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Report of the 2nd International SOWER Meeting
San Cristóbal, Galápagos, Ecuador, 10-15 July 2004
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Introduction
The Soundings of Ozone and Water in the Equatorial Region (SOWER) was initiated in the late 1990's (Hasebe et al., 1998) for the purpose of improving our understanding of the distribution and variabilities of ozone and water vapour in the tropics. A series of ozone and water vapour sondes launches has been conducted, together with conventional radiosonde observations at several tropical stations such as San Cristóbal, Galápagos (eastern Pacific), Christmas Island, Kiribati (central Pacific), and Watukosek, Indonesia (western Pacific). These data constitute a unique dataset for the monitoring of water vapour in the tropical stratosphere and provide key information pertaining to transport processes between the troposphere and the stratosphere.

The identification of the tropical tropopause region has changed drastically since the introduction of the Tropical Tropopause Layer (TTL), leading to several new hypotheses on the dehydration of the stratosphere. Existing satellite data enables the study of long-term trends in water vapour, and with the launch of EOS/AURA in July 2004, high quality constituent data are becoming available. A large observational campaign in the equatorial region has been proposed by the United States to support the satellite programme, and the SOWER Team is also preparing an intensive campaign in the western tropical Pacific in the coming northern winter. In view of these programmes, we believe it is quite timely to have a focused scientific discussion on the dehydration process in the TTL, following the first of such meetings in October 1997 (SOWER, 1998).

The scientific sessions were divided into the following subjects: (i) Development of observational techniques; (ii) Observational descriptions of UT/LS water vapour; (iii) Atmospheric processes influencing stratospheric water vapour; (iv) The role of UT/LS water vapour in climate; (v) Hypotheses on the dehydration mechanism in the TTL; (vi) Observations of dehydration processes; and (vii) Modelling of stratospheric water vapour and dehydration processes.

In addition to the scientific discussions, ozone and water vapour sonde launches were demonstrated during the meeting for those not familiar with radiosonde procedures. Other highlights of the meeting were the presentation of NASA awards to M. Agama, J. Cornejo and F. Paredes in recognition of their contribution to the Southern Hemisphere Additional Ozone Sondes (SHADOZ) programme, and a special talk on the meteorological observation network in Ecuador given by L. Poveda. A special presentation in memory of James R. Holton was given by A. Gettelman and K. Rosenlof. For details of the meeting see http://sower.ees.hokudai.ac.jp/.

Development of Observational Technique
H. Vömel reviewed the accuracy and performance requirements for frost point hygrometers, noting the limitations of measurements of stratospheric and upper tropospheric water vapour. In cooperation with CIRES at the University of Colorado (CU), a new Cryogenic Frostpoint Hygrometer (CFH) was developed. It is based on the NOAA frostpoint hygrometer, but overcomes its limitations and at the same time reduces power consumption and weight, translating to a corresponding saving in weight and balloon size. Therefore, it is easier to use and to obtain high quality data.

CU-CFH sondes are regularly launched at San Cristóbal (Ecuador), Hilo, HI (USA), and Boulder, CO (USA). CFH sondes were also used during the LAP-BIAT Upper Troposphere Lower Stratosphere (LAUTLOS) experiment in Sondankylä, (Finland), and during the SOWER Bandung (Indonesia) campaign. This instrument was used as the reference sondes during the AIRS Water Vapour Experiment (AWEX) at the ARM/CART site near Lamont, OK (USA) to evaluate the performance of the radiosonde sensors, as well as the Snow White Hygrometer. Comparisons show very good agreement between these sensors.

M. Fujiwara reviewed the performance of the Meteolabor Snow White Hygrometer, which is a low-cost, chilled-mirror hygrometer for radiosonde applications provided by Meteolabor AG, a Swiss company. SOWER began testing this sensor for possible tropical tropopause water vapour measurements in 2000, and has since collaborated with Meteolabor to improve it. Snow White was found to be an appropriate reference sensor for radiosonde humidity sensors in the troposphere (Fujiwara et al., 2003a, Wang et al., 2003); however, the limited cooling strength of the device provides a lower detection limit of 6 to 8% relative humidity (Vömel et al., 2003). The optimization and sensitivity of the controller circuit may also need to be improved for measurements above the tropopause.

Observational Descriptions of UT/LS Water Vapour
S. J. Oltmans and H. Vömel presented a re-evaluation of the long-term water vapour record at Boulder. This 25-year record has shown an increase in water vapour from the tropopause up to an altitude of ~28 km; however, a recent comparison between the Boulder profiles obtained with a balloon-borne hygrometer and HALOE has shown discrepancies since 1997 (Randel et al., 2004).

