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1	Serological and spatio-temporal analysis of anthrax in
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3	(モンゴルにおける炭疽の血清学的解析と時空間分析)
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76 Abbreviations

AMP	Adenosine monophosphate
Bcbva	Bacillus cereus biovar anthracis
CBB	Coomassie brilliant blue
CapA	Capsule biosynthesis protein CapA
CapA322	C-terminal region of CapA
cAMP	Cyclic adenosine monophosphate
CDS	coding sequence
SCVL	State Central Veterinary Laboratory
DTT	Dithiothreitol
EDTA	Ethylenediaminetetraacetic acid
EF	Edema factor
ELISA	Enzyme-linked immunosorbent assay
GST	Glutathione S transferase
GIS	Geographic information system
HiConEnv	Upper confidence envelope
IgG	Immunoglobulin G
IPTG	Isopropyl β -D-thiogalactopyranoside
KDE	Kernel density estimation
KDE KPL	Kernel density estimation 3,3',5,5'-tetramethylbenzidine substrate
KDE KPL LB	Kernel density estimation 3,3',5,5'-tetramethylbenzidine substrate Lysogeny broth
KDE KPL LB LF	Kernel density estimation 3,3',5,5'-tetramethylbenzidine substrate Lysogeny broth Lethal factor
KDE KPL LB LF LoConEnv	Kernel density estimation 3,3',5,5'-tetramethylbenzidine substrate Lysogeny broth Lethal factor Lower confidence envelope
KDE KPL LB LF LoConEnv MES	Kernel density estimation 3,3',5,5'-tetramethylbenzidine substrate Lysogeny broth Lethal factor Lower confidence envelope 2-(N-morpholino) ethane sulfonic acid
KDE KPL LB LF LoConEnv MES Mw	Kernel density estimation 3,3',5,5'-tetramethylbenzidine substrate Lysogeny broth Lethal factor Lower confidence envelope 2-(N-morpholino) ethane sulfonic acid Molecular weight
KDE KPL LB LF LoConEnv MES Mw NaCl	Kernel density estimation 3,3',5,5'-tetramethylbenzidine substrate Lysogeny broth Lethal factor Lower confidence envelope 2-(N-morpholino) ethane sulfonic acid Molecular weight Sodium chloride
KDE KPL LB LF LoConEnv MES Mw NaCl NC	Kernel density estimation 3,3',5,5'-tetramethylbenzidine substrate Lysogeny broth Lethal factor Lower confidence envelope 2-(N-morpholino) ethane sulfonic acid Molecular weight Sodium chloride Negative control
KDE KPL LB LF LoConEnv MES Mw NaCl NC NLPC/60	Kernel density estimation 3,3',5,5'-tetramethylbenzidine substrate Lysogeny broth Lethal factor Lower confidence envelope 2-(N-morpholino) ethane sulfonic acid Molecular weight Sodium chloride Negative control papain-like cell wall hydrolase domain
KDE KPL LB LF LoConEnv MES Mw NaCl NC NLPC/60 NLRP1	Kernel density estimation 3,3',5,5'-tetramethylbenzidine substrate Lysogeny broth Lethal factor Lower confidence envelope 2-(N-morpholino) ethane sulfonic acid Molecular weight Sodium chloride Negative control papain-like cell wall hydrolase domain NLR family, pyrin domain containing 1
KDE KPL LB LF LoConEnv MES Mw NaCl NC NLPC/60 NLRP1 OD	Kernel density estimation 3,3',5,5'-tetramethylbenzidine substrate Lysogeny broth Lethal factor Lower confidence envelope 2-(N-morpholino) ethane sulfonic acid Molecular weight Sodium chloride Negative control papain-like cell wall hydrolase domain NLR family, pyrin domain containing 1 Optical density

PA	Protective antigen
PBS	Phosphate buffer saline
PC	Positive control
PCR	Polymerase chain reaction
PMSF	Phenylmethylsulphonyl fluoride
PMB	Polychrome methylene blue
PAD1	Recombinant protective antigen domain 1
PAGE	Polyacrylamide gel electrophoresis
rpm	Rotations per minute
RT	Room temperature
SDE	Standard deviational ellipse
SDS	Sodium dodecyl sulfate
SDS-PAGE	SDS polyacrylamide gel electrophoresis
ТМВ	3,3',5,5' tetramethylbenzidine
ТМНММ	transmembrane-hidden Markov model
ТВ	Terrific broth
Vac_H	Vaccinated horse serum
WB	Western blotting
WHO	World Health Organization
γ-D-PGA	poly-γ-D-glutamic acid

79 Unit abbreviations

%	percent
g	gram
kDa	kilodalton
km ²	square kilometer
km	kilometer
L	liter
max	maximum
min	minimum
ml	milliliters
mM	millimolar
ng	nanogram
μg	microgram
μl	microliter
°C	degree Celsius

81 Notes

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83 The contents of Chapter I and Chapter II have been published in *PLoS One*.

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96 **Preface**

97 Humans have a long history with anthrax, and outbreaks were recorded in the Bible as the 98 sixth plague in Egypt around 5000 BC (Ben-Noun, 2002). German microbiologist Robert Koch 99 first cultured the causative agent *Bacillus anthracis* in 1876; since then, anthrax has been 100 studied for some 140 years (Koch, 1876). Regardless of this, pathogenesis, ecology, and 101 epidemiology of the disease in animals remain surprisingly poorly understood.

102 Anthrax causative agent, B. anthracis, is a Gram-positive, spore-forming, non-motile, rod-103 shaped bacterium (Mock and Fouet, 2001). B. anthracis has two different lifestyles, the 104 vegetative bacilli and a dormant spore. Anthrax is not directly transmissible between animals; 105 instead, herbivores such as cattle and horses are infected primarily via ingesting a high dose of spores from grazing pastures. Within the host, B. anthracis spores germinate and form 106 107 vegetative bacteria capable of multiplying and producing virulence factors, which lead to 108 potentially fatal disease (Hugh-Jones and de Vos, 2002). When the infection is fatal to the host, 109 vegetative cells of *B. anthracis* are shed into the soil, forming infectious spores capable of long-110 term survival. Furthermore, the places where an animal dies and/or their carcass disposal sites 111 most often serve as the source of future infection (Beyer and Turnbull, 2009). In addition, spores are highly resistant to environmental stress, such as UV light, heat, and chemical 112 113 disinfectants (Stephens, 1998).

Cattle exhibit dose-dependent clinical symptoms against orally given *B. anthracis* spores 114 (Schlingman et al., 1956). Oral administration of 10⁹ spores culminates in peracute death in 115 cattle, but lower doses cause either subclinical or inapparent infections. In comparison, 116 117 carnivores and birds are pretty resistant and often have naturally acquired antibodies to anthrax. 118 These antibodies are less common in herbivores, which may have led to the assumption that 119 anthrax is always fatal to these animals (Hugh-Jones and de Vos, 2002). However, 120 susceptibility to anthrax varies widely among species because of inherited genetic factors, 121 immunological state, coinfection, and physiological condition. Even within a susceptible 122 species, there is considerable evidence that individuals survive exposure to anthrax (Turnbull 123 et al., 1992).

Inhaling the spores, handling and slaughtering infected livestock, or consuming contaminated meat and meat products can lead to pulmonary, cutaneous, and gastrointestinal anthrax disease in humans (Kaufmann and Dannenberg, 2002). In addition, an unusual route of infection via intravenous injection of heroin contaminated with *B. anthracis* spores has been reported to be associated with several deaths in England, Scotland, and Germany (Price et al., 2012).

130 Anthrax is distributed across the globe, from the tropics to frigid polar regions. Outbreaks 131 behave very differently worldwide, and the seasonality, frequency, and dynamics of anthrax 132 are poorly understood. After an animal vaccine invention, anthrax has been drastically 133 decreased in many parts of the world, and anthrax has become a minor concern of veterinary 134 and public health. However, the adverse consequence was a loss of interest and increasing 135 failure to diagnose and stop animal mass vaccination. Today, anthrax is still regarded as endemic among the livestock in Africa, Asia, and several countries of the former Soviet Union 136 137 and tends to re-emerge in countries due to climate changes (Fasanella et al., 2010). The re-138 emergence of anthrax in Siberia showed how an increase in summer air temperature triggered 139 the outbreak through the effect of permafrost thawing, which might release the spores from 140 formerly infected carcasses (Liskova et al., 2021). This phenomenon entails that the process of permafrost degradation and thawing rates of its active layer potentially lead to the re-141 142 emergence of pathogens, particularly anthrax in the northern latitude of the globe, where the 143 warming effect is more pronounced. Hence, there are interdisciplinary research is necessary to 144 understand this disease.

145 Anthrax is hyperendemic in the northern region and endemic in areas of Mongolia except 146 the desert region in the south (Odontsetseg et al., 2007). Frequent outbreaks occur in wildlife 147 and livestock, taking their toll on the income of pastoralist communities and threaten public 148 health security in Mongolia. Mongolian traditional livestock includes sheep, goats, cattle, 149 horses, and camels, and the total livestock number was estimated as 67 million in 2020, which 150 is twenty-two times higher than the human population (National Statistics Office of Mongolia). Livestock in Mongolia are reared under the free-ranging system, and nomadic pastoralists 151 152 move several times a year searching for water and pasture for their herds. Livestock is the main 153 source of income and food in the pastoralist community, and it produces more than 80% of the 154 agricultural product of Mongolia. However, livestock anthrax cases have been annually

reported since the 1990s, damaging the income of pastoral communities. Human cases areusually reported after the animal incidence.

157 Despite the current practice involving livestock vaccination, movement control, and disinfecting or burying affected animal carcasses (General Authority for Veterinary Services, 158 159 2019), the persistence and frequency of anthrax outbreaks in livestock remain an issue of great concern. Thus, to mitigate anthrax infection, there is a need to design a more prudent control 160 161 strategy, such as interdisciplinary research with a holistic approach. Furthermore, the global 162 distribution of anthrax demands the convergence of diagnostic technologies to aid detection 163 and surveillance worldwide. However, one major limitation towards achieving anthrax control 164 is the lack of a diagnostic tool devoted to detecting the naturally acquired antibodies of anthrax 165 in animals. Altogether, addressing the problem of anthrax and its associated challenges requires a new approach supported by unprecedented innovations. 166

167 This dissertation aims to provide different entry points pertinent to the effective control of 168 anthrax in Mongolia and beyond. In Chapter I, a new ELISA test capable to specifically 169 diagnose anthrax natural infection caused by virulent B. anthracis and non-cross reactive to 170 anthrax vaccine-induced antibodies was established to assist anthrax serosurveillance and 171 improve estimates of burden and at-risk populations in Mongolia. In Chapter II, to gain insight 172 into the anthrax epidemiology in Mongolia, spatio-temporal patterns of anthrax in livestock 173 between 1986 and 2015 were analyzed based on the carcass burial sites of animals that died of 174 anthrax in Khuvsgul Province, which showed the highest anthrax incidence rate in Mongolia. 175 The study determined the historical hotspots of carcass sites, places that need to be prioritized 176 in public health intervention, and the factors that precipitate the recurrence of anthrax outbreaks. 177 Moreover, it provided essential epidemiological data that will inform policy and evaluation of 178 current anthrax control measures in Mongolia.

179 CHAPTER I: Development of ELISA based on *Bacillus* 180 anthracis capsule biosynthesis protein CapA for naturally 181 acquired antibodies against anthrax

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183 Summary

184 Anthrax is a zoonotic disease caused by the Gram-positive spore-forming bacterium Bacillus anthracis. Detecting naturally acquired antibodies against anthrax sublethal exposure 185 186 in animals is essential for anthrax surveillance and effective control measures. Serological assays based on protective antigen (PA) of B. anthracis are mainly used for anthrax 187 surveillance and vaccine evaluation. Although the assay is reliable, it is challenging to 188 189 distinguish the naturally acquired antibodies from vaccine-induced immunity in animals 190 because PA is cross-reactive to both antibodies. Although additional data on the vaccination 191 history of animals could bypass this problem, such data are not readily accessible in many cases.

192 In this study, a new enzyme-linked immunosorbent assay (ELISA) specific to antibodies 193 against capsule biosynthesis protein CapA antigen of *B. anthracis*, which is non-cross-reactive 194 to vaccine-induced antibodies in horses was established. Using in silico analyses, I screened 195 coding sequences encoded on pXO2 plasmid, which is absent in the veterinary vaccine strain 196 Sterne 34F2 but present in virulent strains of *B. anthracis*. Among the 8 selected antigen 197 candidates, capsule biosynthesis protein CapA (GBAA_RS28240) and peptide ABC 198 transporter substrate-binding protein (GBAA_RS28340) were detected by antibodies in 199 infected horse sera. Of these, CapA has not yet been identified as immunoreactive in other 200 studies to the best of my knowledge. Considering the protein solubility and specificity of B. 201 anthracis, the C-terminus region of CapA was prepared, named CapA322, and developed 202 CapA322-ELISA based on a horse model. Comparative analysis of the CapA322-ELISA and 203 PAD1-ELISA (ELISA uses domain one of the PA) showed that CapA322-ELISA could detect 204 anti-CapA antibodies in sera from infected horses but was non-reactive to sera from vaccinated 205 horses. The CapA322-ELISA could contribute to the anthrax surveillance in endemic areas, 206 and two immunoreactive proteins identified in this study could be additives to the improvement 207 of current or future vaccine development.

208 Introduction

Anthrax is a widely distributed zoonotic disease that occurs in every populated continent 209 (Carlson et al., 2019). After the invention of an effective animal vaccine, outbreaks declined in 210 211 many parts of the world; however, anthrax remains endemic in some regions of Africa and 212 Asia (Chen et al., 2016; Driciru et al., 2018; Kanankege et al., 2019; Sitali et al., 2018). Anthrax 213 has a substantial economic and public health impact on countries with limited resources to 214 develop anthrax control measures (Vieira et al., 2017). For example, Mongolia is a resource-215 limited country where anthrax is endemic, except the semi-desert and desert areas in the south 216 (Okutani et al., 2011).

217 Anthrax is caused by an encapsulated Gram-positive spore-forming bacterium, Bacillus 218 anthracis. B. anthracis infects a wide range of mammalians, including humans. Herbivores 219 such as antelopes, buffaloes, cattle, sheep, goats, and horses are susceptible to anthrax, whereas 220 birds and canids are comparatively resistant (Mock and Fouet, 2001). The infection occurs in 221 herbivores through browsing, ingestion, or inhalation of a high dose of spores from grazing 222 lands; besides, carnivores are usually exposed through scavenging an infected animal carcass 223 (Hugh-Jones and de Vos, 2002). In addition, the role of tabanid flies and other blood-feeding 224 insects in anthrax transmission in animals has been demonstrated (Ganeva.D.J., 2004; Turell 225 and Knudson, 1987). Humans often acquire anthrax infections from infected animals or 226 materials contaminated with spores, such as wool, hide, and meat (WHO, 2008).

227 Two plasmids, pXO1 and pXO2, are essential for the virulence of *B. anthracis*. After 228 ingestion or inhalation by the host, spores of *B. anthracis* germinate into vegetative cells that 229 secrete the three pXO1-encoded toxin components: protective antigen (PA), edema factor (EF), 230 and lethal factor (LF). PA is a host cell receptor-binding protein (Mogridge et al., 2002), EF is adenylate cyclase and a potent inhibitor of immune cell function (Leppla, 1982), and LF 231 232 cleaves mitogen-activated protein kinase and hinders cellular signaling pathways (Duesbery et 233 al., 1998). The pXO2 encodes genes involved in poly- γ -D-glutamic acid (γ -D-PGA), which 234 protects the bacteria from the host phagocytic cells (Drysdale et al., 2005; Jelacic et al., 2014). 235 A lack of either of the plasmids results in a significant loss of virulence of the bacterium 236 (Glinert et al., 2018). The Sterne 34F2 strain, which lacks pXO2 but still secretes the three major toxin components and retains immunogenicity with less virulence; thus, it is commonlyused in anthrax veterinary vaccine production (Turnbull, 1991).

