial D$ . On the other hand, the Hardy space  $H^1$  does not contain such a function. Put  $\text{Arg}N_+ = \{f/|f| : f \text{ is a nonzero}$

function in  $N_+$  ; it is not difficult to see that  $\text{Arg}N_+$  is just the set of all unimodular functions in  $L^\infty$ . In this paper, we are interested in

$$\text{Arg}H^1 = \{f/|f| : f \text{ is a nonzero function in } H^1\}.$$

If  $k$  is a nonnegative nonzero function in  $N_+$ ,  $f$  is a nonzero function in  $H^1$  and  $g = kf$ , then  $g/|g|$  belongs to  $\text{Arg}H^1$ .

We call  $q$  in  $N_+$  an inner function if  $|q| = 1$  a.e. on  $\partial D$ . A function  $g$  in  $N_+$  is called outer if it is not divisible in  $N_+$  by a nonconstant inner function. When  $g$  is in  $H^1$ ,  $g$  is outer if and only if  $f/g$  belongs to  $H^\infty$  whenever  $|f| = |g|$  a.e. on  $\partial D$  and  $f$  is a nonzero function in  $H^1$ . Then  $f = qg$  for some inner function  $q$ . A function  $g$  in  $H^1$  is called strongly outer if and only if  $f/g$  belongs to  $H^\infty$  whenever  $\frac{f}{|f|} = \frac{g}{|g|}$  a.e. on  $\partial D$  and  $f$  is a nonzero function in  $H^1$ . Hence then  $f = ag$  for some positive constant  $a$ . It is easy to see that if  $g$  is strongly outer then it is outer. If  $g$  has norm 1,  $g$  is outer if and only if  $g$  is an extreme point in the unit ball in  $H^1$ , and  $g$  is strongly outer if and only if  $g$  is an exposed point in the unit ball.

For a function  $F$  in  $H^1$ ,

$$f(z) = \frac{1}{2\pi} \int_{-\pi}^{\pi} \frac{e^{it} + z}{e^{it} - z} |F(e^{it})| dt \quad (z \in D)$$

is called the Herglotz integral of  $|F|$ . Then  $f$  is an outer function in  $N_+$  and  $\text{Re}f = |F|$  a.e. on  $\partial D$ . Put

$$L_+^1 = \{\text{Re}f : f \text{ is the Helglotz integral of } |F| \text{ and } F \in H^1\}$$

and

$$L_+ = \{\text{Re}f : f \text{ is outer in } N_+ \text{ and } \text{Re}f \geq 0 \text{ a.e. on } \partial D\}.$$

Then  $L_+^1 \subsetneq L_+$  and  $L_+^1 = \{u \in L^1 : u \geq 0 \text{ a.e. on } \partial D \text{ and } \log u \in L^1\}$ .

In this paper, we show the following : For an outer function  $G$  in  $N_+$ ,  $G/|G| \in \text{Arg}H^1$  if and only if  $|G| \in L_+$ . For a nonzero function  $F$  in  $H^1$ ,  $F$  is strongly outer if and only if  $|F/(1+q)^2| \notin L_+$  for any nonconstant inner function  $q$ . Sarason [10] conjectured that  $F$  is strongly outer if and only if  $|F/(1+q)^2| \notin L_+^1$  for any nonconstant inner function  $q$ . However Inoue [5] gave a counterexample for this conjecture. Thus our result seems to be interesting. A function whose real part is positive on  $D$  is in  $\cap_{p < 1} H^p$  and is an outer function. Such functions are very important and well understood. For example, they are Herglotz integrals of positive measures. On the other hand, a function in  $N_+$  whose real part is nonnegative on  $\partial D$  is not necessarily outer. Such functions also seems to be important. In this paper, we study them. We show that if  $f$  is a nonzero function in  $N_+$  with  $\text{Re}f \geq 0$  on  $\partial D$  then  $f = (q+k)/(q-k)$  where  $q$  is inner,  $k$  is a contractive function in  $H^\infty$  and  $q-k$  is outer. Helson [4] proved this when  $\text{Re}f = 0$  on  $\partial D$ . Moreover we determine when  $q-k$  is outer, using the parametrization theorem of Adamyan, Arov and Krein (cf. Theorem 5.3 [3, Chapter IV]). Sarason [11] considered the case where  $q = z$

and  $k$  is inner using different methods. As a by-product, we describe all functions whose Denjoy-Wolff points are on  $\partial D$ .

Throughout this paper, for a function  $F$  in  $H^1$ , we write the Herglotz integral  $f$  of  $|F|$  in the form :

$$f(z) = \frac{1 + Q_F(z)}{1 - Q_F(z)} \quad (z \in D)$$

where  $Q_F$  is a function in  $H^\infty$  with  $\|Q_F\|_\infty \leq 1$ .

## §2. $\rho(\phi) = \mathbf{C}$

In the previous paper [7], the following were proved :

(1) If  $\|\phi + H^\infty\| > \|\phi + H^\infty + \mathbf{C}\|$  then  $\rho(\phi) = \mathbf{C}$  and hence if  $\phi$  is a function in  $H^\infty + C$  then  $\rho(\phi) = \mathbf{C}$ . Here  $C$  is the set of all continuous functions on  $\partial D$ .

(2) If  $S_\phi$  contains at least two functions then  $\rho(\phi) = \mathbf{C}$ .

In this section, we study the set  $\rho(\phi) = \bigcup_{n=1}^{\infty} \rho_n(\phi)$  in detail.

**Theorem 1.** *Let  $\phi$  be a function in  $L^\infty$ . Then,  $\rho(\phi) = \mathbf{C}$  or  $\mathbf{C} \setminus \{s\}$  if and only if  $E(\phi) = \{s\}$ , where  $s$  is in  $\mathbf{C}$ .*

*Proof.* The 'if' part is known in the previous paper [7, (3) of Theorem 3]. We will prove the 'only if' part. Suppose  $\rho(\phi) = \mathbf{C}$ . If  $\phi \in H^\infty$  then  $E(\phi) = \{\phi(0)\}$  clearly and so we may assume that  $\|\phi + H^\infty\| = 1$ . If  $\|\phi - F\|_\infty = 1$  and  $F \in H^\infty$  then  $F = F(0) + zk$  and  $k \in H^\infty$ . Put  $s = F(0)$ , then  $\|\phi - s + zH^\infty\| = 1$  and  $S_{\phi-s}$  is not empty because  $\rho(\phi) = \mathbf{C}$ . Hence  $\phi - F$  is an extremal kernel of  $T_{\phi-s}$  [2, p132] and so

$$\phi - F = \phi - s - zk = \frac{|f|}{f}$$

for some nonzero function  $f$  in  $H^1$  [2, p133]. Then

$$E(\phi) - s = E(\phi - s) = E\left(\frac{|f|}{f}\right).$$

Suppose  $E(\phi)^\circ \neq \emptyset$  and so  $E\left(\frac{|f|}{f}\right)^\circ \neq \emptyset$ . Then we may assume that  $s$  belongs to  $E(\phi)^\circ$  and so  $0 \in E\left(\frac{|f|}{f}\right)^\circ$ . Since  $E\left(\frac{|f|}{f}\right)^\circ \neq \emptyset$ , there exists a nonzero complex number  $t \in E\left(\frac{|f|}{f}\right)^\circ$  such that  $\left\|\frac{|f|}{f} - t - zh\right\|_\infty = 1$  for some  $h \in H^\infty$ . Since  $t + zh \neq 0$ ,  $f^{-1}$



belongs to  $H^1$  by Lemma 5.4 in [3, Chapter IV]. We may assume that  $\|f^{-1}\|_1 = 1$ . Since  $\left\| \frac{|f|}{f} + H^\infty \right\| = \|\phi + H^\infty\| = 1$ ,  $|f|/f = |f^{-1}|/f^{-1}$  and  $f^{-1}$  is an exposed point of the unit ball in  $H^1$ , by Lemma 5 in [7]

$$E\left(\frac{|f|}{f}\right) = \{z \in \mathbf{C} : |z - f^{-1}(0)| \leq |f^{-1}(0)|\}.$$

This implies that 0 does not belong to  $E\left(\frac{|f|}{f}\right)^\circ$ . This contradiction shows that  $E(\phi)^\circ = \emptyset$ . By Proposition 6 in [7], if  $E(\phi)$  is not a single point then  $E(\phi)$  is a closed disc and so  $E^\circ(\phi)$  is not empty. This implies that  $E(\phi) = \{s\}$  for some  $s \in \mathbf{C}$ .