Removal of contaminated profiles, and a small correction to the instrument calibration after 1990, produced a time series that is in better agreement with the HALOE record after 1997 (see Figure 1). The increase over the 25-year period in the 18-22 km layer is 0.75 ± 0.2 %/year, with lesser increases in this layer since 2000, making the change since 1991 small in both the balloon record and the
HALOE measurements.

A. Gettelman, on behalf of W. Randel, presented changes in stratospheric water observed in HALOE data which shows an interannual tape recorder of anomalies that propagate vertically and latitudinally in the stratosphere. Since 2001, stratospheric water vapour has been persistently low (by \(-0.5\) ppv; Figure 2). These low values spread from the tropics, and are also seen in POAM data which is correlated with HALOE observations. The changes in HALOE H\(_2\)O are also correlated with tropopause temperature anomalies throughout the record. The most recent values of water vapour (to April 2004) are still low, as are tropopause temperatures. These temperature anomalies are centred in a narrow layer just above the tropical tropopause.

Tropical ozonesondes also show low ozone from 16-18 km after 2001, with the largest changes centred around, or just below the tropical tropopause. Ozonesonde anomalies are similar to satellite (SAGE II) ozone anomalies over this period, and SAGE II ozone data show that the anomalies are centred in the tropics.

Temperature and ozone changes are consistent with an increase in tropical upwelling (the Brewer-Dobson circulation), however there is not conclusive evidence of increases in extratropical planetary wave forcing. Other mechanisms which can explain the recent changes include persistent changes in tropical convection or ozone decreases leading to cooling.

K. Rosenlof examined the observed drop in water vapour at the end of 2000 seen in both the CMDL and HALOE records at midlatitudes. This drop is also seen in the HALOE and SAGE II data sets in the tropics, and, albeit delayed by a few months, in the POAM satellite Northern Hemisphere (NH) water vapour data at high latitudes. The timing of this drop corresponds to a significant drop in NCEP tropopause temperatures, and a drop in the cold point temperature as measured by operational radiosondes, indicating a possible change in vertical stability in the upper tropical troposphere.

The reasons for the dramatic drop in temperatures are not known, but it is likely that enhanced uplift near the tropopause is responsible. If this continues, it will likely result in a decrease in stratospheric water at upper levels over the next few years, especially since a corresponding increase in surface methane is not occurring at this time.

A. Gettelman gave a historical overview of satellite observations of water vapour in the TTL, starting with LIMS in the stratosphere, and HALOE and MLS in the TTL (Kley et al., 2000). Satellites such as HALOE and SAGE have helped reveal that there are significant instances of sub-visible cirrus clouds in the tropics (Wang et al., 1996), which may account for a significant amount of dehydration in the TTL. In addition, the ATMOS instrument on the space shuttle measures water vapour and water vapour isotopes from space.

New instrumentation promises a more detailed picture of TTL water vapour from space. Many of these sensors are on a series of NASA satellites called the “A-Train”, which will observe the same location on the Earth at the same time. Aura contains both HIRDLS and MLS limb sounding instruments measuring TTL water vapour. On the Aqua satellite, tropospheric water vapour (up to 200 hPa) is measured by the Atmospheric Infrared Sounding (AIRS) suite, and TTL cloud properties are determined by the Moderate-Resolution Imaging Spectrometer (MODIS) radiometer with high spatial and moderate spectral resolution.

H. Vömel presented results from water vapour and ozone soundings taken at San Cristóbal and other tropical sites. These results have revealed a wide variety of processes that control the water vapour content in the TTL. Convective processes can dry air rising within cumulonimbus clouds to well below the mean stratospheric water vapour mixing ratio. The outflow of these convective events has been observed both in the Western Pacific region as well as over San Cristóbal. This process is highly seasonal for any given geographic location. At San Cristóbal this process also shows a clear dependency on the El Niño phase. Non-convective drying through cirrus clouds, which form at a cold tropopause, has been observed frequently at San Cristóbal during the austral winter and spring months.

During the Austral summer and early fall, when the tropical tropopause is typically much warmer, little dehydration has been observed over San Cristóbal. In one case, some indication of dehydration in the upward phase of a Kelvin wave event was observed, which may constitute an important dehydration mechanism during the warm season. The geographic pattern of the tropopause temperatures also reflects the importance of these regions for dehydration. At Juazeiro do Norte (Brazil) for example, no dehydration was observed during the season of cold tropopause temperatures, since in this region, the mean tropopause temperature is generally too warm to contribute to the dehydration in the TTL.

