Synoptic climatology of winter daily temperature extremes in Sapporo, northern Japan

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ABSTRACT: Extreme winter daily temperature is an important parameter for determining winter precipitation. This study used a principal component analysis and k-means clustering to characterize the circulation patterns of extreme daily temperatures for 19 winter seasons in Sapporo, Hokkaido, Japan. Climatological anomaly maps were constructed for sea level pressure (SLP) and the 500-hPa geopotential height for the identified minimum (Tmin10; 239 days) and maximum (Tmax90; 236 days) daily temperature extremes. The Tmax90 SLP anomaly pattern was the opposite (west–east orientation) of the Tmin10 pattern. The circulation patterns that predominantly contributed to winter rainfall were derived from cyclones over the Sea of Japan via instability created by abundant heat and moisture over the ocean and a strong positive 500-hPa height anomaly over Hokkaido.

KEY WORDS Aleutian low; geopotential height; maximum daily temperature extreme; minimum daily temperature extreme; sea level pressure; Siberian high

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1. Introduction

Hokkaido is the northernmost and second largest (77 978 km²) Japanese island, which experiences a sub-arctic climate. Sapporo, the epicentre and capital of Hokkaido, is famous for snow-based tourism, with more than 100 days of snowfall per winter. The northwesterly winter monsoon and its inter-annual variability are vital to snowfall in Hokkaido. The snowpack begins to disappear in late March–April. Melt water is an important water resource for the local population. However, the last two decades have witnessed significant reductions in the amount of snowfall and duration and extent of sea ice in the southern Sea of Okhotsk (Hirota et al., 2006), including along the coast of Hokkaido (JMA, 2007).

Using the Special Report on Emissions Scenarios (SRES) A1B scenario, Mizuta et al. (2005) predicted a reduction of 20–45 days with frost in Hokkaido by 2090.

Downscaling-based future projections have suggested a decreasing trend in snow depth in Hokkaido (Matsumura and Sato, 2011), with more warming expected during the winter than summer and during the night than day. Winter temperature in Hokkaido is driven not only by heavy ice cover but also by northeasterly winds (Honda et al., 1994) while Tachibana (1995) described snowfall distribution on the Japan Sea side of Hokkaido. Chishima (1962) indicated that years with heavy (light) ice conditions in Abashiri (facing the Sea of Okhotsk; 44°01′14″N, 144°16′24″E), Hokkaido were associated with below (above)-average temperatures. Ogara (1976) reported the relationship between winter temperature and sea ice and found that temperature dropped (rose) and sea ice approached (retreated from) the city of Abashiri, Hokkaido. Aota et al. (1988) described the relationship between the amount of sea ice and temperature and found a decrease in winter temperature in Monbetsu (facing the Sea of Okhotsk; 44°21′23″N, 143°21′16″E), Hokkaido. Jacobs (1946) described the distribution of the percentage of days with precipitation and air flow patterns in Hokkaido. Meanwhile, Kawamura (1961) described winter precipitation in Hokkaido considering synoptic climatological features.

Winter rainfall in Hokkaido is associated with a serious risk of landslides, mudslides, flooding, and avalanches. For example, on 21 January 2002, the Motsusukam River near Sapporo (43°01′54″N, 141°25′00″E) was flooded (0.3 ha inundated) by a 53.5-mm rainfall event, with a maximum air temperature of 4.5°C. Under the condition of a global 2°C temperature increase, Yamada et al. (2014) estimated a 1.12-fold increase in future short-term extreme winter precipitation intensity in Hokkaido. In addition, the decline in snow cover will force changes in snow- and ice-based tourism centres, such as the ski resort town of Niseko, southwest Hokkaido (42°48′22″N, 140°41′13″E; JMA, 2007), threatening the cultural identity of Hokkaido.