Suppose  $\rho(\phi) = \mathbf{C}/\{s\}$ . If  $s \neq F(0) = s'$  in the proof above, then we can show  $E(\phi) = \{s'\}$  as when  $\rho(\phi) = \mathbf{C}$ . By the 'if' part,  $\rho(\phi) = \mathbf{C} \setminus \{s'\}$  because  $\rho(\phi) \neq \mathbf{C}$ . This contradicts that  $\rho(\phi) = \mathbf{C} \setminus \{s\}$  because  $s \neq s'$ . This contradiction implies that  $E(\phi) = \{s\}$ .

**Theorem 2.** Let  $\phi$  be a function in  $L^\infty$ . If  $\bigcup_{n=2}^{\infty} \rho_n(\phi) = \emptyset$  then  $\rho(\phi) = \rho_1(\phi)$ . If

$\bigcup_{n=2}^{\infty} \rho_n(\phi) \neq \emptyset$  then the following (1) ~ (3) are valid.

(1)  $\rho(\phi) = \mathbf{C}$ .

(2)  $\bigcup_{n=2}^{\infty} \rho_n(\phi) = E(\phi) = \{s\}$  for some  $s$  in  $\mathbf{C}$  and  $\rho_1(\phi) = \mathbf{C} \setminus \{s\}$ .

(3)  $\rho(\phi) = \rho_1(\phi) \cup \rho_n(\phi)$  for some  $n$  with  $2 \leq n \leq \infty$ .

Proof. By definition  $\rho(\phi) = \rho_1(\phi)$  if  $\bigcup_{n=2}^{\infty} \rho_n(\phi) = \emptyset$ . Suppose  $\bigcup_{n=2}^{\infty} \rho_n(\phi) \neq \emptyset$ . (1) was proved in the previous paper [7, Theorem 4]. (2) (1) and Theorem 1 imply that  $E(\phi) = \{s\}$ . If  $t \neq s$  then  $t \in \mathbf{C} \setminus E(\phi)$  and so by definition of  $E(\phi)$  there exists  $k \in H^\infty$  such that  $\|\phi - t + zH^\infty\| = \|\phi - t + zk\|_\infty$  and  $\|\phi - t + zk\|_\infty > \|\phi + H^\infty\|$ . If  $t \in \bigcup_{n=2}^{\infty} \rho_n(\phi)$  then  $S_{\phi-t}$  is not a single point and so by Theorem 9 in [1],  $S_{\phi-t} \ni zh$  for some  $h \in H^1$ . Hence  $\|T_{\phi-t}\| = \|T_{z(\phi-t)}\|$  and so  $\|\phi - t + zH^\infty\| = \|\phi - t + H^\infty\|$ . This contradicts that  $\|\phi - t + zk\|_\infty > \|\phi + H^\infty\|$ . Thus  $t \notin \bigcup_{n=2}^{\infty} \rho_n(\phi)$  and  $t \in \rho_1(\phi)$ . This implies that

$\rho_1(\phi) \supseteq \mathbf{C} \setminus E(\phi) = \mathbf{C} \setminus \{s\}$  and  $\bigcup_{n=2}^{\infty} \rho_n(\phi) = \{s\}$ . Now (2) follows. (3) is clear by (2).

§3.  $\rho(\phi) \neq \mathbf{C}$

In the previous paper [7], the following were proved :

(1) If  $\rho(\phi) = \mathbf{C}$  then  $\|\phi + H^\infty\| = \|\phi + H^\infty + C\|$ .

(2) If  $\rho(\phi) \neq \mathbf{C}$  then  $\mathbf{C} \setminus E(\phi) \subseteq \rho(\phi) \subseteq \mathbf{C} \setminus E(\phi)^\circ$ .

In this section, we study  $E(\phi)$  in detail and using it we show that  $\rho(\phi) = \mathbf{C} \setminus E(\phi)$  for some special  $\phi$  with  $\rho(\phi) \neq \mathbf{C}$ . If  $E(\phi)$  is a single point we need to study nothing. Suppose  $E(\phi)$  is not a single point. Then there exists an exposed point  $f$  in the unit ball of  $H^1$  such that

$$\frac{f}{|f|} \in \frac{\phi}{a} + H^\infty$$

where  $a = \|\phi + H^\infty\|$  (see Theorem 5.3 in [3, Chapter IV]). Then  $\left\| \frac{f}{|f|} + H^\infty \right\| = 1$ ,  $E(\phi) = aE\left(\frac{f}{|f|}\right) + b$  and  $\rho(\phi) = a\rho\left(\frac{f}{|f|}\right) + b$  for some complex number  $b$ . The following Proposition 3 is an easy result of known deep results.

**Proposition 3.** *Let  $\phi$  be a unimodular function in  $L^\infty$ . Then the following (1) ~ (3) are equivalent.*

(1)  $\phi = \frac{f}{|f|}$  for some nonzero function  $f$  in  $H^1$ .

(2) There exists a nonzero function  $g$  in  $H^\infty$  such that  $\|\phi + g\|_\infty \leq 1$ .

(3)  $E(\phi) \neq \{0\}$ .

Proof. (1)  $\Rightarrow$  (2) is a result of Lemma 5.5 in [3, Chapter IV]. (2)  $\Rightarrow$  (1) is a result of Lemma 5.4 in [3, Chapter IV]. (2)  $\Rightarrow$  (3) is a result of Lemma 5.5 in [3, Chapter IV]. (3)  $\Rightarrow$  (2) is clear.

**Proposition 4.** *Suppose  $\phi = f/|f|$  for some exposed point  $f$  in the unit ball of  $H^1$  and  $\|\phi + H^\infty\| = 1$ . Let  $(1 + Q_f)/(1 - Q_f)$  be the Herglotz integral of  $|f|$ . Then the following (1) ~ (3) are true.*

(1)  $E(\phi) = \{z : |z - f(0)| \leq |f(0)|\}$ .

(2) If  $s \in E(\phi)$  then there exist an inner function  $q$  and an outer function  $g$  in  $H^\infty$  such that

$$\phi - g = q \frac{f}{|f|} \frac{1 - Q}{1 - \bar{Q}} \frac{1 - \bar{Q}\bar{q}}{1 - Qq}$$

and  $g(0) = f(0)(1 - q(0)) = s$  where  $Q = Q_f$ . Moreover there exists an outer function  $F_s$  in  $H^1$  with  $\phi - g = F_s/|F_s|$ .

(3) In (2), if  $s \in \partial E(\phi)$  then  $q$  is just constant. The converse is also valid.

