



Title	Ultrastructure and Systematics of Two New Species of Dinoflagellate, <i>Paragymnodinium Asymmetricum</i> sp. nov. and <i>Paragymnodinium Inerme</i> sp. nov. (Gymnodiniales, Dinophyceae)(1)
Author(s)	Yokouchi, Koh; Takahashi, Kazuya; Nguyen, Van Nguyen; Iwataki, Mitsunori; Horiguchi, Takeo
Citation	Journal of phycology, 56(3), 730-746 <a href="https://doi.org/10.1111/jpy.12981">https://doi.org/10.1111/jpy.12981</a>
Issue Date	2020-06
Doc URL	<a href="http://hdl.handle.net/2115/81858">http://hdl.handle.net/2115/81858</a>
Rights	This is the peer reviewed version of the following article: Journal of Phycology 56(3) June 2020, pp.730-746 which has been published in final form at <a href="https://onlinelibrary.wiley.com/doi/full/10.1111/jpy.12981">https://onlinelibrary.wiley.com/doi/full/10.1111/jpy.12981</a> . This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions.
Type	article (author version)
Additional Information	There are other files related to this item in HUSCAP. Check the above URL.
File Information	J. Phycol. 56-3_730-746.pdf



[Instructions for use](#)



19

20

*Takeo Horiguchi*<sup>2</sup>

21 Department of Biological Sciences, Faculty of Science, Hokkaido University, 060-0810

22

Sapporo, Japan

23

24 <sup>2</sup>Author for correspondence: e-mail [horig@sci.hokudai.ac.jp](mailto:horig@sci.hokudai.ac.jp)

25

26 Running title: Two new species of *Paragymnodinium*

27           The genus *Paragymnodinium* currently includes two species, *P. shiwhaense*  
28 and *P. stigmaticum* that are characterized by mixotrophic nutrition and the possession of  
29 nematocysts. In this study, two new dinoflagellates belonging to this genus were  
30 described based on observations using LM, SEM and TEM together with a molecular  
31 analysis. Cells of *P. asymmetricum* sp. nov., isolated from Nha Trang beach, Vietnam,  
32 were 7.9–12.6  $\mu\text{m}$  long and 4.7–9.0  $\mu\text{m}$  wide. The species showed no evidence of  
33 feeding behavior and was able to sustain itself phototrophically. *P. asymmetricum*  
34 shared many features with *P. shiwhaense*, including presence of nematocysts, absence of  
35 an eyespot and a planktonic lifestyle, but was clearly distinguished by the asymmetric  
36 shape of the hyposome, possession of a single chloroplast, and its nutritional mode.  
37 Cells of *P. inerme* sp. nov., isolated from Jogashima, Kanagawa Pref, Japan, were 15.3–  
38 23.7  $\mu\text{m}$  long and 10.9–19.6  $\mu\text{m}$  wide. This species also showed no evidence of feeding  
39 behavior. *P. inerme* was similar to cells of *P. shiwhaense* in shape and planktonic  
40 lifestyle, but its nutritional mode was different. The presence of incomplete nematocysts  
41 was also a unique feature. A phylogenetic analysis inferred from concatenated SSU and  
42 LSU rDNA sequences recovered the two dinoflagellates in a robust clade with  
43 *Paragymnodinium* spp., within the clade of *Gymnodinium sensu stricto*. This evidence,  
44 together with their morphological similarities, made it reasonable to conclude that these

45 two dinoflagellates are new species of *Paragymnodinium*.

46

47 *Key index words:* chloroplast, flagellar apparatus, *Gymnodinium sensu stricto*,

48 nematocyst, nutritional mode, *Paragymnodinium*, taxonomy

49

50 *Abbreviations:* BBC1-3, basal body connectives 1-3; C1 and 2, connective 1 and 2;

51 DAPI, 4', 6-diamidino-2-phenylindole; LB, longitudinal basal body; ML, maximum

52 likelihood; MSP, microtubular strand of a peduncle; R1-4, root 1-4; SRC, striated root

53 connective; TB, transverse basal body; TMR, transverse microtubular root; TMRE,

54 transverse microtubular root extension; TSR, transverse striated root; TSRM, transverse

55 striated root microtubule; VC, ventral connective

56           The athecate genus *Paragymnodinium* was established by Kang et al. (2010),  
57   with a single species, *P. shiwhaense* as the type species. Later, Yokouchi et al. (2018)  
58   described another species *P. stigmaticum*. Currently, only these two species of  
59   *Paragymnodinium* are known, both of which are marine one, *P. shiwhaense*, planktonic  
60   and the other, *P. stigmaticum*, benthic (Kang et al. 2010, Yokouchi et al. 2018). *P.*  
61   *stigmaticum* possesses an eyespot, whereas *P. shiwhaense* lacks it (Kang et al. 2010,  
62   Yokouchi et al. 2018). Although this genus is robustly included in the clade  
63   *Gymnodinium sensu stricto* based on the phylogenetic analysis, both of its species lack  
64   the three key characters defining *Gymnodinium*, i.e. a horseshoe-shaped apical groove,  
65   nuclear envelope chambers and a nuclear fibrous connective (Daugbjerg et al. 2000,  
66   Kang et al. 2010, Yokouchi et al. 2018).

67           Despite the presence of plastids, these two species feed on other prey cells and  
68   thus show mixotrophic growth (Yoo et al. 2010, Yokouchi et al. 2018). The mixotrophic  
69   nutritional mode is frequently encountered among various eukaryotes, including the  
70   dinoflagellates, and it has an important role in aquatic ecosystems (Hansen 2011, Mitra  
71   et al. 2016, Stoecker et al. 2017). Mixotrophic dinoflagellates show a variety of  
72   strategies to gain nutrients (Hansen 2011), and *P. shiwhaense* is characterized by  
73   obligate mixotrophy, where both photosynthesis and phagotrophy are required for its

74 successful growth (Yoo et al. 2010). Interestingly, there is a clear difference in the  
75 feeding mechanism between these two species of *Paragymnodinium*: *P. shiwhaense*  
76 uses a peduncle to intake a prey cell (Yoo et al. 2010), while the engulfment of the prey  
77 cell in *P. stigmaticum* does not involve a peduncle (Yokouchi et al. 2018).

78 *Paragymnodinium* is also characterized by the possession of nematocysts  
79 (Kang et al. 2010, Yokouchi et al. 2018). The nematocyst is a kind of extrusome with a  
80 complex ultrastructure and has been reported in some other dinoflagellates belonging to  
81 the clade *Gymnodinium sensu stricto*, such as *Polykrikos* and *Nematodinium* (Westfall et  
82 al. 1983, Gavelis et al. 2017). The nematocysts of *Paragymnodinium* are small and  
83 simple relative to those found elsewhere, but the basic structure is the same.  
84 Observations of dinoflagellates bearing large nematocysts have shown that nematocysts  
85 are used to capture prey cells prior to ingestion (Matsuoka et al. 2000, Lee et al. 2015,  
86 Gavelis et al. 2017). In *Paragymnodinium*, this organelle is presumed to function in the  
87 same way, although it never has been observed directly (Jeong et al. 2017). In addition,  
88 *P. stigmaticum* has been shown to place one of its nematocysts to the tip of the  
89 peduncle-like structure (Yokouchi et al. 2018).

90 Successful cultures of two novel dinoflagellates were established and  
91 maintained without the need to add any prey organisms. Differences in feeding

92 mechanism are already known in *Paragymnodinium*, and now that strictly phototrophic  
93 species have also been found, this taxon provides an opportunity to consider the  
94 evolutionary pathways of nutritional strategies. Here, the novel dinoflagellates are  
95 described as *Paragymnodinium asymmetricum* sp. nov. and *P. inerme* sp. nov., based on  
96 observations using LM, SEM and TEM. We demonstrate their phylogenetic affinities  
97 based on concatenated sequences of the SSU and LSU rDNA genes and discuss the  
98 evolution of nutritional strategies within the genus.

99

100

## 101 MATERIALS AND METHODS

102 *Paragymnodinium asymmetricum* (strain vnd299) was isolated from water  
103 samples from Nha Trang beach, Nha Trang, Vietnam (12°14.56'N, 109°11.49'E) on 26  
104 April, 2014. *P. inerme* (strain JGD) was isolated from water samples from Jogashima,  
105 Kanagawa, Japan (35°08.02'N, 139°36.41'E) on 19 November, 2017. Isolated cells  
106 were kept in Daigo's IMK Medium for Marine Microalgae (Nihon Pharmaceutical Co.,  
107 Tokyo, Japan). Cultures of *P. asymmetricum* and *P. inerme* were maintained without  
108 adding any prey. The established monoclonal cultures were incubated at 20°C, with an  
109 illumination of 50  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$  under 16:8 h light:dark cycle. To observe if



110 these dinoflagellates show feeding behavior, cells of *Chroomonas* sp. (strain Ak01),  
111 *Rhodomonas* sp. (strain Mr06, Cryptophyceae), two strains of *Amphidinium* aff.  
112 *carterae* (strains TH006 and HG286), *Ansanella natalensis* (strain CW-19)  
113 (Dinophyceae), *Euglena* sp. (strain ST-11) and an unidentified Raphidophyceae (strain  
114 HG316) were added to each culture as candidates of prey and were kept several days.  
115 The potential prey organisms were chosen based on reports that *A. carterae* is the  
116 appropriate prey for *P. shiwhaense* (Yoo et al. 2010), and that *Chroomonas* sp. is added  
117 to the culture of *P. stigmaticum* as a prey (Yokouchi et al. 2018).

