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1 **Relationship between weight loss and regular dental management of older adults residing in long-term**
2 **care facilities: A 1-year multicenter longitudinal study**

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38

1 **Key summary points**

2 *Aim*

3 This study aimed to clarify the relationship between weight loss and regular dental management among older
4 adults in long-term care facilities through a 1-year, multicenter longitudinal study.

5

6 *Findings*

7 The absence of regular dental management among older residents in long-term care facilities (who follow
8 regular diets) was associated with weight loss and malnourishment.

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10 *Message*

11 Regular dental care procedures among older residents (who follow regular diets) in long-term care facilities
12 may reduce weight loss and prevent malnutrition.

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1 **Abstract**

2 *Purpose*

3 This study aimed to determine the association between home visits by a dentist and regular oral hygiene
4 management by a dental hygienist (regular dental management: RDM) and weight loss among older adults in
5 long-term care facilities.

6 *Methods*

7 A total of 468 older residents from 26 Japanese long-term care facilities participated in two surveys in
8 2018 and 2019. Participants were divided into two groups based on their diet during the baseline survey (regular
9 diet, n=256; dysphagia diet, n=212). Participants with a regular diet were further divided into those who
10 exhibited a weight loss $\geq 5\%$ over 1 year (weight loss group: n=77) and those with a weight loss $< 5\%$ (consistent
11 weight group: n=179). The explanatory variables were age, sex, baseline weight, Barthel Index, and Clinical
12 Dementia Rating, as well as the patients' medical history of pneumonia, stroke, diabetes, and depression (which
13 is reportedly associated with weight). Additionally, a Poisson regression with robust standard error, was carried
14 out to analyze the explanatory variables, namely the prevalence of RDM noted during the study and functional
15 teeth (which seemed to affect weight loss).

16 *Results*

17 A multivariate analysis revealed that older residents' lack of RDM, clinical dementia assessment, and their
18 history of pneumonia (prevalence rate ratio: 0.35, 95% confidence interval: 0.24–0.95) were all significantly
19 associated with weight loss when on a regular diet.

20 *Conclusion*

21 Thus, weight loss and RDM were related to each other. Weight loss may be suppressed by incorporating
22 RDMs during the early nursing care for older residents on regular diets.

23

24 *Keywords*

25 Regular dental management, weight loss, long-term care, facility residents, regular diet, dysphasia diet

26

1 **Introduction**

2 Japan has the fastest aging population in the world [1]. Consequently, the number of older adults that
3 require long-term care insurance is constantly increasing [2]. As the life expectancy of older adults in Japan is
4 higher [1], they require extended periods of care. Further, the number of older residents in long-term care
5 facilities who need advanced care is also steadily increasing [3]. However, Japan has a chronic shortage of long-
6 term care facility personnel [4].

7 Preventing infections, such as pneumonia, is crucial for maintaining the well-being of older adults [5], and
8 oral care is one way of achieving this goal [6,7]. However, due to a lack of nurses and caregivers, many care
9 institutions find it difficult to provide adequate oral care. Furthermore, an increase in the number of older
10 residents admitted to long-term care facilities is inevitable. Therefore, the patients' risk of contracting
11 pneumonia will likely increase, which is problematic as pneumonia is the leading cause of death in Japan [8].
12 Reportedly, the most frequent form of pneumonia among older adults is aspiration pneumonia, caused by the
13 aspiration of oral bacteria in saliva and food [9,10]. Yoneyama demonstrated, by studying 11 special nursing
14 homes across Japan, that aspiration pneumonia can be effectively prevented through specialized mechanical
15 mouth cleaning—conducted by dentists or dental hygienists 1–2 times a week—in addition to the oral cleaning
16 routines performed by the residents and their caregivers [11,12]. These studies emphasized the need for regular
17 oral hygiene management by dental hygienists in Japan.

18 Accordingly, to preserve the oral health of older adults in nursing care, a long-term care service related to
19 oral hygiene management was introduced into Japan's long-term care insurance system. This nursing service,
20 that is, regular dental management (RDM) provides long-term care facility residents with both home visits by
21 dentists and professional oral care from dental hygienists (at least twice a month) and daily oral care support,
22 including specific technical advice and guidance to nurses. The primary aim of dental care home visits is to
23 improve the treatment of dental diseases and oral healthcare, which ultimately improves patients' oral health
24 status and functions. Studies investigating the differences in oral status between older adults, who did and did
25 not receive dental care home visits, reported that oral health was significantly maintained by the home visits
26 [13]. Studies have also reported that oral care proved effective in preventing pneumonia by reducing the
27 inhalation of oral bacteria and inducing the swallowing reflex [14,15]. Moreover, no other mechanisms
28 preventing pneumonia by oral care have been reported.

29 Several studies asserted that nutritional interventions may reduce the readmissions of undernourished
30 elderly people suffering from pneumonia to the hospital [16]. Thus, we hypothesized that home visits by a
31 dentist and regular oral hygiene management by a dental hygienist (RDM) could prevent undernutrition and
32 reduce the development of pneumonia. To the best of our knowledge, this is the first study to examine the
33 association between RDM and weight loss in institutionalized older residents. We investigated the current
34 situation regarding the RDM of 468 residents in long-term care facilities and clarified its relationship with
35 weight loss, which is an index of malnutrition. On this basis, we conducted a 1-year, multicenter, longitudinal
36 study involving long-term care facility residents.

