Title	Ultrastructure and Systematics of Two New Species of Dinoflagellate, <i>Paragymnodinium Asymmetricum</i> sp. nov. and <i>Paragymnodinium Inerme</i> sp. nov. (Gymnodiniales, Dinophyceae)(1)
Author(s)	Yokouchi, Koh; Takahashi, Kazuya; Nguyen, Van Nguyen; Iwataki, Mitsunori; Horiguchi, Takeo
Citation	Journal of phycology, 56(3), 730-746 https://doi.org/10.1111/jpy.12981
Issue Date	2020-06
Doc URL	http://hdl.handle.net/2115/81858
Rights	This is the peer reviewed version of the following article: Journal of Phycology 56(3) June 2020, pp.730-746 which has been published in final form at https://onlinelibrary.wiley.com/doi/full/10.1111/jpy.12981 . This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions.
Type	article (author version)
Additional Information	There are other files related to this item in HUSCAP. Check the above URL.
File Information	J. Phycol. 56-3_730-746.pdf

[Instructions for use](#)

ULTRASTRUCTURE AND SYSTEMATICS OF TWO NEW SPECIES OF
DINOFLAGELLATE, *PARAGYMNODINIUM ASYMMETRICUM* SP. NOV. AND
PARAGYMNODINIUM INERME SP. NOV. (GYMNODINIALES, DINOPHYCEAE)¹

Koh Yokouchi

Department of Natural History Sciences, Graduate School of Science, Hokkaido

University, 060-0810 Sapporo, Japan

Kazuya Takahashi

Asian Natural Environmental Science Center, The University of Tokyo, 1-1-1 Yayoi,

Bunkyo, Tokyo 113-8657, Japan

Van Nguyen Nguyen

Research Institute for Marine Fisheries, 222 Le Hai, Hai Phong, Vietnam

Mitsunori Iwataki

Asian Natural Environmental Science Center, The University of Tokyo, 1-1-1 Yayoi,

Bunkyo, Tokyo 113-8657, Japan

19

20

*Takeo Horiguchi*²

21

Department of Biological Sciences, Faculty of Science, Hokkaido University, 060-0810

22

Sapporo, Japan

23

24

²Author for correspondence: e-mail horig@sci.hokudai.ac.jp

25

26

Running title: Two new species of *Paragymnodinium*

27 The genus *Paragymnodinium* currently includes two species, *P. shiwhaense*
28 and *P. stigmaticum* that are characterized by mixotrophic nutrition and the possession of
29 nematocysts. In this study, two new dinoflagellates belonging to this genus were
30 described based on observations using LM, SEM and TEM together with a molecular
31 analysis. Cells of *P. asymmetricum* sp. nov., isolated from Nha Trang beach, Vietnam,
32 were 7.9–12.6 μm long and 4.7–9.0 μm wide. The species showed no evidence of
33 feeding behavior and was able to sustain itself phototrophically. *P. asymmetricum*
34 shared many features with *P. shiwhaense*, including presence of nematocysts, absence of
35 an eyespot and a planktonic lifestyle, but was clearly distinguished by the asymmetric
36 shape of the hyposome, possession of a single chloroplast, and its nutritional mode.
37 Cells of *P. inerme* sp. nov., isolated from Jogashima, Kanagawa Pref, Japan, were 15.3–
38 23.7 μm long and 10.9–19.6 μm wide. This species also showed no evidence of feeding
39 behavior. *P. inerme* was similar to cells of *P. shiwhaense* in shape and planktonic
40 lifestyle, but its nutritional mode was different. The presence of incomplete nematocysts
41 was also a unique feature. A phylogenetic analysis inferred from concatenated SSU and
42 LSU rDNA sequences recovered the two dinoflagellates in a robust clade with
43 *Paragymnodinium* spp., within the clade of *Gymnodinium sensu stricto*. This evidence,
44 together with their morphological similarities, made it reasonable to conclude that these

45 two dinoflagellates are new species of *Paragymnodinium*.

46

47 *Key index words:* chloroplast, flagellar apparatus, *Gymnodinium sensu stricto*,

48 nematocyst, nutritional mode, *Paragymnodinium*, taxonomy

49

50 *Abbreviations:* BBC1-3, basal body connectives 1-3; C1 and 2, connective 1 and 2;

51 DAPI, 4', 6-diamidino-2-phenylindole; LB, longitudinal basal body; ML, maximum

52 likelihood; MSP, microtubular strand of a peduncle; R1-4, root 1-4; SRC, striated root

53 connective; TB, transverse basal body; TMR, transverse microtubular root; TMRE,

54 transverse microtubular root extension; TSR, transverse striated root; TSRM, transverse

55 striated root microtubule; VC, ventral connective

56 The athecate genus *Paragymnodinium* was established by Kang et al. (2010),
57 with a single species, *P. shiwhaense* as the type species. Later, Yokouchi et al. (2018)
58 described another species *P. stigmaticum*. Currently, only these two species of
59 *Paragymnodinium* are known, both of which are marine one, *P. shiwhaense*, planktonic
60 and the other, *P. stigmaticum*, benthic (Kang et al. 2010, Yokouchi et al. 2018). *P.*
61 *stigmaticum* possesses an eyespot, whereas *P. shiwhaense* lacks it (Kang et al. 2010,
62 Yokouchi et al. 2018). Although this genus is robustly included in the clade
63 *Gymnodinium sensu stricto* based on the phylogenetic analysis, both of its species lack
64 the three key characters defining *Gymnodinium*, i.e. a horseshoe-shaped apical groove,
65 nuclear envelope chambers and a nuclear fibrous connective (Daugbjerg et al. 2000,
66 Kang et al. 2010, Yokouchi et al. 2018).

67 Despite the presence of plastids, these two species feed on other prey cells and
68 thus show mixotrophic growth (Yoo et al. 2010, Yokouchi et al. 2018). The mixotrophic
69 nutritional mode is frequently encountered among various eukaryotes, including the
70 dinoflagellates, and it has an important role in aquatic ecosystems (Hansen 2011, Mitra
71 et al. 2016, Stoecker et al. 2017). Mixotrophic dinoflagellates show a variety of
72 strategies to gain nutrients (Hansen 2011), and *P. shiwhaense* is characterized by
73 obligate mixotrophy, where both photosynthesis and phagotrophy are required for its

successful growth (Yoo et al. 2010). Interestingly, there is a clear difference in the feeding mechanism between these two species of *Paragymnodinium*: *P. shiwhaense* uses a peduncle to intake a prey cell (Yoo et al. 2010), while the engulfment of the prey cell in *P. stigmaticum* does not involve a peduncle (Yokouchi et al. 2018).

Paragymnodinium is also characterized by the possession of nematocysts (Kang et al. 2010, Yokouchi et al. 2018). The nematocyst is a kind of extrusome with a complex ultrastructure and has been reported in some other dinoflagellates belonging to the clade *Gymnodinium sensu stricto*, such as *Polykrikos* and *Nematodinium* (Westfall et al. 1983, Gavelis et al. 2017). The nematocysts of *Paragymnodinium* are small and simple relative to those found elsewhere, but the basic structure is the same. Observations of dinoflagellates bearing large nematocysts have shown that nematocysts are used to capture prey cells prior to ingestion (Matsuoka et al. 2000, Lee et al. 2015, Gavelis et al. 2017). In *Paragymnodinium*, this organelle is presumed to function in the same way, although it never has been observed directly (Jeong et al. 2017). In addition, *P. stigmaticum* has been shown to place one of its nematocysts to the tip of the peduncle-like structure (Yokouchi et al. 2018).

Successful cultures of two novel dinoflagellates were established and maintained without the need to add any prey organisms. Differences in feeding

mechanism are already known in *Paragymnodinium*, and now that strictly phototrophic species have also been found, this taxon provides an opportunity to consider the evolutionary pathways of nutritional strategies. Here, the novel dinoflagellates are described as *Paragymnodinium asymmetricum* sp. nov. and *P. inerme* sp. nov., based on observations using LM, SEM and TEM. We demonstrate their phylogenetic affinities based on concatenated sequences of the SSU and LSU rDNA genes and discuss the evolution of nutritional strategies within the genus.