Proof. (1) This is known from [7]. We give a proof for completeness. Let  $K_\phi = \{g \in H^\infty : \|\phi - g\|_\infty \leq 1\}$  and  $K_\phi(0) = \{g(0) : g \in K_\phi\}$ . Since  $\|\phi + H^\infty\| = 1$ ,  $K_\phi(0) = E(\phi)$ . Since  $\|f\|_1 = 1$ ,  $Q_f(0) = 0$  and so by Lemma 4 in [7]  $K_\phi(0) =$

$\{f(0)(1 - w(0)) : w \in H^\infty \text{ and } \|w\|_\infty \leq 1\}$ . This implies (1) (see Lemma 5 in [7]). (2) If  $s \in E(\phi)$  then by definition there exist a nonzero function  $g$  in  $H^\infty$  such that  $\|\phi - g\|_\infty \leq 1$  and  $g(0) = s$ . Then by Theorem 5.3 in [3, Chapter IV]

$$g = \frac{f(1 - Q)(1 - w)}{1 - Qw}$$

where  $w \in H^\infty$  with  $\|w\|_\infty \leq 1$  and  $Q = Q_f$ . Then  $g$  is an outer function in  $H^\infty$ . Since  $Q_f(0) = 0$ ,  $g(0) = f(0)(1 - w(0))$ . Since there exists an inner function  $q$  such that  $q(0) = w(0)$ , we may assume  $w = q$ . Hence

$$\begin{aligned} \phi - g &= \frac{f}{|f|} - f \frac{(1 - Q)(1 - q)}{1 - Qq} \\ &= \frac{f}{|f|} \left( 1 - \frac{1 - |Q|^2}{|1 - Q|^2} \frac{(1 - Q)(1 - q)}{1 - Qq} \right) \\ &= \frac{f}{|f|} \frac{1 - Q}{1 - \bar{Q}} \frac{q - \bar{Q}}{1 - Qq} \end{aligned}$$

because  $|f| = (1 - |Q|^2)/|1 - Q|^2$ . By Lemma 5.4 in [7, Chapter IV], there exists an outer function  $F_s$  in  $H^1$  such that  $\phi - g = F_s/|F_s|$ . (3) If  $s \in \partial E(\phi)$  then  $|s - f(0)| = |f(0)|$  by (1) and hence  $|f(0)q(0)| = |f(0)|$ . Therefore  $q$  is constant.

**Theorem 5.** *Suppose  $\phi = f/|f|$  for some exposed point  $f$  in the unit ball of  $H^1$ ,  $\|\phi + H^\infty\| = 1$  and  $\rho(\phi) \neq \mathbf{C}$ . Let  $(1 + Q_f)/(1 - Q_f)$  be the Herglotz integral of  $|f|$ . If  $(1 - |Q_f|^2)^{-1}$  is in  $L^1$ , then  $\rho(\phi) = \mathbf{C} \setminus E(\phi)^\circ$  and  $f^{-1}$  belongs to  $H^1$ .*

*Proof.* Since  $\mathbf{C} \setminus E(\phi) \subseteq \rho(\phi) \subseteq \mathbf{C} \setminus E(\phi)^\circ$  by [7], it is sufficient to show that if  $s \in \partial E(\phi)$  then  $s$  belongs to  $\rho(\phi)$ . If  $s \in \partial E(\phi)$  then by Proposition 4 there exist an outer function  $g$ , a constant  $e^{it}$  and an outer function  $F_s$  in  $H^1$  such that  $\frac{f}{|f|} - g = \frac{F_s}{|F_s|}$  and  $g(0) = f(0)(1 - e^{it}) = s$ . Then  $\rho\left(\frac{f}{|f|}\right) - s = \rho\left(\frac{F_s}{|F_s|}\right)$ . In order to show that  $s \in \rho(\phi)$ , it is sufficient to show that  $0 \in \rho\left(\frac{F_s}{|F_s|}\right)$ . We will write  $Q = Q_f$ . If  $(1 - |Q|^2)^{-1} \in L^1$  then there exists an outer function  $P$  such that  $|P|^2 + |Q|^2 = 1$ . Put

$$h = \frac{P}{1 - Q} \quad \text{and} \quad h_t = \frac{P}{1 - e^{it}Q};$$

then  $|h|^2 = (1 - |Q|^2)/|1 - Q|^2 = |f|$  and  $|h_t|^2 = (1 - |Q|^2)/|1 - e^{it}Q|^2$ . Then  $h_t$  is a function in  $H^2$  [10]. Hence we may assume that  $f = h^2$  because  $h$  and  $f$  are outer (Change  $P$  to  $e^{it}P$  if necessary). Therefore by Proposition 4

$$\begin{aligned} \frac{h_t^2}{|h_t|^2} &= \frac{P}{\bar{P}} \frac{1 - e^{-it}\bar{Q}}{1 - e^{it}Q} \\ &= \frac{f}{|f|} \frac{1 - Q}{1 - \bar{Q}} \frac{1 - e^{-it}\bar{Q}}{1 - e^{it}Q} = e^{-it} \frac{F_s}{|F_s|} \end{aligned}$$

because

$$\frac{f}{|f|} = \frac{h^2}{|h|^2} = \frac{P}{\bar{P}} \frac{1 - \bar{Q}}{1 - Q}.$$

If  $(1 - |Q|^2)^{-1} \in L^1$  then  $P^{-1} \in H^2$  and so  $Q/P$  belongs to  $H^2$  because  $Q$  is in  $H^\infty$ . Hence  $h_t^{-1}$  belongs to  $H^2$  because  $h_t^{-1} = P^{-1} - e^{it}Q/P$ . Now this implies that  $F_s^{-1}$  belongs to  $H^1$  because  $h_t^2/|h_t|^2 = e^{-it}F_s/|F_s|$ . This is equivalent to that  $0 \in \rho\left(\frac{F_s}{|F_s|}\right)$ .

#### §4. Argument

If  $f$  is a nonzero function in  $H^1$  and  $f = qh$  where  $q$  is inner and  $h$  is outer, then  $g = (1 + q)^2h$  is outer and  $f/|f| = g/|g|$  a.e. on  $\partial D$ . Hence  $\text{Arg}H^1 = \{f/|f|; f \text{ is outer in } H^1\}$ . It is interesting to note that  $\text{Arg}N_+$  is a very big set. In fact,  $\text{Arg}N_+$  is the set of all unimodular functions in  $L^\infty$ . For, by a theorem of Douglas and Rudin (cf. Theorem 2.1 in [3, Chapter V]), if  $\phi$  is a unimodular function in  $L^\infty$  there exist inner functions  $q_1$  and  $q_2$  such that  $\|\phi - \bar{q}_1q_2\|_\infty < 1$ . and so  $\|q_1\phi - q_2\|_\infty < 1$ . Hence by Lemma 5.4 in [3, Chapter IV] there exists an outer function  $f$  such that  $q_1\phi = f/|f|$  on  $\partial D$ . Therefore

$$\phi = \bar{q}_1 \frac{f}{|f|} = \frac{F}{|F|}$$

where  $F = f/(1 + q_1)^2$ . This implies that  $\phi$  belongs to  $\text{Arg}N_+$ .

