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Research Article

Endoscopic nasobiliary drainage comparable with endoscopic biliary stenting as a preoperative drainage method for malignant hilar biliary obstruction: A multicenter retrospective study

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Short Title: ENBD is comparable with EBS

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1 Abstract

- 2 Introduction: Preoperative endoscopic biliary drainage (PEBD) for malignant hilar biliary obstruction
- 3 (MHBO) is widely accepted. Recent PEBD consists of endoscopic nasobiliary drainage (ENBD),
- 4 conventional endoscopic biliary stenting (CEBS) with plastic stents across the papilla, and endoscopic
- 5 biliary inside stenting (EBIS) with plastic stents above the papilla, while ENBD is the primary
- 6 procedure in Asian countries. Thus, we aimed to compare the efficacy of ENBD with those of CEBS
- 7 and EBIS as a means of PEBD for MHBO.
- 8 **Methods:** We retrospectively identified patients with MHBO who underwent upfront surgery
- 9 between January 2011 and December 2018 in a multicenter setting. The outcome measures were
- 10 cumulative dysfunction of PEBD, risk factors for PEBD dysfunction, and adverse events.
- 11 **Results:** We analyzed a total of 219 patients, comprising: 163males (74.4%); mean age,
- 12 69.7(±7.6)years; Bismuth-Corlette classification (BC) I, II, IIIa, IIIb, and IV in 68, 49, 43, 30, and 29
- 13 patients, respectively; and diagnosis of hilar cholangiocarcinoma and gall bladder cancer in 188 and
- 14 31 patients, respectively. PEBD procedures were performed in 160 patients with ENBD, 31 patients
- with CEBS, and 28 patients with EBIS. PEBD dysfunction occurred in 58 patients (26.5%), and the
- 16 cumulative dysfunction rates were not significantly different among PEBD methods (*P*=0.60).
- 17 Multivariate analysis showed that BC-IV was significantly associated with the occurrence of PEBD
- 18 dysfunction (hazard ratio=2.10, *P*=0.02). The adverse event rates were not significantly different
- among PEBD groups (*P*=0.70).
- 20 Conclusion: ENBD as a means of PEBD for MHBO is comparable with CEBS and EBIS in rates of
- 21 dysfunction and adverse events.

22

23 Introduction

24 Surgical treatment alone can offer long-term survival in patients with primary malignant hilar biliary 25 obstruction (MHBO), including hilar cholangiocarcinoma and gallbladder cancer [1-3]. Although it 26 remains unclear whether preoperative biliary drainage can reduce morbidity and mortality in 27 patients with MHBO [4, 5], drainage is frequently necessary, following assessment of the surgical 28 resectability and pathological confirmation [6, 7]. Percutaneous transhepatic biliary drainage (PTBD) 29 is not recommended as the first preoperative drainage procedure because of the possibility of tumor 30 seeding and severe complications [8, 9]. Preoperative endoscopic biliary drainage (PEBD) is widely 31 accepted as the standard preoperative biliary drainage technique in Japan [10]. Endoscopic 32 nasobiliary drainage (ENBD) is the primary procedure for PEBD, according to Japanese guidelines [4]. 33 Previous studies have reported that ENBD has advantages, including less adverse events, such as 34 tube/stent occlusion with cholangitis, and re-interventions over endoscopic biliary stenting (EBS) as a 35 PEBD method [8, 11]. Currently, ENBD is frequently performed in Japanese high-volume centers [10]. 36 However, the method typically involves the external fistula, which has been shown to decrease the 37 quality of life during the preoperative waiting period. In addition, some recent studies have failed to 38 show an advantage of ENBD over EBS as a PEBD method [12-14]. Conventional endoscopic biliary 39 stenting (CEBS) is performed using plastic stents across the major papilla. Meanwhile, recent studies 40 have indicated that novel endoscopic biliary inside stenting (EBIS) is superior to CEBS as a bridging 41 treatment to surgery with plastic stents above the papilla, which was demonstrated in patients with 42 malignant biliary obstruction, including MHBO [15, 16], as well as in patients with unresectable MHBO [16, 17]. However, the most suitable PEBD method remains controversial. Meanwhile, 43 44 multiple EBD procedures are frequently performed before the final decision on the surgical strategy. It is not known whether the initial EBD method affects tube/stent dysfunction and complications of 45 46 PEBD at the final decision on the surgical strategy. Furthermore, because of the advent of EBIS and 47 revision of preoperative management protocols in the last decade [10], re-evaluation of the typical 48 PEBD methods that are implemented in surgical care is needed.