MATERIALS AND METHODS

Paragymnodinium asymmetricum (strain vnd299) was isolated from water samples from Nha Trang beach, Nha Trang, Vietnam (12°14.56'N, 109°11.49'E) on 26 April, 2014. *P. inerme* (strain JGD) was isolated from water samples from Jogashima, Kanagawa, Japan (35°08.02'N, 139°36.41'E) on 19 November, 2017. Isolated cells were kept in Daigo's IMK Medium for Marine Microalgae (Nihon Pharmaceutical Co., Tokyo, Japan). Cultures of *P. asymmetricum* and *P. inerme* were maintained without adding any prey. The established monoclonal cultures were incubated at 20°C, with an illumination of 50 $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$ under 16:8 h light:dark cycle. To observe if

these dinoflagellates show feeding behavior, cells of *Chroomonas* sp. (strain Ak01), *Rhodomonas* sp. (strain Mr06, Cryptophyceae), two strains of *Amphidinium* aff. *carterae* (strains TH006 and HG286), *Ansanella natalensis* (strain CW-19) (Dinophyceae), *Euglena* sp. (strain ST-11) and an unidentified Raphidophyceae (strain HG316) were added to each culture as candidates of prey and were kept several days. The potential prey organisms were chosen based on reports that *A. carterae* is the appropriate prey for *P. shiwhaense* (Yoo et al. 2010), and that *Chroomonas* sp. is added to the culture of *P. stigmaticum* as a prey (Yokouchi et al. 2018).

For LM, cells were observed in differential interference contrast (DIC) with a Zeiss Axioskop 2 Plus microscope (Zeiss Japan, Tokyo, Japan), and images were taken using a Canon EOS Kiss X8i digital camera (Canon, Tokyo, Japan). Chlorophyll autofluorescence was observed using a Zeiss Axioskop 2 Plus microscope with a No. 15 filter set. The nucleus was stained with 4', 6-diamidino-2-phenylindole (DAPI) after fixation in 2.5% glutaraldehyde (final concentration) and the fluorescence was observed using a Zeiss Axioskop 2 Plus microscope with a No. 49 filter set.

For SEM, cells of *P. asymmetricum* were fixed for at least 0.5 h on ice with 1 or 2% (final concentration) OsO₄ in distilled water. Cells of *P. inerme* were fixed for 1.5 h on ice with 2 or 3% (final concentration) OsO₄ in distilled water. Fixed cells were

placed on the membrane filter (pore size = 5 μ m) that was glued on the bottom of a short tube (cut-off proximal part of 1000 μ l blue tip), using a pipette. Membrane filters were washed three times with distilled water. Cells were then dehydrated in an ethanol series (30%, 50%, 70%, 80%, 90%, 95%) for 10 min at each concentration, with two subsequent submersions of 30 min each in 100% ethanol. Dehydrated cells were dried with CO₂ using a critical point drier (Leica EM CPD300, Wetzlar, Germany), sputter coated with gold (Hitachi E-1045 sputter coater), and viewed with a Hitachi S-3000N SEM.

For TEM, cells were fixed using one of two protocols. In the first protocol, cells were fixed in 2.5% glutaraldehyde (final concentrations) in seawater for 1 h, and washed twice in sea water. Cells were post fixed in 1% OsO₄ (final concentrations) in distilled water for 1 h. In the second, cells were fixed in a mixture of 2% glutaraldehyde and 0.5% OsO₄ (final concentrations) in 0.1 M Na-cacodylate buffer, pH 7.4 for 15 or 30 min, and rinsed twice in 0.1 M Na-cacodylate buffer. Cells were post-fixed in 1% OsO₄ (final concentration) in 0.1 M Na-cacodylate buffer, pH 7.4 for 1 h. In both protocols, cells were first attached to the bottom of a polypropylene dish coated with poly-L-lysine. After fixation, cells of both protocols were dehydrated in an acetone series (30%, 50%, 80%, 90%, 95%) for 10 min at each concentration, and submersed

twice, each time for 30 min, in 100% acetone. One hundred percent acetone and Agar Low Viscosity Resin (Agar Scientific, Essex, UK) were mixed in ratios of 3:1, 1:1, and 1:3 and the samples were introduced into each higher resin concentration sequentially for 15 min each. Finally, cells were infiltrated in 100% resin for 30 min, after which they were polymerized at 65°C for 16 h. Samples were sectioned using a diamond knife on an EM-Ultracut S ultramicrotome (Leica Microsystems, Wetzlar, Germany). Sections were placed on formvar-coated one-slot grids and observed with a Hitachi H-7650 TEM.

For extraction of total DNA, several cells were isolated by capillary pipettes, rinsed several times in serial drops of sterilized culture medium and transferred into 10 µl of Quick Extract FFPE RNA Extraction Kit (Epicentre, Wisconsin, USA) to extract DNA according to the manufacturer's protocol. Primers SR1, SR4, SR8TAK, SR9, SR12b and 18SRF were used to amplify SSU rDNA sequences (Nakayama et al. 1996, Takano and Horiguchi 2004, Iritani et al. 2018), and D1RF1, 25R1, D3A and 28-1483R to amplify partial LSU rDNA (Daugbjerg et al. 2000). For SSU rDNA amplification, almost complete gene sequences were obtained using the SR1 and SR12b primers in the first round of PCR, the products of which were used as DNA templates in the second round of PCR. For this, three pairs of primers (SR1-18SRF, SR4-SR12b and

SR8TAK-SR12b) were used for *P. asymmetricum*, and three pairs of primers (SR1-18SRF, SR4-SR9 and SR8TAK-SR12b) were used for *P. inermis*. To obtain partial LSU rDNA sequences for both species, D1RF1 and 28-1483R were applied in the first round of PCR and two pairs of primers (D1RF1-25R1 and D3A-28-1483R) were used in the second round of PCR. The PCR conditions for both rounds of amplification consisted of one initial cycle of denaturation at 94°C for 5 min, followed by 35 cycles (in the second round for LSU rDNA, 25 cycles) of denaturation at 94°C for 30 s, annealing at 55°C for 30 s, and extension at 72°C. The time of the extension step was changed by the length of targeting sequences; 2 min for the first round, 1 min 40 s for the two pairs of primers, SR1-18SRF and SR4-SR12b, and 1 min for other pairs of primers. PCR was completed by a final extension cycle at 72°C for 7 min. Purified PCR products were used in a sequencing reaction with ABI BigDye Terminator (Applied Biosystems, Foster City, California, USA) and subsequently purified with ethanol. The products were eluted in 18 µl of Hi-Di Formamide (Applied Biosystems) and sequenced with a 3130 genetic analyzer (Applied Biosystems).

Both SSU rDNA sequences and partial LSU rDNA sequences were aligned using MUSCLE (Edgar 2004) together with 45 taxa, including *Perkinsus andrewsi* as an outgroup, and the alignments were modified manually using MEGA7 (Kumar et al.

2016). The highly divergent D2 region of LSU rDNA sequences was deleted.

Consequently, 1771 positions of SSU rDNA and 1107 positions of LSU rDNA were aligned. Pairwise distance of the two aligned sequences of four *Paragymnodinium* spp. were calculated using MEGA7 with p-distance model. The two aligned sequences for all taxa were concatenated using Kakusan4 (Tanabe 2011). No significant nucleotide compositional heterogeneity was detected for the combined data set ($P = 0.99792$ using the chi-square test in Kakusan4). The appropriate models of substitution ratio for concatenated rDNA sequences were determined using Kakusan4, and resulted in a separate model for maximum likelihood (ML) analysis and a proportional model for Bayesian analysis. The appropriate models of DNA evolution for each rDNA sequences were determined by AIC for ML analysis and by BIC for Bayesian analysis using Kakusan4, and resulted in the selection of the GTR + Gamma model. The parameters in these analyses for SSU rDNA were: assumed nucleotide frequencies $A = 0.264$, $C = 0.198$, $G = 0.262$ and $T = 0.275$; substitution rate matrix with $A \leftrightarrow C = 1.251914$, $A \leftrightarrow G = 3.199366$, $A \leftrightarrow T = 1.376839$, $C \leftrightarrow G = 0.441724$, $C \leftrightarrow T = 8.534122$ and $G \leftrightarrow T = 1.000000$. The proportion of sites were assumed to follow a gamma distribution with the shape parameter = 0.285333. The parameters for LSU rDNA were: assumed nucleotide frequencies $A = 0.285$, $C = 0.191$, $G = 0.285$ and $T = 0.239$. The

200 substitution rate matrix had A <-> C = 0.651709, A <-> G = 2.112521, A <-> T =
201 0.834059, C <-> G = 0.524352, C <-> T = 5.656149 and G <-> T = 1.000000. The
202 proportion of sites were assumed to follow a gamma distribution with the shape
203 parameter = 0.370529. The ML analysis was performed using the RAxML 8.0.0
204 (Stamatakis 2006). Bootstrap analysis for ML was calculated for 1000 pseudo-replicates.
205 The Bayesian analysis was performed using MrBayes 3.2.6 (Huelsenbeck and Ronquist
206 2001). Markov chain Monte Carlo iterations were carried out until the average standard
207 deviation of split frequency fell below 0.01 (1300000 generations were attained) and
208 trees were sampled every 100 generations. The first 175000 generations were discarded
209 as burn-in. Posterior probabilities were calculated from all post burn-in trees.

