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1 Comprehensive phylogenomic analysis reveals a novel cluster of

2 simian endogenous retroviral sequences in Colobinae monkeys

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14 Short title: Molecular evolution of SRVs and SERVs

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Research Highlights

- SERV sequences were comprehensively searched from seven draft genome sequences of
 the Old World monkeys.
- The SERVs of Colobinae monkeys formed a distinct cluster and more closely related to exogenous SRVs than the SERVs of Cercopithecinae monkeys.

Abstract

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Simian retrovirus (SRV) is a type-D betaretrovirus infectious to the Old World monkeys causing a variety of symptoms. SRVs are also present in the Old World monkey genomes as endogenous forms, which are referred to as Simian endogenous retroviruses (SERVs). Although many SERV sequences have been identified in Cercopithecinae genomes, with potential of encoding all functional genes, the distribution of SERVs in genomes and evolutionary relationship between exogeneous SRVs and SERVs remains unclear. In this study, we comprehensively investigated seven draft genome sequences of the Old World monkey genomes, and identified a novel cluster of SERVs in the two Rhinopithecus (R. roxellana and R. bieti) genomes, which belong to the Colobinae subfamily. The *Rhinopithecus* genomes harbored higher copy numbers of SERVs than the Cercopithecinae genomes. A reconstructed phylogenetic tree showed that the Colobinae SERVs formed a distinct cluster from SRVs and Cercopithecinae SERVs, and more closely related to exogenous SRVs than Cercopithecinae SERVs. Three radical amino acid substitutions specific to Cercopithecinae SERVs, which potentially affect the infectious ability of SERVs, were also identified in the proviral envelope protein. In addition, we found many integration events of SERVs were genus- or species-specific, suggesting the recent activity of SERVs in the Old World monkey genomes. The results suggest that SERVs in Cercopithecinae and Colobinae monkeys were endogenized after the divergence of subfamilies and do not transmit across subfamilies. Our findings also support the hypothesis that Colobinae SERVs are direct ancestors of SRV-6, which has a different origin from the other exogenous SRVs. These findings shed novel insight into the evolutionary history of SERVs, and may help to understand the process of 47 endogenization of SRVs.

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48 Keywords: SRV, SERV, retrovirus, evolution, Old World monkey

Introduction

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The retroviral life cycle consists of integration of proviral DNA sequences into a host genome with subsequent production of active infectious particles. Proviral sequences that integrate into the genomes of host germ cells are referred to as endogenous retroviruses (ERVs) and are vertically transmitted to offspring. Over evolutionary time, most ERV sequences accumulate deleterious mutations and become inactive, although some remain active and produce potentially infectious viral particles (Stoye, 2012). Numerous ERV families are distributed within the genomes of diverse organisms, including nonhuman primates (Johnson, 2015). Nonhuman primates are widely used as model organisms for studies on retroviral infectious diseases. Simian retrovirus (SRV), a type D betaretrovirus, was first isolated in rhesus macaque (Macaca mulatta) and was initially referred to as Mason–Pfizer monkey virus (MPMV) or SRV-3 (Chopra & Mason, 1970; Lerche & Osborn, 2003). Subsequent studies identified eight different serotypes of exogenous SRVs (SRV-1-8). Compared with SRV-6 and -7, SRV-1-5 and SRV-8 have been well characterized at the molecular level, and their complete genome sequences have been determined (Montiel, 2010; Power et al., 1986; Sonigo, Barker, Hunter, & Wain-Hobson, 1986; Takano, Leon, Kato, Abe, & Fujimoto, 2013; Thayer et al., 1987; Zao et al., 2010; Zao et al., 2016). SRV infections sometimes result in simian immunodeficiency diseases (SAIDS) and can also cause more acute symptoms (Yoshikawa et al., 2015). Macaque monkeys (genus Macaca) are the most widely recognized natural hosts of SRVs; SRV-6, however, has been isolated from a wild colobine monkey, the Hanuman langur (Semnopithecus entellus) (Nandi, Bhavalkar-Potdar, Tikute, & Raut, 2000; Nandi, Van Dooren, Chhangani, & Mohnot, 2006). SRV genomes are organized on the basis of the generic ERV structure: 5'-long terminal repeat (LTR), gag, pro, pol, env, and 3'-LTR, where gag encodes the viral core and DNA-binding proteins, pro encodes proteases, pol encodes the reverse transcriptase,

and *env* encodes the envelope glycoproteins (Figure 1).