**Lemma 1.** *Let  $G$  and  $F$  be outer functions in  $N_+$  and  $G/|G| = F/|F|$  on  $\partial D$ . If there exists an outer function  $f$  in  $N_+$  with  $|F| = \text{Re}f$  on  $\partial D$ , then there exists an outer function  $g$  in  $N_+$  with  $|G| = \text{Re}g$  on  $\partial D$ . Moreover  $G/g = F/f$  and  $g/f \geq 0$  on  $\partial D$ .*

*Proof.* If  $|F| = \text{Re}f$  on  $\partial D$  and  $f$  is outer, then put  $k = 2F/f$  on  $\partial D$ . Then  $k$  belongs to  $H^\infty$  and

$$\begin{aligned} \left| \frac{F}{|F|} - k \right|^2 &= \left| \frac{F}{|F|} - \frac{2F}{f} \right|^2 \\ &= 1 - 4\text{Re} \frac{\bar{F}}{|F|} \frac{F}{f} + 4 \frac{|F|^2}{|f|^2} = 1 - 4 \left( \text{Re} \frac{|F|}{f} - \frac{|F|^2}{|f|^2} \right) \\ &= 1 - 4 \frac{|F|}{|f|^2} (\text{Re}f - |F|) = 1. \end{aligned}$$

If  $G/|G| = F/|F|$  on  $\partial D$ , then  $\left| \frac{G}{|G|} - k \right| = 1$  where  $k = 2F/f$ . Hence  $1 - 2\operatorname{Re} \frac{\bar{G}}{|G|} k + |k|^2 = 1$  and so  $|k|^2 = 2\operatorname{Re} \frac{\bar{G}}{|G|} k$ . Therefore

$$|G| = 2\operatorname{Re} \frac{\bar{G}k}{|k|^2} = 2\operatorname{Re} \frac{\bar{G}}{k} = \operatorname{Re} \frac{2G}{k}.$$

Put  $g = 2G/k$  then  $g$  is outer because  $k = 2F/f$  is outer. Moreover  $G/g = F/f$  and  $g/f \geq 0$  on  $\partial D$ .

**Lemma 2.** *If  $G$  is an outer function in  $N_+$  and there exists an outer function  $g$  in  $N_+$  with  $|G| = \operatorname{Re} g$  on  $\partial D$ , then there exists an outer function  $F$  in  $H^1$  such that  $G/|G| = F/|F|$  on  $\partial D$ .*

*Proof.* By the proof of Lemma 1,  $\left| \frac{G}{|G|} - \frac{2G}{g} \right| = 1$  on  $\partial D$  and  $2G/g$  is a nonzero function in  $H^\infty$ . Hence by the proof of Lemma 5.4 in [3, Chapter IV] there exists an outer function  $F$  in  $H^1$  such that  $G/|G| = F/|F|$  on  $\partial D$ .

**Theorem 6.** *Let  $G$  be an outer function in  $N_+$ . There exists an outer function  $F$  in  $H^1$  such that*

$$\frac{G}{|G|} = \frac{F}{|F|} \quad \text{a.e. on } \partial D$$

*if and only if there exists an outer function  $g$  in  $N_+$  with  $|G| = \operatorname{Re} g$  a.e. on  $\partial D$ . Moreover then  $G/g = F/f$  a.e. on  $\partial D$  where  $f$  is an outer function with  $|F| = \operatorname{Re} f$  a.e. on  $\partial D$ .*

*Proof.* The 'if' part follows from Lemma 2. For the 'only if' part, let  $f$  be the Herglotz integral of  $|F|$ ; then  $|F| = \operatorname{Re} f$  on  $\partial D$  and  $f$  is outer in  $N_+$ . By Lemma 1, there exists an outer function  $g$  in  $N_+$  with  $|G| = \operatorname{Re} g$  on  $\partial D$ .

## §5. Strongly outer functions

Several characterizations of strongly outer functions are known. When  $g$  is an outer function in  $H^1$ , the author [8] showed the following are equivalent :

- (1)  $g$  is a strongly outer function.
- (2) If  $f$  is an outer function in  $N_+$  and  $|g| \leq \operatorname{Re} f$  a.e. on  $\partial D$ , then  $|g| \leq \operatorname{Re} f$  on  $D$ .
- (3) If  $f$  is an outer function in  $N_+$  and  $|g| \leq \operatorname{Re} f$  a.e. on  $\partial D$ , then  $|g(0)| \leq (\operatorname{Re} f)(0)$ .

In this section, we give some new characterizations of strongly outer functions which are related to the ones above.

**Lemma 3.** *Suppose  $f$  and  $g$  are functions in  $N_+$  whose real parts are positive on  $D$ . If  $f/g$  is nonnegative a.e. on  $\partial D$ , then  $g$  is a scalar multiple of  $f$ .*

*Proof.* Since  $\operatorname{Re} f$  and  $\operatorname{Re} g$  are positive on  $D$ ,  $\operatorname{Re}(f + g)$  is also positive on  $D$ . Hence  $f + g$  is outer and  $f/(f + g)$  is in  $N_+ \cap L^\infty$ . Then  $f/(f + g)$  is a positive constant because  $N_+ \cap L^\infty = H^\infty$  and  $f/(f + g)$  is nonnegative on  $\partial D$ .

**Theorem 7.** *Let  $F$  be an outer function in  $H^1$ . Then the following (1) ~ (3) are equivalent.*

(1)  $F$  is a strongly outer function.

(2) If  $|F| = \operatorname{Re} f$  a.e. on  $\partial D$  and  $f$  is an outer function in  $N_+$ , then  $\operatorname{Re} f$  is positive on  $D$ .

(3) For each nonconstant inner function  $q$ , there does not exist an outer function  $g$  in  $N_+$  with

$$\left| \frac{F}{(1+q)^2} \right| = \operatorname{Re} g \quad \text{a.e. on } \partial D.$$

*Proof.* (1)  $\Rightarrow$  (2). Let  $f_0$  be the Helgoltz integral of  $|F|$ . If  $|F| = \operatorname{Re} f$  on  $\partial D$ , then by the remark preceding this theorem (see Theorem 6 in [8]),  $|F| \leq \operatorname{Re} f$  on  $D$  because  $F$  is strongly outer. Hence  $\operatorname{Re} f$  is positive on  $D$ . (2)  $\Rightarrow$  (1). If  $F$  is not strongly outer then there exists an outer function  $G$  in  $H^1$  such that  $F/|F| = G/|G|$  and  $G$  is not a positive scalar multiple of  $F$ . Let  $g_0$  be the Helgoltz integral of  $|G|$ . By Lemma 1 there exists an outer function  $f$  such that  $\operatorname{Re} f = |G|$  and  $F/f = G/g_0$ . By the hypothesis on  $F$ ,  $\operatorname{Re} f$  is positive on  $D$ . Now Lemma 3 implies that  $f$  is a positive scalar multiple of  $g_0$  because  $f/g_0$  is nonnegative a.e. on  $\partial D$ . Hence  $G$  is a positive scalar multiple of  $F$  because  $|F| = a|G|$  for some positive constant  $a$ , and both  $F$  and  $G$  are outer. (1)  $\Rightarrow$  (3).

If  $\left| \frac{F}{(1+q)^2} \right| = \operatorname{Re} g$  a.e. on  $\partial D$  for some outer function  $g$ , then by Theorem 6 there exists an outer function  $h$  in  $H^1$  such that

$$\bar{q} \frac{F}{|F|} = \frac{F}{(1+q)^2} \frac{|1+q|^2}{|F|} = \frac{h}{|h|} \quad \text{on } \partial D$$

and so  $F/|F| = qh/|qh|$  on  $\partial D$ . This means that  $F$  is not strongly outer. (3)  $\Rightarrow$  (1). If  $F$  is not strongly outer then there exist a nonconstant inner function  $q$  and an outer function  $G$  in  $H^1$  such that  $\bar{q} \frac{F}{|F|} = \frac{G}{|G|}$  on  $\partial D$ . Put  $B = F/(1+q)^2$ ; then  $B$  is outer and  $B/|B| = G/|G|$ . By Lemma 1 there exists an outer function  $g$  with  $|B| = \operatorname{Re} g$  on  $\partial D$ . This contradicts (3).