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Methods

Study Design

This was a 1-year, prospective, multicenter, longitudinal study of Japanese residents in long-term care facilities.

Participants

During the survey, a workshop was conducted with 30 members from the special committee of the Japan Geriatrics Society and the Japan Gerontology Society to explain the study content and establish the evaluation criteria for the survey content. These members then explained the study content to the directors and staff of their relevant long-term care facilities. Thereafter, in September 2018, the staff of the long-term care facility who cooperated with this study asked both the participants themselves and their families to confirm their intention to participate in the study in advance. If consent could be obtained from the individual, the investigator directly explained the research content and obtained their written consent to participate in the research. If it was difficult to obtain the consent of the person because of cognitive decline, the research content was sent to the family in writing, and the written consent was obtained. An initial baseline survey was conducted between October 2018 and February 2019; a request for re-investigation was made a year later. The institutional personnel from the 26 institutions collaborating in this study provided data concerning the bodyweight of 468 participants during the previous year and who remained in the facilities 1 year later.

This study was approved by the Ethics Committee of the Japanese Society of Gerodontology (2018-1, 2019-3) and the Ethics Review Committee of the Graduate School of Dentistry and Epidemiological Research of Hokkaido University (2020-4).

Survey Items

During the baseline survey, the committee members provided training concerning the assessment of the survey items to each facility's nurses. Afterward, the evaluation criteria were unified. The attending nurses then inspected the participants' basic information. Oral examinations were performed by a dentist and dental hygienist who directly examined the participants while visiting the collaborating facilities.

Basic Information

Participants' basic information was collected from their care records and included their age, sex, weight, medical history (pneumonia, aspiration pneumonia, stroke diabetes, depression), food morphology, and the presence of RDM. The study participants were divided into two groups: The RDM group included people who accrued a history of dental visits after their admission to the facility and underwent oral hygiene management at least twice a month. The group that did not receive RDM included people with a history of no dental visits or oral hygiene routines.

The food survey form used in this study was based on the dysphagia diet classification (2013) of the

1 Japanese Society for Eating and Swallowing Rehabilitation [17]. Codes 0 to 4 represented the *Dysphasia Diet*,
2 while another food form reflecting a regular diet more closely represented the *Regular Diet*.

3 The activities of daily living were assessed using the Barthel index (BI) [18]. Additionally, the residents'
4 clinical dementia ratings (CDR) were assessed using Morris' assessment methodology [19]. The final decisions
5 concerning the CDR were made by a psychiatrist.

6 7 *Intraoral Examination*

8 We measured the number of stumps of tooth in the oral cavity. Teeth roots with disrupted crowns, or teeth
9 affected by severe periodontitis, were excluded. To measure the severity of periodontal disease, Miller's
10 classification that indicates the degree of tooth agitation was used, according to which, a degree of mobility of
11 3 was defined as a severe periodontal disease [20]. Functional dentulous teeth included the pontics of fixable
12 prosthetic devices with bridges or implant support, as well as the number of dental implants and denture
13 prostheses. Edentulous teeth were defined as the absence of teeth, regardless of the presence of dentures. Data
14 concerning whether participants used their dentures during mealtimes were obtained from relevant care staff.
15 Denture prostheses in participants who did not use dentures during mealtimes were not considered functional
16 teeth in this study.

17 18 *Statistical Analysis*

19 In 2018, the 468 participants were divided into two groups: those on a dysphagia diet (dysphagia diet
20 group) and those on a regular diet (regular diet group; see Fig 1). Thereafter, item-specific comparisons were
21 made between the two groups (Table 1). The regular diet group was further divided into those that exhibited \geq
22 5% weight loss 1 year after the baseline survey (weight loss group) and those that exhibited no significant signs
23 of weight loss (consistent weight group). Item-specific comparisons were then made between the two groups
24 (Table 2). Similarly, the dysphagia diet group was divided into those that exhibited \geq 5% weight loss 1 year
25 after the baseline survey (weight loss group) and those that exhibited no significant signs of weight loss
26 (consistent weight group). Item-specific comparisons were made between these two groups (Appendix Table
27 1). For each two-group comparison, the categorical variables were analyzed using a chi-square test, and the
28 continuous variables were analyzed using the Mann–Whitney U test after verifying a normal data distribution.
29 Furthermore, the comparisons between the weight loss and consistent weight groups were also analyzed using
30 the Mann–Whitney U test (for continuous variables) and the chi-square test (for categorical variables).