210

211 RESULTS

212 *Paragymnodinium asymmetricum* K.Yokouchi, K.Takahashi, Nguyen, Iwataki et

213 T.Horiguchi sp. nov.

214 *Description.* Marine, athecate dinoflagellate. Cells with almost equal-sized episomes

215 and hyposomes, 7.9–12.6 µm long and 4.7–9.0 µm wide. Episome hemispherical or

216 conical. Hyposome asymmetric with larger right side. Cingulum wide and well

217 excavated, descending 1/4 to 1/2 of its own width. Sulcus straight, reaching to, and

218 widening slightly at, the antapex. Sulcal extension-like furrow straight. Eyespot absent.
219 Nucleus spherical, in center of episome. Chloroplast single, mainly in hyposome and
220 with lateral lobes extending into episome. Amphiesmal vesicles arranged in five to
221 seven rows on the episome, in five rows in the cingulum. Nematocysts present.
222 Pyrenoid and pusule not observed. Phototrophic. GenBank accession numbers are
223 LC516501 for 18S rDNA sequence and LC516500 for 28S rDNA sequence.

224 *Holotype*: SEM stub was deposited in the herbarium of the Faculty of Science,
225 Hokkaido University as SAP 115483. Fig. 1, J and K were taken from that stub.

226 *Collection date*: 26 April 2014.

227 *Type locality*: Nha Trang beach, Nha Trang, Vietnam (12°14.56'N,
228 109°11.49'E).

229 *Etymology*: Latin *asymmetricum*, refers to the asymmetric shape of hyposome.

230 *LM and SEM*: Cells small, 7.9–12.6 μm ($9.6 \pm 1.0 \mu\text{m}$, mean $\pm$ SD, $n = 55$)

231 long and 4.7–9.0 μm ($6.9 \pm 1.0 \mu\text{m}$, $n = 55$) wide. Episome and hyposome were almost
232 equal in size (Fig. 1, A and B). Episome was conical (Fig. 1, A and B); hyposome was
233 asymmetric; right side larger than left side (Fig. 1, A and B). Cingulum was wide, well
234 excavated and descended by a distance one quarter to a half its own width (Fig. 1, A and
235 B). Sulcus was straight and widened slightly before reaching the antapex (Fig. 1A).

Eyespot was not observed. A straight sulcal extension-like furrow (SEF, *sensu* Kang et al. 2010) ran from the right end of the cingulum toward the apex (Fig. 1A). Chloroplast was single and yellow-brown (Fig. 1C), mainly occupying posterior area of hyposome, but with lateral lobes extending anteriorly into episome but not reaching the apex (Fig. 1, C and D). Nucleus was located in the central area of episome (Fig. 1, B, C and E). DAPI staining confirmed the single nucleus occupied almost the entire episome (cf. Fig. 1, C and E). The motile cell was planktonic and free-swimming. Cells encysted during the dark period. Cysts were spherical and covered with a wall (Fig. 1F). The organism grew in complete isolation from other eukaryotes and did not show feeding behavior when co-cultured with potential prey organisms.

SEM observations showed cells were covered by small polygonal amphiesmal vesicles (AVs) (Fig. 2, G-O). These AVs in the episome were arranged in anything from 5-7 lateral rows (Fig. 1, G-L). Such variation was not observed in the cingulum and the sulcus. The AVs in the cingulum were arranged in 5 rows (Fig. 1J). The sulcus was deeply incised but the exact boundary of sulcus with the remainder of the cell was not sharply defined (Fig. 1, G, J and M). The SEF was less incised than the sulcus and consisted of nine elongate AVs (Fig. 1, G, H, K, L, N and O). The hyposome was also covered with AVs arranged in approximately 4 lateral rows, but the exact number was

difficult to ascertain because of its asymmetric shape (Fig. 1M).

TEM: The positioning and morphology of the nucleus and chloroplast in motile cells were confirmed in thin-sectioned material (Fig. 2A). The nucleus was a typical dinokaryon with condensed chromosomes, and occupied most of the episome (Fig. 2B). It was surrounded by numerous mitochondria (Fig. 2B). The nuclear envelope possessed nuclear pores but lacked nuclear envelope chambers (Fig. 2C). Trichocysts were typical for dinoflagellates and were peripherally arranged (Fig. 2, D and E). Cells were covered by a typical amphiesma, the vesicles of which had no thecal plates or other plate-like structures (Fig. 2F). A microtubular strand of a peduncle (MSP) ran from the right side of the flagellar apparatus (Fig. 2, G-J). There were some electron-opaque vesicles near the MSP (Fig. 2, G-J). Chloroplast was surrounded by three membranes. The posterior mass contained condensed thylakoids (Fig. 3A), most of which were double stacked, and the distance between adjacent thylakoid bands was approximately 6–10 nm (Fig. 3B). On the other hand, the lateral lobes contained double or triple stacked thylakoid bands, and the distance between bands was relatively greater and more variable (Fig. 3, C and D). The boundary between the more condensed thylakoids of the posterior mass and the less condensed thylakoids of the lateral lobes was obvious (Fig. 3E).

Cells each contained at most four nematocysts (Fig. 4). Each nematocyst was

composed of an oval posterior body and an anterior operculum. The posterior body was covered by a capsule and a posterior chamber, and contained a fibrous strand. The anterior region of the posterior body was occupied by an anterior chamber with a stylet (*sensu* Westfall et al. 1983). A central filament-like structure was observed in the central axis of the fibrous strand (Fig. 4B), but could not be resolved in the transverse serial sections (Fig. 4, J-L). The length and width of nematocysts were approximately 0.8 μ m and 0.5 μ m, respectively. Taeniocysts and posterior vacuoles were not observed.

The flagellar apparatus of *P. asymmetricum* was re-constructed (Fig. 5) from serial sections (Figs. S1 and S2). The transverse basal body (TB) and the longitudinal basal body (LB) were connected, at an oblique angle of about 150° to one another, by a basal body connective (BBC) (Figs. S1, F-H; and S2C). Root 1 (R1) consisted of 12 microtubules and was inserted on the dorsal side of LB (Figs. S1, A-I; and S2, D-H). R1 and LB were linked by the connective C1 (Figs. S1E; and S2D). Root 3 (R3) was comprised of a transverse microtubular root (TMR) and a transverse microtubular root extension (TMRE) (Figs. S1, C-J; and S2, A, B, K and L). TMR was a single microtubular root and inserted on the right side of TB (Figs. S1, D-F; and S2, A and K). The TMRE consisted of six microtubules nucleated by the TMR (Figs. S1, C-J; and S2, A, B, K and L). Root 4 (R4), comprising a transverse striated root (TSR) and TSR

microtubule (TSRM), was inserted on the left side of the TB (Figs. S1, G-L; and S2, D-J). R1 and R4 were linked by a striated root connective (SRC) (Figs. S1, H and I; and S2, E-G and J). Despite our observations of the flagellar apparatus being made from 5 different cells, the expected root 2 and a nuclear fibrous connective were not observed.

Paragymnodinium inerme K.Yokouchi, K.Takahashi, Nguyen, Iwataki et T.Horiguchi
sp. nov.

Description. Marine, athecate dinoflagellate. Cells with almost equally-sized episomes and hyposomes, 15.3–23.7 μm long and 10.9–19.6 μm wide. Episome hemispherical or conical and hyposome hemispherical. Cingulum wide and well excavated, descending 1/2 to once its own width. Sulcus straight, reaching to, and widening slightly at, the antapex. Sulcal extension-like furrow slightly curved. Eyespot absent. Nucleus spherical, in the center of the dorsal side of cell. 20-30 chloroplasts, some of which are connected by narrow bridges. Amphiesmal vesicles arranged in 19 or 20 lateral rows (eight or nine rows to the episome, five rows to the cingulum, and six rows to the hyposome).

