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23 Abstract

Purpose—The evidence on beneficial or adverse effects of sleep duration
on risk of breast cancer remains controversial and limited, especially in
Asia.

Methods—A prospective study of 34 350 women aged 40-79 years in 27 whom sleep duration, menstrual and reproductive histories were 28 determined by a self-administered questionnaire. The follow-up period 29 was from 1988 to 2009, and hazard ratios (HRs) with 95% confidence 30 intervals (CIs) of breast cancer incidence were calculated for shorter sleep 31 duration in reference to sleep duration of ≥ 8 h/d by Cox proportional 32 hazard models. 33 34 **Results**—During 19.2-year median follow-up (236 cases), we found a significant inverse association between sleep duration and risk of breast 35 cancer, especially among postmenopausal women and women with low 36 parity (nulliparous and women with < 3 children); the multivariable HRs 37 (95% CIs) among postmenopausal women who reported 7h/d and \leq 6h/d 38 of sleep in reference to ≥ 8 h/d were 1.49 (0.81-2.76) and 1.98 (1.08-3.70) 39 (P for trend = 0.028), and those values among women with low parity 40 were 1.50 (0.96-2.35) and 1.76 (1.01-2.79) (P for trend = 0.018). 41 **Conclusions**—Short sleep duration was associated with increased risk of 42

43 incident breast cancer, especially among postmenopausal women and
44 women with low parity.

45	Keywords: sleep duration; breast cancer; incidence; cohort study;
46	postmenopausal; parity; Japan
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67 Introduction

Breast cancer is the most common cancer among Japanese women 68 followed by colon and rectum cancer [1]. The associations between sleep 69 duration and risk of breast cancer among women has a complex nature 70 and remains controversial [2]. Previous case-control and cohort studies 71 have reported no association between sleep duration and risk of breast 72 cancer [2-7]. However, several other studies showed lower risk of breast 73 cancer with longer sleep duration ($\geq 9h/d$) [8-10], while some other 74 studies indicated that longer sleep duration ($\geq 9h/d$) was associated with 75 increased risk of breast cancer [11-12]. 76 Melatonin is suggested as an agent in the association between sleep 77 duration and breast cancer [13-14]. Melatonin (5-methoxytryptamine) is 78 synthesized and secreted by the pineal gland in the brain and controls the 79 body's circadian rhythm [13]. Darkness during sleep stimulates the 80 release of melatonin [7,9,15], and melatonin may inhibit breast 81 tumorigenesis directly by inhibiting mammary cell proliferation and 82 invasiveness, and indirectly by decreasing estrogen levels via a 83 down-regulation of the hypothalamic-pituitary reproductive axis and 84

regulating the activity of the aromatases, the enzymes responsible for thelocal estrogens synthesis [16-19].

Some studies showed menopausal status to be associated with risk of
breast cancer because of ageing [20], higher levels of adiposity [21-22] or

endogenous estrogen [23]. Furthermore, short sleep duration, especially 89 among postmenopausal women, was associated with high risk of breast 90 cancer in several cohort studies [8-10, 24]. Abundant previous findings 91 have also shown parity as an indicator for breast cancer risk [25-27]. 92 Meanwhile, multiparous women have reported longer sleep duration than 93 nulliparous women [28]. 94 Thus, we thought to examine the associations between sleep duration 95 and risk of breast cancer among premenopausal and postmenopausal 96 women, and among low and high parous women in a large 97

population-based Japanese study, the Japan Collaborative Cohort study(JACC).

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101 Materials and methods

102 Study population and ascertainment of breast cancer

103 Details of the study design and subjects have been described elsewhere

104 [29]. Briefly, the baseline data of the JACC Study were collected from

105 1988 to 1990, and 110 585 individuals (46 395 men and 64 190 women)

aged 40 to 79 years in 45 study areas throughout Japan participated in the

study. The follow-up survey for cancer incidence was conducted from the

- baseline, and finalized at the end of the 2009. In 24 areas out of the 45
- study areas, data on cancer incidence such as date of diagnosis and
- 110 primary site were collected simultaneously through population-based

cancer registries or by reviewing the records of local and major hospitals. 111 After excluding male subjects, we confined the analysis to women from 112 these 24 areas where cancer incidence information are available (n=36)113 266). Excluding data of women with previous diagnosis of breast cancer 114 (n=11), and women with missing data on sleep duration (n=1905) left a 115 total of 34 350 (19 529 premenopausal, and 14 821 postmenopausal) 116 women for the analysis. This study was sponsored by the Ministry of 117 Education, Sports and Science. Informed consent was obtained from 118 participants asking their will to participate to the JACC study in the 119 baseline questionnaire. The ethics committees of Nagoya University 120 School of Medicine and Osaka University approved the protocol of this 121 study. 122