It was previously understood that anthrax mostly resulted in host death; however, field surveys in anthrax-endemic areas have suggested that herbivores infected with a sublethal dose of *B. anthracis* spores could survive (Turnbull et al., 1992). Furthermore, studies have indicated that exposure to a sublethal dose of spores likely elicits adaptive immune responses to *B. anthracis* (Hampson et al., 2011; Lembo et al., 2011). However, the effect of sublethal infection on the adaptive immune response of animals to anthrax is still poorly understood; scaled field studies are needed to detect naturally acquired antibodies in animals.

246 There is currently no serological test dedicated to distinguishing naturally acquired 247 antibodies against *B. anthracis* from vaccine-induced immunity. Most of the available assays 248 for anthrax serological diagnosis have been developed based on the PA of *B. anthracis* (Marcus 249 et al., 2004; Reuveny et al., 2001). However, due to PA secretion from both naturally virulent 250 and vaccine strains of B. anthracis, PA-based assays cannot distinguish the antibodies acquired 251 by vaccination from those acquired by natural infection. So far, additional information such as 252 the vaccination history of herds must differentiate the source of antibodies. However, while 253 anthrax vaccination history is easily obtainable in countries with a good farm management 254 system, accessing such data in developing countries is challenging because of poor record-255 keeping. For instance, herders in Mongolia have nomadic pastoralism where households 256 migrate to various places to seek pastures for their livestock. Such movements often lead to the 257 loss of important animal records, including vaccination history. In addition, animal 258 identification systems in most local communities are largely uncoordinated, thus complicating 259 the discrimination of vaccinated animals from unvaccinated animals.

Herein, a new enzyme-linked immunosorbent assay (ELISA) test for detecting antibodies against capsule biosynthesis protein CapA of *B. anthracis*, which is non-cross-reactive to vaccine-induced antibodies was developed. First, I screened genes on the pXO2 for ELISA antigen candidates because of the differences between virulent *B. anthracis* (pXO1⁺, pXO2⁺) and anthrax vaccine strains (pXO1⁺, pXO2⁻). Further, capsule biosynthesis protein CapA (GBAA_RS28240) and peptide ABC transporter substrate-binding protein (GBAA_RS28340) were identified as immunoreactive to hyperimmune horse anti-*B. anthracis* serum. I also found

- that the C-terminus region of CapA, named CapA322, is soluble and specific to *B. anthracis*.
- 268 Therefore, the CapA322-ELISA was developed using the antigen.

269 Materials and methods

270 Horse test sera

Hyperimmunized antiserum of horses infected with virulent *B. anthracis* Pasteur No. 1 strain (pXO1⁺, pXO2⁺), named PC1 in this study (also known as the Ascoli serum), was obtained from the National Institute of Animal Health, Japan.

Two naturally infected horse sera (PC2 and PC3) were provided by the Institute of Veterinary Medicine of Mongolia. According to records, the two horses showed clinical anthrax symptoms, and *B. anthracis* was isolated from the nasal discharge samples and confirmed with polymerase chain reaction (PCR) (Beyer et al., 1995; Stear, 2005).

To prepare serum samples of vaccinated horses, four 2-year-old female horses (Vac_H1 278 279 to Vac_H4) were subcutaneously injected with Sterne vaccine containing 2×10^6 spores/ml, as 280 recommended by the manufacturer (KM Biologics, Japan) at Japan Racing Association. Serum 281 samples were collected before and after vaccination. After vaccination, serum samples were 282 collected every three days starting from day 3 to day 45 postvaccination. Later, samples were 283 obtained every seven days until day 56 postvaccination. The antibody response to PA of B. 284 anthracis was evaluated by PAD1-ELISA (Simbotwe et al., 2019). Serum samples with high 285 anti-PA-D1 immunoglobulin G (IgG) titers were obtained on day 21 postvaccination (named 286 Vac_H1D21-Vac_H4D21 for the four horses, respectively) and were used to test the cross-287 reactivity of CapA322-ELISA.

Two naive horse sera (NC1 and NC2) were purchased from Invitrogen, USA, and KOHJIN
BIO, Japan, to serve as negative controls.

290 Ethical statement

Ethical clearance and research approvals were obtained from the Animal Experiment Committee of Equine Research Institute, Japan Racing Association (Reference: 20-32), and immunization and sampling were conducted according to approved protocols.

294 In silico analyses

295 All coding sequences (CDSs) on pXO2 of *B. anthracis* Ames ancestor strain (GenBank 296 accession number: AE017335) were obtained from the National Center for Biotechnology 297 Information and analyzed to predict their cellular localization, secretion, and functional 298 domains. PSORT was used to predict cellular protein localization (Nakai and Horton, 1999), 299 and SignalP was used to predict cleavable N-terminus signal peptide regions (Emanuelsson et 300 al., 2007). Lipoprotein signal peptides identified by LipoP (Juncker et al., 2003), and 301 membrane-associated proteins with transmembrane helix were predicted by the 302 transmembrane-hidden Markov model (TMHMM) algorithm (Krogh et al., 2001). The 303 domains and active sites of the proteins were identified by PROSITE (Hulo et al., 2008). The 304 criteria for selecting candidate genes focused on secreted and surface-exposed CDS products. 305 All CDSs were scored based on the presence of the predicted signal peptide, lipoprotein signal, 306 TMHMM domain, putative domain information, and localization on the cell surface or 307 extracellular secretion. Eight CDSs that scored three or more were selected.

308 **Construction of strains and plasmids**

309 Primers for amplification of candidate CDSs were designed and synthesized by Integrated 310 DNA Technologies (Table 1). Candidate CDSs were amplified from genomic DNA of B. 311 anthracis CZC5 (Ohnishi et al., 2014) using KOD FX Neo (TOYOBO, Japan). The vector F 312 (5'-GGGTCGACTCGAGCGGCCGCA-3') R (5'and vector 313 GGATCCCAGGGGCCCCTGGAACAG-3') were used for the linearization of pGEX-6P-2 314 plasmid, which expresses the glutathione S-transferase (GST) fusion protein. The amplified 315 genes were incorporated into the plasmid using Gibson Assembly (Gibson et al., 2009). The 316 amplified PCR products were analyzed on 1% agarose gel and extracted using the QIAquick Gel Extraction Kit (Qiagen, Germany). The purified fragments with 5' complementary 317 318 overhangs were combined in a 1:2 molar ratio of the vector, and the fragments were inserted 319 with 20 µl of Gibson Assembly Master Mix (New England Biolabs, MA, USA) and additional 320 nuclease-free water to obtain a reaction volume of 40 µl. The reaction was then performed at 321 50°C for 15 min, and the assembled constructs were desalted for an hour using an MF-Millipore 322 membrane filter 0.025 µm (Merck, Germany) on distilled water. Escherichia coli 10β (New 323 England Biolabs, MA, USA) was transformed with each construct through electroporation. The

- 324 cells and constructs were mixed and transferred to the Bio-Rad 0.1 cm gap Gene Pulser cuvettes.
- 325 Electroporation was performed using a Gene Pulser Xcell Electroporator (Bio-Rad, CA, USA)
- set to 1,800 V, 25 μ F, and 200 Ω . After electroporation, the cells were immediately transferred
- to 1 ml of lysogeny broth (LB) and incubated at 37°C for an hour at 180 rpm. Next, 200 μl of
- 328 the culture was plated on LB agar supplemented with 50 µg/ml ampicillin for selection. The
- 329 DNA from constructs was purified using a QIAprep Spin Miniprep Kit (Qiagen, Germany),
- and the sequence of each gene of interest was confirmed by Sanger sequencing using a 3130
- 331 xl Genetic Analyzer (Applied Biosystems, MA, USA).

Table 1. Primer list

Primer	I a sura da a	Drivers
name	Locus tag	Primer sequence
TZ_F006	<u></u>	5'-CTGTTCCAGGGGCCCCTGGGATCCATGGCAGCTACACAAGAAACAGCC-3'
TZ_R006	GBAA_RS28005	3'-TGCGGCCGCTCGAGTCGACCCTCATCTTGGTACTCTTCGAATTCCTG-5'
TZ_F012	CDAA DC20025	5'-CTGTTCCAGGGGCCCCTGGGATCCATGGCTACTATGAAAATAAAAGAATGG-3'
TZ_R012	GBAA_KS28055	3'-TGCGGCCGCTCGAGTCGACCCTTATCTTCTACGCAATTGATCTGTCC-5'
TZ_F029	CDAA D\$29110	5'-CTGTTCCAGGGGCCCCTGGGATCCATGTGTAAAAGGTTTAAGTTTTATTGGCTG-3'
TZ_R029	UDAA_K328110	3'-TGCGGCCGCTCGAGTCGACCCTTAATTTGTTTTCTTAAATATATTTTGTTTAATAACG-5'
TZ_F043	CDAA D020165	5'-CTGTTCCAGGGGCCCCTGGGATCCATGAACACTAAGGGAATTATAGCAAAAC-3'
TZ_R043	GBAA_KS28165	3'-TGCGGCCGCTCGAGTCGACCCTTAGTAATAAGCAGACATGTTATGACCTTTC-5'
TZ_F060	CDAA DC20240	5'-CTGTTCCAGGGGCCCCTGGGATCCATGAGACGAAAATTGACATTTCAAG-3'
TZ_R060	ODAA_K328240	3'-TGCGGCCGCTCGAGTCGACCCTCAAGTTGTTGTCTCCACTGATAC-5'
TZ_F068	GBAA RS28275	5'-CTGTTCCAGGGGCCCCTGGGATCCATGAAAATAATAAAAATTGTTGATTACATATGG-3'
TZ_R068	_ ~ ~	3'-TGCGGCCGCTCGAGTCGACCCTATTTAGAAATTACTGTAGCTAGAACACGTTCG-5'
TZ_F083	CPAA D\$28240	5'-CTGTTCCAGGGGCCCCTGGGATCCATGTTAAAAAAGTAACGCCTATTGTGG-3'
TZ_R083	UBAA_K520540	3'-TGCGGCCGCTCGAGTCGACCCTTATTTCTTCACTTCAGTCCACTTATAG-5'
TZ_F100	CDAA D\$28420	5'-CTGTTCCAGGGGCCCCTGGGATCCATGAAGTATAAAACGCATCTTACAACAAG-3'
TZ_R100	UDAA_K328430	3'-TGCGGCCGCTCGAGTCGACCCTTAACTAAATAACGCTTTAAAGGATTCTAAAAT-5'
TZ_F322	00m A 222	5'-CTGTTCCAGGGGCCCCTGGGATCCCGTGATAATGGTACTGCAATTCTTG-3'
TZ_R322	capA322	3'-TGCGGCCGCTCGAGTCGACCCTCAAGTTGTTGTCTCCACTGATAC-5'

334 **Protein expression and confirmation of immunogenicity**

335 E. coli BL21 cells were transformed with each construct for protein expression. The 336 transformed E. coli BL21 cells were grown in 3 ml LB supplemented with 50 µg/ml of 337 ampicillin at 37° C for 18 h at 180 rpm. The OD₆₀₀ of the cultures was adjusted to 0.05 in 3 ml 338 LB or terrific broth (TB) with 50 μ g/ml of ampicillin, and the cells were grown at 37°C at 180 339 rpm. When the OD_{600} reached 0.8, the expression of proteins was induced by adding isopropyl β -D-thiogalactopyranoside (IPTG) to a final concentration of 0.2 mM. The cells were grown 340 341 at 37°C for 4 h at 180 rpm. In addition, uninduced cell cultures were prepared and used as controls. The cells were harvested at 8,000 rpm for 10 min and washed twice with phosphate-342 343 buffered saline (PBS). The cell pellets were resuspended in 250 µl of lysis buffer (PBS 344 containing 0.05% Tween-20, 1 mM PMSF, 0.1 mM benzamidine, pH 7.5) and lysed using a 345 Branson 450 Analog Sonifier (Branson Ultrasonics, CT, USA). The total lysate, supernatant, 346 and pellet fractions of cells were collected. Then, 15 µl of each collected fraction was diluted 347 in 5 µl of sodium dodecyl sulfate (SDS) gel-loading buffer (50 mM Tris-HCl pH 6.8, 2% SDS, 10% glycerol, 1% beta-mercaptoethanol, 12.5 mM EDTA, 0.02% bromophenol blue), and 5 µl 348 349 of diluted sample was analyzed using 10% SDS polyacrylamide gel electrophoresis (SDS-350 PAGE).

Detection of expressed GST fusion proteins was achieved by Western blotting using GST Mouse Monoclonal IgG (Santa Cruz, DTX, USA) and anti-mouse IgG-HRP (GE Healthcare, CHI, USA). To identify which proteins were immunoreactive, the hyperimmunized antiserum of horses (PC1) infected with virulent *B. anthracis* strain was used to probe the proteins in the cell pellet fraction, followed by probing with goat anti-horse IgG-HRP (Jackson ImmunoResearch Laboratories Inc, PA, USA) for detection. Upon visualization of the Western blotting, signals of target proteins higher than the background were selected as positive signals.

359 Expression and purification of CapA322

The peptide derived from the 322nd to the 411th amino acid residues of capsule biosynthesis protein CapA was defined as CapA322. Primers for amplifying partial coding sequence of CapA are described in Table 1, and pGEX-6P-2 was used to construct pTZ006. The protein expression in *E. coli* was conducted using the method described above. After the expression 364 process, the cells were harvested and lysed using the Branson 450 Analog Sonifier. The resulting suspension was centrifuged at 4°C for 15 min at 15,000 rpm. From the supernatant, 365 GST-tagged recombinant CapA322 was purified using Glutathione Sepharose 4 beads (GE 366 Healthcare, CHI, USA) according to a batch protocol of the manufacturer. The GST tag was 367 368 cleaved from the protein using the PreScission Protease (GE Healthcare, CHI, USA) at 4°C for 369 18 h. Then, recombinant CapA322 was eluted with an elution buffer (50 mM Tris, 150 mM 370 NaCl, 1 mM EDTA, 1 mM DTT, pH 7.5). The elution buffer was changed to 25 mM 2-(Nmorpholino) ethane sulfonic acid (MES), pH 6.5, using Amicon Ultra centrifugal device 371 372 (Merck, Germany). After buffer exchange, the collected fraction was loaded onto a Resource-S column (GE Healthcare, CHI, USA) equilibrated with 25 mM MES, pH 6.5. A NaCl gradient 373 374 from 0 mM to 150 mM was used for elution. The eluted CapA322 protein was stored in aliquots at -80°C. Fractions at all steps of the expression and purification processes were analyzed 375 376 using 10% SDS-PAGE and Western blotting. For the Western blotting analyses of CapA322, 377 PC1 and NC1 sera were used as primary antibodies, whereas goat anti-horse IgG-HRP was 378 used as a secondary antibody.