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77 Genomic sequences highly homologous to SRV genes were first identified in a baboon 78 (Papio cynocephalus) (van der Kuyl, Mang, Dekker, & Goudsmit, 1997) and termed as 79 simian endogenous retroviruses (SERVs). Although the sequences isolated in this study 80 contained frameshift mutations, the basic genomic architecture of SRVs was preserved in 81 SERVs, suggesting that SERVs are fossils of ancient SRVs. More recently, the Vero cell 82 line, which is derived from African green monkeys (Chlorocebus sabaeus), was found to 83 express mRNAs homologous to SERVs as well as another endogenous retrovirus, BaEV 84 (Ma et al., 2011; Onions et al., 2011); this result strongly implied that African green 85 monkey genomes also harbor SERV sequences. Confirmation was provided by Sakuma 86 et al., who performed whole-genome sequencing of multiple Vero cell samples and 87 showed that their genomes contained SERV sequences encoding full-length proteins 88 without premature stop codons (Osada et al., 2014; Sakuma et al., 2018). These intact 89 SERVs were present in the Vero genome in a heterozygous state, suggesting that they are 90 evolutionary young ERVs that are still segregating within populations of African green 91 monkeys. They also surveyed the draft genome sequences of four Cercopithecinae 92 monkeys (M. mulatta, M. fascicularis, P. anubis, and C. sabaeus) and identified many 93 additional SERV-like sequences in their genomes. Phylogenetic analysis demonstrated 94 that exogenous SRVs (SRV-1-5 and SRV-8) and all of SERVs formed a distinct cluster, 95 suggesting that exogenous SRVs and Cercopithecinae SERVs (cer-SERVs) had distinct 96 evolutionary origins. However, the presence of SERVs that are more closely related to 97 exogeneous SRVs than cer-SERVs remains unclear. 98 The Old Word monkeys (family Cercopithecidae) consist of two subfamilies, 99 Cercopithecinae and Colobinae, that diverged approximately 10–18 Mya (Perelman et al., 100 2011; Zhou et al., 2014). Although most phylogenetic studies of SRV and SERV have 101 been conducted in Cercopithecinae monkeys, there are less characterized classes of SRVs

and SERVs. The exogenous provirus PO-1-Lu was first isolated by Benveniste and Todaro from the Colobinae monkey Trachypithecus obscurus (Benveniste & Todaro, 1977; Todaro et al., 1978). Exogenous SRVs similar in sequence to PO-1-Lu were later isolated from another Colobinae monkey, S. entellus, and classified as SRV-6 (Nandi et al., 2000; Sommerfelt, Harkestad, & Hunter, 2003). A phylogenetic analysis using partial nucleotide sequences of SRV-6 and PO-1-Lu showed that they formed a cluster that was distinct from that formed by the other SRVs and SERVs (Sommerfelt et al., 2003). However, further studies for SRV-6 and PO-1-Lu have not been conducted, and hence the presence of SERV-like sequences in the other Colobinae monkey genomes has remained unclear. The genomic characterization of SERV-like sequences in Colobinae monkeys is therefore necessary to understand when SRVs endogenized and how they have propagated in the genomes of Old World monkeys. Owing to the development of genome sequence databases, the assessment of endogenized retroviral sequences from genomic scan has become a powerful tool to elucidate the endogenization process of retroviruses (Diehl, Patel, Halm, & Johnson, 2016; Magiorkinis, Blanco-Melo, & Belshaw, 2015). To infer the evolutionary relationships amongst SRVs and SERVs and address the potential origins of SRVs, we performed a comprehensive search for SERV sequences within four Cercopithecinae genomes (M. mulatta, M. fascicularis, P. anubis, and C. sabaeus) and three recently sequenced Colobinae genomes (*Rhinopithecus roxellana*, *R. bieti*, and *Nasalis larvatus*) (Omar et al., 2017; Zhou et al., 2014). A phylogeny of these species inferred from the results of previous studies is shown in Figure 2. In this study, we address four major questions about the evolutionary process of SRVs and SERVs. (1) Do Colobinae monkeys other than *T. obscurus* harbor multiple SERVs in their genomes? If so, what is the phylogenetic relationships to exogenous SRVs and Colobinae SERVs (col-SERVs)? In order to depict the pattern of SRV/SERV molecular

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evolution, we identify SERV sequences in the seven Old World monkey genomes and conduct a phylogenetic analysis. (2) Did recombination between exogeneous SRVs and SERVs occur in the past? Because SERVs potentially serve as a genetic source for exogeneous SRVs by genetic recombination, we investigate the pattern of recombination among exogenous SRVs and SERVs. (3) When were SERVs endogenized in the Old World monkey genomes? In order to answer the question, we examine the presence/absence of SERVs in each monkey genome and infer the timing of integration. In addition, we perform molecular dating of LTR sequences. When a retrovirus integrates into the host genome, both LTRs share identical nucleotide sequences. After the integration event, the 5' and 3' LTR sequences gradually accumulate mutations and can evolve independently; therefore, the sequence divergence between pairs of LTRs should be proportional to the time elapsed since SERV integration under a neutrally evolving model (Johnson & Coffin, 1999). (4) Do SERV protein-coding sequences exhibit detectable signatures of purifying selection? If the propagation of SERV copies have not been related to reinfection or retrotransposition, the ratio of nonsynonymous and synonymous substitution rates (d_N and d_S , respectively) becomes close to 1 (Li, Wu, & Luo, 1985). We estimated the value of d_N and d_S , for each external branch of SERVs and inferred recent selective pressure on SERV proteins.

Methods

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SERV sequence search in Old World monkey genomes

The draft genome sequences of *M. mulatta*, *M. fascicularis*, *P. Anubis*, *C. sabaeus*, *R roxellana*, *R. bieti*, and *Nasalis larvatus* were downloaded from the NCBI Genbank database. For *M. mulatta*, the Y chromosome sequence was also obtained from a literature (Hughes et al., 2012). The list of assembly IDs and sample source IDs for these sequences are shown in Supplementary Table 1.