118 For LM, cells were observed in differential interference contrast (DIC) with a  
119 Zeiss Axioskop 2 Plus microscope (Zeiss Japan, Tokyo, Japan), and images were taken  
120 using a Canon EOS Kiss X8i digital camera (Canon, Tokyo, Japan). Chlorophyll  
121 autofluorescence was observed using a Zeiss Axioskop 2 Plus microscope with a No. 15  
122 filter set. The nucleus was stained with 4', 6-diamidino-2-phenylindole (DAPI) after  
123 fixation in 2.5% glutaraldehyde (final concentration) and the fluorescence was observed  
124 using a Zeiss Axioskop 2 Plus microscope with a No. 49 filter set.

125 For SEM, cells of *P. asymmetricum* were fixed for at least 0.5 h on ice with 1 or  
126 2% (final concentration) OsO<sub>4</sub> in distilled water. Cells of *P. inerme* were fixed for 1.5 h  
127 on ice with 2 or 3% (final concentration) OsO<sub>4</sub> in distilled water. Fixed cells were

128 placed on the membrane filter (pore size = 5  $\mu\text{m}$ ) that was glued on the bottom of a  
129 short tube (cut-off proximal part of 1000  $\mu\text{l}$  blue tip), using a pipette. Membrane filters  
130 were washed three times with distilled water. Cells were then dehydrated in an ethanol  
131 series (30%, 50%, 70%, 80%, 90%, 95%) for 10 min at each concentration, with two  
132 subsequent submersions of 30 min each in 100% ethanol. Dehydrated cells were dried  
133 with  $\text{CO}_2$  using a critical point drier (Leica EM CPD300, Wetzlar, Germany), sputter  
134 coated with gold (Hitachi E-1045 sputter coater), and viewed with a Hitachi S-3000N  
135 SEM.

136 For TEM, cells were fixed using one of two protocols. In the first protocol,  
137 cells were fixed in 2.5% glutaraldehyde (final concentrations) in seawater for 1 h, and  
138 washed twice in sea water. Cells were post fixed in 1%  $\text{OsO}_4$  (final concentrations) in  
139 distilled water for 1 h. In the second, cells were fixed in a mixture of 2% glutaraldehyde  
140 and 0.5%  $\text{OsO}_4$  (final concentrations) in 0.1 M Na-cacodylate buffer, pH 7.4 for 15 or  
141 30 min, and rinsed twice in 0.1 M Na-cacodylate buffer. Cells were post-fixed in 1%  
142  $\text{OsO}_4$  (final concentration) in 0.1 M Na-cacodylate buffer, pH 7.4 for 1 h. In both  
143 protocols, cells were first attached to the bottom of a polypropylene dish coated with  
144 poly-L-lysine. After fixation, cells of both protocols were dehydrated in an acetone  
145 series (30%, 50%, 80%, 90%, 95%) for 10 min at each concentration, and submersed

146 twice, each time for 30 min, in 100% acetone. One hundred percent acetone and Agar  
147 Low Viscosity Resin (Agar Scientific, Essex, UK) were mixed in ratios of 3:1, 1:1, and  
148 1:3 and the samples were introduced into each higher resin concentration sequentially  
149 for 15 min each. Finally, cells were infiltrated in 100% resin for 30 min, after which  
150 they were polymerized at 65°C for 16 h. Samples were sectioned using a diamond knife  
151 on an EM-Ultracut S ultramicrotome (Leica Microsystems, Wetzlar, Germany). Sections  
152 were placed on formvar-coated one-slot grids and observed with a Hitachi H-7650  
153 TEM.

154 For extraction of total DNA, several cells were isolated by capillary pipettes,  
155 rinsed several times in serial drops of sterilized culture medium and transferred into 10  
156 µl of Quick Extract FFPE RNA Extraction Kit (Epicentre, Wisconsin, USA) to extract  
157 DNA according to the manufacturer's protocol. Primers SR1, SR4, SR8TAK, SR9,  
158 SR12b and 18SRF were used to amplify SSU rDNA sequences (Nakayama et al. 1996,  
159 Takano and Horiguchi 2004, Iritani et al. 2018), and D1RF1, 25R1, D3A and 28-1483R  
160 to amplify partial LSU rDNA (Daugbjerg et al. 2000). For SSU rDNA amplification,  
161 almost complete gene sequences were obtained using the SR1 and SR12b primers in the  
162 first round of PCR, the products of which were used as DNA templates in the second  
163 round of PCR. For this, three pairs of primers (SR1-18SRF, SR4-SR12b and

164 SR8TAK-SR12b) were used for *P. asymmetricum*, and three pairs of primers  
165 (SR1-18SRF, SR4-SR9 and SR8TAK-SR12b) were used for *P. inermis*. To obtain partial  
166 LSU rDNA sequences for both species, D1RF1 and 28-1483R were applied in the first  
167 round of PCR and two pairs of primers (D1RF1-25R1 and D3A-28-1483R) were used  
168 in the second round of PCR. The PCR conditions for both rounds of amplification  
169 consisted of one initial cycle of denaturation at 94°C for 5 min, followed by 35 cycles  
170 (in the second round for LSU rDNA, 25 cycles) of denaturation at 94°C for 30 s,  
171 annealing at 55°C for 30 s, and extension at 72°C. The time of the extension step was  
172 changed by the length of targeting sequences; 2 min for the first round, 1 min 40 s for  
173 the two pairs of primers, SR1-18SRF and SR4-SR12b, and 1 min for other pairs of  
174 primers. PCR was completed by a final extension cycle at 72°C for 7 min. Purified PCR  
175 products were used in a sequencing reaction with ABI BigDye Terminator (Applied  
176 Biosystems, Foster City, California, USA) and subsequently purified with ethanol. The  
177 products were eluted in 18 µl of Hi-Di Formamide (Applied Biosystems) and sequenced  
178 with a 3130 genetic analyzer (Applied Biosystems).

179 Both SSU rDNA sequences and partial LSU rDNA sequences were aligned  
180 using MUSCLE (Edgar 2004) together with 45 taxa, including *Perkinsus andrewsi* as an  
181 outgroup, and the alignments were modified manually using MEGA7 (Kumar et al.

182 2016). The highly divergent D2 region of LSU rDNA sequences was deleted.

183 Consequently, 1771 positions of SSU rDNA and 1107 positions of LSU rDNA were

184 aligned. Pairwise distance of the two aligned sequences of four *Paragymnodinium* spp.

185 were calculated using MEGA7 with p-distance model. The two aligned sequences for

186 all taxa were concatenated using Kakusan4 (Tanabe 2011). No significant nucleotide

187 compositional heterogeneity was detected for the combined data set ( $P = 0.99792$  using

188 the chi-square test in Kakusan4). The appropriate models of substitution ratio for

189 concatenated rDNA sequences were determined using Kakusan4, and resulted in a

190 separate model for maximum likelihood (ML) analysis and a proportional model for

191 Bayesian analysis. The appropriate models of DNA evolution for each rDNA sequences

192 were determined by AIC for ML analysis and by BIC for Bayesian analysis using

193 Kakusan4, and resulted in the selection of the GTR + Gamma model. The parameters in

194 these analyses for SSU rDNA were: assumed nucleotide frequencies  $A = 0.264$ ,  $C =$

195  $0.198$ ,  $G = 0.262$  and  $T = 0.275$ ; substitution rate matrix with  $A \leftrightarrow C = 1.251914$ ,  $A$

196  $\leftrightarrow G = 3.199366$ ,  $A \leftrightarrow T = 1.376839$ ,  $C \leftrightarrow G = 0.441724$ ,  $C \leftrightarrow T = 8.534122$  and

197  $G \leftrightarrow T = 1.000000$ . The proportion of sites were assumed to follow a gamma

198 distribution with the shape parameter =  $0.285333$ . The parameters for LSU rDNA were:

199 assumed nucleotide frequencies  $A = 0.285$ ,  $C = 0.191$ ,  $G = 0.285$  and  $T = 0.239$ . The

200 substitution rate matrix had A <-> C = 0.651709, A <-> G = 2.112521, A <-> T =  
201 0.834059, C <-> G = 0.524352, C <-> T = 5.656149 and G <-> T = 1.000000. The  
202 proportion of sites were assumed to follow a gamma distribution with the shape  
203 parameter = 0.370529. The ML analysis was performed using the RAxML 8.0.0  
204 (Stamatakis 2006). Bootstrap analysis for ML was calculated for 1000 pseudo-replicates.  
205 The Bayesian analysis was performed using MrBayes 3.2.6 (Huelsenbeck and Ronquist  
206 2001). Markov chain Monte Carlo iterations were carried out until the average standard  
207 deviation of split frequency fell below 0.01 (1300000 generations were attained) and  
208 trees were sampled every 100 generations. The first 175000 generations were discarded  
209 as burn-in. Posterior probabilities were calculated from all post burn-in trees.

