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Author(s)	Shinozaki, Ayako; Mimura, Koichi; Nishida, Tamihito; Cody, George D.
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# Polymerization mechanism of nitrogen-containing heteroaromatic compound under

### high-pressure and high-temperature conditions

Ayako Shinozaki<sup>a</sup>\*, Koichi Mimura<sup>b</sup>, Tamihito Nishida<sup>b</sup>, George D. Cody<sup>c</sup>

<sup>a</sup>Faculty of Science, Hokkaido University, N10 W8, Kita-ku, Sapporo, Hokkaido 060-0810,

Japan

<sup>b</sup>Department of Earth and Planetary Sciences, Graduate School of Environmental Studies,

Nagoya University, Nagoya 464-8601, Japan

°Earth and Planets Laboratory, Carnegie Institution of Washington, 5251 Broad Branch Road,

NW, Washington, DC 20015, USA

\* Corresponding author: Ayako Shinozaki

E-mail: shinozaki.aya@sci.hokudai.ac.jp

### 1 Abstract

2 Hydrogenated carbon nitride is synthesized by polymerization of 1,5-naphthyridine, a nitrogen-3 containing heteroaromatic compound, under high-pressure and high-temperature conditions. The 4 polymerization progressed significantly at temperatures above 573 K at 0.5 GPa and above 623 5 K at 1.5GPa. The reaction temperature was relatively lower than that observed for pure 6 naphthalene suggesting the reaction temperature is considerably lowered when nitrogen atoms 7 exist in the aromatic ring structure. The polymerization reaction largely progresses without 8 significant change of N/C ratio. Three types of dimerization are identified; naphthylation, exact 9 dimerization, and dimerization with hydrogenation as determined from the GC/MS analysis of 10 soluble products. IR spectra suggest hydrogenation products were likely to be formed with sp<sup>3</sup> 11 carbon and NH bonding. <sup>13</sup>C ssNMR reveals that the sp<sup>3</sup>/sp<sup>2</sup> ratio is 0.14 in both the insoluble 12 solids synthesized at 0.5 and 1.5 GPa. Not only the dimers but also soluble heavier oligomers and 13 insoluble polymers formed through more extensive polymerization. The major reaction 14 mechanism of 1,5-Nap was common to both the 0.5 and 1.5 GPa experiments, although the 15 required reaction temperature increased with increasing pressure and aromatic rings preferentially 16 remained at the higher pressure. 17

# 19 Introduction

20	Carbon nitride materials have attracted attention due to their potential applications in
21	metal-free photocatalysis $^{1-2}$ and photodegradation $^3$ . Various crystalline polymorphs of $C_3N_4$ were
22	reported and theoretical studies pointed out that the hardness of some C <sub>3</sub> N <sub>4</sub> phases were
23	comparable or even higher than that of diamond <sup>4-8</sup> . Amorphous carbon nitrides have also been
24	synthesized by chemical vapor deposition (CVD) and physical vapor deposition (PVD)
25	techniques <sup>9</sup> . To synthesize and characterize nitrogen-doped carbon materials, it is important to
26	evaluate N/C ratios, sp <sup>3</sup> /sp <sup>2</sup> ratios, and behavior of hydrogen atoms during the synthesis which
27	are generally retained in nitrogen-doped carbon materials as essential components of their
28	structures <sup>10</sup> . The application of high-pressure and high-temperature (HPHT) conditions is
29	considered a useful technique for the synthesis of carbon nitride materials as well as CVD and
30	PVD techniques <sup>11</sup> . The crystal structures and bonding of carbon nitride materials have been
31	investigated above 100 GPa and/or above a few thousand kelvin using laser heated diamond anvil
32	cells <sup>12-14</sup> , although it was difficult in these studies to reveal the mechanism of the chemical
33	reaction because controlling the heating temperature is difficult and analytical methods are limited
34	due to the extremely small sample size in diamond anvil cell devices. Several HPHT experiments
35	using large-volume presses, such as piston-cylinder apparatus, belt-type press, and multi-anvil
36	press have also been performed. Various analytical methods such as X-ray diffraction (XRD)

37 measurements, Raman and infrared (IR) spectra, X-ray photoelectron spectroscopy (XPS), and 38 transmission electron microscope (TEM) with electron energy loss spectroscopy (EELS) have 39 been used to evaluate crystallinity, chemical compositions, and bonding of the reaction products <sup>11, 15-19</sup>. It has been reported that the N/C ratio of carbon-nitride materials decreases with increasing 40 temperature, in the case where synthesis focused on 1,2,4-triazole (C<sub>2</sub>N<sub>3</sub>H<sub>3</sub>)<sup>19</sup> and melamine 41 42  $(C_3N_6H_6)^{17}$ . Graphitic  $C_3N_4$  decomposed into diamond and probably  $N_2$  above 1073-1173 K at 43 22-25 GPa <sup>18</sup>. However, details of the reaction mechanism remain unclear. 44 The pressure-induced polymerization mechanism of various aromatic compounds including nitrogen-containing heteroaromatic compounds have also been studied <sup>20-25</sup> and it was 45 46 generally concluded that the decrease of the intermolecular distances with increasing pressure 47 resulted in polymerization <sup>26-27</sup>. Polymerized products were obtained after compression above 20 48 GPa at room temperature and some products were shown to exist as an amorphous solid phase containing considerable sp<sup>3</sup> carbon <sup>22-23, 25</sup>. One-dimensional sp<sup>3</sup> carbon nitride nanothreads have 49 been shown to form from slow compression/decompression of pyridine (C<sub>5</sub>H<sub>5</sub>N)<sup>28-29</sup> and aniline 50 51 (C<sub>6</sub>H<sub>5</sub>NH<sub>2</sub>) <sup>30</sup> to around 20 GPa as well as carbon nanothreads formed from the high pressure polymerization of benzene (C<sub>6</sub>H<sub>6</sub>) <sup>31-32</sup>. The atomic N/C ratio of the carbon nitride nanothreads 52 53 was not considerably changed from that of the starting material while significant conversion from sp<sup>2</sup> to sp<sup>3</sup> bonding occurred during the reaction <sup>28</sup>. Diffraction measurements suggested a 54

polytwistane structure for the carbon nitride nanothread derived from a [4+2] Diels-Alder
 cycloaddition reaction <sup>29</sup>.

