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# Free and non-free multiplicity on the arrangement of type $A_3 - 1$

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## Abstract

We give the first complete classification of free and non-free multiplicities on an arrangement, called the arrangement of type  $A_3 - 1$ , which admits both of them.

## 0 Introduction

Let  $V$  be an  $\ell$ -dimensional vector space over a field  $\mathbb{K}$ ,  $\{x_1, \dots, x_\ell\}$  be a basis for the dual vector space  $V^*$  and  $S := \text{Sym}(V^*) \simeq \mathbb{K}[x_1, \dots, x_\ell]$ .  $\text{Der}_{\mathbb{K}}(S)$  denotes the  $S$ -module of  $\mathbb{K}$ -linear derivations of  $S$ , i.e.,  $\text{Der}_{\mathbb{K}}(S) = \bigoplus_{i=1}^{\ell} S \cdot \partial_{x_i}$ . We say a non-zero element  $\theta = \sum_{i=1}^{\ell} f_i \partial_{x_i} \in \text{Der}_{\mathbb{K}}(S)$  is *homogeneous of degree  $p$*  if  $f_i$  is zero or homogeneous of degree  $p$  for each  $i$ .

A *hyperplane arrangement*  $\mathcal{A}$  (or simply an *arrangement*) is a finite collection of affine hyperplanes in  $V$ . If each hyperplane in  $\mathcal{A}$  contains the origin, we say that  $\mathcal{A}$  is *central*. In this article we assume that all arrangements are central. A *multiplicity*  $m$  on an arrangement  $\mathcal{A}$  is a map  $m : \mathcal{A} \rightarrow \mathbb{Z}_{>0}$  and a pair  $(\mathcal{A}, m)$  is called a *multiarrangement*.  $|m|$  denotes the sum of the multiplicity  $\sum_{H \in \mathcal{A}} m(H)$ . When  $m \equiv 1$ ,  $(\mathcal{A}, m)$  is the same as a hyperplane arrangement  $\mathcal{A}$  and sometimes called a *simple arrangement*. For each hyperplane  $H \in \mathcal{A}$  fix a linear form  $\alpha_H \in \mathcal{A}$  such that  $\ker(\alpha_H) = H$ . Put

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$Q(\mathcal{A}, m) := \prod_{H \in \mathcal{A}} \alpha_H^{m(H)}$ . The main object in this article is a *logarithmic derivation module*  $D(\mathcal{A}, m)$  of  $(\mathcal{A}, m)$  defined by

$$D(\mathcal{A}, m) := \{\theta \in \text{Der}_{\mathbb{K}}(S) \mid \theta(\alpha_H) \in S \cdot \alpha_H^{m(H)} \text{ (for all } H \in \mathcal{A})\}.$$

A multiarrangement  $(\mathcal{A}, m)$  is called *free* if  $D(\mathcal{A}, m)$  is a free  $S$ -module of rank  $\ell$ . If  $(\mathcal{A}, m)$  is free, then there exists a homogeneous free basis  $\{\theta_1, \dots, \theta_\ell\}$  for  $D(\mathcal{A}, m)$ . Then we define the *exponents* of a free multiarrangement  $(\mathcal{A}, m)$  by  $\text{exp}(\mathcal{A}, m) := (\deg(\theta_1), \dots, \deg(\theta_\ell))$ . The exponents are independent of a choice of a basis.

Originally, a multiarrangement was defined by Ziegler in [Z] and used effectively in the studies of hyperplane arrangements, e.g., in [Y1] and [Y2]. However, very few have been known about the freeness and non-freeness of multiarrangements. Recently, some theorems to consider the freeness of multiarrangements are developed in [ATW1] and [ATW2]. In these papers, a concept of free multiplicity is introduced. For a simple arrangement  $\mathcal{A}$ , we say a multiplicity  $m$  on  $\mathcal{A}$  is *free* if the multiarrangement  $(\mathcal{A}, m)$  is free. For example, every multiplicity is free on Boolean arrangements and no multiplicity is free on a generic arrangement which consists of four planes in a three-dimensional vector space (see [ATW2]). However, on an arrangement which admits both free and non-free multiplicity, only a partial classification of multiplicities are known. For example, Coxeter arrangements of type  $A_3$  admits both free and non-free multiplicity, but such a classification is not known. Hence to consider the behavior, geometry and combinatorics of free and non-free multiplicities in  $\mathbb{Z}_{>0}^{|\mathcal{A}|}$  is a new problem in the study of arrangements. In this article, we give the first complete classification of the freeness of all multiplicities on such an arrangement. Let us fix  $\ell = 3$  and a basis  $\{x, y, z\}$  for  $V^*$ .