Specific circulation patterns are responsible for such temperature variations in winter. Several studies have examined atmospheric circulation, with an emphasis on cyclonic activity and its influence on temperature.
To classify these circulation patterns, principal component analysis (PCA) and clustering have been used (Birkeland et al., 2001; Yarnal et al., 2001; Esteban et al., 2005; Bednorz, 2011; Farukh and Yamada, 2014). In this study, we identified and described the circulation patterns of sea level pressure (SLP) and 500-hPa geopotential height (GPH) associated with maximum and minimum temperature extremes in Sapporo using a PCA and k-means clustering. The local effect of circulation patterns on winter snowfall and rainfall was characterized using instability indices. Section 2 introduces the methodology used in this study. The statistical characteristics and synoptic climatology of extreme temperature days are described in Section 3, and a discussion is provided in Section 4.

2. Data and methodology

This study considered the Sapporo region (42°53′38″−43°15′58″N and 141°04′52″−141°35′49″E) of the northernmost Japanese island, Hokkaido. Daily temperature data from 1992 to 2011 at 10-min intervals were obtained from the Sapporo City multi-sensor (MULTI) network, which has 52 stations (Figure 1(a)). Figure 1(b) shows the monthly variations in daily mean air temperature averaged over the 52 MULTI network stations and standard deviation (boxes), as well as the maximum and minimum daily means (whiskers) for the period of 1992–2011.

In this study, only the winter (December–March) air temperatures, rainfall, and snowfall for the 1992/1993 to 2010/2011 seasons were analysed. To characterize extreme meteorological phenomena, the maximum and minimum values are widely used as measures of dispersion and frequency of occurrence (Bednorz, 2011). In this study, based on the Intergovernmental Panel on Climate Change, the extreme daily mean temperatures were defined as those with a frequency lower (higher) than or equal to 10% (90%) (IPCC, 2007). The mean value of the 52 MULTI stations spatially averaged daily minimum temperature lower than the 10th percentile and that of the daily maximum temperature greater than the 90th percentile are referred to here as the negative (i.e. minimum; Tmin10) and positive (i.e. maximum; Tmax90) extremes of the mean daily temperatures, respectively.

The daily mean SLP data sets for Tmin10 and Tmax90 days were obtained from the Japanese 55-year reanalysis (JRA-55) by the Japan Meteorological Agency (JMA) (Kobayashi et al., 2015) encompassing a region within 20°–65°N and 110°–170°E at a 1.125° spatial resolution. The daily SLP values were computed as the 6-h average (0000, 0600, 1200, and 1800 UTC) values for each day. Because a large variation remained in the daily values, Lanczos low-pass filtering (Duchon, 1979) with 121-term weighting factors and a 60-day cut-off was applied. PCA is a technique for reducing data without losing climate information, which is used extensively in meteorology and climatology (Baeriswyl and Rebetez, 1997). In this study, PCA based on an S-mode (grid points as variables, days as observations) data matrix was applied. S-mode PCA is a proven technique for climate regionalization (Knapp et al., 2002), where the eigenvalues and eigenvectors of the correlation or covariance matrix of the time series and loading matrix are computed first. The PCA can be defined from an $N \times n$ data matrix $Z = (z_{ij}; i = 1, \ldots, N; j = 1, \ldots, n)$, where $i$ indexes individuals or cases and $j$ indexes variables. For every, $i, j$, $z_{ij}$ denotes the variable value of a physical field or derived field. Thus, the PCA representation of $z_{ij}$ is as follows:

$$z_{ij} = \sum_{m=1}^{r} f_{im} a_{mj} \quad i = 1, \ldots, N; \quad j = 1, \ldots, n; \quad m = 1, \ldots, r, \quad r \leq n$$

where $f_{im}$ is the $r$th principal component (PC) score for the $i$th individual and $a_{mj}$ is the $r$th PC loading on the $j$th variable.

