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Improvements in asymmetry in knee flexion motion during landing are associated with the postoperative period and quadriceps strength after anterior cruciate ligament reconstruction

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Declarations

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1 **Improvements in asymmetry in knee flexion motion during landing are associated with**
2 **the postoperative period and quadriceps strength after anterior cruciate ligament**
3 **reconstruction**

4

5 **Abstract**

6 This study investigated the relationship between quadriceps strength and knee kinematics
7 during a drop vertical jump (DVJ) at 6, 9 and 12 months after anterior cruciate ligament
8 reconstruction (ACLR) in 9 male and 22 female athletes (16.6 ± 2.1 years old). Isokinetic
9 quadriceps strength was measured by a dynamometer (Biodex System 3). Knee flexion
10 excursion was assessed using two-dimensional analysis. Knee flexion excursion at 6 months
11 was significantly smaller in the involved limb than in the uninvolved limb independent of
12 quadriceps strength ($56.7^\circ \pm 9.3^\circ$, $63.4^\circ \pm 11.4^\circ$, $P < 0.001$). At 9 months, only the low
13 quadriceps strength group demonstrated a similar interlimb difference ($57.2^\circ \pm 12.3^\circ$, $63.3^\circ \pm$
14 10.5° , $P < 0.001$). At 12 months, there was no significant interlimb difference in knee flexion
15 excursion regardless of quadriceps strength. These findings indicate that restoration in
16 symmetrical knee flexion excursion during a DVJ requires rehabilitation as well as quadriceps
17 strength.

18

19 **Keywords:** landing, symmetry, knee extensor strength, return to sports, second injury

20

21 **Introduction**

22 The rate of a second ACL injury (i.e., graft tear or contralateral ACL tear) is 20% after return
23 to sports (RTS) (Wiggins et al., 2016). Participation in jumping and/or cutting sports and young
24 age were reported as risk factors for a second injury (Graziano et al., 2017; Paterno et al., 2017;
25 Wiggins et al., 2016). Insufficient functional recovery has been suggested to be a possible cause
26 for second ACL injuries because most injuries occur within one year after reconstruction
27 surgery (Graziano et al., 2017; Grindem et al., 2016; Paterno et al., 2017; Paterno et al., 2010;
28 Webster et al., 2014).

29 The limb symmetry index (LSI) of quadriceps strength is a common criterion for RTS
30 after ACLR (Webster & Hewett, 2019). However, conflicting results have been shown
31 regarding the association between LSI of quadriceps strength and the risk of second ACL injury
32 (Beischer et al., 2020; Grindem et al., 2016). Therefore, evaluation of knee kinematics during
33 landing has been recommended to detect functional deficits in addition to quadriceps strength
34 (Ithurburn et al., 2015; Palmieri-Smith & Lepley, 2015). Asymmetric landing mechanics and
35 large knee valgus motion were proposed to be risk factors for a second ACL injury (Paterno et
36 al., 2010).

37 Symmetry in knee kinematics during landing could be improved by obtaining sufficient
38 quadriceps strength (Ithurburn et al., 2015; Palmieri-Smith & Lepley, 2015). However, even
39 though athletes showed a greater than 90% LSI for quadriceps strength, athletes after ACLR
40 showed more asymmetry in knee flexion excursion than healthy controls (Ithurburn et al.,
41 2015). Therefore, good quadriceps strength may not be a sufficient condition to restore
42 symmetrical knee flexion motion during landing. In addition, although knee flexion motion is
43 important to control knee valgus motion in healthy athletes (Pollard et al., 2010), the
44 relationship between knee valgus motion and quadriceps strength after ACLR is unclear. On
45 the other hand, longitudinal studies showed that knee kinematics during landing could be

46 improved with the postoperative period, while these studies did not examine longitudinal
47 changes in quadriceps strength (Hofbauer et al., 2014; Renner et al., 2018). Therefore, whether
48 knee kinematics during landing were improved by postoperative rehabilitation periods or by
49 improving quadriceps strength is unclear.