**Proposition 8.** *Let  $F$  be an outer function in  $H^1$ . Then the following (1) ~ (3) are equivalent.*

(1)  $F$  is a strongly outer function.

(2) For each nonconstant inner function  $q$ , there does not exist an outer function  $g$  in  $N_+$  with  $\left| \frac{F}{(1+q)^2} \right| \leq \text{Reg}$  a.e. on  $\partial D$ .

(3) If  $g$  is a function in  $H^\infty$  and  $\left| \frac{F}{|F|} - g \right| \leq 1$  a.e. on  $\partial D$ , then  $g$  is an outer function.

Proof. (1)  $\Rightarrow$  (3). Suppose  $F$  is strongly outer. If there exists a nonzero function  $g$  in  $H^\infty$  such that  $\left| \frac{F}{|F|} - g \right| \leq 1$  a.e. on  $\partial D$ , then there exists a measurable function  $\alpha$  on  $\partial D$  such that

$$|\alpha| \leq \pi/2 \text{ a.e. and } \alpha = \arg \frac{|F|}{F} g \pmod{2\pi}.$$

Let  $\phi = e^{\bar{\alpha} - i\alpha}$ ; then  $\phi g$  belongs to  $H^1$  and  $\phi g / F \geq 0$  a.e. on  $\partial D$  (see the proof of Lemma 5.4. in [3, Chapter IV]). Hence  $F = a\phi g$  for some positive constant  $a$  because  $F$  is strongly outer. This implies that  $g$  is outer. (3)  $\Rightarrow$  (1). If  $F$  is not strongly outer, then there exists a nonconstant inner function  $q$  and an outer function  $F_0$  in  $H^1$  such that  $\frac{F}{|F|} = q \frac{F_0}{|F_0|}$  a.e. on  $\partial D$ . Let  $f_0$  be a Helglotz integral of  $|F_0|$ ; then  $|F_0| = \text{Re} f_0$  and

$f_0$  is outer. Let  $g_0 = 2F_0/f_0$ ; then by the proof of Lemma 1  $\left| \frac{F_0}{|F_0|} - g_0 \right| = 1$  and hence

$\left| \frac{F}{|F|} - qg_0 \right| = 1$ . This contradicts (3). (1)  $\Rightarrow$  (2). Let  $F$  be strongly outer. Suppose there exists an outer function  $f$  such that  $|F/(1+q)^2| \leq \text{Re} f$  a.e. on  $\partial D$  for some nonconstant inner function  $q$ . Let  $G = F/(1+q)^2$ ; then by the proof of Lemma 1

$$\left| \frac{G}{|G|} - \frac{2G}{f} \right|^2 = 1 - 4|G||f|^2 (\text{Re} f - |G|) \leq 1.$$

Hence if  $g = 2G/f$  then  $g$  is outer and

$$\left| \frac{F}{|F|} - qg \right| = \left| \frac{G}{|G|} - g \right| \leq 1 \text{ a.e. on } \partial D.$$

This contradicts that  $F$  is strongly outer because (1)  $\Leftrightarrow$  (3). (2)  $\Rightarrow$  (1) is a result of Theorem 7.

## §6. Connection with a theorem of Sarason

Let  $f$  be an exposed point of the unit ball of  $H^1$ . Put  $Q = Q_f$  and let  $P$  be an outer function such that  $|Q|^2 + |P|^2 = 1$ . For a unimodular constant  $\lambda$ , put

$$h_\lambda = \frac{P}{1 - \lambda Q};$$

then  $h_\lambda^2 = f$ . Sarason [10] showed that  $h_\lambda^2$  is an exposed point of the unit ball of  $H^1$ , using operator theory. In this section, we give a proof of a part of the result, using results in the previous sections.

For a function  $f$  in  $H^1$  with  $\|f\|_1 = 1$ , put

$$\phi_\lambda = \frac{f}{|f|} - \frac{f(1-Q)(1-\lambda)}{1-\lambda Q}$$

for each unimodular constant  $\lambda$  where  $Q = Q_f$ . Then

$$\phi_\lambda = \frac{f}{|f|} \cdot \frac{1-Q}{1-\bar{Q}} \cdot \frac{1-\bar{\lambda}\bar{Q}}{1-\lambda Q} \cdot \lambda$$

and so  $|\phi_\lambda| = 1$ . By Lemma 5.4 in [3, Chapter IV],  $\phi_\lambda = f_\lambda/|f_\lambda|$  for some function  $f_\lambda$  in  $H^1$  with  $\|f_\lambda\|_1 = 1$ .

**Proposition 9.** *Let  $\lambda$  be a unimodular constant.*

(1) *If  $f$  is an exposed point of the unit ball of  $H^1$  then  $f_\lambda$  is also an exposed one.*

(2) *If  $f$  is a strongly exposed point of the unit ball of  $H^1$  then  $f_\lambda$  is also a strongly exposed one.*

(3) *If  $(1 - |Q|^2)^{-1}$  is in  $L^1$  then  $f_\lambda^{-1}$  belongs to  $H^1$ .*

Proof. (1) By Lemma 5 in [7],  $K_\phi(0) = \{z : |z - f(0)| \leq |f(0)|\}$  where  $\phi = f/|f|$ .

Since

$$K_{\phi_\lambda}(0) = K_\phi(0) - f(0)(1 - \lambda)$$

$f(0)(1 - \lambda) \in \partial K_\phi(0)$  because  $|f(0)(1 - \lambda) - f(0)| = |f(0)|$ . Thus the interior of  $K_{\phi_\lambda}(0)$  does not contain 0 because 0 is not in the interior of  $K_\phi(0)$ . By Proposition 14 in [7],  $f_\lambda$  is an exposed point of the unit ball of  $H^1$ . (2) By [12],  $f_\lambda$  is a strongly exposed point of the unit ball of  $H^1$  if and only if  $\|\phi_\lambda + H^\infty + C\| < 1$ . Since  $\|\phi + H^\infty\| = \|\phi_\lambda + H^\infty\|$ ,  $\|\phi + H^\infty + C\| = \|\phi_\lambda + H^\infty + C\|$ . This implies (2). (3) Since  $f_\lambda = h_\lambda^1/\|h_\lambda^2\|_1$  and  $h_\lambda^{-1} = P^{-1} - \lambda \frac{Q}{P}$ , it is clear that  $f_\lambda^{-1} \in H^1$ .

Now Theorem 7 and Proposition 9 give a weakened version of a Corollary in [10]. That is,  $h_\lambda^2/\|h_\lambda\|_1^2$  is an exposed point of the unit ball of  $H^1$  if  $h_1^2$  is an exposed point. For

$$\frac{h_\lambda^2}{|h_\lambda|^2} = \frac{h^2}{|h|^2} \frac{1-Q}{1-\bar{Q}} \frac{1-\bar{\lambda}\bar{Q}}{1-\lambda Q}$$



and so

$$\phi_\lambda = \frac{f_\lambda}{|f_\lambda|} = \lambda \cdot \frac{h_\lambda^2}{|h_\lambda|^2}.$$

By [6],  $\|h_\lambda^2\|_1 \leq 1$ . By Proposition 9,  $h_\lambda^2/\|h_\lambda^2\|_1$  is an exposed point of the unit ball of  $H^1$ .