In matrix form, Equation (1) becomes:

$$Z = FA^T$$

The PC scores or amplitudes and loadings can be developed with the following definitions:

$$R \equiv Z^T Z (n \times n) \quad \text{(data correlation matrix)}$$

$$\Phi \equiv F^T F \quad (r \times r) \quad \text{(PC score correlation matrix)}$$

$$S \equiv Z^T F (n \times r) \quad \text{(PC primary structure matrix)}$$

In Equation (5), $Z^T$ can be substituted by $AF^T$,

$$Z^T F = AF^T F$$

$$S = A\Phi$$

which is a solution of the PC primary structure matrix (5) that represents the correlations between the PCs and variables. Equations (3), (4), and (6) are used to define the PCA in terms of the correlation matrix (R) representation:

$$R = Z^T Z = AF^T F A^T$$

$$R = A\Phi A^T$$

This relationship (Equation (8)) is the fundamental PC equation in terms of the correlation matrix and is a combination of $A$ (PC primary pattern matrix) and $A\Phi$ (PC primary structure matrix). In the orthogonal rotation, $\Phi = I_r$, therefore, $A\Phi = A$, and both are referred to as PC loadings.
The PCA was conducted on the standardized SLP (Yarnal et al., 2001) to derive the dominant patterns of variability from the raw fields. Until this step of the analysis, the JRA-55 data were used to ensure the maximum precision of SLP data surrounding Japan. However, the loading matrix represents the correlation of the original variables with the PCs. The loading matrix \( C \) can be calculated using the following equation:

\[
C = A \times \lambda^{1/2}
\]

where \( A \) is an orthogonal matrix of the eigenvector of the correlation matrix \( R \) and \( \lambda \) is the diagonal matrix of eigenvalues of \( R \). Following Barry and Carleton (2001), the correlation matrix was used to efficiently represent the variances in the data set, without possible domination by the grid points with the largest variances (Jolliffe, 1986). The number of components explaining a significant portion of the total variance was determined by the Scree test (Cattell, 1966) where the Scree test determines the number of factors retained in a PCA and involves plotting the eigenvalues in descending order of magnitude against their factor numbers. The transition from a steep slope to a plateau indicates the number of meaningful factors, which differs from the random error. The retained
components are then rotated using a varimax procedure (Yarnal, 1993).

A non-hierarchical k-means clustering method, which is an objective identification technique (Yarnal, 1993; Hair et al., 1998), was used to classify and cluster the observations and ensure the comparability of the results for different atmospheric data sets (e.g. Fovell and Fovell, 1993; Gerstengarbe et al., 1999; Martineau et al., 1999; Unal et al., 2003). The clustering procedure determines the distance between individual observations using the smallest Euclidean distance. The Euclidean distance ($d_{rs}$) is a measure of the dissimilarity between two pairs of objects (clusters) $x_r$ and $x_s$ in an $n \times p$ data matrix:

$$d_{rs} = \left[ \sum_{i=1}^{p} (x_{ri} - x_{si})^2 \right]^{1/2}$$

where $n$ is the number of observations and $p$ is the number of variables. To decide the number of groups and centroids, we considered the spatial variation patterns established by the PCA (i.e. the PCs in the positive and negative phases) as potential groups. To create the centroids of the groups, observations with high score values were selected ($> +1$ for the positive phase, or $< -1$ for the negative phase, but between $+1$ and $-1$ for the remainder of the PCs) (Tait and Fitzharris, 1998; Birkeland et al., 2001). The $k$-means clustering also produced the final classification of all observations (days) with a similar distribution of SLP fields. Because the centroids of the classified SLP fields were well established by the first PCA grouping (reflecting a circulation pattern), non-iteration (i.e. no repetition) of the $k$-means clustering was used.

Finally, synoptic maps of the circulation groups were constructed for SLP and G$	extsubscript{H}$H. To derive the synoptic circulation patterns, daily SLP and G$	extsubscript{H}$H data were used from the National Centers for Environmental Prediction/National Center for Atmosphere Research (NCEP/NCAR) reanalysis (Kalnay et al., 1996), which ensured quality atmospheric data at a 2.5° grid resolution.

