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1 Upward transport of iron at the west shelf edge–slope of the Okinawa
2 Trough in the East China Sea

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29 **Abstract**

30 We studied the behavior of chemical substances in the upper 300 m of the water column
31 across the continental shelf–slope interface in the East China Sea off the Okinawa
32 Trough. The behaviors of iron, inorganic nutrients, and humic-like fluorescent dissolved
33 organic matter were strongly influenced by the extensive water exchange between the
34 East China Sea and Kuroshio Current across the shelf break and slope via upwelling and
35 frontal processes. We attributed the high humic-like fluorescent intensity at the
36 subsurface of the shelf break and slope regions to the lateral supply of humic-like
37 fluorescent dissolved organic matter from the shelf sediments to the outer shelf region
38 due to the intrusion of shelf water into Kuroshio subsurface water. We found that the
39 behavior of iron at the continental shelf–slope was remarkably different from the
40 conservative mixing of inorganic nutrients and humic-like fluorescent dissolved organic
41 matter. In deep and bottom waters at the shelf–slope, high total iron concentrations,
42 which were closely related to water transmittance, possibly resulted from the swept
43 transport of iron-rich resuspended sediments over the shelf floor from the slope by the
44 invading Kuroshio Intermediate Water close to the bottom.

45

46 **Keywords** Iron supply; Chemical substances; Hydrographic data; Upwelling;
47 Resuspension of sediments; Shelf break; Continental slope; Kuroshio; Okinawa Trough;
48 East China Sea

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56 **1 Introduction**

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58 Ecosystems in marginal seas are strongly affected by the iron supply from the land and
59 continental shelf region. Iron is generally supplied to surface water via riverine and
60 atmospheric inputs, upwelling, and vertical mixing (e.g., de Baar et al. 2001). It has
61 recently been reported that the lateral supply of iron from the continental margin is one
62 of the most important sources of iron to the open ocean (e.g., Lam and Bishop 2008;
63 Tanaka et al. 2012; Hioki et al. 2014). As a result of reductive dissolution of insoluble
64 Fe(III) during microbial oxidation of organic matter in continental shelf sediments,
65 there is a large dissolved Fe(II) flux out of such sediments which is a significant source
66 of iron from the continental shelf. In addition, several studies from continental slope
67 regions (e.g., McPhee-Shaw 2006; Nedelec et al. 2006; Fujita et al. 2010) suggest that
68 much of the suspended sediment containing iron arrives after lateral transport within
69 nepheloid layers from the continental slope into deep water, rather than by vertical
70 settling from surface water. Their iron can subsequently be upwelled into surface waters
71 (Elrod et al. 2004; Lohan and Bruland 2008; Chever et al. 2015). Coastal upwelling is
72 an important source of bioavailable iron as well as macronutrients to surface waters and
73 is the principal control of primary productivity in coastal upwelling ecosystems
74 (Johnson et al. 2001; Bruland et al. 2001; Elrod et al. 2008; Biller et al. 2013).

75 The East China Sea can be divided into a large shallow continental shelf region
76 characterized by high productivity and the Okinawa Trough, which is a back-arc rifting
77 basin with a large section deeper than 1000 m and a maximum depth of approximately
78 2200 m. The Kuroshio Current intrudes into the surface layer of the Okinawa Trough
79 eastward of Taiwan and then flows northeastward along the shelf break, where it mixes
80 with shelf water, before flowing out through the Tokara Strait south of Kyushu.
81 Extensive water exchange between the East China Sea and the Kuroshio Current occurs
82 across the shelf break via upwelling and frontal processes (Su 1998). There is frequently

83 a well-developed pycnocline at the depths of 150–200 m near the shelf break and
84 isohalines, isotherms, and isopycnals have been observed to rise towards the shelf, the
85 suggestion being that there is upwelling of trough water along the shelf break (e.g.,
86 Chen et al. 1995; Han et al. 2001).

87 In the present study, we report the vertical distributions of iron, inorganic nutrients
88 and humic-like fluorescent dissolved organic matter (humic-like FDOM) in the water
89 column along two transects across the continental shelf–slope interface in the East
90 China Sea off the Okinawa Trough. The waters of the continental slope and Okinawa
91 Trough can be expected to have hydrographical characteristics intermediate between
92 those of the western North Pacific and the continental shelf. We focused in particular on
93 the behavior of iron, inorganic nutrients, and humic-like FDOM in the ocean boundary
94 region of the East China Sea.

95

96 **2 Materials and Methods**

97

98 **2.1 Sampling**

99 Sampling was carried out aboard the *T/S Oshoro-Maru* of Hokkaido University between
100 1 and 4 July 2011 along two transects across the shelf-slope interface in the East China
101 Sea off the Okinawa Trough. Hydrographic observations (salinity, temperature, and
102 depth) were conducted in the upper 300 m of the water column at 7 stations (EA1–EA7)
103 along the EA line and at 7 stations (EB1–EB7) along the EB line (Fig. 1 and Table 1)
104 with a conductivity-temperature-depth (CTD) probe (SBE 19plus, Sea-Bird Electronics,
105 Bellevue, USA). Seawater samples were collected from depths of 5–300 m at 4 stations
106 (EA1, EA3, EA5, and EA7) along the EA line (27°09′–29°00′N, 126°00′–127°00′E) and
107 at 4 stations (EB1, EB3, EB5, and EB7) along the EB line (29°43′N, 126°45′–128°15′E)
108 (Fig. 1 and Table 1) using a CTD-rosette multiple sampler which housed twelve
109 acid-cleaned, Teflon-coated 5-L Niskin X sampling bottles (General Oceanics, Miami,

110 USA). Seawater samples were gravity-filtered on deck for analyses of humic-like
111 FDOM and dissolved iron (D-Fe) by connecting an acid-cleaned, 0.22- μ m Durapore
112 filter (Millipak 100; Millipore, Billerica, USA) to the Niskin X spigot. Unfiltered
113 seawater samples were collected for determination of inorganic nutrients and total
114 dissolvable iron (TD-Fe) concentrations. The samples (7-8 mL) for humic-like FDOM
115 and nutrient analyses, which were collected in 10-mL acrylic tubes (Sanplatec, Osaka,
116 Japan), were immediately frozen and kept below -20°C in the dark (1–2 months) prior
117 to analysis (e.g., Kitayama et al. 2009; Hioki et al. 2014, 2015). The filtered and
118 unfiltered seawater samples (100 mL) used for D-Fe and TD-Fe analyses, respectively,
119 were initially collected in acid-cleaned 125-mL low-density polyethylene (LDPE)
120 bottles (Nalgene, New York, USA). The filtered and unfiltered iron samples were then
121 acidified to pH 1.7–1.8 with addition of ultrapure grade HCl in a class 100 clean-air
122 bench in a clean room on board. The acidified iron samples were allowed to stand at
123 room temperature for at least 3 months prior to iron analysis (Bruland and Rue, 2001).
124 Sample treatment in the present study was the same as in previous studies (e.g.,
125 Nishimura et al. 2012; Kuma et al. 2014; Hioki et al. 2014, 2015).

126 Beam transmittance (%) in the water column was measured with a single-channel
127 transmissometer having a wavelength of 470 nm (bandwidth = ~ 20 nm) and a path
128 length of 25 cm (WET Labs, Sun Valley, USA). Water transmittance (Tr) can be
129 expressed as the formula $Tr = e^{-cx}$ where c is the beam attenuation coefficient and x is
130 the path length. In this study, the vertical profiles of water transmittance in the water
131 column were inversely related to those of water turbidity (Takata et al. 2008; Fujita et al.
132 2010; Kuma et al. 2014). In general, the water transmittance is related to the
133 concentration of particulate material in the water although the exact relationship varies
134 with the type of particles (suspended particles, phytoplankton, and bacteria) and
135 dissolved organic matter present.

136

137 2.2 Nutrients and humic-like FDOM

138 Major nutrient (NO_3+NO_2 , PO_4 , and $\text{Si}(\text{OH})_4$) concentrations were measured by a
139 Technicon AutoAnalyzer using CSK standard solutions for NO_3 and NO_2 (Wako Pure
140 Chemical Industries, Japan) and standard methods (Parsons et al. 1984). The analytical
141 precisions by repetitive measurement ($n = 6$) of nutrient standard were estimated to be
142 2.3% for NO_3+NO_2 , 7.0% for PO_4 , and 0.40% for $\text{Si}(\text{OH})_4$. The lower limits for
143 determination were $0.1 \mu\text{M}$ for NO_3+NO_2 , $0.05 \mu\text{M}$ for PO_4 , and $1 \mu\text{M}$ for $\text{Si}(\text{OH})_4$.

144 Humic-like FDOM was quantified by measuring humic-like fluorescence intensity
145 (humic F-intensity) as reported in previous studies (e.g., Kitayama et al. 2009; Hioki et
146 al. 2014, 2015). After the frozen filtered samples in acrylic tubes were thawed and
147 warmed overnight to room temperature in the dark, the humic F-intensity was measured
148 in a 1-cm quartz cell by a fluorescence spectrophotometer (model F-2000, Hitachi,
149 Japan) with excitation/emission at 320 nm/420 nm, using 10-nm bandwidths (Hayase et
150 al. 1988; Hayase and Shinozuka 1995). Fluorescence intensity was expressed in terms
151 of quinine sulfate units ($1 \text{ QSU}_{320/420} = 1 \text{ ppb}$ quinine sulfate in $0.05 \text{ M H}_2\text{SO}_4$ with
152 excitation/emission at 320 nm/420 nm; Mopper and Schultz 1993).

153

154 2.3 Dissolved and total dissolvable iron

155 Acidified iron samples were adjusted to pH 3.2 with addition of 8.15 M quartz-distilled
156 formic acid–4.54 M ultrapure-grade ammonium buffer solution (0.8 mL per 100-mL
157 sample solution) in a class 100 clean-air bench. The iron concentrations (D-Fe and
158 TD-Fe) in buffered filtered and unfiltered samples were determined using an automated
159 iron analyzer (Kimoto Electric, Osaka, Japan) and a combination of 8-hydroxyquinoline
160 immobilized chelating resin concentration and luminol–hydrogen peroxide
161 chemiluminescence detection in a closed flow-through system (Obata et al. 1993) as
162 briefly described in previous studies (e.g., Kuma et al. 2014; Hioki et al. 2014, 2015).
163 The accuracy of this analysis was checked using Sampling and Analysis of Iron (SAFe)

164 reference standard seawater (pH 1.7–1.8). The D-Fe in the SAFe surface water (S) and
165 deep intercalibration water (D1) were determined to be 0.10 ± 0.01 nM ($n = 6$) for S and
166 0.70 ± 0.03 nM ($n = 5$) for D1 by our analytical method after being buffered at pH 3.2.
167 These results are consistent with the corresponding community consensus values of
168 0.090 ± 0.007 nM and 0.67 ± 0.07 nM (Johnson 2007; www.geotraces.org).