**Definition 0.1**

An arrangement  $\mathcal{A}$  is called the arrangement of type  $A_3 - 1$  if it is defined by

$$Q(\mathcal{A}) = xy(x - y)(x - z)(y - z).$$

This is a free arrangement with  $\text{exp}(\mathcal{A}) = (1, 2, 2)$ , but Ziegler proved that the constant multiplicity  $m \equiv 2$  is not a free multiplicity. So the arrangement of type  $A_3 - 1$  admits both free and non-free multiplicity. Since this arrangement is close to the Coxeter arrangement of type  $A_3$  and consists of only five planes, it is natural to consider the classification of multiplicities from the viewpoint of freeness. Our classification is as follows:

**Theorem 0.2**

Let  $\mathcal{A}$  be the arrangement of type  $A_3-1$  and  $m = [a, b, c, d, e]$  be a multiplicity on  $\mathcal{A}$  defined by

$$Q(\mathcal{A}, m) = (y - z)^a y^b (x - y)^c x^d (x - z)^e.$$

Then  $m$  is a free multiplicity if and only if  $c \geq a + e - 1$  or  $c \geq b + d - 1$ .

**Remark 0.3**

Theorem 0.2 implies, if we identify all the multiplicities on the arrangement of type  $A_3-1$  with  $\mathbb{Z}_{>0}^5 = \{(a, b, c, d, e)\}$  (i.e., the moduli space of multiplicities on  $\mathcal{A}$ ), then the set of free multiplicities consists of three chambers of the complement of the arrangement in  $\mathbb{Z}_{>0}^5$  defined by

$$(c - a - e + \frac{1}{2})(c - b - d + \frac{1}{2}) = 0.$$

Also note that a choice of such an arrangement is not unique.

The organization of this article is as follows. In Section 1 we introduce some results and notation which will be used in this article. In Section 2 we prove Theorem 0.2.

## 1 Preliminaries

In this section we fix some notation and introduce some results. To prove Theorem 0.2, we use the following two results:

**Theorem 1.1 ([ATW1], Corollary 4.6)**

If a multiarrangement  $(\mathcal{A}, m)$  is free, then  $GMP(k) = LMP(k)$  ( $1 \leq k \leq \ell$ ), where  $GMP(k)$  is the  $k$ -th global mixed product of  $(\mathcal{A}, m)$  and  $LMP(k)$  is the  $k$ -th local mixed product of  $(\mathcal{A}, m)$ .

**Theorem 1.2 ([ATW2], Theorem 5.10)**

Let  $(\mathcal{A}, m)$  be a multiarrangement such that  $\mathcal{A}$  is supersolvable with a filtration  $\mathcal{A} = \mathcal{A}_r \supset \mathcal{A}_{r-1} \supset \cdots \supset \mathcal{A}_2 \supset \mathcal{A}_1$  and  $r \geq 2$ . Let  $m_i$  denote the multiplicity  $m|_{\mathcal{A}_i}$  and  $\exp(\mathcal{A}_2, m_2) = (d_1, d_2, 0, \dots, 0)$ . Assume that for each  $H' \in \mathcal{A}_d \setminus \mathcal{A}_{d-1}$ ,  $H'' \in \mathcal{A}_{d-1}$  ( $d = 3, \dots, r$ ) and  $X := H' \cap H''$ , it holds that

$$\mathcal{A}_X = \{H', H''\}$$

or that

$$m(H'') \geq \sum_{X \subset H \in (\mathcal{A}_d \setminus \mathcal{A}_{d-1})} m(H) - 1.$$

Then  $(\mathcal{A}, m)$  is free with

$$\exp(\mathcal{A}, m) = (d_1, d_2, |m_3| - |m_2|, \dots, |m_r| - |m_{r-1}|, 0, \dots, 0).$$