The aim of this multicenter, retrospective study was to compare ENBD with CEBS and EBIS as a PEBD method in addition to as the initial EBD method and to re-evaluate the usefulness of ENBD for patients with MHBO who underwent upfront radical surgery.

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52

53 Materials and Methods

54 Study design

55 This was a multicenter, retrospective study conducted at Hokkaido University Hospital, Teine-56 Keijinkai Hospital, Sapporo Medical University, Tonan Hospital, Iwamizawa Municipal General 57 Hospital, NTT East Sapporo Hospital, and Hakodate Municipal Hospital. We retrospectively searched 58 for consecutive patients with MHBO who underwent radical surgical resection between January 2011 59 and December 2018 from the hospital databases. The inclusion criteria were as follows: 1) diagnosis 60 of primary malignant biliary tract cancer based on pathological evidence; 2) main biliary stricture 61 located within 2 cm from the hepatic hilum; 3) history of PEBD until surgery; and 4) patients' or their 62 families' agreement to participate in this study by the opt-out form. The exclusion criteria were as 63 follows: 1) history of PTBD before radical surgery; 2) history of multiple PEBD methods (ENBD + CEBS, 64 ENBD + EBIS, or CEBS + EBIS) as a PEBD method; 3) history of preoperative chemotherapy or 65 radiation therapy for MHBO; 4) history of gastrointestinal tract reconstruction; and 5) refusal to 66 participate in this study by either the patients or their families.

67 We previously conducted a single-center, retrospective study to identify the risk factors for the 68 initial endoscopic procedures for dysfunction of endoscopic biliary drainage (EBD) in preoperative 69 patients with MHBO [14]. Although the inclusion/exclusion criteria and target procedures were 70 different between the previous study and this study, many of the enrolled patients in the previous 71 study were also included in this multicenter, retrospective study.

The study protocol conformed to the ethical guidelines of the 1975 Declaration of Helsinki (2013 revision), as reflected in prior approval by the Human Research Committee of the relevant institutions. This study was approved by the Institutional Review Board at Hokkaido University Hospital (018-0392) and other study institutions and was registered in the UMIN-CTR (clinical trial registration number: UMIN000040605).

77

78 Endoscopic management for MHBO

79 Written informed consent was obtained from all patients prior to endoscopic retrograde

80 cholangiography and EBD/PEBD. ENBD tubes or plastic stents were used for the initial EBD (initial

81 ENBD, iENBD/initial CEBS, iCEBS/initial EBIS, iEBIS)/PEBD. In general, the biliary drainage technique

82 for patients with MHBO is single biliary drainage of the future remnant liver lobe. However,

83 additional EBD was performed when cholangitis was suspected in the non-drainage area or when the 84 final decision on the surgical strategy made by the cancer board of each institution required it. ENBD 85 was the first-choice procedure for PEBD in the participating institutions, according to Japanese 86 guidelines [4], except for patients who rejected the procedure or those who were intolerant to ENBD. 87 If the distance from the distal end of the biliary stricture to the sphincter of Oddi was at least 2 cm, 88 EBIS could be selected, as well as ENBD and CEBS. If the preoperative waiting period would be 89 extended at the final decision on the surgical strategy, ENBD could be converted to CEBS/EBIS. Some 90 patients who were referred to the participating institutions for workup of MHBO had undergone 91 CEBS/EBIS previously at other hospitals. The selection of the biliary drainage technique 92 (ENBD/CEBS/EBIS) and endoscopic sphincterotomy were performed at the sole discretion of the 93 endoscopist.

94

95 Definitions

96 PEBD was defined as EBD during the preoperative waiting period and divided into three groups:

97 preoperative ENBD (pENBD), preoperative CEBS (pCEBS), and preoperative EBIS (pEBIS). The

98 preoperative waiting period was defined as the duration from the final decision on the surgical

99 strategy by each institutional cancer board for radical surgery (Figure 1). Before PEBD, one or more

100 EBD procedures as re-intervention could be performed to assess the surgical

101 resectability/pathological confirmation, improve cholangitis, or convert from single to multiple biliary

102 drainage due to the final decision on the surgical strategy.

