



Title	Clinicopathological characteristics and prognostic factors of ovarian granulosa cell tumors : A JSGO-JSOG joint study
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1 **Clinicopathological characteristics and prognostic factors of ovarian granulosa cell**  
2 **tumors: A JSGO-JSOG joint study**

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39 **Abstract**

40 **Objectives:** The aim of this study was to elucidate the clinicopathological features of ovarian  
41 granulosa cell tumors (GCTs) and to identify the prognostic factors.

42 **Methods:** The Japanese Society of Gynecologic Oncology (JSGO) conducted an  
43 observational retrospective cohort study of women with GCTs enrolled in the Gynecological  
44 Tumor Registry of the Japan Society of Obstetrics and Gynecology (JSOG) between 2002  
45 and 2015. Clinicopathological features, including lymph node metastasis, were evaluated. In  
46 addition, we performed a prognostic analysis of patients between 2002 and 2011 for whom  
47 survival data were available. Kaplan–Meier and multivariate Cox proportional hazards  
48 analyses were performed.

49 **Results:** We identified 1426 patients with GCT. Of the 222 patients who underwent lymph  
50 node dissection, 10 (4.5%) had lymph node metastasis. The incidence of lymph node  
51 metastasis in patients with pT1, pT2, and pT3 was 2.1%, 13.3%, and 26.7%, respectively  
52 ( $p < 0.001$ ). Prognostic analysis was performed on 674 patients. In the multivariate Cox  
53 regression analysis, residual disease after initial surgery (hazard ratio (HR)=10.39, 95%  
54 confidence interval (CI)=3.15–34.29) and lymph node metastasis (HR=5.58, 95% CI=1.62–  
55 19.19) were independent risk factors for cancer-specific survival.

56 **Conclusions:** In the initial surgery for GCTs, lymph node dissection can be omitted if the  
57 operative finding is pT1. In cases of pT2 or higher, lymph node dissection should be

58 considered. Debulking is critical for achieving no gross residual tumor at the end of the  
59 surgery.

60 **Keywords:** lymph node metastasis; ovarian granulosa cell tumor; prognosis; surgery

61

## 62 **1. Introduction**

63 Granulosa cell tumors (GCTs) are tumors that constitute 2–5% of malignant ovarian  
64 cancers [1]. These tumors are often characterized by later recurrences > 5 or 10 years after  
65 initial treatment [2,3]. Because of their low incidence, many studies group combined GCTs  
66 with other sex cord-stromal tumors (SLCTs) such as Sertoli-Leydig cell tumors in their  
67 assessments [4–7]. It has been reported that 97% of these tumors have somatic mutations  
68 in the *FOXL2* gene [8], elucidating the unique mutational profile of these tumors. For this  
69 reason, it is important to conduct research that specifically targets GCTs.

70 However, there is a lack of robust evidence from clinical trials regarding the  
71 treatment of GCTs. Because stage I cases account for 64% to 89% of all cases [9–11], the  
72 primary treatment is mainly surgical. The purpose of surgery in the initial treatment of  
73 ovarian cancer is to diagnose the histological type and extent of tumor involvement and to  
74 remove the primary and metastatic lesions as much as possible. Despite the different  
75 histological origin of the tumor, the surgical treatment strategy, including whether to perform  
76 lymph node dissection, is in accordance with the guidelines for epithelial ovarian cancers.

77 Therefore, the primary objective of this study was to elucidate the clinicopathological  
78 features of GCTs using bulky data from Japan's nationwide registry. The second objective  
79 was to identify the prognostic factors for GCTs.

80

## 81 **2. Materials and Methods**

### 82 **2.1. *Data sources for the study***

83 This observational retrospective study used the Gynecologic Tumor Registry  
84 database of the Japan Society of Obstetrics and Gynecology (JSOG), a nationwide project  
85 undertaken by the Japanese Society of Gynecologic Oncology (JSGO). The dataset was  
86 provided by the Gynecologic Oncology Committee of JSOG in 2018, and the study was a  
87 collaboration between JSGO and JSOG. The JSOG database is an organ-based cancer  
88 registry for gynecologic malignancies that records comprehensive information on cancer  
89 types, properties of the tumor, therapeutic categories, and survival profile. The ovarian tumor  
90 registry has been conducted annually by the Gynecologic Tumor Committee of JSOG since  
91 2002. The registry comprises 466 hospitals, which account for approximately 50% of all new  
92 cases with gynecologic malignancies in Japan. The JSGO database focuses on the leading  
93 hospitals in Japan, such as university hospitals and cancer centers. The data in the present  
94 study were mainly from surgeries and treatments performed by gynecologic oncologists  
95 certified by the JSGO. Institutional review board approval was obtained from the Clinical

96 Research Committee of JSOG (2018-36-67) and the hosting institution, Tokai University  
97 School of Medicine (17R-100).