379 CapA322 in-house ELISA

380 Checkerboard titration was performed to determine the optimal concentration of the 381 reagents (Crowther, 2000). Flat-bottomed 96-well microtiter plates (Corning, NY, USA) were 382 coated with 100 µl per well of serially diluted CapA322 in coating buffer (0.1 M NaHCO₃, pH 383 9.6) and incubated overnight at 4°C. The antigen-coated plates were washed three times with 384 300 µl wash buffer (PBS containing 0.05% Tween-20, pH 7.4), and the wells were blocked 385 with 100 µl blocking buffer (3% skim milk in PBS containing 0.05% Tween-20, pH 7.4) for 1 386 h at room temperature (RT). After being briefly washed three times, the sera of PC1 and NC1 387 were each diluted from 1:100 to 1:6400 in a blocking buffer. Then, 100 µl of the diluted 388 solution was added to wells in triplicate and incubated at RT for 1 h. After being washed again, 389 goat anti-horse IgG-HRP was diluted to 1:15,000 in blocking buffer, and 100 µl of the diluted 390 antibody solution was added to the wells and incubated at RT for 1 h. After washing, an antigen 391 and antibody complex was detected by adding 100 µl 3,3',5,5'-tetramethylbenzidine substrate 392 (KPL, MD, USA) per well. The reaction was stopped by adding 50 µl 1N HCl per well followed 393 by 20 min incubation at RT. Absorbance at 450 nm (OD 450) was measured using a microtiter 394 plate reader (Thermo Scientific, WI, USA).

395 Statistical analysis

396 Each horse serum sample was tested in technical triplicate by PAD1-ELISA and CapA322-ELISA. One sample (Vac_H4D21) did not show the expected antibody response to vaccination 397 398 when analyzed by PAD1-ELISA, suggesting the failure of immunization. Therefore, this 399 sample was excluded from the following statistical analysis. The rest of the samples were 400 categorized as positive group (PC; n = 3), negative group (NC; n = 2), and vaccinated group 401 (Vac; n = 3). Then, the relative OD values of serum samples were calculated by dividing OD 402 values obtained from PAD1-ELISA by those from CapA322-ELISA. Finally, the one-way 403 ANOVA with Tukey's multiple comparison test was conducted using GraphPad Prism v.7.0 404 to test for differences among the groups.

405 **Results**

406 Screening of CDS-encoding secreted and surface-associated proteins on 407 pXO2 plasmid.

To select potential antigen candidates among 105 CDSs encoded on pXO2 of *B. anthracis* Ames ancestor strain, *in silico* screening was conducted. Functional features that could make proteins more antigenic were identified by searching for anchoring domain, secretion signal peptide, and cellular localization (Ariel et al., 2002; María et al., 2017).

412 Five informatics tools were used for the screening. Signal P (Emanuelsson et al., 2007) 413 predicted the product of 12 CDSs to possess the N-terminus signal peptides and secreted 414 through the classical Sec pathway. PSORT (Nakai and Horton, 1999) predicted 2 extracellular 415 proteins, 3 cell wall-associated proteins, 27 cell membrane-related proteins, and 35 cytoplasmic 416 proteins. LipoP (Juncker et al., 2003) predicted 24 proteins: 7 with putative Sec signal peptide 417 SpI sites, 2 with lipoprotein signal peptides SpII sites, and 15 with transmembrane helix domain. TMHMM (Krogh et al., 2001) predicted 33 proteins, including one to six transmembrane helix 418 419 domains. In addition, PROSITE (Hulo et al., 2008) indicated that several proteins have S-layer 420 homology domains, papain-like cell wall hydrolase domain (NLPC/60), membrane lipoprotein 421 lipid attachment sites, and serine lysine active sites (Table 2).

CDS	Loguston	Destain function	Sign	TMINAM	DEODTS	DDOSITEd	LinoDe			Ran	king		
CD5	Locus tag	Protein function	aIP ^a		PSORT	PROSITE	Lipop	SignalP ^a		PSORT	PROSITE	LipoP ^e	Total score
29	GBAA_RS28110	hypothetical protein	+	1	CMSVM	Prokaryotic membrane lipoprotein lipid attachment site	+	1	1	1	1	1	5
68	GBAA_RS28275	signal peptidase	+	1	CMSVM	Signal peptidases I serine active site		1	1	1	1	0	4
83	GBAA_RS28340	peptide ABC transporter substrate binding protein	+		CWSVM	Prokaryotic membrane lipoprotein lipid attachment site	+	1	0	1	1	1	4
100	GBAA_RS28430	metal dependent hydrolase	+	3	CMSVM			1	1	1	0	0	3
12	GBAA_RS28035	hypothetical protein	+	5	CMSVM			1	1	1	0	0	3
43	GBAA_RS28165	amidase	+		CWSVM	S layer homology domain		1	0	1	1	0	3
60	GBAA_RS28240	Capsule biosynthesis protein CapA	+	1	CMSVM			1	1	1	0	0	3
6	GBAA_RS28005	lysozyme	+	1	ECSVM			1	1	1	0	0	3
3	GBAA_RS27990	hypothetical protein	+	1	Unknown			1	1	0	0	0	2
25	GBAA_RS28090	hypothetical protein	+	1	Unknown			1	1	0	0	0	2
33	GBAA_RS28130	hypothetical protein	+	1	Unknown			1	1	0	0	0	2
9	GBAA_RS28020	hypothetical protein		2	CMSVM			0	1	1	0	0	2
10	GBAA_RS28025	hypothetical protein		1	CMSVM			0	1	1	0	0	2
11	GBAA_RS28030	hypothetical protein		2	CMSVM			0	1	1	0	0	2
16	GBAA_RS28050	hypothetical protein		2	CMSVM			0	1	1	0	0	2
19	GBAA_RS28065	hypothetical protein		2	CMSVM			0	1	1	0	0	2
20	GBAA_RS28070	hypothetical protein		4	CMSVM			0	1	1	0	0	2
21	GBAA_RS28075	hypothetical protein			CMSVM/ CWSVM	Sigma 54 interaction domain ATP binding region A signature		0	0	1	1	0	2
28	GBAA_RS28105	hypothetical protein		1	CMSVM			0	1	1	0	0	2
42	GBAA_RS28160	hypothetical protein		3	CMSVM			0	1	1	0	0	2

422 Table 2. Result of *in silico* analyses on CDSs encoded by pXO2.

GBAA_RS2	8195 CPBP family intramembrane metalloprotease		6	CMSVM		0	1	1	0	0	2
GBAA_RS2	8205 TetR family transcriptional regulator			CMSVM	TetE type HTH domain	0	0	1	1	0	2
GBAA_RS2	8215 undecaprenyl-diphostphatase		2	CMSVM		0	1	1	0	0	2
GBAA_RS3	1175 UDP-diphostphatase		1	CMSVM		0	1	1	0	0	2
GBAA_RS2	8245 poly gamma glutamate biosynthesis protein PgsC		3	CMSVM		0	1	1	0	0	2
GBAA_RS2	8250 poly gamma glutamate synthase PgsB		1	CMSVM		0	1	1	0	0	2
GBAA_RS2	amino acid transporter		6	CMSVM		0	1	1	0	0	2
GBAA_RS2	8325 hypothetical protein		3	CMSVM		0	1	1	0	0	2
GBAA_RS2	8330 CPBP family intramembrane metalloprotease		4	CMSVM		0	1	1	0	0	2
GBAA_RS2	8380 hypothetical protein		1	CMSVM		0	1	1	0	0	2
GBAA_RS2	8405 CPBP family intramembrane metalloprotease		3	CMSVM		0	1	1	0	0	2
GBAA_RS3	1215 hypothetical protein		1	CMSVM		0	1	1	0	0	2
GBAA_RS2	8000 hypothetical protein	+		Unknown		1	0	0	0	0	1
GBAA_RS3	1135 hypothetical protein			CytoSVM	EF hand calcium binding domain	0	0	0	1	0	1
GBAA_RS2	8040 conjugal transfer protein		2	Unknown		0	1	0	0	0	1
GBAA_RS2	8060 hypothetical protein		1	Unknown		0	1	0	0	0	1
GBAA_RS2	8085 hypothetical protein		1	Unknown		0	1	0	0	0	1
GBAA_RS2	8145 hypothetical protein			CMSVM		0	0	1	0	0	1
GBAA_RS3	1155 hypothetical protein		1	Unknown		0	1	0	0	0	1
GBAA_RS3	1160 IS3 family transposase			CytoSVM	Integrase catalytic domain	0	0	0	1	0	1
GBAA_RS2	8180 hypothetical protein		1	Unknown		0	1	0	0	0	1
GBAA_RS3	1165 integrase			Unknown	Endoplasmic reticulum targeting site	0	0	0	1	0	1
GBAA_RS2	8210 IS4 family transposase			Unknown	Sugar transport proteins signature	0	0	0	1	0	1
OD/III_R02	0210 1541	unity transposase	anny transposase	anny transposase	entity transposase Onknown	Cirkitowi – Sugar transport proteins signature		Unknown Sugar transport proteins signature of o	anny tansposase of the		

57	GBAA_RS28225	Capsule synthesis positive regulator AcpB	CytoSVM	PRD domain	0	0	0	1	0	1
59	GBAA_RS28235	Capsule polysaccharide biosynthesis protein	ECSVM		0	0	1	0	0	1
65	GBAA_RS28255	transcriptional regulator	CytoSVM	ArsR type HTH domain type	0	0	0	1	0	1
66	GBAA_RS31190	IS4 family transposase	CMSVM		0	0	1	0	0	1
72	GBAA_RS28295	PRD domain containing protein	CytoSVM	PRD domain	0	0	0	1	0	1
73	GBAA_RS28300	PRD domain containing protein	CytoSVM	PRD domain	0	0	0	1	0	1
76	GBAA_RS28315	Capsule synthesis positive regulator AcpA	CytoSVM	PRD domain	0	0	0	1	0	1
86	GBAA_RS28355	DNA repair protein MucB	CytoSVM	UmuC domain	0	0	0	1	0	1
97	GBAA_RS31210	DNA topoisomerase 3	CytoSVM	Toprim domain and prokaryotic DNA topoisomerase 1 active site	0	0	0	1	0	1
1	GBAA_RS27980	hypothetical protein	CytoSVM		0	0	0	0	0	0
2	GBAA_RS27985	hypothetical protein	Unknown		0	0	0	0	0	0
4	GBAA_RS27995	hypothetical protein	Unknown		0	0	0	0	0	0
7	GBAA_RS28010	ATP binding protein	CytoSVM		0	0	0	0	0	0
8	GBAA_RS28015	hypothetical protein	CytoSVM		0	0	0	0	0	0
15	GBAA_RS28045	hypothetical protein	CytoSVM		0	0	0	0	0	0
17	GBAA_RS28055	hypothetical protein	Unknown		0	0	0	0	0	0
22	GBAA_RS28080	hypothetical protein	Unknown		0	0	0	0	0	0
24	GBAA_RS31140	hypothetical protein	Unknown		0	0	0	0	0	0
26	GBAA_RS28095	hypothetical protein	CytoSVM		0	0	0	0	0	0
27	GBAA_RS28100	hypothetical protein	CytoSVM		0	0	0	0	0	0
30	GBAA_RS28115	hypothetical protein	CytoSVM		0	0	0	0	0	0
31	GBAA_RS28120	hypothetical protein	CytoSVM		0	0	0	0	0	0
32	GBAA_RS28126	hypothetical protein	Unknown		0	0	0	0	0	0
34	GBAA_RS28135	hypothetical protein	CytoSVM		0	0	0	0	0	0
35	GBAA_RS31145	hypothetical protein	Unknown		0	0	0	0	0	0

36	GBAA_RS31150	hypothetical protein	Unknown	0	0	0	0	0	0
37	GBAA_RS28140	hypothetical protein	CytoSVM	0	0	0	0	0	0
40	GBAA_RS28150	parA family protein	CytoSVM	0	0	0	0	0	0
41	GBAA_RS28155	hypothetical protein	Unknown	0	0	0	0	0	0
46	GBAA_RS28185	hypothetical protein	CytoSVM	0	0	0	0	0	0
48	GBAA_RS31170	hypothetical protein	Unknown	0	0	0	0	0	0
49	GBAA_RS28190	hypothetical protein	CytoSVM	0	0	0	0	0	0
51	GBAA_RS28200	DUF523 containing protein	CytoSVM	0	0	0	0	0	0
56	GBAA_RS28220	hypothetical protein	Unknown	0	0	0	0	0	0
58	GBAA_RS28230	DUF1093 domain containing protein	CytoSVM	0	0	0	0	0	0
63	GBAA_RS31180	hypothetical protein	Unknown	0	0	0	0	0	0
64	GBAA_RS31185	hypothetical protein	Unknown	0	0	0	0	0	0
67	GBAA_RS31195	IS6 family transposase	Unknown	0	0	0	0	0	0
69	GBAA_RS28280	hypothetical protein	Unknown	0	0	0	0	0	0
70	GBAA_RS28285	hypothetical protein	CytoSVM	0	0	0	0	0	0
71	GBAA_RS28290	HTH domain containing protein	CytoSVM	0	0	0	0	0	0
75	GBAA_RS29350	hypothetical protein	Unknown	0	0	0	0	0	0
77	GBAA_RS28320	hypothetical protein	Unknown	0	0	0	0	0	0
78	GBAA_RS3144	hypothetical protein	Unknown	0	0	0	0	0	0
79	GBAA_RS31200	hypothetical protein	Unknown	0	0	0	0	0	0
82	GBAA_RS31205	hypothetical protein	Unknown	0	0	0	0	0	0
84	GBAA_RS28345	hypothetical protein	Unknown	0	0	0	0	0	0
85	GBAA_RS28350	DUF3967 domain containing protein	CytoSVM	0	0	0	0	0	0
87	GBAA_RS28360	Yold like family protein	Unknown	0	0	0	0	0	0
88	GBAA_RS28365	hypothetical protein	Unknown	0	0	0	0	0	0
89	GBAA_RS28370	hypothetical protein	CytoSVM	0	0	0	0	0	0

90	GBAA_RS28375	hypothetical protein		CytoSVM		0	0	0	0	0	0
92	GBAA_RS28385	hypothetical protein		Unknown		0	0	0	0	0	0
93	GBAA_RS28390	integrase		CytoSVM		0	0	0	0	0	0
94	GBAA_RS28395	hypothetical protein		Unknown		0	0	0	0	0	0
95	GBAA_RS28400	hypothetical protein		CytoSVM		0	0	0	0	0	0
99	GBAA_RS28425	DUF3991 domain co protein	ontaining	CytoSVM		0	0	0	0	0	0
101	GBAA_RS28435	hypothetical protein		CytoSVM		0	0	0	0	0	0
102	GBAA_RS28440	hypothetical protein		CytoSVM		0	0	0	0	0	0
103	GBAA_RS28445	hypothetical protein		Unknown		0	0	0	0	0	0
104	GBAA_RS28450	hypothetical protein		CytoSVM		0	0	0	0	0	0
105	GBAA_RS28455	hypothetical protein		Unknown		0	0	0	0	0	0
*Coding sequence ^b TMHMM: Trar numbers		^b TMHMM: Transmembrane helix don numbers	nain	^d PROSITE: Protein families, domains, and functional sites	CMSVM:	Membrane p	rotein	ECSVM: Extr	acellular prot	tein	
^a SignalP: Signal peptide sequences, if detected; +, If not -,		°PSORT: Putative cell localization of J	protein	eLipoP: Lipoprotein signal, if detected; +, If not -,	CWSVM	Cell wall pro	otein	CytoSVM: Cy	rtoplasmic pr	otein	

I focused mainly on secreted and surface-exposed CDS products that are considered relevant for identifying targets for eliciting protective immunity. Combining the results of the 5 tools, 8 CDSs were selected based on predicted signal sequence, transmembrane helix domain, and cellular localization, considering potential exposure to a host cell and probability to generate host protective response. The candidate proteins were predicted to be secreted, and surface-associated proteins were observed to have 0–5 transmembrane segments (Table 3).