31 In a Poisson regression with robust standard, the weight loss and consistent weight groups were designated
32 as objective variables. The explanatory variables included: residents' age [21], sex [21], weight [21], BI [22],
33 CDR [22], and medical history of pneumonia [22], stroke [22], diabetes [22], and depression (which is
34 reportedly associated with bodyweight) [22]. Additionally, both RDM and functional teeth, which seem to affect
35 weight loss, were also designated as explanatory variables. Due to their multiple collinearities with functional
36 teeth, present teeth and dentures were not designated as explanatory variables. Furthermore, the Poisson
37 regression with robust standard used data from a multicenter study, while the random effects of the long-term

1 care insurance facility were confirmed using multilevel analysis. A Poisson regression with robust standard with
2 robust standard error computations was performed to obtain an adjusted prevalence ratio (95% confidence
3 interval [CI]). All statistical analyses were performed using SPSS Statistics 26 (IBM, USA), with a significance
4 level of less than 5% ($p < .05$).

7 **Results**

8 In this study, data from 468 older residents who participated in two surveys were analyzed (Fig 1). Their
9 baseline traits for the median interquartile ranges (IQRs) were age: 87.0 [82.0, 92.0] and BI:30.0 [5.0, 50.0].
10 Additionally, 71.0% of the residents exhibited moderate to severe cognitive decline (CDR 2 and 3), according
11 to their CDRs. The IQRs of residents' dentulous teeth were: 5.0 [0.0, 16.0], and the number of edentulous
12 residents were 158 (34.0%). Of the 468 participants, 212 (45.3%) were in the dysphagia diet group, and 256
13 (54.7%) were in the regular diet group. When comparing these two groups, the dysphagia diet group exhibited
14 lower body weight and BIs, more severe CDRs, a poor oral condition (i.e., fewer teeth and fewer functional
15 teeth), a higher edentulous rate, and fewer denture users than the regular diet group. The results also revealed
16 that participants with a history of pneumonia are at an increased risk for its recurrence (Table 1).

17 Of the 256 participants in the regular diet group, 77 (30.1%) belonged to the weight loss group and 179
18 (69.9%) belonged to the consistent weight group. When comparing these two groups, the weight loss group
19 possessed a higher proportion of participants with severe CDRs, a lower proportion of participants with RDM,
20 and a higher proportion of participants with a history of pneumonia. However, this group had a low proportion
21 of participants with a history of depression (Table 2).

22 The likelihood ratio test, which compared the multilevel model against a single-level model containing the
23 same predictors, was not significant. Accordingly, we selected a non-nested model. Thereafter, Poisson
24 regression analyses of the weight loss and consistent weight groups as objective variables were carried out. As
25 a result, age (PRR: 1.03, 95% CI: 1.00–1.06, $p=0.024$), CDR 2 (PRR: 3.21, 95% CI: 1.26–8.19, $p=0.015$), CDR
26 3 (PRR: 2.92, 95% CI: 1.08–7.88, $p=0.036$), RDM (PRR: 0.48, 95% CI: 0.24–0.95, $p=0.026$), and pneumonia
27 history (PRR: 3.72, 95% CI: 2.34–5.92, $p < 0.001$) were all significantly related to each other. (Table 3).

28 Of the 212 participants in the dysphagia diet group, the weight loss group consisted of 62 participants
29 (29.2%), while the consistent weight group consisted of 150 participants (70.8%). When comparing these two
30 groups, the weight loss group exhibited significantly lower body weight and BIs than the consistent weight
31 group. However, there were no significant differences in the items related to dentistry, such as RDMs or oral
32 condition (present teeth, functional teeth, edentulousness, prosthesis use) (Appendix Table 1). In the Poisson
33 regression with robust standard, the dysphagia group's baseline weight (PRR: 1.04, 95% CI: 1.01–1.03, $p =$
34 0.008) and BI (PRR: 1.02, 95% CI: 1.01–1.03, $p < 0.001$) were significantly associated (Appendix Table 2).

37 **Discussion**

1 The results of this study suggest that home visits by a dentist and regular oral hygiene management by a
2 dental hygienist (RDM) may reduce weight loss and prevent undernutrition in older adults who require nursing
3 care and consume a regular diet. Several studies have reported on the association between undernutrition and
4 oral hygiene [23–25]. Furthermore, studies also assert that oral care (including oral healthcare) is effective at
5 preventing undernutrition and aspiration pneumonia as well as extending patients' life expectancy [24–27]. The
6 effectiveness of oral care in preventing pneumonia is attributable to reducing oral bacteria and inducing
7 swallowing reflexes [14,15]; however, no other reports support this finding. Weight loss is a significant indicator
8 of undernutrition [28]. Furthermore, as the continued inability to eat will result in weight loss through the
9 atrophy of muscle and fat [29], it also increases the risk of falling, decreased physical functions, and a weak
10 immune system [30]. While several reports are discussing the association between undernutrition and oral
11 hygiene in long-term care facility residents [23–25], no studies examined the association between weight loss
12 and the RDM of older adults who require nursing care from dental care providers. Moreover, studies assert that
13 nutritional interventions can reduce pneumonia readmissions in undernourished older adults who have
14 previously suffered from pneumonia [16]. Therefore, we hypothesized that RDMs would eliminate dental
15 problems, such as pain in the oral cavity, and prevent undernutrition among older residents. We also posited
16 that improving eating and swallowing functions would make them less susceptible to pneumonia. Thus, we
17 examined the association between RDMs and weight loss as well.