50 Most athletes are allowed to RTS between 6 and 12 months after ACLR (Barber-Westin
51 & Noyes, 2011). Some studies have shown that delayed RTS could reduce the risk of a second
52 injury (Beischer et al., 2020; Dekker et al., 2017; Grindem et al., 2016). A second ACL injury
53 and other knee injuries were decreased when RTS was later than 9 months postoperatively
54 (Beischer et al., 2020; Grindem et al., 2016). However, it is not clear why delayed RTS reduces
55 the risk of injury. Considering conflicting results regarding the relationship between quadriceps
56 strength and the incidence of second ACL injury (Beischer et al., 2020; Grindem et al., 2016),
57 longitudinal changes in the relationship between quadriceps strength and knee kinematics
58 during landing will be informative to consider a safe RTS after ACLR.

59 The purpose of the present study was to investigate the longitudinal changes in the
60 relationship between quadriceps strength and knee kinematics during a drop vertical jump
61 (DVJ) in young athletes from 6 to 9 and 12 months after ACLR. The hypothesis was that
62 asymmetry in knee flexion and valgus motion would be observed before RTS (6 or 9 months)
63 regardless of quadriceps strength, but the LSI of quadriceps strength would affect knee
64 kinematics during these periods. In addition, symmetry in knee flexion and valgus motion was
65 restored at 12 months regardless of quadriceps strength, and there was no group difference in
66 knee kinematics.

67

68 **Methods**

69 *Participants*

70 This longitudinal study enrolled patients who underwent ACLR at an orthopaedic hospital
71 between May 2015 and April 2018 (Fig. 1). Considering the inclusion and exclusion criteria, a
72 total of 50 patients were eligible, and 31 patients who underwent all testing were included in
73 the present study (9 male and 22 female participants; age 16.6 ± 2.1 years, height 162.0 ± 7.1
74 cm, and body weight 57.3 ± 7.7 kg). All the participants underwent double-bundle ACLR with
75 tendon autografts of the semitendinosus and gracilis and completed a standardized
76 rehabilitation protocol in which participants started running at 12 weeks and jump landing with
77 submaximal effort at 5 months. The participants started sports-specific drills without any
78 restrictions after 6 months and were allowed to RTS at 9 months. They were recommended to
79 continue rehabilitation visits at least once a month and to continue sports-specific drills on the
80 fields even after RTS. All participants signed informed consent forms, and this study was
81 approved by the Institutional Review Board of the Faculty of Health Sciences, Hokkaido
82 University (Approval number: 19-41).

83

84 ***Procedures***

85 *Drop vertical jump (DVJ) testing*

86 A 5-minute warm-up with a stationary bicycle at a self-selected pace was performed. Markers
87 were placed on the anterior superior iliac spine (ASIS), greater trochanter, lateral femoral
88 epicondyle, patella, lateral malleolus and centre of the ankle joint defined as the midpoint
89 between the medial and lateral malleoli. Then, the participants performed the DVJ task. The
90 participants dropped off a 30-cm-high box and performed a maximal vertical jump upon
91 landing. The trials were recorded using two video cameras (HDR PJ540 and HDR CX450,
92 Sony Corp., Tokyo, Japan) at 60 Hz. Each camera recorded frontal and sagittal views at a height
93 of 1.0 m and at 3.7 m away from the landing point. After 3 successful trials were recorded, the

94 contralateral side of the sagittal view was also recorded. A total of 3 trials were recorded for
95 the sagittal plane, and 6 trials were recorded for the frontal plane.

96 Knee kinematics were analysed by a single researcher (T.I.) using Dartfish Software 9
97 (Dartfish, Fribourg, Switzerland). The knee flexion angle was formed by markers of the greater
98 trochanter, lateral femoral epicondyle and lateral malleoli in the sagittal view (Gokeler et al.,
99 2015). Knee valgus motion was evaluated using the frontal-plane projection angle (FPPA),
100 which was formed by markers on the ASIS, centre of the patella and ankle joint centre
101 (Herrington & Munro, 2010). The angular excursions of knee flexion and FPPA were calculated
102 from initial contact (IC) to peak knee flexion. The intratester reliability of each joint angle
103 measurement was assessed using the intraclass correlation coefficient (ICC) and typical errors
104 (Hopkins, 2000). The ICCs (1,3) were 0.995 (95% CI: 0.977–0.999) for knee flexion excursion
105 and 0.997 (95% CI: 0.988–0.999) for FPPA excursion. Typical errors were 0.44° for knee
106 flexion excursion and 0.41° for FPPA excursion.

