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- 3 Genetic consequences of habitat fragmentation in a perennial plant *Trillium*
- 4 *camschatcense* are subjected to its slow-paced life history
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#### 15 Abstract

16 Many wild populations are suffering from the loss of genetic diversity caused by habitat 17 fragmentation, while the degree of diversity loss differs among species and populations 18 based on their life history characteristics. *Trillium camschatcense*, an understory 19 perennial plant, has undergone intensive habitat fragmentation in the Tokachi region, 20 Hokkaido, Japan. Although demographic deteriorations, such as reduced seed 21 production, were already reported, genetic consequences of fragmentation have not been 22 studied with reference to its life history. Here, we examined how life history events 23 (e.g., growth and reproduction) and the stochasticity therein influence genetic diversity 24 in two (each large and small) fragmented *T. camschatcense* populations. Genetic 25 diversity was evaluated using genome-wide 2,008 single nucleotide polymorphisms 26 (SNPs). In the small population, genetic diversity of newly germinated seedlings was 27 significantly lower than that of matured life history stages, and effective number of 28 breeders  $(N_b)$  was smaller than that of the large population. Simulations using a matrix 29 population model showed that the diversity loss at seedlings is caused by genetic drift 30 during reproduction, which was intensified by smaller  $N_{\rm b}$ . Besides, simulations using 31 randomly perturbed transition matrices suggested that stasis at juvenile stages, which is 32 a common characteristics of T. camschatcense, maintains genetic diversity by buffering 33 stochastic decrease, possibly contributing to population viability. While previous studies 34 showed the importance to facilitate reproduction and recruitment for demographic 35 recovery, this study highlighted the crucial roles of juvenile survival in terms of genetic diversity for the conservation of fragmented T. camschatcense populations in the 36 37 Tokachi region.

38

## 39 Keywords

- 40 demographic genetic structure, demographic stochasticity, genetic drift, matrix
- 41 population model, stasis

#### 42 **1 Introduction**

43 Anthropogenic land-use changes have been causing habitat loss and fragmentation 44 worldwide, resulting in local extinction of many plant populations (Aguilar et al., 2018; 45 Krauss et al., 2010). Small fragmented populations suffer from demographic and 46 environmental stochasticity, both of which accelerate population shrinkage (Lande, 47 1988). Besides, habitat fragmentation is predicted to decrease genetic diversity through 48 bottleneck, genetic drift, restricted gene flow, and inbreeding (Aguilar, Quesada, 49 Ashworth, Herrerias-Diego, & Lobo, 2008; Lowe, Boshier, Bacles, & Navarro, 2005; 50 Young, Boyle, & Brown, 1996). Reduction in genetic diversity not only constrains the 51 evolutionary potential (Bakker, van Rijswijk, Weissing, & Bijlsma, 2010; Ramsayer, 52 Kaltz, & Hochberg, 2012), but could also suppress individual fitness and population 53 growth (Reed & Frankham, 2003; Williams, 2001), thus threatening population 54 viability. Hence, it is necessary to evaluate and mitigate the genetic impacts of habitat 55 fragmentation on remnant populations. 56 Although many studies indicate that population shrinkage is a major driver of 57 genetic diversity loss (Leimu, Mutikainen, Koricheva, & Fischer, 2006; Lowe et al., 58 2005), the susceptibility of genetic diversity to population decline differs among species 59 and populations depending on their life history characteristics (Aguilar et al. 2008; 60 González, Gómez-Silva, Ramírez, & Fontúrbel, 2019; Kramer, Ison, Ashley, & Howe, 61 2008). Life history is a lifetime trajectory of individuals from birth to death, and 62 because genetic information is stored in individuals, genetic dynamics is fundamentally 63 subjected to life history (Li, Kurokawa, Giaimo, & Traulsen, 2016). In perennial plants, 64 for example, slow growth and prolonged lifespan could allow old-aged individuals to persist for many years, resulting in slow generation turnover and delayed loss of genetic 65

diversity (Aparicio, Hampe, Fernández-Carrillo, & Albaladejo, 2012; Martins et al.,

2015). It is important, therefore, to account for life history features to successfully
assess genetic impacts of habitat fragmentation.

69 One way to take the entire life history into account is to comparatively evaluate 70 genetic diversity among different age or stage classes. The resultant genetic structure is 71 referred to as demographic genetic structure (Aldrich, Hamrick, Chavarriaga, & 72 Kochert, 1998), and can reflect genetic consequences of all life history events, such as 73 growth and reproduction. For example, comparison between reproductive adults and 74 newborn progenies enables us to assess how much genetic drift during reproduction 75 decreases genetic diversity (Aguilar et al. 2008). Moreover, demographic genetic 76 structure could reveal potential genetic changes that accompany generation turnover 77 from mature to juvenile individuals, providing an early-warning signal of diversity loss 78 in fragmented populations (Aldrich et al., 1998; Ally & Ritland, 2006). However, 79 demographic genetic structure is a static and momentary data because it is usually obtained at a single time point. Evaluating the long-term genetic changes requires 80 81 additional knowledge on temporal dynamics. Integrating population dynamics models, 82 which describe temporal changes in population size based on an individual survival and 83 reproduction, with genetic data would be an effective solution.

