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Diphyllobothrium stemmacephalum (Cestoda: Diphyllobothriidae) found from a harbor
 porpoise in northern Japan, with comments on a geographical gap with human infection
 cases in southern Japan

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## 19 ABSTRACT

20 Even though the cetacean tapeworm Diphyllobothrium stemmacephalum occurs in both cold

21 and warm waters, human infections and final host occurrences have been confined to temperate

22 areas in and near Japan. We recently obtained a strobila of this cestode that was excreted from

- 23 a harbor porpoise accidentally caught offshore of Hokkaido of northern Japan. Genetic analysis
- of 28S rDNA and *cox1* genes confirmed that the cestode was *D. stemmacephalum*. Our finding
- 25 sets the northernmost record of *D. stemmacephalum* in the western Pacific, suggesting that the
- 26 risk of human infections by this parasite in northern Japan deserves further attention.

27

- 28 Keywords: harbor porpoise; Cestoda; Diphyllobothrium stemmacephalum; genetic
- 29 identification; distribution
- 30

31 Diphyllobothrium stemmacephalum (Cestoda: Diphyllobothriidae) is a zoonotic tapeworm. 32 Human infections occur by eating raw marine fish, but the causative fishes remain to be 33 determined [1]. The patients infected with this parasite have been sporadically reported from 34 the lower latitudes of Japan and Korea [1]. Human infections are generally asymptomatic, but 35 sometimes mild symptoms such as abdominal discomfort, light diarrhea and nausea have been 36 reported [1]. A taxonomic review has resulted in the specimens previously identified as 37 Diphyllobothrium yonagoense, which was first recovered from a patient of Tottori Prefecture 38 in western Japan [2], being synonymized with D. stemmacephalum (morphologically in [3,4] 39 and molecularly in [1]).

40 This cestode has been found from marine mammals such as those of the Phocoenidae and 41 Delphinidae in the northern Atlantic, Baltic Sea, Black Sea and the Gulf of Mexico regardless 42 of whether or not they are cold or warm areas [5,6]. Contrary to this, however, the distribution 43 of D. stemmacephalum seems to be limited to the temperate areas in Japanese and Korean 44 waters (Fig. 1a) [1]. Three odontocete species are known to have been infected with the 45 tapeworm, which are the Risso's dolphin, Grampus griseus, from off Shimane Prefecture in the 46 southern part of the Sea of Japan [7], the short-beaked common dolphin, Delphinus delphis, 47 from off Mie Prefecture in western Japan facing the Pacific Ocean [8], and the Pacific white-48 sided dolphin, Lagenorhynchus obliquidens, in the Toba Aquarium of Mie Prefecture [9].

We recently observed a cestode strobila identified as *D. stemmacephalum* from the harbor porpoise, *Phocoena phocoena*, in Hokkaido of northern Japan. This report provides its genetic profiles and updates information about the northern limit of this parasite's distribution around Japan. The possible causes of the geographical gap with the known human infection areas are also considered.

The male porpoise host was accidentally caught by a coastal large set net fishery targeting tuna, squid, and salmon off Hakodate (42°01′03″N, 140°52′50″E) of southern Hokkaido on 10 May 2017 (SNH17013-2, https://www.gbif.org/occurrence/3319849495) [10]. The body length of the porpoise was 120.5 cm, indicating that it was immature. The porpoise was cared for in a tank (ca. 6.0 m in diameter with a water depth of about 110-120 cm) temporarily set up at the Usujiri Fisheries Station of Hokkaido University, and was released two days later, after confirming the progress of its recovery.

During its recovery period, the strobila was observed hanging down from the anus of the porpoise (Fig. 1b). That part of the worm (about 49 cm in length) then dropped off spontaneously. It was scooped up with a net, preserved in a glass bottle filled with 99.5% ethanol, and then it was sent to Azabu University in Kanagawa Prefecture, where the following examinations were conducted.