210

211

## RESULTS

212 *Paragymnodinium asymmetricum* K.Yokouchi, K.Takahashi, Nguyen, Iwataki et

213 T.Horiguchi sp. nov.

214 *Description.* Marine, athecate dinoflagellate. Cells with almost equal-sized episomes

215 and hyposomes, 7.9–12.6 µm long and 4.7–9.0 µm wide. Episome hemispherical or

216 conical. Hyposome asymmetric with larger right side. Cingulum wide and well

217 excavated, descending 1/4 to 1/2 of its own width. Sulcus straight, reaching to, and

218 widening slightly at, the antapex. Sulcal extension-like furrow straight. Eyespot absent.  
219 Nucleus spherical, in center of episome. Chloroplast single, mainly in hyposome and  
220 with lateral lobes extending into episome. Amphiesmal vesicles arranged in five to  
221 seven rows on the episome, in five rows in the cingulum. Nematocysts present.  
222 Pyrenoid and pusule not observed. Phototrophic. GenBank accession numbers are  
223 LC516501 for 18S rDNA sequence and LC516500 for 28S rDNA sequence.

224 *Holotype*: SEM stub was deposited in the herbarium of the Faculty of Science,  
225 Hokkaido University as SAP 115483. Fig. 1, J and K were taken from that stub.

226 *Collection date*: 26 April 2014.

227 *Type locality*: Nha Trang beach, Nha Trang, Vietnam (12°14.56'N,  
228 109°11.49'E).

229 *Etymology*: Latin *asymmetricum*, refers to the asymmetric shape of hyposome.

230 *LM and SEM*: Cells small, 7.9–12.6  $\mu\text{m}$  ( $9.6 \pm 1.0 \mu\text{m}$ , mean  $\pm$  SD, n = 55)

231 long and 4.7–9.0  $\mu\text{m}$  ( $6.9 \pm 1.0 \mu\text{m}$ , n = 55) wide. Episome and hyposome were almost  
232 equal in size (Fig. 1, A and B). Episome was conical (Fig. 1, A and B); hyposome was  
233 asymmetric; right side larger than left side (Fig. 1, A and B). Cingulum was wide, well  
234 excavated and descended by a distance one quarter to a half its own width (Fig. 1, A and  
235 B). Sulcus was straight and widened slightly before reaching the antapex (Fig. 1A).

236 Eyespot was not observed. A straight sulcal extension-like furrow (SEF, *sensu* Kang et  
237 al. 2010) ran from the right end of the cingulum toward the apex (Fig. 1A). Chloroplast  
238 was single and yellow-brown (Fig. 1C), mainly occupying posterior area of hyposome,  
239 but with lateral lobes extending anteriorly into episome but not reaching the apex (Fig. 1,  
240 C and D). Nucleus was located in the central area of episome (Fig. 1, B, C and E). DAPI  
241 staining confirmed the single nucleus occupied almost the entire episome (cf. Fig. 1, C  
242 and E). The motile cell was planktonic and free-swimming. Cells encysted during the  
243 dark period. Cysts were spherical and covered with a wall (Fig. 1F). The organism grew  
244 in complete isolation from other eukaryotes and did not show feeding behavior when  
245 co-cultured with potential prey organisms.

246 SEM observations showed cells were covered by small polygonal amphiesmal  
247 vesicles (AVs) (Fig. 2, G-O). These AVs in the episome were arranged in anything from  
248 5-7 lateral rows (Fig. 1, G-L). Such variation was not observed in the cingulum and the  
249 sulcus. The AVs in the cingulum were arranged in 5 rows (Fig. 1J). The sulcus was  
250 deeply incised but the exact boundary of sulcus with the remainder of the cell was not  
251 sharply defined (Fig. 1, G, J and M). The SEF was less incised than the sulcus and  
252 consisted of nine elongate AVs (Fig. 1, G, H, K, L, N and O). The hyposome was also  
253 covered with AVs arranged in approximately 4 lateral rows, but the exact number was



254 difficult to ascertain because of its asymmetric shape (Fig. 1M).

255           *TEM*: The positioning and morphology of the nucleus and chloroplast in motile  
256 cells were confirmed in thin-sectioned material (Fig. 2A). The nucleus was a typical  
257 dinokaryon with condensed chromosomes, and occupied most of the episome (Fig. 2B).  
258 It was surrounded by numerous mitochondria (Fig. 2B). The nuclear envelope possessed  
259 nuclear pores but lacked nuclear envelope chambers (Fig. 2C). Trichocysts were typical  
260 for dinoflagellates and were peripherally arranged (Fig. 2, D and E). Cells were covered  
261 by a typical amphiesma, the vesicles of which had no thecal plates or other plate-like  
262 structures (Fig. 2F). A microtubular strand of a peduncle (MSP) ran from the right side  
263 of the flagellar apparatus (Fig. 2, G-J). There were some electron-opaque vesicles near  
264 the MSP (Fig. 2, G-J). Chloroplast was surrounded by three membranes. The posterior  
265 mass contained condensed thylakoids (Fig. 3A), most of which were double stacked,  
266 and the distance between adjacent thylakoid bands was approximately 6–10 nm (Fig.  
267 3B). On the other hand, the lateral lobes contained double or triple stacked thylakoid  
268 bands, and the distance between bands was relatively greater and more variable (Fig. 3,  
269 C and D). The boundary between the more condensed thylakoids of the posterior mass  
270 and the less condensed thylakoids of the lateral lobes was obvious (Fig. 3E).

271           Cells each contained at most four nematocysts (Fig. 4). Each nematocyst was

272 composed of an oval posterior body and an anterior operculum. The posterior body was  
273 covered by a capsule and a posterior chamber, and contained a fibrous strand. The  
274 anterior region of the posterior body was occupied by an anterior chamber with a stylet  
275 (*sensu* Westfall et al. 1983). A central filament-like structure was observed in the central  
276 axis of the fibrous strand (Fig. 4B), but could not be resolved in the transverse serial  
277 sections (Fig. 4, J-L). The length and width of nematocysts were approximately 0.8  $\mu\text{m}$   
278 and 0.5  $\mu\text{m}$ , respectively. Taeniocysts and posterior vacuoles were not observed.

279         The flagellar apparatus of *P. asymmetricum* was re-constructed (Fig. 5) from  
280 serial sections (Figs. S1 and S2). The transverse basal body (TB) and the longitudinal  
281 basal body (LB) were connected, at an oblique angle of about 150° to one another, by a  
282 basal body connective (BBC) (Figs. S1, F-H; and S2C). Root 1 (R1) consisted of 12  
283 microtubules and was inserted on the dorsal side of LB (Figs. S1, A-I; and S2, D-H). R1  
284 and LB were linked by the connective C1 (Figs. S1E; and S2D). Root 3 (R3) was  
285 comprised of a transverse microtubular root (TMR) and a transverse microtubular root  
286 extension (TMRE) (Figs. S1, C-J; and S2, A, B, K and L). TMR was a single  
287 microtubular root and inserted on the right side of TB (Figs. S1, D-F; and S2, A and K).  
288 The TMRE consisted of six microtubules nucleated by the TMR (Figs. S1, C-J; and S2,  
289 A, B, K and L). Root 4 (R4), comprising a transverse striated root (TSR) and TSR

290 microtubule (TSRM), was inserted on the left side of the TB (Figs. S1, G-L; and S2,  
291 D-J). R1 and R4 were linked by a striated root connective (SRC) (Figs. S1, H and I; and  
292 S2, E-G and J). Despite our observations of the flagellar apparatus being made from 5  
293 different cells, the expected root 2 and a nuclear fibrous connective were not observed.

294

295 *Paragymnodinium inerme* K.Yokouchi, K.Takahashi, Nguyen, Iwataki et T.Horiguchi

296 sp. nov.

297 *Description.* Marine, athecate dinoflagellate. Cells with almost equally-sized episomes  
298 and hyposomes, 15.3–23.7  $\mu\text{m}$  long and 10.9–19.6  $\mu\text{m}$  wide. Episome hemispherical or  
299 conical and hyposome hemispherical. Cingulum wide and well excavated, descending  
300 1/2 to once its own width. Sulcus straight, reaching to, and widening slightly at, the  
301 antapex. Sulcal extension-like furrow slightly curved. Eyespot absent. Nucleus spherical,  
302 in the center of the dorsal side of cell. 20-30 chloroplasts, some of which are connected  
303 by narrow bridges. Amphiesmal vesicles arranged in 19 or 20 lateral rows (eight or nine  
304 rows to the episome, five rows to the cingulum, and six rows to the hyposome).