169

170 **3 Results**

171

172 3.1 Water properties

173 The two transects (EA1–EA7 and EB1–EB7, Fig. 1) across the shelf–slope interface of
174 the East China Sea were located on a southeast–northwest line from the central
175 Okinawa Trough to the shelf region and on an east–west line from the eastern part of
176 the trough to the shelf, respectively (Table 1). We defined station sites as outer slope,
177 slope, shelf break, and shelf regions (outer slope stations: EA1–EA3; slope stations:
178 EA4 and EA5 and EB1–EB3; shelf break stations: EA6 and EB4 and EB5; shelf
179 stations: EA7 and EB6 and EB7, Table 1). Figures 2a-c and 2d-f show zonal sections of
180 water properties (potential temperature, salinity, and potential density) across the
181 shelf–slope interface along the EA and EB lines, respectively. The corresponding T/S
182 diagrams for these transects are shown in Fig. 3a (EA line) and 3b (EB line). The water
183 was categorized into five types: Taiwan Warm Current water (TWCW), shelf mixed
184 water (SMW), Kuroshio surface water (KSW), Kuroshio subsurface water (KSSW), and
185 Kuroshio intermediate water (KIW) (Fig. 3) based on Zhang et al. (2007) and Kodama
186 et al. (2015).

187 Along the EA line, the sea surface temperature was $>25^{\circ}\text{C}$. The surface salinity
188 was <34 (TWCW) at EA6 and EA7 and >34 (KSW) at EA1–EA5 (Figs. 2a-c, 3a). The
189 layer immediately beneath KSW was KSSW with a relatively high temperature and the
190 highest salinity of all waters. Salinity >34.75 in the Kuroshio Current was found at

191 depths of 100–250 m at EA1–EA4 (Fig. 2b). Isotherms, isohalines, and isopycnals
192 tilting toward the shelf and upward extended over the slope (Fig. 2a-c), an indication of
193 topographically induced upwelling at the shelf break–slope. In addition, KIW
194 (temperature $<15^{\circ}\text{C}$ and salinity >34.25) was observed at depths of 220–300 m beneath
195 KSSW only at EA5 (bottom depth, 1093 m) along the EA line (Fig. 3a).

196 In contrast, the temperature, salinity, and density stratifications along the EB line
197 were stronger than those along the EA line (Figs. 2, 3). Along the EB line, the sea
198 surface temperature was $>25^{\circ}\text{C}$, and the surface salinity was <34 (TWCW) at EB2–EB7
199 and >34 (KSW) only at EB1 (Figs. 2d-f, 3b). The SMW and TWCW (salinity <34)
200 intruded into the upper 40 m of the water column at EB2–EB7 (Figs. 2d-f, 3b). In
201 addition, the temperature and salinity in the subsurface water (depths of 70–90 m) at
202 EB1–EB3 were approximately 20°C and <34.25 (Figs. 2d-e, 3b), respectively, because
203 of intrusion of SMW into the subsurface water. Therefore, the surface and subsurface
204 waters along the EB line, in contrast to the EA line, were strongly influenced by
205 continental shelf waters. The KIW was located between 150 m and 300 m below the
206 surface, beneath KSSW at EB1–EB4 (bottom depths, 203–971 m) (Figs. 2d-f, 3b).

207

208 3.2 Nutrients, apparent oxygen utilization (AOU), and humic F-intensity

209 At EA stations, the surface mixed layer (depths ≤ 20 –30 m) had extremely low nutrient
210 concentrations and AOU: $<$ determination limit– $0.2\ \mu\text{M}$ for NO_3+NO_2 (Fig. 4a); ~ 0.2
211 μM at EA1, EA3, and EA5 and $<$ determination limit– $0.05\ \mu\text{M}$ at EA7 for PO_4 (Fig. 4b);
212 2.3 – $4.1\ \mu\text{M}$ for $\text{Si}(\text{OH})_4$ (not shown); and 13 – $21\ \mu\text{M}$ for AOU (Fig. 4c). However,
213 humic F-intensity values (0.8 – 1.2 quinine sulfate units [QSU]) in the surface mixed
214 layer at EA7 were approximately twice those (0.3 – 0.6 QSU) at EA1, EA3, and EA5
215 (Fig. 4d). At depths ≥ 20 –50 m, nutrient concentrations and AOU increased gradually
216 with depth at EA1 and EA3 but more rapidly at EA5 (Fig. 4a-c), whereas humic
217 F-intensities showed similar increasing patterns with depth at EA1, EA3, and EA5 but

218 they at EA7 were nearly uniform and high (1.1–1.2 QSU) throughout the water column
219 (Fig. 4d).

220 At EB stations, the surface mixed layer (depths ≤ 20 –30 m) had extremely low
221 nutrient concentrations and AOU: $<$ determination limit–0.3 μM for NO_3+NO_2 (Fig. 4e);
222 $<$ determination limit–0.3 μM for PO_4 (Fig. 4f); 1.5–4.0 μM for $\text{Si}(\text{OH})_4$ (not shown);
223 and 11–16 μM for AOU (Fig. 4g). Humic F-intensity in the surface mixed layer tended
224 to increase toward the shelf, from 0.5–0.6 QSU at EB1 to 0.9–1.2 QSU at EB7 (Fig. 4h).
225 At depths ≥ 30 –50 m, nutrient concentrations and AOU increased rapidly with depth at
226 EB1 and EB3, similar to the pattern at EA5; they increased more rapidly at EB5 and
227 EB7, similar to the pattern at EA7 (Fig. 4a-c, e-g). Humic F-intensity at depths ≥ 10 –30
228 m at all EB stations increased rapidly with depth to a depth of 75 m and then remained
229 high (1.1–1.6 QSU) and relatively uniform below a depth of 75 m (Fig. 4h). The
230 vertical profiles of nutrients and AOU at EB1 and EB3 were very similar to those at
231 EA5 (Fig. 4a-c, e-g).

232

233 3.3 Iron and water transmittance

234 In the surface mixed layer (depths ≤ 20 –30 m) along the EA line, iron concentrations at
235 EA7 were high, ranging from 0.8 to 1.1 nM for D-Fe and from 1.5 to 2.6 nM for TD-Fe,
236 whereas those at EA1, EA3, and EA5 were relatively low, ranging from 0.1 to 0.3 nM
237 for D-Fe and from 0.3 to 0.8 nM for TD-Fe at EA1 and EA3 and from 0.3 to 0.6 nM for
238 D-Fe and from 1.2 to 1.9 nM for TD-Fe at EA5, respectively (Fig. 5a, b). Iron
239 concentrations increased toward the shelf in the surface mixed layer. At EA7 (bottom
240 depth: 116 m), D-Fe concentrations were high (0.7–1.1 nM) and relatively uniform
241 throughout the water column, but TD-Fe concentrations at depths ≥ 50 m increased
242 rapidly with depth to 22 nM at a depth of 100 m. At depths ≥ 50 –100 m, the iron
243 concentrations at EA1 and EA3 tended to increase slightly with depth [except for the
244 high D-Fe concentration at a depth of 300 m at EA3 (Fig. 5a)], whereas those at EA5

245 were remarkably high and variable, 1.2–3.3 nM for D-Fe and 3.7–26 nM for TD-Fe (Fig.
246 5a, b). At each depth below 100 m, the iron concentrations at EA5 were much higher
247 than those at EA1 and EA3; D-Fe was approximately 5–10 times higher and TD-Fe was
248 10–40 times higher.

249 In the surface mixed layer (depths ≤ 20 –30 m) along the EB line, iron
250 concentrations at EB5 and EB7 were relatively high, ranging from 0.5 to 1.2 nM for
251 D-Fe and from 0.9 to 2.6 nM for TD-Fe, whereas those at EB1 and EB3 were relatively
252 low, ranging from 0.2 to 0.9 nM for D-Fe and from 1.0 to 1.4 nM for TD-Fe (Fig. 5c, d).
253 The tendency of iron concentrations to increase toward the shelf in the surface mixed
254 layer along the EB line was similar to the pattern along the EA line. In addition, D-Fe
255 concentrations at EB 5 and EB7 (bottom depths: 123 m and 102 m) were high (0.4–1.0
256 nM and 0.6–1.2 nM, respectively) and relatively uniform throughout the water column
257 (Fig. 5c), similar to those at EA7 (Fig. 5a). However, D-Fe concentrations at EB1 and
258 EB3 decreased gradually with depth in the upper 50 m, reaching minima at a depth of
259 50 m; they then increased gradually with depth between 50 and 100–125 m and reached
260 relatively uniform concentrations of 0.4–0.7 nM throughout the water column at depths
261 ≥ 100 m (Fig. 5c). TD-Fe concentrations at depths ≥ 75 m at EB1 and EB3 increased
262 gradually with depth in the upper 150 m, reaching maxima at a depth of 150 m, and then
263 were relatively uniform, 13–14 nM and 11–19 nM, respectively, below a depth of 150
264 m. In contrast, TD-Fe concentrations at EB5 and EB7 tended to increase rapidly with
265 depth to values of 60–66 nM and 21–30 nM in bottom waters, respectively (Fig. 5d).
266 The D-Fe and TD-Fe concentrations at depths ≥ 100 m increased in the order EA5 >
267 EB3 = EB1 > EA3 = EA1 [except for the high D-Fe concentration at a depth of 300 m
268 at EA3 (Fig. 5)].