			In silico data							
	Locus tag number	Product name	Cellular localization	Signal peptide ^a	Lipoprotein signal peptide ^a	TMHMM number ^b	Domains and active sites			
	GBAA_RS28005	Lysozyme	Extra cellular	+	-	1	NlpC/P60			
	GBAA_RS28035	Hypothetical protein	Membrane	+	+	5				
	GBAA_RS28110	Hypothetical protein	Membrane	+	-	1	PROKAR Lipoprotein			
	GBAA_RS28165	Amidase	Cell wall	+	-	0	SLH			
	GBAA_RS28240	Capsule biosynthesis protein capA	Membrane	+	-	1				
	GBAA_RS28275	Signal peptidase	Membrane	+	-	1	Serine, lysine active sites			
	GBAA_RS28340	Peptide ABC transport substrate binding protein	Cell wall	+	+	0	PROKAR Lipoprotein			
	GBAA_RS28430	Metal dependent hydrolase	Membrane	+	-	3				

431 Table 3. *In silico* selected CDSs for identification of immunoreactivity

^aIf secretion or lipoprotein signals were detected; +, If not -,

^bTransmembrane helix domains

433 Expression and immunoreactivity of candidate proteins

434 To further identify whether candidate proteins were immunoreactive, the proteins were probed with the hyperimmunized antiserum of horses infected with virulent B. anthracis 435 436 (PC1). CDSs were cloned and expressed in E. coli. I successfully constructed E. coli strains 437 that express our candidate proteins fused with GST tag at the N-terminus for 5 of 8 CDSs 438 selected from *in silico* analyses (Table 4). The target proteins at the expected molecular sizes 439 were visualized in the lysate, supernatant or pellet fraction of the cell lysate, indicating soluble 440 or insoluble expression (Figure 1). The sizes of the target proteins in the cell pellet fractions 441 were verified using SDS-PAGE (Figure 2A) and Western blotting with antibodies against the 442 GST tag (Figure 2B). After expression verification, proteins were probed with hyperimmune antiserum from horses infected with virulent B. anthracis (PC1) to identify their 443 444 immunoreactivity. Of the 5 expressed proteins, only the capsule biosynthesis protein CapA 445 (GBAA RS28240) and peptide ABC transporter substrate-binding protein (GBAA RS28340) 446 reacted with PC1 serum (Figure 2C). Serum from the naive horse (NC1) showed only 447 background signal with the screened proteins, indicating that the proteins detected using the PC1 serum resulted from an antibody response induced by fully virulent B. anthracis infection 448 449 (Figure 2D).

450 **Table 4. Constructs and strain list**

Strain or plasmid	Description	Reference
Plasmids		
pGEX-6P-2	Cloning vector	Reference ¹
pTZ001	pGEX-6P-2 cloned with GBAA_RS28110	This study
pTZ002	pGEX-6P-2 cloned with GBAA_RS28240	This study
pTZ003	pGEX-6P-2 cloned with GBAA_RS28275	This study
pTZ004	pGEX-6P-2 cloned with GBAA_RS28340	This study
pTZ005	pGEX-6P-2 cloned with GBAA_RS28430	This study
pTZ006	pGEX-6P-2 cloned with C-terminus region of GBAA_RS28240	This study
Strains		
E. coli		
BL21	B F ⁻ ompT gal dcm lon hsdS _B ($r_B^-m_B^-$) [malB ⁺] $_{K-12}(\lambda^S)$	Reference ²
BTZ001	BL21 harboring pTZ001	This study
BTZ002	BL21 harboring pTZ002	This study
BTZ003	BL21 harboring pTZ003	This study
BTZ004	BL21 harboring pTZ004	This study
BTZ005	BL21 harboring pTZ005	This study
BTZ006	BL21 harboring pTZ006	This study

¹(Smith and Johnson, 1988)

²(Jeong et al., 2015)



451

452 Figure 1. Expression of candidate proteins. Coomassie brilliant blue (CBB) staining 453 analyses of proteins in cell lysate (lanes 2–5), supernatant (lanes 6–9), and pellet (lanes 10–13) 454 fractions of control and candidate protein-expressing E. coli strain grown in terrific broth with or without isopropyl β-D-thiogalactopyranoside (IPTG) at 37°C for 4 h at 180 rpm. Lanes 1 455 456 and 14, Mw, molecular weight marker (in kDa). Control, E. coli BL21 harboring empty pGEX-457 6P-2 plasmid expressing glutathione S-transferase (GST: 26 kDa). (A) E. coli BTZ001 458 expressing recombinant hypothetical protein (GST-GBAA_RS28110: 44 kDa). (B) E. coli 459 BTZ002 expressing recombinant capsule biosynthesis protein CapA (GST-GBAA RS28240: 460 72 kDa). (C) E. coli BTZ003 expressing recombinant signal peptidase (GST-GBAA_RS28275: 461 47 kDa). (D) E. coli BTZ004 expressing recombinant peptide ABC substrate-binding protein 462 (GST-GBAA RS28340: 84 kDa). (E) E. coli BTZ005 expressing recombinant metal-463 dependent hydrolase (GST-GBAA RS28430: 46 kDa). +, 0.2 mM IPTG induction; -, without 464 IPTG induction.





Figure 2. Expression and immunoreactivity of candidate proteins. (A) Coomassie brilliant 466 467 blue (CBB) staining and (B–D) Western blotting of the proteins in cell pellet fractions of the control and candidate protein-expressing E. coli strains grown in terrific broth. In Western 468 blotting, the proteins in the cell pellets were probed with different antibodies: (B) anti-469 470 glutathione S-transferase (GST) immunoglobulin G; (C) horse hyperimmunized anti-B. 471 anthracis serum (PC1); (D) naive horse serum (NC1). Lane 1, Mw, molecular weight marker 472 (in kDa); lane 2, control strain which is E. coli BL21 harboring empty pGEX-6P-2 without IPTG induction (-); lane 3, control strain which is E. coli BL21 harboring empty pGEX-6P-2 473 474 with 0.2 mM IPTG induction (+); lane 4, E. coli BTZ001 expressing recombinant hypothetical 475 protein (GST-GBAA_RS28110: 44 kDa); lane 5, E. coli BTZ002 expressing recombinant capsule biosynthesis protein CapA (GST-GBAA_RS28240: 72 kDa); lane 6, E. coli BTZ003 476 477 expressing recombinant signal peptidase (GST-GBAA_RS28275: 47 kDa); lane 7, E. coli 478 expressing recombinant peptide ABC substrate-binding protein (GST-BTZ004 479 GBAA RS28340: 84 kDa); lane 8, E. coli BTZ005 expressing recombinant metal-dependent 480 hydrolase (GST-GBAA_RS28430: 46 kDa). The arrows indicate target proteins in the expected 481 sizes.

482 **Evaluation of CapA322 as ELISA antigen**

483 The sequences specificity of the two proteins, CapA (GBAA RS28240) and peptide ABC 484 transporter substrate-binding protein (GBAA_RS28340), that showed immunoreactivity to 485 PC1 was analyzed. When the entire length of the proteins was analyzed with tBLASTn, the 486 peptide ABC transporter substrate-binding protein showed high sequence similarity with a 487 protein encoded in *Bacillus cereus*. Alternatively, the CapA showed low sequence similarity 488 to common *B. cereus* strains (~56%) and other *Bacillus* species (55%–60%) evolutionarily 489 related to B. anthracis. A few unusual B. cereus strains possess virulent plasmids similar (85%-490 89%) to those of *B. anthracis*, including the toxin and capsule-coding genes (Table 5). 491 Considering the low solubility of whole length CapA, its soluble region at the C-terminus from 322nd to 411th (Figure 3A) was selected. This region also showed even lower sequence 492 493 similarity (55%) with homologs in common *B. cereus* strains than the N-terminus region. Thus, 494 I tested if the CapA peptide of this region, defined as CapA322, shows better solubility and 495 retains immunoreactivity. The CapA322 was expressed as a GST fusion protein in E. coli and 496 solubilized in the supernatant fraction of the cell lysate. The protein size was verified using SDS-PAGE and Western blotting with anti-GST IgG (Figure 3). In GST-tag affinity 497 498 purification before and after GST-tag cleavage, a GST-CapA322 band of approximately 37 kDa and CapA322 of 11 kDa were detected (Figure 4A). The CapA322 reacted with 499 500 hyperimmune antiserum from horses infected with virulent B. anthracis (PC1) but did not 501 react with serum from the naive horse (NC1) (Figures 4B and 4C). After the first purification 502 process, the remaining host cell derivative contaminants were removed using an ion-exchange 503 chromatography column (Figures 4D and 4E). From a 1L culture, approximately 0.6 mg of 504 CapA322 was obtained with a purity of >90%. As expected, the CapA322 did not react to vaccinated horse serum (Vac_H3D21), which had a high anti-PAD1 IgG concentration (Figure 505 506 4F), indicating that the CapA322 is specific to antibodies resulting from virulent B. anthracis 507 infection. In addition, its substantial soluble expression confirms that CapA322 is a potent 508 antigen candidate for developing a diagnostic tool.

509 Table 5. Sequence similarity of two immunoreactive proteins identified by Western

	510	blotting with	horse hyperimm	une antisera and	CapA322
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Whole length CapA						
ID	Species	Similarity	Positive	Coverage	Plasmid	Chromosome
CP020941.1	B. cereus strain BC-AK plasmid pBCXO2	89%	100%	100%	+	
CP001748.1	B. cereus biovar anthracis str. CI plasmid pCI-XO2	89%	100%	100%	+	
CP009317.1	B. cereus 03BB102 plasmid	86%	96%	94.10%	+	
CP001406.1	B. cereus 03BB102 plasmid p03BB102_179	86%	96%	94.10%	+	
CP009636.1	B. cereus 03BB108 plasmid pBFI_2	85%	94%	94.10%	+	
CP017574.1	B. thuringiensis strain SCG04-02 plasmid PSCG	57%	79%	93.70%	+	
CP015177.1	B. thuringiensis serovar alesti strain BGSC 4	60%	79%	87.30%	+	
CP023179.1	B. cereus strain CC-1	56%	79%	93.60%		+
CP030926.1	B. butanolivorans strain PHB-7a	55%	76%	93.90%		+
CP017080.1	B. muralis strain G25-68	55%	76%	93.90%		+
	CapA32	22				
ID	Species	Similarity	Positive	Coverage	Plasmid	Chromosome
CP020941.1	B. cereus strain BC-AK plasmid pBCXO2	81%	100%	100%	+	
CP001748.1	B. cereus biovar anthracis str. CI plasmid pCI-XO2	81%	100%	100%	+	
CP009317.1	B. cereus 03BB102 plasmid	91%	95%	73.30%	+	
CP001406.1	B. cereus 03BB102 plasmid p03BB102_179	91%	95%	73.30%	+	
CP009636.1	B. cereus 03BB108 plasmid pBFI_2	86%	89%	73.30%	+	
CP017704.1	<i>B. simplex</i> NBRC 15720 = DSM 1321	59%	86%	70%		+
CP011008.1	B. simplex strain SH-B26	60%	84%	70%		+
CP030063.1	Brevibacterium frigoritolerans strain ZB201705	57%	84%	70%		+
CP030926.1	26.1 <i>B. butanolivorans</i> strain PHB-7a		83%	70%		+
CP017080.1	B. muralis strain G25-68	57%	76%	70%		+
	Peptide ABC substrate	e-binding protei	n			
ID	Species	Similarity	Positive	Coverage	Plasmid	Chromosome
CP001748.1	B. cereus biovar anthracis str. CI plasmid pCI-XO2	93%	95%	100%	+	
CP020941.1	B. cereus strain BC-AK plasmid pBCXO2 sequence	90%	94%	100%	+	
CP015180.1	B. thuringiensis serovar alesti strain BGSC 4	90%	94%	100%	+	
DQ025752.1	B. thuringiensis serovar kurstaki plasmid pAW	90%	94%	100%	+	
CP018742.1	B. cereus strain FORC_047 plasmid pFORC47_2	90%	94%	100%	+	
CP003691.1	B. thuringiensis MC28 plasmid pMC183	87%	92%	100%	+	
CP024687.1	B. wiedmannii bv. thuringiensis strain FCC41	86%	93%	100%	+	
MG710485.1	B. thuringiensis serovar israelensis strain B	86%	92%	100%	+	
CP015154.1	B. thuringiensis strain Bc601 plasmid pBTBC4	86%	92%	100%	+	
CP013059.1	B. thuringiensis strain YWC2-8 plasmid pYWC2-	86%	92%	100%	+	




Figure 3. Expression of CapA322. (A) Genetic map of *B. anthracis* capsule-coding operon 513 514 revealing the CapA322, C-terminus region (amino-acid 322-411) of CapA used in antigen preparation. Coomassie brilliant blue staining (CBB) (B) and Western blotting (C) of proteins 515 516 in cell lysate (lanes 2–5), supernatant (lanes 6–9), and pellet (lanes 10–13) fractions of control 517 and CapA322 expressing *E. coli* BTZ006 grown in terrific broth with or without isopropyl β-D-thiogalactopyranoside (IPTG) at 37°C for 4 h at 180 rpm. In Western blotting, the proteins 518 were probed with anti-glutathione S-transferase (GST) immunoglobulin G. Lanes 1 and 14, 519 520 Mw, molecular weight marker (in kDa). Control, E. coli BL21 harboring empty pGEX-6P-2 plasmid expressing GST (GST: 26 kDa). BTZ006, E. coli expressing recombinant CapA322 521 522 (GST-CapA322: 37 kDa). +, 0.2 mM IPTG induction; -, without IPTG induction.



524 Figure 4. Purification of CapA322 from cell culture supernatant. Coomassie brilliant blue staining (CBB) (A) and (B, C, and F) Western blotting of the fractions collected after affinity 525 batch purification. In Western blotting, proteins were probed with different horse sera: (B) 526 527 horse hyperimmunized anti-B. anthracis serum (PC1); (C) naive horse serum (NC1); (F) 528 vaccinated horse serum (Vac_H3D21). Lane 1, Mw, molecular weight marker (in kDa); lane 529 2, the supernatant fraction applied to affinity beads (GST-CapA322: 37 kDa); lane 3, beads 530 bound; lane 4, elute after treatment with PreScission Protease (CapA322: 11 kDa). (D and E) 531 CBB and Western blotting of the fractions collected during the cation exchange process. Lane 532 1, Mw, molecular weight marker (in kDa); lane 2, sample loaded onto the cation exchange 533 chromatography column; lanes 3 and 4 flow-throughs; lane 5, the eluted protein. Host cell-534 derived contaminants indicated as E. coli's protein.

535 Further, to elucidate whether CapA322 is cross-reactive to vaccinated horse serum or not, serum samples from four horses vaccinated with the Sterne vaccine were prepared and the 536 537 immune responses were evaluated using a PAD1-ELISA (Figure 5A). PA-D1, domain one of 538 the PA of B. anthracis, was prepared as previously described (Simbotwe et al., 2019). The 539 optimal titration condition of the PAD1-ELISA for a horse was determined as the PA-D1 antigen concentration of 0.4 µg/ml, serum dilution of 1:100, and a secondary antibody dilution 540 541 of 15,000 (Figures 5B and 5C). The Sterne vaccine elicited immune responses in three horses out of four starting at 15 days postvaccination. Despite being inoculated with the same dose of 542 543 vaccine, horses 2 (Vac_H2) and 3 (Vac_H3) showed higher antibody responses than horses 1 544 (Vac_H1) and 4 (Vac_H4) at all time points. The antibody titers in horses 2 and 3 declined 545 only after the second peak around 5 weeks postvaccination. In contrast, horse 1 showed a weak immune response to the vaccination, with titers decreasing soon after the initial peak. However, 546 547 horse 4 did not show a detectible immune response despite receiving the same dose of vaccine

548 (Figure 5A).