305 Nematocysts rare and, if present, abnormal. Pyrenoid and pusule not observed.

306 Phototrophic. GenBank accession numbers are LC516503 for 18S rDNA sequence and

307 LC516502 for 28S rDNA sequence.

308           *Holotype*: SEM stub was deposited in the herbarium of the Faculty of Science,  
309 Hokkaido University as SAP 115484. Fig. 6, L and M were taken from that stub.

310           *Collection date*: 19 November 2017.

311           *Type locality*: Jogashima, Kanagawa, Japan (35°08.02'N, 139°36.41'E).

312           *Etymology*: Latin *inermis*, (= unarmed) refers to absence of nematocyst.

313           *LM and SEM*: Cells were 15.3–23.7  $\mu\text{m}$  ( $19.4 \pm 2.0 \mu\text{m}$ , mean  $\pm$  SD,  $n = 28$ )

314 long and 10.9–19.6  $\mu\text{m}$  ( $14.9 \pm 2.1 \mu\text{m}$ ,  $n = 28$ ) wide. Episome and hyposome were

315 almost equal in size (Fig. 6, A and B). Episome was conical to hemispherical, and the

316 hyposome was hemispherical (Fig. 6, A and B). Cingulum was wide, well excavated and

317 descended by a distance half to equal of its own width (Fig. 6, A and B). Sulcus was

318 straight and widened slightly before reaching the antapex (Fig. 6A). No eyespot was

319 observed. A slightly curved sulcal extension-like furrow (SEF) ran from the right end of

320 the cingulum toward the apex (Fig. 6A). Chloroplasts were yellow brown and

321 distributed throughout the cell (Fig. 6A-D). Analysis of autofluorescence images

322 demonstrated the presence of multiple chloroplasts in each cell (Fig. 6, C and D). The

323 nucleus was central on the dorsal side of the cell (Fig. 6, B, E and F). DAPI staining

324 showed a single nucleus in the central or dorsal of cell (Fig. 6, E and F). The motile cell

325 was planktonic and free-swimming. Cells encysted during the dark period. Shape of the

326 cysts was similar to that of motile cells but each was covered with a wall. Cell division  
327 took place during the walled cyst stage (Fig. 6G). Some motile daughters released from  
328 germinating cells remained connected at their ventral surfaces (Fig. 6H). Cultures of this  
329 species grew in the absence of other eukaryotes and did not show feeding behavior  
330 when grown together with selected strains of other organisms.

331           SEM observations showed cells covered by small polygonal amphiesmal  
332 vesicles (AVs) (Fig. 6, I-O). AVs were arranged in 19 or 20 lateral rows, i.e. eight or  
333 nine rows to the episome, five rows to the cingulum, and six rows to the hyposome (Fig.  
334 6, J-M). The SEF was slightly incised and consisted of nine AVs (Fig. 6, N and O). The  
335 sulcal AVs can be distinguished from surrounding ones, but the absolute number could  
336 not be determined (Fig. 6, I-K and M). Cells with doubled flagella were common in  
337 culture (Fig. 6L and M).

338           *TEM*: Positioning and morphology of the organelles in motile cells were  
339 confirmed in thin-sectioned material (Fig. 7A). The nucleus was a typical dinokaryon  
340 with condensed chromosomes (Fig. 7B) and a nuclear envelope interrupted by nuclear  
341 pores but lacking nuclear envelope chambers (Fig. 7C). Trichocysts were typical for  
342 dinoflagellates and were peripheral (Fig. 7, D and E). Cells were covered by a typical  
343 amphiesma (Fig. 7F), the vesicles of which had no thecal plates or other plate-like

344 structures (Fig. 7F). A microtubular strand of the peduncle ran from the right side of the  
345 flagellar apparatus (Fig. 7, G-J), but electron-opaque vesicles in its vicinity were not  
346 observed (Fig. 7, G-J). The cell contained approximately 20-30 oval chloroplast masses  
347 (Fig. 8A). Chloroplasts were surrounded by three membranes (Fig. 8B) and contained  
348 multiple thylakoids forming double- or triple-stacked thylakoid lamellae (Fig. 8C) that  
349 were evenly distributed throughout all chloroplast masses. Some of these masses were  
350 interconnected by narrow bridges (Fig. 8, D-F), making the actual number of  
351 chloroplasts fewer than apparent. Serial sections through two whole cells of *P. inermis*,  
352 revealed that one had only three chloroplasts while the other had 15 (Video S1).

353           Cells rarely contained nematocysts (Fig. 9): only three of 15 entire cells  
354 investigated by serial sectioning were found to have them. Where present, the anterior  
355 operculum was almost completely collapsed, leaving the organelles composed solely of  
356 the oval posterior bodies. Each posterior body consisted of an anterior chamber and a  
357 capsule-covered, posterior chamber, containing multiple (approximately three) fibrous  
358 strands. A stylet was not observed.

359           The flagellar apparatus of *P. inermis* was re-constructed (Fig. 10) from serial  
360 sections (Figs. S3 and S4). The transverse basal body (TB) and the longitudinal basal  
361 body (LB) were held at an oblique angle of about 150° relative to one another by three

362 basal body connectives (BBC1-3) (Fig. S4, E-H). Root 1 (R1) consisted of 18  
363 microtubules and was inserted on the dorsal side of the LB (Figs. S3, A-F; and S4, A-D).  
364 R1 and the LB were linked by two connectives, C1 and C2 (Fig. S3, C and D). Root 3  
365 (R3) was comprised of a transverse microtubular root (TMR) and a transverse  
366 microtubular root extension (TMRE) (Figs. S3, H-L; and S4, I-K). The TMR was  
367 comprised of a single microtubule inserted on the right side of the TB (Figs. S3, H-L;  
368 and S4, I-K). The TMRE consisted of several (presumably less than 10) microtubules  
369 nucleated by the TMR, but the precise number could not be determined (Figs. S3, K and  
370 L; and S4, J and K). Root 4 (R4), comprising a transverse striated root (TSR) and a TSR  
371 microtubule (TSRM), was inserted on the left side of the TB (Figs. S3, H-L; and S4,  
372 E-H). R1 and R4 were linked by a striated root connective (SRC) (Figs. S3, G and H;  
373 and S4, D and E). Root 2 and a nuclear fibrous connective were not observed in any  
374 serial sections through the flagellar apparatus of eight different cells.

375 *Phylogenetic analysis.* The topologies resulting from ML and Bayesian  
376 analyses were only slightly different, and only the ML tree is shown (Fig. 11). Both  
377 strains studied here were included in the clade *Gymnodinium sensu stricto*, and formed  
378 a robust clade with *Paragymnodinium* spp. Within the *Paragymnodinium* clade, *P.*  
379 *inermis* was shown to be sister to *P. shiwhaense* (Table 1), and *P. asymmetricum* was

380 sister to the *P. shiwhaense*/*P. inerme* clade with high support. *P. stigmaticum* was sister  
381 to the *P. shiwhaense*/*P. inerme*/*P. asymmetricum* clade. Although the *Paragymnodinium*  
382 clade was basal in the *Gymnodinium sensu stricto* clade in both the ML and Bayesian  
383 analyses, its position did not enjoy convincing support. Species with nematocysts were  
384 restricted to some members of the clade *Gymnodinium sensu stricto*, notably *Polykrikos*,  
385 *Nematodinium*, *Gyrodiniellum* and *Paragymnodinium* (denoted by stars in Fig. 11), but  
386 the character of possession of nematocysts was not monophyletic.

387

## 388 DISCUSSION

389 *Taxonomy.* *Paragymnodinium asymmetricum* has characteristics shared by  
390 other species of the genus *Paragymnodinium*, such as the possession of nematocysts,  
391 polygonal amphiesmal vesicles and a SEF (Kang et al. 2010, Yokouchi et al. 2018). It is  
392 more affiliated with *P. shiwhaense* than with *P. stigmaticum* in that it lacks an eyespot,  
393 has double- or triple-stacked thylakoid lamellae and a planktonic lifestyle. This  
394 relationship is supported by the topology of the molecular tree. On the other hand, *P.*  
395 *asymmetricum* is clearly distinguished from *P. shiwhaense* by the cell size, the  
396 asymmetric shape of hyposome (larger right than left side) and the anterior position of  
397 the nucleus rather than central or dorsal position seen in *P. shiwhaense* (Kang et al.