98 **2.2. Patients**

99 Histopathological classification codes (B11-00) were applied to specify the GCTs in  
100 the database. Women with GCTs who underwent initial treatment between 2002 and 2015  
101 were included in this study. Patients with unknown stage, those who had not undergone  
102 surgery, and those who did not have an ovarian primary tumor were excluded. Among the  
103 cases that met the inclusion criteria, patient age, FIGO stage, procedures in the initial  
104 surgery (hysterectomy, salpingo-oophorectomy, and lymph node dissection), residual  
105 disease after initial surgery (no gross residual disease, residual tumor diameter  $\leq 1$  cm,  
106 diameter 1–2 cm, or  $>2$  cm), neoadjuvant chemotherapy, and adjuvant chemotherapy were  
107 extracted from the database. The recorded cancer stage was classified based on the 1988  
108 International Federation of Gynecology and Obstetrics (FIGO). Surgical procedures were  
109 registered as biopsy only, unilateral salpingo-oophorectomy, bilateral salpingo-  
110 oophorectomy, salpingo-oophorectomy in conjunction with total hysterectomy, or tumor  
111 removal from some other organ. In this registry, no distinction was made between unilateral  
112 salpingo-oophorectomy and cystectomy (tumor resection). Similarly, we did not distinguish  
113 between open and laparoscopic surgery in the registry. According to the findings of the  
114 laparotomy and the histological findings of the removed specimen, each case was registered

115 using the pathological tumor node metastasis (pTNM) classification. In the JSOG  
116 gynecologic tumor registry, the system is designed to register patients in the year of their  
117 initial treatment and to provide prognostic reports three and five years later. At the time the  
118 dataset was provided, survival information of the treated cases was included from 2002 to  
119 2011. Survival outcomes were duration of follow-up, status of living, and cause of death.  
120 Cancer-specific survival (CSS) was determined to be the period from diagnosis to death  
121 caused by ovarian cancer. Cases without survival events or untraceable cases were  
122 censored at the last visit with a known life condition.

### 123 **2.3. Statistical analyses**

124 Continuous variables are described as median and interquartile range (IQR). For  
125 categorical variables, statistical differences were assessed using the chi-square test or  
126 Fisher's exact test, as appropriate. The Kaplan–Meier method was used to form survival  
127 curves, and the differences between the curves were assessed with the log-rank test. In  
128 addition, the Cox proportional hazard regression model was used for multivariate analysis  
129 using the step-down method with variables that were statistically significant in the univariate  
130 analysis. The magnitude of statistical significance was expressed as hazard ratio (HR) and  
131 95% confidence interval (CI). All statistical analyses were based on a two-sided hypothesis,  
132 and statistical significance was set at  $p < 0.05$ . SPSS Statistics version 26 (IBM Corp.,  
133 Armonk, NY, USA) was used for all analyses. The Strengthening the Reporting of



134 Observational Studies in Epidemiology (STROBE) guidelines were consulted to display the  
135 results according to an observational cohort study [12].

136

### 137 **3. Results**

138 The patient selection schema is shown in Figure 1. There were 75,241 women with  
139 ovarian malignancies documented in the JSOG Gynecologic Tumor Registry during the  
140 study period. Granulosa cell histology was observed in 1,435 women. The final study  
141 population comprised 1,426 (1.9%) women with ovarian GCTs.