18 Previous studies related to undernutrition in older adults revealed that poor oral health affects food choices
19 and nutrient intake, leading to malnutrition, frailty, and sarcopenia [23]. Additionally, an association was found
20 between nutritional status and activities of daily living [31]. In this study, an association was found between
21 weight loss and low scores in BI in the dysphagia diet. This result was supported by previous studies [23]
22 that found that compared to the regular diet, the dysphagia diet lowers BI, including swallowing function,
23 leading to malnutrition and weight loss. Furthermore, deterioration of oral condition may be the cause of
24 malnutrition, and subsequent undernourishment may further worsen oral condition.

25 Factors such as diseases, mental illness, and aging can result in weight loss that leads to a deterioration in
26 health; in fact, weight loss of more than 5% within a 6–12-month period is associated with increased mortality
27 [21, 32]. Nevertheless, proper diet and exercise can prevent weight loss—even in its early stages—as well as
28 maintaining and improving physical functions, disease prevention, and mental support [23, 33]. In this study,
29 RDMs were carried out from when a regular diet was still possible. This created an environment that made
30 nutrient intake easily manageable, which may have prevented weight loss. Meanwhile, a relationship between
31 RDMs and weight loss was not observed in the dysphagia diet group. However, this may not be statistically
32 significant, as only 92.7% of the dysphagia diet consistent weight group received RDM. It is also possible that
33 the RDM aims differed between the dysphagia diet and regular diet group.

34 This study did not find any significant relationship between RDMs and weight loss when the data from the
35 regular diet and dysphagia diet groups were analyzed together. During this analysis, weight loss was considered
36 the dependent variable, in addition to similar independent variables, and dietary morphology was designated as
37 an independent variable. Studies on Japanese long-term care facility residents reported an association between

1 the intervention focus of oral healthcare dental treatments and dietary morphology. In this study, the
2 interventions for the regular diet group were primarily aimed at restoring occlusal support and maintaining oral
3 functions. Conversely, the interventions for the dysphagia diet group were mostly aimed at periodontal
4 treatment and oral hygiene management to prevent infectious diseases such as pneumonia, as the activities of
5 daily living and cognitive decline deteriorated the oral environment. It is possible that the different intervention
6 objectives of the dental profession influenced the results. We believe that these are good explained of what we
7 often experience in our dental visits treatment.

8 Interventional studies are needed to test the effectiveness of oral healthcare in preventing weight loss.
9 However, as it is ethically challenging to conduct these intervention studies using newly implemented RDMs,
10 we conducted this observational study instead. In a study that examined the association between nutritional
11 status and mortality among 157 nursing home residents in Japan [34], the demographic proportions were as
12 follows: 82.0% were women, mean age 84.4 years, mean weight 43.7 kg, BI total mean 37.1, and the CDR
13 mean was 2.1. Another study focusing on the nutritional status of 248 Korean long-term care residents reported
14 the demographic proportions as follows: 79.2% were women, mean age 81.2 years, and BI total mean 35.3 [35].
15 Lastly, in a survey concerning the oral health status of 176 Italian residents in special nursing homes [36], 39.8%
16 of the patients were edentulous. Therefore, the participants of the current study were similar to those of previous
17 studies [34–36] as all of them included the elderly receiving nursing care at common long-term care facilities.

18 One of the aims of the baseline survey of this study was to understand the oral conditions of Japanese long-
19 term care facility residents. Accordingly, we asked as many institutions as possible to collaborate and recruit
20 participants. Subsequently, we recruited 468 participants, for whom a 1-year follow-up was possible; we
21 consider this to be an adequate sample size when compared with previous studies. However, in this study, some
22 facilities were attended by all residents of the long-term care insurance facility, while others were targeted only
23 at those who were in one unit. We asked the staff of the nursing care facility to participate in the research in
24 advance, and the investigator explained to the participants themselves and obtained their consent. If it was
25 difficult to obtain consent from the individual because of cognitive decline or any other factor, we sent the
26 instructions and consent form for participation in the research to the family and obtained consent. Therefore,
27 we do not know the number of people who explained the research to the participants, the number of people who
28 declined, and the reason for the decline. Hence, the results of this study should be interpreted with caution, as
29 selection bias may exist. Participants who could not be tracked were discharged from the long-term care
30 insurance facility due to death or other reasons. As this study had a 1-year weight loss outcome, we used a
31 dataset of 468 Japanese long-term care facility residents who participated in two surveys in 2018 and 2019.
32 Those who died were excluded from the dataset as their weight data after 1 year were not available. We believe
33 that these fatalities have little impact on the results of this study.

34 Recent studies show that, as aging populations have advanced, so has geriatric depression [19]. Reports
35 assert that the mental health aspects of older adults are correlated with weight loss [37,38]. However, in this
36 study, the percentage of residents following a regular diet with a history of depression was significantly higher
37 in the consistent weight group than in the weight loss group. This could be explained by the cognitive decline

1 experienced in the weight loss group. Even though cognitive decline is associated with weight loss, it could
2 have also made it difficult to prompt recollections of depression from the residents or their families.
3 Consequently, fewer individuals conveyed their histories of depression, which possibly influenced the results.
4 However, we found that cognitive decline above CDR 2 was significantly associated with weight loss. This is
5 reflected in the results of previous studies, which assert that cognitive decline reduces dietary intake and body
6 weight [38,39]. Therefore, regarding cognitive function, our results were supported by previous studies.