Figure 5. Horses anti-PAD1 immunoglobulin G (IgG) responses against subcutaneously 550 551 injected Bacillus anthracis Sterne 34F2 strain spore vaccine. (A) anti-PAD1 IgG response 552 of vaccinated horses in serum dilution 1:100. Each serum sample was tested in technical triplicate by PAD1-ELISA. Checkerboard titration between PAD1 and (B) horse 553 554 hyperimmunized anti-B. anthracis serum (PC1) or (C) naive horse serum (NC1) in PAD1-ELISA. Each dilution of serum sample was tested in technical triplicate. The twofold serum 555 dilution starts with a dilution of 1:100, and PAD1 dilution starts with 1.6 µg/well. From the 556 result, the optimal concentrations of the antigen, antibody, and serum dilutions were 557 determined as follows: antigen, 0.4 µg/well; serum dilution, 1:100; second antibody dilution, 558 559 1:15,000.

560 CapA322-ELISA and PAD1-ELISA

561 Based on the CapA322 antigen, the CapA322-ELISA was developed. An antigen 562 concentration of 0.8 µg/ml, serum dilution of 1:100, and a secondary antibody dilution of 563 15,000 were determined as the optimal titration conditions of CapA322-ELISA for horses 564 (Figures 6A and 6B). Each serum sample from horses experimentally (PC1) or naturally infected with virulent B. anthracis (PC2 and PC3) and horses immunized with Sterne vaccine 565 566 (Vac_H1D21-Vac_H4D21) as well as naive horses (NC1 and NC2) was technically triplicated 567 and comparatively tested by the CapA322-ELISA and PAD1-ELISA (Figure 6C). As expected, 568 PC1 and PC2 gave a significantly higher OD absorbance than the NC1 and NC2 in both 569 CapA322 and PAD1-ELISAs. Vaccinated horse sera Vac_H1D21-Vac_H3D21 showed high 570 OD absorbance in PAD1-ELISA, whereas all were negative in CapA322-ELISA. However, 571 the PC3 serum exhibited a positive reaction only with CapA322-ELISA but was negative in 572 PAD1-ELISA.

573 Furthermore, except one sample (PC3), relative OD values in group PC were around 1, 574 suggesting that the two tests (PAD1-ELISA and CapA322-ELISA) were comparable in detecting virulent *B. anthracis* infection. Similarly, relative OD values in group NC were 575 576 around 1, indicating that the two tests give the same result. However, relative OD values in 577 group Vac ranged from 13.0 to 39.9, showing the non-cross reactivity of CapA322-ELISA with vaccine-induced antibodies. This result was supported by the observation that the relative OD 578 579 values in vaccinated group were significantly higher than those in the positive (p = 0.03) and 580 negative (p = 0.04) groups (Figure 6D).



Figure 6. Comparison of PAD1-ELISA and CapA322-ELISA. Checkerboard titration 582 583 between CapA322 and (A) horse hyperimmunized anti-Bacillus anthracis serum (PC1) or (B) 584 naive horse serum (NC1) in CapA322-ELISA. Each dilution of serum sample was tested in 585 technical triplicate by CapA322-ELISA. The twofold serum dilution starts with a dilution of 586 1:100, and CapA322 dilution starts with 1.6 µg/well. From the result, the optimal 587 concentrations of antigen, antibody, and serum dilutions were determined as follows: antigen, 588 0.8 µg/well; serum dilution, 1:100; second antibody dilution, 1:15,000. (C) Comparison of 589 PAD1-ELISA and CapA322-ELISA on horse sera. Each serum samples of horse 590 hyperimmunized anti-Bacillus anthracis (PC1), horse naturally infected with B. anthracis (PC2) 591 and PC3), naive horses (NC1 and NC2), and horse vaccinated with Sterne34F2 strain 592 (Vac_H1D21-Vac_H4D21) was analyzed in technical triplicate by PAD1-ELISA and 593 CapA322-ELISA. (D) One-way ANOVA with Tukey's multiple comparison test on relative OD values of positive (PC; n = 3), negative (NC; n = 2), and vaccinated (Vac; n = 3) groups. 594

595 **Discussion**

In this study, potential antigen candidates encoded by pXO2 were screened by *in silico* analyses, and the CapA322-ELISA was developed to detect antibodies against CapA, which is secreted from virulent *B. anthracis* strains that possess pXO2.

599 Identifying the *B. anthracis* natural infection, specifically sublethal infection in animals, 600 is vital in predicting outbreaks in endemic areas. Additionally, identifying sublethal infection 601 can also aid in describing anthrax dynamics in ecosystems and understanding the host-pathogen 602 interaction, which is still poorly understood (Carlson et al., 2018). Current conventional 603 serological assays for anthrax diagnosis and evaluation of immune responses to anthrax 604 vaccines have been developed based on the PA of B. anthracis, which is reactive to both 605 naturally acquired and vaccine-generated antibodies. Though animals with natural infections 606 could be distinguished from vaccinated animals based on vaccination history, collecting such 607 data is somewhat cumbersome due to data accessibility and missing records. Besides, although 608 the duration of solid immunity among vaccinated animals is no longer than a year (Stear, 2005), 609 a previous study found that residual antibodies in vaccinated animals were still significantly 610 higher than non-vaccinated animals even after a year had passed (Simbotwe et al., 2019). Given 611 the importance of serodiagnosis for distinguishing *B. anthracis* natural infection from vaccine 612 immunity, the CapA322-ELISA that detects antibodies against CapA encoded by pXO2 of B. 613 anthracis without cross-reacting with sera from vaccinated animals (Figures 4F and 6C) was 614 developed.

615 Except for a few unusual B. cereus strains (Table 5), the C-terminus region of CapA, 616 named CapA322, has lower sequence similarity with other bacterial species and showed 617 immunoreactivity with sera from horses infected with virulent *B. anthracis*. The γ -D-PGA 618 capsule of *B. anthracis*, a critical virulence determinant of *B. anthracis*, is synthesized by CapB 619 and CapC, and transported by CapA and CapE across the cell membrane (Candela and Fouet, 620 2005). Thus, CapA is essential for transporting the γ -D-PGA, but the immunoreactivity of this membrane protein is yet to be determined. To the best of our knowledge, this study is the first 621 622 to identify CapA as immunoreactive. While the whole length of CapA, as well as its C-terminal 623 region (CapA322), are immunoreactive (Figure 4B), the CapA322 was selected for ELISA

development because it was more soluble (Figure 3) compared to the whole length protein(Figures 1 and 2A).

626 CapA is a single-pass transmembrane protein that belongs to the metallophosphatase superfamily (Lu et al., 2020). A single transmembrane helix domain is located at the N-627 628 terminus region from 25 to 44 of CapA, translocating the protein in the cell membrane, thereby 629 exposing the C-terminus region to the cell exterior. This exposed cell surface can define the 630 immunoreactive characteristic of the CapA322. Many antigens or vaccine candidate-searching 631 studies have been targeting surface-exposed proteins (Chakravarti et al., 2000; Pizza et al., 632 2000; Sutcliffe and Harrington, 2002). Cell surface molecules have a greater chance to generate a host cell immune response by its position as they are more exposed to host cells (Chaudhuri 633 634 et al., 2014). Previously, a few unusual B. cereus strains have been reported to cause anthraxlike disease in animals and humans due to the acquisition of virulence plasmids that are highly 635 636 similar to the *B. anthracis* virulence plasmids pXO1 and pXO2 (Hoffmaster et al., 2006; Klee 637 et al., 2010). Those B. cereus strains are divided into two variants; atypical strains such as 638 03BB102 and 03BB108 and B. cereus biovar anthracis (Bcbva) strains such as BC-AK and CI 639 (Baldwin, 2020). B. anthracis CapA exhibited relatively high sequence homology with the so-640 called Bcbva and atypical B. cereus strains (Table 5); therefore, it is possible for CapA322-ELISA to cross-react with such strains. However, these strains have only been reported in rare 641 642 cases (Cachat et al., 2008; Han et al., 2006; Sergeev et al., 2006). Also, studies suggest that 643 pXO2 plasmid is not commonly distributed in *B. cereus* and *B. thuringiensis* strains, which are 644 closely related to *B. anthracis* (Kim et al., 2005; Ramisse et al., 1996). Moreover, screening of 645 pXO2 ORFs among *B. cereus* group strains revealed a restricted distribution of *cap* genes other 646 than in *B. anthracis* (Pannucci et al., 2002). Further, despite the presence of *cap* genes, it is 647 doubtful whether *cap* genes in atypical *B. cereus* strains 03BB102 and 03BB108 are expressed 648 because there was no capsule expression detected in-vitro conditions where it is normally 649 expressed in B. anthracis (Cachat et al., 2008; Hoffmaster et al., 2006). A part of the plasmid 650 harbored by strain 03BB102 is highly similar to a portion of the *B. anthracis* pXO2, but the 651 rest of the sequence is different (Baldwin, 2020); therefore, cap genes expression might be 652 attenuated in this strain, resulting in the absence of capsule. Although CapA homologs in Bcbva 653 and atypical B. cereus strains are not expected to significantly confound the result of CapA322-654 ELISA because of the rarity of such strains in nature, further validation is required to examine 655 the specificity of CapA322-ELISA by assessing possible antibody cross-reactivity.

656 A comparison of results from CapA322-ELISA and PAD1-ELISA showed that CapA322-ELISA could detect anti-CapA antibodies in the sera of horses experimentally and naturally 657 infected with virulent *B. anthracis* strains. Several ELISAs have been used for serodiagnosis 658 of B. anthracis infection, including assays for detecting anti-LF (Ghosh et al., 2013) and PA 659 (Ghosh and Goel, 2012) IgGs; however, detected antibodies themselves are insufficient to 660 distinguish the natural infection of *B. anthracis* from vaccine immunity. Moreover, there is an 661 ELISA for detecting anti-y-D-PGA IgG of *B. anthracis* (Harrison et al., 1989). However, Chen 662 663 Z et al. found γ -D-PGA antibodies in sera of B. anthracis non-infected chimpanzees (n = 9) and humans (n = 6), which were likely the result of exposure to other *Bacillus* species (Chen 664 665 et al., 2015). In our study, anti-CapA antibodies were not detected in any horse sera (n = 6)666 other than positive samples (n = 3) when the starting dilution of 1:100 was used (Figure 6C). In addition, unlike PA-based ELISA, CapA322-ELISA showed an advantage of non-cross-667 reactivity with vaccinated horse sera, suggesting that the CapA322 can be a helpful tool for 668 determining the naturally acquired immune response of animals. For further validation of the 669 670 CapA322-ELISA, screening a larger sample size for horses, including cattle, is needed. Future 671 work will therefore include follow-up work designed to validate the assay.

672 In preparing vaccinated horse serum samples, significant diversity in the antibody response 673 was observed (Figure 5A). This is in line with data observed by Phaswana et al. (Phaswana et 674 al., 2017) where five individual Boer goats vaccinated with Sterne 34F2 B. anthracis strain 675 showed variable levels of immune response. The Sterne vaccine elicited an immune response 676 in three out of four vaccinated horses in our study. However, the anti-PA IgG titers 677 progressively declined from around 5 weeks postvaccination (Figure 5A). This could explain 678 the negative result observed when the serum of PC3 collected from *B. anthracis* naturally 679 infected horse was tested by PAD1-ELISA (Figure 6C). Although the time interval from 680 infection to sample collection could not be determined, it can be speculated that the anti-PA IgG titer in PC3 serum had already decreased below detectible levels by that time. Also, as a 681 682 major limitation of this study, weekly anti-PA IgG titers in PC2 and PC3 could not be evaluated 683 as these were collected at a single point in time and analyzed retrospectively. Nevertheless, the 684 anti-CapA antibodies were detectable by our developed assay, suggesting better stability 685 relative to anti-PA IgG. Although the horses were carefully selected as the same age and sex 686 and subcutaneously vaccinated them with the same lot of vaccines using the same procedure, 687 one horse did not show any immune response (Figure 5A). Therefore, this observation may

have been due to differences in the horse's immunological state or genetic background rather than technical vaccination failure. Therefore, more studies with various vaccine doses and challenging tasks will be needed to determine the optimal amount for horse vaccination.

691 In addition to the CapA (GBAA_RS28240), peptide ABC transporter substrate-binding 692 protein (GBAA_RS28340) was identified as immunoreactive. The peptide ABC transporter 693 substrate-binding protein was previously reported to be seroreactive with antisera of rabbit and 694 mice infected with *B. anthracis* Ames spore, and convalescent serum from rhesus macaques 695 vaccinated with Anthrax Vaccine Adsorbed (AVA, BioThrax) (McWilliams et al., 2012). 696 Chitlaru T et al. (Chitlaru et al., 2007) highlighted that substrate-binding proteins of ABC 697 transporters are highly immunogenic protein classes. It also noteworthy that Orit Gat et al. 698 conducted a similar study in search of potential immunogen proteins from the B. anthracis 699 genome, including two virulence plasmids. Three of their eight selected proteins from pXO2, 700 lysozyme (GBAA_RS28005), amidase (GBAA_RS28165), and metal-dependent hydrolase 701 (GBAA_RS28430), coincided with our selection. Although I failed to clone CDSs of lysozyme 702 (GBAA_RS28005) and amidase (GBAA_RS28165), they determined that these two proteins 703 are immunoreactive with guinea pig and rabbit hyperimmunized with Vollum strain of B. 704 anthracis (pXO1⁺, pXO2⁺) (Gat et al., 2006). In contrast, metal-dependent hydrolase 705 (GBAA RS28430) was negative, which was the same as our result. The immunoreactive 706 proteins identified here and in previous studies (Ariel et al., 2002; Chitlaru et al., 2007; Gat et 707 al., 2006; Kempsell et al., 2015; McWilliams et al., 2012) may be the first candidates for future 708 diagnostic developments. These proteins may provide valuable additives for AVA 709 improvement; the vaccine is currently licensed for humans and consists primarily of PA 710 (Turnbull et al., 1986). Although the vaccine is effective, expanding the vaccine protection by 711 including additional antigens in its formulation is necessary for a less demanding vaccination 712 regimen and as a defense against bioterrorism (Grabenstein, 2008). Further, regardless of the 713 protective immunity provided by PA, previous studies noted that relying on antibodies against 714 PA as the sole protector against anthrax is unconvincing due to the variable protection level 715 conferred by antibodies generated by the PA-based vaccine (Ivins et al., 1995; Ivins et al., 716 1998; Ivins et al., 1992; Welkos and Friedlander, 1988). Our study showed the possibility of 717 antibodies against PA being short-lived in the sera of vaccinated animals, which declined to 718 range from 3 to 5 weeks after immunization (Figure 5A). Considering these aspects, adding 719 recombinant antigens to the PA might increase the durability and protective efficacy of the

- 720 AVA vaccine with a less demanding vaccination regimen through a multi-antigen cocktail
- vaccine, as has been achieved against *Bordetella pertussis* infection (Jefferson et al., 2003).