107

108 *Quadriceps strength testing*

109 Quadriceps strength testing was conducted after the DVJ assessment. Isokinetic quadriceps
110 strength was assessed using a dynamometer (Biodex System 3, Biodex Medical Systems, Inc.,
111 Shirley, NY). The participants were secured to the dynamometer with their hips flexed to 90°.
112 The concentric strength of the quadriceps and hamstring was tested at a velocity of 60°/s.
113 Isokinetic testing at 60°/s is commonly used as a clinical assessment for muscle strength
114 recovery (Petersen et al., 2014). In addition, the deficits in quadriceps strength of the involved
115 limb were more apparent with slower movement speed than faster speed (Hsiao et al., 2014).
116 Participants performed 5 repetitions with maximum effort after some practice trials. The peak
117 torque was obtained for each limb, and the LSI was calculated as the percentage of the peak
118 torque in the involved limb to that in the uninvolved limb.

119

120 ***Statistical analysis***

121 A medium effect size was estimated for interaction effects on knee flexion excursion based on
122 pilot testing. A total of 20 participants were needed to achieve a significance (α), statistical
123 power ($1 - \beta$) and effect size (*partial* η^2) of 0.05, 0.8 and 0.1, respectively.

124 Participants were divided into two subgroups for each postoperative period. Participants
125 with an LSI of quadriceps strength $\geq 90\%$ were in the high-quadriceps (HQ) group, and $< 90\%$
126 were in the low-quadriceps (LQ) group. Two-way mixed model analysis of variance (ANOVA)
127 was performed to examine the effects of group and limb on knee flexion and FPPA excursions.
128 Bonferroni tests were used for post hoc comparisons. The statistical significance level was set
129 at $P < 0.05$. These statistical analyses were performed using IBM SPSS Statistics 22 software
130 (IBM, Armonk, NY, USA).

131

132 **Results**

133 The number of participants at each time point is shown in Table 1. At 6 months, the involved
134 limb showed significantly smaller knee flexion excursion than the uninvolved limb
135 independent of the group (95% CI: 4.0–9.4°) (Fig. 2A). In contrast, there was no significant
136 effect of group or interaction. The LQ group demonstrated greater FPPA excursion than the HQ
137 group (95% CI: 0.1–15.2°) (Fig. 2B). There was no significant limb or interaction effect.

138 At 9 months, significant interaction and limb effects on knee flexion excursion were
139 found. The LQ group demonstrated significantly smaller knee flexion excursion in the involved
140 limb than the uninvolved limb ($P < 0.001$, 95% CI: 3.1–9.1°), while interlimb differences for
141 the HQ group were not found ($P = 0.158$, 95% CI: -0.7–4.4°) (Fig. 3A). There was no
142 significant main effect or interaction effect on FPPA excursion (Fig. 3B).

143 At 12 months, no interlimb differences in knee flexion excursion were found (Fig. 4A).
144 There was also no significant group effect or interaction on knee flexion excursion. Similarly,
145 there was no significant main effect or interaction effect on FPPA excursion (Fig. 4B).

146

147 **Discussion**

148 The present study showed that knee flexion excursion was significantly smaller in the involved
149 limb than in the uninvolved limb at 6 months regardless of quadriceps strength. At 9 months,
150 asymmetry in knee flexion excursion was found only for the LQ group. On the other hand, no
151 interlimb difference in knee flexion excursion was found at 12 months regardless of quadriceps
152 strength group. Furthermore, FPPA excursion was significantly greater for the LQ group than
153 for the HQ group only at 6 months. These present findings indicate that improvement in knee
154 kinematics during a DVJ needs the postoperative period in addition to quadriceps strength.
155 Therefore, the hypothesis was partly supported.