57	Applying HPHT conditions to aromatic compounds potentially induces complex
58	reactions because H-elimination and polycondensation can be induced by temperature, noting that
59	it is clear that oligomerization/polymerization are likely to be induced by pressure.
60	Oligomerization of aromatic hydrocarbons has been observed at 500-773 K at ambient pressure
61	and at 3.5 GPa, and 773–973 K at 7 GPa <sup>33-34</sup> . Oligomerization with dehydrogenation and new C-
62	C bond(s) formation between the aromatic rings were reported by using mass spectrometry of the
63	reaction products <sup>33</sup> . Shock experiments on benzene, naphthalene and other PAHs showed that
64	amorphous carbon, hydrogen/hydrocarbon gases, and oligomers were formed at shock pressures
65	and temperatures above around 10 GPa and 800 K <sup>35-36</sup> . In shock experiments of naphthalene,
66	optically dark products of amorphous carbon were predominantly observed. In addition, various
67	methylation, phenylation, and naphthylation products were formed by radical reactions <sup>36</sup> . HPHT
68	experiments revealed that polymerization of pyridine proceeds at approximately 8 GPa and 750-
69	800 K, a reaction temperature that was distinctly lower than that of benzene polymerization <sup>37</sup> .
70	However, details regarding the reaction mechanism and the specific role of nitrogen during the
71	course of the reaction has not been resolved.

72

Naphthyridines (C8H6N2) consist of two fused aromatic rings like naphthalene, but

73	where two of the CH groups are replaced with nitrogen atoms. There are several isomers that
74	differ in the position of nitrogen atoms in the aromatic rings. Pressure-induced irreversible
75	reaction of 2,3-naphthyridine (hereafter 2,3-Nap) and 1,5-naphthyridine (hereafter, 1,5-Nap) to
76	form amorphous solids were observed at around 16-18 GPa at room temperature at pressures not
77	remarkably different for the polymerization of naphthalene <sup>25</sup> . The recovered amorphous products
78	contained a considerable amount of nitrogen bonded to both sp <sup>3</sup> and sp <sup>2</sup> carbons <sup>25</sup> . The
79	temperature-induced chemical reaction of 2,3-Nap was reported at >523 K at 0.5 and 1.0 GPa,
80	and >548 K at 1.5 GPa <sup>38</sup> . The reaction products were investigated by using gas chromatograph-
81	mass spectrometry (GC/MS) and matrix-assisted laser desorption/ionization (MALDI) Time of
82	Flight (TOF) mass spectrometry (MS), and cleavage of the aromatic ring and release of nitrogen
83	atoms with the formation of o-xylene and o-tolunitrile were indicated. In addition, the
84	decomposed products reacted with the remaining 2,3-Nap to form larger oligomers. The N-N
85	bond in the aromatic ring accelerated reactions to decompose the molecule and to oligomerize at
86	lower temperatures than those typically reported for pure aromatic hydrocarbon oligomerization
87	reactions. In the 1,5-Nap molecule, nitrogen atoms are located in separate aromatic rings (Figure
88	1) and the molecule possesses a center of symmetry and belongs to the point group $C_{2h}{}^{39}$ in
89	contrast to 2,3-Nap. In this study, polymerization of 1,5-Nap under HPHT conditions was
90	experimentally investigated at temperatures between 473-623 K and at pressures of 0.5 GPa and

91 1.5 GPa. Complex reaction products including soluble dimers and heavier oligomers, and
92 insoluble hydrogenated carbon nitride were identified and were evaluated analytically to reveal
93 the details of the reaction process and nitrogen behavior during the reaction.

94 **Experimental** 

95 1,5-naphthyridine ( $C_8H_6N_2$ , Tokyo Chemical Industry Co. LTD., purity >98 %) and naphthalene 96  $(C_{10}H_8, Sigma-Aldrich, purity >99.7\%)$  for about 10-20 mg was used as the initial material for 97 the HPHT experiments. A gold sample capsule with 4 mm outer diameter was cleaned with 98 acetone or dichloromethane and heated in an oven at 450 °C for 4 h prior to encapsulating the 99 sample to remove organic contaminants. The sample was welded shut following which the capsule 100 was pressurized using a piston-cylinder apparatus equipped with a hydraulic press and tungsten 101 carbide rams and compression ring. A cylinder with 4 mm inner diameter was used without a 102 pressure medium. The sample was first compressed to the target pressure at room temperature 103 and then heated using a band-type external heater surrounding the cylinder. The temperature was 104 measured using a K-type thermocouple attached to the top of the cylinder. The details of the 105 apparatus were described in a previous study <sup>38</sup>. The reaction time for all experiments was fixed 106 at four hours.