The SLP and G$	extsubscript{H}$H climatological anomaly maps were constructed separately for Tmin$	extsubscript{10}$ and Tmax$	extsubscript{90}$. The MULTI rainfall and snowfall data for the individual circulation groups were compared with data from the Automated Meteorological Data Acquisition System (AMeDAS; Figure 1(a)), which is maintained by the JMA. The radiosonde data for the days of Tmin$	extsubscript{10}$ and Tmax$	extsubscript{90}$ for the Sapporo stations measured at 0900JST (UTC+9) were derived from the web portal of the Department of Atmospheric Science, University of Wyoming.

3. Results

3.1. Extreme temperature days

A total of 239 days with Tmin$	extsubscript{10}$ and 236 days with Tmax$	extsubscript{90}$ were selected from 19 winter seasons for further analysis. The threshold values determined for Tmin$	extsubscript{10}$ and Tmax$	extsubscript{90}$ were $\leq-19.85$ and $\geq10.22$°C, respectively. On a multi-annual scale, the number of days with extreme temperatures displayed considerable year-to-year variability (Figure 2). The number of days with Tmin$	extsubscript{10}$ showed a decreasing trend, and the mean winter temperature in Sapporo, which was derived from the spatially averaged December–March temperature at 52 MULTI stations, rose by 0.5° C over the last two decades. The PCA and SLP clustering for Tmin$	extsubscript{10}$ and Tmax$	extsubscript{90}$ enabled the selection of five PCs for each set of extremes, which explained 82 and 81% of the total variance, respectively.

Finally, Tmin$	extsubscript{10}$ and Tmax$	extsubscript{90}$ days were classified into five groups for each set of extremes. The winter mean SLP showed the development of two main centres, the Siberian high over the Eurasian continent (centre pressure: $\sim1030$ hPa) and the Aleutian low over the North Pacific (centre pressure: $\sim996$ hPa). Hokkaido is located between the perimeters of these two systems (Figure 3(a)), where
the prevailing northwesterly winds cause the advection of a cold air mass from Siberia and abundant moisture from the Sea of Japan to Hokkaido that can cause heavy snowfall in western Hokkaido. Conversely, the mean GpH increased from the south of Japan (5800 gpm) to the north (<5300 gpm over Hokkaido).

3.2. Synoptic climatology of \( T_{\text{min}10} \)

The SLP composite for \( T_{\text{min}10} \) showed a strong (>4 hPa) positive anomaly surrounding Hokkaido, with a centre held to the east by the Aleutian low (Figure 3(b)). Conversely, the positive GpH anomaly extended over northern Hokkaido, suggestive of a predominantly northwesterly airflow in the mid-troposphere. The transition zone between the positive and negative anomaly covered the entirety of Hokkaido. The clustering of \( T_{\text{min}10} \) revealed the five most relevant types (clusters 1–5); their anomalies relative to climatology are shown in Figure 4(a) (shading). Clusters 1–5 represented 60, 57, 14, 26, and 82 days, respectively. In addition, 52% of days in cluster 5 appeared in January, which had the maximum average snowfall intensity (i.e. snow depth) of 2.4 mm h\(^{-1}\). All clusters (except 4) showed a positive SLP anomaly over Hokkaido, but they differed in terms of location and pressure centre intensity. The maximum positive anomaly (>5 hPa) was found over eastern Hokkaido (cluster 2), while the cyclonic systems or disturbances were located over the west of Bering Sea (clusters 1, 4, and 5). The western high was a strong feature (cluster 4) that could form a narrow ridge over Hokkaido and spread up to the west of Bering Sea, causing very intense airflow from the north/northwest. This circulation type (particularly the Siberian high) in the cold season consisted of a cold dry air mass that could carry cold outbreaks toward the East Asian region (Wang, 2006), with a prominent effect on northern Japan. Cluster 3 showed a different pattern, with the strongest positive anomaly (>7 hPa) over the west of Bering Sea and a cyclone over southern Japan, which could bring heavy snowfall to the Pacific coast of Honshu. Figure 4(a) also illustrates the GpH anomaly (solid and dotted lines for positive and negative anomalies, respectively), where clusters 4 and 5 were characterized by a strong negative anomaly (~20 to −50 gpm) over Hokkaido from the west of Bering Sea. Conversely, a positive anomaly dominated over Hokkaido, originating from the west of Bering Sea (clusters 2 and 3, partly positive for cluster 1).