#### 142 **3.1. Analysis of clinicopathological factors**

143 The demographical and clinicopathological characteristics of the patients are  
144 summarized in Table 1. The median (IQR) age was 55 (43–66) years. A total of 876 (61.4%)  
145 patients were over 50 years of age. The majority of GCTs were classified as FIGO stage I  
146 disease (89.1%). All the patients underwent surgical treatment. None of the GCT patients  
147 received neoadjuvant chemotherapy. A total of 1,045 women (73.3%) underwent surgical  
148 procedures including bilateral salpingo-oophorectomy and/or hysterectomy, whereas 339  
149 (23.8%) underwent unilateral salpingo-oophorectomy or tumor resection. The rate of  
150 complete gross resection in the initial surgery was 93.0%. A total of 222 patients (15.6%)  
151 underwent lymph node dissection and 201 patients (14.2%) received adjuvant  
152 chemotherapy. A higher stage (II–IV) was associated with more frequent adjuvant

153 chemotherapy use (9.0% chemotherapy use for stage I and 55.5% for stage II–IV,  $p<0.001$ ).

154           Of the 222 patients who underwent lymph node dissection, 10 (4.5%) had  
155 histologically confirmed lymph node metastasis. The rates of lymph node metastasis in  
156 patients with pT1, pT2, and pT3 were 2.1%, 13.3%, and 26.7%, respectively ( $p<0.001$ ).  
157 There were no pT3a cases in which lymph node dissection was performed. These pT3  
158 cases were either pT3b or pT3c (Table 2). The percentage of positive lymph nodes  
159 associated with the extent of lymph node dissection was 5.0% (7/139 cases) in patients with  
160 pelvic lymph node dissection only, 3.8% (3/79 cases) in patients with dissection of pelvic and  
161 para-aortic lymph nodes, and 0% (0/4 cases) in patients with dissection of para-aortic lymph  
162 nodes only.

### 163 **3.2. Survival analysis**

164           We performed a survival analysis of 674 patients who were initially treated between  
165 2002 and 2011 (Fig. 1). The median (IQR) follow-up time for the cohort was 51.0 (41.4–65.5)  
166 months. Kaplan–Meier curves illustrate CSS (Fig. 2). CSS differed significantly according to  
167 the FIGO stage ( $p<0.001$ ), residual disease after initial surgery ( $p<0.001$ ), and lymph node  
168 status ( $p<0.001$ ). The 5-year CSS rates for patients with FIGO stage I, II, III, and IV disease  
169 were 98.2%, 89.3%, 81.0%, and 66.7%, respectively (Fig. 2-A). The 5-year CSS for patients  
170 with no macroscopic residual disease, a residual disease diameter  $<1$  cm, and residual  
171 disease diameter  $>1$  cm were 97.9%, 74.1%, and 33.3%, respectively (Fig. 2-B). The three

172 groups were compared for lymph node status. The 5-year CSS rates for patients negative for  
173 metastasis, no node resection, and positive for metastasis were 97.4%, 96.9%, and 60.0%,  
174 respectively (Fig. 2-C). In contrast, there was no significant CSS rate difference according to  
175 age at diagnosis (<50 years vs.  $\geq$  50 years) (Supplementary Figure 1A), and lymph node  
176 dissection (with vs. without) (Supplementary Figure 1B). In the multivariate Cox regression  
177 analysis, residual disease after initial surgery (HR=10.39, 95% CI=3.15–34.29), and lymph  
178 node metastasis (HR=5.58, 95% CI=1.62–19.19) were independent risk factors for CSS  
179 (Table 3).

180 In addition, advanced cases of stage II and above (n=86) were examined. CSS  
181 differed significantly according to residual disease after initial surgery ( $p<0.001$ )  
182 (Supplementary Figure 2A). However, there was no difference in CSS between patients with  
183 and without adjuvant chemotherapy (Supplementary Figure 2B). On the other hand, there  
184 was no difference in CSS according to whether lymph node dissection was performed or not  
185 in the examined patients with stage pT1 (Supplementary Figure 3).

186 Furthermore, when patients aged 18 to 49 years with a FIGO stage I GCTs (n=243)  
187 were evaluated, the median (IQR) follow-up was 50.9 (41.1 to 65.6) months. There was no  
188 difference in CSS between fertility-sparing surgical procedures (unilateral salpingo-  
189 oophorectomy or tumor resection) and fertility-loss surgery including bilateral salpingo-  
190 oophorectomy and/or hysterectomy ( $p=0.828$ ) (Fig. 3).

191

192 **4. Discussion**

193           The present study is the second-largest cohort study of GCTs following the study  
194 conducted by Seagle et al. [11]. Our study demonstrated that lymph node metastasis in  
195 GCTs was positively associated with the macroscopic findings at laparotomy, and residual  
196 tumor and lymph node metastasis at the initial surgery were poor prognostic factors.