107 The samples after the HPHT experiments were recovered from the capsules in distilled
108 dichloromethane solvent to prevent the reaction products from escaping. The solution was initially

109	filtered by carbon-free glass filter to extract soluble products from insoluble products. The carbon,
110	nitrogen and hydrogen contents of the reaction products were analyzed using an elemental
111	analyzer (Vario EL cube; Elementar Analysensysteme GmbH) for the soluble samples after
112	evaporating the solvent and for the insoluble samples. IR spectra of the soluble reaction product
113	were obtained in solid-state after drying in a vacuum desiccator ensuring that the solvent and the
114	stating material were removed. Both soluble and the insoluble products were pelletized with KBr
115	plates and IR absorption spectra in the mid-IR region were measured. A Fourier transform IR
116	spectrometer (FT-IR: FTIR-6100/IRT-5000; Jasco) was used equipped with a globar source, a
117	KBr beam splitter, and a liquid nitrogen cooled mercury cadmium telluride (MCT) detector. The
118	typical aperture size was 50 $\times$ 50 $\mu$ m. The wavenumber resolution was set to 4 cm <sup>-1</sup> .
119	For soluble products, mass spectrometry was performed using a GC/MS (JMS-K9; JEOL
120	Co.) equipped with a 30 m $\times$ 0.25 mm I.D. capillary column, with a 0.25 $\mu m$ layer of stationary
121	phase (HP-5, Agilent Technology Co.). The GC column temperature was programmed as in our
122	previous studies <sup>38,40</sup> . A GC-flame ionization detector (GC/FID, GC-2014; Shimadzu) equipped
123	with an HP-5 capillary column was used for quantitative analysis of the remaining 1,5-Nap and
124	the reaction products. The GC column temperature was programmed as in the GC/MS
125	measurements. Methyl laurate (C13H26O2), methyl stearate (C19H38O2), and methyl triacontanate
126	(C <sub>31</sub> H <sub>62</sub> O <sub>2</sub> ) were used as internal standards for GC/FID and GC/MS analyses. The mass spectra

128 and Autoflex-III, Bruker), with an  $\alpha$ -cyano-4-hydroxycinnamic acid ( $\alpha$ -CHCA) matrix. 129 Solid-state <sup>13</sup>C nuclear magnetic resonance (ssNMR) spectroscopy of the insoluble products 130 were performed with a Varian-Chemagnetic Infinity 300 Nuclear Magnetic Resonance 131 spectrometer. The resonance frequency of <sup>13</sup>C was 75 MHz. The insoluble products were loaded 132 into a zirconia oxide capsule (outside diameter of  $\varphi 5$  mm for <sup>13</sup>C NMR) with boron nitride inserts 133 to position the small sample in the middle of the RF coil. For the <sup>13</sup>C NMR measurement, a <sup>1</sup>H-134 <sup>13</sup>C cross polarization with magic angle spinning (CP-MAS) experiment was conducted. The 135 MAS frequencies were 12 kHz. Variable amplitude cross polarization (VACP) was used to ramp 136 up the RF power on the <sup>13</sup>C channel during the CP contact time. The <sup>1</sup>H 90° excitation pulse length 137 was 4 µs. A variable contact time (form 1 to 12 ms) CPMAS experiment was performed in order 138 to optimize signal and minimize distortion due to variation in C-H distances, the optimum <sup>1</sup>H-<sup>13</sup>C 139 contact time for maximum signal for both sp<sup>2</sup> and sp<sup>3</sup> carbon was found to be 4.5 ms. In order to 140 achieve adequate signal to noise the number of acquisitions was 80,000×4times with a recycle 141 delay of 1s, thus each sample spectrum required ca. 4 days of signal acquisition. The chemical 142 shift of <sup>13</sup>C NMR spectra were calibrated by solid hexamethylbenzene that was referenced to 143 tetramethylsilane (TMS).

of the reaction products were also analyzed using a MALDI-TOF/MS instrument (Ultraflex-III

144 **Results** 

# **1. P-T conditions of the chemical reaction.**

146	The HPHT experiments with 1,5-Nap performed at 0.5 and 1.5 GPa, and at temperatures
147	ranging from 473 to 623 K are listed in Table 1. In addition, a HPHT experiment of naphthalene
148	at 1.5 GPa and 623 K was performed for comparison. During the HPHT experiments of 1,5-Nap
149	at 0.5 GPa, the stroke of the piston discontinuously increased when the temperature reached
150	around 533 K, implying melting of 1,5-Nap. In contrast, such a discontinuity was not observed
151	during the heating at 1.5 GPa up to 623 K, suggesting 1,5-Nap remained as a solid at 1.5 GPa.
152	Figure 2 and table 1 show the remaining percentage of 1,5-Nap as determined by GC/FID
153	analysis of the recovered samples. At 0.5 GPa, the percentage of recovered 1,5-Nap decreased to
154	around 75 %, initial, after heating at 523 K and 548 K. In addition, the solution of the recovered
155	samples changed to yellow, while the solution of starting material was transparent. These results
156	indicate that the chemical reaction starts around that temperature to form soluble reaction products.
157	After heating at >573 K, the remaining 1,5-Nap decreased to $< 6$ %, and a black insoluble product
158	was observed in a brown solution indicating that the chemical reaction progressed significantly
159	to form both soluble and insoluble products. 1,5-Nap is nearly absent after heating at 598 and 623
160	K, and insoluble solid product formed but the solution of these samples was transparent
161	suggesting most of the soluble reaction products were now in the insoluble solid phase. At a
162	pressure of 1.5 GPa, most of the 1,5-Nap still remained unreacted after heating at <573 K. The

163 remaining 1,5-Nap decreased to approximately 60 % after heating at 598 K and dropped further 164 to  $\sim 5\%$  after heating at 623 K with both soluble and insoluble product clearly evident. The results 165 indicate the thermal onset of chemical reaction of 1,5-Nap was above 523 K at 0.5 GPa, and above 166 548 K at 1.5 GPa, namely the required reaction temperature increases with increasing pressure. 167 In contrast, most of naphthalene was recovered after heating at 1.5 GPa at 623 K and reaction 168 products were not detected. 169 2. The soluble products 170 The elemental analysis of the soluble product from 1.5 GPa, 623 K showed N/C and H/C ratio 171 as  $0.242 \pm 0.002$  and  $0.762 \pm 0.046$ , respectively which was nearly equal to that of the starting 172 material (N/C =  $0.249 \pm 0.001$ , H/C =  $0.720 \pm 0.054$ ) suggesting nitrogen and hydrogen atoms 173 are very largely retained in the reaction products. FT-IR analysis reveals some IR peaks in the 174 soluble products (Figure 3a, b) that are comparable in frequency with those observed from the 175 starting material <sup>39</sup>. For example, the C-H bending modes at around 820, 840, 1110, 1190, 1220 176 cm<sup>-1</sup>, ring stretching modes at around 1300, 1400, 1490, and 1590 cm<sup>-1</sup>, C-H stretching modes at 177 around 3000-3100 cm<sup>-1</sup> were obtained from the soluble products while the width of the peaks 178 became broader than those in the IR spectrum of the initial material. In contrast, a significant 179 decrease in the intensity of the skeletal deformation mode absorbance at around 1018 cm<sup>-1</sup> was 180 observed. It is clear that aromatic rings remain even in the reaction products, although as they are