Nematocysts rare and, if present, abnormal. Pyrenoid and pusule not observed.

Phototrophic. GenBank accession numbers are LC516503 for 18S rDNA sequence and LC516502 for 28S rDNA sequence.

308 *Holotype*: SEM stub was deposited in the herbarium of the Faculty of Science,
309 Hokkaido University as SAP 115484. Fig. 6, L and M were taken from that stub.

310 *Collection date*: 19 November 2017.

311 *Type locality*: Jogashima, Kanagawa, Japan (35°08.02'N, 139°36.41'E).

312 *Etymology*: Latin *inermis*, (= unarmed) refers to absence of nematocyst.

313 *LM and SEM*: Cells were 15.3–23.7 μm ($19.4 \pm 2.0 \mu\text{m}$, mean $\pm$ SD, n = 28)
314 long and 10.9–19.6 μm ($14.9 \pm 2.1 \mu\text{m}$, n = 28) wide. Episome and hyposome were
315 almost equal in size (Fig. 6, A and B). Episome was conical to hemispherical, and the
316 hyposome was hemispherical (Fig. 6, A and B). Cingulum was wide, well excavated and
317 descended by a distance half to equal of its own width (Fig. 6, A and B). Sulcus was
318 straight and widened slightly before reaching the antapex (Fig. 6A). No eyespot was
319 observed. A slightly curved sulcal extension-like furrow (SEF) ran from the right end of
320 the cingulum toward the apex (Fig. 6A). Chloroplasts were yellow brown and
321 distributed throughout the cell (Fig. 6A-D). Analysis of autofluorescence images
322 demonstrated the presence of multiple chloroplasts in each cell (Fig. 6, C and D). The
323 nucleus was central on the dorsal side of the cell (Fig. 6, B, E and F). DAPI staining
324 showed a single nucleus in the central or dorsal of cell (Fig. 6, E and F). The motile cell
325 was planktonic and free-swimming. Cells encysted during the dark period. Shape of the

cysts was similar to that of motile cells but each was covered with a wall. Cell division took place during the walled cyst stage (Fig. 6G). Some motile daughters released from germinating cells remained connected at their ventral surfaces (Fig. 6H). Cultures of this species grew in the absence of other eukaryotes and did not show feeding behavior when grown together with selected strains of other organisms.

SEM observations showed cells covered by small polygonal amphiesmal vesicles (AVs) (Fig. 6, I-O). AVs were arranged in 19 or 20 lateral rows, i.e. eight or nine rows to the episome, five rows to the cingulum, and six rows to the hyposome (Fig. 6, J-M). The SEF was slightly incised and consisted of nine AVs (Fig. 6, N and O). The sulcal AVs can be distinguished from surrounding ones, but the absolute number could not be determined (Fig. 6, I-K and M). Cells with doubled flagella were common in culture (Fig. 6L and M).

TEM: Positioning and morphology of the organelles in motile cells were confirmed in thin-sectioned material (Fig. 7A). The nucleus was a typical dinokaryon with condensed chromosomes (Fig. 7B) and a nuclear envelope interrupted by nuclear pores but lacking nuclear envelope chambers (Fig. 7C). Trichocysts were typical for dinoflagellates and were peripheral (Fig. 7, D and E). Cells were covered by a typical amphiesma (Fig. 7F), the vesicles of which had no thecal plates or other plate-like

structures (Fig. 7F). A microtubular strand of the peduncle ran from the right side of the flagellar apparatus (Fig. 7, G-J), but electron-opaque vesicles in its vicinity were not observed (Fig. 7, G-J). The cell contained approximately 20-30 oval chloroplast masses (Fig. 8A). Chloroplasts were surrounded by three membranes (Fig. 8B) and contained multiple thylakoids forming double- or triple-stacked thylakoid lamellae (Fig. 8C) that were evenly distributed throughout all chloroplast masses. Some of these masses were interconnected by narrow bridges (Fig. 8, D-F), making the actual number of chloroplasts fewer than apparent. Serial sections through two whole cells of *P. inermis*, revealed that one had only three chloroplasts while the other had 15 (Video S1).

Cells rarely contained nematocysts (Fig. 9): only three of 15 entire cells investigated by serial sectioning were found to have them. Where present, the anterior operculum was almost completely collapsed, leaving the organelles composed solely of the oval posterior bodies. Each posterior body consisted of an anterior chamber and a capsule-covered, posterior chamber, containing multiple (approximately three) fibrous strands. A stylet was not observed.

The flagellar apparatus of *P. inermis* was re-constructed (Fig. 10) from serial sections (Figs. S3 and S4). The transverse basal body (TB) and the longitudinal basal body (LB) were held at an oblique angle of about 150° relative to one another by three

basal body connectives (BBC1-3) (Fig. S4, E-H). Root 1 (R1) consisted of 18 microtubules and was inserted on the dorsal side of the LB (Figs. S3, A-F; and S4, A-D). R1 and the LB were linked by two connectives, C1 and C2 (Fig. S3, C and D). Root 3 (R3) was comprised of a transverse microtubular root (TMR) and a transverse microtubular root extension (TMRE) (Figs. S3, H-L; and S4, I-K). The TMR was comprised of a single microtubule inserted on the right side of the TB (Figs. S3, H-L; and S4, I-K). The TMRE consisted of several (presumably less than 10) microtubules nucleated by the TMR, but the precise number could not be determined (Figs. S3, K and L; and S4, J and K). Root 4 (R4), comprising a transverse striated root (TSR) and a TSR microtubule (TSRM), was inserted on the left side of the TB (Figs. S3, H-L; and S4, E-H). R1 and R4 were linked by a striated root connective (SRC) (Figs. S3, G and H; and S4, D and E). Root 2 and a nuclear fibrous connective were not observed in any serial sections through the flagellar apparatus of eight different cells.

Phylogenetic analysis. The topologies resulting from ML and Bayesian analyses were only slightly different, and only the ML tree is shown (Fig. 11). Both strains studied here were included in the clade *Gymnodinium sensu stricto*, and formed a robust clade with *Paragymnodinium* spp. Within the *Paragymnodinium* clade, *P. inerme* was shown to be sister to *P. shiwhaense* (Table 1), and *P. asymmetricum* was

sister to the *P. shiwhaense*/*P. inerme* clade with high support. *P. stigmaticum* was sister to the *P. shiwhaense*/*P. inerme*/*P. asymmetricum* clade. Although the *Paragymnodinium* clade was basal in the *Gymnodinium sensu stricto* clade in both the ML and Bayesian analyses, its position did not enjoy convincing support. Species with nematocysts were restricted to some members of the clade *Gymnodinium sensu stricto*, notably *Polykrikos*, *Nematodinium*, *Gyrodiniellum* and *Paragymnodinium* (denoted by stars in Fig. 11), but the character of possession of nematocysts was not monophyletic.

DISCUSSION

Taxonomy. *Paragymnodinium asymmetricum* has characteristics shared by other species of the genus *Paragymnodinium*, such as the possession of nematocysts, polygonal amphiesmal vesicles and a SEF (Kang et al. 2010, Yokouchi et al. 2018). It is more affiliated with *P. shiwhaense* than with *P. stigmaticum* in that it lacks an eyespot, has double- or triple-stacked thylakoid lamellae and a planktonic lifestyle. This relationship is supported by the topology of the molecular tree. On the other hand, *P. asymmetricum* is clearly distinguished from *P. shiwhaense* by the cell size, the asymmetric shape of hyposome (larger right than left side) and the anterior position of the nucleus rather than central or dorsal position seen in *P. shiwhaense* (Kang et al.