84 *Trillium camschatcense* is an understory perennial plant which is widely 85 distributed in northeast Asia including Japan, Russia, and Korean Peninsula. The center 86 of its distributional range is Hokkaido, northern Japan. Although this species was once 87 abundant in Hokkaido, its habitats have been destroyed due to agricultural and 88 residential land development since the late 19th century (Ohara, Toimmatsu, Takada, & 89 Kawano, 2006). One of the most striking habitat destructions took place in the Tokachi

90	region (Figure 1(a)). According to the records in the early time of land development
91	(Hokkaido Government, 1897), this region had been almost completely forested, and
92	understory plants including T. camschatcense were extensively distributed. However,
93	intensive land development which started in the 1880s resulted in many small forest
94	fragments within an agricultural matrix (Figure 1(b)). Previous studies have shown that
95	the fragmentation restricts both seed production and the establishment of seedlings
96	(Tomimatsu & Ohara, 2002; Tomimatsu & Ohara, 2004). Besides, a matrix population
97	model, which was parameterized by individual survival rates and fecundity at every life
98	history stage, was constructed based on six years of demographic monitoring in four
99	fragmented populations (Tomimatsu & Ohara, 2010). Despite these achievements,
100	genetic consequences of habitat fragmentation have not been well clarified in this
101	system, although bottleneck effect was revealed by allozyme analysis on flowering
102	individuals (Tomimatsu & Ohara, 2003).
103	Here, we combined empirically estimated demographic genetic structure with
104	the previously constructed matrix population model and assessed how habitat
105	fragmentation interacts with life history to influence genetic diversity and its long-term
106	temporal dynamics of T. camschatcense in the Tokachi region.
107	
108	
109	2 Materials and Methods

110 **2.1 Study system** 

111 *Trillium camschatcense* is a long-lived understory polycarpic plant. As with many other 112 understory perennials, this species grows slowly with limited sunlight, taking a long 113 period of years to become sexually mature. The prolonged life cycle can be categorized

into five distinct life history stages: seed (SE), seedling (SD), one-leaf (1L), three-leaves
(3L), and flowering (FL) (Figure 2). Seeds germinate after two years of dormancy and
grow as seedlings for the second year. From the third year on, they continue to grow as
one-leaf and subsequently as three-leaves. It is not until they store enough assimilated
resources that they become flowering. Flowering individuals are long-lived and remain
reproductive for years, and sometimes retrogress to three-leaves due to resource
exhaustion (Ohara & Kawano, 2005).

121 In May 2018, we selected 24 populations differing in habitat areas. We 122 established one to three 5 m  $\times$  5 m quadrats in each population and recorded the number 123 of flowering individuals within the quadrats. As a result, we found a significant positive 124 effect of the habitat size on the density of FL (Figure 3). We selected two representative 125 populations along the correlation: FUJ (Fuji town, Obihiro city), which is one of the largest populations in the region (density of  $FL = 328/25 \text{ m}^{-2}$ , habitat area = 5.87 ha), 126 127 and SAK (Sakuragi town, Obihiro city), which is a small and severely fragmented population (density of FL =  $104/25 \text{ m}^{-2}$ , habitat area = 0.71 ha). 128

129

#### 130 **2.2 Sampling and SNP detection**

In May 2019, we established one  $5 \text{ m} \times 5 \text{ m}$  quadrat in each population and randomly collected leaves of 16 individuals per stage within each quadrat. We used random samples from the quadrat rather than from the entire population for two reasons. Firstly, considering that seeds of *T. camschatcense* were dispersed by ants up to only a few meters (Ohara & Higashi, 1987), the genetic composition could be highly spatially structured within a population. Therefore, the larger the sampling aera is, the higher the estimated genetic diversity can be. To evaluate the role of life history independently

138	from that of sampling area, it is thus necessary to adopt a fixed spatial scale. Secondly,
139	there is a need to adjust spatial scale of genetic data to that of the matrix population
140	model parameterized by fine-scale demographic data (Tomimatsu & Ohara, 2010) for
141	the later analysis. Because it was difficult to search and collect dormant seeds from soil,
142	we omitted sampling from the seed stage (SE). Besides, considering that there was a
143	large variation in plant size within 3L stage, we divided 3L into small (3L-1) and large
144	(3L-2) stages based on leaf size (leaf length $\times$ leaf width) with threshold of 150 $cm^2$
145	(approximately the midpoint of the leaf size range of 3L, see Supporting Information 1).
146	As a result, 160 samples were collected in total (16 indv./stage $\times$ 5 stages (SD, 1L, 3L-1,
147	3L-2, FL) $\times$ 2 populations). The collected leaves were first stored in the refrigerator and
148	then dried using silica gel. Genomic DNA was extracted from the dried leaves following
149	the modified CTAB method (Murray & Thompson, 1980).
150	To quantify genetic diversity, we used multiplex ISSR genotyping by
151	sequencing (MIG-seq; Suyama & Matsuki, 2015). MIG-seq is one of the reduced-
152	representation DNA sequencing techniques and detects putatively neutral genome-wide
153	SNPs adjacent to microsatellite regions. We followed the method modified from
154	Suyama and Matsuki (2015) to prepare MIG-seq library. Sequencing was performed on
155	an Illumina MiSeq Sequencer (Illumina, San Diego, CA, USA) using an MiSeq Reagent
156	Kit v3 (150 cycle paired-end; Illumina). The sequence data is available at DDBJ with
157	Accession number DRA009621.
158	Primer regions, adapter sequences, and low-quality reads were removed using
159	Trimmomatic (Bolger, Lohse, & Usadel, 2014). The remaining reads were used for de
160	novo assembly. SNPs were called using Stacks ver. 2.4 (Rochette, Rivera-Colón, &