7 The study does, however, has several limitations. The resident groups were divided according to regular
8 diet and dysphagia diet food forms. However, these forms were not designed by physicians or dentists who are
9 experts in observing eating and swallowing functions. Therefore, some residents in the regular diet group may
10 have belonged to the dysphagia diet group. Conversely, members of the dysphagia diet group may have been
11 able to consume a regular diet. Consequently, those who exhibited discordant feeding and swallowing functions
12 and diet morphology may have deteriorated their nutritional status and lost weight. Thus, the diet status of
13 individuals could have changed during the study period, but this possibility was not investigated. In addition,
14 this study did not collect data that could have had a significant impact on oral or general health, such as
15 socioeconomic status, smoking history, and alcohol intake. It is possible that these factors have affected the
16 results. However, participants in this study had few activities of daily living and high CDRs, and only a few
17 currently smoke or consume alcohol. Additionally, as the living environment and long-term care content of
18 Japanese long-term care facilities are unified by the long-term care insurance, which is a social insurance, we
19 believe that socio-economic factors have little effect on oral and general health. Also, disrupted crown (stumps
20 of tooth), severe periodontitis, dry mouth, salivary hypofunction, xerostomia may interfere with oral intake and
21 promote weight loss. Participants who were found to have these problems during the oral examination were
22 asked to report it to the facility staff. However, we could not find any reports of such issues. We believe that
23 this was because it was a related facility for members of the Geriatric Dentistry Society.

24 Furthermore, home visits by dentists and oral hygiene management by dental hygienists provided to older
25 residents depended on the care they required. Therefore, the services provided were highly individualized and
26 conducted by different dentists and dental hygienists; in other words, there was no unified oral hygiene
27 management or guidance. Consequently, the suitability of the food forms, the intervention focus, and the
28 duration of dental treatments and care may have influenced the results. In the future, studies could unify the
29 guidance content of oral hygiene management and RDM and consider the evaluation of swallowing function,
30 dietary intake method, and dietary form. Additionally, we believe that it is necessary to conduct a detailed
31 examination of what kind of dental treatment and RDM are associated with the suppression of weight loss in
32 various kinds of subjects. Further research, such as intervention research, is needed to confirm the findings of
33 this study. Furthermore, it is necessary to verify whether RDM suppresses weight loss and the onset of
34 pneumonia based on the results of this study.

37 **Conclusion**

1 If RDMs are not performed with older adults, who require nursing care and consume regular diets, they
2 will lose weight, leading to an overall decline in their health. Therefore, by incorporating RDMs during the
3 early stages of nursing care, long-term care facilities can prevent weight loss, and by doing so, ensure better
4 overall health for their residents. Governments or long-term care facilities need to implement RDM to protect
5 the overall health of residents and continue to conduct research aimed at extending healthy life expectancy.

6 7 **Declarations**

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11 12 *Conflicts of Interest*

13 The authors have no conflict of interest to declare that are relevant to this article.

14 15 *Data Availability Statement*

16 The data presented in this study are available upon request from the corresponding author. The data are not
17 publicly available due to ethical–legal restrictions imposed by the Ethics Committee of the Japanese Society of
18 Gerodontology.

19 20 *Authors' Contributions*

21 Conceptualization: H.S., Y.W., Y.O., M.I., and M.Y.; Formal analysis: H.S., Y.W., Y.O., and M.I.; Investigation:
22 H.S., H.T., Y.W., T.M., M.S., K.I., J.N., Y.I., M.I., R.S., Y.N., J.F., Yo.W., Y.I., and H.H.; Data curation: H.S.,
23 Y.W., Y.O., J.N., Yo.W., and M.Y.; Writing—original draft preparation: H.S., Y.W., Y.O., and M.I.; Writing—
24 review and editing: H.S., H.T., Y.W., T.M., Y.O., M.I., K.I., J.N., Y.I., M.I., R.S., Y.N., J.F., Yo.W., M.Y., and
25 Y.Y.; Supervision: H.H., Y.S., and Y.Y.; Project administration: Y.W., Y.O., M.Y., H.H., and Y.Y.; Funding
26 acquisition: Y.W., M.Y., and Y.S. All authors have read and agreed to the published version of the manuscript.

27 28 *Ethics Approval*

29 This study was reviewed and approved by the ethics committee of the Japanese Society of Gerodontology
30 (2018–1, 2019–3) and the Clinical and Epidemiological Research Ethics Committee of the Faculty of Dental
31 Medicine at Hokkaido University (2020–4). It was conducted in accordance with the ethical principles of the
32 Declaration of Helsinki.