A DNA sample was extracted by lysing a piece of the parasite specimen in 100 μL of Tail
lysis buffer (Nacalai Tesque, Inc., Kyoto, Japan) with 2 μL of Proteinase K (Qiagen K. K.,
Tokyo, Japan, 20mg/ml) at 56°C overnight. The extracted DNA was purified using
Ethachinmate following the manufacturer's instructions (NIPPON GENE, Tokyo, Japan). The

70 targeted genes for polymerase chain reaction (PCR) amplification were nuclear 28S ribosomal 71 DNA (28S rDNA) and mitochondrial cytochrome c oxidase gene subunit I genes (cox1). The 72 PCR was conducted by applying the primer sets LSU-5 and 1500R [11], and Cox1Forward and 73 Cox1Reverse [5]. The PCRs were run for 30 cycles (94°C for 30 s, 52°C for 30 s and 72°C for 74 90 s) for 28S rDNA and 35 cycles (95°C for 30 s, 50°C for 30 s, and 72°C for 90 s) for cox1 in 75 a total volume of 25 µL. PCR products were purified with the Wizard SV Gel and PCR Clean-76 Up System (Promega, Tokyo, Japan) and directly sequenced at Eurofins Genomics (Tokyo, 77 Japan). The 28S rDNA and cox1 products were sequenced in both directions using the PCR 78 primers and internal primers: 300F and ECD2 for the former [11]: and cox1F9845-9864 (5'-79 TAGCTGCTGCTATTACAATG-3') cox1R10077-10096 and (5'-80 ACTACCAAGACAAACAATG-3') for the latter. The obtained sequences were aligned and 81 edited using MEGA-X software [12], and were compared with reference sequences available 82 in the GenBank/EMBL/DDBJ databases using BLAST (Basic Local Alignment Search Tool). 83 Phylogenetic trees were constructed based on the partial 28S rDNA and the complete cox1 84 sequences of specimens along with sequences of other related species retrieved from the 85 GenBank [5,13]. Phylogenetic analysis was performed with MEGA-X software by the 86 maximum likelihood method. Clades were assessed by bootstrap resampling with 1000 87 replicates.

A total of 1411 and 1566 bp were determined from the sequencing of the targeted regions of 28S rDNA and *cox1*, respectively. These sequence data were registered in the GenBank as LC644720 and LC644653 for 28S rDNA and *cox1*, respectively. BLAST searches for both targeted regions showed 100% sequence matches between our specimen and known *D*. *stemmacephalum* (e.g. KY552825.1 and AP017648.1). Phylogenetic analysis also supported that the species is *D. stemmacephalum* (Fig. 2a and 2b).

The previous records of human infections suggest that *D. stemmacephalum* is mainly distributed off the southern coast of Japan between 25°N and 35°N (Fig. 1a). The locality reported in the present study represents the new northernmost record of the species in the western Pacific.

98 The Risso's dolphin and the short-beaked common dolphin are southern species commonly 99 occurring in warm-sea environments and are known to be final hosts [14-16]. The Pacific 100 white-sided dolphin is the other known host, and it is a migratory species that moves between 101 the cold waters (i.e., the northern region around Hokkaido) and warm waters (i.e., off the 102 southern coast of Japan), with individuals overwintering off Fukuoka and Yamaguchi 103 Prefectures that are located in the southern the Sea of Japan and also off the Kii Peninsula along 104 the Pacific coast of Japan, respectively [17–19]. Although the feeding habits of these cetaceans 105 have not yet been clarified, some of their prey fishes are expected to serve as a second 106 intermediate host for *D. stemmacephalum*, which may have been transmitted to humans by 107 eating them raw.

108 The harbor porpoise that is newly recognized as the host of *D. stemmacephalum* in this report,

109 is a northern species that does not appear to inhabit southern or western areas (i.e., off Honshu

- and off western Hokkaido) with sea surface temperatures exceeding  $12-16^{\circ}$ C in summer [20,21].
- 111 Some individuals are known to migrate south from Hokkaido to Honshu as sea surface

temperatures decrease in winter [20]. The southward limits of harbor porpoises are off Niigata

113 Prefecture (i.e. 39°N) in the Sea of Japan and off Ibaraki Prefecture (i.e. 36°N) along the Pacific

114 coast of Japan; but in an exceptional case, one individual was found in a more southwest area

115 off Taiji of Wakayama Prefecture [20]. Therefore, it seems possible that parasite infections of

116 harbor porpoises occur during those periods of southward migrations.