156 The present study first showed that only an LSI of quadriceps strength greater than 90%
157 was not a sufficient condition to restore symmetrical knee flexion motion during a DVJ at 6
158 months after ACLR. The interlimb difference in knee flexion excursion during a DVJ could be
159 more sensitive in detecting functional deficits than the LSI of quadriceps strength at 6 months
160 after ACLR. The participants started sports-specific programmes from 6 months
161 postoperatively. Therefore, recovery of neuromuscular control during jump landing may be
162 insufficient to restore symmetrical knee flexion motion at 6 months. The present findings are
163 supported by previous longitudinal studies that showed that an interlimb difference in the peak
164 knee flexion angle during landing was found soon after the start of jump-landing training but
165 not at later periods (Hofbauer et al., 2014; Renner et al., 2018). These present and previous
166 findings indicate that other functional factors should be restored for symmetrical knee flexion
167 motion after ACLR rather than recovery in quadriceps strength greater than 90% of the LSI.

168 At 9 months, a significant interlimb difference in knee flexion excursion was found only
169 in the LQ group but not in the HQ group. These findings indicate that the rehabilitation time
170 required to restore symmetrical knee flexion motion is shorter in those who have symmetrical
171 quadriceps strength than in those who have substantial quadriceps strength deficits. In a
172 previous study on healthy athletes, strength training alone did not change jump-landing
173 mechanics (Herman et al., 2008). However, strength training enhanced the effectiveness of a
174 session of jump-landing training on improvements in landing mechanics (Herman et al., 2009).
175 These studies support the present findings that quadriceps strength affects the rehabilitation
176 time to restore symmetrical knee flexion motion during landing after ACLR. The present
177 findings were also consistent with previous studies showing that a smaller LSI of quadriceps
178 strength was associated with a smaller LSI of knee flexion excursion during a landing just after
179 clearance to RTS (Ithurburn et al., 2015; Palmieri-Smith & Lepley, 2015).

180 At 12 months, neither the HQ nor the LQ group showed significant interlimb differences
181 in knee flexion excursion. This is the first study showing that symmetrical knee flexion
182 excursion during a DVJ was restored at 12 months after ACLR, even for those with a less than
183 90% LSI quadriceps strength. Quadriceps strength symmetry often does not recover even 2
184 years after ACLR (Petersen et al., 2014). However, the present results suggest that long-term
185 rehabilitation to restore symmetry in knee flexion motion during a DVJ is effective even for
186 those with substantial quadriceps strength deficits. A previous study showed no interlimb
187 difference in knee flexion excursion during a DVJ regardless of quadriceps strength for athletes
188 after RTS (Schmitt et al., 2015). In addition, longitudinal studies showed that symmetry in the
189 knee flexion angle had been restored at 12 months (Hofbauer et al., 2014; Renner et al., 2018).
190 Thus, the present and previous findings indicate that the asymmetry in knee flexion motion
191 during landing was restored by 12 months after ACLR, even for those with substantial
192 quadriceps strength deficits.

193 The results of FPPA excursion tended to be different from those of knee flexion motion.
194 The HQ group at 6 months showed significantly smaller FPPA excursion than the LQ group.
195 However, contrary to the hypothesis, there was no interlimb difference in knee valgus motion
196 in the LQ group at 6 months, even though the LQ group showed a significant interlimb
197 difference in knee flexion motion. Therefore, quadriceps strength after ACLR may not
198 influence asymmetry in knee valgus motion during a DVJ. After 9 months, there was no group
199 or interlimb difference in knee valgus motion. These findings suggest that knee valgus motion
200 decreased with the postoperative period in the LQ group, which supports previous findings that
201 knee valgus excursion was smaller at 12 months than at 6 months after ACLR (Renner et al.,
202 2018).