722 CHAPTER II: Risk Factors and Spatio-temporal Patterns 723 of Livestock Anthrax in Khuvsgul Province, Mongolia

724 Summary

Anthrax, a worldwide zoonotic disease, has long been a public health and socio-economic issue in Mongolia. Presently, there is no spatial information on carcass burial sites as a potential hazard of future anthrax outbreaks and possible risk factors associated with anthrax occurrences in Mongolia.

729 Here, retrospective data (1986–2015) on the disposal sites of livestock carcasses was 730 analyzed to describe historical spatio-temporal patterns of livestock anthrax in Khuvsgul 731 Province, which showed the highest anthrax incidence rate in Mongolia. From the results of 732 spatial mean and standard deviational ellipse analyses, the study found that the anthrax spatial 733 distribution in livestock did not change over the study period, indicating a localized source of 734 exposure. The multi-distance spatial cluster analysis showed that carcass sites distributed in 735 the study area are clustered. Using kernel density estimation analysis on carcass sites, two 736 anthrax hotspots were identified in low-lying areas around the south and north regions. Notably, 737 this study disclosed a new hotspot in the northern part that emerged in the last decade of the 738 30-year study period. The highest proportion of cases was recorded in cattle, whose prevalence 739 per area was highest in six districts (i.e., Murun, Chandmani-Undur, Khatgal, Ikh-Uul, 740 Tosontsengel, and Tsagaan-Uul), suggesting that vaccination should prioritize cattle in these 741 districts. Furthermore, size of outbreaks was influenced by the annual summer mean air 742 temperature of Khuvsgul Province, probably by affecting the permafrost freeze-thawing 743 activity.

744 Introduction

745 Anthrax is a zoonotic disease caused by the Gram-positive bacterium Bacillus anthracis, 746 existing as a spore outside its host animal (Mock and Fouet, 2001). The spores are highly 747 resistant to extreme temperatures, radiation, and chemical substances and can persist in soil for 748 several decades (Stephens, 1998). Anthrax has a wide range of mammalian hosts, including 749 humans, livestock, and wildlife. Domesticated herbivores such as cattle, sheep, and goats are 750 the most susceptible to anthrax; grazing with ingesting or inhaling high doses of spores usually 751 culminates in fatal disease (Hugh-Jones and de Vos, 2002). Anthrax is not contagious as direct 752 transmissions between herbivores are thought to be rare (Beyer and Turnbull, 2009; Fasanella 753 et al., 2010). Although herbivores are the primary hosts for anthrax, humans also get infected 754 with anthrax through contact with infected animals or contaminated animal products, such as 755 meat, hide, and wool (Kaufmann and Dannenberg, 2002).

756 Several environmental factors influence the persistence of *B. anthracis* spores and the 757 onset of anthrax outbreaks. Long-range dispersal of spores is influenced by weather conditions, such as floods and strong winds (Fox et al., 1977; Munang'andu et al., 2012), and by biological 758 759 vectors, such as birds, scavengers, and biting flies (Cieslak and Eitzen, 1999; Dragon et al., 760 2001; Turell and Knudson, 1987). Soil conditions, such as high moisture, rich calcium, high 761 organic content, and pH neutral to alkaline, correlate with the onset of outbreaks (Dragon and 762 Rennie, 1995; Hugh-Jones and Blackburn, 2009). Spores can be concentrated in low-lying 763 areas through runaway by rain and water streams, affecting the spatial distribution of outbreaks 764 (Van Ness, 1971). Recent studies highlight the role of permafrost in preserving *B. anthracis* 765 spores for a long time in the frozen ground below 0 °C, and the effect of increasing temperature on permafrost thawing due to the spore spillover to the soil surface (Timofeev et al., 2019). 766

Anthrax is globally distributed, and sporadic cases have been reported on every populated continent (Carlson et al., 2019). In industrialized countries where focused, comprehensive, and sustained livestock vaccination programs have been successfully implemented, the disease has dramatically declined (Hampson et al., 2011). However, the burden of anthrax remains high in low- and middle-income countries in Africa and Asia, where animal vaccination is erratic (Vieira et al., 2017). 773 In Mongolia, anthrax has posed severe challenges to public health and veterinary services 774 for a long time. Approximately 25% of the national population of 3,000,000 residents live a 775 nomadic pastoral lifestyle, raising livestock under a free-ranging system. Livestock products 776 are an essential income source for these people (Shagdar, 2002), thus, making anthrax control 777 a great priority. Mongolia was once a socialist country with close connections to the Soviet 778 Union from around 1920 to the late 1980s. The introduction of routine animal vaccination from 779 1948 onward resulted in a drastic decrease in anthrax incidence (Odontsetseg et al., 2007). However, following the collapse of the Soviet Union, political revolution and economic 780 781 transition from socialism to a free market began in the early 1990s in Mongolia. Due to the 782 transformation recession, healthcare delivery and mass vaccination in the veterinary sector 783 were ceased (Ebright et al., 2003). Among 19 of 21 provinces in Mongolia, with records of 784 anthrax incidences, frequent outbreaks are restricted to the provinces at the northern and 785 northeastern regions of the country (Odontsetseg et al., 2007). Among them, Khuvsgul has the 786 highest livestock anthrax rate, accounting for 40.35% of all cases (Badmaeva et al., 2014).

787 The spores of *B. anthracis* can persist in soil for several decades, and may even be preserved longer in frozen grounds (Stella et al., 2020). The potential hazard of the historic 788 789 carcass sites was confirmed by surveys of viable spore detection in previous carcass sites 790 (Dragon et al., 2001). The current disposal practice of animals dying from anthrax in Mongolia 791 mainly involves burying, and those carcass burial grounds are generally identical to outbreak 792 locations (General Authority for Veterinary Services, 2019). Although disease control 793 measures include restriction of access to carcass burial sites, which are most likely to be 794 contaminated with spores, presently, there is a lack of information on the spatial pattern of 795 carcass sites, thus limiting the implementation of interventions. Here, retrospective data (1986-796 2015) on the burial sites of livestock carcasses was analyzed to describe historical spatial 797 patterns and temporal trends in livestock anthrax across the Khuvsgul Province to answer the 798 following questions; What is the spatial pattern of carcass sites? Where are the areas of high 799 carcass site concentration in previous and recent times? Which districts have more burden of 800 anthrax? Also, The study was interested in exploring the relationship between the number of 801 anthrax cases and animal population, annual mean precipitation, and annual mean summer air 802 temperature, considering the anthrax seasonality in Mongolia (Odontsetseg et al., 2007). This 803 study is the first spatio-temporal study on anthrax in Mongolia and could serve as a baseline 804 for future anthrax studies and public health interventions.

805 Materials and methods

806 **Data source**

807 Among 21 administrative provinces in Mongolia, Khuvsgul Province is the northmost 808 province, bordering Siberia, Russia, with 24 districts. Anthrax is notifiable under the law on 809 Livestock Health and Gene Protection of Mongolia (General Authority for Veterinary Services, 810 2019). Thus, reporting all suspected cases of anthrax is mandatory. An animal or animal carcass 811 with symptoms suggestive of anthrax (e.g., high fever, breathing difficulty, sudden death, a 812 carcass without rigor mortis, etc.) was considered as a suspicious case, while a positive 813 standard bacteriology and/or molecular laboratory tests was defined as a confirmed case (Stear, 2005). Here, basic yearly information on livestock in Khuvsgul Province, including livestock 814 815 and human population, the number of livestock anthrax cases, and GIS data of carcass burial 816 sites from 1986 to 2015 were collected. The Department of Veterinary Services of Khuvsgul 817 Province, Mongolia, provided the geographic information system (GIS) data for anthrax 818 carcass disposal sites recorded between 1986 and 2015. All these sites represent confirmed 819 cases previously diagnosed by clinical examination and standard bacteriology method at the 820 local veterinarian and the State Central Veterinary Laboratory (SCVL), Ulaanbaatar, Mongolia. 821 The standard bacteriology methods included Giemsa and polychrome methylene blue (PMB) 822 staining for microscopic detection of *B. anthracis* and its poly-D-glutamic acid capsule in blood smears. The methods also involved culture and isolation of the bacterium, as previously 823 824 described in the OIE manual (Stear, 2005). In addition, samples collected after 2000 were 825 further confirmed by polymerase chain reaction (PCR) for the detection of toxin (pagA) and 826 capsule (capB) coding genes of B. anthracis at the SCVL. This improvement in disease 827 diagnosis would not significantly impact the anthrax surveillance since the disagreement between the standard bacteriology and PCR tests for anthrax confirmation is negligible 828 829 (Beradze, 2019).

The livestock and human population data in the Khuvsgul Province was obtained from the Statistics Office of Khuvsgul Province, Mongolia (National Statistics Office of Mongolia). Data on annual precipitation and air temperature of Khuvsgul Province were provided by the Information and Research Institute of Meteorology, Hydrology, and Environment, Mongolia. Administrative areas, elevation, and inland water maps of Mongolia were downloaded from the database of DIVA-GIS (Hijmans et al., 2004). The project was approved by the review
board of the Institute of Veterinary Medicine, Mongolia, Reference number: 20082001.

837 The cattle anthrax prevalence per area in Khuvsgul Province by districts 838 (1986–2015)

The annual average prevalence of anthrax per 1,000,000 cattle population per 1000 km² was calculated at the district level. *B. anthracis* is a non-invasive pathogen regarded as noncontagious; therefore, direct animal-to-animal transmission is not expected to occur except in osteophagia or carnivore activities (Beyer and Turnbull, 2009; WHO, 2008). Indeed, soil is the natural reservoir of the *B. anthracis* spores and becomes the primary source of animal infection (Carlson et al., 2018). Thus, considering the mode of anthrax transmission, the cattle anthrax prevalence was estimated, taking into account the area (km²) of each district as follows:

846
$$prevalence \ per \ area = \frac{annual \ average \ anthrax \ cases}{(average \ animal \ population \times \ area)}$$

A cartographic map was used to visualize the distribution of cattle anthrax prevalence
using ArcGIS v.10.6.1 (ESRI Inc., Redlands, CA, USA).

849 The spatial mean and standard deviational ellipse analyses

The analyses were conducted on ArcGIS v.10.6.1 software (ESRI Inc., Redlands, CA, USA) (Environmental Systems Research Institute). The standard deviational ellipse (SDE) analysis was performed to summarize the spatial attributes of geographic features with coordinates (Yuill, 1971). The unweighted spatial mean and SDE analyses were used to determine the directional trend and spatial characteristics of carcass sites in the study area. To reveal the temporal changes of carcass sites resulting from anthrax incidences, the entire study period was divided into three time parts: 1986–1995, 1996–2005, and 2006–2015.

857 Multi-distance spatial cluster analysis

According to the guide on the manufacturer's website, a multi-distance spatial cluster analysis tool in ArcGIS v.10.6.1 software (ESRI Inc., Redlands, CA, USA) was used to determine the maximum distance relationship between animal carcass sites (Environmental
Systems Research Institute). The tool uses Ripley's K function as shown in the equation

862
$$L(d) = \sqrt{\left[A \sum_{i=1}^{N} \sum_{j=1, i \neq j}^{N} k(i, j)\right] / [\pi N(N-1)]}$$

where d is the distance, N is the total number of events, A is the area, and the weight k(i, j) is the influence of the elements within the distance. When the distance between i and j is less than or equal to d, k(i, j) is 1, and k(i, j) is 0 when the distance between i and j is greater than d.

866 To analyze the spatial pattern of carcass sites, observed K-values, determined using actual 867 GIS coordinates, were compared with the expected K-values, calculated through the random 868 spatial distribution of carcass sites. The defaults 10 times was used as the number of distance 869 changes with 999 simulations, equal to confidence levels of 99.9%. The minimum enclosing 870 rectangle was utilized as the study area method. Positive value from the difference between the observed K and expected K (Diff K) indicates clustering. When the observed K-value for a 871 872 specified expected K-value is larger than the upper confidence envelope (HiConEnv) value, 873 the spatial value is statistically significant. In the following kernel density estimation analysis, 874 to avoid underestimating the hotspot areas, the maximum expected K with a statistically significant value was used as the maximum distance for the relationship between carcass sites 875 876 in the Khuvsgul Province.

877 Kernel density estimation analysis

The kernel density estimation (KDE) analysis was used to identify hotspots of animal carcass disposal locations. The analysis was performed using ArcGIS v.10.6.1 software (ESRI Inc., Redlands, CA, USA) by employing the quadratic kernel function described by Silverman *et al.* (Läuter, 1988) to estimate carcass densities. The distances 88, 58, 83, and 88 km, calculated from Ripley's K function corresponding to the periods (i.e., 1986–2015, 1986–1995, 1996–2005, 2006–2015), were applied as a search radius. The KDE output is classified into five categories, according to the equal interval method.

885 Statistical analyses

886 Univariate and multivariate logistic regression models were used to determine potential 887 risk factors associated with a large number of anthrax cases among livestock in the Khuvsgul 888 Province. In the univariate analysis, anthrax outbreaks were categorized into a large or small 889 number of cases. Annual cases that were above the mean of total cases (\geq 51) during the whole 890 study period (1986–2015) were considered a large number of cases and were separately 891 analyzed with different variables, including total livestock population, cattle population, 892 human population, annual mean air temperature in summer months (June to August), and 893 annual mean precipitation. In Mongolia, anthrax is a seasonal disease that mostly occurs in 894 summer when animals graze on pastures (Odontsetseg et al., 2007). In addition, most 895 permafrost in the Khuvsgul region is at temperatures close to 0 °C, and thaws only in summer 896 (Sharkhuu et al., 2007), which possibly leads to spore spillover from buried carcasses to the 897 soil surface. Thus, the effect of temperature variability in summer was investigated. The 898 variables that met the criteria of p < 0.2 in the univariate analysis were further evaluated in the 899 multivariate logistic regression model, with a statistical significance level at p < 0.05. 900 Afterward, correlation tests were conducted between anthrax cases and cattle population or 901 temperature to determine the direct relationships.

Simple linear regression analyses were used to determine livestock anthrax trends corresponding to before and after the Mongolian economic transition (1986–2000 and 2001– 2015, respectively). A linear regression model was also applied to identify the annual summer (June to August) temperature changes in the Khuvsgul Province throughout the 30-year study period from 1986–2015. All statistical analyses were conducted using R v.3.5.0.

907 **Results**

908 Old and recent trends of anthrax between 1986 and 2015

909 A total of 1529 livestock cases were reported over the study period (1986–2015), with the 910 majority of cases reported in cattle (76.5%; n = 1169), followed by horse (10.3%; n = 157), 911 sheep (9.2%; n = 141), and goat (4.1%; n = 62) (Figures 7A and 7B). Regarding the disease 912 prevalence in livestock by animal species for 30 years, cattle were the highest, followed by 913 horse, sheep, and goat, with proportions of 352, 90, 12, and 4 per 100,000 population, 914 respectively (Figure 7C). There were no anthrax reports in sheep and horses before 2000, and 915 few outbreaks were reported in goats. After 2000, the host range expanded, and reports of 916 anthrax incidents in goats, sheep, and other animal species had increased. Anthrax outbreaks 917 in horses were sporadic and occurred only three times over the entire 30-year period. However, 918 a large outbreak occurred in 2015, affecting more than 150 horses (Figure 8).

919 Further, simple linear regression analyses were conducted to determine livestock anthrax 920 trends before and after the Mongolian economic transition (1986-2000 and 2001-2015, 921 respectively). A dramatic increase was observed in the annual number of anthrax cases between 922 1986 and 2000, with an average rate of 10.1 ± 2.3 (p < 0.001) in a year. Several large outbreaks 923 occurred after 2000, and the average annual case number was 2.5 ± 2.6 without significant 924 increase or decrease in cases (p = 0.35) (Table 6). Although neither a significant increasing nor 925 decreasing trend was observed in the total livestock anthrax cases, cattle anthrax cases were 926 significantly reduced (Table 7).