161 Catchen, 2019). Firstly, for each sample, sequenced reads were classified into groups

162 named "stack," in which the number of nucleotide mismatch was two or less (M = 2). 163 The minimum number of reads required to form a stack is set to three (m = 3). 164 Ungrouped reads were aligned against the assembled stacks with a more permissive 165 criterion: four nucleotide mismatches were allowed at maximum (N = 4). Stacks from 166 different samples were then aligned with one another to create a locus if the number of 167 nucleotide mismatch was two or less (n = 2). These parameter values are the default of 168 Stacks *de novo* assembly. After these alignment procedures, SNPs which were 169 successfully typed in at least 80% of all samples (r = 0.8, p = 1) were extracted with 170 additional two criteria: minimum allele frequency must exceed 0.01 (min-maf = 0.01) 171 and observed heterozygosity must be below or equal to 0.6 (max-obs-het = 0.6). Among 172 the extracted SNPs, we randomly selected one SNP per locus to avoid strong linkage 173 (write-random-snp). The resultant 2,008 SNPs were used for the following analyses. 174 To confirm the robustness of the results, we also called SNPs using various m, 175 M, N, and r (m = 3, 5, 8; M = 1, 2, 3; N = M + 2; r = 0.7, 0.8, 0.9). Different m, M, and 176 N were used with r being kept at 0.8, while different r values were used under the 177 default m, M, and N (i.e., m = 3, M = 2, N = 4). Besides, to evaluate the influence of 178 missing data, we excluded samples in which more than 30 % of SNPs were missing 179 when m, M, N, and r were 3, 2, 4, and 0.8, respectively. Because these various settings 180 yielded qualitatively similar results, we only present the results of the following 181 parameter settings: m = 3, M = 2, N = 4, r = 0.8. The results under the other parameter 182 values were available in Supporting Information 2.

183

#### 184 **2.3 Demographic genetic structure**

185 Allelic richness ( $A_R$ ), effective number of alleles ( $A_E$ ), observed heterozygosity ( $H_O$ ),

186 expected heterozygosity ( $H_E$ ), gene diversity ( $H_S$ ), and standardized multilocus 187 heterozygosity (sMLH), were calculated for each life history stage. Allelic richness is 188 the number of alleles adjusted by rarefaction. Effective number of alleles is the inverse 189 of the sum of squared allele frequencies and reflects not only the number but also the 190 evenness of alleles in a population. While expected and observed heterozygosity are 191 conventionally used to quantify intrapopulation diversity, gene diversity is an unbiased 192 estimator of expected heterozygosity for small sample size (Nei & Chesser, 1983). 193 Standardized multilocus heterozygosity is the proportion of heterozygous loci in an 194 individual divided by the average observed heterozygosity in the population over the 195 subset of loci successfully typed in the focal individual, and is thus measured on an 196 identical scale for all individuals (Coltman, Pilkington, Smith, & Pemberton, 1999). 197 Inbreeding coefficient ( $F_{IS}$ ), which represents the level of inbreeding and is expected to increase with time in isolated populations, was also estimated. All metrics except  $H_E$ 198 199 and sMLH were calculated with the modified function of "allelic.richness" and 200 "basic.stats" in R package "hierfstat" (Goudet, 2005), and the R code is provided in 201 Supporting Information 3. H<sub>E</sub> and sMLH were calculated using the function "Hs" and 202 "sMLH" in R package "adegenet" and "inbreedR", respectively (Jombart, 2008; Stoffel 203 et al., 2016).

204 Permutation test was used to evaluate whether genetic diversity ( $A_R$ ,  $A_E$ ,  $H_O$ , 205  $H_E$ ,  $H_S$ , and mean sMLH) became lower and inbreeding coefficients ( $F_{IS}$ ) became 206 higher in more juvenile stages. For all pairs of two stages, we randomly shuffled the 207 assignment of stages to individuals without replacement and calculated the seven 208 metrics. We subtracted the diversity estimates ( $A_R$ ,  $A_E$ ,  $H_O$ ,  $H_E$ ,  $H_S$ , and mean sMLH) 209 of more juvenile stage from those of more matured stage, while subtracting  $F_{IS}$  of more

matured stage from that of more juvenile stage. The subtracted values were used as test statistics, and their null distributions were generated by repeating these procedures for 999 times. The one-sided *p*-value was obtained as the frequency of 999 simulated and one observed value greater than or equal to the observed value. *P*-values were adjusted by sequential Bonferroni method for multiple comparison. The R code used for permutation test is provided in Supporting Information 4.

- 216
- 217 **2.4 Effective number of breeders**

218 Effective number of breeders  $(N_b)$  is the counterpart of effective population size  $(N_e)$ 219 per single reproductive season, not per generation (Waples & Teel, 1990). N<sub>b</sub> represents 220 the idealized number of reproductive individuals showing the same rate of genetic 221 diversity loss from adults to offspring as the observed data. "Idealized" means that 222 adults randomly mate and produce the same number of offspring as themselves.  $N_{\rm b}$ 223 reflects any factors involved in reproduction, such as the number of mating individuals 224 and the per capita fecundity. Hence, the reduction in genetic diversity from flowering 225 (FL) to seedlings (SD) during reproduction could be well evaluated by  $N_{\rm b}$ .

In estimating  $N_b$ , we followed the method of Hui and Burt (2015), which estimates  $N_e$  from allele frequency data of different generations using maximum likelihood method. Here, we assumed FL and SD as two consecutive generations. This assumption is realistic, because SD is a two-year-old cohort whose parents are likely to remain in FL considering the rarity of individual turnover in the flowering stage in this species (Ohara & Kawano, 2005). We used these two "generations" to estimate  $N_b$  using the function "NB.estimator" in R package "NB" (Hui & Burt, 2015).