203 Concerning clinical application, even though the LSI of quadriceps strength was 90% or
204 greater, knee flexion motion asymmetry was detected at 6 months, and symmetry was restored
205 between 6 and 9 months. Therefore, it is better to avoid early RTS by considering only the LSI
206 recovery of quadriceps strength. Asymmetrical landing mechanics during landing are
207 considered one of the risk factors for a second ACL injury (Paterno et al., 2010). A previous
208 study showed that the risk of a second ACL injury was higher in athletes who returned within
209 9 months regardless of quadriceps strength (Beischer et al., 2020). On the other hand, the LQ
210 group showed asymmetric knee flexion motion at 9 months but not at 12 months and showed
211 larger knee valgus motion than the HQ group at 6 months. Therefore, RTS for athletes with
212 substantial quadriceps strength deficits should be delayed until after 9 months, preferably until
213 12 months. To safely RTS within 6 to 12 months after ACLR, knee kinematics during landing
214 in addition to quadriceps strength should be screened.

215 There are some limitations. First, the participants were enrolled from a single hospital.
216 Therefore, the results based on the postoperative period may be different in other rehabilitation
217 progression protocols. Second, the present findings may be limited to double-leg landing tasks.

218 A systematic review regarding landing mechanics after ACLR suggested that the landing
219 strategy may differ between double-leg and single-leg tasks (Lepley & Kuenze, 2018). Finally,
220 a kinetic analysis was not included in the present study. Kinetic asymmetry can persist longer
221 than kinematic asymmetry (Ithurburn et al., 2019).

222

223 **Conclusions**

224 The relationship between quadriceps strength and knee kinematics during a DVJ in young
225 athletes after ACLR depends on the postoperative period. A certain time period of jump-landing
226 rehabilitation is required to acquire symmetrical knee flexion motion even for athletes without
227 substantial quadriceps strength deficits, although athletes without quadriceps strength deficits
228 need a shorter period to acquire symmetrical knee flexion excursion than those with quadriceps
229 strength deficits. The present findings suggest that improvement in knee kinematics during a
230 DVJ requires both a rehabilitation period as well as quadriceps strength. Knee kinematics
231 during landing in addition to quadriceps strength should be evaluated before RTS after ACLR.

232

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235

236 **Declarations**

237 No potential competing interests were reported by the authors.

238

239 **References**

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Table 1. The number of participants and quadriceps and hamstring strength in each group.

	6 months		9 months		12 months	
	HQ	LQ	HQ	LQ	HQ	LQ
Sex (male/female)	7/8	2/14	8/10	1/12	9/13	0/9
LSI of quadriceps strength (%) ^a	101.6 (5.7)	76.7 (10.0)	98.9 (4.8)	85.6 (7.9)	98.6 (6.8)	85.6 (4.5)
LSI of hamstring strength (%)	92.6 (9.9)	86.7 (20.0)	98.1 (8.8)	88.8 (10.2)	94.3 (12.7)	90.6 (8.0)
LSI of H/Q ratio (%)	90.2 (8.5)	114.9 (29.0)	99.4 (10.9)	108.7 (17.5)	96.2 (13.6)	105.2 (11.0)

^aMean (SD)

LSI: limb symmetry index, H/Q ratio: hamstring/quadriceps strength ratio, HQ: high-quadriceps group, LQ: low-quadriceps group