123 Exposure and other covariates assessment

Participants completed a self-administered questionnaire including sleep 124 duration, information on age, family history of diseases, history of 125 hypertension, diabetes mellitus, cardiovascular diseases, cancer, height, 126 weight, education background, smoking status, alcohol drinking habit, 127 physical activity, mental stress, dietary habits, reproductive and menstrual 128 history, menopause and hormone use. Body mass index was calculated by 129 dividing reported weight in kilograms by the square of reported height in 130 meters. 131

132 Assessment of sleep duration

We obtained information about the average sleep duration on weekdays during the preceding year. The average sleep duration per day was classified into 3 categories: ≤ 6 , 7 and ≥ 8 hours. Fractions hours were rounded off (e g, 7 hours represented responses from 7.0 to 7.9 hours).

137 **Statistical analysis**

Mean values (standard deviations) and proportions of baseline risk 138 characteristics were calculated, and the linear trends in those variables 139 according to sleep duration were tested by the linear regression analysis 140 for continuous variables and the logistic regression analysis for 141 proportional variables. Person-years of follow-up were calculated from 142 the responding date to the baseline questionnaire until the obtainment of 143 one out of four possible endpoints as follows: 1) incidence of breast 144 cancer event, 2) relocation from the study area, 3) the end of the study on 145 31 December 2009, or 4) death. Because some study areas discontinued 146 the follow-up survey regarding cancer before 2009 (1994 in one study 147 area, 1997 in two areas, 1999 in one area, 2000 in one area, 2002 in one 148 area, 2003 in one area, 2006 in two areas, and 2008 in two areas). 149 Cox proportional hazard regression age- and multivariable-adjusted 150

models were used to estimate the hazard ratios (HRs) with 95%

152 confidence intervals (CIs) for breast cancer incidence according to sleep

duration (\leq 6h, 7h and \geq 8 h/d) as the reference to \geq 8 h/d, and in relation

to 1-SD decrement (1.07 h/d) of sleep duration. The confounding factors

155	included age (continuous), age of menarche (< 14, 14-15 and >15 y), age
156	of menopause (< 45, 45-50 and >50 y), age at first child birth (< 25 and \geq
157	25 y), type of menopause (nature or operation), body mass index
158	(continuous), sport time per week (never, <1 , 1-2, 3-4 and \geq 5 h/wk),
159	walking time per day (never, < 30 , 30-60 and ≥ 60 minutes/d), currently
160	married (yes or no), smoking status (never, ex-smoker and current
161	smoker), alcohol intake (never, ex-drinker and current drinker of 0.1-22.9,
162	23.0-45.9, and \geq 46.0 g ethanol/d), parity (0, 1, 2 and \geq 3), use of sex
163	hormone (yes or no), family history of breast cancer (yes or no), and
164	history of diabetes (yes or no). The stratification analyses were performed
165	by potential effect modifiers such as menopausal status and number of
166	children. Values for <i>P</i> -interaction were calculated for cross-product terms
167	of menopausal status (dichotomous) or number of children (continuous)
168	with sleep duration categories (1 to 3 corresponding to ≤ 6 , 7 and ≥ 8 h/d
169	of sleep duration) for the categorical analysis and sleep duration (h/d) for
170	the continuous analysis. We used SAS Version 9.4 software (SAS
171	Institute Inc, Cary, NC) for statistical analysis. All statistical tests were
172	2-tailed and values of $P < 0.05$ were regarded as significant.
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174 **Results**