197           Previous reports have shown that the incidence of lymph node metastasis in GCTs  
198 is not very high. There are reports of 13 cases [13], 25 cases [14], and 36 cases [15] of  
199 GCTs with lymph node dissection and no positive metastases. In another report, 34 cases [7]  
200 and 47 cases of SCST were dissected without metastasis [5] and these papers concluded  
201 that lymph node dissection can be omitted because positive node frequency is extremely  
202 low. However, in a subsequent study with more patients, lymph node metastasis was found  
203 in 3.1% (42/1350) [11] and 3.3% (19/572) [6]. In our study, the results were identical, with  
204 metastasis observed in 4.2% (10/222). For the first time, we revealed that the incidence of  
205 lymph node metastasis was positively associated with pT classification. For stage pT1  
206 cases, lymph node dissection was performed in 192 (14.9%) cases, but metastasis was as  
207 low as 2.1%. The combined metastatic rates of pT1 and pT2 remained low at 2.9%. This  
208 was considerably lower than the 14.2% observed in FIGO stage I and II epithelial ovarian  
209 cancer [16]. However, the incidence was higher in pT3 stage cases (26.7%), which was also

210 in accordance with previous reports of GCTs [11]. Therefore, based on the macroscopic  
211 findings at the time of laparotomy, it may be possible to predict the risk of lymph node  
212 metastasis. Seagle et al. [11] found that the non-dissected group had as poor a prognosis as  
213 the metastasis-positive group. Conversely, in our study, the non-dissected group had the  
214 same outcome as the node-negative group. This was probably due to the proportion of FIGO  
215 stage I cases being much more common in our study compared to Seagle et al.'s [11] group.  
216 Furthermore, analysis of pT1 cases only revealed that there was no difference in CSS  
217 between patients with and without lymph node dissection.

218           The prognosis is poor for cases with a FIGO stage II [10,17] or III [18,19] and  
219 patients over 50 years of age [19]; the existence of residual tumors and large tumor size are  
220 also poor prognostic factors [9]. In cases of incomplete staging, recurrence is more frequent  
221 [14]. In our study, the FIGO stage was a prognostic factor in the univariate analysis.  
222 However, a multivariate analysis showed two selections: residual tumor at surgery and  
223 histologically confirmed lymph node metastasis. Conversely, in stage I, which accounts for  
224 most cases, the prognosis is poor in the IC stage [20,21], tumor rupture [3,20], incomplete  
225 surgery [11], and non-staging surgery [21]. GCTs have been reported to have higher  
226 genomic stability than epithelial ovarian cancers [22,23] and *FOXL2* mutations are highly  
227 prevalent in both primary and metastatic lesions as well as in recurrent disease [24].  
228 Therefore, Seagle et al. [11] proposed the possibility of de novo GCTs in the residual ovary

229 of some lesions that had been considered in late recurrence. According to a study of  
230 metastatic sites, pelvic and intra-abdominal recurrences were more prevalent, with only  
231 5.7% of recurrences in lymph nodes alone [25]. This information would help explore  
232 therapeutic strategies.

233         The feasibility of fertility-sparing surgery has been reported as follows: Fertility-  
234 sparing surgery is associated with no difference in disease-free survival for stage I GCT  
235 patients under 50 years of age. In this study, fertility-sparing surgery is defined as the  
236 preservation of the uterus and at least one ovary [26]. We also compared the surgical  
237 procedures using the same definition and found no difference in CSS. However, Wang et al.  
238 [26] cautioned that recurrence is more common in cases with incomplete staging in the  
239 fertility-sparing group. In a study of stage I SCST in patients aged 18-49 years, fertility-  
240 sparing surgery was carefully performed because of its inferiority for CSS, although there  
241 was no difference in overall survival [27].