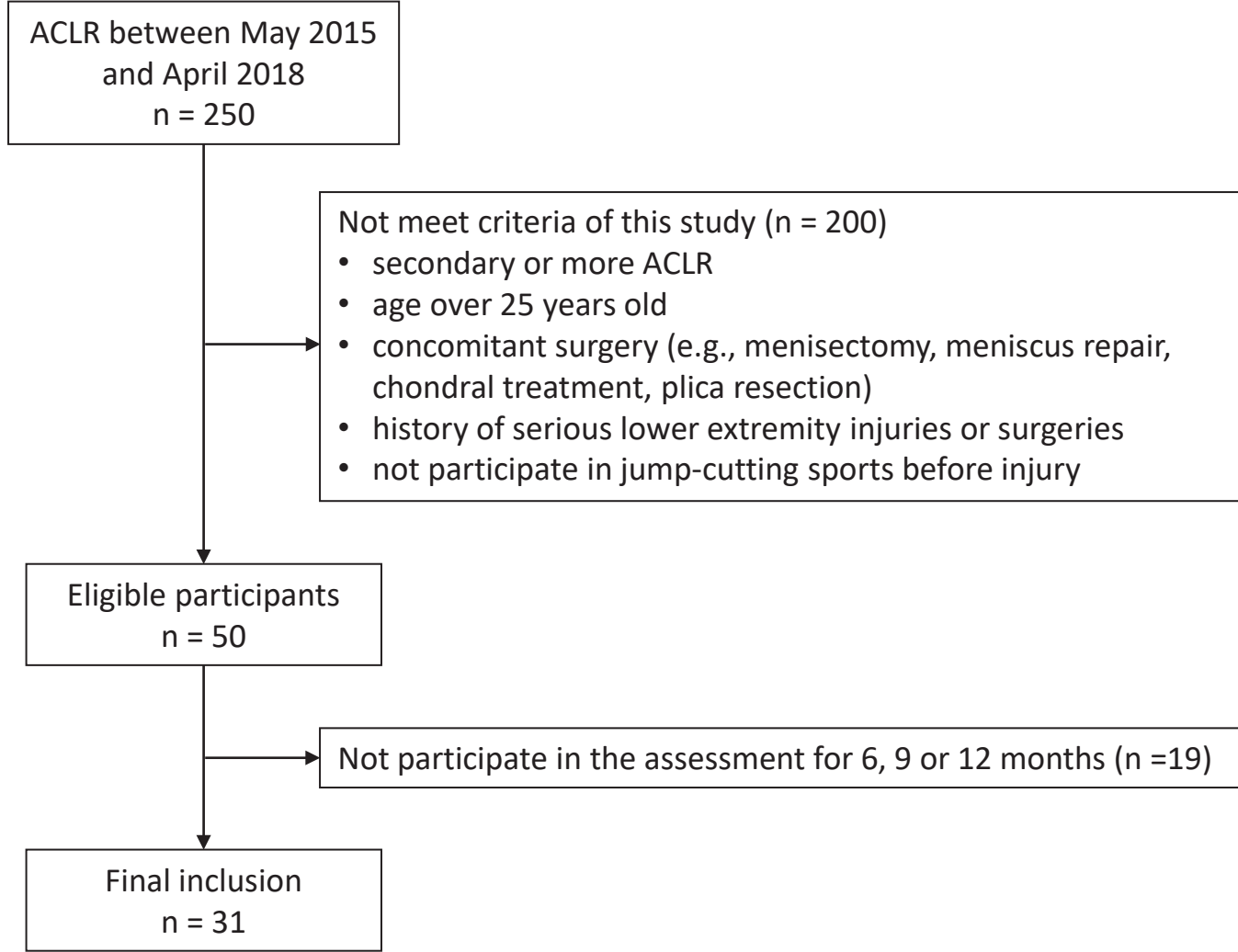
Figure captions

Fig. 1 Flow chart for enrolment of participants

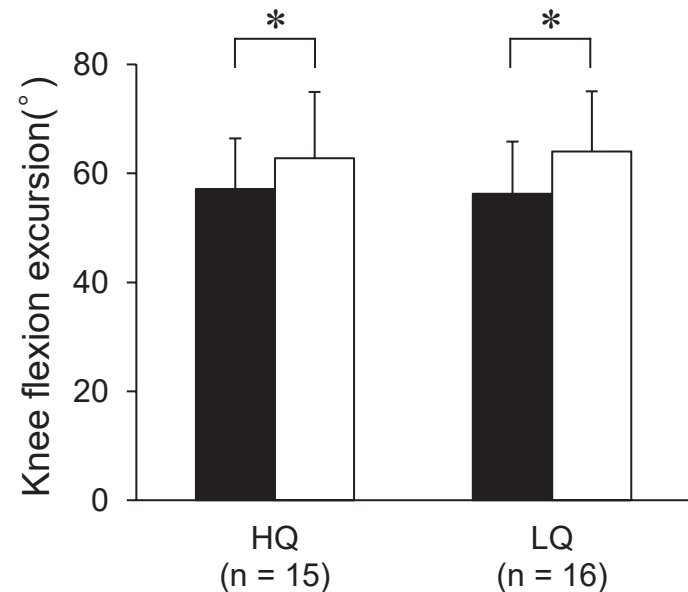
Fig. 2 Comparison of knee flexion excursion and frontal-plane projection angle (FPPA) excursion between the high-quadriceps strength group (HQ) and the low-quadriceps strength group (LQ) at 6 months postoperatively. *indicates significant differences in post hoc test ($P < 0.05$).