Sequences homologous to SERVs in Old World monkeys genomes were searched using the publicly available SERV sequence fully encoding all four proteins, a consensus SERV sequence identified in the Vero cell line (DDBJ/EMBL/Genbank accession number: AB935214), as a query. In order to minimize the bias produced by an arbitrary selected query sequence and maximize the number of potential SERV sequences to be identified, the searches were designed to identify distantly related SERV sequences, which contain two LTRs and all four retroviral genes (gag, pro, pol, and env irrespective of their proteincoding potential). The query-derived amino acid sequences of gag, pro, pol, and env were searched against the seven genomes using the tblastn program (Altschul et al., 1997) with a cut-off E-value of 1×10^{-30} . We identified 104 regions with high identity to gag (>800) bp), prot (>300 bp), pol (>800 bp), and env (>800 bp) were identified in the correct order within a contiguous stretch of DNA <10 kbp, and further retrieved upstream and downstream sequences of 2 kbp and assessed whether these sequences contained direct sequence repeats using self-blastn. If the repeat sequences were longer than 300 bp, the entire region (5' LTR-gag-pro-pol-env-3' LTR) was selected as a candidate SERV sequence. In total, 91 SERV sequences longer than 8 kbp that included LTRs and did not have consecutive ambiguous nucleotide sequences of >40 bp (typical assembly gap length of unknown size), were used for further analyses. In addition to full-length SERV sequences, we also searched nearly full-length protein-

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In addition to full-length SERV sequences, we also searched nearly full-length protein-coding genes in the draft genome sequences. Amino acid sequences encoding >90% length of the original query were regarded as nearly full-length. The result is shown in Table 1.

Estimation of SERV copy numbers using short read fragments

We retrieved publicly available short-read fragments of *M. fascicularis*, *M. mulatta*, *C. sabaeus*, *R. roxellana*, *R. bieti* (sequence IDs are provided in Supplementary Table 1). In order to estimate relative copy numbers of SERVs, we first mapped the short reads on

each draft genome sequence using the bwa mem algorithm with default parameters (Li, Ruan, & Durbin, 2008). The genome sequence coverage was estimated from the mode of coverage histogram, accounting for the overestimation attributable to repetitive sequences and copy number variations. We subsequently mapped the same short reads on the SERV sequences with LTRs identified in this study using the same method. In order to reduce the mapping bias at both ends of the SERV sequences, we counted the number of mapped reads only in the non-LTR regions. The coverage in the SERV sequences was estimated by measuring the number of mapped bases divided by the length of non-LTR regions of SERV (8181 bp). In both processes, we did not consider whether the mapped reads were properly paired. The genome coverages of short read sequences were estimated as 35, 34, 86, 75, and 52 in *M. fascicularis*, *M. mulatta*, *C. sabaeus*, *R. roxellana*, and *R. bieti*, respectively. The mean coverages in SERV sequences were 2898, 2250, 6711, 11 519, and 11 116 in *M. fascicularis*, *M. mulatta*, *C. sabaeus*, *R. roxellana*, and *R. bieti*, respectively. The copy number of SERVs was estimated as the coverage in the SERV sequences normalized by the coverage in the draft genome sequence.

Phylogenetic analyses

We conducted phylogenetic analyses of SRVs and SERVs using both nucleotide and amino acid sequences. Squirrel monkey type D retrovirus (SMRV) was used as the outgroup for both types of analyses (accession number: M23385.1). We also included six exogenous SRV sequences (accession numbers: M11841.1, AF12647.1, M16605, M12349, FJ971077.1, and AB611707.1) as well as the previously reported SERV sequence identified from the Vero cell line (SVL4d; accession number: LC310755) in all tree reconstructions (Sakuma et al., 2018). The consensus SERV sequences from Vero cells was not used for the phylogenetic analysis, because it was highly similar to the SVL4d sequence. The partial sequences of SRV-6 and PO-1-Lu (accession numbers: AF187057 and AY282754) were also retrieved from the Genbank database.

Prior to phylogenetic analyses of nucleotide sequences, we filtered out sequences that fell outside the length range of 6500–7500 bp. In addition, one nucleotide sequence from R. roxellana, RRo-29, had an 838-bp tandem duplication in the gag coding region and was excluded from the tree reconstruction. Nucleotide sequences were aligned using the MUSCLE algorithm implemented in MEGA6 with default parameters (Edgar, 2004; Tamura, Stecher, Peterson, Filipski, & Kumar, 2013). The aligned nucleotide sequences were subsequently used to reconstruct phylogenetic trees using the maximum likelihood method implemented in MEGA6 (Saitou & Nei, 1987). For the maximum likelihood tree reconstruction, we used the GTR+ Γ +I and JTT+ Γ +F models for nucleotide and amino acid sequences, respectively. Substitution model selection was performed using MEGA. Bootstrap resampling was performed 1000 times. A phylogenetic tree was also reconstructed from nucleotide sequences using a Bayesian clustering algorithm in BEAST (Drummond, Suchard, Xie, & Rambaut, 2012). We used the GTR+ Γ +I with five discrete gamma distribution categories. The fixed local clock model and the Yule model with uniform birth rate for branching was assumed. The exponential distribution were used as prior distributions for the gamma shape parameter. The Markov chain Monte Carlo simulation was run for 20 million iterations with a burnin of 5 million. The effective sample size for each parameter was at least 800. Phylogenetic analyses of amino acid sequences were performed as described above using nearly full-length amino acid sequences of gag, pol, and env proteins. Because pro partially overlaps gag and pol and premature stop codons in pro were found in many SERVs, we did not use pro amino acid sequences for tree reconstruction. Phylogenetic trees were constructed for each protein individually and for concatenated sequences

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incorporating all three proteins. For the Bayesian analysis using amino acid sequences,

we used the JTT+I with five discrete gamma distribution categories. The fixed local clock

model and the Yule model with uniform birth rate for branching was assumed. The

231 exponential distribution was used as prior distributions for the gamma shape parameter.

