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<td>Author(s)</td>
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<tr>
<td>Citation</td>
<td>Journal of the Faculty of Science, Hokkaido University. Series 7, Geophysics, 11(1), 363-381</td>
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<td>Issue Date</td>
<td>1998-03-20</td>
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<tr>
<td>Doc URL</td>
<td><a href="http://hdl.handle.net/2115/8840">http://hdl.handle.net/2115/8840</a></td>
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<td>Type</td>
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<td>File Information</td>
<td>11(1)_p363-381.pdf</td>
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Hydrometeor Size Distribution Estimated by Vertically Pointing Doppler Radar and Polarimetric Radar Measurements —Preliminary Results—

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(Received December 15, 1997)

Abstract

Hydrometeor size distribution (HSD) was estimated by two independent methods; one was a vertically pointing Doppler radar (VPR) method and the other a polarimetric radar (POL) method. In situ measurements of raindrop size distribution by a Joss-Walldvogel type disdrometer were also carried out to acquire ground truth data.

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The median volume diameter $D_v$ of the exponential HSD estimated by the VPR method agreed well with disdrometer measurements. According to error analysis, $D_v$ can be estimated within an error of 30% (in the case of rain) and 60% (in the case of snow) if the average terminal velocity of the precipitation particles in the radar sampling volume is estimated within an error of 20%. On the other hand, the estimation error for $N_v$ by the VPR method is 200% (rain) and 460% (snow) for the same estimation error of the average terminal velocity. $D_v$ estimated by the POL method is qualitatively in agreement with the disdrometer measurements. Although there are difficulties in correcting the attenuation effect of precipitation on polarimetric parameters, it is demonstrated that X-band polarimetric radar can be used to study precipitation processes.

1. Introduction

Although the estimation of precipitation parameters such as hydrometeor size distribution (hereafter noted as HSD), liquid water content, and precipitation intensity is a classical theme, it is still one of the major and unsolved problems in cloud physics and radar meteorology. Information on the spatial distribution of precipitation parameters and their time evolution are required in order to improve our understanding of the precipitation mechanism. An other motivation for the present study was to establish algorithm that provides ground truth data for the Tropical Rainfall Measurement Mission (TRMM). Not only horizontal distribution of rainfall amount but also vertical profiles of precipitation parameters are necessary for understanding the mechanism of tropical precipitation systems and for the quantitative analysis of heat and water budgets in tropical areas.

Weather radar is a useful tool in obtaining precipitation parameters. Vertically pointing Doppler radar has been used to estimate HSD by many researchers (Rogers and Pilie, 1962; Caton, 1966; Sekhon and Srivastava, 1971; Atlas et al., 1973; Hauser and Amayenc, 1981). It was shown by Seliga and Bringi (1976) that well-calibrated polarimetric radar can be used to estimate exponential raindrop size distribution. The present study will focus on methodology of radar meteorology, its purposes being 1) to develop an algorithm for the estimation of precipitation parameters utilizing vertical pointing Doppler radar and polarimetric radar, and 2) to compare these estimation results and to analyze the accuracy of each estimation method. Although detailed studies on the precipitation mechanism are beyond our purposes, some of the microphysical processes of the observed prefrontal rain band were discussed in view of the estimation results.
2. Measurements

Observations were made in November 1994 on the Ishikari Plain, Hokkaido, Japan. Two X-band radar systems of the National Research Institute for Earth Science and Disaster Prevention (NIED) were used. One of the systems has polarimetric capability and the other is a Doppler radar operating with vertical pointing mode. Radar operation is shown schematically in Fig. 1. RHI and PPI reflectivity factor data, and two polarimetric parameters ($Z_{DR}$, $LDR$) were measured every 5 minutes by radar 1. Vertical profiles of reflectivity factor and Doppler velocity were measured every 20 seconds by radar 2. Its range resolution was 62.5 m. The distance between the two radar systems was 20.7 km. The raindrop size distribution was also measured at the height of 2.4 m by a Joss-Waldvogel type disdrometer at the site of radar 2. This was used for radar calibration and for ground truth data of the radar-derived raindrop size distribution.