269 Vertical profiles of water transmittance (Fig. 6) were mirror images of those of
270 water turbidity, which is contributed by the concentration of suspended particle in the
271 water, as published in previous studies (Takata et al. 2008; Fujita et al. 2010; Kuma et

272 al. 2014). The fact that water transmittance throughout the water columns along the EA
273 (Fig. 6a) and EB (Fig. 6b) lines was relatively low and variable (89.5–94.2%) at depths
274 ≤ 100 m was due to the high and variable concentrations of phytoplankton, bacteria, and
275 dissolved organic matter in addition to suspended particles. That the transmittance was
276 high (94.0–94.8%) below a depth of 150 m was due mainly to the relatively low
277 concentration of suspended particles. The water transmittance at depths ≥ 150 m
278 decreased roughly in the order EA5 > EB3 = EB1 > EA3 = EA1 (Fig. 6).

279

280 **4. Discussion**

281

282 4.1 Chemical substances in the surface water

283 The Changjiang River supplies a large amount of dissolved inorganic nutrients to the
284 East China Sea shelf, resulting in high primary production compared with the outer
285 shelf regions. Nutrient concentrations in East China Sea shelf surface waters gradually
286 decrease from eutrophic coastal to oligotrophic open shelf waters and the gradient
287 depends on the hydrographic stage of the Changjiang River (Zhang et al. 2007). In
288 previous studies (Wang et al. 2016; Zhao et al. 2017), the high concentrations of redox
289 sensitive elements such as Fe²⁺ and Mn²⁺ were found in bottom water and sediment
290 pore-water in the Changjiang Estuary and adjacent inner shelf of the East China Sea but
291 very low concentrations in the central shelf and outer shelf bottom waters. The
292 reductive dissolution of insoluble particulate Fe(III) and Mn(III, IV) oxides were an
293 important early diagenetic pathway, which is largely due to the higher inputs of
294 terrigenous materials from the Changjiang River and abundant reactive organic matter
295 in the Changjiang Estuary and adjacent inner shelf. However, it has been suggested that
296 the dominant source of nutrients to the East China Sea comes from the upwelling of
297 subsurface waters from the Kuroshio Current (Chen 1996; Yang et al. 2013; Lui et al.
298 2014).

299 In the present study, phytoplankton growth in the surface mixed layer of the shelf,
300 shelf break, and slope in the East China Sea off the Okinawa Trough may be limited by
301 macronutrient concentrations, which are extremely low, whereas iron concentrations are
302 relatively high (Figs. 4a, b, e, f, 5). The surface water (depths $\leq 30\text{--}40$ m) at EA6–EA7
303 and EB2–EB7 was impacted by the intrusion of TWCW (salinity < 34) from the
304 continental shelf side into the KSW (Figs. 2, 3). The TWCW and KSW are devoid of
305 nutrients although regeneration of nutrients takes place in the near-bottom waters of the
306 inner shelf as a result of extensive bacterial catabolism of organic matter. The
307 approximately linear relationships between humic F-intensities and salinity and between
308 iron concentrations (D-Fe and TD-Fe) and salinity in the upper 30 m at all stations
309 resulted from conservative mixing between TWCW (salinity < 34) and KSW (salinity
310 > 34), with humic F-intensities and iron concentrations that were relatively high and low,
311 respectively (Figs. 3, 4d, h, 5, 7). Surface water mixing between TWCW and KSW
312 resulted in an increase of humic F-intensity and iron concentration from the shelf via
313 lateral transport of humic-like FDOM and suspended sediment particles from the wide
314 continental shelf (depths ≤ 200 m), which occupies most of the East China Sea, toward
315 the offshore ocean, where humic-like FDOM and suspended sediment particle
316 concentrations in surface waters are relatively low.

317 In the present study, humic F-intensities (0.8–1.2 QSU) in surface waters (depths
318 ≤ 30 m) composed mainly of TWCW were remarkably consistent at shelf stations (EA7
319 and EB7) (Fig. 4d, h) with those (0.8–1.3 QSU) in Japan Sea surface water, which
320 originates from TWCW and Kuroshio Water, reported in previous studies (Saitoh et al.
321 2008; Fujita et al. 2010; Kuma et al. 2014). In addition, humic F-intensities (0.3–0.6
322 QSU) in surface waters composed of KSW at the outer slope and slope stations (EA1,
323 EA3, EA5, EB1, and EB3) were a little higher than those (0.1–0.4 QSU) in the
324 equatorial and central North Pacific surface waters (origin of KSW) (Hayase et al. 1988;
325 Hayase and Shinozuka 1995; Kitayama et al. 2009). In general, the humic F-intensities

326 are low in marine surface waters because of photochemical degradation in the euphotic
327 zone of fluorescent organic matter by sunlight (Mopper et al. 1991; Chen and Bada
328 1992; Nieto-Cid et al. 2006). Humic F-intensities gradually increase with depth because
329 of the formation of fluorescent organic matter during the microbial decomposition of
330 settling particles in the water column (Yamashita and Tanoue 2004; Nieto-Cid et al.
331 2006). In contrast, high humic F-intensities in coastal environments such as TWCW are
332 due to inputs of humic-like FDOM, such as humic and fulvic acids, from rivers and
333 continental shelf sediments as a result of the decomposition of particulate organic
334 matter (Coble 2007; Nishimura et al. 2012; Hioki et al. 2014). In this study, an
335 approximately linear inverse relationship of humic F-intensity to salinity in the surface
336 waters (Fig. 7a) is probably indicating that the humic substances were dominantly
337 derived from riverine input. Numerous studies have shown near linearity of
338 chromophoric dissolved organic matter (CDOM) absorption and humic F-intensity with
339 salinity in estuaries and coastal regions strongly affected by river input, indicating a
340 terrestrial origin for this material and the absence of strong in situ sources and sinks
341 (e.g., de Souza Sierra et al. 1997; Blough and Del Vecchio 2002; Del Vecchio and
342 Blough 2004; Coble 2007).

343 In the surface waters, the iron concentrations at outer slope stations (EA1 and
344 EA3) were much lower than those in coastal regions and a little higher than those in the
345 central North Pacific reported in previous studies (Bruland et al. 1994; Saitoh et al.
346 2008; Kitayama et al. 2009; Kuma et al. 2014; Hioki et al. 2014, 2015), because of the
347 relatively low iron inputs from atmosphere and continental shelf to the oligotrophic
348 oceanic surface mixed layer (Figs. 5, 7b, c). The high iron concentrations in the surface
349 waters at shelf and shelf break stations (EA7, EB5, and EB7) are attributable to high
350 iron inputs from atmosphere and upwelled suspended sediment particles into the
351 nutrient-depleted surface water (Fig. 5). Therefore, inverse linear relationships between
352 iron concentrations and salinity and the increase of iron concentrations toward the shelf

353 (Fig. 7b, c) suggest that relatively conservative mixing between shelf and outer shelf
354 surface waters is the major factor controlling the distribution of iron concentration as
355 well as humic F-intensities in the surface water (Fig. 7a).

356

357 4.2 Chemical substances in deep waters

358 Along the EA line, isohalines, isotherms, and isopycnals that shoaled toward the shelf
359 were apparent along the slope (Fig. 2a-c). This pattern is similar to patterns reported in
360 previous studies (Chen et al. 1995; Han et al. 2001) and suggests that there was
361 upwelling of KSSW and KIW along the continental shelf break–slope (Figs. 2a-c, 3a).
362 The upwelling along the EA line contributed to the more abrupt increase of nutrient
363 concentrations, AOU, and humic F-intensities with increasing depth at slope station
364 EA5 than at the outer slope stations (EA1 and EA3) (Fig. 4a-d). Deep waters below
365 depths of approximately 100 m at the slope stations (EA5, EB1, and EB3) consisted
366 primarily of KIW with relatively high nutrient concentrations, AOU, and humic
367 F-intensities and relatively low salinity, whereas the analogous waters at the outer slope
368 stations (EA1 and EA3) were composed of KSSW with relatively low nutrient
369 concentrations, AOU, and humic F-intensities, and relatively high salinity (Figs. 2, 3,
370 4). Similarly, topographically induced upwelling of KIW at the shelf break–slope was
371 indicated by the nutrient concentrations, AOU, and alkalinity that tilted upward and
372 toward the shelf, as well as by the isotherms, isohalines, and isopycnals which extend
373 over the East China Sea shelf break off northern Taiwan (Chen et al. 1995). It has been
374 reported that NO_3 , PO_4 , and AOU concentrations in the bottom water on the outer shelf
375 of the East China Sea along a transect across the shelf-slope interface, near the EA line,
376 appear to have increased as KIW contributes substantially to the upwelling (Zhang et al.
377 2007; Lui et al. 2014). The nutrients and AOU concentrations in the upwelled KIW
378 (depths ranging from 150–200 m to 300–400 m) at a slope station (bottom depth:
379 approximately 1000 m) ranged from 10 to 20 μM for NO_3 , from 0.5 to 1.5 μM for PO_4 ,

380 and from 60 to 120 μM for AOU, which were almost the same as those in deep waters
381 (depths ranging from 150 m to 300 m) at the slope stations (EA5, EB1, and EB3) (Fig.
382 4a-c, e-g). In addition, Minakawa and Watanabe (1998) reported upwelling of Al-poor
383 water originated from the KIW. The intrusion of this water into mid-depths of the
384 Okinawa Trough was apparent from the slopeward decrease of Al concentrations at
385 depths ≤ 500 m on the continental slope, close to the EA and EB lines in the present
386 study (Fig. 1). In the present study, the abrupt increases in nutrients and AOU
387 concentrations with depth in deep and bottom waters (depths ranging from 30–50 m to
388 85–115 m) at the shelf and shelf break stations (EA7, EB5, and EB7) (Figs. 4a–c, e–g)
389 may be largely due to the upwelling of KIW along the continental slope and onto the
390 bottom of the shelf–shelf break (Fig. 2) rather than the oxidative decomposition of
391 settling particulate organic matter in the shelf–shelf break bottom water and sediment
392 pore-water. The high TD-Fe concentrations in deep and bottom waters at the shelf and
393 shelf break could result from the resuspension of shelf sediments since the dissolvable
394 particulate Fe (P-Fe) concentrations ($[\text{P-Fe}] = [\text{TD-Fe}] \text{ minus } [\text{D-Fe}]$) at the shelf and
395 shelf break stations rapidly increased with depth but D-Fe did not increase (Fig. 5). The
396 abrupt elevated TD-Fe concentration in the shelf–shelf break bottom waters (Fig. 5b, d)
397 may reflect the contribution of the transport across the sediment–water interface of iron
398 species released through the oxidative decomposition of settling particulate organic
399 matter on the shelf–shelf break bottom (Nishimura et al. 2012; Hioki et al. 2014, 2015),
400 besides the upwelling of KIW onto the bottom.