2010). The SEF of *P. asymmetricum* is straight as opposed to the curved equivalent in other members of the genus (Kang et al. 2010, Yokouchi et al. 2018). It also shows variation in the number of AV rows of its episome. Intraspecific variation of AVs is seen in some other dinoflagellates (e.g. Pandeirada et al. 2014), but has not been reported in the genus *Paragymnodinium*. If the number of AVs is mutable, this morphological character is not appropriate as a taxonomic criterion. In addition, *P. asymmetricum* can be distinguished from the mixotrophic *P. shiwhaense* (Yoo et al. 2010) because it shows no evidence of feeding behavior and can sustain itself phototrophically. DAPI staining shows that DNA is focused in one area (the nucleus) without subsidiary satellite fluorescence as would be expected had ingested bacteria. In addition to this, no intracellular bacteria were ever observed by TEM. It is conceded that *P. asymmetricum* has the potential to be mixotrophic because it retains structures related to feeding behavior, such as a peduncle and nematocysts. However, it is clearly not an obligate mixotroph that requires both feeding and photosynthesis as is the case for *P. shiwhaense* (Yoo et al. 2010).

Asymmetry of the hyposome, as seen in *P. asymmetricum*, is rare in athecate dinoflagellates. The hyposome of some species of the genus *Gyrodinium*, such as *G. dominans*, are similarly asymmetric, but *P. asymmetricum* is clearly not a member of

this genus because it does not have longitudinal striations, and it is not heterotrophic (Hoppenrath et al. 2014). The phylogenetic analysis also recovered *Gyrodinium* spp. in a distantly-related clade to that of *Paragymnodinium* spp. Therefore, *P. asymmetricum* can be distinguished from any other dinoflagellates described to date, and we conclude that this dinoflagellate is a new species.

Paragymnodinium inerme is similar to *P. shiwhaense* in shape, and in the possession of polygonal AVs, a slightly curved SEF, a planktonic lifestyle and the absence of an eyespot. Although the number of AVs of the two species is different, the arrangement of AVs within the SEF is the same (Kang et al. 2010). The genetic distance between these two species is also small. However, the nutritional strategy of *P. inerme* differs from that of *P. shiwhaense*: *P. inerme* can grow without any supplementation to phototrophy and does not feed when provided with cells of *Amphidinium* aff. *carterae* despite the fact that *A. carterae* was identified as the most appropriate prey for *P. shiwhaense* (Yoo et al. 2010). In addition, although we also provided unicellular algae belonging to different classes as possible prey, *P. inerme* did not feed any of these algal cells. DAPI staining and TEM observations showed no evidence of ingested bacteria in *P. inerme*. The abnormality or degeneration of nematocysts in *P. inerme* is also a clear difference from *P. shiwhaense* and in *P. inerme* there is no evidence of the plate-like

structures that found in the amphiesmal vesicles of *P. shiwhaense* (Kang et al. 2010). The presence of a transverse microtubular root extension (TMRE) of R3 and of the ventral connective (VC) in the flagellar apparatus of *P. inerme* also represent differences from *P. shiwhaense* (Kang et al. 2010). While it is conceded that the TMRE and VC might have been overlooked in *P. shiwhaense* (see below), there are a suite of clear morphological differences between *P. inerme* and *P. shiwhaense*, despite their close phylogenetic relationship, and the two organisms can be regarded as different species.

There are some dinoflagellates which morphologically resemble *P. inerme*. *Aureodinium pigmentosum* is similar in size and shape to *P. inerme*, but has pyrenoids (Dodge 1967, 1982), which are lacking in *P. inerme*. *Gymnodinium incertum* is also similar, but the SEF or apical groove-like structure has not been described in this species (Dodge 1982). *Gymnodinium pygmaeum* is also similar in size and has a furrow in its episome, but this species is rounder than *P. inerme*, and both the apex and antapex are notched, so it is distinguishable from *P. inerme* (Dodge 1982, Hansen and Larsen 1992). Therefore, *P. inerme* can be distinguished from any other morphologically similar species described to date, and we conclude that this dinoflagellate is a new species.

Chloroplasts and nutritional mode. The chloroplast of *Paragymnodinium*

asymmetricum is single, unlike the multiple chloroplasts seen in other *Paragymnodinium* spp. (Kang et al. 2010, Yokouchi et al. 2018, this study). In addition, it is composed of two distinctive parts; an ‘antapical mass’ and anterior ‘lateral lobes.’ The antapical mass in the hyposome contains densely-stacked, double thylakoids resembling the grana-like thylakoids seen in some dinoflagellates such as *Ansanella granifera* (Jeong et al. 2014) or *Dactylocladus pterobellus* (Takahashi et al. 2017). However, the double-stacked thylakoids of this region of the chloroplast of *P. asymmetricum* are not attached to each other. Thus, the thylakoids cannot be likened to a true granum, but are rather a tighter packing of the thylakoid lamellae relative to the lateral lobes, which are an extension of the antapical mass. The variability in the numbers (two or three) of thylakoids stacked together, and in the packing density of these stacks, in different regions of a chloroplast has not been reported in any other dinoflagellates. *P. inerme* also has double- or triple-stacked thylakoids but there is no difference in its packing density or stacking thylakoid number by region of the chloroplast. In addition, *P. inerme* contains numerous oval masses of chloroplasts, which is similar to the condition in *P. shiwaense* (Kang et al. 2010). However, some of these masses are directly connected to each other by thin bridges.

In the genus *Paragymnodinium*, mixotrophy is only recognized in *P.*

470 *shiwhaense* and *P. stigmaticum*. The two new species, *P. asymmetricum* and *P. inerme*,
471 do not show phagotrophy and thus are entirely phototrophic, rather than mixotrophic.
472 Interestingly, the close phylogenetic relationship between *P. shiwhaense* and *P. inerme*,
473 is not reflected in their nutritional mode and thus, the diversification of nutritional mode
474 is thought to have occurred quite recently. The evolution pattern of nutritional mode can
475 be explained by two hypotheses. (1) The common ancestor of this clade was
476 phototrophic, and *P. shiwhaense* and *P. stigmaticum* has acquired mixotrophic strategy
477 independently. This hypothesis is parsimonious on the nutritional mode, but cannot
478 explain why the phototrophic species possess some structures related to feeding, such as
479 nematocysts and a peduncle. (2) The common ancestor of this clade had a mixotrophic
480 strategy, and *P. asymmetricum* and *P. inerme* lost phagotrophic capability independently.
481 Based on this hypothesis, the abnormal nematocyst in *P. inerme* (discussed below) is
482 thought to represent a degeneration of the organelle as a result of the loss of the
483 requirement for phagotrophy. To determine which of these hypotheses is correct, the
484 nutritional mode of the common ancestor of the genus *Paragymnodinium* needs to be
485 estimated, and thus, the symplesiomorphic character among these species and the
486 closest related taxa should be confirmed, however, this requires improved statistical
487 support of the entire topology of the phylogenetic tree for the clade *Gymnodinium sensu*

488 *stricto*.

489 *Nematocysts*. Some dinoflagellates included in the clade *Gymnodinium sensu*
490 *stricto*, e.g. polykrikoids, warnowiids, *Gyrodiniellum* and *Paragymnodinium* contain
491 nematocysts (Marshall 1925, Westfall et al. 1983, Greuet 1987, Hoppenrath and
492 Leander 2007a, b, Hoppenrath et al. 2009, 2010, Kang et al. 2010, 2011, Yokouchi et al.
493 2018). The nematocyst-bearing taxa did not form a clade in our phylogenetic analysis,
494 indicating the multiple gain or loss of nematocyst in this clade. However, since the
495 topology is not supported well, it is difficult to discuss how the nematocysts have
496 evolved within the *Gymnodinium sensu stricto* clade. The nematocyst is thought to be
497 used to capture prey cells prior to ingestion, as observed in the relatively large
498 nematocyst-bearing dinoflagellates, such as *Polykrikos* and *Nematodinium* (Matsuoka et
499 al. 2000, Lee et al. 2015, Gavelis et al. 2017). This is also the case with
500 *Paragymnodinium* despite the lack of direct evidence (Jeong et al. 2017).
501 *Paragymnodinium asymmetricum* contains multiple nematocysts with basically the
502 same structure as those of other *Paragymnodinium* spp. apart from their relatively small
503 size (Kang et al. 2010, Yokouchi et al. 2018). However, we were unable to demonstrate
504 phagotrophy in *P. asymmetricum*. Thus, the function of this organelle remains elusive.
505 The ultrastructure of the nematocysts of *Paragymnodinium inerme* is abnormal