Here we raise a natural question related to (3) of Proposition 9 : Does  $f_\lambda^{-1}$  belong to  $H^1$  for arbitrary  $\lambda$  in  $\partial D$  if  $f^{-1}$  is in  $H^1$  ? If the answer is yes, we can prove an interesting theorem (related to Theorem 5 in this paper). That is,  $0 \in \rho(\phi)$  if and only if  $\rho(\phi) = \mathbf{C} \setminus E(\phi)^\circ$  when  $\phi = f/|f|$  for some exposed point  $f$  in the unit ball of  $H^1$ ,  $\|\phi + H^\infty\| = 1$  and  $\rho(\phi) \neq \mathbf{C}$ . For the proof, note that  $0 \in \rho(\phi)$  if and only if  $f^{-1}$  belongs to  $H^1$  when  $\|\phi + H^\infty\| = 1$ .

## §7. Function whose real part is nonnegative on $\partial D$

In Sections 4 and 5, functions in  $L_+$  were important. It seems that  $L_+$  is a very big set compared to  $L_+^1$ . We understand well functions  $f$  with  $\text{Re}f$  in  $L_+^1$ . In this section, we study functions  $f$  with  $\text{Re}f$  in  $L_+$ . Three sets,  $\tilde{H}^1$ ,  $H_+(D)$  and  $H_+(\partial D)$  are defined in the following :

$$\begin{aligned} \tilde{H}^1 &= \{F \in N_+ ; \frac{F}{|F|} \in \text{Arg}H^1\}, \\ H_+(D) &= \{f \in N_+ ; \text{Re}f > 0 \text{ on } D\}, \end{aligned}$$

and

$$H_+(\partial D) = \{f \in N_+ ; \text{Re}f \geq 0 \text{ on } \partial D\}.$$

Then  $\text{Re}H_+(\partial D) = L_+$ ,  $\text{Re}H_+(D) \supset L_+^1$  and  $\tilde{H}^1 \supset H^1$ .

If  $F$  is a nonzero function in  $H^1$ , then  $|F| = \text{Re}f$  on  $\partial D$  for some function  $f$  in  $L_+(D)$ . If  $G$  is a nonzero function in  $\tilde{H}^1$ , then  $|G| = \text{Re}g$  for some function  $g$  in  $H_+(\partial D)$ . For, by definition on  $G$  there exists an outer function  $F$  in  $H^1$  such that  $G/F \geq 0$  on  $\partial D$ . Put  $s = G/F$  then  $s$  is in  $N_+$  and so

$$|G| = s|F| = s\text{Re}f = \text{Re}(sf)$$

where  $g = sf$  is in  $H_+(\partial D)$ . If  $G$  is outer then  $g$  is also outer because  $s$  is outer. If  $F$  is a nonzero function in  $N_+$  and  $|F| = \text{Re}f$  for some  $f$  in  $H_+(D)$ , then  $F \in \bigcap_{p < 1} H^p$ . If  $G$  is a nonzero function in  $N_+$  and  $|G| = \text{Re}g$  for some outer  $g$  in  $L_+(\partial D)$  then  $G$  belongs to  $\tilde{H}^1$  by Theorem 6.

A function  $f$  is in  $H_+(D)$  if and only if  $f = (1+k)/(1-k)$  for some contractive function  $k$  in  $H^\infty$  with  $k \neq 1$ . Then  $f$  is outer and  $\text{Ref} = (1-|k|^2)/|1-k|^2$  on  $\partial D$ . The following Proposition 10 is a similar result for functions in  $H_+(\partial D)$  instead of  $H_+(D)$ .

**Proposition 10.**  $f$  is a function in  $N_+$  whose real part is nonnegative a.e. on  $\partial D$  if and only if there exist an inner function  $q$  and a contractive function  $k$  in  $H^\infty$  such that

$$f = \frac{q+k}{q-k} \text{ and } q-k \text{ is outer.}$$

Then  $\text{Ref} = (1-|k|^2)/|q-k|^2$  a.e. on  $\partial D$ .

Proof. If  $f \in N_+$ ,  $\text{Ref} \geq 0$  a.e. on  $\partial D$  and  $\phi = (f-1)/(f+1)$ , then  $|\phi| \leq 1$  a.e. on  $\partial D$ . Write  $f+1 = q_1 h_1$  and  $f-1 = q_2 h_2$  where  $q_i$  is inner and  $h_i$  is outer ( $i = 1, 2$ ). Then

$$f = \frac{1+\phi}{1-\phi} = \frac{q+k}{q-k}$$

where  $q = q_1$  and  $k = q_1 \phi = q_2 h_2 / h_1$ . Since  $2 = q_1 h_1 - q_2 h_2 = (q-k)h_1$ ,  $q-k$  is outer. It is easy to see that the converse is true because  $(q+k)/(q-k) = (1+\bar{q}k)/(1-\bar{q}k)$  and  $q-k$  is outer.

If a function  $f$  has the form :  $f = sg$  for some  $g$  in  $H_+(D)$  and some nonnegative function  $s$  in  $N_+$ , then  $f$  belongs to  $H_+(\partial D)$ . The following Theorem 11 gives a partial converse.

**Theorem 11.** Suppose  $q$  is an inner function and  $\beta$  is a contractive function in  $H^\infty$  such that  $\log(1-|\beta|^2)$  is in  $L^1$ . If  $f = (q+\beta)/(q-\beta)$  and  $q-\beta$  is an outer function, then there exist a nonnegative function  $s$  in  $N_+$  and a contractive function  $\alpha$  in  $H^\infty$  with  $\log(1-|\alpha|^2) \in L^1$  such that

$$\frac{q+\beta}{q-\beta} = \frac{1+\alpha}{1-\alpha} \cdot s + t$$

where  $t$  is a function in  $N_+$  with  $\text{Ret} = 0$  a.e. on  $\partial D$ .

Proof. Since  $\log(1-|\beta|^2) \in L^1$ , there exists an outer function  $a$  in  $H^\infty$  such that  $|\beta|^2 + |a|^2 = 1$ . Put  $F = a^2/(q-\beta)^2$ ; then

$$|F| = \frac{1-|\beta|^2}{|q-\beta|^2} = \text{Ref} \text{ and } f \in N_+.$$

By Lemma 2, there exists an outer function  $G$  in  $H^1$  such that  $G/|G| = F/|F|$  on  $\partial D$ . Let  $g$  be the Helglotz integral of  $|G|$ ; then  $\text{Reg} = |G|$ . By Lemma 1, there exists an outer function  $f_0$  in  $N_+$  such that  $\text{Ref}_0 = \text{Ref}$  on  $\partial D$  and  $f_0/g \geq 0$  on  $\partial D$ . Put  $s = f_0/g$ ; then

$$f = sg + (f - f_0).$$

Put  $t = f - f_0$  ; then Proposition 10 implies this theorem.

In Theorem 11, if  $\frac{q + \beta}{q - \beta} = \frac{1 + \alpha}{1 - \alpha}$  then

$$\frac{\beta}{q} = \frac{(s + 1)\alpha + (s - 1)}{(s - 1)\alpha + (s + 1)}$$

where  $q$  is the inner part of the function  $(s - 1)\alpha + (s + 1)$ . Proposition 10 describes the functions  $s$  in  $N_+$  where  $s \geq 0$  a.e. on  $\partial D$  or  $\text{Res} = 0$  a.e. on  $\partial D$ . In fact, if  $\text{Res} = 0$  a.e. on  $\partial D$ , then by Proposition 10  $s = \frac{q + k}{q - k}$  and  $k$  is inner. This is a result of Helson [4]. In fact, he described the real valued functions in  $N_+$ . If  $s \geq 0$  a.e. on  $\partial D$ , then  $\text{Re}(is) = 0$  a.e. on  $\partial D$ . Hence  $is = \frac{q + k}{q - k}$  and  $k$  is inner. Therefore  $s = \frac{q + k}{i(q - k)}$  and  $\text{Im} \bar{q}k \leq 0$  (see [8]).