401 Figure 8 shows the profiles of NO_3+NO_2 , AOU, humic F-intensity, and iron
402 concentrations versus potential density and a relationship between NO_3+NO_2 and AOU
403 concentrations at all EA and EB stations. The approximately linear and similar increases
404 in NO_3+NO_2 and AOU concentrations with increasing density at $\sigma_\theta \geq 23$ (depths ≥ 40 –50
405 m) at all stations (Fig. 8a, b) mainly attributed to the upwelling of KIW onto the deep
406 and bottom waters of the shelf–slope (Zhang et al. 2007; Lui et al. 2014). A strong

407 linear relationship between NO_3+NO_2 and AOU concentrations (Fig. 8c) is substantially
408 due to the nutrient remineralization through the microbial oxidative decomposition of
409 sinking biogenic organic matter in deep water. However, the relationship between
410 humic F-intensity and density (σ_θ ranging from ~ 22 to 24.5 ; depths ranging from
411 surface to $50\text{--}100$ m) in deep waters at all EB stations and shelf station EA7 was
412 remarkably different from the approximately linear increases in NO_3+NO_2 and AOU
413 concentrations with increasing density at all stations (Fig. 8a, b) and in humic
414 F-intensity with increasing density at the outer slope and slope stations (EA1, EA3, and
415 EA5) along the EA line (Fig. 8d). The abrupt increases in humic F-intensities with
416 increasing density at $\sigma_\theta \leq 24.5$ (Fig. 8d) and the high humic F-intensities at depths
417 ranging from 50 m to 100 m (Fig. 4h) at all EB stations strongly suggest a lateral supply
418 of humic-like FDOM from the shelf to the outer shelf region via intrusion of SMW into
419 KSSW within a narrow depth range ($50\text{--}100$ m) below the surface mixed-layer (Fig.
420 2d–f) along the EB line (Figs. 2e, 3b). Isobe et al. (2004) reported the intrusion of less
421 saline shelf water into KSSW around the shelf edge of the East China Sea off the
422 Okinawa Trough. The high humic F-intensities in SMW in coastal and shelf
423 environments are possibly due to the large inputs of biologically less active humic-like
424 FDOM from rivers and continental shelf sediments (Coble 2007; Nishimura et al. 2012;
425 Hioki et al. 2014). Humic-like FDOM is largely resistant to direct microbial
426 remineralization although photodegradation is the dominant process for humic-like
427 FDOM removal from natural waters (Blough and Del Vecchio 2002; Coble 2007).
428 Photodegradation of humic-like FDOM by solar radiation is restricted to a very thin
429 surface layer and the effects averaged over a much larger mixing depth. The average
430 penetration depth of the photochemically active UV wavelengths of sunlight becomes
431 comparable to the mixed-layer depth (Blough and Del Vecchio 2002).

432 The iron concentrations against density at the shelf, shelf break, and slope stations
433 (EA5, EA7, and all EB stations) were much higher and/or variable than those at the

434 outer slope stations (EA1 and EA3); the concentrations remarkably differed, depending
435 on the station and on the density (Fig. 8e, f). The higher and variable iron
436 concentrations may be largely due to the upwelling of KIW along the continental slope.
437 Previous studies have reported the persistent upwelling of subsurface Kuroshio waters
438 at the shelf break (Chen et al. 1995; Isobe and Beardsley 2006; Zhu et al. 2008). Zhu et
439 al. (2008) and Chen et al. (2017) concluded that some of the terrestrial materials that is
440 transported southward along the Chinese coast turns eastward off the northern Taiwan
441 and subsequently turns around to join the northwardly flowing Kuroshio Current.
442 Finally, the terrestrial material is deposited on the shelf break and slope. In addition, the
443 coastal sediments from China are also transported toward the Kuroshio and the
444 Okinawa Trough in summer by typhoons (Chen et al. 2017). The fine sediments that are
445 deposited on the shelf break and upper slope are then resuspended and brought back to
446 the middle shelf by the strong invasion of subsurface Kuroshio waters close to the
447 bottom. Therefore, high TD-Fe concentrations in deep-bottom waters at the shelf, shelf
448 break, and slope stations (EA5, EA7, and all EB stations) may strongly attribute to the
449 transport of resuspended fine sediments by the upwelling of KIW along the slope and
450 onto the bottom of the shelf–shelf break (Figs. 5b, d, 8f). In the present study, we found
451 strong inverse linear relationships between NO_3+NO_2 concentrations and salinity and
452 between humic F-intensities and salinity in deep waters (depths ≥ 125 m) at all slope and
453 outer slope stations (Fig. 9a, b). In open ocean, the humic-type FDOM is produced in
454 deep water column by the oxidation and remineralization of settling organic matter,
455 resulting in gradual increase in humic F-intensities with depth, and is destructed by
456 photochemical degradation in the surface waters (Hayase et al. 1988; Chen and Bada
457 1992). Therefore, the strong linear inverse relationships of humic F-intensity and
458 nutrients to salinity in deep waters at the slope and outer slope stations (Fig. 9b), away
459 from river-dominated margins, simply arise from the conservative mixing of two water
460 masses (KSSW and KIW). However, the relationship between iron concentrations and

461 salinity in deep waters (Fig. 9c, d) was remarkably different from the inverse linearity
462 between nutrient concentrations and humic F-intensities versus salinity. The iron
463 concentrations in deep waters at the slope stations (EA5, EB1, and EB3) were much
464 higher and/or variable, approximately 2–10 times higher for D-Fe concentrations and
465 10–30 times higher for TD-Fe concentrations (Fig. 5), than those at the outer slope
466 stations (EA1 and EA3). Additionally, TD-Fe concentrations in deep waters at the slope
467 stations (Figs. 5b, d, 8f, 9d) were approximately 5–30 times higher than those in the
468 North Pacific Intermediate Water (origin of KIW) in the subtropical North Pacific
469 region (Bruland et al. 1994; Kitayama et al. 2009). Therefore, it is suggested that the
470 remarkably high and variable iron concentrations in deep waters at the slope region are
471 largely due to the transport of iron-rich resuspended fine sediments by the upwelling of
472 KIW along the continental slope.

473

474 4.3 Iron sources

475 The D-Fe concentrations in remote oceanic regions are characteristically low relative to
476 those in coastal environments and may be maintained primarily by complexation of
477 D-Fe with organic Fe-binding ligands such as humic dissolved organic matter, which
478 controls the Fe(III) hydroxide solubility in seawater (Kuma et al. 1996; Tani et al. 2003;
479 Chen et al. 2004; Gerringa et al. 2007; Kitayama et al. 2009; Laglera and van den Berg
480 2009; Hioki et al. 2014). The D-Fe concentrations in the water columns of the shelf,
481 shelf break, slope, and outer stations were variable; the concentrations differed,
482 depending on the station and on the depth or density (Figs 5a, c, 8e). In particular, the
483 D-Fe concentrations at the shelf and shelf break stations (EA7, EB5, and EB7) and in
484 deep water at the slope station (EA5) were remarkably high relative to the solubility of
485 Fe(III); they plotted above the Fe(III) hydroxide solubility–humic F-intensity
486 relationship line (Fig. 10a), which was estimated by fitting a linear equation to the
487 relationship between Fe(III) hydroxide solubility and humic F-intensity in the central

488 North Pacific Ocean (Kitayama et al. 2009). The excess D-Fe concentrations at the shelf
489 and shelf break stations and in deep water at the slope station were probably due to the
490 presence of colloidal Fe in the D-Fe fraction ($<0.22 \mu\text{m}$ pore size) supplied from the
491 resuspended fine sediments which were brought by the upwelling of KIW along the
492 slope and onto the bottom of the shelf–shelf break.

493 The TD-Fe concentrations in the deep waters of the shelf, shelf break, slope, and
494 outer stations were also variable; the concentrations differed, depending on the station
495 and on the depth or density (Fig. 5b, d, 8f). The relative order of the TD-Fe
496 concentrations in deep water (depths ≥ 150 m) was $EA5 > EB3 = EB1 > EA3 = EA1$
497 (Fig. 5b, d). This pattern was relatively consistent with the opposite order of water
498 transmittance (Fig. 6). Water absorbance (A) can be expressed as $A = \log_{10} (100/Tr)$.
499 Figure 10b shows the linear relationship between TD-Fe concentrations and A below a
500 depth of 150 m at the slope stations (EA5, EB1, and EB3) and the corresponding
501 relationship at the outer slope stations (EA1 and EA3). The P-Fe concentration in deep
502 water at the slope stations (EA5, EB1, and EB3) was proportional to the concentration
503 of suspended particles, a metric of which would be A . Suspended particles primarily
504 affected light transmission in deep water, although phytoplankton, bacteria, and
505 dissolved organic matter may also have contributed to the reduction of transmittance
506 upper 150 m of the water column (Fig. 6). However, TD-Fe concentrations in deep
507 water at the outer slope stations (EA1 and EA3) were much lower than those at the
508 slope stations and were not likely related to A (Fig. 10b). The implication is that the
509 source of the suspended particles in the region of the outer slope was sinking particulate
510 matter, the source of which is primarily the decomposition of biogenic particulate
511 organic matter in deep water and/or atmospheric inputs. These suspended particles
512 differ from those with high contents of iron found in the slope regions. Therefore, the
513 significant linear relationship of TD-Fe concentration to water transmittance in deep
514 water at the continental slope resulted from the iron supplied to deep water via transport

515 of iron-rich resuspended sediment by the upwelling of KIW along the slope. Particulate
516 Fe generally having a short residence time may be sensitive to changes in water masses
517 and resuspension of sediments from the continental shelf–slope at various sites in the
518 ocean (Hung et al. 1999; Lam et al. 2006; Lam and Bishop 2008; Zhu et al. 2008; Fujita
519 et al. 2010; Chen et al. 2017).