For details and notation of these theorems, see [ATW1] and [ATW2]. Note that the arrangement of type  $A_3 - 1$  is supersolvable. Theorem 1.1 is used to show the non-freeness of a multiarrangement. To apply it, we need some elementary results on number theory. From now on, let us assume  $\ell = 3$  and fix a coordinate system  $\{x, y, z\}$  for  $V^*$ . For the rest of this article we only consider the 2nd mixed products. Hence  $LMP(\mathcal{A}, m)$  denotes the 2nd local mixed product of  $(\mathcal{A}, m)$ , and  $GMP(\mathcal{A}, m)$  the 2nd global mixed product of  $(\mathcal{A}, m)$  if it is free. In other words,

$$LMP(\mathcal{A}, m) = \sum_{X \in L(\mathcal{A})_2} d_1^X d_2^X,$$

where  $L(\mathcal{A})_2$  consists of elements in the intersection lattice  $L(\mathcal{A})$  of  $\mathcal{A}$  (e.g., see [OT]) such that  $\text{codim}_V(X) = 2$  and  $\exp(\mathcal{A}_X, m|_{\mathcal{A}_X}) = (d_1^X, d_2^X, 0, \dots, 0)$  for  $X \in L(\mathcal{A})_2$ . Moreover, if  $(\mathcal{A}, m)$  is free with  $\exp(\mathcal{A}, m) = (d_1, d_2, d_3)$ , then

$$GMP(\mathcal{A}, m) = d_1 d_2 + d_2 d_3 + d_3 d_1.$$

Sometimes for the triple of integers  $(d_1, d_2, d_3)$ ,  $GMP(d_1, d_2, d_3)$  denotes  $d_1 d_2 + d_2 d_3 + d_3 d_1$ . Let us agree that  $(d_1, d_2, d_3)_\leq$  denotes the integers  $d_1, d_2, d_3$  with  $d_1 \leq d_2 \leq d_3$ .

**Lemma 1.3**

Let us put  $m_0 := \max\{m(H) | H \in \mathcal{A}\}$  for a free multiarrangement  $(\mathcal{A}, m)$  with  $\exp(\mathcal{A}, m) = (d_1, d_2, d_3)_\leq$ . Then  $d_3 \geq m_0$ .

**Proof.** We may assume that  $m_0 = m(\{x = 0\})$ . If  $d_3 < m_0$ , then all elements in  $D(\mathcal{A}, m)$  can be expressed as  $f_y \partial_y + f_z \partial_z$  for  $f_y, f_z \in S$ , which contradicts to the freeness of  $(\mathcal{A}, m)$ .  $\square$

For a rational number  $\alpha \in \mathbb{Q}$ , let  $\lceil \alpha \rceil$  denote the smallest integer which is larger than or equal to  $\alpha$ , and  $\lfloor \alpha \rfloor$  the largest integer which is smaller than or equal to  $\alpha$ .

**Lemma 1.4**

Let  $(\mathcal{A}, m)$  be a free multiarrangement with  $\exp(\mathcal{A}, m) = (d_1, d_2, d_3)_\leq$  and  $(\mathcal{B}, m')$  be a free submultiarrangement of  $(\mathcal{A}, m)$  with  $\exp(\mathcal{B}, m') = (e_1, e_2, e_3)_\leq$ . Put  $n := |m| - |m'|$  and assume that

$$e_3 \geq \left\lceil \frac{|m|}{3} \right\rceil, \quad e_2 \geq \left\lfloor \frac{|m| - e_3}{2} \right\rfloor.$$

Then  $GMP(d_1, d_2, d_3) \leq GMP(e_1 + n, e_2, e_3)$ .

**Proof.** Since  $D(\mathcal{A}, m) \subset D(\mathcal{B}, m')$ , there exist non-negative integers  $\alpha, \beta$  such that

$$d_3 = e_3 + \alpha, \quad d_2 = e_2 + \beta, \quad d_1 = e_1 + n - \alpha - \beta.$$

Hence

$$\begin{aligned} GMP(e_1 + n, e_2, e_3) - GMP(d_1, d_2, d_3) &= (e_1 + n)e_2 + (e_1 + n)e_3 + e_2e_3 \\ &\quad - \{(e_1 + n - \alpha - \beta)(e_2 + \beta) \\ &\quad + (e_1 + n - \alpha - \beta)(e_3 + \alpha) + (e_2 + \beta)(e_3 + \alpha)\} \\ &= \alpha^2 + \alpha(e_3 - e_1 - n) + \beta(e_2 + \alpha + \beta - e_1 - n). \end{aligned}$$