Table 1 shows the baseline characteristics of women according to sleep

duration. Women who reported ≤ 6 h/d of sleep were more likely to have

a family history of breast cancer and to have used sex hormones. On the 177 other hand, women who reported ≥ 8 h/d of sleep were of older age at 178 menarche, with higher BMI and were more likely to have natural 179 menopause and ≥ 3 children. 180 With reference to women with sleep duration ≥ 8 h/d, there was 181 higher risk of breast cancer among women with shorter sleep duration in 182 total women (Table 2). The multivariable HRs (95% CIs) of breast cancer 183 were 1.36 (0.98-1.90) for 7 h and 1.31 (0.92-1.86) for ≤ 6 h sleep per day. 184 Table 3 shows the stratification analyses by menopausal status and 185 parity (number of children). The inverse associations between sleep 186 duration and risk of breast cancer were confined to postmenopausal 187 women, the multivariable HRs (95% CIs) of breast cancer were 1.49 188 (0.81-2.76) for 7 h and 1.98 (1.08-3.70) for ≤ 6 h sleep per day (P for 189 trend = 0.028); however, the interaction by menopausal status was not 190 statistically significant ($P_{\text{interaction}} = 0.264$). The inverse association was 191 also evident among low parous women including nulliparous and women 192 with < 3 children. The multivariable HRs (95% CIs) for breast cancer risk 193 among low parous women who have reported 7 h and \leq 6 h sleep per day 194 compared with those repoted $\geq 8h/d$ were 1.50 (0.96-2.35) and 1.76 195 (1.01-2.79), respectively (P for trend = 0.018, $P_{\text{interaction}} = 0.002)$. 196 197

198 Discussion

During 19.2-years median follow-up for 34 350 women aged \geq 40 years, we observed that short sleep duration was associated with increased risk of incident breast cancer among Japanese women. This positive association was more evident for postmenopausal women and women with number of children < 3, although the interaction with parity but not menopausal status was statistically significant.

The high risk of incident breast cancer with short sleep duration found 205 in our study is consistent with findings from previous prospective cohort 206 studies [8, 24]. Among 42 840 women of the Southern Community 207 Cohort Study, shorter sleep was associated with increased risk of breast 208 cancer; odds ratios (95% CIs) were 2.13 (1.15- 3.93) for <6 h/day, 1.66 209 210 (0.92-3.02) for 6 h/d and 2.22 (1.19-4.12) for 7 h/d compared with ≥ 8 h/d (P for trend = 0.04) [24]. Similar results were found among 7 396 211 Finnish women [8]. However, those studies did not examine the 212 association by menopausal status or parity. In Japan, Kakizaki et al 213 examined the association between sleep duration and risk of incident 214 breast cancer among 23 995 women in the Ohsaki National Health 215 Insurance (NHI) Cohort Study, and showed that women who reported ≥ 9 216 h/d sleep in reference to those with \leq 6h/d had lower breast cancer risk: 217 the multivariable HR (95%CI) was 0.29 (0.09–0.98, P for trend = 0.002). 218 The reduced risk was observed among postmenopausal women; 0.74 219 (0.35-1.59, P for trend = 0.09) but not among premenopausal; 1.48 220

221	(0.56-3.93, P for trend = 0.27) (P for interaction = 0.70) [10]. Among 33
222	528 women participated in the Singapore Chinese Health Study, Wu et al
223	reported inverse trends in breast cancer risk across sleep duration
224	categories among postmenopausal women, but not among total or
225	premenopausal women. In reference to sleep duration for ≤ 6 h/d, the
226	multivariable HRs (95%CIs) among postmenopausal women in the
227	categories 7, 8 and \ge 9 h/d were 0.94 (0.70-1.20), 0.81 (0.60-1.10) and
228	0.67 (0.40-1.10) (P for trend = 0.047) [9]. Previous studies have shown
229	high risk of breast cancer in nulliparous or women with low parity than
230	that in multiparous women [25]. Again, the inverse association between
231	sleep duration and risk of breast cancer was observed among women with
232	< 3 children including nulliparous women more than that among those
233	with \geq 3 children.

Shorter sleep duration was associated with lower levels of urinary 234 melatonin; 42% lower in Chinese women reported ≤ 6 h of sleep duration 235 than levels in women reported ≥ 9 h of sleep duration in the Singapore 236 Chinese Health Study [9]. Because sleep stimulates the release of 237 melatonin [9], melatonin is suggested a biological mediator for the 238 sleep/breast cancer association. Higher levels of melatonin may associate 239 with reduced risk of breast cancer by the following mechanisms; (a) 240 melatonin interacts with estrogen receptors (ER) on the epithelial 241 mammary cells, leading to direct inhibition of mammary cell proliferation 242