Observations with the NIED linear polarimetric radar (radar 1) were the first following its completion in 1994. Measurements in various combinations of polarization pattern were carried out during test operations. We could derive the distributions of differential reflectivity $Z_{DR}$ while it was unfortunately
difficult to estimate cross-polarization signals precisely because of the isolation problem of the polarization switch. Main specifications for the NIED polarimetric radar are listed in Table 1. Specification for radar 2 are presented in Maki et al. (1989).

3. Methods

3.1 Vertically Pointing Doppler Radar (VPR) method

A thorough review of techniques for deducing HSD from measurements by vertically pointing Doppler radar system is presented by Atlas et al. (1973). The method used in the present study is similar to Rogers (1964) and is based on the following assumptions. Hereafter, this method is referred to as VPR method.

(1) HSD is represented by the exponential distribution function,

\[ N(D) = N_0 \exp\{ -G(D/D_0) \}, \]

where, \( N(D) \) is the number of hydrometeors of diameter \( D \) per unit volume, \( N_0 \)
the interception parameter of the exponential HSD, $D_0$ the median-volume diameter defined as the drop size above which half the liquid water is found. The parameter $G=3.67$ if the exponential function (1) of HSD is defined from $D=0$ to $D=\infty$. In practice, $G \approx 3.67$ if the maximum diameter $D_{\text{max}} \geq 2.5 D_0$ and the minimum diameter $D_{\text{min}} = 0$ or if $D_{\text{min}} \leq 0.3 D_0$ and $D_{\text{max}} = \infty$ (Atlas et al., 1973).

(2) Terminal hydrometeor fall speed $w_t$ is represented by

$$w_t = aD^b(\rho_0/\rho)^{0.4},$$

where, $(\rho_0/\rho)^{0.4}$ is the correction factor, which accounts for the change in air density with height (Foote and du Toit, 1969). $\rho$ is the air density at the level of observation and $\rho_0$ is the air density at ground level. The parameters $a$ and $b$ are constants dependent on the type of precipitation particles. In this paper, $a=386.6$, $b=0.67$ for raindrops (Atlas and Ulbrich, 1977) and $a=8.34$, $b=0.31$ for snowflakes (Gunn and Marshall, 1958) are used.

(3) The hydrometeor size is much smaller than the radar wavelength so that Rayleigh approximation concerning back scattering in precipitation can be assumed. Thus, the equivalent radar reflectivity factor $Z_e$ is defined by

$$Z_e = \int_0^\infty N(D)D^6dD.$$  

(4) Average terminal hydrometeor velocity $\bar{W}_t$ in the radar sampling volume is related to equivalent radar reflectivity factor $Z_e$ by the following function.

$$\bar{W}_t = pZ_e(\rho_0/\rho)^{0.4},$$

where, parameters $p$ and $q$ are constants which may depend on the type of precipitation. According to the experimental findings by Joss and Waldvogel (1970), $p=-2.6$ and $q=1/9.3$ for rainfall. Joss and Waldvogel (1970) obtained these values from actual drop size data at the ground in seven different storms and showed its accuracy was $\pm 1$ m/s for $10 < Z_e < 2 \times 10^4$ mm$^3$mm$^{-3}$. Rogers (1964) proposed $p=3.8$, $q=0.071$. For snowflakes, Atlas et al. (1973) used $p=0.817$, $q=0.063$ based from Gunn and Marshall (1958) size spectrum of snow particles.

In addition to the above assumptions, we use the definition of $\bar{W}_t$ to derive a set of equations to estimate HSD and vertical air speed. $\bar{W}_t$ is defined by
If we use equations (1) and (2), we obtain
\[
\overline{W}_t = a \left( \frac{D_o}{G} \right)^b \frac{\Gamma(7 + b)}{\Gamma(7)} \left( \frac{D_o}{\rho} \right)^{0.4},
\] 
(6)
where, \( \Gamma \) is the gamma function defined by
\[
\Gamma(n+1) = \int_0^\infty x^n \exp(-x) \, dx = n!.
\] 
(7)
From equations (1) and (3), we obtain
\[
Z_e = N_0 D_o \frac{\Gamma(7)}{G^2}. 
\] 
(8)
Equations (4), (6), and (8) are used to calculate HSD parameters \( (N_0 \text{ and } D_o) \).