233

#### 234 **2.5 Model structure**

235 We used a matrix population model to simulate the temporal dynamics of genetic

diversity for FUJ and SAK populations. Matrix population models are usually used to

237 predict discrete-time population dynamics of age- or stage-structured populations and

238 consist of demographic rates, which are survival rates and fecundity of each age/stage

class (Caswell, 2001). In T. camschatcense, which has five life history stages (SE, SD,

1L, 3L, and FL), annual population dynamics can be modeled as follows:

241 
$$\begin{pmatrix} n_{SE,t+1} \\ n_{SD,t+1} \\ n_{1L,t+1} \\ n_{SL,t+1} \\ n_{FL,t+1} \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 & f \\ g_0 & 0 & 0 & 0 & 0 \\ 0 & g_1 & s_2 & 0 & 0 \\ 0 & 0 & g_2 & s_3 & r_4 \\ 0 & 0 & 0 & g_3 & s_4 \end{pmatrix} \begin{pmatrix} n_{SE,t} \\ n_{SD,t} \\ n_{1L,t} \\ n_{3L,t} \\ n_{5L,t} \end{pmatrix}$$
(1)

242  $n_{i,t}$  denotes the number of individuals in stage *i* in year *t*. Elements in the matrix include 243 the probabilities of germination  $(g_0)$ , growth  $(g_1, g_2, g_3)$ , stasis (remaining in the same 244 stage;  $s_2$ ,  $s_3$ ,  $s_4$ ), retrogression (going backward to previous stage;  $r_4$ ), and fecundity (f) 245 per year (Figure 4). Because it is unfeasible to track the survival/death of dormant seeds 246 in field, fecundity is usually estimated as a product with the germination rate in the next year, as in Tomimatsu and Ohara (2010). To separate f and  $g_0$ , we assumed that the  $g_0$ 247 248 was either 0.2, 0.4, 0.6, 0.8, or 1, and carried out the following analyses for each case. 249 We applied the matrix population model to predict temporal changes in allele 250 frequencies. We assumed a biallelic neutral locus with alleles A and a. Since T. 251 camschatcense is a diploid species, there can be three genotypes: AA, Aa, and aa. The 252 transition probabilities (i.e., growth, stasis, and retrogression probabilities) and the 253 fecundity were set to be equal among all genotypes. The number of individuals per 254 genotype per stage can be predicted by extending the model as follows.

255 
$$\binom{N_{\text{SE},t+1}}{N_{\text{SD},t+1}}_{N_{\text{SL},t+1}} = \begin{pmatrix} 0 & 0 & 0 & 0 & F \\ G_0 & 0 & 0 & 0 & 0 \\ 0 & G_1 & S_2 & 0 & 0 \\ 0 & 0 & G_2 & S_3 & R_4 \\ 0 & 0 & 0 & G_3 & S_4 \end{pmatrix} \begin{pmatrix} N_{\text{SE},t} \\ N_{\text{SD},t} \\ N_{1L,t} \\ N_{3L,t} \\ N_{FL,t} \end{pmatrix}$$
(2)

256  $N_{i,t}$  denotes the vector of the number of individuals in stage *i* in year *t* 

257 
$$\boldsymbol{N}_{i,t} = \begin{pmatrix} n_{i,AA,t} \\ n_{i,Aa,t} \\ n_{i,aa,t} \end{pmatrix}$$
(3)

where  $n_{i,j,t}$  denotes the number of individuals of genotype *j* in stage *i* in year *t*. The summation of  $N_{i,t}$  is equal to  $n_{i,t}$ . The first matrix of the right side of Equation (2) consists of  $3 \times 3$  submatrices which are shown in capital letters. *O* is a zero matrix, while the other submatrices except *F* are diagonal matrices whose diagonal elements are the small letters of themselves. For example, the submatrix *G*<sub>1</sub> is given by

263 
$$\boldsymbol{G_1} = \begin{pmatrix} g_1 & 0 & 0 \\ 0 & g_1 & 0 \\ 0 & 0 & g_1 \end{pmatrix}$$
(4)

264 and the other submatrices except F are similarly set. The use of diagonal submatrices 265 holds because genotype of an individual never changes over the course of life history 266 except reproduction, where gametes are shuffled. In deriving F, we first defined  $p_{A,t}$  and 267  $p_{a,t}$  as allele frequencies of A and a in FL in year t. Under complete random mating, a 268 given gamete will form a zygote with A and a with the probability of  $p_{A,t}$  and  $p_{a,t}$ , 269 respectively. Considering that flowering individuals of AA produce only gametes of A, 270 their seeds can be split into AA, Aa, and aa at the ratio of  $p_{A,t}$ ,  $p_{a,t}$ , and zero. Similarly, 271 the proportions of AA, Aa, and aa among the seeds of aa individuals are zero,  $p_{A,t}$ , and 272  $p_{a,t}$ , respectively. In the case of Aa individuals which produce gametes of A and a in 273 half, the genotypic composition of their seeds is the mean of that of AA and aa 274flowering individuals:  $p_{A,t}/2$ , 1/2 and  $p_{a,t}/2$  for AA, Aa, and aa, respectively. Therefore,

275 *F* satisfies the following equations.

276 
$$N_{\text{SE},t+1} = F N_{\text{FL},t} = \begin{pmatrix} f p_{A,t} & f p_{A,t}/2 & 0 \\ f p_{a,t} & f/2 & f p_{A,t} \\ 0 & f p_{a,t}/2 & f p_{a,t} \end{pmatrix} \begin{pmatrix} n_{\text{FL},AA,t} \\ n_{\text{FL},Aa,t} \\ n_{\text{FL},aa,t} \end{pmatrix}$$
(5)

The first to third column of *F* represent the composition of seeds produced by a
flowering individual of *AA*, *Aa*, and *aa*, respectively.