The tropopause temperatures over San Cristóbal showed a clear cooling in 2000, and cold temperatures continue in 2004. Therefore, it is to be expected that the geographic region of the eastern Pacific continues to play a role in dehydration, even though it is generally located outside the region of coldest tropopause temperatures.

K. Rosenlof presented results from the January 2004 Pre-AVE WB57-F Aircraft Experiment based in San Jose...
(Costa Rica). These flights were part of a test mission to ready an instrument suite and demonstrate capabilities for validation of the Aura satellite, launched in July 2004. One of these flights was designed to be a comparison with the CMDL frost point balloon launched from San Cristóbal. Significant differences are seen between the aircraft and balloon measurements, with the aircraft measurements approximately 1 ppmv higher at the altitude of the water vapour minimum. The net result of the large differences between the aircraft and balloon measurements translates into large differences in estimated saturations with respect to ice. In particular, the aircraft data show large supersaturations with respect to ice that are not evident in the frost point data. Statistics on numbers of points showing high supersaturations with respect to ice differ between the CMDL and aircraft data sets, with the aircraft data showing a higher frequency of supersaturation with respect to ice than the frost point balloon.

The differences noted in the comparison are consistent with those reported in the SPARC water vapour assessment (Kley et al., 2000). These differences are of concern, because uncertainties in the water vapour measurements as based on comparisons between techniques/platforms are large enough to preclude making conclusions as to what processes control the stratospheric entry value of water vapour. The reasons for the differences noted are not yet known. Determining why large differences exist between these WB-57F aircraft instruments and the balloon frost point instrument at low water vapour values is a significant problem that requires close examination. Before using either set of data for satellite validation, these differences should be understood.

T. J. Dunkerton presented evidence for a "trimaldual" distribution of extreme values of ozone and water vapour concentration in NH summer, using HALOE data from 1991-2004. Low values of ozone and water vapour concentration are found near the equator in the western tropical Pacific (as in NH winter), but over the major monsoon regions of North America and South Asia there exist high concentrations of water vapour, presumably due to moistening by deep convection, while ozone is low over Asia but high over North America. Evidently the Asian monsoon circulation is stronger and somewhat deeper than the monsoon circulation over North America, causing air within the Tibetan anticyclone to be relatively isolated and depleted in ozone, due to transport of ozone-poor air from the troposphere.

Since September 1999, weekly ozone-and radiosondes have been launched at Paramaribo station (Suriname) (5.8°N, 55.2°W), on the northern coast of South America. Since October 2002, more accurate water vapour balloon observations have been made with the Snow White hygrometer, installed at a receiving station through a collaboration between KNMI, Kyoto University and Hokkaido University (Japan). P. Fortuin, G. Verver, P. Dolmans, M. Fujiiwara and H. Kelder presented an analysis of the water vapour observations from 16 of these launches over the period October 2002-June 2003 (11 launches) and March-April 2004 (5 launches).

Observations suggest a steady ascent of moisture-rich air through the TTL above Surinam, although additional evidence is needed since the humicaps observations at these high altitudes are unreliable.

N. Eguchi and M. Shiotani presented results from MLS and CLAES data, which suggest a direct effect of convec-
tive activity on wet anomalies up to a height of 146 hPa, but an indirect effect by the dynamical response to the convective heating at 100 hPa. Dynamical structures around the tropopause level are characterized by equatorial temperature anomalies (Kelvin wave response) and by subtropical anticyclonic gyres (Rossby wave response). Between the two gyres, the easterly wind blowing through the equatorial cold region may cause dehydration, along with the formation of cirrus clouds. As the northern gyre intensifies, tropical dry air is transported to the subtropical Pacific and eventually to the equatorial Pacific. It is suggested that the temperature and flow variations due to the coupled Kelvin-Rossby wave structure play an important role in dehydrating air in the tropical and subtropical tropopause region.

M. Fujiwara presented a case study of an Upper Tropospheric Inversion (UTI) and jet in the tropics. Ship-board radiosonde measurements revealed a persistent temperature inversion layer at 12-13 km over the tropical eastern Pacific, which was located at the top of a very wet layer originating from the ITCZ to the north (Fujiwara et al., 2003b). Radiative transfer calculations suggested that this UTI was produced and maintained by strong longwave cooling in the wet layer.