181	now polymerized. In addition, new peaks were detected in the spectra of the reaction products.
182	The peaks observed at 1350 and 1450 cm <sup>-1</sup> are interpreted to be sp <sup>3</sup> C-H bending modes, and the
183	peaks at 2850, 2930, and 2960 cm <sup>-1</sup> are fundamental C-H stretching modes associated with sp <sup>3</sup>
184	carbon <sup>41-42</sup> . The peaks appeared at around 730 and 790 cm <sup>-1</sup> are assigned to N-H wagging modes
185	and a new peak near 1620 cm <sup>-1</sup> is likely a N-H bending mode. Finally, the broad peak spanning
186	3230 and 3320 cm <sup>-1</sup> is likely due to fundamental N-H stretching modes <sup>41</sup> .
187	A considerable number of dimers were detected by GC/MS and GC/FID analysis of the
188	soluble reaction products. The mass number and possible molecular structure of the reaction
189	products were estimated by the clearly identified molecular ions in all of the mass spectra. Figure
190	4a, b shows representative total ion chromatogram (TIC) at 1.5 GPa, 623 K and 0.5 GPa 573 K.
191	Four isomers of $[M]^{+}$ = 258 were detected (Figure S1a), which were likely to be formed by
192	naphthylation, defined as dimerization with dehydrogenation. Products of $[M]^{+} = 260$ (Figure
193	S1b) were also detected, with a molecular ion mass consistent with exactly twice of that of 1,5-
194	Nap indicating those products formed by dimerization without release of any atoms, e.g.,
195	hydrogen. Several products which has $[M]^{+} = 262$ and 264 were detected (Figure S1c and S1d,
196	respectively), which interpreted to be dimers of 1,5-Nap with hydrogeneration of 2H's and 4H's,
197	respectively. Hence, the major reaction products of dimers are classified as three types,
198	naphthylation (2M-2H), exact dimerization (2M), and hydrogenation dimerization (2M+2H,

199	2M+4H). Reaction products with $[M]^{+}$ = 386, 388 and 392 were also detected, which are likely
200	trimers formed by combination of these three types of reaction. The hydrogenation of 1,5-Nap
201	was also suggested by the presence of a product with a molecular ion $[M]^{+} = 134$ (Figure S2a)
202	detected in the recovered samples from >523 K, at 0.5 and 1.5 GPa (Table S1). In addition, minor
203	peaks with $[M]^{+} = 236, 250$ were also detected. These are interpreted to form by the partial ring
204	opening with release of two or one carbon atom(s) from the dimer. Minor peaks with $[M]^{++} = 276$ ,
205	278 were also detected, which could be methylation products of $[M]^{+}$ = 262, 264. Methylation
206	might occur when the released carbon by the partial ring opening dimers reacts with simple dimers.
207	The approximate molar yields of the several dimers were roughly quantified using the
208	peak area of the chromatogram relative to that of an internal standard (methyl stearate) measured
209	by GC/FID. The response factor of 1,1'-biphenyl was used for the quantification, whose structure
210	was regarded as being similar to that of the naphthylation dimers. Table S1, Figure 5a and 5b
211	shows the molar yield of the representative reaction products, in which sum of four isomers of
212	$[M]^{+} = 258$ , three isomers of $[M]^{+} = 260$ , seven isomers of $[M]^{+} = 262$ , five isomers of $[M]^{+} = 262$
213	264, five isomers of $[M]^{++} = 276$ , 278, and one each for products $[M]^{++} = 236$ , 250 were included.
214	The dimers were detected above 523 K at 0.5 GPa, the total molar yields increasing with
215	increasing temperature up to 548 K. With increasing temperature above 573 K the total molar
216	yields of dimer decreases relative to that of lower temperatures. At 1.5 GPa, the dimers were

217	detectable above 548 K and the total molar yields increase with increasing temperature up to 623
218	K. Figure 5c and 5d presents the relative ratio of the reaction products from 523-573 K at 0.5 GPa
219	and from 598, 623 K at 1.5 GPa, respectively, in which total molar yields of the dimers excess
220	1 %. At 0.5 GPa, relative concentration of $[M]^{++} = 258$ decreased with increasing temperature,
221	while those of $[M]^{+}$ = 262, 264 increased. In contrast, the proportion of $[M]^{+}$ = 258 slightly
222	increased and $[M]^{+}$ = 264 decreased with increasing temperature to 623 K at 1.5 GPa. These
223	results suggest that hydrogenation occurs more frequently at lower pressure. Molecules with [M]++
224	= 236, 250 and $[M]^{+}$ = 276, 278, which are products interpreted to form with ring opening, were
225	detected only 573, 598 K at 0.5 GPa, and 598, 623 K at 1.5 GPa (table S1) and the relative
226	percentage is much less than that of the products with $[M]^{+} = 258, 260, 262, and 264$ . The ring-
227	opening reaction is, therefore, considered to be a minor reaction. The proportion of sum of [M]++
228	= 236, 250, 276, and 278 are on the order of 8 $\%$ at 0.5 GPa 573 K, where this sum is on the order
229	of 1 % at 1.5 GPa 623 K even though the remaining percentage of 1,5-Nap were similar so the
230	reaction progress was in both cases similar in rate. These results suggest that the ring-opening
231	reactions preferentially occur at lower pressure.
232	Figure 6 presents a representative MALDI-TOF/MS spectrum of the sample recovered
233	from 1.5 GPa, 573 K and the inset shows enlarged view of ions in the range of $m/z = 250-290$ .