A total of 1169 cattle anthrax cases were reported in Khuvsgul Province between 1986 and 2015. Annual cattle anthrax prevalence per 1,000,000 population per 1000 km² was high in the districts Murun (ID 11), Chandmani-Undur (ID 5), Khatgal (ID 24), followed by Ikh-Uul (ID 8), Tosontsengel (ID 16), and Tsagaan-Uul (ID 18) (Table 8 and Figure 9). The average human and livestock population density for 30 years was highest in the Murun district (Figure 10).





933 Figure 7. The distribution of anthrax in Khuvsgul Province between 1986 and 2015. (A)

Annual dynamics of registered livestock anthrax cases. (B) Reported anthrax cases in livestock

935 species. (C) Prevalence of anthrax in livestock by species for 30 years.

- 936
- 937



939 Figure 8. Anthrax in livestock species. Anthrax dynamic in livestock from 1986 to 2015.

940 The spatio-temporal anthrax pattern and high-risk areas

The three identified SDEs with their spatial means of carcass locations are shown in Figure 11. There were no significant directional changes in anthrax occurrence between the three periods and the spatial means of carcass sites adjoined in the southern part of the study area. By overlaying the ellipses and spatial means on an elevation map of Khuvsgul Province, it was observed that carcass sites were distributed along the rivers and seasonally dry riverbeds that confluent into wide rivers in the southern region.

947 To determine the distribution pattern of carcass sites and the maximum distance between 948 carcass sites in the three periods, multi-distance spatial cluster analysis was conducted. The 949 result indicated that the maximum distances of the significant spatial association between 950 carcass sites corresponding to the periods (i.e., 1986–2015, 1986–1995, 1996–2005, and 2006– 951 2015) were 88, 58, 83, and 88 km, respectively (Table 9). This result showed that carcass sites 952 distributed in the study area are clustered rather than dispersed in the specified range of 953 distances, and the observed relationships were statistically significant. The identified 954 maximum distances were then used in the KDE analysis to estimate the density of carcasses to 955 identify anthrax hotspots, consecutive anthrax hotspots were found in the southern region of the Khuvsgul Province across the entire study period and an emerging new hotspot in the 956 957 northern part of the area between 2006 and 2015 (Figure 12).

958 Table 6. Annual livestock anthrax incidences in Khuvsgul Province during (1986–2000)

959	and after (2001–2015)	the economic transition of Mongolia.
-----	-----------------------	--------------------------------------

				Linear regression			
Period	Anthrax cases in	Anthrax cases in Ann ¹ min		Slope	n	\mathbf{R}^2	
i chida	livestock		7 min mux	blope	P		
1986-2000	825	4	191	10.10 ± 2.261	0.0006	0.6058	
2001-2015	704	9	173	2.529 ± 2.622	0.3524	0.06678	

¹Minimum annual number of cases; ²Maximum annual number of cases

960

961 Table 7. Annual cattle anthrax incidences in Khuvsgul Province during (1986–2000) and

962 after (2001–2015) the economic transition of Mongolia.

				Linear regression			
Period	Anthrax cases in cattle	Ann ¹ min	Ann ² max	Slope	р	\mathbb{R}^2	
1986-2000	805	3	191	10.08 ± 2.313	0.0008	0.5933	
2001-2015	364	0	58	-2.475 ± 0.6898	0.0033	0.4976	

¹Minimum annual number of cases; ²Maximum annual number of cases

Б	D	Number of	Average cases	Average cattle	Area of the	Ann ¹
ID	District name	cattle cases	(min ^a -max ^b)	population (min ^c -max ^d)	districts (km ²)	prevalence
1	Alag-Erdene	59	1.97 (3–29)	12106 (8315–20094)	4503	36
2	Arbulag	45	1.5 (1–28)	16115 (7579–27823)	3529.2	26
3	Bayanzurkh	36	1.2 (2–20)	16275 (11593–23319)	4299.1	17
4	Burentogtokh	66	2.2 (1–26)	15174 (5755–24978)	3768.6	38
5	Chandmani- Undur	120	4 (1–42)	14054 (11159–16975)	4487.5	63
6	Erdenebulgan	34	1.13 (1–18)	13845 (10447–16497)	4694.4	17
7	Galt	32	1.07 (1-12)	17300 (9654–27537)	3596.8	17
8	Ikh-Uul	51	1.7 (1–20)	13709 (9873–18942)	2023.8	61
9	Jargalant	44	1.47 (1–15)	12624 (6100–20375)	2549.2	46
10	Khankh	16	0.53 (1–13)	8589 (903–14919)	5498.7	11
11	Murun	85	2.83 (1–24)	11200 (6207–20150)	102.9	2458
12	Rashaant	19	0.63 (1–3)	12230 (8874–17462)	1982.5	26
13	Renchinlkhumbe	121	4.03 (1–18)	22632 (16469–29020)	8448.3	21
14	Shine-Ider	25	0.83 (1–7)	13897 (7140–24723)	2053.6	29
15	Tarialan	50	1.67 (1–25)	17058 (12000–22176)	3430.7	28
16	Tosontsengel	43	1.43 (1–9)	13592 (8823–21358)	2042.2	52
17	Tsagaan-Nuur	4	0.13 (2)	2602 (835–3991)	5408.3	9
18	Tsagaan-Uul	170	5.67 (2–113)	18345 (4913–37194)	5866.3	47
19	Tsagaan-Uur	21	0.7 (2–12)	12855 (10419–15131)	8735.3	6
20	Tsetserleg	59	1.97 (1–24)	16990 (5967–33153)	7451.6	16
21	Tumurbulag	16	0.53 (1-8)	12603 (6557–19964)	2521.7	17
22	Tunel	16	0.53 (1-4)	12646 (8828–17166)	3577.3	12
23	Ulaan-Uul	27	0.9 (1–18)	18739 (13615–23438)	10057.5	5
24	Katgal	10	0.33 (4–6)	6990 (3915–9657)	911.4	52

Table 8. Annual cattle anthrax prevalence per 1,000,000 population per 1000 km² in
Khuvsgul Province by districts.

¹Annual anthrax prevalence per 1,000,000 cattle per 1000 km2

^a Minimum annual number of cattle anthrax cases; ^b Maximum annual number of cattle anthrax cases

^c The smallest annual cattle population number; ^d The largest annual cattle population number



967

968 Figure 9. Annual cattle anthrax prevalence per 1,000,000 population per 1000 km² in

969 Khuvsgul Province by districts. Numbers correspond to the ID number in Table 8. The Murun
970 (district ID 11), the central administrative district of Khuvsgul Province, had the highest cattle

anthrax prevalence per area. The maps are reprinted from (Hijmans et al., 2004) under a CC

972 BY license, with permission from DIVA-GIS and Dr. Robert Hijmans.





977 Figure 10. Average human and livestock population densities by districts of Khuvsgul
978 Province (1986–2015). Murun district is the administrative center of the province and is
979 estimated with the highest human and livestock population densities. The maps are reprinted
980 from (Hijmans et al., 2004) under a CC BY license, with permission from DIVA-GIS and Dr.
981 Robert Hijmans.



982

Figure 11. The directional distribution of animal carcass sites registered between 1986 983 984 and 2015. Unweighted spatial means and standard deviational ellipse (SDE) were determined 985 in three periods (1986–1995, 1996–2005, and 2006–2015). The unweighted spatial mean 986 centers indicate the average value of carcass locations in the given time phases. Ellipses with 987 mean centers were combined to denote the directional distribution of carcass sites. The base 988 map is the elevation in meters, with lighter areas being lower in elevation. The maps are 989 reprinted from (Hijmans et al., 2004) under a CC BY license, with permission from DIVA-GIS 990 and Dr. Robert Hijmans.

Table 9. Result of multi-distance spatial cluster analyses.

	expectedK (m)	observedK (m)	diffK (m)	LwConfEnv	HiConfEnv
	7212.506103	17579.10497	10366.598	0	14916.3645
	14425.01221	31446.4574	17021.4452	7861.61435	24103.2532
	21637.51831	37373.68796	15736.1697	17223.9341	31837.1116
	28850.02441	44053.1086	15203.0842	22785.1231	39308.0717
1096 1005	36062.53051	50092.73595	14030.2054	30244.2343	46376.8617
1980-1995	43275.03662	57770.83113	14495.7945	38353.0772	54125.3735
	50487.54272	64253.97011	13766.428	45298.1825	60997.2115
	57700.04882	69698.43213	11998.3833	52029.3968	69520.8562
	64912.55492	74747.37592	9834.821	59457.9252	76464.0512
	72125.06103	8018.72247	7893.66144	66056.276	84012.6701
	8324.025878	50481.65039	42157.6245	5820.17166	13505.5768
	16648.05176	53586.4411	36938.3893	14617.4307	22015.0963
	24972.07763	56819.80914	31847.7315	22367.3602	29456.8453
	33296.10351	60247.58951	26951.486	30712.7286	38131.2653
1006 2005	41620.12939	68884.11614	27263.9867	39408.2589	45970.0043
1990-2003	49944.15527	76807.26993	26863.1147	47228.1572	54954.8258
	58268.18115	83379.06679	25110.8856	55450.4559	63264.4483
	66592.20703	88679.60196	22087.3949	63715.9032	71810.3452
	74916.2329	96178.56519	21262.3323	71574.0964	79212.2517
	83240.25878	99951.69691	16711.4381	79212.2517	87719.4381
	8813.435842	25903.4777	17090.0419	4729.30635	13652.3315
	17626.87168	35757.64284	18130.7712	13788.1789	23881.8264
	26440.30753	44364.82609	17924.5186	23408.8721	32822.431
	35253.74337	52592.49506	17338.7517	31725.1515	40911.4613
2006_2015	44067.17921	62324.05206	18256.8728	41047.9091	50752.898
2000 2013	52880.61505	70913.31648	18032.7014	49901.0924	59383.7187
	61694.0509	82864.14146	21170.0906	58530.142	68425.2892
	70507.48674	90538.73804	20031.2513	66182.1323	77156.8129
	79320.92258	98713.05977	19392.1372	75149.8535	85629.6171
	88134.35842	104989.8909	16855.5325	83379.8751	94487.5484
	8821.76704	34127.46953	25305.7025	7653.6782	10929.5374
	17643.53408	39099.76416	21456.2301	16324.0946	19805.2562
1986–2015	26465.30112	46413.42786	19948.1267	25122.8531	29104.9007
	35287.06816	52656.71269	17369.6445	34085.3732	37663.319
	44108.8352	61914.98147	17806.1463	42647.5556	46444.3516

52930.60224	70904.43218	17973.8299	51067.6488	55533.7825
61752.36928	80358.26118	18605.8919	59906.6969	64401.9258
70574.13632	88048.28697	17474.1507	68322.2185	72936.6722
79395.90336	95759.47909	16363.5757	76914.7807	81190.1273
88217.6704	102592.5447	14374.8743	85190.684	89747.3321

The maximum expected K distances with statistically significant values are highlighted with gray background in the table. The spatial values corresponding to the time phases were then used for kernel density estimation analysis on anthrax carcass sites.





Figure 12. Hotspot analysis of carcass sites using kernel density estimation. The heat maps
show the estimated density of anthrax carcass sites per square kilometer from very low
(transparent) to very high (red): very low <20%, low 40%, medium 60%, high 80%, and very
high >80% of the estimated highest values in each period. The maps are reprinted from
(Hijmans et al., 2004) under a CC BY license, with permission from DIVA-GIS and Dr. Robert
Hijmans.

Positive association between cattle population, temperature, and anthrax case numbers

Two factors, cattle population number and annual mean temperature of summer months, have met the criteria in the initial univariate analyses. In multivariate logistic regression analysis, the odds of having a large number of anthrax cases (≥ 51) were multiplied by 7.63 for every 100,000 increase in cattle population size and by 2.86 for every 1 °C increase in mean temperature of summer (Table 10).

1007 Cattle population, mean summer temperature, and outbreak magnitude

1008 After identifying that cattle population and temperature are positively correlated with the 1009 anthrax case number, I investigated the extent to which the two factors affected the magnitude 1010 of an anthrax outbreak. A simple linear regression was used to model the dependence of anthrax 1011 cases on the cattle population over the entire 30-year period. A steady increase was observed 1012 in livestock population in the first half of the study period (1986–1999), followed by a decrease 1013 in 2000 before rising again in the second half (2001–2015) (Figure 13A). A drastic reduction 1014 in cattle number in 2000 was attributed to a disaster associated with severe climatic conditions, 1015 which is called zud in Mongolia. Mongolia was hit by three consecutive zuds between 1999 1016 and 2002. Drought up to 60% of the national territory resulted in reduced pasture growth in 1017 summer and limited forage preparation by herders for the winter. Weakened by inadequate summer feeding and insufficient supplementary forage, several millions of animals died in 1018 1019 extremely harsh winter with temperatures up to -50°C in some areas. Overall, the national 1020 livestock population decreased by about 12 million because of the three-year sequent zud 1021 (Batima et al., 2008). A significant relationship between anthrax cases and cattle population 1022 (r = 0.52, p = 0.003) (Figure 13B) was found. The regression line's slope was 3.95 ± 1.2 , 1023 suggesting that for every 10,000 increase in cattle population size, the number of anthrax cases 1024 in cattle increased by an average of about 4.

1025 A steady rise in annual mean summer temperature of 0.08449 ± 0.02077 (p < 0.001) 1026 (Figure 14A) was observed, which exhibited a positive correlation with the total anthrax cases 1027 in livestock over the entire study period (1986–2015) (r = 0.46, p = 0.009) (Figure 14B). The 1028 slope of the simple linear regression line was 19.24, suggesting that for every 1 °C increase in

- 1029 air temperature, the number of livestock cases increased by 19. No positive correlation was
- 1030 observed between the cattle population and temperature changes (Figure 15).

1031 Table 10. Factors potentially associated with a large anthrax outbreak occurrence by

1032 univariate and multivariate logistic regression: cases (large > $51 \ge$ small) vs. factors

Factors Univariate	OR^1	95% CI^2 for OR^1	<i>p</i> < 0.2
Total livestock population	1.00	1.00-1.00	0.5062
Cattle population	5.99	1.53–36.46	0.0224
Human population	1.00	1.00-1.00	0.273
Annual mean summer temperature (Jun-Aug)	2.07	1.02–5.11	0.0661
Annual mean precipitation	0.56	0.09–2.40	0.485
Year	1.04	0.95–1.15	0.3988
Factors Multivariate	OR^1	95% CI^2 for OR^1	<i>p</i> < 0.05
Cattle population	7.63	1.79–59.45	0.0172
Annual mean summer temperature (Jun-Aug)	2.86	1.16–9.71	0.0437

¹Odds ratio; ²Confidence interval





Figure 13. The magnitude of anthrax cases in relation to cattle population. (A) Cattle population by year. (B) Correlation between anthrax cases and cattle population between 1986 and 2015. r = 0.52, p = 0.003, 95% CI 0.2–0.743.



Figure 14. The magnitude of anthrax cases in relation to air temperature. (A) Linear regression of annual summer air temperature 1986–2015, slope = 0.08449 ± 0.02077 , R² = 0.4, p < 0.001, 95% CI 0.4195–0.127. (B) Correlation between anthrax cases and annual summer air temperature from 1986 to 2015 r = 0.46, p = 0.0099, 95% CI 0.1238–0.7058.