242         The strength of our study is that it was a nationwide survey and had the second-  
243 largest sample size in the literature [11]. In this study, no ethnic information was requested at  
244 the time of registration. However, since foreigners account for only 1.7% of Japan's  
245 population, and most of them are from Asian countries, the JSOG data cover predominantly  
246 Asian patients [28]. Therefore, this study can be considered the largest cohort study in Asia.  
247 In addition, the JSGO database focuses on the leading hospitals in Japan, such as university

248 hospitals and cancer centers. The data in the present study were mainly from surgeries and  
249 treatments performed by gynecologic oncologists. The present study had some limitations.  
250 First, juvenile granulosa cell tumors were not registered separately, nor was there a  
251 centralized pathology review. Second, for comprehensive surgical staging, there was no data  
252 on each staging element (e.g., peritoneal washings, omentectomy, peritoneal biopsies)  
253 because it is not a registry category. Third, data on the timing of relapse and the pattern of  
254 recurrence have not been registered, so they are not available for investigation.

255           The following conclusions can be made based on the results of the present study:

256 At the time of the initial surgery, lymph node dissection can be omitted if the surgical findings  
257 are pT1 after a thorough exploration of the abdominal cavity. In cases of pT2 or higher,  
258 lymph node dissection should be considered. Debulking is also important to ensure that  
259 there is no gross residual tumor at the end of the initial surgery. However, fertility-sparing  
260 surgery may be considered in FIGO stage I cases, although even in these cases, staging  
261 procedures such as inspection of the abdominal cavity and biopsy of the greater omentum  
262 and peritoneum are required.

263

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266

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269

270 **Conflict of Interests**

271 No potential conflict of interest relevant to this article was reported.

272

273 **Author contributions**

274 Study concept and design: YE and MM; acquisition of data: YE, WY, TT, MK, and

275 SN; analysis and interpretation of data: YE and MM; drafting of the manuscript: YE; Critical

276 revision of the manuscript for important intellectual content: YE, TE, and MM. Final approval

277 of manuscript: all authors.

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362 **Figure Captions**

363 **Fig. 1** Flow chart of the patients included in the study

364 JSOG, Japan Society of Obstetrics and Gynecology

365

366 **Fig. 2** Cancer-specific survival of patients with granulosa cell tumors by (A) FIGO stage, (B)

367 residual disease after initial surgery, and (C) lymph node states

368 FIGO, International Federation of Gynecology and Obstetrics

369

370 **Fig. 3** Cancer-specific survival of women aged 18 to 49 years with FIGO stage I granulosa

371 cell tumors by surgical procedure

372 BSO, bilateral salpingo-oophorectomy; USO, unilateral salpingo-oophorectomy

373

374 **Supplementary Fig. 1** Cancer-specific survival of patients with granulosa cell tumors by (A)

375 age at diagnosis and (B) lymph node dissection.

376

377 **Supplementary Fig. 2** Cancer-specific survival of patients with advanced (stage II-IV)

378 granulosa cell tumors by (A) residual disease after initial surgery and (B) adjuvant

379 chemotherapy.

380

381 **Supplementary Fig. 3** Cancer-specific survival of patients with pT1 granulosa cell tumors by  
382 lymphadenectomy.  
383