By the assumption,  $e_3 - e_1 - n \geq 0$ . If  $e_2 - e_1 - n + \alpha + \beta < 0$ , then the assumption implies that  $e_2 + 1 = e_1 + n$  and  $\alpha = \beta = 0$ . In this case  $(d_1, d_2, d_3) = (e_1 + n, e_2, e_3)$ .  $\square$

**Lemma 1.5**

Let  $(\mathcal{A}, m)$  be a free multiarrangement with  $\exp(\mathcal{A}, m) = (d_1, d_2, d_3)_{\leq}$ . If

$$\max\{m(H) | H \in \mathcal{A}\} = a \geq \left\lceil \frac{|m|}{3} \right\rceil, \text{ then}$$

$$GMP(d_1, d_2, d_3) \leq GMP\left(a, \left\lceil \frac{|m| - a}{2} \right\rceil, \left\lfloor \frac{|m| - a}{2} \right\rfloor\right).$$

**Proof.** Lemma 1.3 implies that

$$d_3 = a + \alpha, \quad d_2 = \frac{|m| - a - \alpha}{2} + \beta, \quad d_1 = \frac{|m| - a - \alpha}{2} - \beta \quad (\alpha \in \mathbb{Z}, \beta \in \frac{1}{2}\mathbb{Z}).$$

Hence

$$GMP\left(a, \left\lceil \frac{|m| - a}{2} \right\rceil, \left\lfloor \frac{|m| - a}{2} \right\rfloor\right) - GMP(d_1, d_2, d_3) = o\left(-\frac{1}{4}\right) + \frac{3}{4}\alpha^2 + \beta^2 + \alpha\left(\frac{3}{2}a - \frac{1}{2}|m|\right),$$

where

$$o\left(-\frac{1}{4}\right) = \begin{cases} 0 & \text{if } |m| - a \text{ is even,} \\ -\frac{1}{4} & \text{if } |m| - a \text{ is odd.} \end{cases}$$

By the assumption,  $\frac{3}{2}a - \frac{1}{2}|m| \geq 0$ . If  $\alpha > 0$  or  $\beta > 0$ , then  $GMP\left(a, \left\lceil \frac{|m| - a}{2} \right\rceil, \left\lfloor \frac{|m| - a}{2} \right\rfloor\right) - GMP(d_1, d_2, d_3) \geq 0$ . If  $\alpha = \beta = 0$ , then there is nothing to prove.  $\square$

## 2 Proof of Theorem 0.2

In this section we prove Theorem 0.2. From now on, let  $\mathcal{A}$  be the arrangement of type  $A_3 - 1$  and  $m = [a, b, c, d, e]$  a multiplicity on  $\mathcal{A}$  as in the statement of Theorem 0.2. Moreover, let us introduce some notation. Let  $\exp[a, c, e]$  (resp :  $\exp[b, c, d]$ ) denote the exponents of a 2-multiarrangement defined by  $(y - z)^a(x - y)^c(x - z)^e$  (resp :  $y^b(x - y)^c x^d$ ). If we put  $\exp[a, c, e] = (d_1, d_2)$  and  $\exp[b, c, d] = (e_1, e_2)$ , then  $[a, c, e]$  denotes  $d_1 \times d_2$  and  $[b, c, d]$  denotes  $e_1 \times e_2$ . We say  $[a, c, e]$  or  $[b, c, d]$  is *balanced* if each integer of the three is less than the sum of the other two plus one, i.e.,  $a \leq c + e + 1$  and so on. It is known that  $\exp[a, c, e] = (d_1, d_2)$  or  $\exp[b, c, d] = (e_1, e_2)$  are determined by the multiplicities. For example, if  $[a, c, e]$  is balanced, then  $|d_1 - d_2| \leq 1$  and if  $\max\{a, c, e\} = a$  and  $[a, c, e]$  is not balanced, then  $(d_1, d_2) = (a, c + e)$  (see [Waka]).

Now let us prove Theorem 0.2. First we show the condition in Theorem 0.2 is a sufficient condition.

### Proposition 2.1

If  $c \geq a + e - 1$  or  $c \geq b + d - 1$ , then  $(\mathcal{A}, m)$  is free.