33 34 *Consent to Participate*

35 Informed consent was obtained from all individual participants and their families included in the study.

36

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4

Tables

Table 1 Characteristics of the study participants, and comparison between the regular diet group and dysphagia diet group

| Variable | Overall (<i>n</i> = 468) | | | Dysphagia diet (<i>n</i> = 212) | | | Regular diet (<i>n</i> = 256) | | | <i>P</i> -value |
|---------------------------------|---------------------------|--------|-------------------|----------------------------------|--------|-------------------|--------------------------------|--------|-------------------|-----------------|
| | Mean ± SD | | Median [Q1,Q3] | Mean ± SD | | Median [Q1,Q3] | Mean ± SD | | Median [Q1,Q3] | |
| | <i>n</i> (%) | | | <i>n</i> (%) | | | <i>n</i> (%) | | | |
| Age (years) | 86.4 | ± 7.8 | 87.0 [82.0, 92.0] | 87.1 | ± 7.6 | 88.0 [82.0, 93.0] | 85.9 | ± 7.9 | 87.0 [81.0, 92.0] | 0.390 |
| Sex (female) | 379 | 81.0 | | 174 | 82.1 | | 205 | 80.1 | | 0.637 |
| Weight (baseline) | 46.0 | ± 8.6 | 45.1 [40.0, 51.7] | 43.1 | ± 6.9 | 42.8 [38.4, 48.1] | 48.4 | ± 9.1 | 47.6 [41.8, 53.5] | < 0.001 |
| Weight loss ≥ 5%, <i>n</i> (%) | 139 | 29.7 | | 62 | 29.2 | | 77 | 30.1 | | 0.919 |
| Barthel index | 32.2 | ± 26.2 | 30.0 [5.0, 50.0] | 17.6 | ± 19.7 | 10.0 [0.0, 30.0] | 44.4 | ± 24.6 | 45.0 [25.0, 65.0] | < 0.001 |
| Clinical dementia rating | | | | | | | | | | |
| 0, 0.5 | 44 | (9.5) | | 6 | (2.9) | | 38 | (14.9) | | |
| 1 | 90 | (19.5) | | 19 | (9.2) | | 71 | (27.8) | | < 0.001 |
| 2 | 136 | (29.4) | | 52 | (25.1) | | 84 | (32.9) | | |
| 3 | 192 | (41.6) | | 130 | (62.8) | | 62 | (24.3) | | |
| Oral conditions | | | | | | | | | | |
| Regular dental management | 98 | 20.9 | | 52 | 24.5 | | 46 | 18.0 | | 0.088 |
| Present teeth | 8.3 | ± 8.9 | 5.0 [0.0, 16.0] | 6.4 | ± 7.7 | 3.0 [0.0, 11.0] | 9.9 | ± 9.5 | 7.0 [0.0, 19.0] | < 0.001 |
| Functional teeth | 19.8 | ± 10.3 | 25.0 [12.0, 28.0] | 16.0 | ± 11.4 | 18.0 [4.0, 28.0] | 22.9 | ± 8.1 | 28.0 [22.8, 28.0] | < 0.001 |
| Edentulous, <i>n</i> (%) | 158 | 34.0 | | 88 | 41.7 | | 70 | 27.6 | | 0.002 |
| Prosthesis use, <i>n</i> (%) | 242 | 52.0 | | 90 | 42.7 | | 152 | 59.8 | | < 0.001 |
| Medical history | | | | | | | | | | |
| Pneumonia, <i>n</i> (%) | 36 | 7.7 | | 31 | 14.6 | | 5 | 2.0 | | < 0.001 |
| Stroke, <i>n</i> (%) | 161 | 34.5 | | 67 | 31.6 | | 94 | 36.9 | | 0.242 |
| Diabetes mellitus, <i>n</i> (%) | 74 | 15.8 | | 35 | 16.5 | | 39 | 15.3 | | 0.799 |
| Depression, <i>n</i> (%) | 32 | 6.9 | | 15 | 7.1 | | 17 | 6.7 | | 0.857 |

Categorical variables are shown as the numbers (percentage) that were analyzed with the chi-square test. A p-value of < 0.05 was considered statistically significant. Continuous variables were analyzed with the Mann–Whitney U test, for which a p-value of < 0.05 was considered statistically significant. Q1, first quartile; Q3, third quartile; SD, standard deviation

Table 2 Comparison between the baselines of the regular diet groups' weight loss and weight maintenance sub-groups