506 and has never been observed before in any other dinoflagellates. The nematocyst is rare
507 in this species (only found in three of 15 entire cells that were serially sectioned and in
508 none of the other random sections observed). It is possible that the abnormality of
509 nematocyst shows its developing stage seen in other nematocyst bearing dinoflagellates
510 (Gavelis et al. 2017), or is a result of external factors, such as poor fixation, but the
511 larger number of fibrous strands relative to the single fibrous strand of nematocysts in
512 other *Paragymnodinium* spp. could be incurred by such factors (Kang et al. 2010,
513 Yokouchi et al. 2018, this study). Therefore, the ultrastructure of the nematocyst of *P.*
514 *inerme* is clearly different to those of other *Paragymnodinium* spp. A paucity of
515 nematocysts is also unique to the genus *Paragymnodinium*. The original description of *P.*
516 *shiwhaense* by Kang et al. (2010) does not mention the number of nematocysts per cell,
517 but at least 6 nematocysts can be identified in a single TEM image (figs 73-75 in Kang
518 et al. 2010). While the degree of nematocyst production may be influenced by nutrition,
519 especially the presence/absence of prey, this is unlikely because a cell of *P.*
520 *asymmetricum* contains numerous nematocysts under the same culture conditions as *P.*
521 *inerme*. If nematocysts are commonly used by *Paragymnodinium* spp. to capture prey
522 cells, it is reasonable to assume that there is some link between the reduction of
523 nematocysts and the loss of phagotrophy in *P. inerme*. However, as mentioned above,

the function of nematocysts in this genus still requires definitive evidence. To confirm the role of nematocysts, more direct observation of the behavior of nematocyst-bearing species is needed.

Flagellar apparatus. The flagellar apparatuses of *Paragymnodinium asymmetricum* and *P. inerme* share basic features with the other known species of the genus, although the number of microtubules comprising R1 is variable (Kang et al. 2010, Yokouchi et al. 2018). The absence of the nuclear fibrous connective (NFC), one of the key characters of the genus *Gymnodinium* (Daugbjerg et al. 2000), is also common to all the species of *Paragymnodinium*. However, the two new species of *Paragymnodinium* have a ventral connective (VC) linking R1 to the plasma membrane, which has not been reported before for *Paragymnodinium*. The VC is often observed in other dinoflagellates (e.g. Iwataki et al. 2010). In the original description of *P. shiwhaense* and *P. stigmaticum*, an elongate object can be seen near the R1 (Fig. 32 in Kang et al. 2010, Fig. 39 in Yokouchi et al. 2018). That of *P. stigmaticum* in particular is quite similar to the VC of *P. asymmetricum* and *P. inerme*, although its direction differs. Therefore, it is possible that the presence of a VC has been overlooked in *P. shiwhaense* and *P. stigmaticum*. In addition, *P. asymmetricum* and *P. inerme* contain a TMRE nucleating from the TMR. The TMRE is also reported in *P. stigmaticum*, but not in *P. shiwhaense*

(Kang et al. 2010, Yokouchi et al. 2018). It is possible that the TMRE of *P. shiwhaense* was overlooked due to its small size, as mentioned by Yokouchi et al. (2018). The number of microtubules comprising the TMRE in this group is small relative to other dinoflagellates, such as *Gymnodinium fuscum* (Hansen et al. 2000) which have numerous microtubules. There are six in *P. asymmetricum*, less than 10 in *P. inerme*, and 4 in *P. stigmaticum* (Yokouchi et al. 2018, this study).

The authors wish to thank Dr. Stuart D. Sym for reading the manuscript. We also express our thanks to Dr. Kevin Wakeman for his technical advice. Strains Ak01 and Mr06 were provided by Dr. Ryo Onuma, and strains TH006 was provided by Mr. Hirono Tsuchida, all used as prey candidates. Critical point drying was performed at the Electron Microscope Laboratory, Research Faculty of Agriculture, Hokkaido University. This work was supported by Grant-in-Aid for JSPS Fellows Grant Number JP19J20893. The authors declare no conflict of interest.

Daugbjerg, N., Hansen, G., Larsen, J. & Moestrup, Ø. 2000. Phylogeny of some of the major genera of dinoflagellates based on ultrastructure and partial LSU rDNA sequence data, including the erection of three new genera of unarmoured dinoflagellates.

560 *Phycologia* 39:302–17.

561 Dodge, J. D. 1967. Fine structure of the dinoflagellate *Aureodinium pigmentosum* gen.
562 et sp. nov. *Br. Phycol. Bull.* 3:327–36.

563 Dodge J. D. 1982. *Marine dinoflagellates of the British Isles*. Her Majesty's Stationery
564 Office, London. 303 pp.

565 Edgar, R. C. 2004. MUSCLE: a multiple sequence alignment method with reduced time
566 and space complexity. *BMC Bioinformatics* 5:113.

567 Gavelis, G. S., Wakeman, K. C., Tillmann, U., Ripken, C., Mitarai, S., Herranz, M.,
568 Özbek, S., Holstein, T., Keeling, P. J. & Leander, B. S. 2017. Microbial arms race:
569 Ballistic “nematocysts” in dinoflagellates represent a new extreme in organelle
570 complexity. *Sci. Adv.* 3: 1–7.

571 Greuet, C. 1987. Complex organelles. In Taylor, F. J. R. [Eds.] *The biology of*
572 *dinoflagellates*. Blackwell Science, Oxford, UK. pp. 119–42.

573 Hansen, G. & Larsen, J. 1992. Dinoflagellater i danske farvande. In Thomsen, H. A.
574 [Eds.] *Plankton i de indre danske farvande*. Havforskning fra Miljøstyrelsen,
575 Copenhagen. pp. 45–155.

576 Hansen, G., Moestrup, Ø. & Roberts, K. R. 2000. Light and electron microscopical
577 observations on the type species of *Gymnodinium*, *G. fuscum* (Dinophyceae).

578 *Phycologia* 39:365–76.

579 Hansen, P. J. 2011. The role of photosynthesis and food uptake for the growth of marine
580 mixotrophic dinoflagellates. *J. Eukaryot. Microbiol.* 58:203–14.

581 Hoppenrath, M. & Leander, B. S. 2007a. Character evolution in polykrikoid
582 dinoflagellates. *J. Phycol.* 43:366–77.

583 Hoppenrath, M. & Leander, B. S. 2007b. Morphology and phylogeny of the
584 pseudocolonial dinoflagellates *Polykrikos lebourae* and *Polykrikos herdmanae* n. sp.
585 *Protist* 158:209–27.

586 Hoppenrath, M., Bachvaroff, T. R., Handy, S. M., Delwiche, C. F. & Leander, B. S.
587 2009. Molecular phylogeny of ocelloid-bearing dinoflagellates (Warnowiaceae) as
588 inferred from SSU and LSU rDNA sequences. *BMC Evol. Biol.* 9:116.

589 Hoppenrath, M., Yubuki, N., Bachvaroff, T. R. & Leander, B. S. 2010. Re-classification
590 of *Pheopolykrikos hartmannii* as *Polykrikos* (Dinophyceae) based partly on the
591 ultrastructure of complex extrusomes. *Eur. J. Protistol.* 46:29–37.

592 Hoppenrath, M., Murray, S. A., Chomérat, N. & Horiguchi, T. 2014. *Marine benthic*
593 *dinoflagellates – unveiling their worldwide biodiversity*. Schweizerbart, Stuttgart,
594 Germany. 276 pp.

595 Huelsenbeck, J. P. & Ronquist, F. 2001. MRBAYES: Bayesian inference of

596 phylogenetic trees. *Bioinformatics* 17:754–5.
 597 Iritani, D., Horiguchi, T & Wakeman, K. 2018. Molecular phylogenetic positions and
 598 ultrastructure of marine gregarines (Apicomplexa) *Cuspidella ishkariensis* n. gen., n. sp.
 599 and *Loxomorpha* cf. *harmothoe* from western pacific scaleworms (Polynoidae). *J.*
 600 *Eukaryot. Microbiol.* 65:637–47.
 601 Iwataki, M., Hansen, G., Moestrup, Ø. & Matsuoka, K. 2010. Ultrastructure of the
 602 harmful unarmored dinoflagellate *Cochlodinium polykrikoides* (Dinophyceae) with
 603 reference to the apical groove and flagellar apparatus. *J. Eukaryot. Microbiol.* 57:308–
 604 21.
 605 Jeong, H. J., Jang, S. H., Moestrup, Ø., Kang, N. S., Lee, S. Y., Potvin, É. & Noh, J. H.
 606 2014. *Ansanella granifera* gen. et sp. nov. (Dinophyceae), a new dinoflagellate from the
 607 coastal waters of Korea. *Algae* 29:75–99.
 608 Jeong, H. J., Kim, J. S., Lee, K. H., Seong, K. A., Yoo, Y. D., Kang, N. S., Kim, T. H.,
 609 Song, J. Y. & Kwon, J. E. 2017. Differential interactions between the
 610 nematocyst-bearing mixotrophic dinoflagellate *Paragymnodinium shiwhaense* and
 611 common heterotrophic protists and copepods: killer or prey. *Harmful Algae* 62:37–51.
 612 Kang, N. S., Jeong, H. J., Moestrup, Ø., Shin, W., Nam, S. W., Park, J. Y., de Salas, M.
 613 F., Kim, K. W. & Noh, J. H. 2010. Description of a new planktonic mixotrophic

614 dinoflagellate *Paragymnodinium shiwhaense* n. gen., n. sp. from the coastal waters off
615 western Korea: morphology, pigments, and ribosomal DNA gene sequence. *J. Eukaryot.*
616 *Microbiol.* 57:121–44.