520

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527

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- 700
- 701
- 702 Figure legends
- 703 **Fig. 1** Station locations along two transects in the East China Sea off the Okinawa

704 Trough. The two transects included seven stations (EA1–EA7) along the EA line
705 (27°09′–29°00′N, 126°00′–127°00′E) and seven stations (EB1–EB7) along the EB line
706 (29°43′N, 126°45′–128°15′E) (Table 1). The orange and red arrows show the Taiwan
707 Warm Current and the Kuroshio Current, respectively. Water samples were collected at
708 four stations (EA1, EA3, EA5, and EA7) along the EA line and at four stations (EB1,
709 EB3, EB5, and EB7) along the EB line.

710

711 **Fig. 2** Vertical distributions of potential temperature (θ), salinity (S), and potential
712 density (σ_θ) across the shelf-slope interface [EA line (a, b, c) and EB line (d, e, f)] in the
713 East China Sea off the Okinawa Trough.

714

715 **Fig. 3** Relationship between potential temperature and salinity at stations EA1, EA3,
716 EA5, and EA7 along the EA line (a) and at stations EB1, EB3, EB5, and EB7 along the
717 EB line (b). The relationships reveal five water masses that originate from the Taiwan
718 Warm Current and the Kuroshio Current (TWCW: Taiwan Warm Current water; SMW:
719 shelf mixed water; KSW: Kuroshio surface water; KSSW: Kuroshio subsurface water;
720 KIW: Kuroshio intermediate water).

721

722 **Fig. 4** Vertical distributions of NO_3+NO_2 concentrations (a, e), PO_4 concentrations (b, f),
723 AOU (c, g), and humic F-intensity (d, h) at the EA stations on 3–4 July 2011 (a, b, c, d)
724 and at the EB stations on 1–2 June 2011 (e, f, g, h). Hatched rectangles indicate bottom
725 depths at the shelf stations.

726

727 **Fig. 5** Vertical distributions of dissolved iron (D-Fe) concentration (a, c) and total
728 dissolvable iron (TD-Fe) concentration (b, d) at the EA stations (a, b) and the EB
729 stations (c, d). Hatched rectangles indicate bottom depths at the shelf stations.

730

731 **Fig. 6** Vertical profiles of water transmittance at the EA stations (a) and the EB stations
732 (b). Hatched rectangles indicate bottom depths at the shelf stations.

733

734 **Fig. 7** Humic F-intensity (a), D-Fe (b), and TD-Fe (c) versus salinity at depths 30 m at
735 all EA and EB stations. Each solid line is a linear regression of the data in the panel.

736

737 **Fig. 8** NO_3+NO_2 (a), AOU (b), humic F-intensity (d), D-Fe (e), and TD-Fe (f) versus
738 salinity and NO_3+NO_2 versus AOU (c) at all EA and EB stations. Each solid line is a
739 linear regression of the data in the panel.

740

741 **Fig. 9** NO_3+NO_2 (a), humic F-intensity (b), D-Fe (c), and TD-Fe (d) versus salinity at
742 depths ≥ 125 m at all EA and EB stations. The solid lines are linear regression for
743 relationships between NO_3+NO_2 and salinity and between humic F-intensity and
744 salinity.

745

746 **Fig. 10** Relationships between D-Fe and humic F-intensity in the water column (a) and
747 between TD-Fe and water absorbance at depths ≥ 150 m (b) at all EA and EB stations.
748 The solid line in (a) indicates the Fe(III) hydroxide solubility as estimated by fitting a
749 linear equation to the relationship between Fe(III) hydroxide solubility (nM) and humic
750 F-intensity (QSU) in the central North Pacific Ocean: Fe(III) hydroxide solubility =
751 $0.226 \times \text{humic F-intensity} - 0.045$; $R = 0.78$, $n = 14$ (Kitayama et al. 2009). The solid
752 line in (b) is a linear regression of all data from the shelf break stations (EA5, EB1, and
753 EB3), except for the outer shelf break stations (EA1 and EA3).

754

755

756 **Table 1** Latitudes and longitudes, bottom depths, sites, and sampling dates of stations
757 EA1–EA7 and EB1–EB7 in the East China Sea.

Table 1. Latitudes and longitudes, bottom depths, sites, and sampling dates of stations EA1–EB7 and EB1–EB7 in the eastern East China Sea. Star (*) mark shows the water sampling stations.

Station	Position		Bottom Depth (m)	Station Site	Sampling Date
	Latitude (N)	Longitude (E)			
(EA line)					
*EA1	27°09.13'	127°00.25'	1,537	Outer slope	4.Jul.11
EA2	27°18.40'	126°48.33'	1,615	Outer slope	3.Jul.11
*EA3	27°26.10'	126°37.78'	1,607	Outer slope	3.Jul.11
EA4	27°33.77'	126°28.89'	1,404	Slope	3.Jul.11
*EA5	27°41.80'	126°16.83'	1,093	Slope	3.Jul.11
EA6	27°50.87'	126°07.80'	133	Shelf break	3.Jul.11
*EA7	27°59.86'	125°59.86'	116	Shelf	3.Jul.11
(EB line)					
*EB1	29°42.63'	128°15.16'	971	Slope	1.Jul.11
EB2	29°42.65'	128°00.12'	717	Slope	1.Jul.11
*EB3	29°42.60'	127°44.98'	555	Slope	1.Jul.11
EB4	29°42.05'	127°30.01'	203	Shelf break	1.Jul.11
*EB5	29°42.50'	127°14.97'	123	Shelf break	1.Jul.11
EB6	29°42.68'	126°59.93'	108	Shelf	1.Jul.11
*EB7	29°42.83'	126°44.83'	102	Shelf	2.Jul.11

Fig. 1 Sasayama et al.

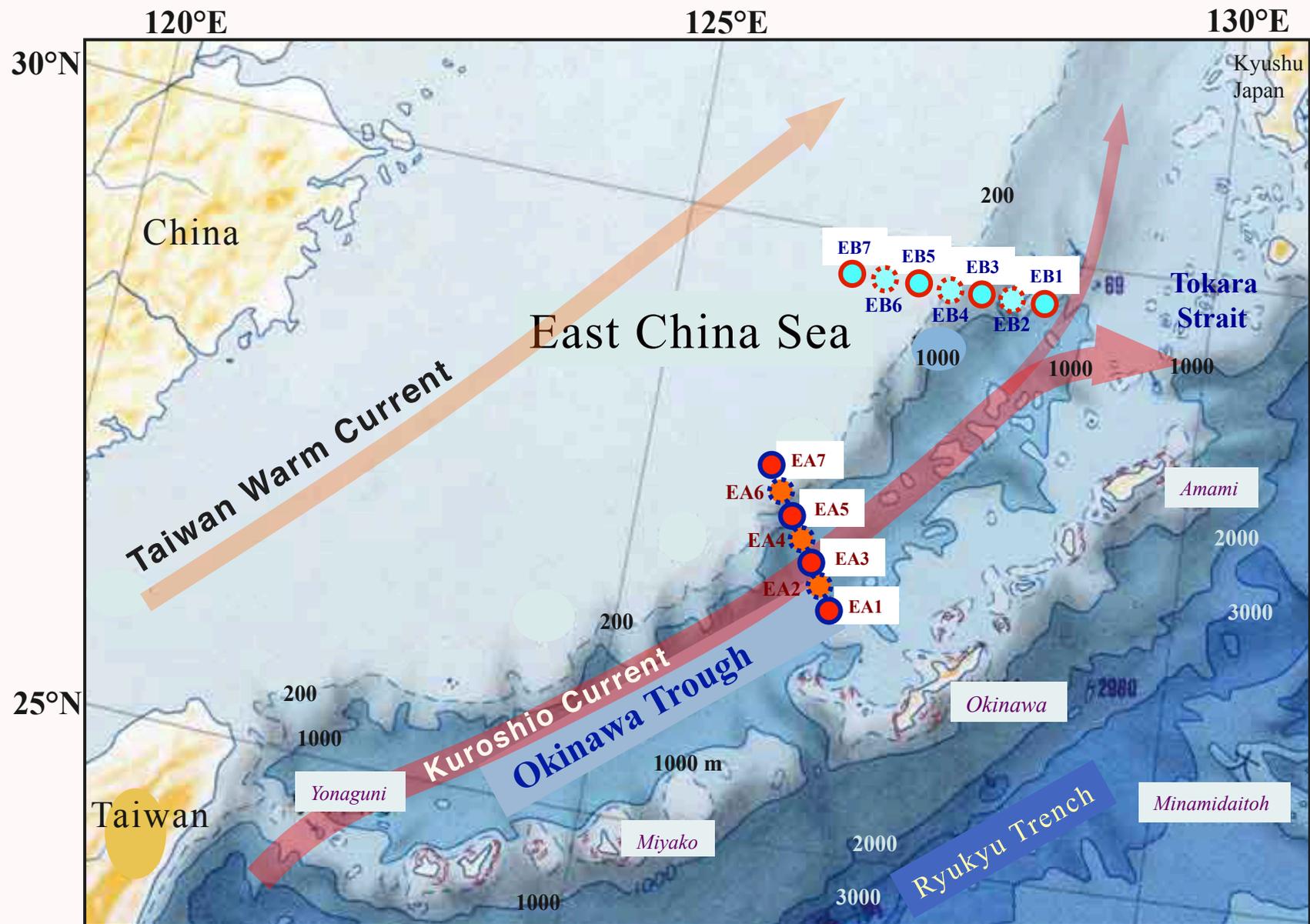
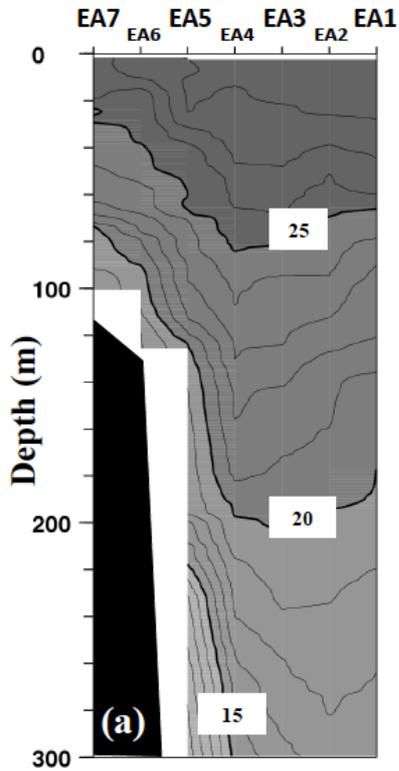
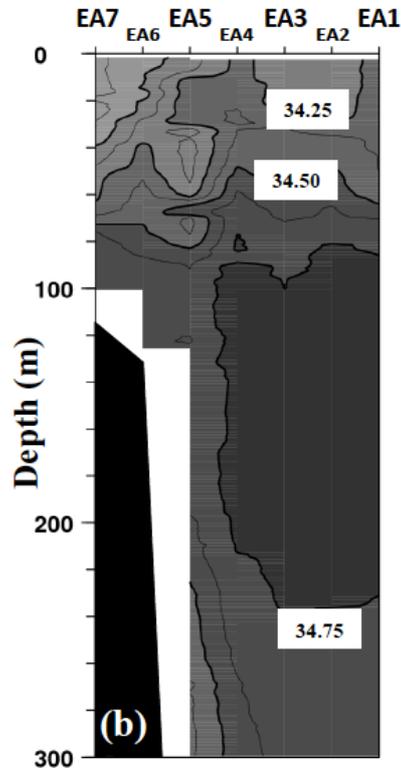


Fig. 2 Sasayama et al.