279 Since populations exponentially grow and become unrealistically large/small 280 under the model analyses, the number of individuals in each stage was scaled at each 281 timestep to remain constant. This assumption holds asymptotically in density-dependent 282 structured population dynamics, as in Ellner (1996). We carried out simulations at a spatial scale of 25 m<sup>2</sup> by fixing  $n_{FL,t}$  to the density of flowering individuals per 25 m<sup>2</sup> 283 284 surveyed in 2018 for each population, while the number of individuals of the other 285 stages were set to be proportional to the stable stage structure, which is the leading right 286 eigenvector of the transition matrix. We multiplied the fixed number and the ratio of 287 three genotypes (i.e., AA, Aa, aa) calculated at each time step to yield the number of 288 individuals per stage per genotype, allowing changes in genetic composition while 289 keeping population size and stage structure constant.

290 We incorporated two stochastic processes, genetic drift and demographic 291 stochasticity, into the model. Genetic drift is the probabilistic fluctuations in offspring 292 allele frequency due to chance effect in random mating. Demographic stochasticity is 293 the random deviation from expected population dynamics due to the probabilistic nature 294 of individual survival and reproduction: whether to grow, stay, retrogress, or die is 295 determined probabilistically and independently among individuals. To compare the 296 genetic consequences between the two stochasticity, we carried out simulations under 297 four scenarios: (1) no stochasticity, (2) only genetic drift, (3) only demographic

stochasticity, and (4) both genetic drift and demographic stochasticity.

299 The degree of genetic drift per year depends on effective number of breeders 300  $(N_{\rm b})$ , and the allele frequencies of newborn seeds must be comparable with those of  $N_{\rm b}$ 301 seeds produced by a random mating of flowering individuals. Thus, we did multinomial 302 sampling  $N_{\rm b}$  times based on the expected genotype frequencies of seeds in the next year  $(AA: p_{A,t}^2; Aa: 2p_{A,t}p_{a,t}; aa: p_{a,t}^2)$  to obtain perturbed frequencies of seeds under genetic 303 304 drift ( $\tilde{p}_{SE,AA,t+1}$ ,  $\tilde{p}_{SE,Aa,t+1}$ ,  $\tilde{p}_{SE,aa,t+1}$ ). We used N<sub>b</sub> estimated from the empirical genetic data for each population.  $N_{SE,t+1}$  can be calculated by the product of total 305 306 number of seeds (i.e.,  $n_{\text{SE},t+1}$ , or  $f n_{\text{FL},t}$ , in Equation (1)) and the perturbed genotype 307 frequencies.

308 
$$N_{\text{SE},t+1} = f n_{\text{FL},t} \times \begin{pmatrix} p_{\text{SE},AA,t+1} \\ \tilde{p}_{\text{SE},Aa,t+1} \\ \tilde{p}_{\text{SE},aa,t+1} \end{pmatrix}$$
(6)

309 On the other hand, the degree of demographic stochasticity corresponds to the 310 actual number of individuals, not  $N_b$ , and the smaller the number is, the more likely 311 actual transition probability deviates from expectation. We used multinomial sampling 312 in transition processes (Figure 4).

313 
$$Multi(n_{i,j,t}; t_{SE,i}, t_{SD,i}, t_{1L,i}, t_{3L,i}, t_{FL,i}, d_i)$$

 $t_{SE,i}, ..., t_{FL,i}$  are the transition probabilities from stage *i* to the respective stages.  $d_i$  is the mortality rate of stage *i*. Multinomial sampling from the above probability distribution yields how individuals of genotype *j* in stage *i* in year *t* are split into all possible states in the next year. As for reproduction, the number of seeds was taken from Poisson distribution with mean equal to the value in the case without demographic stochasticity. Mean of the distribution under scenario (3) and (4) can be calculated from Equation (5) and (6), respectively. For example,  $n_{SE,AA,t+1}$  follows the Poisson distribution bellow.

321 
$$n_{\text{SE},AA,t+1} \sim \begin{cases} Pois(f p_{A,t} n_{\text{FL},AA,t} + f p_{A,t} n_{\text{FL},Aa,t}/2) & \text{scenario 3} \\ Pois(f n_{\text{FL},t} \tilde{p}_{SE,AA,t+1}) & \text{scenario 4} \end{cases}$$

The resultant number of individuals was then scaled to remain the same as the previousyear. To make multinomial sampling applicable, the scaled number was always rounded

324 off and adjusted to integer value.

All simulations were performed on R, and the code is provided in SupportingInformation 5.

327

#### 328 **2.6 Simulation of the temporal genetic dynamics**

Tomimatsu and Ohara (2010) estimated annual transition probabilities and a two-year fecundity (annual fecundity × germination rate) in four fragmented *T. camschatcense* populations in our study region from 2000 to 2006. We used the mean over the years and the populations to construct a transition matrix.

$$333 \qquad \begin{pmatrix} 0 & 0 & 0 & 0 & 6.197/g_0 \\ g_0 & 0 & 0 & 0 & 0 \\ 0 & 0.477 & 0.659 & 0 & 0 \\ 0 & 0 & 0 & 0.819 & 0.082 \\ 0 & 0 & 0 & 0.130 & 0.893 \end{pmatrix}$$
(7)

The numerator of the top-right element (6.197) is the estimated two-year fecundity, which is divided by germination rate  $g_0$  to obtain annual fecundity. The initial ratio of the three genotypes (*AA*, *Aa*, *aa*) was set to 1:2:1 for each stage. We then simulated 200 years of temporal dynamics of expected heterozygosity for 100 times under the four scenarios as explained earlier. Average expected heterozygosity for each stage and year were calculated.