398 2010). The SEF of *P. asymmetricum* is straight as opposed to the curved equivalent in  
399 other members of the genus (Kang et al. 2010, Yokouchi et al. 2018). It also shows  
400 variation in the number of AV rows of its episome. Intraspecific variation of AVs is seen  
401 in some other dinoflagellates (e.g. Pandeirada et al. 2014), but has not been reported in  
402 the genus *Paragymnodinium*. If the number of AVs is mutable, this morphological  
403 character is not appropriate as a taxonomic criterion. In addition, *P. asymmetricum* can  
404 be distinguished from the mixotrophic *P. shiwhaense* (Yoo et al. 2010) because it shows  
405 no evidence of feeding behavior and can sustain itself phototrophically. DAPI staining  
406 shows that DNA is focused in one area (the nucleus) without subsidiary satellite  
407 fluorescence as would be expected had ingested bacteria. In addition to this, no  
408 intracellular bacteria were ever observed by TEM. It is conceded that *P. asymmetricum*  
409 has the potential to be mixotrophic because it retains structures related to feeding  
410 behavior, such as a peduncle and nematocysts. However, it is clearly not an obligate  
411 mixotroph that requires both feeding and photosynthesis as is the case for *P. shiwhaense*  
412 (Yoo et al. 2010).

413           Asymmetry of the hyposome, as seen in *P. asymmetricum*, is rare in athecate  
414 dinoflagellates. The hyposome of some species of the genus *Gyrodinium*, such as *G.*  
415 *dominans*, are similarly asymmetric, but *P. asymmetricum* is clearly not a member of

416 this genus because it does not have longitudinal striations, and it is not heterotrophic  
417 (Hoppenrath et al. 2014). The phylogenetic analysis also recovered *Gyrodinium* spp. in  
418 a distantly-related clade to that of *Paragymnodinium* spp. Therefore, *P. asymmetricum*  
419 can be distinguished from any other dinoflagellates described to date, and we conclude  
420 that this dinoflagellate is a new species.

421 *Paragymnodinium inerme* is similar to *P. shiwhaense* in shape, and in the  
422 possession of polygonal AVs, a slightly curved SEF, a planktonic lifestyle and the  
423 absence of an eyespot. Although the number of AVs of the two species is different, the  
424 arrangement of AVs within the SEF is the same (Kang et al. 2010). The genetic distance  
425 between these two species is also small. However, the nutritional strategy of *P. inerme*  
426 differs from that of *P. shiwhaense*: *P. inerme* can grow without any supplementation to  
427 phototrophy and does not feed when provided with cells of *Amphidinium* aff. *carterae*  
428 despite the fact that *A. carterae* was identified as the most appropriate prey for *P.*  
429 *shiwhaense* (Yoo et al. 2010). In addition, although we also provided unicellular algae  
430 belonging to different classes as possible prey, *P. inerme* did not feed any of these algal  
431 cells. DAPI staining and TEM observations showed no evidence of ingested bacteria in  
432 *P. inerme*. The abnormality or degeneration of nematocysts in *P. inerme* is also a clear  
433 difference from *P. shiwhaense* and in *P. inerme* there is no evidence of the plate-like

434 structures that found in the amphiesmal vesicles of *P. shiwhaense* (Kang et al. 2010).  
435 The presence of a transverse microtubular root extension (TMRE) of R3 and of the  
436 ventral connective (VC) in the flagellar apparatus of *P. inerme* also represent differences  
437 from *P. shiwhaense* (Kang et al. 2010). While it is conceded that the TMRE and VC  
438 might have been overlooked in *P. shiwhaense* (see below), there are a suite of clear  
439 morphological differences between *P. inerme* and *P. shiwhaense*, despite their close  
440 phylogenetic relationship, and the two organisms can be regarded as different species.

441           There are some dinoflagellates which morphologically resemble *P. inerme*.  
442 *Aureodinium pigmentosum* is similar in size and shape to *P. inerme*, but has pyrenoids  
443 (Dodge 1967, 1982), which are lacking in *P. inerme*. *Gymnodinium incertum* is also  
444 similar, but the SEF or apical groove-like structure has not been described in this  
445 species (Dodge 1982). *Gymnodinium pygmaeum* is also similar in size and has a furrow  
446 in its episome, but this species is rounder than *P. inerme*, and both the apex and antapex  
447 are notched, so it is distinguishable from *P. inerme* (Dodge 1982, Hansen and Larsen  
448 1992). Therefore, *P. inerme* can be distinguished from any other morphologically  
449 similar species described to date, and we conclude that this dinoflagellate is a new  
450 species.

451           *Chloroplasts and nutritional mode.* The chloroplast of *Paragymnodinium*

452 *asymmetricum* is single, unlike the multiple chloroplasts seen in other  
453 *Paragymnodinium* spp. (Kang et al. 2010, Yokouchi et al. 2018, this study). In addition,  
454 it is composed of two distinctive parts; an ‘antapical mass’ and anterior ‘lateral lobes.’  
455 The antapical mass in the hyposome contains densely-stacked, double thylakoids  
456 resembling the grana-like thylakoids seen in some dinoflagellates such as *Ansanella*  
457 *granifera* (Jeong et al. 2014) or *Dactyloidium pterobelotum* (Takahashi et al. 2017).  
458 However, the double-stacked thylakoids of this region of the chloroplast of *P.*  
459 *asymmetricum* are not attached to each other. Thus, the thylakoids cannot be likened to a  
460 true granum, but are rather a tighter packing of the thylakoid lamellae relative to the  
461 lateral lobes, which are an extension of the antapical mass. The variability in the  
462 numbers (two or three) of thylakoids stacked together, and in the packing density of  
463 these stacks, in different regions of a chloroplast has not been reported in any other  
464 dinoflagellates. *P. inerme* also has double- or triple-stacked thylakoids but there is no  
465 difference in its packing density or stacking thylakoid number by region of the  
466 chloroplast. In addition, *P. inerme* contains numerous oval masses of chloroplasts,  
467 which is similar to the condition in *P. shiwhaense* (Kang et al. 2010). However, some of  
468 these masses are directly connected to each other by thin bridges.

469 In the genus *Paragymnodinium*, mixotrophy is only recognized in *P.*

470 *shiwhaense* and *P. stigmaticum*. The two new species, *P. asymmetricum* and *P. inerme*,  
471 do not show phagotrophy and thus are entirely phototrophic, rather than mixotrophic.  
472 Interestingly, the close phylogenetic relationship between *P. shiwhaense* and *P. inerme*,  
473 is not reflected in their nutritional mode and thus, the diversification of nutritional mode  
474 is thought to have occurred quite recently. The evolution pattern of nutritional mode can  
475 be explained by two hypotheses. (1) The common ancestor of this clade was  
476 phototrophic, and *P. shiwhaense* and *P. stigmaticum* has acquired mixotrophic strategy  
477 independently. This hypothesis is parsimonious on the nutritional mode, but cannot  
478 explain why the phototrophic species possess some structures related to feeding, such as  
479 nematocysts and a peduncle. (2) The common ancestor of this clade had a mixotrophic  
480 strategy, and *P. asymmetricum* and *P. inerme* lost phagotrophic capability independently.  
481 Based on this hypothesis, the abnormal nematocyst in *P. inerme* (discussed below) is  
482 thought to represent a degeneration of the organelle as a result of the loss of the  
483 requirement for phagotrophy. To determine which of these hypotheses is correct, the  
484 nutritional mode of the common ancestor of the genus *Paragymnodinium* needs to be  
485 estimated, and thus, the symplesiomorphic character among these species and the  
486 closest related taxa should be confirmed, however, this requires improved statistical  
487 support of the entire topology of the phylogenetic tree for the clade *Gymnodinium sensu*

488 *stricto*.