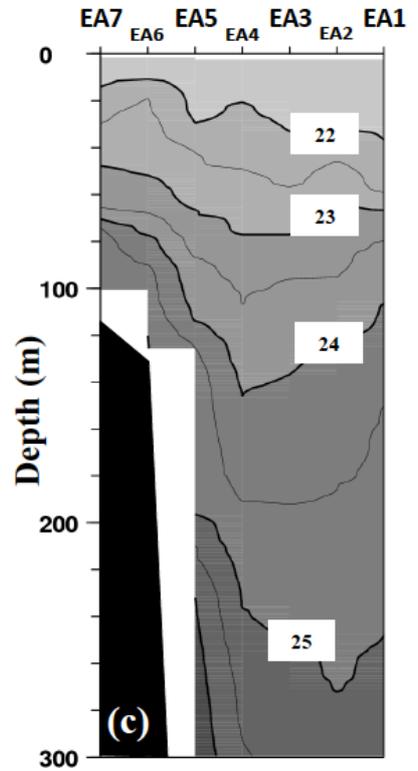
EA line Temperature (θ)



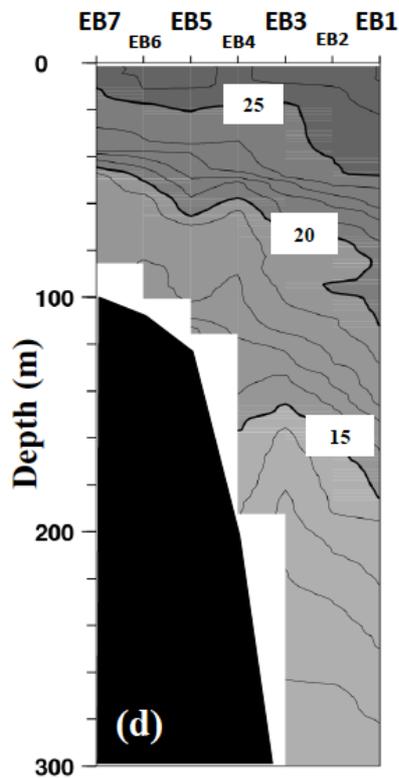
Salinity (psu)



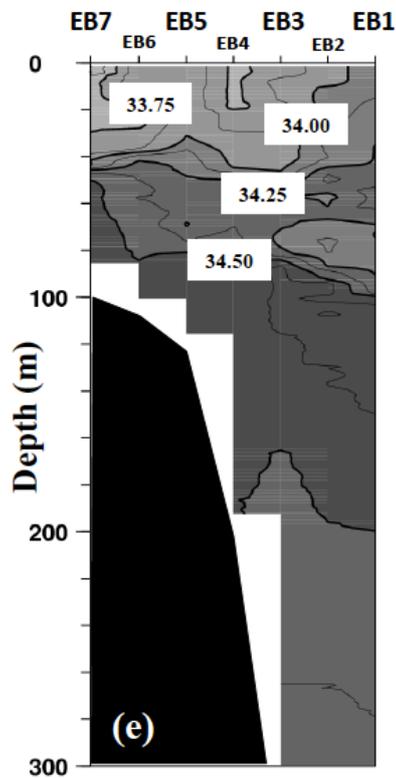
Density (σ_θ)



EB line Temperature (θ)



Salinity (psu)



Density (σ_θ)