3.3. Synoptic climatology of \( T_{\text{max}90} \)

Figure 3(c) shows the negative SLP anomaly (<−3 hPa) over Hokkaido, with a centre over the Eurasian continent, while a strong GpH (100 gpm) positive anomaly existed over southeast Hokkaido. Regarding \( T_{\text{max}90} \), clusters 1–5 represented 32, 14, 60, 44, and 86 days, respectively. Moreover, 56% days in cluster 5 were observed in March, which had the maximum average rainfall of 4.17 mm day\(^{-1}\).

Figure 4(b) shows the circulation types (clusters 1–5) and their SLP (shading) and GpH (lines) anomalies for \( T_{\text{max}90} \), which showed a strong (<−7 hPa) negative SLP anomaly (clusters 1, 2, 4, and 5) from the west/northwest of Hokkaido, while a strong (>7 hPa) positive zone existed over the west of Bering Sea except cluster 4. The main feature of these circulation types was a low-pressure trough extending over Hokkaido and a cyclone over the Sea of Japan. On the side of Hokkaido adjacent to the Sea of Japan, the synoptic patterns shown in Figure 4(b) correspond to southerly (warm) wind rather than the winter monsoon. In most of these cases, seasonal precipitation led by the winter monsoon absorbed latent heat from the Sea of Japan (Hirose and Fukudome, 2006), which was responsible for extreme rainfall events in Hokkaido (Figure 6), categorized as Dfa or Dfb in the Köppen–Geiger climate classification (Peel et al., 2007). Conversely, winter rainfall in the area of Hokkaido adjacent to the Pacific Ocean was carried by extra-tropical cyclones passing across Japan or passing near the southeastern coastal areas. This type of rainfall becomes active in early winter in Hokkaido due to active cyclogenesis. A positive anomaly inflow from the north was apparent for the least common circulation type (cluster 3). The GpH indicated a positive anomaly over Hokkaido for all clusters, when the strongest (>50 gpm; clusters 4 and 5) had very steep contour gradients suggestive of a mostly westerly/southwesterly airflow, but for cluster 2 the 500 hPa wind over Hokkaido was from the southeast.
3.4. Characteristics of Tmin\textsubscript{10} and Tmax\textsubscript{90}

To characterize extreme temperature days, the contribution of circulation patterns was evaluated based on snowfall (for Tmin\textsubscript{10}) and rainfall (for Tmax\textsubscript{90}) (Figures 5(a) and (c)), along with sunshine hours (from MULTI) and upper air instability indices (from the University of Wyoming), such as convective available potential energy (CAPE), convective inhibition (CIN), and precipitable water (PWAT) (Figures 5(b) and (d)). The graphical representation of the variability or the uncertainties of the measurements for all parameters are shown using vertical bars. In addition, Figure 6 shows the spatial distribution of rainfall and snowfall over Hokkaido for each cluster type using AMeDAS data for Tmax\textsubscript{90} (first and third panels) and Tmin\textsubscript{10} (second and fourth panels). The separation of AMeDAS precipitation into 10-km gridded rainfall and snowfall intensity was applied by the threshold of 2 °C at 2-m-height air temperature.
Of the 239 days of Tmin10, 206 days had \( \geq 10 \) cm day\(^{-1}\) snowfall in Sapporo based on AMeDAS daily data measurements (y-axis in Figure 5(b); snowfall is divided by 25). Clusters 5, 3, and 2 (Figure 4(a)) accounted for 51, 27, and 13% of these snowfall days, although cluster 5 was characterized by sunny weather (\( \sim 4 \) h day\(^{-1}\)). Although the number of sunshine hours was lower for cluster 3, it had a higher CAPE value than the other clusters, suggestive of an unstable atmosphere. On average, 3.5, 5.2, 4.6, and 2 days month\(^{-1}\) from December to March had \( \geq 10 \) cm day\(^{-1}\) snowfall; the top three values were 24 (2004–2005), 20 (1994–1995), and 19 (1993–1994, 1998–1999, 1999–2000, and 2005–2006).