340

#### 341 **2.7 Dependence of genetic diversity on demographic rates**

342 To estimate the dependence of genetic diversity on each life history event, we evaluated 343 the loss of genetic diversity in situations where demographic rates (i.e., transition 344 probabilities and fecundity, or the none-zero matrix elements in Equation (7)) were 345 randomly perturbed. We used uniform random numbers that deviate from 0 by up to 0.1346 for parameter perturbation, partly following the method of Takada and Kawai (2020). 347 The detailed procedures can be available in Supporting Information 6. 500 random 348 transition matrices were generated and were applied to FUJ and SAK populations as 349 previously conducted with the original transition matrix. 200 years of temporal genetic 350 changes were computed 100 times. Average expected heterozygosity ( $H_E$ ) over the 100 351 iterations was calculated for each stage and year. For the total of 501 simulations (500 352 random transition matrices and the original transition matrix), redundancy analysis 353 (RDA) was used to examine the relationships between  $H_{\rm E}$  of the five life history stages 354 in the 200th year and demographic rates. The five  $H_{\rm E}$  were ordinated with demographic 355 rates as constraints, and the statistical significance of the overall ordination, subsequent 356 RDA axes, and constraint variables were tested with 999 permutations (Borcard, Gillet, & Legendre, 2011). All analyses regarding RDA were carried out using an R package 357 358 "vegan" (Oksanen et al., 2019).

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- 360

#### 361 **3. Results**

362 **3.1 Demographic genetic structure** 

363 Genetic diversity and inbreeding coefficient were estimated per stage per population

364 (Figure 5). In FUJ (large) population, although all six diversity proxies gradually

365 increased from seedlings (SD) to flowering (FL), there were no significant differences

among the five stages. On the other hand, in SAK (small) population, genetic diversity
of SD was significantly lower than that of FL and 3L-2 for all six diversity indices
(Figure 5(a)–(f)). Inbreeding coefficient was not significantly different among stages in
both populations, yet it steadily decreased from SD to FL in SAK population (Figure
5(g)).

371

#### 372 **3.2 Effective number of breeders**

Effective number of breeders ( $N_b$ ) and its 95 percent confidence interval (C.I.) were estimated (FUJ:  $N_b = 25.8$ , C.I. = 18.9–39.1; SAK:  $N_b = 18.0$ , C.I. = 14.0–24.5).  $N_b$  of SAK population was lower than that of FUJ population. The confidence interval overlapped each other, indicating that the difference between the two populations was slight.

378

#### **379 3.3 Demographic genetic structure under different stochasticity situations**

380 Simulation analysis revealed 200 years of temporal dynamics of expected

heterozygosity ( $H_E$ ) in both populations (Figure 6). Because the results are qualitatively

382 similar among different  $g_0$ , we here present only the results of  $g_0 = 1$  (see Supporting

Information 7 for the other  $g_0$ ). Without stochasticity, there were no differences in  $H_E$ 

among the five stages with no temporal decline at all (Figure 6(a)). However,  $H_E$ 

385 steadily decreased over the course of time if either or both stochasticity was present

386 (Figure 6(b)-(d)).  $H_E$  of seedlings completely tracked that of seeds with one-year delay,

- 387 reflecting the double dormancy of this species. The slope of temporal decrease was
- 388 much steeper in SAK population than in FUJ population. Under the presence of genetic
- drift,  $H_E$  became lower along the maturity gradient (from matured to juvenile stages),

and  $H_E$  of seeds and seedlings was kept lower than that of the other three stages, which was more obvious in SAK population (Figure 6(b)(d)). When only demographic stochasticity was incorporated, no apparent inter-stage differences were found (Figure 6(c)).

394

#### **395 3.4 Dependence of genetic diversity on demographic rates**

396 Associations between  $H_{\rm E}$  of the five life history stages and demographic rates were 397 visualized in RDA correlation triplots (Figure 7). Because we got similar results under 398 different  $g_0$ , only the results of  $g_0 = 1$  were presented (see Supporting Information 8 for 399 the other  $g_0$ ). RDA was not performed for scenario 1 because  $H_E$  always remained the 400 same as the initial condition, hardly affected by demographic rates. In the other three 401 scenarios, RDA axis 1 had prominent explanatory power (approximately 50-80 % of the 402 total variance, Figure 7). In RDA triplots, the angles between  $H_E$  and demographic rates 403 reflect their correlations, while signs of the axes are arbitrary (Borcard et al., 2011). 404 Therefore, demographic rates that were in the same direction with the five  $H_{\rm E}$  regarding 405 RDA axis 1 likely significantly contributed to high  $H_E$ . As for scenario 2,  $s_2$  and  $s_3$ 406 (stasis of 1L and 3L) had relatively strong positive correlations with  $H_{\rm E}$ , while  $g_2$  and 407  $g_3$  (growth of 1L and 3L) had negative correlations (Figure 7(a)). In other words, 408 populations with high stasis probabilities at juvenile stages maintained genetic diversity 409 under genetic drift. In scenario 3,  $H_E$  were positively correlated with  $s_3$  (Figure 7(b)), 410 indicating that genetic diversity was maintained when s<sub>3</sub> was high under demographic stochasticity. In scenario 4,  $s_2$ , and  $s_3$  strongly boosted  $H_E$  under both stochasticity 411 412 (Figure 7(c)).