A strong easterly jet stream was also observed at 12-13 km, and was in thermal wind balance with the meridional temperature gradients produced by the cloud by radiative processes in the ITCZ and the wet outflow. The jet in turn acted to spread inversions further downstream through the transport of radiatively active water vapour. This feedback mechanism may explain the omnipresence of temperature inversions and layering structures in trace gases in the tropical troposphere. Examination of high-resolution radiosonde data at other sites in the tropics indicates that similar UTIs often appear around 12-15 km. The UTI around 12-15 km may thus be characterized as one of the "climatological" inversions in the tropical troposphere, forming the lower boundary of the TTL.

N. Nishi, M. Yamamoto, A. Hamada, J. Hashiguchi and S. Fukao presented the vertical wind distribution in and around upper tropospheric cirriform clouds, obtained by using the VHF radar Equatorial Atmospheric Radar (EAR) wind data at Sumatra Island (Indonesia) (0°N, 100°E), and GOES IR data in November 2003. It was found that the most dominant variability in the middle and upper troposphere is a high frequen-

The global distribution of water vapour isotopes and its seasonal variation were obtained for the first time by Odin/SMR measurements. The δD value of water vapour in the stratosphere agrees with the past measurements and a model (Ridal and Siskind, 2002). It increases with altitude from the TTL to the stratosphere. The methane contribution to the increase of δD was discussed and the δD value overhead of the TTL was estimated.

Y. Kasai, J. Urban, M. Murtagh, P. Ricaud, and the Odin/SMR group presented results of the water vapour isotopes observed by the Sub-Millimetre Radiometer (SMR) onboard the Odin satellite, which is a satellite funded jointly by Sweden, Canada, Finland and France, and was launched in February 2001. In aeronomy mode, the SMR dedicates various target bands to observations of trace constituents relevant to stratospheric/mesospheric chemistry and dynamics, such as O₃, ClO, N₂O, HNO₃, H₂O, CO, as well as isotopes of H₂O and O₃.

The equilibrium states of the control run and the 2 x CO₂ run show decreases in the upper tropospheric water vapour in both models, leading to a significant decrease in high cloud, although this change is much more significant in the high sensitivity model. The role of high clouds in the radiation budget of the earth-atmosphere system depends on optical depth; high thin cloud acts to warm the atmosphere, while high thick cloud cools. Thus, the decrease in high clouds can reduce both the warming and cooling effect to the system. In these particular experiments, the reduction of cooling seems to dominate and strengthen the magnitude of the warming, with a more significant response in the high sensitivity model. For further discussion, evaluation of the distribution of cloud and water vapour in the upper troposphere in the current climate, using the observational data, is necessary.
Hypotheses on the Dehydration Mechanism in TTL

A. Gettelman and C. Webster highlighted some recent work using water vapour isotopes to understand dehydration in the TTL. The process of fractionation of heavier isotopes of water (HDO), due to their lower vapour pressures and preferential partitioning into condensed phases, is temperature dependent. Lifting a parcel from the surface and removing the condensed phase water (a so-called Rayleigh curve) results in a loss of up to 95% of HDO relative to the surface abundance, however observations indicate the stratosphere is only about 65% depleted in HDO. Recent observations indicate significant variability in the TTL below the tropopause, with values in total water (including ice) of no depletion (0%) to 95% depletion (Webster and Heymsfield, 2003).

An analytical microphysical model was described which calculates water vapour and condensate along a trajectory, accounting for condensation, evaporation and sedimentation of ice, and fractionation of water isotopes. The model is able to reproduce observations of HDO in the TTL, with a similar range of variability. Depletion due to individual convective events, and in situ cirrus formation are well captured. Isotopic observations and the model are consistent with (1) convective transport and mixing up to the level of main convective outflow, (2) mixing of air with ice detrained from convection (the evaporation of convective anvils) and (3) slow ascent under supersaturated conditions into the stratosphere, with the final dehydration occurring in cirrus clouds. Climatological experiments with the same model and ensembles of back trajectories indicate that more depletion is expected around regions of deep convection, such as the Western Pacific.

Observations of Dehydration Processes

Vertical profiles of ozone and water vapour obtained by radiosondes at stations in the tropical Pacific have shed light on the role of vertically propagating atmospheric waves in the dehydration processes taking place in the TTL (Hasebe et al., 2001, Fujiwara et al., 2001). On the other hand, the idea emphasizing the role of horizontal advection as a key process in determining the water vapour concentration in the stratosphere (Holton and Gettelman, 2001) is becoming widely accepted (Randel et al., 2001, Hatsushika and Yamazaki, 2003, Eguchi and Shiotani, 2004).