The Markov chain Monte Carlo simulation was run for 10 million iterations with a burn-

in of 1 million. The effective sample size for each parameter was at least 1700.

We used the RDP4 software to detect potential recombination events among sequences (Martin & Rybicki, 2000). Outgroup sequences were excluded from recombination detection analyses. To maximize the length of the informative sequence alignment (in particular, to evaluate the recombination in the *gag* gene), seven SERV sequences with 5'-truncated *gag* sequences were also excluded. Default parameters were used, and results supported by >2 different recombination detection algorithms are presented here.

Estimation of selection pressure

PAML was used to estimate nonsynonymous and synonymous substitution rates (Yang, 2007) within the concatenated coding sequences used for amino acid tree reconstruction. Codon frequency was estimated using the F3X4 model. A uniform d_N/d_S ratio was assumed among sites, but variable d_N/d_S ratios were assumed among branches.

Results

SERV sequences in Old World monkey genomes

We first searched for nearly full-length SERV-like sequences containing both 5' and 3' LTR sequences in the draft genome sequences of seven Old World monkeys (*M. mulatta*, *M. fascicularis*, *P. anubis*, *C. sabaeus*, *N. larvatus*, *R roxellana*, and *R. bieti*; see Materials and Methods). Multiple candidate SERV sequences were identified in the genomes except for the *N. larvatus* genome (Table 1). The *N. larvatus* genome harbored several fragmented SERV sequences, but did not contain any full-length SERVs and intact protein-coding genes. All cer-SERVs contained premature stop codons in at least one protein-coding gene. In contrast, many col-SERVs had no evidence of premature stop codons. The *R. roxellana* and *R. bieti* genomes had 22 and 9 intact col-SERVs,

256 respectively, potentially encoding all four functional proteins. For example, col-SERV 257 RRo-1 in the R. roxellana genome (scaffold NW 010829022, coordinate 153774-258 161954) has two 386-bp LTRs, gag encoding 655 residues, prot encoding 315 residues, 259 pol encoding 867 residues, and env encoding 572 residues. Furthermore, col-SERV RRo-260 1 had target duplication sequences (ATTTTG) both upstream of the 5' LTR and 261 downstream of the 3' LTR. These genetic signatures demonstrate that SERV sequences in 262 Colobinae monkey genomes are ERVs and retain infectious potential. The complete list 263 of SERVs identified in our search is shown in Table 2. Average genetic distances (Kimura, 264 1980) within exogeneous SRVs, cer-SERVs, and col-SERVs were 0.321, 0.087, and 0.043, 265 respectively. 266 We investigated the presence of substitutions specific to SERV clusters that potentially 267 affect the infectious ability of SERVs, particularly focusing on the *env* gene, which plays 268 a key role in viral infection. We identified three cer-SERV specific amino acid changes in 269 functional domains of gp20 subunit (fusion transmembrane protein), which is cleaved 270 from the premature env protein. Two cer-SERV specific changes were in the heptad repeat 271 regions (position 430aa and 512aa in SRV-3, Figure 3) (Song & Hunter, 2003). The site 272 430 was a basic amino acid residue, histidine or arginine residues in all the exogeneous 273 SRVs and col-SERVs (except for one col-SERV, RRo-29), but asparagine or aspartic acid 274 residues in all the cer-SERVs. The site 512 was an acidic amino acid residue, glutamic 275 acid or aspartic acid residues in all the exogeneous SRVs and col-SERVs, but a 276 noncharged amino acid residue, alanine or threonine residues in all the cer-SERVs. The 277 third cer-SERV specific change was in the transmembrane region (position 535aa in SRV-278 3). The site 535 was a cysteine residue in all the exogenous SRVs and col-SERVs; 279 however, it was substituted to threonine residue in all the cer-SERVs. 280 Because of the number of identified SERVs in Table 1 might be biased by using the draft genome sequences obtained from different assembly processes, we estimated the 281

copy number of SERVs using the publicly available short-read sequences produced by next generation sequencers (see Methods; note that the estimation does not distinguish whether SERVs are full-length or fragmented). The copy numbers in *P. anubis* and *N. larvatus* were not estimated because the data were unavailable. The estimated copy numbers were 82.8, 66.2, 78.0, 209.5, and 213.8 in *M. fascicularis*, *M. mulatta*, *C. sabaeus*, *R. roxellana*, and *R. bieti*, respectively. The results showed that *R. roxellana* and *R. bieti* harbor a higher number of SERV sequences in the genomes than Cercopithecinae monkeys.

Phylogenetic relationships of genomic SERVs

Phylogenetic trees were reconstructed from the sequences of full-length SERVs identified in Old World monkey genomes as well as exogenous SRVs. We constructed phylogenetic trees using SERV nucleotide and amino acid sequences. Both trees showed consistent topologies; exogenous SRVs, cer-SERVs, and col-SERVs formed distinct clusters. Interestingly, exogenous SRVs appeared to be the sister of col-SERVs (Figure 4). Full phylogenetic trees constructed from nucleotide sequences of SRVs and SERVs are shown in Supplementary Figure 1–2. The bootstrap statistical support for the relationship between exogenous SRVs and col-SERVs was 83 and 47 in the nucleotide and amino acid tree using the maximum likelihood method, respectively.