Of the 236 days of Tmax90, 193 days had \( \geq 10 \) mm day\(^{-1}\) of rainfall in Sapporo. Clusters 1–5 accounted for 8, 25, 17, 8, and 42% of these days. The top three numbers of days in a season were 21 (1993–1994), 20 (2004–2005), and 16 (1998–1999 and 2003–2004), while the number of rainfall days/month in December to March averaged 3.75, 3.5, 2.75, and 2.15 days, respectively. The maximum wintertime rainfall was recorded in clusters 5 and 2, where the circulation patterns were characterized by upper air instability (higher CAPE and CIN) and higher PWAT. Because of the added heat and moisture supplied by the warm ocean, as the continental air mass proceeded eastward over the Sea of Japan. Therefore, the predominantly westerly wind was forced to ascend along the mountain range of northern Japan, causing heavy rainfall, mainly on the Sea of Japan side. Although cluster 5 had a higher number of sunshine hours, the minimum number of sunshine hours with a high CAPE for cluster 2 indicated that cloudy and stormy weather was mainly governed by cyclones.

4. Discussion and conclusions

In Sapporo, northern Japan, extreme winter temperatures are important parameters for determining winter rainfall and snowfall; therefore, the drivers of temperature extremes should be investigated thoroughly. Circulation patterns at the surface and mid-troposphere have a major impact on the occurrence of temperature extremes. We used multi-variate statistics (PCA and clustering) to interpret the circulation patterns and describe extreme temperature days for 19 winter seasons (1992/1993 to 2010/2011) in Sapporo, during which time a total of 239 and 236 days were identified as Tmin10 and Tmax90, respectively. The frequency of Tmin10 appears to have decreased in the last two decades. Tmax90 displayed a slight positive trend. Using simple thresholds for snowfall (\( \geq 10 \) cm day\(^{-1}\)) and rainfall (\( \geq 10 \) mm day\(^{-1}\)), the total number of days with Tmin10 ranged from 4 (2008–2009) to 26 (2000–2001) and for Tmax90 the range was
from 7 (1993–1994, 1999–2000, and 2005–2006) to 22 (2008–2009), respectively. The monthly average maximum number of days was 5.2 (January) and 3.75 (December) for Tmin10 and Tmax90, respectively.

Regarding Tmin10, the pressure gradient between the Eurasian continent and northwestern Pacific (Figure 4(a); clusters 1, 3, 4, and 5) is one of the driving forces that can cause intense airflow from the north/northwest over Hokkaido. The air mass transformation process over the Sea of Japan and the ocean’s role in modulating winter snowfall over northern Japan are also important regulatory factors of Tmin10. The circulation patterns corresponding to clusters 3 and 5 (Figure 4(a)) contributed the maximum number of days within Tmin10 (78%), which were associated with substantial snowfalls during the winter seasons included in this study.

In terms of west–east high- and low-pressure orientation, the pattern of SLP anomalies for Tmax90 was the opposite of the pattern for Tmin10. A rapid temperature increase associated with the passage of a cyclone can cause
heavy winter rainfall in northern Japan and is a driving force of Tmx₉₀. Circulation patterns are another driving force, where the location and intensity of winter cyclones (over the Sea of Japan), along with a strong positive G₂H anomaly over Hokkaido, contribute greatly to winter rainfall (Figure 4(b); cluster 2). Cluster 2 accounted for 25% of the total winter rainfall days with ≥10 mm day⁻¹, whereas cluster 5 accounted for the largest (42%) number of total rainfall days under an unstable atmosphere, and was strongly controlled by air circulation, particularly cyclonic activity.

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