413

### 415 **4 Discussion**

#### 416 **4.1 Loss of genetic diversity in fragmented populations**

417 We revealed that genetic diversity of seedlings (SD) was significantly lower than that of 418 flowering (FL) and three-leaves (3L-2) individuals in the small SAK population (Figure 419 5(a)-(f), and that  $N_b$  was slightly smaller in SAK than in FUJ population. Simulation 420 results indicate that genetic drift causes the apparently lower expected heterozygosity of 421 SE and SD compared to the other mature stages, especially in SAK population (Figure 422 6(b)). Therefore, the low diversity of SD observed in SAK population could be 423 attributed to genetic drift at reproduction: small  $N_{\rm b}$  caused a greater sampling bias in the 424 genetic composition of seeds and seedlings. These results agree with previous studies 425 showing that smaller populations suffer from genetic drift more strongly than larger 426 ones (Crow & Morton, 1955; Lowe et al., 2005; Toczydlowski & Waller, 2019). 427 Besides, the apparent, although not significant, increase in inbreeding 428 coefficient of SD in SAK population (Figure 5(g)) suggests that strong genetic drift in 429 SAK population might be accompanied by the increased level of inbreeding. Inbreeding 430 causes greater decrease in observed than in expected heterozygosity and would alter 431 genotypic composition. This might be partly responsible for the statistical significance 432 only detected in SAK population despite the seemingly identical decrease in some 433 indices (e.g., allelic richness) in both populations, because permutation of individuals 434 can readily detect differences in genotypic composition which allele-based indices such 435 as allelic richness are less sensitive to.

In contrast to genetic drift, demographic stochasticity did not induce consistent
differences in genetic diversity among the five stages (Figure 6(c)). This could be

because that demographic stochasticity occurs at all stages and results in parallel
decrease, while genetic drift acts at only reproduction and leads to imbalanced decline
in SE and SD.

441 It should be noted that genetic diversity of SAK (small) population was almost 442 at the same level as, or sometimes higher than, that of FUJ (large) population (Figure 443 5(a)-(f), which might seem inconsistent with the simulation results showing that 444 genetic diversity decreases faster in SAK than in FUJ population and the previously 445 reported bottleneck effect (Tomimatsu & Ohara, 2003). Besides, inbreeding coefficient 446 was seemingly lower in SAK than in FUJ population (Figure 5(g)). It might be that 447 SAK had been much larger and had higher diversity than FUJ before fragmentation. 448 Large population could attract many pollinators (Tomimatsu & Ohara, 2002), and 449 resultant extensive pollination, together with self-incompatibility of this species in the 450 study region (Ohara, Takeda, Ohno, & Shimamoto, 1996), should have had lowered  $F_{IS}$ 451 in SAK population. Our results might have reflected these pre-fragmentation legacies 452 due to the few generations passed after fragmentation (Tomimatsu & Ohara, 2006). The 453 time elapsed since fragmentation itself might be shorter in SAK than in FUJ 454 populations. Moreover, our sampling strategy did not aim at inter-populational 455 comparison: we sampled individuals from a fine 5 m  $\times$  5 m quadrat, not from the whole 456 population, which can hardly detect bottleneck effect. Our focus is on the genetic 457 differences among stages within a population, which can be evaluated separately from 458 the differences among populations. The interpretation of the data is thus concrete 459 despite the potential historical factors listed above.

460

#### 461 **4.2 Roles of life history events in maintaining genetic diversity**

462 High degree of stasis at 1L and 3L contributed to high genetic diversity under genetic 463 drift in both populations (Figure 7(a)). As has been discussed above, genetic drift 464 randomly perturbs genetic composition of SE and consequently SD year by year. Stasis 465 enables individuals older in age to remain in juvenile stages and to coexist with younger 466 ones, thereby mixing differently aged cohorts. Overlap of cohorts could offset annual 467 randomness caused by genetic drift and thus maintain as the same level of genetic 468 diversity in juveniles as in flowerings. This mechanism may be consistent with the 469 storage effect, which was previously suggested by studies showing that generation 470 overlap maintain genetic diversity under fluctuating selection pressures (Ellner, 1996; 471 Ellner & Hairston, 1994). Our results also agree with the preceding finding that long 472 lifespan could slow down generation turnover and thus delay diversity loss (Aparicio et 473 al., 2012; Martins et al., 2015), because high stasis probabilities would extend lifespan. 474 Demographic stochasticity, the magnitude of which depends on the number of 475 individuals (Lande, 1988), was mitigated by the increase in  $s_3$  (Figure 7(b)). Since  $s_3$ 476 could promote accumulation of individuals and thus reduce demographic stochasticity 477 at 3L, the results indicate that buffering demographic stochasticity at 3L is crucial for 478 maintaining genetic diversity of the whole population. In polycarpic perennial forest 479 herbs, survival of established individuals, rather than annual reproduction, usually plays 480 a predominant role in population dynamics (Silvertown, Franco, Pisanty, & Mandoza, 481 1993). Because 3L constitutes large part of the survival process, its predominant 482 contributions to the overall genetic dynamics might have been highlighted. 483 In the most realistic scenario where both genetic drift and demographic 484 stochasticity occur (scenario 4), stasis at 1L and 3L maintained high genetic diversity. 485 The high degree of stasis at juvenile stages is a common characteristic of T.