489 *Nematocysts*. Some dinoflagellates included in the clade *Gymnodinium sensu*  
490 *stricto*, e.g. polykrikoids, warnowiids, *Gyrodiniellum* and *Paragymnodinium* contain  
491 nematocysts (Marshall 1925, Westfall et al. 1983, Greuet 1987, Hoppenrath and  
492 Leander 2007a, b, Hoppenrath et al. 2009, 2010, Kang et al. 2010, 2011, Yokouchi et al.  
493 2018). The nematocyst-bearing taxa did not form a clade in our phylogenetic analysis,  
494 indicating the multiple gain or loss of nematocyst in this clade. However, since the  
495 topology is not supported well, it is difficult to discuss how the nematocysts have  
496 evolved within the *Gymnodinium sensu stricto* clade. The nematocyst is thought to be  
497 used to capture prey cells prior to ingestion, as observed in the relatively large  
498 nematocyst-bearing dinoflagellates, such as *Polykrikos* and *Nematodinium* (Matsuoka et  
499 al. 2000, Lee et al. 2015, Gavelis et al. 2017). This is also the case with  
500 *Paragymnodinium* despite the lack of direct evidence (Jeong et al. 2017).  
501 *Paragymnodinium asymmetricum* contains multiple nematocysts with basically the  
502 same structure as those of other *Paragymnodinium* spp. apart from their relatively small  
503 size (Kang et al. 2010, Yokouchi et al. 2018). However, we were unable to demonstrate  
504 phagotrophy in *P. asymmetricum*. Thus, the function of this organelle remains elusive.  
505 The ultrastructure of the nematocysts of *Paragymnodinium inerme* is abnormal

506 and has never been observed before in any other dinoflagellates. The nematocyst is rare  
507 in this species (only found in three of 15 entire cells that were serially sectioned and in  
508 none of the other random sections observed). It is possible that the abnormality of  
509 nematocyst shows its developing stage seen in other nematocyst bearing dinoflagellates  
510 (Gavelis et al. 2017), or is a result of external factors, such as poor fixation, but the  
511 larger number of fibrous strands relative to the single fibrous strand of nematocysts in  
512 other *Paragymnodinium* spp. could be incurred by such factors (Kang et al. 2010,  
513 Yokouchi et al. 2018, this study). Therefore, the ultrastructure of the nematocyst of *P.*  
514 *inerme* is clearly different to those of other *Paragymnodinium* spp. A paucity of  
515 nematocysts is also unique to the genus *Paragymnodinium*. The original description of *P.*  
516 *shiwhaense* by Kang et al. (2010) does not mention the number of nematocysts per cell,  
517 but at least 6 nematocysts can be identified in a single TEM image (figs 73-75 in Kang  
518 et al. 2010). While the degree of nematocyst production may be influenced by nutrition,  
519 especially the presence/absence of prey, this is unlikely because a cell of *P.*  
520 *asymmetricum* contains numerous nematocysts under the same culture conditions as *P.*  
521 *inerme*. If nematocysts are commonly used by *Paragymnodinium* spp. to capture prey  
522 cells, it is reasonable to assume that there is some link between the reduction of  
523 nematocysts and the loss of phagotrophy in *P. inerme*. However, as mentioned above,

524 the function of nematocysts in this genus still requires definitive evidence. To confirm  
525 the role of nematocysts, more direct observation of the behavior of nematocyst-bearing  
526 species is needed.

527 *Flagellar apparatus.* The flagellar apparatuses of *Paragymnodinium*  
528 *asymmetricum* and *P. inerme* share basic features with the other known species of the  
529 genus, although the number of microtubules comprising R1 is variable (Kang et al. 2010,  
530 Yokouchi et al. 2018). The absence of the nuclear fibrous connective (NFC), one of the  
531 key characters of the genus *Gymnodinium* (Daugbjerg et al. 2000), is also common to all  
532 the species of *Paragymnodinium*. However, the two new species of *Paragymnodinium*  
533 have a ventral connective (VC) linking R1 to the plasma membrane, which has not been  
534 reported before for *Paragymnodinium*. The VC is often observed in other  
535 dinoflagellates (e.g. Iwataki et al. 2010). In the original description of *P. shiwhaense* and  
536 *P. stigmaticum*, an elongate object can be seen near the R1 (Fig. 32 in Kang et al. 2010,  
537 Fig. 39 in Yokouchi et al. 2018). That of *P. stigmaticum* in particular is quite similar to  
538 the VC of *P. asymmetricum* and *P. inerme*, although its direction differs. Therefore, it is  
539 possible that the presence of a VC has been overlooked in *P. shiwhaense* and *P.*  
540 *stigmaticum*. In addition, *P. asymmetricum* and *P. inerme* contain a TMRE nucleating  
541 from the TMR. The TMRE is also reported in *P. stigmaticum*, but not in *P. shiwhaense*



542 (Kang et al. 2010, Yokouchi et al. 2018). It is possible that the TMRE of *P. shiwhaense*  
543 was overlooked due to its small size, as mentioned by Yokouchi et al. (2018). The  
544 number of microtubules comprising the TMRE in this group is small relative to other  
545 dinoflagellates, such as *Gymnodinium fuscum* (Hansen et al. 2000) which have  
546 numerous microtubules. There are six in *P. asymmetricum*, less than 10 in *P. inerme*, and  
547 4 in *P. stigmaticum* (Yokouchi et al. 2018, this study).

548

549 The authors wish to thank Dr. Stuart D. Sym for reading the manuscript. We also  
550 express our thanks to Dr. Kevin Wakeman for his technical advice. Strains Ak01 and  
551 Mr06 were provided by Dr. Ryo Onuma, and strains TH006 was provided by Mr.  
552 Hirono Tsuchida, all used as prey candidates. Critical point drying was performed at the  
553 Electron Microscope Laboratory, Research Faculty of Agriculture, Hokkaido University.  
554 This work was supported by Grant-in-Aid for JSPS Fellows Grant Number JP19J20893.  
555 The authors declare no conflict of interest.

556

557 Daugbjerg, N., Hansen, G., Larsen, J. & Moestrup, Ø. 2000. Phylogeny of some of the  
558 major genera of dinoflagellates based on ultrastructure and partial LSU rDNA sequence  
559 data, including the erection of three new genera of unarmoured dinoflagellates.

560 *Phycologia* 39:302–17.

561 Dodge, J. D. 1967. Fine structure of the dinoflagellate *Aureodinium pigmentosum* gen.  
562 et sp. nov. *Br. Phycol. Bull.* 3:327–36.

563 Dodge J. D. 1982. *Marine dinoflagellates of the British Isles*. Her Majesty's Stationery  
564 Office, London. 303 pp.

565 Edgar, R. C. 2004. MUSCLE: a multiple sequence alignment method with reduced time  
566 and space complexity. *BMC Bioinformatics* 5:113.

567 Gavelis, G. S., Wakeman, K. C., Tillmann, U., Ripken, C., Mitarai, S., Herranz, M.,  
568 Özbek, S., Holstein, T., Keeling, P. J. & Leander, B. S. 2017. Microbial arms race:  
569 Ballistic “nematocysts” in dinoflagellates represent a new extreme in organelle  
570 complexity. *Sci. Adv.* 3: 1–7.

571 Greuet, C. 1987. Complex organelles. In Taylor, F. J. R. [Eds.] *The biology of*  
572 *dinoflagellates*. Blackwell Science, Oxford, UK. pp. 119–42.

573 Hansen, G. & Larsen, J. 1992. Dinoflagellater i danske farvande. In Thomsen, H. A.  
574 [Eds.] *Plankton i de indre danske farvande*. Havforskning fra Miljøstyrelsen,  
575 Copenhagen. pp. 45–155.

576 Hansen, G., Moestrup, Ø. & Roberts, K. R. 2000. Light and electron microscopical  
577 observations on the type species of *Gymnodinium*, *G. fuscum* (Dinophyceae).

578 *Phycologia* 39:365–76.

579 Hansen, P. J. 2011. The role of photosynthesis and food uptake for the growth of marine  
580 mixotrophic dinoflagellates. *J. Eukaryot. Microbiol.* 58:203–14.

581 Hoppenrath, M. & Leander, B. S. 2007a. Character evolution in polykrikoid  
582 dinoflagellates. *J. Phycol.* 43:366–77.

583 Hoppenrath, M. & Leander, B. S. 2007b. Morphology and phylogeny of the  
584 pseudocolonial dinoflagellates *Polykrikos lebourae* and *Polykrikos herdmanae* n. sp.  
585 *Protist* 158:209–27.

586 Hoppenrath, M., Bachvaroff, T. R., Handy, S. M., Delwiche, C. F. & Leander, B. S.  
587 2009. Molecular phylogeny of ocelloid-bearing dinoflagellates (Warnowiaceae) as  
588 inferred from SSU and LSU rDNA sequences. *BMC Evol. Biol.* 9:116.

589 Hoppenrath, M., Yubuki, N., Bachvaroff, T. R. & Leander, B. S. 2010. Re-classification  
590 of *Pheopolykrikos hartmannii* as *Polykrikos* (Dinophyceae) based partly on the  
591 ultrastructure of complex extrusomes. *Eur. J. Protistol.* 46:29–37.

592 Hoppenrath, M., Murray, S. A., Chomérat, N. & Horiguchi, T. 2014. *Marine benthic*  
593 *dinoflagellates – unveiling their worldwide biodiversity*. Schweizerbart, Stuttgart,  
594 Germany. 276 pp.

595 Huelsenbeck, J. P. & Ronquist, F. 2001. MRBAYES: Bayesian inference of

596 phylogenetic trees. *Bioinformatics* 17:754–5.

597 Iritani, D., Horiguchi, T & Wakeman, K. 2018. Molecular phylogenetic positions and  
598 ultrastructure of marine gregarines (Apicomplexa) *Cuspidella ishikariensis* n. gen., n. sp.  
599 and *Loxomorpha* cf. *harmothoe* from western pacific scaleworms (Polynoidae). *J.*  
600 *Eukaryot. Microbiol.* 65:637–47.