Because the results of phylogenetic tree reconstruction might be biased by using a relatively distant outgroup sequence, SMRV, we performed two additional analyses excluding the SMRV sequence. Firstly, we constructed a phylogenetic tree using the nucleotide sequences excluding the SMRV sequence, and inferred the root position by minimizing the variance of Root-To-Tip branch lengths, implemented in TempEst software (Rambaut, Lam, Max Carvalho, & Pybus, 2016). The place of best-fitted root supported the sister relationship between exogenous SRVs and col-SERVs. Secondly, we applied a Bayesian clustering analysis implemented in the BEAST software and inferred

the tree root. The posterior root probability supporting the sister relationship between exogenous SRVs and col-SERVs was 1.0000, showing the consistency and robustness of the observation. We repeated the same analyses using the amino acid sequence dataset. Although the best-fitted root in the amino acid tree estimated by TempEst showed that the SRV sequences are the outgroup, probably owing to the fast protein evolution in exogeneous SRVs, the root estimated by BEAST showed that the cer-SERV sequences were the outgroup relative to the SRV and col-SERV sequences, with the root posterior probability of 1.0000.

We also reconstructed the phylogenetic trees using the partial nucleotide sequences of SRV-6 and PO-1-Lu. The result is shown in the Supplementary Figure 3. In the phylogenetic tree, SRV-6 and PO-1-Lu were clustered with col-SERVs with high statistical confidence. Furthermore, SRV-6 sequence was highly similar to col-SERVs of *R. roxellana* (RRo-13 and RRo-29).

Detection of recombination events among SERV sequences

Although full-length genomic proviral SERV sequences showed consistent phylogenetic relationships, there might exist heterogeneous evolutionary relationships amongst SERV subsequences owing to recombination. We first separately constructed phylogenetic trees for the LTR, gag, pol, and env regions (Supplementary Figure 4). Although statistical supports were weak for all trees because of insufficient length, the trees showed heterogenous topologies among regions. In LTR regions, cer-SERVs and col-SERVs were clearly separated and they were further divided into three major subclusters. The tree topologies of cer-SERVs were largely consistent between LTR and non-LTR regions. In contrast, col-SERVs showed inconsistent pattern of phylogeny between the LTR and non-LTR regions. For example, in the full-length SERV tree excluding LTRs (Supplementary Figure 1), RRo-8, RRo-13, and RRo-41 formed a distinct cluster from the other col-SERVs, but these three SERVs were placed in different

subclusters at LTR regions, implying that there have been historical recombination events within col-SERVs. To further test the idea, we applied the RDP4 software (Martin & Rybicki, 2000) and the program inferred multiple putative recombination events among the sequences in our dataset. Most recombination events had occurred between two SERVs in a single genome (Supplementary Table 2), particularly among col-SERVs. As expected, RRo-8, RRo-13, and RRo-41 were listed as candidates of recombinant sequences. We also observed potential recombination events between SRV-2, PAn-chrU-2, and RBi-6 in a ~600-bp region near the 3' end of *gag* as well as between SRV-1, SRV-2, and PAn-chr4-1 in a ~330-bp region near the 3' end of *pol*. Except for the ancient recombination between SRV-2 and cer-SERVs, we identified no recombination events between exogenous SRVs and SERVs.

Timing of SERV integration

Phylogenetic reconstructions showed that cer-SERVs primarily comprised species-specific clades, except for one clade harboring the recently diverged (~1–2 Mya) *M. mulatta* and *M. fascicularis* SERVs (Supplementary Figures 1 & 2) (Osada et al., 2008; Osada et al., 2010). This observation suggests that these SERVs became genomically integrated after the divergence of each genus. However, it is possible that our sequence search did not identify all SERVs because some SERVs may be highly fragmented after their integrations. To confirm whether these SERVs are indeed species or genus specific, we extracted their flanking sequences and carefully examined whether compatible integration sites were present in other genomes. Except for cases where flanking sequences were unidentifiable in the other genomes, we confirmed that the cer-SERVs were not integrated into the genomes of the other genera. A schematic illustration of the genus-specific integration sites is shown in Figure 5.

We also examined whether the integration sites of col-SERVs were shared between

Rhinopithecus and Nasalis. We found that some of the col-SERV integration sites identified in R. roxellana and R. bieti were absent in N. larvatus. However, the Nasalis genome contained solo LTRs at several loci; suggesting that the integrated SERVs were lost in the lineage of Nasalis. These shared LTRs were integrated into the genome before the divergence of these genera, but lost in the Nasalis genome.

We subsequently performed the molecular dating of integration timing using LTR sequences. Because the substitution rate at SERV LTRs has not been known, we applied the substitution rate range estimated by Johnson and Coffin in Hominidae ERVs ($2-5 \times 10^{-9}$ substitutions per site per year). The results are shown in Table 2. If we applied the average of the substitution rate by Johnson and Coffin (3.53×10^{-9} substitutions per site per year), averaged integration timings of cer-SERV and col-SERV were 3.42 Mya (SD: 2.20) and 6.16 Mya (SD, 3.41), respectively. We also found that, among the SERVs in *R. roxellana*, estimated integration timing of intact SERVs was significantly more recent than that of SERVs with frameshift substitutions (P = 0.0002; Mann–Whitney U test).

Selection pressures on SERV sequences

Using the tree reconstructed from amino acid sequences, we estimated d_N and d_S in three SERV protein-coding genes: gag, pol, and env at external branches. On average, exogenous SRVs showed the lowest d_N/d_S ratios in all three genes, reflecting functional constraints on their coding sequences to maintain viral fitness (Table 3). Except for env, col-SERV genes showed slightly higher d_N/d_S values than those cer-SERV genes. Although the d_N/d_S ratios in SERV coding sequences were higher than those in exogenous SRV coding sequences, they were considerably below 1 even for env genes, which is essential for intercellular viral infection, suggesting that substitutions in env had been negatively selected in some of the SERVs (Belshaw et al., 2004).