Once \( Z_e \) is measured by vertically pointing Doppler radar, \( \overline{W}_t \) is calculated by (4), then, \( D_o \) is calculated by (6) and \( N_0 \) is calculated by (8). Measured \( Z_e \) usually contains some error due to the fact that Rayleigh assumption is not always satisfied and the calibration of radar systems is not perfect. In this paper, we calibrated radar measured \( Z_e \) by using the disdrometer derived \( Z_e \). The vertical air speed \( \overline{W}_a \) is calculated by
\[
\overline{W}_a = \overline{V}_D - \overline{W}_t, 
\] 
(9)
where, \( \overline{V}_D \) is the measured average Doppler velocity.

Once the HSD is estimated by the procedures mentioned above, the other precipitation parameters such as liquid water content, total number of particles, and precipitation rate can be calculated (Maki et al., 1998).

3.2 Polarimetric Radar (POL) method

Seliga and Bringi (1976) proposed a technique to estimate HSD parameters \( (D_o \text{ and } N_0) \) by using polarimetric radar measurements. Hereafter this method is referred to as POL method. Horizontal and vertical radar reflectivity, \( Z_{H,V} \) for the truncated HSD is represented by
\[
Z_{H,V} = \frac{\lambda^4}{\pi^2 |K|^2} \int_0^{D_{\max}} \sigma_{H,V}(D)N(D) \, dD, 
\] 
(10)
where,
\[
|K|^2 = \left| \frac{m^2 - 1}{m^2 + 1} \right|^2, 
\] 
(11)
λ: radar wavelength,
m: complex refractive index of water,
σ_{HR}(D): radar cross section.

Normalized horizontal reflectivity factor, \( Z_H/N_0 \), is defined as
\[
\frac{Z_H}{N_0} = \frac{\lambda^4}{\pi^3} K^2 \int_0^{D_{max}} \sigma_H(D) \exp[-G(D/D_0)] dD.
\] (12)

Differential reflectivity, \( Z_{DR} \) is defined as the ratio between \( Z_H \) and \( Z_V \):
\[
Z_{DR} = 10 \log \left( \frac{Z_H}{Z_V} \right) = 10 \log \left[ \int_0^{D_{max}} \frac{\sigma_H(D) \exp[-G(D/D_0)]}{\sigma_V(D) \exp[-G(D/D_0)]} dD \right].
\] (13)

where, \( D_{max} \) is the maximum diameter of a raindrop. The relationships of differential reflectivity \( Z_{DR} \) and normalized horizontal reflectivity \( 10 \log(Z_H/N_0) \) to

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**Fig. 2.** Differential reflectivity \( Z_{DR} \) and normalized horizontal reflectivity \( 10 \log(Z_H/N_0) \) as a function of median volume drop diameter \( D_0 \).
median volume drop diameter $D_0$ were calculated by the method of Seliga and Bringi (1976) and Seliga et al. (1981) and are shown in Fig. 2. Calculations were made for a radar wavelength of 3.18 cm and refractive index of water at 10°C. Values of $D_0$ and $N_0$ were estimated from observed $Z_{DR}$ and $Z_{H}$ by using the relationships shown in Fig. 2.

4. Results

4.1 Precipitation bands on November 6 and 11, 1994

During the observation period, two rainfall events were observed. The first one was observed on November 6, 1994, the other on November 11, 1994. Although both events were associated with the passage of low depressions and developed in the warm sector of frontal systems. The former event was more stratiform rain type compared to the latter case. According to the vertical profiles of average Doppler velocity and average reflectivity factor during the passage of the rain band on November 6, the melting layer was clearly recognized as being from a height of 2.1 km to 2.6 km, which is one of the signatures of stratiform precipitation. Below this level, a rapid increase in Doppler velocity was seen, which might be due to the melting of snowflakes. In the latter case, an updraft existed above the bright band during the majority of the period when the rain band was passing over the radar site. The following sections will focus on the case of November 6.

4.2 HSD estimated by vertically pointing Doppler radar (VPR) method.

The time sequence of GMS images and PPI images of Sapporo city radar showed clearly a precipitation system passing over the site of radar system 1 from 17:30 to 22:30 JST. A radio sounding at Sapporo, which was made just before the passage of the rain band, showed the thermal stratification was convectively unstable at a height from 0.1 km to 2.5 km.