and invasiveness [23]; (b) melatonin interacts with the neuroendocrine 243 reproductive axis and the hypothalamic-pituitary reproductive axis, 244 leading to a down-regulation of some hormones which promote tumor 245 growth, especially gonadal estrogens and prolactin [30]; (c) melatonin 246 inhibits telomerase enzymes activity, responsible for estrogen synthesis in 247 tumor cells and adjacent peritumor fat tissues [31]; (d) melatonin has 248 antioxidant properties of melatonin can suppress oncogenesis [32]. 249 Postmenopausal women are at higher risk of breast cancer than 250 premenopausal women because of higher levels of adiposity among 251 postmenopausal women [21-22] which serve as the primary source of 252 endogenous estrogen transformed from androgen by enhanced aromatase 253 expression and activity [22]. On the other hand, nulliparous and women 254 with low parity showed lower urinary excretion of melatonin [15] and 255 higher levels of estrogens and prolactin, but lower levels of sex 256 hormone-binding globulins [33-35]. These factors were associated with 257 increased risk of estrogen-receptor-positive carcinogenic tumors. Thus, 258 the sleep-induced melatonin secretion could, at least partially, explain the 259 inverse association of sleep duration with risk of breast cancer in high 260 risk group of postmenopausal women and women with low parity in our 261 study. 262

The strengths of our study were its prospective design, which avoided recall bias and the availability of information on potential confounding

factors. Our subjects were recruited from the general population, the 265 sample was large and the response rate to the questionnaire was high 266 [29,36]. In addition, the cancer registry of the study had sufficient quality 267 to reduce the possibility of misclassification of outcomes [37]. 268 There are several limitations in this study. First, we did not obtain 269 information about the quality of sleep, such as the presence or absence of 270 sleep apnea or other sleep disorders, which were associated with 271 increased risk of breast cancer via intermittent hypoxia and suppression 272 of the immune system [38]. Second, we used self-reported information on 273 sleep duration obtained only at baseline, we cannot rule out the possibility 274 of change in sleep duration during the long follow-up, and the self-report 275 276 may lead to some misclassification. However, self-reported sleep duration was shown to yield valid results in comparison with quantitative sleep 277 assessment with actigraphy; r = 0.57 for nighttime sleep duration [39]. 278 Third, we could not examine a potential adverse effect of long sleep 279 duration, because of the low proportion of participants and the few 280 number of cases in the long sleep duration of \geq 9h/d category (5.6% of 281 participants and 6 breast cancer cases). Fourth, nulliparous women are at 282 high risk for breast cancer [25]. However, only 6.7% of our studied 283 women were nulliparous and there was no breast cancer case in the sleep 284 duration category of $\geq 8h/d$. Therefore, we could not treat them as a 285 separate category in the stratification analysis; but, we added them to 286

287	women with low parity < 3 children. Finally, we did not collect the data
288	on blood melatonin levels or urinary excretions; therefore, the exact
289	contribution of melatonin in the observed associations between sleep
290	duration and risk of incident breast cancer cannot be certified.
291	In summary, short sleep duration was associated with increased risk
292	of incident breast cancer, especially among postmenopausal women and
293	women with low parity. Health education to women about the need for
294	proper sleep duration is suggested and further research is needed to
295	confirm the observed associations.
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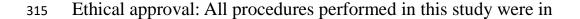
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312 **Compliance with Ethical Standards:**

313 Conflict of Interest: All authors declare that they have no conflict of 314 interest.



accordance with the Helsinki declaration and was approved by Osaka and

317 Nagoya Universities research ethics committees.

Informed consent: Informed consent was obtained from all participants

included in the study at individual or community leader level.

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D		Sleep duration				
Parameters	≤ 6 h	7 h	≥ 8 h	trend		
No. at risk	10064	12979	11307			
Age, y (SD)	60.7 (7.7)	60.2 (7.2)	62.6 (7.6)	< 0.001		
Age at menarche, y (SD)	15.0 (1.8)	15.0 (1.7)	15.3 (1.8)	< 0.001		
Age at menopause, y (SD)	48.6 (4.6)	48.6 (4.7)	48.7 (4.5)	0.318		
Natural menopause, %	86.6	87.2	88.1	0.005		
Age at first child birth, y (SD)	24.7 (3.3)	24.5 (3.1)	24.2 (3.0)	< 0.001		
Parity (number of children)						
0	3.0	3.4	3.2	< 0.001		
1	8.0	7.9	6.7			
2	34.5	35.8	28.7			
≥ 3	54.5	52.9	61.3			
Family history of breast cancer, %	1.5	1.4	1.2	0.023		
Body mass index, kg/m ² (SD)	22.9 (3.2)	22.9 (3.1)	23.1 (3.3)	< 0.001		
Currently married, %	78.1	81.9	77.6	0.065		
Sports ≥3h/wk, %	10.9	10.9	11.5	0.500		
Walking time ≥60min/d, %	73.1	74.1	74.6	0.013		
Current smoking, %	2.6	2.1	2.7	0.250		
Alcohol intake, g ethanol/d (SD)	8.6 (10.6)	8.4 (10.6)	9.1 (12.7)	0.838		
Sex hormone use, %	5.1	4.6	3.7	< 0.001		
History of diabetes, %	5.7	4.1	6.1	0.403		