It is known [10] that  $F$  is an outer function in  $H^1$  with norm one if and only if  $F = \alpha^2 / (1 - \beta)^2$  where  $\alpha$  and  $\beta$  are in  $H^\infty$  with  $|\alpha|^2 + |\beta|^2 = 1$  on  $\partial D$ ,  $\alpha$  is outer and  $\beta(0) = 0$ . The following Proposition 12 is a generalization to  $\tilde{H}^1$ .

**Proposition 12.**  $F$  is an outer function in  $\tilde{H}^1$  if and only if

$$F = \frac{\alpha^2}{(q - \beta)^2} \quad \text{and} \quad |\alpha|^2 + |\beta|^2 = 1 \quad \text{a.e. on } \partial D$$

where  $\alpha$  and  $q \pm \beta$  are outer functions and  $q$  is an inner function.

*Proof.* If  $F \in \tilde{H}^1$ , then by the remark above Proposition 10 there exists an outer function  $f$  in  $H_+(\partial D)$  with  $|F| = \text{Ref}$ . By Proposition 10,  $f = (q + \beta)/(q - \beta)$  and  $q \pm \beta$  are outer. Since  $|F| = \text{Ref} = (1 - |\beta|^2)/|q - \beta|^2$ , there exists an outer function  $\alpha$  such that  $|\alpha|^2 + |\beta|^2 = 1$  on  $\partial D$ . Hence  $F = \alpha^2 / (q - \beta)^2$ . Conversely if  $F = \alpha^2 / (q - \beta)^2$  then  $|F| = \text{Ref} = (1 - |\beta|^2)/|q - \beta|^2$  where  $f = (q + \beta)/(q - \beta)$ . Theorem 6 and Proposition 10 imply that  $F$  belongs to  $\tilde{H}^1$ .

### §8. When $q - k$ is outer ?

In the description of  $H_+(D)$ , the function  $1 - k$  is important, where  $k$  is a contractive function in  $H^\infty$ , and it is always outer. In the description of  $H_+(\partial D)$  (see Proposition 10 and Theorem 11), it is important to know whether  $q - k$  is an outer function. Such functions have been studied by Sarason [11] (see [9]) when  $k$  is an inner function. In this

section, given an inner function  $q$  we determine  $k$  such that  $q - k$  is an outer function. When  $q = z$  and  $k$  is an inner function, using the Denjoy-Wolff point of  $k$ , Sarason [11] determined  $k$  such that  $z - k$  is an outer function.

For an inner function  $q$ , put

$$\mathcal{E}(q) = \{k ; q - k \text{ is outer and } \|k\|_\infty \leq 1\}.$$

If  $q \equiv 1$ , then  $\mathcal{E}(q)$  is just the unit ball of  $H^\infty$ . In general,  $\mathcal{E}(q)$  is not empty. It is easy to see that the following functions :

$$\frac{1-q}{2}, -\frac{1-q}{2}, \left(\frac{1+q}{2}\right)^2$$

belong to  $\mathcal{E}(q)$ . By Lemma 1 in [11], if  $\|k\|_\infty < 1$  then  $k$  does not belong to  $\mathcal{E}(q)$  for any nonconstant  $q$ . In this section, given an inner function  $q$  we determine  $k$  such that  $q - k$  is an outer function.

**Theorem 13.** *Let  $q$  be an inner function. The function  $k$  belongs to  $\mathcal{E}(q)$  if and only if*

$$k = q - \frac{F(1 - Q_F)(1 - w)}{1 - Q_F w} = q \frac{1 - Q_F}{1 - \bar{Q}_F} \frac{w - \bar{Q}_F}{1 - Q_F w}$$

where  $F$  is an outer function in  $H^1$  with  $q\bar{F} \geq 0$  a.e. on  $\partial D$  and norm one,  $w$  is a contractive function in  $H^\infty$  and  $\frac{1 + Q_F}{1 - Q_F}$  is the Herglotz integral of  $|F|$ .  $k$  is an inner function if and only if  $w$  is inner. If  $\gamma$  is a positive constant and  $w$  is a contractive function in  $H^\infty$ , then there exists a contractive function  $w_\gamma$  in  $H^\infty$  such that

$$\frac{F(1 - Q_F)(1 - w_\gamma)}{1 - Q_F w_\gamma} = \frac{\gamma F(1 - Q_{\gamma F})(1 - w)}{1 - Q_{\gamma F} w}.$$

*Proof.* This is essentially a theorem due to Adamyan, Arov and Krein (cf. Theorem 5.3 in [3, Chapter IV]). If  $k \in \mathcal{E}(q)$  then there exists an outer function  $h$  such that  $k = q - h$  and so  $\|q - h\|_\infty \leq 1$ . By Lemma 6 in [7], there exists an outer function  $F$  in  $H^1$  with norm one such that  $q\bar{F} \geq 0$  a.e. on  $\partial D$  and  $h = F(1 - Q_F)(1 - w)/(1 - Q_F w)$  with  $Q_F$  as above. This implies the 'only if' part. For the converse, by simple calculations (see the proof of Proposition 4),

$$k = q - \frac{F(1 - Q_F)(1 - w)}{1 - Q_F w} = q \frac{1 - Q_F}{1 - \bar{Q}_F} \frac{w - \bar{Q}_F}{1 - Q_F w}$$

because  $\bar{q}F = |F| = (1 - |Q_F|^2)/|1 - Q_F|^2$ . This implies that  $k$  belongs to  $\mathcal{E}(q)$ . The second statement in the theorem is clear by the proof of Lemma 6 in [7].

**Corollary 1.** *The function  $k$  belongs to  $\mathcal{E}(z)$  if and only if*

$$k = \frac{(3z + 2a)w - (z + 2a)}{(3 + 2\bar{a}z) - (1 + 2\bar{a}z)w}$$

where  $a$  is constant with  $|a| = 1$  and  $w$  is a contractive function in  $H^\infty$ .  $k$  is inner if and only if  $w$  is inner.

Proof. In Theorem 13, suppose  $q = z$ . If  $\bar{z}F \geq 0$  or  $\partial D$  and  $F \in H^1$  is outer then  $F = \gamma(z + a)(1 + \bar{a}z)$  where  $a$  is constant with  $|a| = 1$ . By the second statement of Theorem 13, we can assume  $\gamma = 1$ . The Herglotz integral of  $|z + a|^2$  is  $2(1 + \bar{a}z)$  and so  $Q_F = (1 + 2\bar{a}z)/(3 + 2\bar{a}z)$ . By Theorem 13,  $k \in \mathcal{E}(z)$  if and only if

$$k = z \frac{1 - Q_F}{1 - \bar{Q}_F} \frac{w - \bar{Q}_F}{1 - Q_F w}$$

where  $Q_F = (1 + 2\bar{a}z)/(3 + 2\bar{a}z)$  for some  $a$  with  $|a| = 1$  and  $w$  is a contractive function in  $H^\infty$ . Then

$$\begin{aligned} & z \frac{1 - Q_F}{1 - \bar{Q}_F} \frac{w - \bar{Q}_F}{1 - Q_F w} \\ &= z \frac{1 - \frac{1+2\bar{a}z}{3+2\bar{a}z}}{1 - \frac{z+2a}{3z+2a}} \frac{w - \frac{z+2a}{3z+2a}}{1 - \frac{1+2\bar{a}z}{3+2\bar{a}z} w} \\ &= \frac{(3z + 2a)w - (z + 2a)}{(3 + 2\bar{a}z) - (1 + 2\bar{a}z)w}. \end{aligned}$$

This implies the corollary.