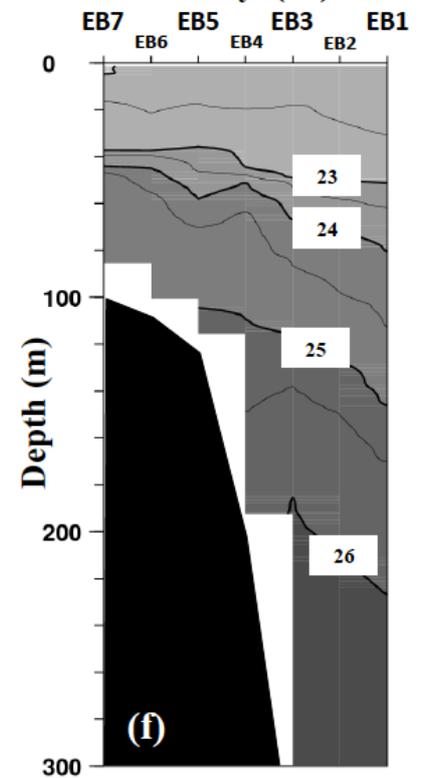
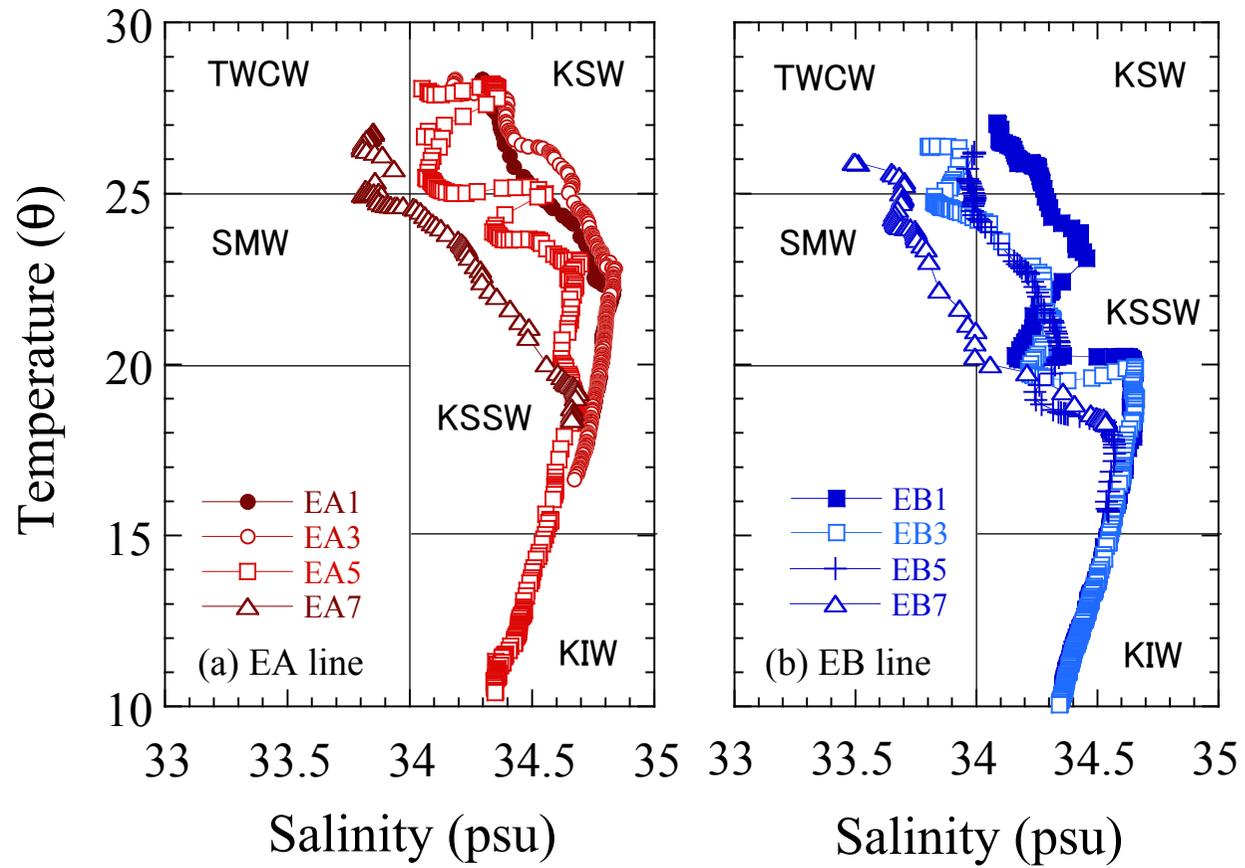
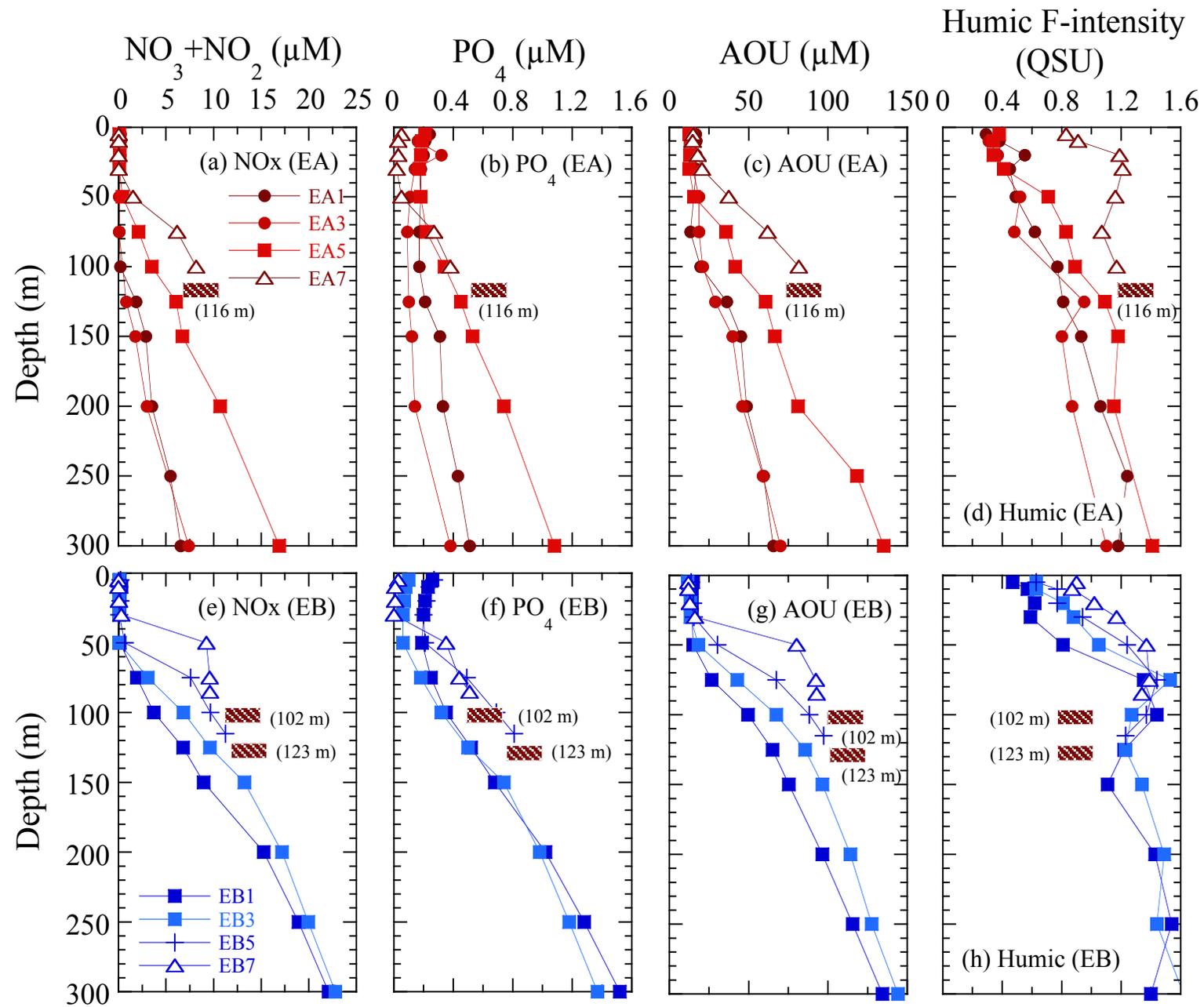
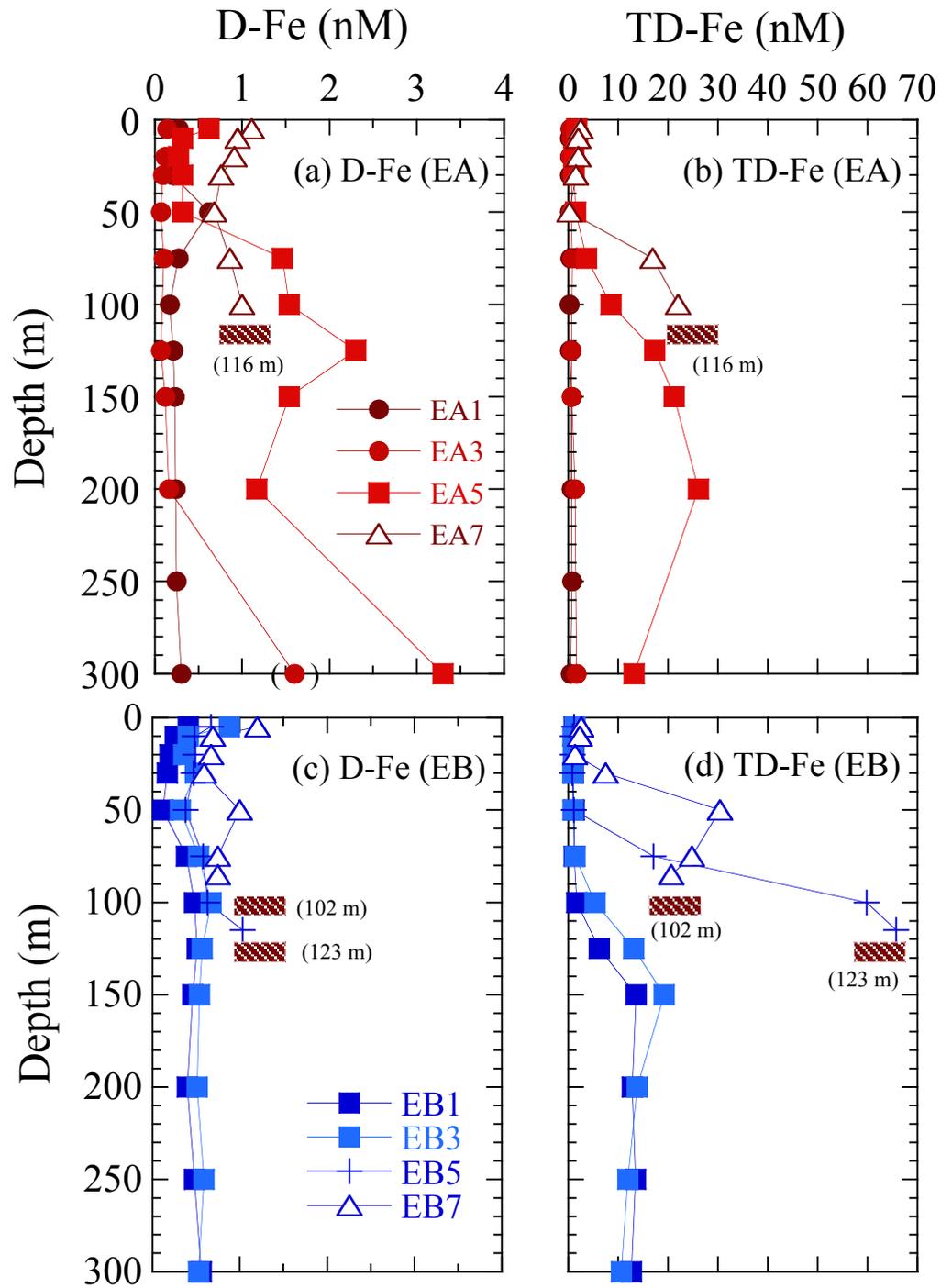
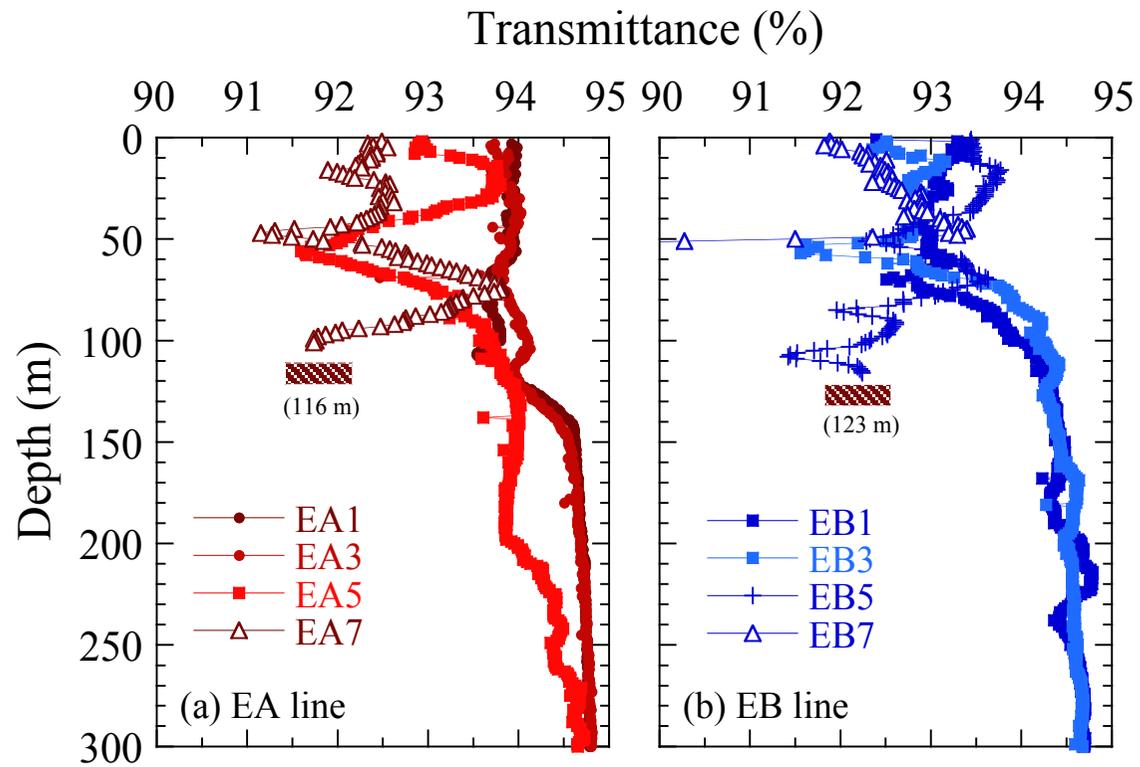