Time height cross sections of reflectivity factor and Doppler velocity obtained by vertically pointing Doppler radar are shown in Fig. 3. Four precipitation echoes were observed; C1 (18:00 JST), C2 (18:30 JST), C3 (18:45-20:15 JST) and C4 (20:30-22:15 JST). Figure 4 shows the locations of these echoes schematically. The echoes moved 36° in a counterclockwise direction from the north with a speed of 54 km/h (=15 m/s). The rain band orientation was very close to but smaller than its propagation direction. It should be noted that the evolution of the rain band during the observation period from 17:30 JST to 22:30 JST is not considered in Fig. 4 because the aim of the figure is to show only
Fig. 3. Time-height cross section of reflectivity factor (a) and Doppler velocity (b) of the warm-sector rain band observed on November 6, 1994.

Fig. 4. Schematic PPI images of the observed precipitation echoes showing the locations of C1, C2, C3 and C4 relative to radar 2. Azimuth angles of RHI scan of radar 1 are also shown. In the figure, evolution of each echo was not considered.
the relative location of each precipitation cell. The echo cells C1 and C2 were small isolated precipitation echoes, which had a diameter of less than 10 km. These isolated echoes might be related with a major rain band dynamically. The C3 echo cell was located on the southeast side of the major rain band. The C4 echo was located in the major rain band.

Time-height cross sections of estimated vertical air velocities $\overline{W}_a$ and median volume diameter $D_o$ are shown in Fig. 5. It is difficult to estimate HSD in the melting layer because the interpretation of radar reflectivity factor from the melting layer is complicated. Ice particles covered with water in this layer act as large water drops for back scattering and thus Rayleigh assumption is not satisfied. Although additional information on hydrometeor type, and factors such as surface condition are necessary to estimate HSD above the melting layer, we assume that hydrometeor above the melting layer is snow.

An updraft larger than 1 m/s existed in the C3 precipitation cell from 18:45 to 20:15 JST. On the other hand, a down draft of about 1 m/s began from the height of 4 km, which was just above the melting layer from 21:00 to 22:00.
JST. At the center of precipitation cells C3 and C4, $D_0$ increased with lowering height. Comparison between radar estimated $D_0$ and disdrometer measured $D_0$ (Fig. 6) showed good agreement with each other, with the exception of small values of $D_0$ to which radar is not sensitive.

4.3 HSD estimated by polarimetric radar (POL) method

RHI images of horizontal reflectivity $Z_h$ and differential reflectivity $Z_{DR}$ for the C4 echo are shown in Fig. 7. The maximum positive values for $Z_{DR}$ were observed at a height near the bright band where the maximum values of horizontal reflectivity $Z_h$ were also observed.
Fig. 7. RHI images of azimuth of 116.8 degrees at 21:30 JST on November 6, 1994. Top and bottom images represent horizontal reflectivity and differential reflectivity, respectively. The black triangle symbol on the axis of abscissas shows the location of radar 2.

The black triangle on the axis of abscissas shows the location of radar 2. While the location of radar 2 was not at the center of echo C3, it was very close to the region with maximum reflectivity factor for echo C4.

Figure 8 indicates the time series for median volume diameter $D_0$ derived from disdrometer and polarimetric radar from 19:00 to 20:00 JST on November 6. $D_{\text{dis}}$ denotes $D_0$ estimated from disdrometer measurements and $D_{\text{zdr}}$ estimates that from ZDR using the method described in section 3.2. ZDR values in the mesh of 500 m horizontal × 250 m vertical at the height of 625 m on RHI above the radar-2 site were used for the estimation, and values of $D_{\text{dis}}$ were averaged over two minutes in consideration of the falling time of raindrops. Rainfall rates over one minute ranged from 0.15 to 2.80 mm/h for this period.