**Proof.** Assume  $c \geq a + e - 1$ . Consider a supersolvable filtration  $\mathcal{A}_3 \supset \mathcal{A}_2 \supset \mathcal{A}_1$  of  $\mathcal{A}$  defined by

$$\begin{aligned} \mathcal{A}_1 : &= \{x = 0\}, \\ \mathcal{A}_2 : &= \{xy(x - y) = 0\}, \\ \mathcal{A}_3 : &= \{xy(x - y)(x - z)(y - z) = 0\}. \end{aligned}$$

To complete the proof, apply Theorem 1.2. The same argument is valid when  $c \geq b + d - 1$ .  $\square$

Hence, for the rest of this section, we assume the following condition:

$$(2.1) \quad c \leq a + e - 2 \text{ and } c \leq b + d - 2.$$

Note that, in particular,  $c < \left\lfloor \frac{|m|}{3} \right\rfloor$ . We show that  $(\mathcal{A}, m)$  is not free under the condition (2.1) by using Theorem 1.1 and related non-freeness criterion in [ATW1]. After an appropriate change of coordinates, we may assume that

$$a \geq e, \quad b \geq d, \quad \text{and } a \geq b.$$

Let us define a submultiarrangement  $(\mathcal{B}, m')$  of  $(\mathcal{A}, m)$  by

$$Q(\mathcal{B}, m') := (y - z)^a y^b (x - y)^c (x - z)^e.$$

**Lemma 2.2**

Assume that  $a \geq \left\lceil \frac{|m|}{3} \right\rceil$  and  $b \geq \left\lfloor \frac{|m|}{3} \right\rfloor$ . Then  $(\mathcal{A}, m)$  is not free.

**Proof.** Let us assume that  $(\mathcal{A}, m)$  is free with  $\exp(\mathcal{A}, m) = (d_1, d_2, d_3)$ . Since  $c+d+e \leq \left\lceil \frac{|m|}{3} \right\rceil$ ,  $LMP(\mathcal{A}, m) = (a+b)(c+d+e)+ab+de$ . Note that Theorem 1.2 implies  $(\mathcal{B}, m')$  is free with  $\exp(\mathcal{B}, m') = (a, c+e, b)$ . By the assumption,  $(\mathcal{B}, m')$  satisfies the condition of Lemma 1.4. Therefore  $GMP(d_1, d_2, d_3) \leq GMP(a, b, e+d+e)$ . Hence  $LMP(\mathcal{A}, m) - GMP(d_1, d_2, d_3) \geq de > 0$ , which contradicts to Theorem 1.1.  $\square$

**Lemma 2.3**

Assume that  $a \geq \left\lceil \frac{|m|}{3} \right\rceil$  and  $b < \left\lfloor \frac{|m|}{3} \right\rfloor$ . Then  $(\mathcal{A}, m)$  is not free.

**Proof.** Assume that  $(\mathcal{A}, m)$  is free with  $\exp(\mathcal{A}, m) = (d_1, d_2, d_3)$ . Put  $LMP := LMP(\mathcal{A}, m)$  and  $GMP := GMP(\mathcal{A}, m)$ . Note that  $\max\{a, b, c, d, e\} = a$  by the assumption and (2.1).

**Case 1.** When  $b+d \leq \left\lfloor \frac{|m|}{3} \right\rfloor$  and  $[a, c, e]$  is balanced. In this case,  $\exp(\mathcal{B}, m') = (\exp[a, c, e], b)$ . Hence the assumption and Lemma 1.4 imply  $GMP(d_1, d_2, d_3) \leq GMP(\exp[a, c, e], b+d)$ . So

$$\begin{aligned} LMP - GMP &\geq (a+e)(b+d) + [a, c, e] + [b, c, d] - \{(a+c+e)(b+d) + [a, c, e]\} \\ &= [b, c, d] - c(b+d) > 0 \text{ (by (2.1))}, \end{aligned}$$

which is a contradiction.

**Case 2.** When  $b+d \leq \left\lfloor \frac{|m|}{3} \right\rfloor$ ,  $[a, c, e] = a(c+e)$  and  $c+e \geq b+d$ . In this case  $\exp(\mathcal{B}, m') = (a, c+e, b)$ . So Lemma 1.4 implies that  $GMP(d_1, d_2, d_3) \leq GMP(a, c+e, b+d)$ . Hence

$$\begin{aligned} LMP - GMP &\geq a(b+c+d+e) + [b, c, d] + e(b+d) - \{a(b+c+d+e) + (c+e)(b+d)\} \\ &= [b, c, d] - c(b+d) > 0 \text{ (by (2.1))}, \end{aligned}$$

which is a contradiction.