601 Iwataki, M., Hansen, G., Moestrup, Ø. & Matsuoka, K. 2010. Ultrastructure of the  
602 harmful unarmored dinoflagellate *Cochlodinium polykrikoides* (Dinophyceae) with  
603 reference to the apical groove and flagellar apparatus. *J. Eukaryot. Microbiol.* 57:308–  
604 21.

605 Jeong, H. J., Jang, S. H., Moestrup, Ø., Kang, N. S., Lee, S. Y., Potvin, É. & Noh, J. H.  
606 2014. *Ansanella granifera* gen. et sp. nov. (Dinophyceae), a new dinoflagellate from the  
607 coastal waters of Korea. *Algae* 29:75–99.

608 Jeong, H. J., Kim, J. S., Lee, K. H., Seong, K. A., Yoo, Y. D., Kang, N. S., Kim, T. H.,  
609 Song, J. Y. & Kwon, J. E. 2017. Differential interactions between the  
610 nematocyst-bearing mixotrophic dinoflagellate *Paragymnodinium shiwhaense* and  
611 common heterotrophic protists and copepods: killer or prey. *Harmful Algae* 62:37–51.

612 Kang, N. S., Jeong, H. J., Moestrup, Ø., Shin, W., Nam, S. W., Park, J. Y., de Salas, M.  
613 F., Kim, K. W. & Noh, J. H. 2010. Description of a new planktonic mixotrophic

614 dinoflagellate *Paragymnodinium shiwhaense* n. gen., n. sp. from the coastal waters off  
615 western Korea: morphology, pigments, and ribosomal DNA gene sequence. *J. Eukaryot.*  
616 *Microbiol.* 57:121–44.

617 Kang, N. S., Jeong, H. J., Moestrup, Ø. & Park, T. G. 2011. *Gyrodiniellum shiwhaense* n.  
618 gen., n. sp., a new planktonic heterotrophic dinoflagellate from the coastal waters of  
619 western Korea: morphology and ribosomal DNA gene sequence. *J. Eukaryot. Microbiol.*  
620 58:284–309.

621 Kumar, S., Stecher, G. & Tamura, K. 2016. MEGA7: Molecular evolutionary genetics  
622 analysis version 7.0 for bigger datasets. *Mol. Biol. Evol.* 33:1870–74.

623 Marshall, S. M. 1925. On *Proterythropsis vigilans*, n. sp. *Q. J. Microsc. Sci.* 69:177–84.

624 Lee, M. J., Jeong, H. J., Lee, K. H., Jang, S. H., Kim, J. H. & Kim, K. Y. 2015.  
625 Mixotrophy in the nematocyst-taeniocyst complex-bearing phototrophic dinoflagellate  
626 *Polykrikos hartmannii*. *Harmful Algae* 49:124–34.

627 Matsuoka, K., Cho, H. & Jacobson, D. M. 2000. Observations of the feeding behavior  
628 and growth rates of the heterotrophic dinoflagellate *Polykrikos kofoidii* (Polykrikaceae,  
629 Dinophyceae). *Phycologia* 39:82–6.

630 Mitra, A., Flynn, K. J., Tillmann, U., Raven, J. A., Caron, D., Stoecker, D. K., Not, F.,  
631 Hansen, P. J., Hallegraeff, G., Sanders, R., Wilken, S., McManus, G., Johnson, M., Pitta,

632 P., Våge, S., Berge, T., Calbet, A., Thingstad, F., Jeong, H. J., Burkholder, J. A., Glibert,  
633 P. M., Granéli, E. & Lundgren, V. 2016. Defining planktonic protist functional groups  
634 on mechanisms for energy and nutrient acquisition: Incorporation of diverse  
635 mixotrophic strategies. *Protist* 167:106–20.

636 Nakayama, T., Watanabe, S., Mitsui, K., Uchida, H. & Inouye, I. 1996. The  
637 phylogenetic relationship between the Chlamydomonadales and Chlorococcales inferred  
638 from 18S rDNA sequence data. *Phycol. Res.* 44:47–55.

639 Pandeirada, M. S., Craveiro, S. C., Daugbjerg, N., Moestrup, Ø. & Calado, A. J. 2014.  
640 Studies on woloszynskioid dinoflagellates VI: description of *Tovellia aveirensis* sp. nov.  
641 (Dinophyceae), a new species of Tovelliaceae with spiny cysts. *Eur. J. Phycol.* 49:230–  
642 43.

643 Stamatakis, A. 2006. RAxML-VI-HPC: maximum likelihood-based phylogenetic  
644 analyses with thousands of taxa and mixed models. *Bioinformatics* 22:2688–90.

645 Stoecker, D. K., Hansen, P. J., Caron, D. A. & Mitra, A. 2017. Mixotrophy in the marine  
646 plankton. *Annu. Rev. Mar. Sci.* 9:311–35.

647 Takahashi, K., Moestrup, Ø., Wada, M., Ishimatsu, A., Nguyen, V. N., Fukuyo, Y. &  
648 Iwataki, M. 2017. *Dactyloidium pterobelotum* gen. et sp. nov., a new marine  
649 woloszynskioid dinoflagellate positioned between the two families Borghiellaceae and

650 Suessiaceae. *J. Phycol.* 53:1223–40.

651 Takano, Y. & Horiguchi, T. 2004. Surface ultrastructure and molecular phylogenetics of  
652 four unarmoured heterotrophic dinoflagellates, including the type species of the genus  
653 *Gyrodinium* (Dinophyceae). *Phycol. Res.* 52:107–16.

654 Tanabe, A. S. 2011. Kakusan4 and Aminosan: two programs for comparing  
655 nonpartitioned, proportional and separate models for combined molecular phylogenetic  
656 analyses of multilocus sequence data. *Mol. Ecol. Resour.* 11:914–21.

657 Westfall, J. A., Bradbury, P. C. & Townsend, J. W. 1983. Ultrastructure of the  
658 dinoflagellate *Polykrikos*. I. Development of the nematocyst-taeniocyst complex and  
659 morphology of the site for extrusion. *J. Cell Sci.* 63:245–61.

660 Yokouchi, K., Onuma, R. & Horiguchi, T. 2018. Ultrastructure and phylogeny of a new  
661 species of mixotrophic dinoflagellate, *Paragymnodinium stigmaticum* sp. nov.  
662 (Gymnodiniales, Dinophyceae). *Phycologia* 57:539–54.

663 Yoo, Y. D., Jeong, H. J., Kang, N. S., Song, J. Y., Kim, K. Y., Lee, G. & Kim, J. H. 2010.  
664 Feeding by the newly described mixotrophic dinoflagellate *Paragymnodinium*  
665 *shiwhaense*: feeding mechanism, prey species, and effect of prey concentration. *J.*  
666 *Eukaryot. Microbiol.* 57:145–58.

667           FIG. 1. (A-F) Differential interference contrast (DIC) and fluorescence light  
668 micrographs of *Paragymnodinium asymmetricum* sp. nov. Scale bars = 5  $\mu$ m. Ch,  
669 chloroplast; Ci, cingulum; Nu, nucleus; SEF, sulcal extension-like furrow; Su, sulcus.  
670 (A) Ventral view. (B) Dorsal view. (C-E) Same cell showing DIC morphology (C),  
671 autofluorescence of chloroplasts (D) and nucleus stained by DAPI (E). (F) Cyst with  
672 outer wall (arrowheads). (G-O) Scanning electron micrographs of *Paragymnodinium*  
673 *asymmetricum* sp. nov., showing arrangement of polygonal amphiesmal vesicles (AVs)  
674 on cell surface. Scale bars = 3  $\mu$ m except where otherwise indicated. (G and H) Ventral  
675 view. Vesicles in episome arranged in seven rows (E1-E7). (I) Dorsal view. Vesicles in  
676 episome arranged in five rows (E1-E5). (J) Left lateral view. Vesicles in cingulum  
677 arranged in five rows (C1-C5); those in episome arranged in five rows (E1-E5). (K and  
678 L) Apical view, showing episome and its vesicles arranged in seven (K) or five (L) rows.  
679 (M) Antapical view, showing hyposome, its vesicles and sulcus. (N) Detail of SEF  
680 comprising some elongate AVs (asterisks). Scale bar = 1  $\mu$ m. (O) Schematic illustration  
681 of SEF showing arrangement of AVs.

682

683           FIG. 2. Transmission electron micrographs (TEMs) of *Paragymnodinium*  
684 *asymmetricum* sp. nov. A-D cells fixed using first fixation protocol; others fixed using



685 second protocol (see material and methods). Ch<sub>LL</sub>, lateral lobe of chloroplast; Ch<sub>AM</sub>,  
686 antapical mass of chloroplast; Mt, mitochondrion; Nu, nucleus. (A) Longitudinal section  
687 of cell. Scale bar = 2  $\mu$ m. (B) Nucleus containing condensed chromosomes and  
688 surrounded by numerous mitochondria. Scale bar = 1  $\mu$ m. (C) Detail of nuclear  
689 envelope comprising two membranes and nucleopore (arrowheads). Scale bar = 100 nm.  
690 (D) Longitudinal section of trichocyst. Scale bar = 200 nm. (E) Transverse section of  
691 trichocyst. Scale bar = 100 nm. (F) Detail of amphiesmal vesicle. No plate-like structure  
692 observed. Scale bar = 200 nm. (G-J) Serial, non-consecutive sections of extended  
693 peduncle. Microtubular strand of peduncle (arrows); electron-opaque vesicles  
694 (arrowheads) indicated. Numbers of selected serial sections indicated in circles. Scale  
695 bars = 200 nm.