To enable us to identify the mechanism determining the long-term trend of stratospheric water vapour, it is necessary to quantify the contribution of each process. The Lagrangian description from the modification of the air quality is made possible by the introduction of the “match” method. This method has proven effective in describing the ozone loss in the polar vortex (Rex et al., 1998), and many questions can be answered by applying it to the dehydration in the TTL.

F. Hasebe and the SOWER Team presented calculations of air trajectories in the TTL in northern winter, which show dependence on the phase of the El Niño as shown in Figure 3 (p IV), which illustrates those of possible “match” pairs being caught by existing stations for the cases of December 1998 (non-El Niño) and 1997 (El Niño). The first trial of water vapour “match” is being planned for the northern winters of 2004-2005 and 2005-2006, with the aid of these analyses. Preliminary analysis of data taken during the December 2003 campaign at Bandung and Tarawa (Kiribati) indicates some dependence of water vapour concentration of the air parcels on their temperature history during horizontal advection.

M. Niwano presented a study examining the global occurrence of cirrus clouds and dehydration in the TTL in terms of short term variation, based on upper tropospheric data from HALOE and Singapore rawinsondes.

HALOE data for the period of January 1993 exhibits the global occurrence of tenuous cloud (TC) and its sedimentation, which is synchronized with downward- and eastward-propagating cold anomalies of the equatorial Kelvin wave coupled to the Madden-Julian Oscillation (MJO) convection. To the east of the MJO, TCs occur up to the 100 hPa level through adiabatic cooling due to large scale upward motion of the MJO-coupled Kelvin wave. To the west of the MJO, TCs are spread by downward and westward motions of the MJO-coupled Kelvin wave, and disappear due to sedimentation and evaporation. Apart from the MJO convection, a layered TC appears over Singapore with a thickness of ~2 km and a fall speed of about 10 µm, which can be accounted for by sedimentation of cloud particles with a radius of 10 µm. On a global scale, the occurrence, transport, and sedimentation of TCs are controlled by the MJO-coupled equatorial Kelvin wave, which is inseparable from spreading from anvil and large-scale upwelling.

S. Iwasaki presented observations of subvisual cirrus clouds (SVC) using a 1064 nm lidar, a 95 GHz cloud radar and radiosondes launched every 3 hours, at 2°N, 138°E for a period of one month (Iwasaki et al., 2004). The observed sedimentation rate of SVCs was 3 cm/s, the same as the theoretical terminal velocity of ice crystals, and the average effective radius was estimated to be 10 µm. The result shows that sedimentation is important for dissipation of SVC. Moreover, the SVCs did not appear to correspond to negative temperature anomalies as expected, but rather they dissipated corresponding to positive temperature anomalies, ΔT>1.5°C, suggesting that the relative humidity is important to their formation as discussed by Jensen et al. (2001).

Modelling of Stratospheric Water Vapour and Dehydration Processes

H. Hatsushika and K. Yamazaki presented a modelling study of the stratospheric drain over Indonesia and dehydration within the TTL using air parcel trajectories to diagnose results from an atmospheric general circulation model (AGCM). The AGCM has strong upward motions in the lower part of the TTL over the maritime continent and the western tropical Pacific, corresponding to the stratospheric fountain region, and downward motions in the upper part of the TTL over Indonesia, representing the stratospheric drain. In the TTL, strong easterlies prevail, and the cold ascent region tilts eastward. Upward motion over areas of deep convection is suppressed by longwave cooling.

Tropospheric air parcels are advected upward to the bottom of the TTL mainly from the stratospheric fountain region. A pair of anticyclonic circulations in the tropical western Pacific entrains air parcels, which then pass through the equatorial cold region during the slow ascent in the TTL. This slow spirally ascending motion brings about low humidity in the stratosphere, despite the local downward motion over Indonesia. In addition, transient disturbances, particularly low-frequency disturbances such as Kelvin waves and the MJO (Mote et al., 2000), produce intermittent upward motions over the fountain region, result-
ing in effective dehydration of the air. The spiral ascent and transient mechanisms are key factors in the dehydration process in the TTL.

It is also found that air in the tropical lower stratosphere is more dehydrated in La Niña years, than in El Niño years.

**Acknowledgements**

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Report on the 2nd International SOWER Meeting

Participants of the SOWER meeting (from left to right)

Figure 3
370 K isentropic trajectories for December 1997 (left) and December 1998 (right) that are considered as "match" between the stations shown on the map. Calculations are based on ECMWF operational analyses and illustrations are color coded by saturation mixing ratio of the air parcel along the trajectories.