The tendency of time variations for both $D_{\text{dis}}$ and $D_{\text{zdr}}$ shows very good agreement, although $D_{\text{zdr}}$ values are about twice as much as $D_{\text{dis}}$. Effects related to attenuation may be one of the reasons for the discrepancy. Assuming that $D_{\text{dis}}$ values were true, the following relationship between $D_{\text{dis}}$ and $D_{\text{zdr}}$ was derived and $D_{\text{zdr}}$ values were corrected by this relationship in the following analysis.
Fig. 8. Time series of the median volume drop diameter. $D_{\text{dis}}$ and $D_{\text{zdr}}$ which were estimated by disdrometer and polarimetric radar, respectively.

$$D_{\text{dis}} = 0.383 \ D_{\text{zdr}} + 0.0195.$$  

Figure 9 shows the vertical profile of horizontal reflectivity $Z_h$ and median volume diameter $D_h$ at 21:30 JST on November 6. $D_h$ values were estimated from $Z_{\text{br}}$ above the radar-2 site selected from the observed RHI data and then corrected by the method mentioned above. Rainfall rate measured by the disdrometer was 7.53 mm/h. $D_h$ is displayed for the range of height below the bright band where hydrometeors were expected to be raindrops.

On the other hand, the bright band was not clear and $Z_h$ increased with lowering height and reached a maximum value (20.4 dBZ) at a height of 1.0 km at 21:30 JST as shown in Fig. 9. Although the $Z_{\text{br}}$ value at 2.0 km was the same as at 19:35 JST, the maximum $Z_{\text{br}}$ value (3.2 dB) was observed at a height of 0.75 km in the lower layer. Since $Z_h$ and $D_h$ show a greater increasing tendency with lowering height and larger values than at 19:35 JST below the bright band, it is suggested that growth of raindrops and broadening of drop size distribution by convection occurred in the lower layer.

5. Discussion

5.1 Estimation error in VPR method

Although the prefrontal rain band analyzed in the present paper is stratiform rain, we cannot assume that the vertical air speed is 0. In the case of vertical air velocity assumed to be 0, the calculated $D_h$ was about 2 times larger
than disdrometer measured $D_0$ (not shown here). An updraft existed above the bright band during the majority of the period when the band was passing over the radar site.

Even when we considered vertical air speed, there was some discrepancy between $D_{0, dis}$ derived by vertically pointing Doppler radar and $D_{0, rad}$ measured by disdrometer for Nov. 11. $D_{0, rad}$ was underestimated especially when the precipitation intensity was large. This is due to the estimation error related to vertical air velocity. The accuracy of the estimation of HSD by VPR method is dependent on the accuracy of Eq. (4). The estimation error in $D_0$ and $N_0$ caused by the error in $\bar{W}_t$ can be calculated by

$$\frac{dD_0}{D_0} = \frac{1}{b} \frac{d\bar{W}_t}{\bar{W}_t},$$

$$\frac{dN_0}{N_0} = -\frac{7}{b} \frac{d\bar{W}_t}{\bar{W}_t}.$$

The estimation errors for $D_0$ and $N_0$ are shown in Fig. 10 and Fig. 11 respectively. The accuracy of Eq. (4) for rain is 1 m/s (Joss and Waldvogel,
Fig. 10. Estimation error of $D_0$ for vertically pointing Doppler radar method. The parameter $b$ is the power index of the equation of average terminal velocity, Eq. (2). $b = 0.5, 0.6, 0.67, \text{and } 0.8$ are for rain and $b = 0.3$ for snow.

Fig. 11. As in Fig. 13 but with $N_0$.

1970) which corresponds to the relative error 20% for the particles falling with the terminal velocity of 5 m/s. This will cause about 20%-40% error in calculating $D_0$ depending on the value of the parameter $b$ in Eq.(2). In the present study ($b = 0.67$), the error is about 30%. However, in the case of snow ($b = 0.3$), the same relative error in the terminal velocity causes about 60% error in calculating $D_0$. The estimation error in $N_0$ is large compared to the estimation
error in $D_0$. The relative error of 20% for terminal velocity will cause errors in $N_o$ of about 200% and 460% for rain and snow, respectively. More general considerations for estimation error by VPR method are discussed by Maki et al. (1998).

When terminal velocity is small, i.e., the rain rate is small or in the case of snow, the terminal velocity should be estimated more precisely.

5.2 Potential for POL method in studies on microphysics.

One of the difficulties with the POL method for estimating HSD parameters is due to the difficulty in the correction of attenuation effect for short wavelength radar systems such as a X-band radar. Seliga and Bringi (1976) showed that $Z_{DR}$ should be measured with the accuracy of 0.2 dB for a reliable estimation of HSD. When the rain rate is great, it may be difficult to measure $Z_{DR}$ within the accuracy of 0.2 dB because, the attenuation can not be neglected. However, a correction procedure for attenuation for X-band polarimetric radar is yet to be established.