Fig. 3 Comparison of knee flexion excursion and frontal-plane projection angle (FPPA) excursion between the high-quadriceps strength group (HQ) and the low-quadriceps strength group (LQ) at 9 months postoperatively. *indicates a significant difference in post hoc test ($P < 0.05$).

Fig. 4 Comparison of knee flexion excursion and frontal-plane projection angle (FPPA) excursion between the high-quadriceps strength group (HQ) and the low-quadriceps strength group (LQ) at 12 months postoperatively.

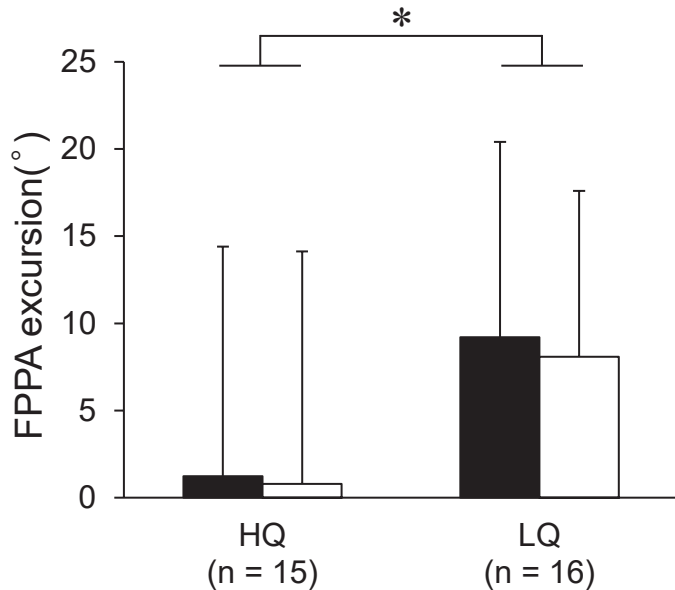


A Limb effect: $P < 0.001$, partial $\eta^2 = 0.472$
 Group effect: $P = 0.958$, partial $\eta^2 < 0.001$
 Interaction: $P = 0.441$, partial $\eta^2 = 0.021$

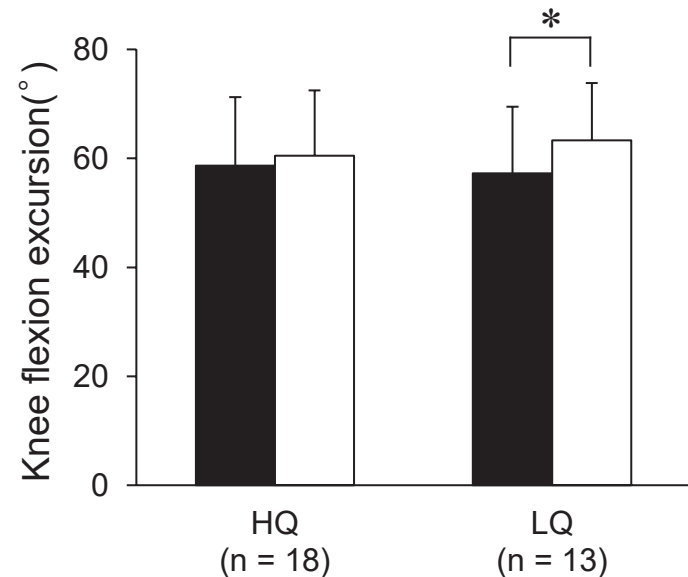


■ Involved side □ Uninvolved side

B Limb effect: $P = 0.716$, partial $\eta^2 = 0.005$
 Group effect: $P = 0.048$, partial $\eta^2 = 0.128$
 Interaction: $P = 0.872$, partial $\eta^2 = 0.001$