Fig. 1

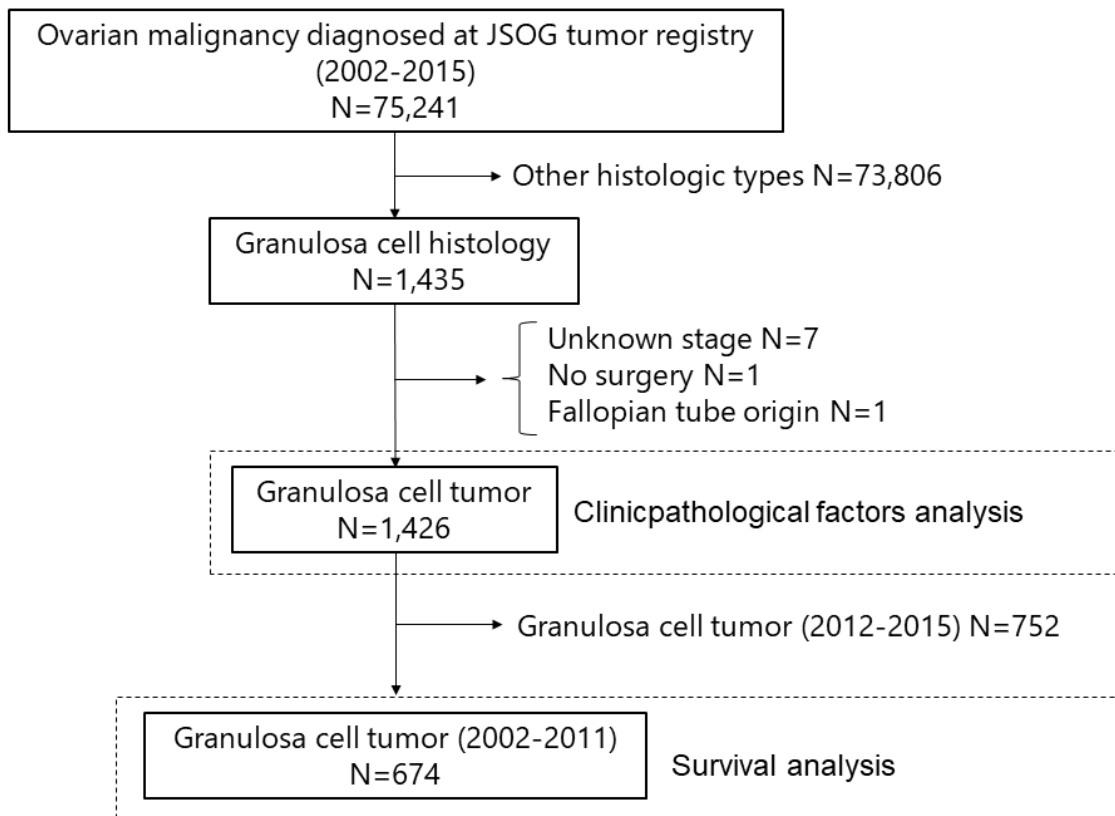




Fig. 2

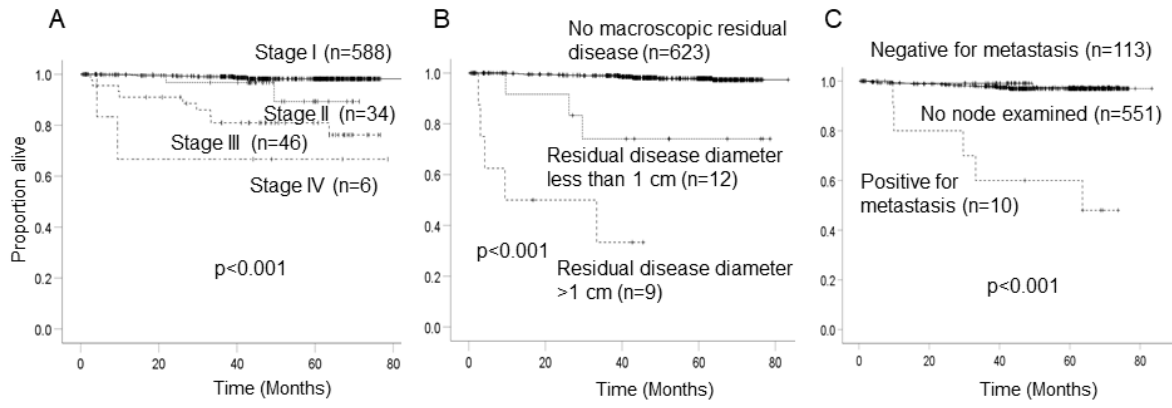