234 Intense peaks of m/z = 259-269 were detected, and the molar weight was comparable that of the

235	dimers of 1,5-Nap detected by the GC/MS, while some of the peaks in MALDI-TOF spectra were
236	likely $[M+H]^{++}$ ions, a common feature of MALDI-TOF/MS. In addition, peaks of m/z = 275-279
237	were detected. These peaks might be [M+H] <sup>++</sup> ions of methylation products of the 1,5-Nap dimers.
238	The series of clusters appear periodically approximately 130 mass units apart which was
239	comparable to the molar weight of the starting material. Molecular clusters are observed up to
240	masses in excess of $m/z = 2000$ . Each cluster are reasonably interpreted to represent dimers,
241	trimers, and higher oligomers up to N=15.
242	3. The insoluble hydrogenated carbon nitride polymer
243	A considerable amount of insoluble hydrogenated carbon nitride polymer was obtained from the
244	recovered samples from >573 K at 0.5 GPa and >598 K at 1.5 GPa (as discussed above). The
245	elemental analysis of the insoluble products from 1.5 GPa at 623 K shows that the $N/C = 0.2476$
246	$\pm$ 0.0003. The N/C ratio is comparable with that observed in the starting material indicating that
247	selective release of nitrogen had scarcely occurred. H/C ratio of the insoluble products was 0.653
248	$\pm$ 0.015, which is lower than that of the initial material and the soluble products and indicates a
249	loss of ca. 13 % hydrogen. Figure 3 c, d presents the IR spectra of the insoluble materials from
250	1.5 GPa at 623 K and 0.5 GPa at 623 K, respectively. Many of the IR peaks appears at
251	wavenumbers similar to those in the soluble product (Fig. 3b) although the peak widths and
252	relative intensities are slightly different. The peak absorbance between 1100-1600 cm <sup>-1</sup> is larger

253	than from soluble product suggesting the formation of a broad peak in this region underlying the
254	sharper vibrational peaks. These results suggest that the soluble and insoluble products are both
255	formed in a series of polymerization reactions, but the insoluble products are likely formed from
256	additional polymerization reactions beyond that which formed the oligomerized soluble products.
257	This is evident as H/C ratio of the insoluble products is lower than that of the soluble products by
258	13 %, implying that polymerization with dehydrogenation account for large percentage of the
259	solid products.
260	We measured <sup>13</sup> C ssNMR spectra of the starting material and the insoluble reaction
261	products obtained from 0.5 GPa, 623 K, and 1.5 GPa, 623 K. A spectrum of pure 1,5-Nap was
262	acquired but suffered from very long spin-lattice $(T_1)$ relaxation times and poor cross polarization
263	dynamics (i.e., long $T_{CH}$ and short $T_{1\rho})$ resulting in a frustratingly low S/N ratio. Nevertheless,
264	three of the four magnetically inequivalent carbon nuclei are clearly observed as very sharp peaks
265	at 124.5 (carbons 3 & 7), 137.5 (carbons 4 & 8) and 151.5 ppm (carbons 2 & 6) were detected in
266	the <sup>13</sup> C ssNMR spectrum of pure 1,5-Nap, all of which are assignable as sp <sup>2</sup> carbon (Figure S3).
267	Interestingly, we could not detect the bridgehead carbon atoms (9 & 10) predicted to be at ~ 144
268	ppm, this is likely due to the lack of protonation and poor <sup>1</sup> H- <sup>13</sup> C cross polarization efficiency.
269	The peak areas of the three peaks that are observed are essentially equal (given the low S/N) as
270	expected (the 9 & 10 bridgehead carbon atoms would be expected to be equal in intensity to the

# 271 other three carbon types).

272	In the <sup>13</sup> C ssNMR spectra of the insoluble products, both sp <sup>3</sup> (major in abundance) and
273	sp <sup>2</sup> carbon (minor in abundance) are detected (Figure 7). The sp <sup>3</sup> /sp <sup>2</sup> ratio was determined to be
274	0.14 based on the peak areas in the <sup>13</sup> C ssNMR spectra; where a pressure dependence on this ratio
275	was not observed. The sp <sup>2</sup> region of the <sup>13</sup> C ssNMR spectra could be adequately fit by four broad
276	peaks at frequencies around 122, 136, 142, and 151 ppm (Figure S4a and S4c) that likely
277	correspond to the 3 & 7, 4 & 8, 9 & 10, and 2 & 6 carbon atoms of the 1,5-Nap precursor,
278	respectively. Variable contact time experiments show that the peak at 142 ppm has a cross
279	polarization rate time constant (T <sub>CH</sub> ) of 950 $\mu$ s, whereas the 122 pm peak has a T <sub>CH</sub> of 540 $\mu$ s; the
280	larger value of $T_{CH}$ for the 142 ppm peaks is consistent with the assignment of bridge head carbon
281	that is more distant from protons. Whereas the four magnetically inequivalent carbon types in 1,5-
282	Nap "monomer" would be expected at equal intensities, integration of the four peaks that
283	dominate the sp <sup>2</sup> carbon region for the insoluble solids reveals differences in the percent
284	contribution of certain carbons to the total sp <sup>2</sup> region. For example, 0.5 GPa solids, peaks at 151
285	and 136 ppm (likely corresponding to the 2 & 6 and 4 & 8 carbons in the 1,5-Nap "monomer")
286	are considerably weaker than that of the 142 and 122 ppm peaks (likely corresponding to the 9 &
287	10, bridge head, carbons and the 3 & 7 carbons) (Table S2a). In the case of the 1.5 GPa solids,
288	peak intensity at 136 ppm (the 4 & 8 carbon atoms) is significantly weaker than the other three