Hence it suffices to show the non-freeness of the following two cases:

- (1)  $b+d \leq \left\lfloor \frac{|m|}{3} \right\rfloor$ ,  $[a, c, e] = a(c+e)$  and  $b+d > c+e$ .
- (2)  $b+d > \left\lfloor \frac{|m|}{3} \right\rfloor$ .



Note that the condition  $b + d > \left\lfloor \frac{|m|}{3} \right\rfloor$  implies  $[a, c, e] = a(c + e)$  and  $b + d > c + e$ .

**Case 3.** When  $[a, c, e] = a(c + e)$ ,  $b + d > c + e$  and  $[b, c, d]$  is balanced. Lemma 1.5 and  $\max\{a, b, c, d, e\} = a$  imply  $GMP(d_1, d_2, d_3) \leq GMP(a, \left\lfloor \frac{|m| - a}{2} \right\rfloor, \left\lfloor \frac{|m| - a}{2} \right\rfloor)$ . Hence

$$\begin{aligned}
LMP - GMP &\geq a(b + c + d + e) + [b, c, d] + e(b + d) \\
&\quad - \left\{ a(b + c + d + e) + \left\lfloor \frac{|m| - a}{2} \right\rfloor \left\lfloor \frac{|m| - a}{2} \right\rfloor \right\} \\
&\geq e(b + d) + \frac{(b + c + d)^2}{4} - \frac{(b + c + d + e)^2}{4} - \frac{1}{4} \\
&= e(b + d) - \frac{e(b + c + d)}{2} - \frac{e^2}{4} - \frac{1}{4} \\
&= \frac{2e(b + d - c - e) + e^2 - 1}{4} > 0,
\end{aligned}$$

which is a contradiction.

**Case 4.** When  $[a, c, e] = a(c + e)$ ,  $b + d > c + e$ ,  $b \geq c + d + 2$  and  $b < \frac{|m| - a}{2}$ . Note that  $b + d \geq \left\lfloor \frac{|m| - a}{2} \right\rfloor$  because of  $b + d > c + e$ . Hence  $b \geq c + d + 2$  implies

$$\begin{aligned}
LMP &= a(b + c + d + e) + e(b + d) + b(c + d) \\
&\geq a(b + c + d + e) + e(b + d) + \left\lfloor \frac{|m| - a}{2} \right\rfloor (b + c + d - \left\lfloor \frac{|m| - a}{2} \right\rfloor).
\end{aligned}$$

Since  $GMP \leq GMP(a, \left\lfloor \frac{|m| - a}{2} \right\rfloor, \left\lfloor \frac{|m| - a}{2} \right\rfloor)$ , we have

$$\begin{aligned}
LMP - GMP &\geq e(b + d) + \left\lfloor \frac{|m| - a}{2} \right\rfloor (b + c + d - \left\lfloor \frac{|m| - a}{2} \right\rfloor - \left\lfloor \frac{|m| - a}{2} \right\rfloor) \\
&= e(b + d - \left\lfloor \frac{|m| - a}{2} \right\rfloor) + \left\lfloor \frac{|m| - a}{2} \right\rfloor (b + c + d + e - (|m| - a)) \\
&= e(b + d - \left\lfloor \frac{|m| - a}{2} \right\rfloor).
\end{aligned}$$

Since  $b + d \geq \left\lfloor \frac{|m| - a}{2} \right\rfloor$ , the last equation is positive unless  $b + d = \left\lfloor \frac{|m| - a}{2} \right\rfloor$  and  $c + e = \left\lfloor \frac{|m| - a}{2} \right\rfloor$ . In this case, the same argument as **Case 2** implies  $LMP > GMP$ .

**Case 5.** When  $[a, c, e] = a(c + e)$ ,  $b + d > c + e$ ,  $b \geq c + d + 2$  and  $b \geq \frac{|m| - a}{2}$ . Lemma 1.4 implies  $GMP \leq GMP(a, b, c + d + e)$ . Hence

$$LMP - GMP \geq de > 0,$$

and the proof is completed.  $\square$

By Lemma 2.2 and 2.3, we may assume the following condition:

$$(2.2) \quad a, b, c, d, e < \left\lceil \frac{|m|}{3} \right\rceil.$$

**Lemma 2.4**

Assume that  $b + d \leq \left\lceil \frac{|m|}{3} \right\rceil$  (resp:  $a + e \leq \left\lceil \frac{|m|}{3} \right\rceil$ ). Then  $(\mathcal{A}, m)$  is not free.