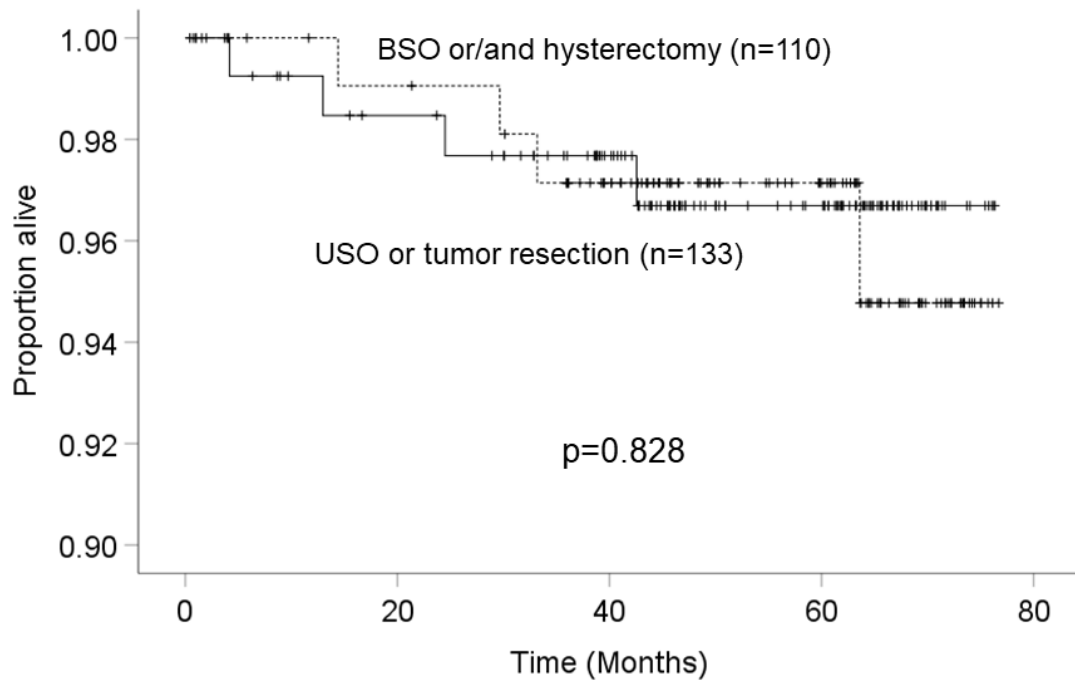
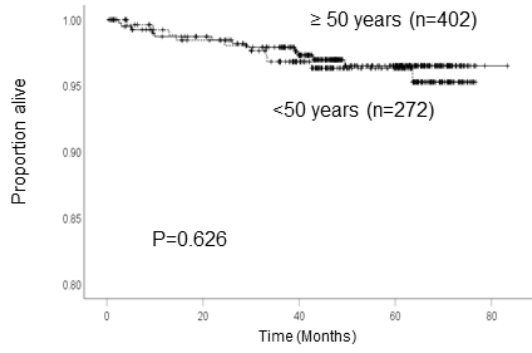