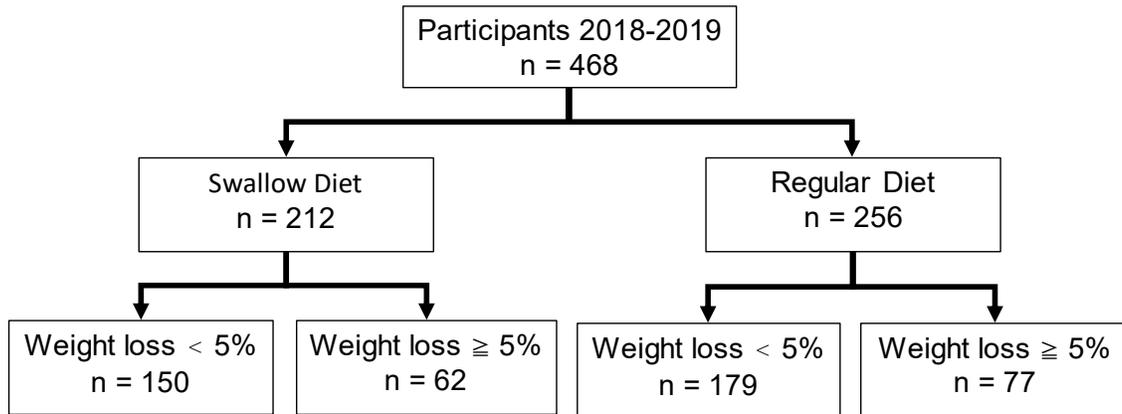
| Variable | Regular Diet 2018 (<i>n</i> = 256) | | | | | | <i>P</i> -value |
|---------------------------------|-------------------------------------|-------------------|--|-----------------------------------|-------------------|--|-----------------|
| | Weight Loss < 5% (<i>n</i> = 179) | | | Weight Loss ≥ 5% (<i>n</i> = 77) | | | |
| | Mean ± SD <i>n</i> (%) | Median [Q1, Q3] | | Mean ± SD <i>n</i> (%) | Median [Q1, Q3] | | |
| Age (years) | 87.0 ± 7.8 | 87.0 [84.3, 92.8] | | 85.4 ± 8.0 | 86.0 [80.0, 91.0] | | 0.178 |
| Sex (female) | 145 | 81.0 | | 60 | 77.9 | | 0.610 |
| Weight (baseline) | 49.0 ± 9.1 | 48.7 [42.1, 54.7] | | 48.2 ± 9.1 | 47.1 [41.8, 53.4] | | 0.403 |
| Barthel index | 41.1 ± 23.3 | 40.0 [25.0, 60.0] | | 45.8 ± 25.1 | 45.0 [25.0, 65.0] | | 0.200 |
| Clinical dementia rating | | | | | | | |
| 0, 0.5 | 34 | (19.0) | | 4 | (5.3) | | 0.034 |
| 1 | 50 | (27.9) | | 21 | (27.6) | | |
| 2 | 54 | (30.2) | | 30 | (39.5) | | |
| 3 | 41 | (22.9) | | 21 | (27.6) | | |
| Oral conditions | | | | | | | |
| Regular dental management | 39 | 21.8 | | 7 | 9.1 | | 0.020 |
| Present teeth | 10.6 ± 9.8 | 8.0 [0.0, 20.0] | | 9.6 ± 9.4 | 7.0 [0.0, 18.0] | | 0.519 |
| Functional teeth | 21.9 ± 8.9 | 26.0 [20.0, 28.0] | | 23.4 ± 7.7 | 28.0 [22.0, 28.0] | | 0.118 |
| Edentulous, <i>n</i> (%) | 49 | 27.7 | | 21 | 27.3 | | 0.860 |
| Prosthesis use, <i>n</i> (%) | 111 | 62.7 | | 41 | 53.2 | | 0.166 |
| Medical history | | | | | | | |
| Pneumonia, <i>n</i> (%) | 1 | 0.6 | | 4 | 5.2 | | 0.030 |
| Stroke, <i>n</i> (%) | 64 | 68.1 | | 30 | 31.9 | | 0.673 |
| Diabetes mellitus, <i>n</i> (%) | 27 | 15.2 | | 12 | 15.6 | | 1.000 |
| Depression, <i>n</i> (%) | 16 | 9.0 | | 1 | 1.3 | | 0.027 |

Categorical variables are shown as the numbers (percentage) that were analyzed with the chi-square test. A *p*-value of < 0.05 was considered statistically significant. Continuous variables were analyzed with the Mann–Whitney U test, for which a *p*-value of < 0.05 was considered statistically significant. Q1, first quartile; Q3, third quartile; SD, standard deviation

Table 3 Poisson regression analyses between the baseline weight loss and the weight maintenance sub-groups in the regular diet group

| | IRR | | 95% CI | | <i>P</i> -value |
|-----------------------------------|------|-----------|--------|------|-----------------|
| Age (years) | 1.03 | 1.00 | — | 1.06 | 0.024 |
| Sex (female) | 0.93 | 0.58 | — | 1.48 | 0.748 |
| Weight (baseline) | 1.02 | 1.00 | | 1.04 | 0.045 |
| Barthel index | 1.00 | 0.99 | — | 1.01 | 0.945 |
| Clinical dementia rating | | | | | |
| 0, 0.5 | | Reference | | | |
| 1 | 2.49 | 0.93 | — | 6.67 | 0.068 |
| 2 | 3.21 | 1.26 | — | 8.19 | 0.015 |
| 3 | 2.92 | 1.08 | — | 7.88 | 0.034 |
| Functional teeth | 0.99 | 0.97 | — | 1.01 | 0.160 |
| Regular dental management | 0.48 | 0.24 | — | 0.95 | 0.036 |
| Pneumonia (0: no, 1: yes) | 3.72 | 2.34 | — | 5.92 | < 0.001 |
| Stroke (0: no, 1: yes) | 1.10 | 0.73 | — | 1.65 | 0.649 |
| Diabetes mellitus (0: no, 1: yes) | 1.07 | 0.65 | — | 1.74 | 0.796 |
| Depression (0: no, 1: yes) | 0.02 | 0.03 | — | 1.31 | 0.093 |