Discussion

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In this study, we investigated the genomic distribution and evolutionary history of exogenous SRVs and SERVs in Old World monkeys using seven draft genome sequences. Studies on col-SERVs lag far behind those on cer-SERVs (particularly macaque SERVs), although a few studies have identified SERVs in Colobinae monkeys (Sommerfelt et al., 2003; Todaro et al., 1978). One reason for the apparent lack of interest in col-SERVs is that an early hybridization-based screening failed to identify SERVs in Colobus guereza (van der Kuyl et al., 1997), which suggested that SERVs were integrated after the divergence between Cercopithecinae and Colobinae monkeys. Another reason is that the genomic resources of Cercopithecinae monkeys including draft genome sequences are better established than those of Colobinae monkeys, partly because Cercopithecinae monkeys have been preferentially used for biomedical research. Our results, in contrast, demonstrate that Colobinae monkey genomes also harbor numerous SERVs, some of which might be active. In addition, we found that col-SERVs were more closely related to exogenous SRVs than to any cer-SERV, suggesting that pathogenic exogenous SRVs are derived from col-SERVs. Alternatively, pathogenic exogenous SRVs may descend from to date unidentified or extinct exogenous SRVs. We identified three radical amino acid substitutions specific to cer-SERVs in the gp20 protein, which occurred in the heptad repeat regions and transmembrane region. Interestingly, all col-SERVs harbored the same amino acid residues as the exogenous SRVs. The gp20 proteins form a trimer and play an important role for viral infection. Charged amino acids in the heptad repeat regions are essential to a stable trimer structure during a viral entry process (Aydin, Cook, & Lee, 2014). These three changes might explain the different behavior of cer-SERVs and col-SERVs in their genomes. Altogether, our findings highlight the importance of Colobinae monkeys in the study of

SRV, particularly given the relative neglect pertaining to this aspect in biomedical research.

As shown in Table 1, *R. roxellana* genome had the highest number of SERVs among the seven genomes analyzed in this study. One important limitation of the draft genome scan approach should be kept in mind; draft genome sequences, by definition, are incomplete and have gaps. Because different draft genome sequences were generated using different sequencing platforms and assembled in different analytical pipelines, we should be careful about the evaluation of the number of SERV sequences estimated by the genome sequence scan. However, our additional analysis using short-read fragments confirmed that the *Rhinopithecus* genomes contain more SERV sequences than the Cercopithecinae genomes, supporting the result of genome sequence scan. Although the estimated SERV copy numbers were fluctuated with different mapping strategies, the pattern of higher SERV copy numbers in *Rhinopithecus* than the Cercopithecinae genomes was robust to the analytical methods (data not shown).

The assembly problem of draft genome sequences is particularly of concern in case of recently integrated retroviruses that are still undergoing the process of fixation; such young SERVs are often heterozygous in the host genome and thus tend to be ignored in genome sequence assemblies. Indeed, one previous study identified many heterozygous SERVs that were unrepresented in the draft genome sequence of *C. sabaeus* (Sakuma et al., 2018). The young, heterozygous SERVs were found to cluster with other cer-SERVs (SVL4d in Supplementary Figure 1 and 2) and not with exogenous SRVs. Therefore, we cannot eliminate the possibility that young SERVs directly derived from exogenous SRVs are present in Old World monkey genomes in a heterozygous form. A recent report suggested the existence of a new class of SERVs more closely related to SRV-2 in the pigtailed macaque *M. nemestrina* (Grant et al., 2017). The question of whether there are SERVs directly derived from exogenous SRVs in other Old World monkey genomes will

need to be clarified in future studies.

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However, the fact that no cer-SERVs were shared among genera revealed from genome sequence analysis strongly suggests that cer-SERVs originated relatively recently, at least within the last 8 million years for the SERVs in macaques and baboons (Perelman et al., 2011). On the other hand, the fact that some col-SERV integration events were species specific, some were genus specific, and some were shared between Nasalis and *Rhinopithecus* is suggestive of continuous introduction of SERVs in Colobinae genomes. The estimated integration timings by molecular dating also suggest the above scenarios. In summary, our comprehensive genome search revealed many novel SERV sequences in Old World monkey genomes, several of which potentially encode functional proteins that are essential for the production of infectious virus. Analysis of integration sites showed that most SERV integration events were not shared between Cercopithecinae and Colobinae monkeys, indicating that cer-SERVs and col-SERVs have independent evolutionary origins. In addition, the distinct phylogenetic clustering of exogenous SRVs, cer-SERVs, and col-SERVs suggests there was no transmission of SERV elements between the two Cercopithecidae subfamilies. These findings shed novel light on the evolutionary history of SERVs and may help understand the process of endogenization of SRVs.

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461 Ethical statement

- This study utilized only published genome sequences of non-human primates. Ethical
- policies for handling living animals were not applied.