Polarimetric radar has been recognized to be very useful in the discrimination between ice and liquid hydrometeors. Doviak and Zrnic (1993) summarized values of polarimetric parameters for various hydrometeor types from modeling, measurements, and experience of various researchers. $Z_{DR}$ is a useful parameter in discriminating between rain and snow: $Z_{DR}$ for rain (excepting drizzle) is from 0.5 to 4 while $Z_{DR}$ for snow (dry and with low density) is close to zero (Aydin et al., 1986). Although there are still difficult problems to overcome with X-band polarimetric radar, it is one of a number of useful and flexible tools to study the microphysics of precipitation and various related applications.

The potential for X-band polarimetric radar can be demonstrated by considering the structure of the observed prefrontal rain band. Two processes of the change in raindrop size distribution were found in the lower layer of stratiform precipitation clouds from the comparison of the vertical profiles of $Z_h$ and $D_0$. One was the narrowing of drop size distribution when the bright band was clear. The bright band having maximum $Z_h$ of 11.6 dBZ appeared at a height of 2.25 km and $Z_{DR}$ had a maximum value (2.5 dB) at 2.0 km at 19:35 JST as shown in Fig. 12. The profile for $Z_h$ shows little variation below the bright band and $D_0$ decreased from 0.103 to 0.080 cm with lowering height. It is suggested that raindrops partially evaporated and that raindrop size distribution became narrower. The other process was the broadening of drop size distribution accompanied with the growth of raindrops by convection when the bright band was not clear. As a result, rainfall rate on the ground was high in this
5.3 Notes on the position of sampling volume and averaging volume for VPR method and POL method

As the structure of precipitation systems is not homogenous, it is important that we recognize that the position of the sampling volume and the averaging volume for VPR method is different from that for POL method. Even when we synthesize operations of vertically pointing Doppler and polarimetric radar systems as shown in Fig. 1, the estimated results by each method are sometimes different from each other. Estimation of $D_o$ for the C3 echo is a good example. The position of vertical profile of $D_o$ estimated by the POL method was at the edge of the C3 echo as shown by line a-a' in Fig. 4. The change in $D_o$ along the beam direction of the RHI scan of polarimetric radar may be large at the edge of the echo. On the other hand, the position of the time-height cross section by vertically pointing Doppler radar was at the inner side of the C3 echo as shown by line b-b' in Fig. 4. Comparison of these estimations shows different results. The vertical profile of $D_o$ estimated by the POL method showed a decrease in $D_o$ with decreasing height while $D_o$ estimated by the VPR method had the opposite trend.

Fig. 12. As in Fig. 9 but with 19:30 JST.
tendency as shown in Fig. 12. This means estimations by the POL method represent a character at the edge of rainfalls; $D_o$ may decrease by evaporation of raindrops at the edge of the C3 echo. Considering that radar sampling volume is smaller for vertically pointing Doppler radar than for polarimetric radar, its estimation might not be affected by a character at the edge of an area of precipitation and may show the growth of raindrops inside the precipitation echo.

6. Concluding remarks

It was confirmed that estimation techniques for median volume diameter $D_o$ and vertical air speed by VPR method worked well in stratiform precipitation. Estimation errors for $D_o$ are about 30% and 60% for rain and snow respectively if there is estimation error of 20% in the averaged terminal hydrometeor velocity. However, the estimation errors of $N_o$ are about 200% for rain and 460% for snow. This is an essential to the VPR method as the estimation error of $N_o$ is more sensitive to estimation error of averaged terminal hydrometeor velocity.

The estimation of $D_o$ by the POL method was good only qualitatively in the present study. A correction algorithm for attenuation effects on X-band polarimetric radar is necessary for quantitative measurements in addition to development of a classification scheme which discriminates different types of hydrometeor such as rain, snow, graupel, hail and their mixture.

Acknowledgements

The authors would like to express their thanks to the Waterworks Bureau of the Sapporo City and Hotel Tenboukaku for giving every convenience for radar observations, and Mr. Kanemura of the Sapporo Information Network Inc. for the use of surface meteorological data.

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