CI = confidence interval, PRR = prevalence rate ratio

Figure Captions**Fig 1** Analytical subjects of this study

Appendix

Appendix Table 1 Comparison between the baseline weight loss and weight maintenance sub-groups in the dysphagia diet group

| Variable | Dysphagia Diet 2018 (<i>n</i> = 212) | | | | | | <i>P</i> -value |
|---------------------------------|---------------------------------------|-------------------|---------------------------|-----------------------------------|--------|--|-----------------|
| | Weight loss < 5% (<i>n</i> = 150) | | | Weight loss ≥ 5% (<i>n</i> = 62) | | | |
| | Mean ± SD <i>n</i> (%) | Median [Q1,Q3] | Mean ± SD <i>n</i> (%) | Median [Q1, Q3] | | | |
| Age (years) | 86.6 ± 7.7 | 87.0 [82.0, 92.0] | 88.3 ± 7.4 | 89.0 [82.0, 83.0] | 0.200 | | |
| Sex (female) | 120 | 80.0 | 54 | 87.1 | 0.245 | | |
| Weight (baseline) | 43.7 ± 7.1 | 43.2 [39.3, 48.0] | 39.7 ± 6.7 | 40.3 [35.6, 44.7] | <0.001 | | |
| Barthel index | 15.0 ± 18.6 | 5.0 [0.0, 25.0] | 23.7 ± 20.9 | 17.5 [5.0, 40.0] | 0.004 | | |
| Clinical dementia rating | | | | | | | |
| 0, 0.5 | 4 | (2.7) | 2 | (3.3) | 0.794 | | |
| 1 | 12 | (8.2) | 7 | (11.7) | | | |
| 2 | 39 | (26.5) | 13 | (21.7) | | | |
| 3 | 92 | (62.6) | 38 | (63.3) | | | |
| Oral conditions | | | | | | | |
| Regular dental Management | 139 | 92.7 | 27 | 43.5 | 0.540 | | |
| Present teeth | 6.9 ± 7.6 | 4.0 [0.0, 12.0] | 5.2 ± 8.0 | 2.0 [0.0, 8.0] | 0.068 | | |
| Functional teeth | 15.3 ± 11.2 | 16.0 [4.0, 28.0] | 17.4 ± 11.8 | 24.0 [4.0, 28.0] | 0.163 | | |
| Edentulous, <i>n</i> (%) | 57 | 38.0 | 31 | 50.8 | 0.127 | | |
| Prosthesis use, <i>n</i> (%) | 59 | 39.3 | 31 | 50.8 | 0.173 | | |
| Medical history | | | | | | | |
| Pneumonia, <i>n</i> (%) | 26 | 17.3 | 5 | 8.1 | 0.091 | | |
| Stroke, <i>n</i> (%) | 48 | 32.0 | 19 | 30.6 | 0.873 | | |
| Diabetes mellitus, <i>n</i> (%) | 22 | 14.7 | 13 | 21.0 | 0.310 | | |
| Depression, <i>n</i> (%) | 9 | 6.0 | 6 | 9.7 | 0.381 | | |

Categorical variables are shown as the numbers(percentage) that were analyzed with the chi-square test. A *p*-value of < 0.05 was considered statistically significant. Continuous variables were analyzed with the Mann–Whitney U test, for which a *p*-value of < 0.05 was considered statistically significant. Q1, first quartile; Q3, third quartile; SD, standard deviation

Appendix Table 2 Poisson regression analyses between the baseline weight loss and weight maintenance sub-groups in the dysphagia diet group

| | IRR | | 95% CI | | <i>P</i> -value |
|-----------------------------------|------|-----------|--------|-------|-----------------|
| Age (years) | 1.01 | 0.98 | – | 1.04 | 0.391 |
| Sex (female) | 1.55 | 0.81 | – | 2.96 | 0.181 |
| Weight (baseline) | 1.04 | 1.01 | | 1.08 | 0.008 |
| Barthel index | 1.02 | 1.01 | – | 1.03 | < 0.001 |
| Clinical dementia rating | | | | | |
| 0, 0.5 | | Reference | | | |
| 1 | 2.09 | 0.56 | – | 7.83 | 0.276 |
| 2 | 1.38 | 0.37 | – | 5.23 | 0.634 |
| 3 | 2.68 | 0.70 | – | 10.24 | 0.148 |
| Functional teeth | 1.00 | 0.98 | – | 1.02 | 0.740 |
| Regular dental management | 0.99 | 0.61 | – | 1.60 | 0.958 |
| Pneumonia (0: no, 1: yes) | 0.67 | 0.29 | – | 1.53 | 0.337 |
| Stroke (0: no, 1: yes) | 1.00 | 0.61 | – | 1.64 | 0.999 |
| Diabetes mellitus (0: no, 1: yes) | 1.36 | 0.85 | – | 2.19 | 0.204 |
| Depression (0: no, 1: yes) | 1.58 | 0.83 | – | 0.06 | 0.165 |

CI = confidence interval, PRR = prevalence rate ratio