617 Kang, N. S., Jeong, H. J., Moestrup, Ø. & Park, T. G. 2011. *Gyrodiniellum shiwhaense* n.
618 gen., n. sp., a new planktonic heterotrophic dinoflagellate from the coastal waters of
619 western Korea: morphology and ribosomal DNA gene sequence. *J. Eukaryot. Microbiol.*
620 58:284–309.

621 Kumar, S., Stecher, G. & Tamura, K. 2016. MEGA7: Molecular evolutionary genetics
622 analysis version 7.0 for bigger datasets. *Mol. Biol. Evol.* 33:1870–74.

623 Marshall, S. M. 1925. On *Proterothropsis vigilans*, n. sp. *Q. J. Microsc. Sci.* 69:177–84.

624 Lee, M. J., Jeong, H. J., Lee, K. H., Jang, S. H., Kim, J. H. & Kim, K. Y. 2015.
625 Mixotrophy in the nematocyst-taeniocyst complex-bearing phototrophic dinoflagellate
626 *Polykrikos hartmannii*. *Harmful Algae* 49:124–34.

627 Matsuoka, K., Cho, H. & Jacobson, D. M. 2000. Observations of the feeding behavior
628 and growth rates of the heterotrophic dinoflagellate *Polykrikos kofoidii* (Polykrikaceae,
629 Dinophyceae). *Phycologia* 39:82–6.

630 Mitra, A., Flynn, K. J., Tillmann, U., Raven, J. A., Caron, D., Stoecker, D. K., Not, F.,
631 Hansen, P. J., Hallegraeff, G., Sanders, R., Wilken, S., McManus, G., Johnson, M., Pitta,

632 P., Våge, S., Berge, T., Calbet, A., Thingstad, F., Jeong, H. J., Burkholder, J. A., Glibert,
633 P. M., Granéli, E. & Lundgren, V. 2016. Defining planktonic protist functional groups
634 on mechanisms for energy and nutrient acquisition: Incorporation of diverse
635 mixotrophic strategies. *Protist* 167:106–20.

636 Nakayama, T., Watanabe, S., Mitsui, K., Uchida, H. & Inouye, I. 1996. The
637 phylogenetic relationship between the Chlamydomonadales and Chlorococcales inferred
638 from 18S rDNA sequence data. *Phycol. Res.* 44:47–55.

639 Pandeirada, M. S., Craveiro, S. C., Daugbjerg, N., Moestrup, Ø. & Calado, A. J. 2014.
640 Studies on woloszynskioid dinoflagellates VI: description of *Tovellia aveirensis* sp. nov.
641 (Dinophyceae), a new species of Tovelliaceae with spiny cysts. *Eur. J. Phycol.* 49:230–
642 43.

643 Stamatakis, A. 2006. RAxML-VI-HPC: maximum likelihood-based phylogenetic
644 analyses with thousands of taxa and mixed models. *Bioinformatics* 22:2688–90.

645 Stoecker, D. K., Hansen, P. J., Caron, D. A. & Mitra, A. 2017. Mixotrophy in the marine
646 plankton. *Annu. Rev. Mar. Sci.* 9:311–35.

647 Takahashi, K., Moestrup, Ø., Wada, M., Ishimatsu, A., Nguyen, V. N., Fukuyo, Y. &
648 Iwataki, M. 2017. *Dactylodinium pterobelotum* gen. et sp. nov., a new marine
649 woloszynskioid dinoflagellate positioned between the two families Borghiellaceae and

650 Suessiaceae. *J. Phycol.* 53:1223–40.

651 Takano, Y. & Horiguchi, T. 2004. Surface ultrastructure and molecular phylogenetics of
 652 four unarmoured heterotrophic dinoflagellates, including the type species of the genus
 653 *Gyrodinium* (Dinophyceae). *Phycol. Res.* 52:107–16.

654 Tanabe, A. S. 2011. Kakusan4 and Aminosan: two programs for comparing
 655 nonpartitioned, proportional and separate models for combined molecular phylogenetic
 656 analyses of multilocus sequence data. *Mol. Ecol. Resour.* 11:914–21.

657 Westfall, J. A., Bradbury, P. C. & Townsend, J. W. 1983. Ultrastructure of the
 658 dinoflagellate *Polykrikos*. I. Development of the nematocyst-taeniocyst complex and
 659 morphology of the site for extrusion. *J. Cell Sci.* 63:245–61.

660 Yokouchi, K., Onuma, R. & Horiguchi, T. 2018. Ultrastructure and phylogeny of a new
 661 species of mixotrophic dinoflagellate, *Paragymnodinium stigmaticum* sp. nov.
 662 (Gymnodiniales, Dinophyceae). *Phycologia* 57:539–54.

663 Yoo, Y. D., Jeong, H. J., Kang, N. S., Song, J. Y., Kim, K. Y., Lee, G. & Kim, J. H. 2010.
 664 Feeding by the newly described mixotrophic dinoflagellate *Paragymnodinium*
 665 *shiwhaense*: feeding mechanism, prey species, and effect of prey concentration. *J.*
 666 *Eukaryot. Microbiol.* 57:145–58.

667 FIG. 1. (A-F) Differential interference contrast (DIC) and fluorescence light
668 micrographs of *Paragymnodinium asymmetricum* sp. nov. Scale bars = 5 μ m. Ch,
669 chloroplast; Ci, cingulum; Nu, nucleus; SEF, sulcal extension-like furrow; Su, sulcus.
670 (A) Ventral view. (B) Dorsal view. (C-E) Same cell showing DIC morphology (C),
671 autofluorescence of chloroplasts (D) and nucleus stained by DAPI (E). (F) Cyst with
672 outer wall (arrowheads). (G-O) Scanning electron micrographs of *Paragymnodinium*
673 *asymmetricum* sp. nov., showing arrangement of polygonal amphiesmal vesicles (AVs)
674 on cell surface. Scale bars = 3 μ m except where otherwise indicated. (G and H) Ventral
675 view. Vesicles in episome arranged in seven rows (E1-E7). (I) Dorsal view. Vesicles in
676 episome arranged in five rows (E1-E5). (J) Left lateral view. Vesicles in cingulum
677 arranged in five rows (C1-C5); those in episome arranged in five rows (E1-E5). (K and
678 L) Apical view, showing episome and its vesicles arranged in seven (K) or five (L) rows.
679 (M) Antapical view, showing hyposome, its vesicles and sulcus. (N) Detail of SEF
680 comprising some elongate AVs (asterisks). Scale bar = 1 μ m. (O) Schematic illustration
681 of SEF showing arrangement of AVs.

682
683 FIG. 2. Transmission electron micrographs (TEMs) of *Paragymnodinium*
684 *asymmetricum* sp. nov. A-D cells fixed using first fixation protocol; others fixed using

685 second protocol (see material and methods). Ch_{LL}, lateral lobe of chloroplast; Ch_{AM},
686 antapical mass of chloroplast; Mt, mitochondrion; Nu, nucleus. (A) Longitudinal section
687 of cell. Scale bar = 2 μ m. (B) Nucleus containing condensed chromosomes and
688 surrounded by numerous mitochondria. Scale bar = 1 μ m. (C) Detail of nuclear
689 envelope comprising two membranes and nucleopore (arrowheads). Scale bar = 100 nm.
690 (D) Longitudinal section of trichocyst. Scale bar = 200 nm. (E) Transverse section of
691 trichocyst. Scale bar = 100 nm. (F) Detail of amphiesmal vesicle. No plate-like structure
692 observed. Scale bar = 200 nm. (G-J) Serial, non-consecutive sections of extended
693 peduncle. Microtubular strand of peduncle (arrows); electron-opaque vesicles
694 (arrowheads) indicated. Numbers of selected serial sections indicated in circles. Scale
695 bars = 200 nm.