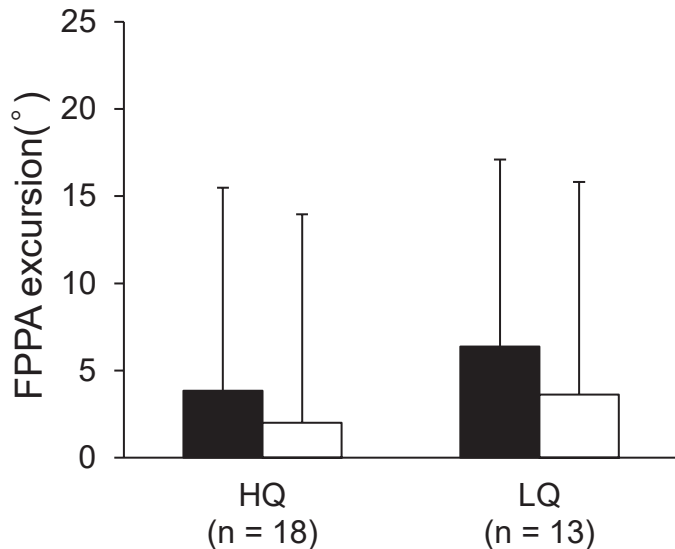


A Limb effect: $P < 0.001$, partial $\eta^2 = 0.366$
 Group effect: $P = 0.868$, partial $\eta^2 = 0.001$
 Interaction: $P = 0.035$, partial $\eta^2 = 0.145$

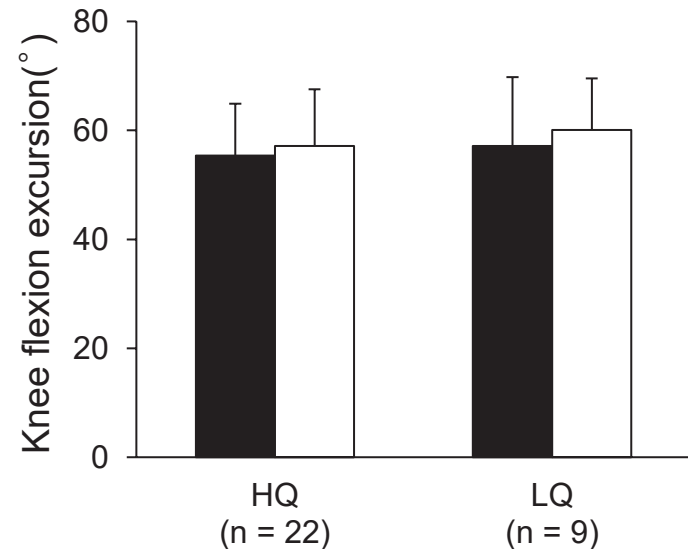


■ Involved side □ Uninvolved side

B Limb effect: $P = 0.185$, partial $\eta^2 = 0.060$
 Group effect: $P = 0.599$, partial $\eta^2 = 0.010$
 Interaction: $P = 0.786$, partial $\eta^2 = 0.003$



A Limb effect: $P = 0.108$, partial $\eta^2 = 0.087$
Group effect: $P = 0.544$, partial $\eta^2 = 0.013$
Interaction: $P = 0.688$, partial $\eta^2 = 0.006$



■ Involved side □ Uninvolved side

B Limb effect: $P = 0.343$, partial $\eta^2 = 0.031$
Group effect: $P = 0.127$, partial $\eta^2 = 0.078$
Interaction: $P = 0.841$, partial $\eta^2 = 0.001$