Alternatively, the recent global warming may have resulted in the parasite being more easily transported to the north by migrations or habitat expansions of fish intermediate hosts [e.g. 22,23]. Because the harbor porpoise is known to be an opportunistic generalist predator that uses any available prey at each time and place [24], this potential host might become infected with *D. stemmacephalum* around Hokkaido as a result of rising water temperatures.

122 The intermediate fish hosts exploited by D. stemmacephalum are still uncertain, but have 123 been assumed from the diets of the patients who frequently eat raw fish. The consumed fish 124 include the skipjack tuna (Katsuwonus pelamis), the Japanese Spanish mackerel 125 (Scomberomorus niphonius), tunas (Thunnus spp.), and the Japanese amberjack (Seriola 126 quinqueradiata) [25]. In addition to these highly migratory fishes, other hosts may be resident 127 species such as mackerels (Rastrelliger spp.), the silver-stripe round herring (Spratelloides 128 gracilis), and the striped beakfish (Oplegnathus fasciatus), which are mainly distributed and 129 consumed in the southern regions [26]. Regardless of the species that caused the infection, the 130 present finding may suggest that the risk of human infection by this parasite by consuming 131 fishes caught from the northern cold-water areas needs to be considered, and it may be 132 necessary to monitor possible fish hosts species.

133

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135

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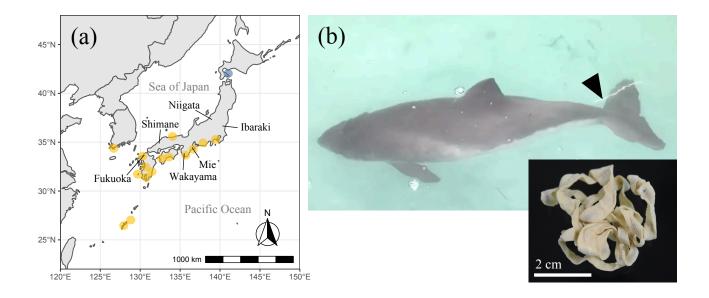
- 225 Figure captions
- 226

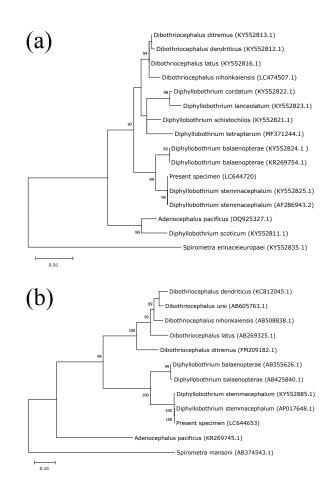
Fig. 1. Human infection records around Japan and the Diphyllobothrium stemmacephalum

228 parasite specimen of the present study. (a) Localities of human infections reported in previous

- studies (orange closed-circles) [1] and the locality reported in the present study (blue closed-
- 230 circle). (b) A video frame-capture (see the video clip in Appendix S1) of the sheltered harbor
- 231 porpoise in the holding tank, showing the cestode strobila being excreted.
- 232
- 233 Fig. 2. The phylogenetic relationships of Diphyllobothrium stemmacephalum reported in this
- study and related species. (a) A phylogenetic tree estimated by the maximum likelihood method
- 235 based on 28S rDNA sequences using K2P+G. Spirometra erinaceieuropaei was used as an out-
- 236 group. (b) A phylogenetic tree estimated by the maximum likelihood method based on cox1
- 237 sequences using GTR+G+I. Spirometra mansoni was used as an out-group. Bootstrap values
- $238 \quad (>90)$  are shown on branches.

Fig. 1





## Graphical abstract