486	camschatcense (Ohara & Kawano, 2005), implying that the two examined populations
487	might maintain genetic diversity and population viability unless its life history is not
488	severely altered. In general, habitat fragmentation adversely affects survival and growth
489	of plant seedlings (Aguilar et al., 2019). It would be necessary to examine if
490	fragmentation also alters survival and growth of juveniles to evaluate the risk of genetic
491	diversity loss.

492

493

#### 494 **5.** Conclusion

495 The present study examined how life history events and the stochasticity therein interact 496 with habitat fragmentation and influence genetic diversity of the two fragmented T. 497 camschatcense populations in the Tokachi region. As a result, it was shown that genetic 498 drift during reproduction causes significant diversity loss at seedlings especially in the 499 small SAK population, and that stasis at 1L and 3L stages could buffer the stochasticity 500 and maintain local genetic diversity in both populations. It should be noted that the 501 comparison of two populations might be insufficient to draw general conclusions. 502 However, considering that the two studied populations were representative in terms of FL density and habitat area (Figure 3), our discussion might be applicable to at least the 503 504 other populations in the same region. While the need to facilitate reproduction and 505 recruitment had been already highlighted from a demographic point of view (Ohara et 506 al., 2006; Tomimatsu & Ohara, 2002; Tomimatsu & Ohara, 2004), this study, for the 507 first time, revealed the importance of the survival of established individuals in terms of 508 genetic diversity. Taken together, it is suggested that the whole life history processes 509 should be considered for the conservation in fragmented T. camschatcense populations

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Figure 1. (a) The location of the Tokachi region and the two studied populations: SAK
(Sakuragi, small population) and FUJ (Fuji, large population). (b) An iconic aerial view
of fragmented forests in the Tokachi region



- **Figure 2.** Life history of *Trillium camschatcense*. Arrows represent the possible annual
- trajectory of individuals. SE, seed; SD, seedling; 1L, one-leaf; 3L, three-leaves; FL,
- 686 flowering



**Figure 3.** A positive effect of habitat area on the density of flowering individuals

690 revealed by preliminary survey in 2018. Blue and red circles represent the data of SAK

691 (Sakuragi) and FUJ (Fuji) population, respectively



**★** Genetic drift (multinomial sampling of  $N_b$  seeds)

• Demographic stochasticity (multinomial sampling)

Demographic stochasticity (Poisson sampling)

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694 Figure 4. Population updating rules used in simulation analyses. g<sub>0</sub> is germination rate 695 of SE (seed). g<sub>1</sub>, g<sub>2</sub>, and g<sub>3</sub> are the growth rates of SD (seedling), 1L (one-leaf), and 3L 696 (three-leaves).  $s_2$ ,  $s_3$ , and  $s_4$  are the stasis rates of 1L, 3L, and FL (flowering).  $r_4$  and f697 are the retrogression rate and fecundity of FL. At each timestep (year), the fate of 698 individuals was determined depending on these demographic rates. When incorporating 699 genetic drift (scenario 2 and 4), we did multinomial sampling  $N_{\rm b}$  times to determine the 700 genotype frequencies of SE (seed), as marked with star symbol. When incorporating 701 demographic stochasticity (scenario 3 and 4), we applied multinomial and Poisson 702 sampling at transitions marked with circle and square, respectively. The number of 703 individuals were kept constant (SE,  $n_{SE}$ ; SD,  $n_{SD}$ ; 1L,  $n_{1L}$ ; 3L,  $n_{3L}$ ; FL,  $n_{FL}$ ) by scaling 704 at each time step



Figure 5. (a) Allelic richness  $A_{\rm R}$ , (b) effective number of alleles  $A_{\rm E}$ , (c) observed heterozygosity  $H_{\rm O}$ , (d) expected heterozygosity  $H_{\rm E}$ , (e) gene diversity  $H_{\rm S}$ , (f) standardized multilocus heterozygosity sMLH, and (g) inbreeding coefficient  $F_{\rm IS}$  at each

10 life history stage (SE (seed), SD (seedling), 1L (one-leaf), 3L (three-leaves), and FL (flowering)) of both populations. Data shown in red and blue are that of FUJ (Fuji, large population) and SAK (Sakuragi, small population), respectively. sMLH, which was estimated per individual, are shown in box plot, while the other indices estimated per stage are shown in dots. Significant difference was detected by permutation test for pairs marked with different alphabets (significance level = 0.05). No alphabet signs are assigned when there were no statistically significant differences



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Figure 6. Temporal changes in expected heterozygosity ( $H_E$ ) of the five stages (SE (seed), SD (seedling), 1L (one-leaf), 3L (three-leaves), and FL (flowering)) in SAK (Sakuragi, small population) and FUJ (Fuji, large population) under the four scenarios: no stochasticity (scenario 1), genetic drift (scenario 2), demographic stochasticity (scenario 3), and both genetic drift and demographic stochasticity (scenario 4)

(a) Scenario 2 (genetic drift)



Figure 7. RDA correlation triplots of SAK (Sakuragi, small population) and FUJ (Fuji, large population) under genetic drift (scenario 2), demographic stochasticity (scenario 3), and both (scenario 4). Top and right-hand axes are for constraints (demographic rates), while bottom and left-hand axes are for  $H_E$  of the five stages (SE (seed), SD (seedling),

730	1L (one-leaf), 3L (three-leaves), and FL (flowering)) and ordinated 501 trials. For each
731	panel, the angles among arrows reflect their correlations: those in the same direction have
732	positive correlations, while those in the opposite direction have negative correlations. $P$
733	values of the overall ordination were shown at the topright margin of each figure. *
734	denotes constraint variables significantly constituting the ordination (significance level =
735	0.05). Percentages presented in axis labels indicate the explanatory power of the axes