**Corollary 2.** *Suppose  $q$  is an inner function. Put*

$$k = \frac{(3q + 2a)w - (q + 2a)}{(3 + 2\bar{a}q) - (1 + 2\bar{a}q)w}$$

where  $a$  is a unimodular constant and  $w$  is a contractive function ; then  $k$  is a contractive function in  $H^\infty$  and  $q - k$  is an outer function. If  $w$  is an inner function then so is  $k$ .

Proof. Since  $3 + 2\bar{a}q$  is invertible in  $H^\infty$ , and  $(1 + 2\bar{a}q)/(3 + 2\bar{a}q)$  is contractive,  $(3 + 2\bar{a}q) - (1 + 2\bar{a}q)w$  is outer and so  $k$  belongs to  $H^\infty$ . Put  $Q = (1 + 2\bar{a}q)/(3 + 2\bar{a}q)$ ; then

$$k = q \frac{1 - Q}{1 - \bar{Q}} \frac{w - \bar{Q}}{1 - Qw}$$

and so  $k$  is contractive. If  $w$  is inner, then  $k$  is also inner. By a simple calculation,

$$q - k = \frac{2\bar{a}(q + a)^2(1 - w)}{(3 + 2\bar{a}q) - (1 + 2\bar{a}q)w},$$

$q - k$  is outer.

When  $k$  is inner and  $\lambda$  is its Denjoy-Wolff point, in Proposition 2 in [11], Sarason showed that  $k \in \mathcal{E}(z)$  if and only if  $\lambda \in \partial D$ . However the proof shows that his result

is true for arbitrary contractive  $k$  in  $H^\infty$ . Hence Corollary 1 describes completely those contractive functions in  $H^\infty$  whose Denjoy-Wolff points are in  $\partial D$ .

### §9. Connection with a theorem of Helson

In this section, we are interested in the set  $H_+(\partial D) = \{f \in N_+ ; \operatorname{Re} f \geq 0 \text{ a.e. on } \partial D\}$ . When  $f$  is a function in  $H_+(\partial D)$  and  $\operatorname{Re} f = 0$  a.e. on  $\partial D$ , using operator theory, Helson [4] showed the following : If the inner part of  $\lambda + f$  is a finite Blaschke product of degree  $n$  for some real constant  $\lambda$ , then the inner part of  $t + f$  is a finite Blaschke product of degree  $n$  for any real constant  $t$ . We prove a theorem of this type for arbitrary functions in  $H_+(\partial D)$ , using a theorem of Adamyan, Arov and Krein (cf. Theorem 5.3 in [3, Chapter IV]).

**Theorem 14.** *Suppose  $f$  is a nonzero function in  $N_+$  and  $\operatorname{Re} f$  is nonnegative a.e. on  $\partial D$ . Let  $n$  be a nonnegative integer. If the inner part of  $\lambda + f$  is a Blaschke product of degree  $n$  for some positive constant  $\lambda$ , then the inner part of  $t + f$  is a Blaschke product of degree  $n$  for any positive constant  $t$ .*

*Proof.* When  $n = 0$ , by hypothesis  $\lambda + f$  is outer. Hence  $k = (\lambda - f)/(\lambda + f)$  is a contractive function in  $H^\infty$  because  $\operatorname{Re} f \geq 0$  a.e. on  $\partial D$ . Since  $f = \lambda(1 - k)/(1 + k)$ ,  $\operatorname{Re} f > 0$  on  $D$ . Thus  $t + f$  is outer for any nonnegative constant  $t$ . Suppose  $n \geq 1$  and  $b_t$  is the inner part of  $t + f$  where  $t$  is a positive constant. By hypothesis  $b_\lambda$  is a Blaschke product of degree  $n$  for some  $\lambda > 0$ . For any  $t > 0$ ,  $k_t = b_t(f - t)/(f + t)$  is a contractive function in  $H^\infty$  and  $f = t(b_t + k_t)/(b_t - k_t)$  where  $b_t - k_t$  is outer, by Proposition 10. Hence for any  $t > 0$ ,

$$f = \lambda \frac{b_\lambda + k_\lambda}{b_\lambda - k_\lambda} = t \frac{b_t + k_t}{b_t - k_t}.$$

Therefore

$$\begin{aligned} \frac{k_t}{b_t} &= \frac{f - t}{f + t} = \frac{\lambda(b_\lambda + k_\lambda) - t(b_\lambda - k_\lambda)}{\lambda(b_\lambda + k_\lambda) + t(b_\lambda - k_\lambda)} \\ &= \frac{(\lambda - t)b_\lambda + (\lambda + t)k_\lambda}{(\lambda + t)b_\lambda + (\lambda - t)k_\lambda} = \frac{\frac{\lambda - t}{\lambda + t}b_\lambda + k_\lambda}{b_\lambda + \frac{\lambda - t}{\lambda + t}k_\lambda} \end{aligned}$$

By Lemma 6 in [7],

$$b_\lambda + \frac{\lambda - t}{\lambda + t}k_\lambda = \frac{F(1 - Q)(1 - w)}{1 - Qw}$$

where  $F$  is a function in  $H^1$ ,  $\bar{b}_\lambda F \geq 0$  a.e. on  $\partial D$ ,  $Q = Q_F$  and  $w$  is a contractive function in  $H^\infty$ . Since  $b_\lambda$  is a Blaschke product of degree  $n$  and  $\bar{b}_\lambda F \geq 0$  a.e. on  $\partial D$ , the inner part

of  $F$  is a Blaschke product of degree  $\leq n$ . Since  $b_i - k_i$  is outer,  $b_i$  and the inner part of  $k_i$  are relatively prime. Hence  $b_i$  is a Blaschke product of degree  $\leq n$ . If the degree of  $b_i$  is less than  $n$ , then by the proof above the degree of  $b_\lambda < n$ . This contradiction implies that the degree of  $b_i$  is exactly  $n$ .

## References

1. K.deLeeuw and W.Rudin, Extreme points and extremum problems in  $H^1$ , Pacific J.Math. 8(1958), 467-485.
2. P.Duren, Theory of  $H^p$  spaces (Academic Press, New York, 1970)
3. J.B.Garnett, Bounded analytic functions (Academic Press, 1981)
4. H.Helson, Large analytic functions, II, Analysis and Partial Differential Equations, a collection of papers dedicated to Mischa Cotlar, ed.Cora Sadosky, Dekker, 1990, 217-220.
5. J.Inoue, An example of a non-exposed extreme function on the unit ball of  $H^1$ , Proc.Edinburgh Math.Soc. 37(1993), 47-51.
6. Y.Nakamura, One-dimensional perturbations of isometries. Integral Equations and Operator Theory 9(1986), 286-294.
7. T.Nakazi, Existence of solutions of extremal problems in  $H^1$ , Proc.Edinburgh Math. Soc. 34(1991), 99-112.
8. T.Nakazi, Sum of two inner functions and exposed points in  $H^1$ , Proc.Edinburgh Math.Soc. 35(1992), 349-357.
9. T.Nakazi, Factorizations of outer functions and extremal problems, Proc.Edinburgh Math.Soc. 39(1996), 535-546.
10. D.Sarason, Exposed points in  $H^1$ , I, Operator Theory : Advances and Applications, Vol.41, Birkhäuser, Basel (1989), 485-496.
11. D.Sarason, Making an outer function from two inner functions, Contemp.Math. 137(1991), 407-414.
12. D.Temme and J.Wiegerinck, Extremal properties of the unit ball of  $H^1$ , Indag. Mathem., N.S., 3(1)(1992), 119-127.

Department of Mathematics  
Hokkaido University  
Sapporo 060-0810, Japan

nakazi @ math.sci.hokudai.ac.jp