**Proof.** Assume that  $(\mathcal{A}, m)$  is free with  $\exp(\mathcal{A}, m) = (d_1, d_2, d_3)$ . If  $b + d \leq \left\lceil \frac{|m|}{3} \right\rceil$ , then  $a + c + e \geq \left\lfloor \frac{2}{3}|m| \right\rfloor$ . Also, the condition (2.2) implies  $[a, c, e]$  is balanced. So  $GMP = GMP(d_1, d_2, d_3) \leq GMP(\exp[a, c, e], b + d)$  by Lemma 1.4. Thus

$$\begin{aligned} LMP(\mathcal{A}, m) - GMP(\mathcal{A}, m) &\geq (a + e)(b + d) + [a, c, e] + [b, c, d] \\ &\quad - \{[a, c, e] + (a + c + e)(b + d)\} \\ &= [b, c, d] - c(b + d) > 0 \text{ (by (2.1))}, \end{aligned}$$

which contradicts to Theorem 1.1  $\square$

Therefore we may assume that

$$(2.3) \quad \left\lceil \frac{|m|}{3} \right\rceil < a + e \text{ (resp : } b + d) < \left\lfloor \frac{2}{3}|m| \right\rfloor - 1.$$

Hence the next lemma completes the proof of Theorem 0.2.

**Lemma 2.5**

Under the conditions (2.1), (2.2) and (2.3),  $(\mathcal{A}, m)$  is not free.

**Proof.** Assume that  $(\mathcal{A}, m)$  is free with  $\exp(\mathcal{A}, m) = (d_1, d_2, d_3)$ . Note that  $LMP := LMP(\mathcal{A}, m) = (a + e)(b + d) + [a, c, e] + [b, c, d]$ . Put  $GMP := GMP(\mathcal{A}, m)$ .

**Case 1.** When  $|m| = 3k$  ( $k \in \mathbb{Z}$ ). By the assumptions,  $a < k$ ,  $b < k$ ,  $a + e > k$ ,  $b + d > k$ . Hence, if we define a new multiplicity  $\overline{m}$  by

$$Q(\mathcal{A}, \overline{m}) = (x - y)^c (y - z)^k y^k x^{b+d-k} (x - z)^{a+e-k},$$

then  $LMP \geq LMP(\mathcal{A}, \overline{m}) = 3k^2 + (a + e - k)(b + d - k)$ . So  $GMP \leq GMP(k, k, k) = 3k^2 < LMP$ , which is a contradiction.

**Case 2.** When  $|m| = 3k + 1$  ( $k \in \mathbb{Z}$ ). By the assumptions,  $a < k + 1$ ,  $b < k + 1$ ,  $a + e > k + 1$ ,  $b + d > k + 1$ . Hence, if we define a new multiplicity  $\overline{m}$  by

$$Q(\mathcal{A}, \overline{m}) = (x - y)^c (y - z)^{k+1} y^k x^{b+d-k} (x - z)^{a+e-k-1},$$

then  $LMP \geq LMP(\mathcal{A}, \overline{m}) = 3k^2 + 2k + (a + e - k - 1)(b + d - k)$ . So  $GMP \leq GMP(k + 1, k, k) = 3k^2 + 2k < LMP$ , which is a contradiction.

**Case 3.** When  $|m| = 3k + 2$  ( $k \in \mathbb{Z}$ ). By the assumptions,  $a < k + 1$ ,  $b < k + 1$ ,  $a + e > k + 1$ ,  $b + d > k + 1$ . Hence, if we define a new multiplicity  $\overline{m}$  by

$$Q(\mathcal{A}, \overline{m}) = (x - y)^c (y - z)^{k+1} y^{k+1} x^{b+d-k-1} (x - z)^{a+e-k-1},$$

then  $LMP \geq LMP(\mathcal{A}, \overline{m}) = 3k^2 + 4k + 1 + (a + e - k - 1)(b + d - k - 1)$ . So  $GMP \leq GMP(k + 1, k, k) = 3k^2 + 4k + 1 < LMP$ , which is a contradiction.  $\square$

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