289	peaks (Table S2b). Such selective reductions in intensity of certain carbon peaks may signify
290	which of the initial 1,5-Nap carbon atoms are involved in the pressure and temperature induced
291	formation of polymeric linkages.
292	The peaks corresponding to sp <sup>3</sup> carbon (0 to 60 ppm) can be adequately fit with five and
293	four peaks (Figure S4b, d, respectively). The relatively intense peaks at ~ 23, 30, and 38 ppm are
294	consistent with aliphatic carbon (largely $CH_3$ , $CH_2$ and $CH$ groups, respectively) where the $CH_2$
295	groups are predominant in both samples formed at 0.5 GPa and 1.5 GPa. Higher frequency weak
296	peaks at 45, and 54 ppm are consistent with $sp^3$ carbon bonded to -NH and -N, respectively <sup>41</sup> .
297	These peaks are present in the sample from 1.5 GPa (Figure S4b), although, but reduced in
298	intensity in the 0.5 GPa experimental products (Figure S4d) suggesting that nitrogen atoms are
299	more associated with sp <sup>2</sup> carbon in the lower pressure solid products. A shoulder peak at 13 ppm
300	was observed only from the spectrum from 0.5 GPa, which could be ascribed to $CH_3$ groups <sup>41</sup> . It
301	is suggested in these two <sup>13</sup> C ssNMR spectra that methyl groups intensity is slightly enhanced in
302	the lower pressure solid products.
303	Discussion
304	The chemical reaction of 1,5-Nap proceeds significantly above 573 K at 0.5 GPa and above 623

K at 1.5GPa and soluble reaction products revealing oligomerization are readily obtained from
the samples recovered from >523 K at 0.5 GPa and >548 K at 1.5 GPa. The insoluble

307	hydrogenated carbon nitride polymer was synthesized from >573 K, at 0.5 GPa, and >598 K at
308	1.5GPa. N/C ratio of the reaction products of both soluble and insoluble products were quite
309	similar with that of 1,5-Nap, indicating that release of nitrogen atoms with chemical reaction was
310	limited with the solids forming reaction in the present temperature ranges and that the nitrogen
311	atom was contained in an aromatic ring and connected only with carbon. In contrast, nitrogen
312	atoms are selectively released from aromatic rings with the oligomerization when two nitrogen
313	atoms were localized in one aromatic ring forming a N-N bonding as in 2,3-Nap <sup>38</sup> . In case of 2,3-
314	Nap, the N-N covalent bond is easily dissociated under high temperature and the aromatic ring
315	was subjected to both nitrogen and carbon opening reactions.
316	The dimers of the reaction products which were detected by the GC/MS analysis of the
317	soluble products clarified into three types of dimerization products, that is naphthylation (2M-
318	2H), exact dimerization (2M), and dimerization with hydrogenation (2M+2H, 2M+4H) products.
319	In contrast, naphthalene dimers were not detected from 1.5 GPa and 623 K in this study and
320	oligomerization with naphthylation of naphthalene was reported at 773 K, 7 GPa and at 820 K,
321	ambient pressure in the previous studies <sup>33-34</sup> . These results indicate that reaction temperature for
322	polymerization was lowered by existence of nitrogen even though naphthylation reactions can
323	occur in both naphthalene and in 1,5-Nap. In case of oligomerization of naphthalene, dimerization
324	with release of 2H and 4H, with formation of condensation products were observed at ambient

325	pressure and high pressure <sup>33, 43-44</sup> . It was pointed out that the cleavage of a carbon-hydrogen bond
326	to produce a highly reactive free radical can initiate polymerization at ambient pressure <sup>43-44</sup> . In
327	contrast, dimerization products with release of 4H were not detected in the present study of 1,5-
328	Nap. Exact dimerization (2M), and dimerization with hydrogenation (2M+2H, 2M+4H) were
329	formed by the 1,5-Nap reaction while these products were not observed in the naphthalene
330	oligomerization <sup>33, 43-44</sup> . The dimerization reaction for 1,5-Nap is, therefore, somewhat different
331	than that observed in case of naphthalene oligomerization. Exact molecular structures are not
332	unambiguously revealed from the mass spectrometry, although the IR spectra (Fig 3) of the
333	soluble reaction products suggested both modest amounts of sp <sup>3</sup> carbon and NH functional groups
334	are formed during the reaction. [2+2] and [4+2] Diels-Alder cycloaddition reactions are the likely
335	initiation pathways to form the various dimers including both dehydration and hydrogenation
336	dimers (Figure 8a). The products of $[M]^{+}$ = 262, 264 would be formed from hydrogenation of the
337	exact dimerization products. Several isomers of the dimers are found so that further studies
338	through theoretical analysis is required to confirm the details of the reaction pathway(s). In case
339	of the pressure-induced reaction of benzene, Diels-Aider reactions are clearly the pathway to form
340	various oligomers <sup>27, 31</sup> . For polymerized benzene, three types of low molecular weight
341	dimerization products are identified, including biphenyl (2M-2H), benzene dimers (2M), and
342	naphthalene (2M-C <sub>2</sub> H <sub>4</sub> ) all formed by the pressure-induced oligomerization of benzene after