Fig. 3

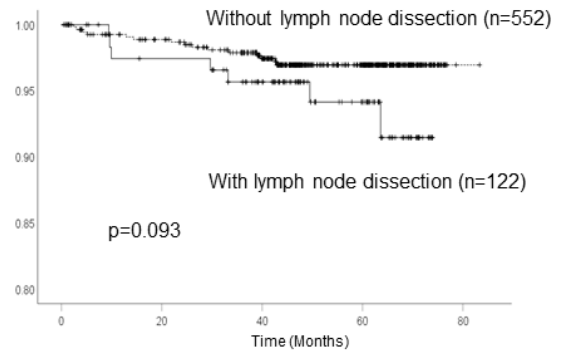


Supplementary Fig. 1

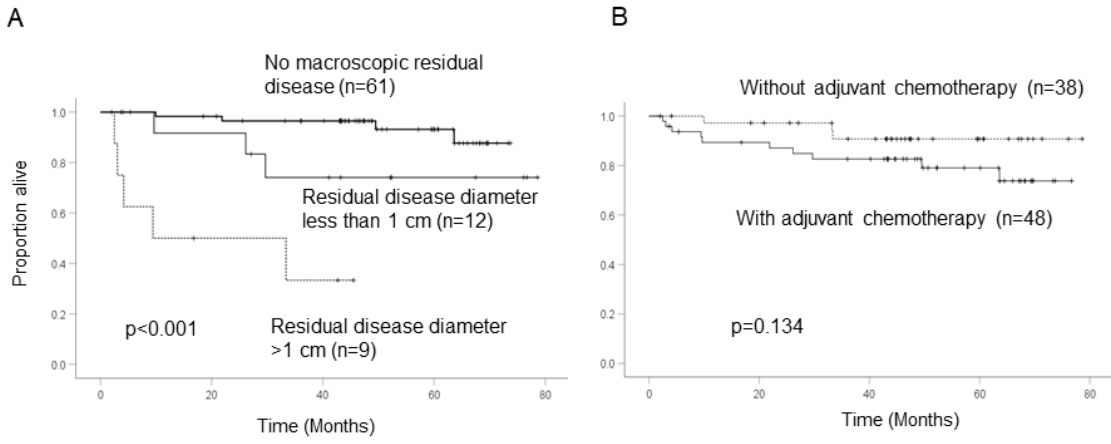
A



B



Supplementary Fig. 2



Supplementary Fig. 3

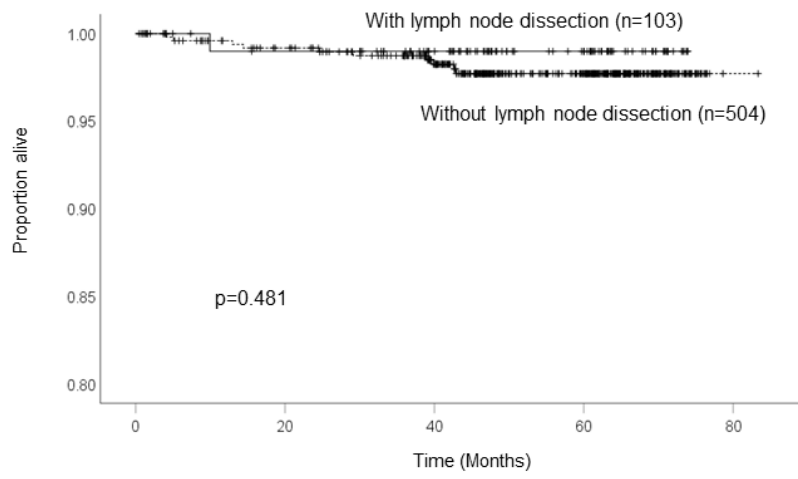


Table 1 Demographic and clinicopathological characteristics of patients with granulosa cell tumor

Age (years)		
<40		244 (17.1%)
40-49		306 (21.5%)
50-59		319 (22.4%)
60-69		310 (21.7%)
70≤		247 (17.3%)
Stage (FIGO 1988)		
I		1,271 (89.1%)
	IA	919
	IB	13
	IC	339
II		66 (4.6%)
	IIA	6
	IIB	19
	IIC	41
III		79 (5.5%)
	IIIA	7
	IIIB	18
	IIIC	54
IV		10 (0.7%)
	IVA	2
	IVB	8
Operative procedure		
	USO or tumor resection	339 (23.8%)
	BSO or/and hysterectomy	1,045 (73.3%)
	Other	42 (2.9%)
Lymph node dissection		
Yes		222 (15.6%)
	Pelvic	139
	Pelvic and paraaortic	79
	Paraaortic	4
No		1,204 (84.4%)
Residual disease after initial surgery		
No		1,326 (93.0%)
Yes		44 (3.1%)

residual tumor diameter $\leq$ 1 cm	25
residual tumor diameter 1–2 cm	4
residual tumor diameter >2 cm	15
Not reported	56 (3.9%)
Adjuvant chemotherapy	
Yes	201 (14.2%)
No	1,225 (85.8%)

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USO, unilateral salpingo-oophorectomy; BSO, bilateral salpingo-oophorectomy

Table 2 Lymph node metastasis rate by pTNM classification

pTNM classification	Number of cases of lymph node dissection	Number of histologically diagnosed metastasis-positive cases	Rate of metastasis
pT1	192	4	2.1% *
pT1a	121	2	1.7%
pT1b	3	0	0.0%
pT1c	68	2	2.9%
pT2	15	2	13.3% *
pT2a	1	0	0.0%
pT2b	5	0	0.0%
pT2c	9	2	22.2%
pT3	15	4	26.7% *
pT3a	0	-	-
pT3b	5	1	20.0%
pT3c	10	3	30.0%
total	222	10	4.5%

\* p<0.001

pTNM, pathological tumor node metastasis



Table 3 Risk factors for cancer-specific survival of patients with granulosa cell tumor

Factors	Univariate		Multivariate	
	HR (95% CI)	p	HR (95% CI)	p
FIGO stage		<0.001		0.082
I	1		1	
II-IV	10.74 (4.59-25.14)		3.12 (0.87-11.23)	
Residual disease after initial surgery		<0.001		<0.001
No macroscopic residual disease	1		1	
Positive	29.40 (11.57-69.71)		10.39 (3.15-34.29)	
Lymph node metastasis		<0.001		0.006
Negative or not examined	1		1	
Positive	21.15 (7.78-57.50)		5.58 (1.62-19.19)	

HR, hazard ratio; CI, confidence interval FIGO, International Federation of Gynecology and Obstetrics.