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Table 1. Summary of SERVs identified in the seven Old World monkey genomes

Species	# of SERVs	# of intact SERVs*	# of gag^{\dagger}	# of pol^\dagger	# of env [†]
Macaca fascicularis (MFa)	12	0	20	40	0
Macaca mulatta (MMu)	6	0	9	16	3
Papio Anubis (PAn)	8	0	17	16	17
Chlorocebus sabaeus (CSa)	3	0	2	3	2
Nasalis larvatus (NLa)	0	0	0	0	0
Rhinopithecus roxellana (RRo)	41	22	50	12	51
Rhinopithecus bieti (RBi)	10	8	10	3	12

^{*}SERVs without premature stop codons in gag, pro, pol, and env

[†]number of gag, pol, and env encoding nearly full-length proteins

Table 2 List of SERVs in the six Old world monkey genomes

Species/Assembly	ID	chromosome/scaffol d	strand	start	end	gag*	pol*	env*	T _{int} (Mya) §
Macaca fascicularis/	MFa-chr6-1	6	_	78590774	78599010	+	_	+	0.27-0.68
GCA_000364345.1	MFa-chrU-1	NW_005093489.1	_	61438	69843	+	+	+	4.27-10.68
	MFa-chr6-2	6	+	40765258	40773563	+	_	+	0.49 - 1.22
	MFa-chrU-2	NW_005093479.1	_	703289	711617	+	_	_	2.33-5.83
	MFa-chr1	1	_	98380607	98388838	+	+	+	2.9-7.24
	MFa-chr3-1	3	_	71798389	71806704	_	_	+	2.33-5.83
	MFa-chr2	2	_	84058787	84067087	+	+	+	1.89-4.73
N N	MFa-chrU-3	NW_005093490.1	+	15894	24305	*	+	_	3.61-9.02
	MFa-chr5	5	+	3618605	3626912	+	+	+	2.08-5.21
	MFa-chr3-2	3	+	64085155	64093474	_	_	+	1.68-4.2
	MFa-chr4	4	+	55800507	55808825	+	_	+	1.84-4.6
	MFa-chr11	11	+	105870233	105878551	+	+	+	1.15-2.87
Macaca mulatta/	MMu-chr1	1	_	414923	423250	+	+	+	2.08 - 5.2
GCF_000002255.2	MMu-chr4	4	_	32624247	32632554	_	_	+	2.59-6.49
	MMu-chrY-1	Y	_	3535329	3543643	*	+	+	2.33-5.84
	MMu-chrY-2	Y	_	1201937	1210230	+	+	+	5.64-14.09
	MMu-chrY-3	Y	_	869	9396	+	+	+	1.6-3.99
	MMu-chrY-4	Y	+	3868458	3876757	*	+	+	2.18-5.45
Papio Anubis/	PAn-chr13	13	_	43983316	43991550	_	+	+	1.31-3.27

GCA_000264685.2	PAn-chr2	2	_	118845707	118854112	_	_	_	0.84-2.09
Papio anubis	PAn-chr4-1	4	_	102091220	102099421	+	_	_	3.26-8.14
	PAn-chrU-1	JH685002	_	38105	46511	+	_	_	4.29-10.72
	PAn-chr3	3	+	78436276	78444599	+	+	_	3.01-7.53
	PAn-chr4-2	4	+	67256607	67265014	_	_	_	1.69-4.23
	PAn-chr6	6	+	30107451	30115852	+	_	+	1.69-4.24
	PAn-chrU-2	JH687348	+	4424	12780	+	+	+	7.61-19.03
Chlorocebus sabaeus/	CSa-chr17-1	17	_	38970823	38979182	_	+	+	0.66-1.66
GCA_000409795.1	CSa-chr17-2	17	+	38737629	38746034	+	_	_	2.96-7.39
	CSa-chr8	8	+	67814295	67822700	_	_	_	1.68-4.2
Rhinopithecus roxellana/	RRo-1	NW_010829022.1	+	153774	161954	_	_	_	1.92-4.79
GCF_000769185.1	RRo-2	NW_010828124.1	+	606447	614866	_	_	_	0.58 - 1.44
	RRo-3	NW_010789988.1	+	59268	67742	_	_	_	2.3-5.76
	RRo-4	NW_010830674.1	+	391701	399878	_	_	_	4.03-10.08
	RRo-5	NW_010829297.1	+	426461	434641	_	_	_	2.11-5.27
	RRo-6	NW_010828396.1	+	776000	784467	_	_	_	0.76 - 1.9
	RRo-7	NW_010827817.1	+	113822	122289	_	_	_	0.19 – 0.47
	RRo-8	NW_010827474.1	+	381905	390236	_	_	_	1.31-3.29
	RRo-9	NW_010824106.1	+	94380	102828	_	_	_	0
	RRo-10	NW_010819874.1	+	261592	270025	_	_	_	4.45-11.14
	RRo-11	NW_010798467.1	+	622698	631110	_	_	_	3.65-9.11
	RRo-12	NW_010827005.1	+	1826448	1834816	_	_	_	7.27-18.17