343	compression above 13 GPa, room temperature <sup>45</sup> . In contrast, for 1,5-Nap condensed dimers
344	formed with elimination of ethylene were not detected in the reaction products. Elimination of
345	ethylene is evidently limited in the present pressure and temperature ranges. In addition, a
346	hydrogenation product of a molecular ion $[M]^{+} = 134$ suggests that hydrogenation without
347	dimerization had also progressed. In the present temperature ranges, hydrogen atoms prefer to
348	remain in the carbon nitride polymer products and even bond with nitrogen atoms. Higher
349	temperatures would be required before large-scale dehydrogenation would occur as has been
350	reported for other aromatic hydrocarbons <sup>33</sup> . Several products formed by ring-opening and
351	methylation were detected, although those quantities were remarkably small compared with that
352	of the three types of dimerization, thus are very minor side reactions.
353	<sup>13</sup> C ssNMR spectral analysis of the insoluble products indicate that the sp <sup>3</sup> /sp <sup>2</sup> ratio is
354	small, on the order of 0.14. For the purpose of this discussion, consider a proposed "infinite" 1,5-
355	Nap insoluble polymer formed exclusively through 3,4-7,8 [2+2] cycloaddition linkages as shown
356	in Figure 8b; where the corresponding sp <sup>3</sup> /sp <sup>2</sup> ratio would be 1.0. This is much higher than what
357	is measured. If 100 % dehydrogenation (-H <sub>2</sub> ) occurred (let's say at the respective 7,4 carbons)
358	yielding a purely "bi-naphthrydine" linked polymer (Figure 8c) the sp <sup>3</sup> /sp <sup>2</sup> ratio would be zero.
359	It can be easily shown that if 50 % formation of "bi-naphthridine" linkages yields an sp <sup>3</sup> /sp <sup>2</sup> ratio
360	of 0.33. The <sup>13</sup> C ssNMR data, therefore, reveal that the polymer contains both the cycloaddition

361 linkages (Figure 8b) and bi-naphthyridine linkages (Figure 8c) which form by elimination of 362 hydrogen from the decomposition of the cycloaddition linkages in the polymer. Given that the 363 sp<sup>3</sup>/sp<sup>2</sup> ratio of the insoluble polymer is measured at 0.14 suggests that around 75 % of the polymer 364 linkages are bi-naphthyridine linkages. The H/C ratio of the insoluble product is 0.65, which is 365 significantly higher than the H/C ratio calculated when the polymer consists of 75 % of the bi-366 naphthyridine linkages (where H/C would be predicted to be 0.558). The high H/C ratio suggests 367 that CH<sub>2</sub>/CH<sub>3</sub> and NH functional groups are also contained in the polymer as is indicated in the 368 IR spectra (Figure 3c, d) and the <sup>13</sup>C ssNMR spectra (Figure 7). It is interesting to note that the 369 sp<sup>3</sup>/sp<sup>2</sup> ratio of the carbon nitride nanothreads was previously reported to be around 3.5<sup>28</sup> and in 370 the case of polymer formed from benzene the sp<sup>3</sup>/sp<sup>2</sup> ratio is nearly 4.0 (where all of the sp<sup>3</sup> carbon 371 is CH)<sup>31</sup>, which is much higher than that in the insoluble material ( $sp^{3}/sp^{2} = 0.14$ ) characterized 372 in this study (where the sp<sup>3</sup> carbon for the 1,5-Nap polymer is predominantly  $CH_2$ )<sup>31</sup>. 373 Evidence of polymerization through naphthylation, exact dimerization, and 374 hydrogenation was observed in the recovered samples of both 0.5 and 1.5 GPa. The sp<sup>3</sup>/sp<sup>2</sup> ratio 375 as determined through <sup>13</sup>C ssNMR of the insoluble products obtained after heating at 0.5 GPa, 376 and 1.5 GPa was nearly the same at 0.14. These results indicate that the major polymerization 377 mechanism(s) is similar at 0.5 GPa and 1.5 GPa, even though the reaction progresses in the liquid 378 phase at 1,5-Nap at 0.5 GPa, and in the solid phase at 1.5 GPa. However, several indications of

379	pressure dependency on the polymerization are suggested. For example, the reaction threshold
380	temperature was changed from 573 K at 0.5 GPa to 623 K at 1.5 GPa. The reaction threshold
381	temperature of benzene is discontinuously changed with the phase state of the starting monomer,
382	especially between liquid and solid phases <sup>46</sup> . In the case of some aromatic compounds, the onset
383	temperatures of the chemical transformation from solid monomers to polymers decrease or are
384	nearly constant with increasing pressure <sup>30, 46-49</sup> . The change of the reaction threshold temperature
385	of 1,5-Nap between 0.5 GPa and 1.5 GPa would be related with the phase state of the stating
386	monomer. Further in-situ experiments on structure and phase state relation of 1,5-Nap are
387	necessary for understanding the effect of pressure on the reaction temperature. Quantitative
388	analysis of the dimers suggested that the hydrogenation reactions preferentially progresses at
389	lower pressure. The reaction products related with the ring-opening are also preferentially
390	detected in the lower pressure experiments. Clear differences in the relative abundance of different
391	sp <sup>2</sup> carbon types are observed via <sup>13</sup> C ssNMR as a function of pressure and may indicate
392	differences in the types of monomer linkages. Formation of a minor amount of methyl groups
393	observed via ${}^{13}$ C NMR at ~ 13 ppm is indicated in the insoluble products from 0.5 GPa, while
394	methyl groups were not detected (or are minimal) in the insoluble solid material formed at 1.5
395	GPa. These results imply that ring-opening reactions preferentially occur at lower pressure namely,
396	aromatic rings preferentially remained intact at higher pressure. Further experiments over a wider

397 range of pressures would be necessary to verify this possibility.