696

697 FIG. 3. TEM micrographs of chloroplast of *Paragymnodinium asymmetricum* sp.

698 nov. Cells fixed using first protocol. (A) Antapical mass of chloroplast with  
699 densely-packed thylakoids. Scale bar = 1  $\mu$ m. (B) Detail of antapical mass. Each  
700 thylakoid band is double-stacked (double-headed arrows). Scale bar = 100 nm. (C)  
701 Lateral lobe of chloroplast with less-dense packing of thylakoids. Scale bar = 1  $\mu$ m. (D)  
702 Detail of lateral lobe, showing each thylakoid band as double- or triple-stacked

703 (double-headed arrows). Scale bar = 100 nm. (E) Boundary between antapical mass and  
704 lateral lobe, demonstrating difference in stacking density of thylakoids. Scale bar = 200  
705 nm.

706

707 FIG. 4. Serial TEM sections of nematocysts of *Paragymnodinium*  
708 *asymmetricum* sp. nov. Cells fixed using second fixation protocol. Section numbers are  
709 indicated by circled numbers. Scale bars = 200 nm. AC, anterior chamber; CA, capsule;  
710 FS, fibrous strand; OP, operculum; PB, posterior body; PC, posterior chamber; ST,  
711 stylet. (A-D) Longitudinal sections of entire nematocyst. (E-L) Selected transverse  
712 sections from anterior (E) to posterior extremes (L). (M) Schematic illustration of  
713 nematocyst of *Paragymnodinium asymmetricum* sp. nov. Scale bar = 200 nm.

714

715 FIG. 5 3D reconstruction of flagellar apparatus of *Paragymnodinium*  
716 *asymmetricum* sp. nov. (not to scale). LB, longitudinal basal body; TB, transverse basal  
717 body; R1, root 1; R3, root 3; R4, root 4; SRC, striated root connective; VC, ventral  
718 connective; C1, connective 1 linking LB and R1; BBC, basal body connective; TMR,  
719 transverse microtubular root; TMRE, transverse microtubular root extension; TSR,  
720 transverse striated root; TSRM, transverse striated root microtubule.

721

722           FIG. 6. (A-H) Differential interference contrast (DIC) and fluorescence light  
723 micrographs of *Paragymnodinium inerme* sp. nov. Scale bars = 5  $\mu$ m. Ch, chloroplast;  
724 Ci, cingulum; Nu, nucleus; SEF, sulcal extension-like furrow; Su, sulcus. (A) Ventral  
725 view. (B) Dorsal view. (C and D) Same cell showing autofluorescence of chloroplasts.  
726 (E and F) Same cell showing fluorescence of nucleus stained by DAPI. (G) Division  
727 cyst with outer wall (arrowheads). (H) Two motile cells connected to each other. (I-O)  
728 Scanning electron micrographs of *Paragymnodinium inerme* sp. nov., showing  
729 arrangement of numerous polygonal amphiesmal vesicles (AVs) on cell surface. Scale  
730 bar = 3  $\mu$ m except where otherwise indicated. (I) Ventral view. (J) Dorsal view, showing  
731 episome and its vesicles arranged in eight rows (E1-E8), hyposome and its vesicles  
732 arranged in six rows (H1-H6). (K) Left lateral view, showing cingulum and its vesicles  
733 arranged in five rows (C1-C5). (L) Apical view, showing episome and its vesicles  
734 arranged in nine rows (E1-E9). Cell possesses double transverse flagella (arrowheads).  
735 (M) Antapical view, showing hyposome and its vesicles arranged in six rows (H1-H6)  
736 and sulcus. Note double longitudinal flagella (arrowheads). (N) Detail of SEF  
737 comprising nine elongate AVs (asterisks). Scale bar = 1  $\mu$ m. (O) Schematic illustration  
738 of SEF showing arrangement of AVs.

739

740                   FIG. 7. Transmission electron micrographs (TEMs) of *Paragymnodinium*  
741 *inerme* sp. nov. B and C are cells fixed using first fixation protocol; others fixed using  
742 second protocol. (A) Longitudinal section of cell. AV, amphiesmal vesicle; Ch,  
743 chloroplast; Mt, mitochondrion; Nu, nucleus. Scale bar = 2  $\mu$ m. (B) Nucleus containing  
744 condensed chromosomes. Scale bar = 2  $\mu$ m. (C) Detail of nuclear envelope comprising  
745 two membranes and nucleopore (arrows). Scale bar = 200 nm. (D) Longitudinal section  
746 of trichocyst. Scale bars = 200 nm. (E) Transverse section of trichocyst. Scale bars =  
747 100 nm. (F) Detail of amphiesmal vesicle. Scale bar = 500 nm. (G-J) Serial,  
748 non-consecutive sections of peduncle. Microtubular strand of peduncle (arrows)  
749 indicated. Section numbers circled with direction of sectioning from left to right. Scale  
750 bars = 200 nm.

751

752                   FIG. 8. TEM micrographs of the chloroplast of *Paragymnodinium inerme* sp.  
753 nov. C is a cell fixed using the first fixation protocol, while others were fixed using the  
754 second protocol. (A) A mass of chloroplast. Scale bar = 2  $\mu$ m. (B) Detail of chloroplast  
755 envelope comprised of three membranes (arrowheads). Scale bar = 50 nm. (C) Detail of  
756 chloroplast with double- or triple-stacked thylakoid bands, indicated by the

757 double-headed arrows. Scale bar = 100 nm. (D-F) Many masses of chloroplast are  
758 connected by narrow bridges (arrows). Scale bars = 1  $\mu$ m.

759

760 FIG. 9. Serial TEM sections of nematocysts of *Paragymnodinium inerme* sp.  
761 nov. Cells fixed using second protocol. Section numbers indicated in circles. Scale bars  
762 = 200 nm. AC, anterior chamber; CA, capsule; FS, fibrous strand; OP, operculum; PB,  
763 posterior body; PC, posterior chamber. (A-F) Transverse sections from anterior part (A)  
764 to posterior part (F). (G-M) Longitudinal sections.

765

766 FIG. 10. Reconstruction of flagellar apparatus of *Paragymnodinium inerme* sp.  
767 nov. LB, longitudinal basal body; TB, transverse basal body; R1, root 1; R3, root 3; R4,  
768 root 4; SRC, striated root connective; VC, ventral connective; C1, connective 1 linking  
769 LB and R1; C2, connective 2 linking LB and R1; BBC1, basal body connective 1;  
770 BBC2, basal body connective 2; BBC3, basal body connective 3; TMR, transverse  
771 microtubular root; TMRE, transverse microtubular root extension; TSR, transverse  
772 striated root; TSRM, transverse striated root microtubule.

773

774 FIG. 11. Maximum-likelihood phylogenetic tree of selected dinoflagellates,

775 including *Paragymnodinium asymmetricum* sp. nov. and *P. inerme* sp. nov., based on  
776 concatenated SSU rDNA and partial LSU rDNA sequences. Each species name is  
777 followed by its GenBank accession numbers for SSU rDNA and partial LSU rDNA  
778 sequences respectively. Only one accession number indicates that sequence includes  
779 both SSU rDNA and partial LSU rDNA sequences. Numbers at each node are ML  
780 bootstrap values and Bayesian posterior probabilities respectively. Only values > 50%  
781 (bootstrap) and > 0.7 (PP) are indicated. Stars indicate dinoflagellates with nematocysts.  
782 *P. inerme* is marked by white star because of abnormality of nematocysts.

783

784 Video S1. Serial TEM sections of a whole cell of *Paragymnodinium inerme* sp.  
785 nov. showing more than 20 masses of chloroplasts and only some of them are connected  
786 to each other by the thin bridges. The total number of discrete chloroplasts in this  
787 individual is three (indicated by A-C).

788 TABLE 1. Pairwise distance matrix of the 18S (lower left) and 28S (upper right) rDNA  
 789 sequences of *Paragymodinium* spp. calculated using p-distance model.

Strain	1	2	3	4
1. <i>P. shiwhaense</i>		0.1338	0.0405	0.0075
2. <i>P. stigmaticum</i>	0.0919		0.0905	0.0985
3. <i>P. asymmetricum</i>	0.0151	0.0901		0.0254
4. <i>P. inerme</i>	0.0006	0.0914	0.0145	