696

697 FIG. 3. TEM micrographs of chloroplast of *Paragymnodinium asymmetricum* sp.
698 nov. Cells fixed using first protocol. (A) Antapical mass of chloroplast with
699 densely-packed thylakoids. Scale bar = 1 μ m. (B) Detail of antapical mass. Each
700 thylakoid band is double-stacked (double-headed arrows). Scale bar = 100 nm. (C)
701 Lateral lobe of chloroplast with less-dense packing of thylakoids. Scale bar = 1 μ m. (D)
702 Detail of lateral lobe, showing each thylakoid band as double- or triple-stacked

(double-headed arrows). Scale bar = 100 nm. (E) Boundary between antapical mass and lateral lobe, demonstrating difference in stacking density of thylakoids. Scale bar = 200 nm.

FIG. 4. Serial TEM sections of nematocysts of *Paragymnodinium*

asymmetricum sp. nov. Cells fixed using second fixation protocol. Section numbers are indicated by circled numbers. Scale bars = 200 nm. AC, anterior chamber; CA, capsule; FS, fibrous strand; OP, operculum; PB, posterior body; PC, posterior chamber; ST, stylet. (A-D) Longitudinal sections of entire nematocyst. (E-L) Selected transverse sections from anterior (E) to posterior extremes (L). (M) Schematic illustration of nematocyst of *Paragymnodinium asymmetricum* sp. nov. Scale bar = 200 nm.

FIG. 5 3D reconstruction of flagellar apparatus of *Paragymnodinium*

asymmetricum sp. nov. (not to scale). LB, longitudinal basal body; TB, transverse basal body; R1, root 1; R3, root 3; R4, root 4; SRC, striated root connective; VC, ventral connective; C1, connective 1 linking LB and R1; BBC, basal body connective; TMR, transverse microtubular root; TMRE, transverse microtubular root extension; TSR, transverse striated root; TSRM, transverse striated root microtubule.

721

722 FIG. 6. (A-H) Differential interference contrast (DIC) and fluorescence light
723 micrographs of *Paragymnodinium inerme* sp. nov. Scale bars = 5 μ m. Ch, chloroplast;
724 Ci, cingulum; Nu, nucleus; SEF, sulcal extension-like furrow; Su, sulcus. (A) Ventral
725 view. (B) Dorsal view. (C and D) Same cell showing autofluorescence of chloroplasts.
726 (E and F) Same cell showing fluorescence of nucleus stained by DAPI. (G) Division
727 cyst with outer wall (arrowheads). (H) Two motile cells connected to each other. (I-O)
728 Scanning electron micrographs of *Paragymnodinium inerme* sp. nov., showing
729 arrangement of numerous polygonal amphiesmal vesicles (AVs) on cell surface. Scale
730 bar = 3 μ m except where otherwise indicated. (I) Ventral view. (J) Dorsal view, showing
731 episome and its vesicles arranged in eight rows (E1-E8), hyposome and its vesicles
732 arranged in six rows (H1-H6). (K) Left lateral view, showing cingulum and its vesicles
733 arranged in five rows (C1-C5). (L) Apical view, showing episome and its vesicles
734 arranged in nine rows (E1-E9). Cell possesses double transverse flagella (arrowheads).
735 (M) Antapical view, showing hyposome and its vesicles arranged in six rows (H1-H6)
736 and sulcus. Note double longitudinal flagella (arrowheads). (N) Detail of SEF
737 comprising nine elongate AVs (asterisks). Scale bar = 1 μ m. (O) Schematic illustration
738 of SEF showing arrangement of AVs.

739

740 FIG. 7. Transmission electron micrographs (TEMs) of *Paragymnodinium*
741 *inermis* sp. nov. B and C are cells fixed using first fixation protocol; others fixed using
742 second protocol. (A) Longitudinal section of cell. AV, amphiesmal vesicle; Ch,
743 chloroplast; Mt, mitochondrion; Nu, nucleus. Scale bar = 2 μ m. (B) Nucleus containing
744 condensed chromosomes. Scale bar = 2 μ m. (C) Detail of nuclear envelope comprising
745 two membranes and nucleopore (arrows). Scale bar = 200 nm. (D) Longitudinal section
746 of trichocyst. Scale bars = 200 nm. (E) Transverse section of trichocyst. Scale bars =
747 100 nm. (F) Detail of amphiesmal vesicle. Scale bar = 500 nm. (G-J) Serial,
748 non-consecutive sections of peduncle. Microtubular strand of peduncle (arrows)
749 indicated. Section numbers circled with direction of sectioning from left to right. Scale
750 bars = 200 nm.

751

752 FIG. 8. TEM micrographs of the chloroplast of *Paragymnodinium inermis* sp.
753 nov. C is a cell fixed using the first fixation protocol, while others were fixed using the
754 second protocol. (A) A mass of chloroplast. Scale bar = 2 μ m. (B) Detail of chloroplast
755 envelope comprised of three membranes (arrowheads). Scale bar = 50 nm. (C) Detail of
756 chloroplast with double- or triple-stacked thylakoid bands, indicated by the

757 double-headed arrows. Scale bar = 100 nm. (D-F) Many masses of chloroplast are
758 connected by narrow bridges (arrows). Scale bars = 1 μ m.

759

760 FIG. 9. Serial TEM sections of nematocysts of *Paragymnodinium inerme* sp.
761 nov. Cells fixed using second protocol. Section numbers indicated in circles. Scale bars
762 = 200 nm. AC, anterior chamber; CA, capsule; FS, fibrous strand; OP, operculum; PB,
763 posterior body; PC, posterior chamber. (A-F) Transverse sections from anterior part (A)
764 to posterior part (F). (G-M) Longitudinal sections.

765

766 FIG. 10. Reconstruction of flagellar apparatus of *Paragymnodinium inerme* sp.
767 nov. LB, longitudinal basal body; TB, transverse basal body; R1, root 1; R3, root 3; R4,
768 root 4; SRC, striated root connective; VC, ventral connective; C1, connective 1 linking
769 LB and R1; C2, connective 2 linking LB and R1; BBC1, basal body connective 1;
770 BBC2, basal body connective 2; BBC3, basal body connective 3; TMR, transverse
771 microtubular root; TMRE, transverse microtubular root extension; TSR, transverse
772 striated root; TSRM, transverse striated root microtubule.

773

774 FIG. 11. Maximum-likelihood phylogenetic tree of selected dinoflagellates,

775 including *Paragymnodinium asymmetricum* sp. nov. and *P. inerme* sp. nov., based on
776 concatenated SSU rDNA and partial LSU rDNA sequences. Each species name is
777 followed by its GenBank accession numbers for SSU rDNA and partial LSU rDNA
778 sequences respectively. Only one accession number indicates that sequence includes
779 both SSU rDNA and partial LSU rDNA sequences. Numbers at each node are ML
780 bootstrap values and Bayesian posterior probabilities respectively. Only values > 50%
781 (bootstrap) and > 0.7 (PP) are indicated. Stars indicate dinoflagellates with nematocysts.
782 *P. inerme* is marked by white star because of abnormality of nematocysts.

783

784 Video S1. Serial TEM sections of a whole cell of *Paragymnodinium inerme* sp.
785 nov. showing more than 20 masses of chloroplasts and only some of them are connected
786 to each other by the thin bridges. The total number of discrete chloroplasts in this
787 individual is three (indicated by A-C).

788 TABLE 1. Pairwise distance matrix of the 18S (lower left) and 28S (upper right) rDNA
789 sequences of *Paragymodinium* spp. calculated using p-distance model.

Strain	1	2	3	4
1. <i>P. shiwhaense</i>		0.1338	0.0405	0.0075
2. <i>P. stigmaticum</i>	0.0919		0.0905	0.0985
3. <i>P. asymmetricum</i>	0.0151	0.0901		0.0254
4. <i>P. inerme</i>	0.0006	0.0914	0.0145	