# 398 Conclusions

399	The self-condensation reaction of 1,5-Nap has been investigated at pressures of 0.5 and 1.5 GPa
400	and temperatures ranging from 473 up to 623 K using a piston-cylinder-type high-pressure
401	apparatus. The amount of recovery of unreacted 1,5-Nap significantly decreased in experiments
402	run above 573 K at 0.5 GPa and above 623 K at 1.5GPa. Soluble reaction products were detected
403	from >523K at 0.5 GPa and >548 K at 1.5 GPa, where the products of reaction include dimers,
404	trimers and larger oligomers. Above 573 K at 0.5 GPa and above 598 K at 1.5 GPa, a black
405	insoluble hydrogenated carbon nitride phase was obtained. IR spectra of both soluble and
406	insoluble products suggested that the both products formed by a series of polymerization reactions.
407	The present results indicated that polymerization progressed while maintaining N/C ratio of the
408	1,5-Nap reaction products in contrast that observed previously in 2,3-Nap, an isomer of 1,5-Nap
409	where extensive N loss is observed <sup>25</sup> . Amongst the soluble products, three major types of the
410	dimerization products were detected, these are products formed from naphthylation, exact
411	dimerization, and dimerization with hydrogenation. Polymerization with naphthylation was also
412	observed with pyrolysis of naphthalene <sup>33, 43</sup> , even though the reaction temperature of 1,5-Nap
413	was significantly lower than that observed in the aromatic hydrocarbon <sup>33-34</sup> . The presence of
414	nitrogen atoms in the ring structure significantly lowered the temperature of the polymerization.

415 Polymerization with hydrogenation was observed with the reaction of 1,5-Nap, where such 416 reactions has not been reported in pure aromatic hydrocarbons pyrolysis experiments <sup>33, 43</sup>. 417 Whereas [2+2] and [4+2] Diels-Alder cycloaddition reactions are the likely initiation point to 418 polymerization, subsequent dehydrogenation and hydrogenation reactions produces both 419 dehydrogenated and hydrogenated dimers, the methine (CH) rich cyclo-addition products 420 decompose to form predominantly bi-naphthyridine linkages eliminating H<sub>2</sub>, thus the sp<sup>3</sup>/sp<sup>2</sup> 421 ratios and methine carbon content for the 1,5 Nap polymers are very low as compared with other 422 pressure induced aromatic polymerizations. The major reaction mechanism of 1,5-Nap was 423 similar at pressures of 0.5 and 1.5 GPa, although aromatic rings preferentially remained at the 424 higher pressure.

425

### 426 Supplemental Information

#### 427 See the supplemental information

428 Representative mass spectra of the soluble reaction products from the GC/MS analysis, <sup>13</sup>C

- 429 ssNMR spectra of 1,5-Nap, fitting results of the ssNMR spectra from the insoluble products
- 430 (spectra and a table), and a table for molar yield of the representative dimers.

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#### 565 Figure captions

- 566 **Figure 1** Molecular structure of 1,5-naphthyridine (1,5-Nap).
- 567 Figure 2 Temperature dependence of the residual ratio of 1,5-Nap at 0.5 GPa and 1.5 GPa (red
- and blue symbols, respectively), and that of naphthalene at 1.5 GPa 623 K (a black symbol).
- 569 Figure 3 Representative IR spectra of (a) starting material, (b) soluble products from 1.5 GPa,
- 570 623 K, (c) insoluble products from 1.5 GPa, 623 K, (d) insoluble products from 0.5 GPa, 623 K.
- 571 Black dotted lines: The peaks observed in starting material. Red dotted lines: newly appeared in
- 572 the reaction products. Str: stretching mode, Bend.: bending mode, Wag.: wagging mode, Def.:
- skeletal deformation mode
- 574 **Figure 4** Representative TIC of GC/MS measurements after heating at (a) 623 K 1.5 GPa and (b)
- 575 573 K, 0.5 GPa. Numbers appeared with peaks represent the m/z of molecular ion peak. I.S. (1)
- and I.S. (2) are the methyl stearate and methyl triacontanoate, respectively used as internal
- 577 standards. Mass spectra of (a)~(f) are shown in figure S1.
- 578 Figure 5 Molar yield of the reaction products (a) 0.5 GPa, (b) 1.5GPa. Residual ratio of 1,5-Nap
- 579 is indicated above each bar. Relative molar yield of the reaction products for heating at (c) 0.5

580 GPa, (d) 1.5GPa.

- 581 Figure 6 MALDI-TOF/MS spectra of the recovered sample from 1.5 GPa, 573 K. The inset
- represents an enlarged view of the spectrum of 2 mers region at m/z = 250-290.

- 583 Figure 7 <sup>13</sup>C CPMAS ssNMR of the insoluble products from 0.5 GPa, 623 K and 1.5 GPa, 623
- 584 K.
- 585 **Figure 8** (a) Possible dimerization pathways with [2+2] and [4+2] cycloaddition reactions. m.w.:
- 586 molecular weight. Possible linkages of the insoluble polymer with (b) [2+2] cycloaddition linkage,
- 587 (c) bi-naphthyridine linkage.
- 588

	-		-			
no.	Staring	Pressure	Temperature	Remaining	Soluble	Insoluble
	material	(GPa)	(K)	1,5-Nap (%)	products	products
1	1,5-Nap	0.5	473	92.2	-	-
2	1,5-Nap	0.5	523	75.5	+	-
3	1,5-Nap	0.5	548	78.7	+	-
4	1,5-Nap	0.5	573	4.3	+	+
5	1,5-Nap	0.5	598	0.4	±	+
6	1,5-Nap	0.5	623	0.3	±	+
7	1,5-Nap	1.5	473	94.2	-	-
8	1,5-Nap	1.5	523	99.1	-	-
9	1,5-Nap	1.5	548	96.4	+	±
10	1,5-Nap	1.5	573	94.9	+	±
11	1,5-Nap	1.5	598	58.6	+	+
12	1,5-Nap	1.5	623	5.4	+	+
13	Nap	1.5	623	94.1	-	-

**Table 1** Experimental conditions of the present study.

1,5-Nap: 1,5-naphthyridine, Nap: naphthalene, +: detected, - not detected, ±: trace amount

## **TOC Graphic**





Figure 1







Figure 4a



Intensity(a.u.)

Figure 4b



Figure 5a





Figure 5c



Figure 5d



Intensity



Normarized intensity (a.u.)