1043



1044

Figure 15. Interaction between temperature and cattle population. There was no correlation observed between the two risk factors with r = -0.0389, p = 0.83, 95% CI -0.3936– 0.3259.

1048 **Discussion**

1049 Here, I report spatial and temporal patterns of anthrax in livestock between 1986 and 2015 1050 based on the carcass burial sites of animals that died of anthrax in Khuvsgul Province, showing 1051 the highest anthrax incidence rate in Mongolia. Our primary objective was to examine the 1052 spatio-temporal dynamics of the disease based on the carcass burial sites and identify where B. 1053 anthracis spores may persist in the present day. First, the spatial distribution of carcass sites in 1054 three historical periods (1986-1995, 1996-2005, 2006-2015) was determined. It was found 1055 that the spatial distribution of carcass sites had not changed over the 30 years, indicating the 1056 recurrence of anthrax. Using KDE analysis on carcass sites, two hotspots were identified in 1057 low-lying areas around the south and north regions. There was a recently emerged hotspot 1058 identified in the northern part of the province in the last decade of the 30-year study period. 1059 Also, the highest proportion of anthrax cases was recorded in cattle, and cattle anthrax 1060 prevalence was high in several districts. Moreover, the disease trend during and after the 1061 transition period of the country's political change was shown. Furthermore, a positive association between outbreak size and cattle population number and the mean annual air 1062 1063 temperature of the summer months (June to August) was observed, highlighting the impact of 1064 these factors on anthrax occurrence in Khuvsgul region.

1065 Results of spatial mean and SDE analyses revealed a localized source of exposure of 1066 anthrax. No significant changes were observed in the distribution of anthrax carcass sites for 1067 the entire 30 years. Unweighted spatial means and spatial overlapping of three SDEs 1068 corresponding to every decade overlaid in the same geographical area. It appears that animals 1069 are repeatedly exposed to spores in the same geographical areas. This suggests that historic 1070 carcass sites are a potential hazard that serves as a source of spore exposure, resulting in 1071 recurrent outbreaks. The localized source of exposure, defined as independent outbreaks 1072 recurring in the same geographical areas, was also identified in several other spatio-temporal 1073 studies on anthrax that characterized outbreaks following a point-source pattern with limited 1074 geographical spread (Blackburn et al., 2014; Dragon et al., 2005; Driciru et al., 2018; Muturi 1075 et al., 2018). Strong, consistent spatio-temporal patterns were observed in two anthrax 1076 outbreaks in hippopotamus (Hippopotamus amphibious) with 5-year intervals in the same 1077 location in the Queen Elizabeth Protected Area, Uganda (Driciru et al., 2018). In addition, 1078 consecutive episodes occurred in the same area with a high density of white-tailed deer 1079 (*Odocoileus virginianus*) carcasses in West Texas (Blackburn et al., 2014). Furthermore,
1080 concurrent and spatially localized outbreaks were observed in the Serengeti ecosystem,
1081 Tanzania (Hampson et al., 2011). Known carcass sites could likely have been the source of
1082 anthrax infection over a certain distance range (Dragon and Rennie, 1995; Dragon et al., 2001;
1083 Mongoh et al., 2008). Therefore, proper disposal of carcasses is crucial to prevent further spore
1084 exposure from affected sites.

1085 KDE analysis identified two anthrax hotspots based on the density of animal carcasses that 1086 died of anthrax (Figure 12). One hotspot has been detected around the southern region for the 1087 entire 30 years, whereas the second hotspot emerged in the northern region in the last decade 1088 of the study period. Interestingly, both hotspots were identified in low-lying areas around the 1089 Murun district in the south and the Darkhad Depression in the north. Regarding this particular 1090 geographical feature, Van Ness previously postulated that "anthrax incubator areas" retain high 1091 spore concentrations through the water cycle in a low-lying area or depression, resulting in 1092 spore doses that are lethal to a susceptible host and enough to trigger new outbreaks (Van Ness, 1093 1971). Supporting this postulate, carcass hotspots have been found only in low-lying areas that 1094 have wide rivers and lakes in our study (Figures 11 and 12). Notably, the Darkhad Depression 1095 encompasses many rivers, lakes, small potholes, and wetlands surrounded by mountains (Call, 1096 2018). In agreement with our findings, Relebohile et al. (Lepheana et al., 2018), Bengis (Bengis, 1097 2012), and Dragon et al. (Dragon and Rennie, 1995) reported a high incidence of anthrax 1098 outbreaks in depressed low-lying areas. Although the effect of water area on the number of 1099 anthrax cases was not explored in this study, I suspect that it might increase the risk of many 1100 animals being infected from one spot. Thus, the disposal of affected animal carcasses near 1101 rivers and lakes should be avoided to restrict the spore dissemination and concentration through 1102 the hydrological cycle in a low-lying area. Besides, 30-year average human and livestock 1103 population densities were highest in Murun, the administrative center of Khuvsgul Province 1104 (Figure 10). The pasture in this area could be overgrazed because of the high animal population 1105 density, thereby increasing the chance of animals ingesting or inhaling the spores. Also, 1106 anthropogenic pressure such as construction and agriculture may contribute to anthrax 1107 occurrence by exposing the spores to the ground surface, resulting in many carcass disposal 1108 sites. Therefore, human activities such as construction, mining, or agricultural development 1109 around carcass sites must be avoided, and those areas must be secured by fencing to minimize 1110 future outbreaks.

1111 Furthermore, univariate and multivariate logistic regression analyses were conducted to 1112 determine the potential association between the number of anthrax cases in livestock and 1113 possible risk factors. I found that the population size of susceptible animals and temperature 1114 increase are the factors that impact outbreak size. Other environmental and climatic drivers, 1115 including drought, rainfall, soil alkalinity, and density of insects or scavengers, have long been 1116 recognized as important factors influencing anthrax ecology (Hugh-Jones and Blackburn, 1117 2009). In this study, however, precipitation including rainfall, did not seem to impact the size 1118 of the outbreaks.

1119 Cattle population number was determined as one of the risk factors for a large outbreak. 1120 From the univariate and multivariate logistic regression analyses, a significant positive 1121 correlation was found between the population number of cattle and annual anthrax cases from 1122 1986 to 2015 (Table 10 and Figure 13B). A similar positive correlation between the number of 1123 anthrax cases and the hippopotamus population was detected in the Queen Elizabeth National Park, Uganda (Driciru et al., 2018). Hence, the susceptible animal number can be one of the 1124 1125 determinants for outbreak size. Among the livestock species, cattle are most likely to contract anthrax in many cases (Epp et al., 2010; Mongoh et al., 2007). From the studies conducted in 1126 1127 Kazakhstan (Aikembayev et al., 2010), China (Chen et al., 2016), and Ukraine (Bezymennyi 1128 et al., 2014), anthrax predominantly occurred in cattle compared with other animal species. In 1129 agreement with these reports, cattle anthrax occupied 76.5% of the total anthrax cases in 1130 Khuvsgul Province, and anthrax prevalence for 30 years was highest among cattle (Figures 7B 1131 and 7C), implying that cattle are the most susceptible to anthrax. Thus, in terms of resourcelimited settings, cattle should be prioritized for vaccination. Moreover, cattle anthrax 1132 prevalence per 1 million population per 1000 km² was high in the districts Murun, Chandmani-1133 1134 Undur, Khatgal, followed by Ikh-Uul, Tosontsengel, and Tsagaan-Uul (Table 8 and Figure 9). 1135 Therefore, more effort in vaccination and disease surveillance should be focused on these 1136 districts with a high burden of anthrax.

Also, increased temperature was detected as another risk factor. Recent studies emphasized the impact of global warming associated with permafrost melting on anthrax occurrence in northern latitudes of the globe (Stella et al., 2020). After the reemergence of anthrax in reindeer over 70 years later in Yamal, Siberia, in 2016 (Liskova et al., 2021) and Sweden (Ågren et al., 2014), experts believed that infected animal carcasses previously buried
1142 in these regions were long preserved under the freezing effect of permafrost. However, 1143 permafrost melting resulting from global temperature increases the spore spillovers from the 1144 carcass into the ground surface, likely through moving sediments and soil cracking related to 1145 permafrost freeze-thaw activity (Elvander et al., 2017). This hypothesis is further supported by 1146 our findings, where an increasing trend of mean annual summer temperature showed a positive 1147 correlation with the number of anthrax cases in livestock in Khuvsgul (Figures 14A and 14B). 1148 The territory of Khuvsgul comprises a wide area of mountain permafrost, which is a 1149 continuation of the southern fringe of the Siberian permafrost zone, representing the highest 1150 permafrost prevalence in Mongolia (Munkhjargal et al., 2020). From permafrost monitoring 1151 studies, the Khuvsgul region increased in mean annual permafrost temperature, coupled with 1152 intensive degradation of permafrost. These observations have been attributed to climatic factors 1153 such as global warming and anthropogenic elements like changing soil content, vegetation 1154 cover, and hydrologic cycle in the last several decades (Sharkhuu et al., 2007; Sharkhuu and 1155 Sharkhuu, 2012). To this end, the long-term anthrax persistence and the highest incidence rate 1156 in the Khuvsgul Province could be explained by the ecosystem changes, the prevalence of 1157 permafrost, and its freeze-thaw dynamics. These observations suggest that permafrost may 1158 have a role in spore persistence in soil and *B. anthracis* infection cycle, making it a potentially 1159 useful spatial and temporal predictor of infection risk and anthrax outbreaks.

1160 I could not obtain data on the animal anthrax vaccination trends, which is a major 1161 limitation of this study. But, a previous study showed that anthrax vaccination coverage 1162 decreased between 1990 and 2000 because of the country's political revolution and economic 1163 transition phase (Odontsetseg et al., 2007). This phase involved the privatizing of animal 1164 husbandry sectors and the suspension of veterinary services, resulting in a drastic drop in the 1165 anthrax vaccination coverage and a steady increase in anthrax cases. In agreement with the 1166 study, a dramatic increase was observed in yearly livestock anthrax cases in Khuvsgul Province 1167 from 1986 to 2000 (Table 6). Similar increasing anthrax trends were seen in other former Soviet 1168 countries, which was likely a result of socio-political instability (Bezymennyi et al., 2014; 1169 Kracalik et al., 2014). Taken together, these findings highlight that Mongolia's political change and economic transition affected anthrax occurrence, more likely through livestock vaccination. 1170 1171 Since 2000, although neither a significant increasing nor decreasing trend was observed in the 1172 total livestock anthrax cases, cattle anthrax cases were significantly reduced, which had 1173 progressively increased during the transition period (Table 7). It can be speculated that the

- 1174 downslope in cattle anthrax cases was likely associated with improving disease control 1175 measures, particularly cattle vaccination (Odontsetseg et al., 2007). Further, extending
- 1176 vaccination to livestock species excluded in routine vaccination programs is on demand for
- 1177 successful disease control in Mongolia, especially in areas with high-risk factors.

1178 General conclusion

1179 The long-time persistence of highly resistant anthrax spores in the environment and its 1180 detrimental risk to animal and human health have been a great concern. Anthrax continues to pose a serious public health and socioeconomic threat in several developing countries, and it 1181 tends to re-emerge in some places after a long period of absence. Based on various recently 1182 1183 published literatures, the geographic distribution of anthrax is predicted to expand with 1184 continued warming, suggesting that countries need to strengthen outbreak preparedness and 1185 develop practical control strategies. However, the lack of detailed serological and epidemiological data in many developing countries limits the successful implementation of 1186 1187 effective anthrax control policies. Presently, there is a lack of serological assays and 1188 epidemiological information to develop an anthrax management and control strategy in many countries, including Mongolia. Therefore, this work provides a serological tool that can be 1189 1190 utilized to improve anthrax serosurveillance worldwide. Furthermore, using Mongolia's 1191 Khuvsgul Province as an example, the project demonstrates how GIS data on carcass sites can 1192 be valuable for predicting anthrax hotspots that may serve as infection sources for future 1193 outbreaks.

1194 In chapter I, a new ELISA test to detecting naturally acquired antibodies against anthrax 1195 infection was developed. This ELISA was established based on B. anthracis pXO2 plasmid, 1196 which lacks in animal vaccine strains, thus distinguishing them from virulent strains. This 1197 research has demonstrated two immunoreactive antigens encoded on the pXO2 plasmid; the 1198 capsule biosynthesis protein CapA and peptide ABC transporter substrate-binding protein. 1199 Concerning the antigen specificity, immunoreactivity, and solubility of protein expression, the 1200 C-terminus end of capsule biosynthesis protein CapA, named CapA322, was further selected 1201 and used for CapA322-ELISA development. The CapA322-ELISA was shown to be specific 1202 and non-cross-reactive to sera from horses vaccinated with B. anthracis Sterne 34F2 strain live 1203 spore vaccine. Hence, the CapA322-ELISA can be used to detect naturally acquired antibodies 1204 and ascertain the immunological state of animals. While the results presented here are 1205 satisfactory, further research is essential to optimize the CapA322-ELISA. Such future work 1206 will involve field studies of livestock in endemic and non-endemic areas to validate the assay.

In chapter II, spatial and temporal patterns of anthrax carcass sites in Khuvsgul Province, Mongolia were analyzed to inform the geographical distribution and hotspot areas of carcass sites as they are potential infection sources. Moreover, 30-year retrospective data (1986–2015) of anthrax cases among livestock in Khuvsgul Province was analyzed to reveal past and recent trends of the disease, anthrax prevalence in districts, and its burden in animals. Furthermore, the study investigated the risk factors that possibly influence anthrax occurrence to understand hyperendemicity of anthrax in this province.

1214 The results showed that the spatial distribution of carcass sites had not changed over the 1215 30 years, indicating the recurrence of anthrax. There was one stable hotspot of anthrax carcass 1216 sites around the south and an emerging new one in the north region of the province. These 1217 hotspots exist in low-lying areas with abundant rivers, lakes, and ponds. Further, the burden of 1218 anthrax was higher in cattle than in other livestock species, and the cattle anthrax prevalence 1219 was high in the six districts. Finally, the size of outbreaks was influenced by the annual summer 1220 mean air temperature (June to August) of Khuvsgul Province, probably by affecting the 1221 permafrost freeze-thawing activity.

1222 The study suggests that historical carcass burial sites may serve as a persistent source of 1223 anthrax infection. Thus, regarding the primary action for carcass disposal management, it can 1224 be recommended that fencing the old carcass sites to prevent possible animal spore exposure. 1225 Further, burying animal carcasses that died of anthrax should be banned and replaced by 1226 incineration, considering long-time preservation of B. anthracis spores in the frozen ground 1227 (permafrost) and its seasonal thawing effect on spore spillover to the soil surface. At least 1228 carcass disposal near rivers and lakes should be avoided to minimize spore dissemination and 1229 concentration through the hydrological cycle. As additional anthrax control measures, this 1230 study recommends the strategic vaccination of susceptible animals, especially prioritizing 1231 cattle in the six districts mentioned above. Another suggestion would be monitoring the 1232 permafrost condition in endemic areas, which could be helpful to predict future outbreaks and 1233 design epidemic preparedness plans. Future work should involve detailed field surveys on 1234 spore viability around the carcass burial sites and molecular epidemiology of the B. anthracis 1235 strains.

1236 Overall, the work presented here highlights a new approach for investigating anthrax. 1237 Firstly, it introduces a novel diagnostic tool that can be used to collect surveillance data

- 1238 prospectively. Secondly, using data from Mongolia, the study emphasizes the importance of
- 1239 retrospective data in identifying high risk areas to prevent future outbreaks.

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