Table 1. Distributions of potential risk factors according to sleep duration in a cohort of 34,350 Japanese women.

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	S	Sleep duration		P for		
	≤ 6 h	7 h	≥ 8 h	trend	¹ 1SD decrement (1.07 h/d) of sleep duration	
Total women						
Person-year	165359	221198	194205			
Breast cancer, n.	74	101	61			
Age-adjusted HR (95%CI)	1.31 (0.93-1.85)	1.35 (1.98-1.86)	1.00	0.133	1.11 (0.97-1.27)	
Multivariable HR $(95\% CI)^{-2}$	1.31 (0.92–1.86)	1.36 (0.98-1.90)	1.00	0.149	1.13 (0.98–1.29)	

Table 2. Age-adjusted and multivariable hazard ratios (95% confidence intervals) of incident breast cancer according to sleep duration for total women.

* ¹1SD decrement in sleep duration = 1.07 h/d.

*² Adjusted for age, age at menarche, age at first child birth, body mass index, parity (number of children), family history of breast cancer, marital status, sport time, walking time,

alcohol intake, smoking status, hormone use, history of diabetes, and age and type of menopause.

		Sleep duration			¹ 1SD decrement (1.07 h/d)
	≤ 6 h	7 h	≥ 8 h	trend	of sleep duration
Aenopausal status					
remenopause					
erson-year	102201	138570	114858		
Breast cancer, n.	46	74	43		
Age-adjusted HR (95%CI)	1.10 (0.72-1.69)	1.32 (0.90-1.94)	1.00	0.689	1.05 (0.89-1.24)
Multivariable HR $(95\% CI)^{-2}$	1.11 (0.72–1.71)	1.34 (0.90-1.98)	1.00	0.687	1.07 (0.90-1.27)
ostmenopause					
erson-year	63158	82628	79347		
Breast cancer, n.	28	27	18		
Age-adjusted HR (95%CI)	1.92 (1.06-3.49)	1.43 (0.78-2.60)	1.00	0.031	1.26 (1.00-1.58)
Multivariable HR (95%CI) ³	1.98 (1.08-3.70)	1.49 (0.81-2.76)	1.00	0.028	1.28 (1.01-1.61)
interaction				0.264	0.400
lumber of children					
to 2					
Person-year	89345	122823	94275		
Breast cancer, n.	52	60	32		
Age-adjusted HR (95%CI)	1.66 (1.06-2.59)	1.40 (0.91-2.16)	1.00	0.027	1.21 (1.01-1.44)
Multivariable HR $(95\% CI)^{-4}$	1.76 (1.01-2.79)	1.50 (0.96-2.35)	1.00	0.018	1.26 (1.05-1.51)
≥ 3					
Person-year	76014	98375	99929		

Table 3. Multivariable hazard ratios (95% confidence intervals) of incident breast cancer according to sleep duration, stratified by menopausal status and number of children.

Breast cancer, n.	22	41	29		
Age-adjusted HR (95%CI)	0.88 (0.50-1.55)	1.27 (0.78-2.08)	1.00	0.692	0.98 (0.80-1.21)
Multivariable HR (95%CI) ⁴	0.83 (0.46-1.48)	1.24 (0.75-2.04)	1.00	0.562	0.96 (0.77-1.20)
P _{interaction}				0.002	0.014

* ¹1SD decrement in sleep duration = 1.07 h/d.

*² Adjusted for age, age at menarche, age at first child birth, body mass index, parity (number of children), family history of breast cancer, marital status, sport time, walking time, alcohol intake, smoking status, hormone use, history of diabetes.

^{* 3} Adjusted further for age and type of menopause.

*⁴ Adjusted for age, age at menarche, age at first child birth, body mass index, family history of breast cancer, marital status, sport time, walking

time, alcohol intake, smoking status, hormone use, history of diabetes, and age and type of menopause.