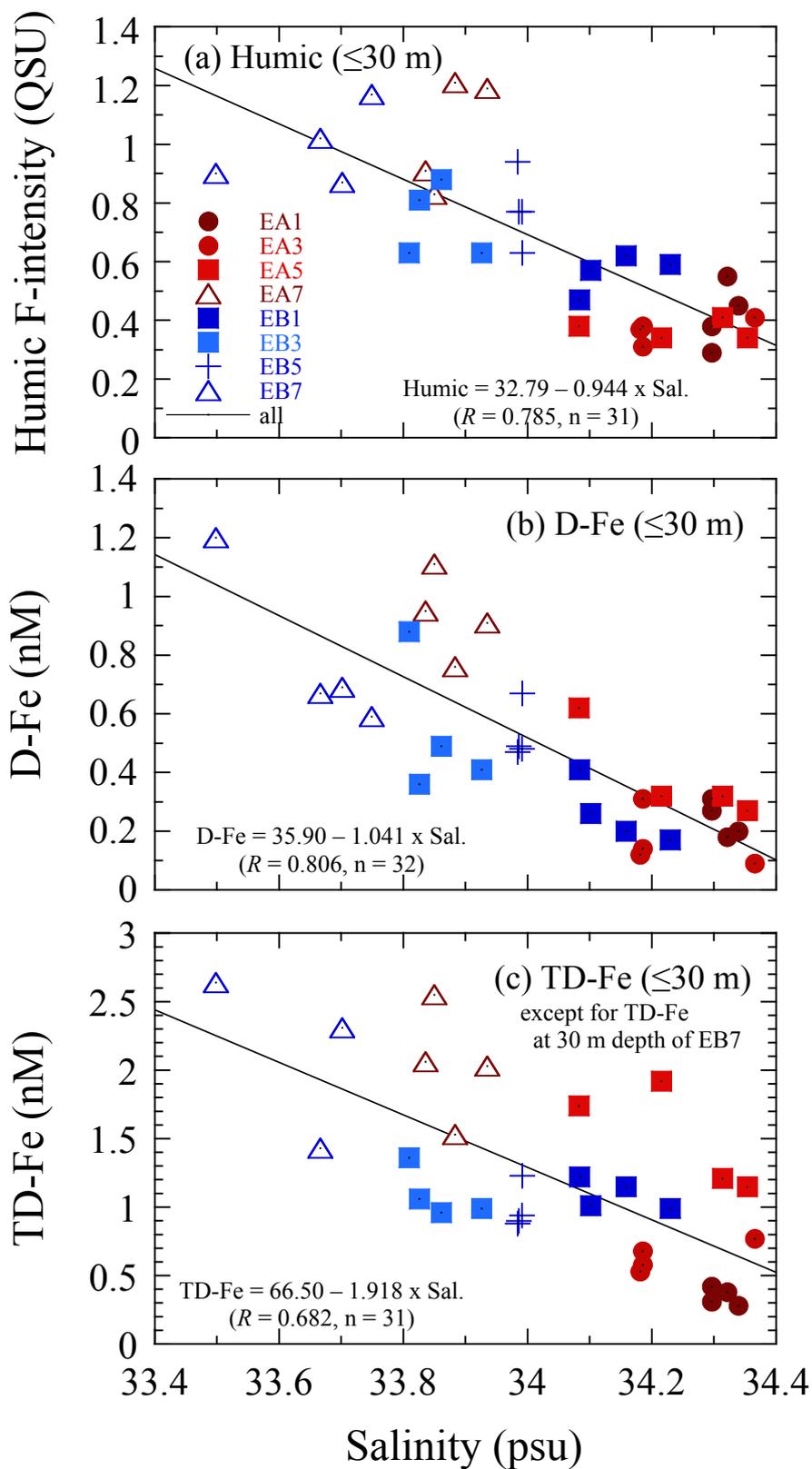
Fig. 3 Sasayama et al.











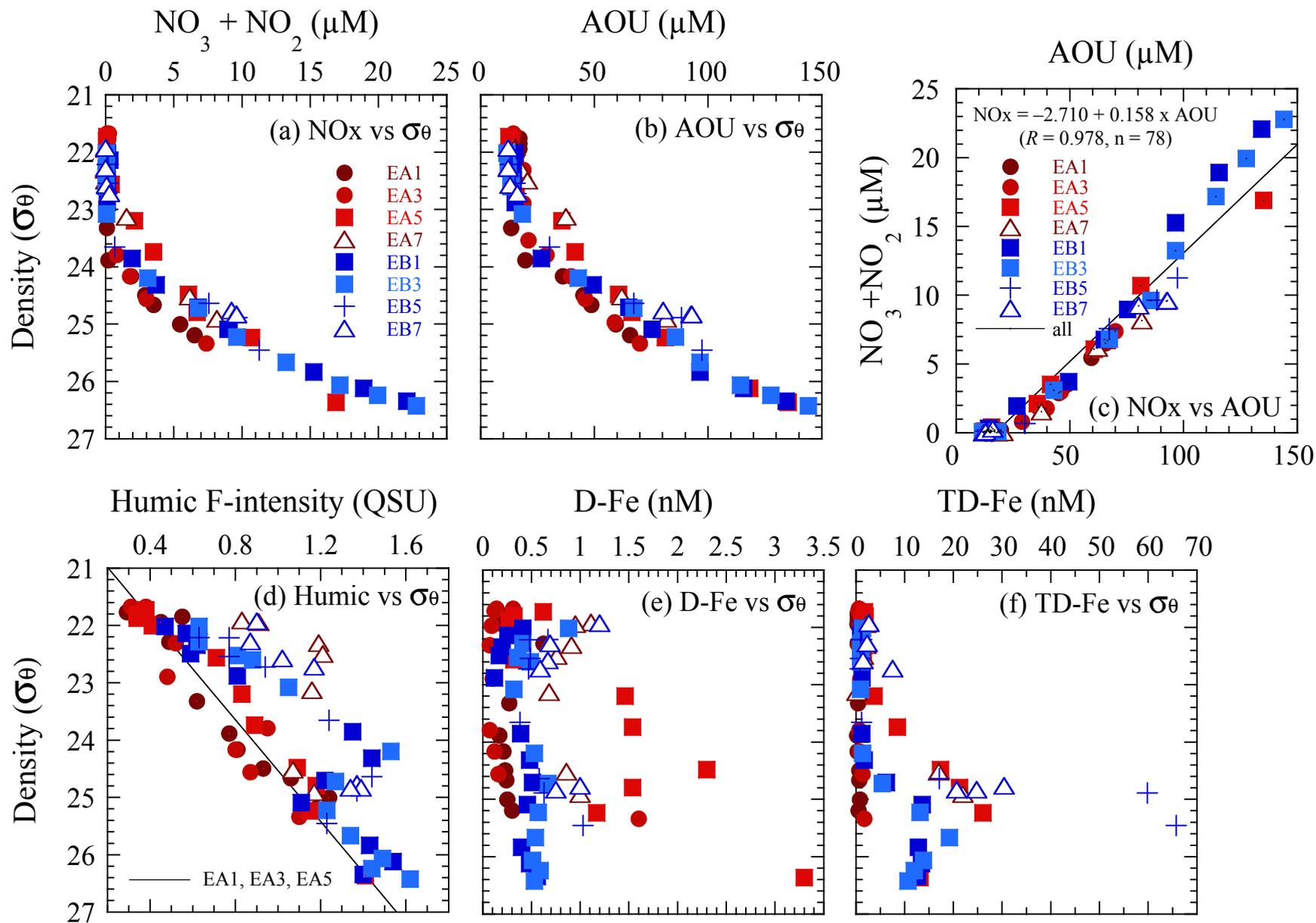


Fig. 9 Sasayama et al.

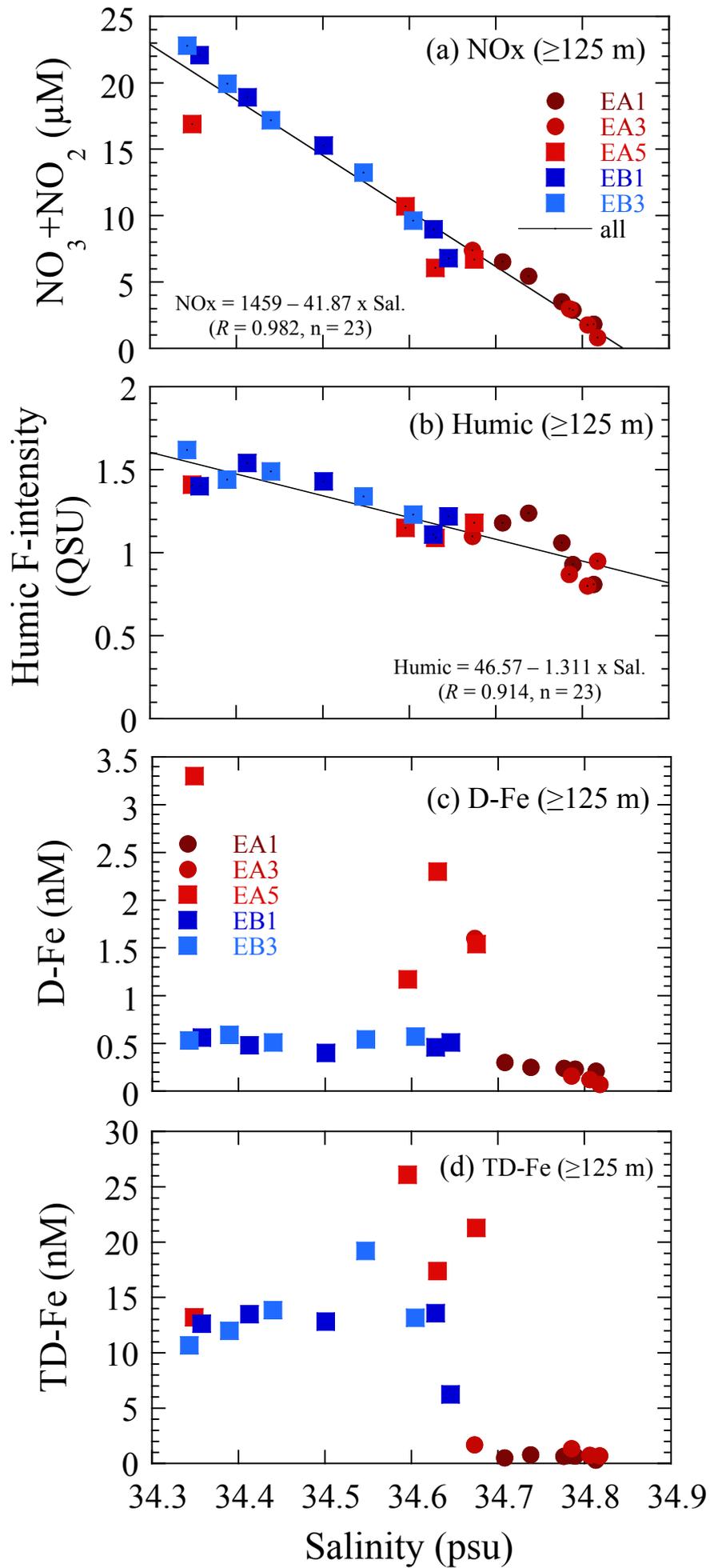


Fig. 10 Sasayama et al.