Rhinopithecus roxellana/	RRo-13	NW_010828720.1	+	89877	98188	_	_	_	3.32-8.29
	RRo-14	NW_010803703.1	_	332847	341316	_	_	_	1.53-3.83
	RRo-15	NW_010803213.1	_	1831928	1840378	_	_	_	0.79 - 1.97
	RRo-16	NW_010788543.1	_	933455	941904	_	_	_	0
	RRo-17	NW_010830488.1	_	961666	970094	_	_	_	4.49-11.22
	RRo-18	NW_010811370.1	_	401270	409739	_	_	_	4.06-10.15
	RRo-19	NW_010829349.1	_	262952	271379	_	_	_	3.45-8.61
	RRo-20	NW_010793464.1	_	154772	163178	_	_	_	5.62-14.04
	RRo-21	NW_010796474.1	_	1212835	1221102	_	_	_	4.09-10.21
	RRo-22	NW_010809255.1	_	77327	85714	_	_	+	2.72-6.79
	RRo-23	NW_010795985.1	_	17764	25955	_	+	_	2.65-6.62
	RRo-24	NW_010828039.1	_	238671	247011	+	_	_	6.45-16.13
	RRo-25	NW_010830337.1	_	1654244	1662724	_	_	_	8.65-21.62
	RRo-26	NW_010826131.1	_	34151	42578	_	+	_	6.88 - 17.2
	RRo-27	NW_010796444.1	_	496731	504911	+	+	_	6.28 - 15.7
	RRo-28	NW_010797013.1	_	266627	275161	+	+	+	6.9-17.25
	RRo-29	NW_010828958.1	_	100994	109183	+	_	_	5.21-13.03
	RRo-30	NW_010814135.1	_	469683	478030	+	_	_	4.88-12.21
	RRo-31	NW_010792178.1	_	849888	858216	_	_	+	6.59-16.48
	RRo-32	NW_010830147.1	+	543693	552069	+	+	+	6.39-15.98
	RRo-33	NW_010798505.1	+	94929	103087	+	+	+	7.36–18.4
	RRo-34	NW_010830301.1	+	1099502	1107875	_	+	_	5.06-12.64

	RRo-35	NW_010818555.1	+	88085	96314	+	+	+	6.31-15.76
Rhinopithecus roxellana	RRo-36	NW_010830147.1	+	440357	448565	+	+	_	7.42-18.56
	RRo-37	NW_010830701.1	+	1363491	1371877	+	_	+	5.57-13.92
	RRo-38	NW_010829302.1	+	278775	287103	+	_	_	3.45-8.63
	RRo-39	NW_010829941.1	+	845977	854390	+	_	_	4.21-10.53
	RRo-40	NW_010792819.1	+	83532	91768	_	+	_	8.05-20.13
	RRo-41	NW_010830531.1	+	461335	469529	_	+	*	7.7–19.26
Rhinopithecus bieti/	RBi-1	MCGX01006469.1	_	373228	381704	_	_	_	0.19 – 0.48
GCA_001698545.1	RBi-2	MCGX01000569.1	_	740591	748873	_	_	_	3.78-9.45
	RBi-3	MCGX01000375.1	_	2085838	2094269	_	_	_	5.73-14.33
	RBi-4	MCGX01002382.1	+	339495	347874	_	_	_	6.3 - 15.76
	RBi-5	MCGX01004395.1	+	582626	591042	_	_	_	6.81 - 17.03
	RBi-6	MCGX01011615.1	+	4123447	4131793	_	_	_	7.02-17.54
	RBi-7	MCGX01017424.1	+	57172	65643	_	_	_	2.51-6.28
	RBi-8	MCGX01019892.1	+	806528	814717	_	_	_	3.48-8.7
	RBi-9	MCGX01007578.1	_	759829	768438	+	_	_	5.77-14.42
	RBi-10	MCGX01000863.1	_	22655	31048	+	_	_	5.44-13.6

^{*}presence/absence of premature stop codons in each coding region: +: present, -: absent, *: no premature stop codon but amino acid sequence is truncated by deletion

§estimated integration time, inferred from the divergence of LTR sequences. Substitution rate of $2-5 \times 10^{-9}$ per site per year was assumed.

Table 3. $d_{\rm N}/d_{\rm S}$ ratios in gag, pol and env coding sequences, averaged within each cluster

Cluster	gag	pol	env
SRV	0.065	0.063	0.220
cer-SERV	0.169	0.106	0.663
col-SERV	0.241	0.124	0.314

*the ratio shown is of $d_{
m N}$ averaged across branches to $d_{
m S}$ averaged across external

branches

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624	Figure Legends
625	Figure 1
626	Genomic structure of complete simian endogenous retrovirus (SERV) sequences. The
627	open and gray-shaded boxes represent LTR and target duplication sites, respectively.
628	Figure 2
629	Phylogeny of the seven Old World monkeys estimated from the results of previous
630	studies. Divergence times were obtained from a study by Perelman et al. (Perelman et al.,
631	2011), except for the divergence time between M. fascicularis and M. mulatta (N. Osada
632	et al., 2008) and between R. roxellana and R. bieti (Zhou et al., 2014).
633	Figure 3
634	Cer-SERV specific substitutions in the heptad repeat regions of gp20 protein. A trimeric
635	structure of SRV-3 gp20 is shown (PDB ID:4JF3). One subunit is colored in blue and the
636	other are colored in grey. The heptad repeat regions form two parallel helices. cer-SERV
637	specific changes are shown in red with the structure of side chains. The figure was
638	generated using the UCSF Chimera package (Pettersen et al., 2004).
639	Figure 4
640	Phylogenetic tree constructed from full-length nucleotide sequences of simian
641	retroviruses (SRVs) and simian endogenous retroviruses SERVs using maximum
642	likelihood methods. Bootstrap values (%) are shown along the branches. The full
643	phylogenetic tree showing labels of all SERV sequences is presented in Supplementary
644	Figure 1.
645	Figure 5

Simian endogenous retrovirus (SERV) integration site at MFa-chr5. The insertion

boundary in MFa and the orthologous regions in PAn and CSa are shown. Target site duplication sequences are shown in boxes. Note that a C→A mutation occurred at the first site in the target site duplication sequence after the divergence between macaques and baboons. The absence of integration in PAn and CSa indicates that SERV integration occurred after the divergence between macaques and baboons.