103 PEBD dysfunction was defined as occlusion or dislocation of the ENBD tubes or plastic stents of 104 CEBS/EBIS. Occlusion of an ENBD tube or plastic stent of CEBS/EBIS was defined as follows: 1) acute cholangitis as defined in the Tokyo guideline 2018 [18], and 2) elevation of serum hepatobiliary 105 106 enzyme levels, any of which can improve after exchange of the tubes/stents. When bile flow in an 107 ENBD tube stopped or extremely decreased, bile reflow after flushing an ENBD tube with 108 physiological saline was not defined as occlusion of an ENBD tube. Dislocation of an ENBD tube or a 109 plastic stent of CEBS/EBIS was defined as dislodgement of the tip of the tubes/stents from the 110 original position to an inappropriate site, as assessed by a roentgenogram. In the present study, 111 removal of an ENBD tube by a patient was defined as the dislocation of an ENBD tube.

Functional success was defined as (a) a decrease in serum total bilirubin from >2.0 mg/dL to ≤2.0
 mg/dL, (b) a decrease in serum total bilirubin by half from >2.0 mg/dL, (c) 50% or more decrease in
 hepatobiliary enzyme levels in the case of serum T-BIL being 2.0 mg/dL or less within 14 days after

biliary drainage, or (d) no increase in serum total bilirubin > 2.0 mg/dL and of hepatobiliary enzymesin the case of biliary decompression previously.

In the present study, the type of hilar biliary obstruction in patients with gallbladder cancer, as
well as that in patients with hilar cholangiocarcinoma, was classified according to the BismuthCorlette grade (BC).

120 Regarding adverse events, contralateral segmental cholangitis was defined as cholangitis that 121 occurred in an undrained area. When contralateral segmental cholangitis occurred, an additional 122 ENBD tube or plastic stent of CEBS/EBIS was placed in the undrained area. In this study, contralateral 123 segmental cholangitis was regarded as an adverse event because it is mainly caused by tumor-related 124 obstruction. Ipsilateral segmental cholangitis was defined as cholangitis that occurred in the same 125 lobe as the drained area and improved with conservative treatment. Pancreatitis, bleeding, and 126 perforation related to the endoscopic biliary stenting/tubing procedure, and their severity was 127 defined according to the American Society for Gastrointestinal Endoscopy lexicon [19]. Acute 128 cholecystitis and cholangitis were defined according to the 2018 Tokyo guidelines [20].

129

130 Outcome measures

131 The primary outcome measure of the present study was the cumulative dysfunction of PEBD 132 according to the PEBD method. The secondary outcomes measures were functional success, details 133 of PEBD duration and dysfunction, and risk factors for PEBD dysfunction: age (<75 or ≥75 year); sex 134 (male or female); final diagnosis (cholangiocarcinoma or gallbladder cancer); BC grade (I, II, IIIa, IIIb, 135 or IV); cholangitis before PEBD (presence or absence); pancreatitis due to EBD/PEBD procedures 136 (presence or absence); biliary drainage method (ENBD, CEBS, or EBIS); number of intubated PEBD 137 tubes/stents (single or multiple); diameter of the largest PEBD tube/stent (\leq 6-Fr or \geq 7-Fr); type of 138 PEBD tubes/stents (straight or pigtail); endoscopic sphincterotomy (presence or absence); 139 preoperative waiting period (\leq 40 days or >40 days); and percutaneous transhepatic portal vein 140 embolization (PTPE) before surgery (presence or absence) were used as covariates. Adverse events 141 associated with PEBD were also analyzed.

142

143 Statistical analysis

Statistical analysis was performed using the free software EZR [21]. Results are shown as means
(standard deviation) for quantitative variables, medians (interquartile range) for nonparametric

7

146 variables, and percentages for categorical variables. A one-way ANOVA was conducted to compare 147 continuous variables among the PEBD methods. The Kruskal–Wallis test was conducted to compare 148 the median values of the preoperative waiting period among the PEBD methods. Categorical 149 variables were compared using the chi-squared test or Fisher's exact test, as appropriate. The 150 cumulative incidences of PEBD dysfunction were estimated using the Kaplan-Meier method, and the 151 differences among PEBD methods were evaluated using the log-rank test. Patients who underwent 152 radical surgical resection, re-intervention, or conversion to another EBD method without dysfunction 153 of PEBD or drainage-related adverse events were regarded as censored. The risk factors for PEBD 154 dysfunction were analyzed using the Cox proportional hazards model. Factors with a P-value < 0.20 in 155 the univariate analysis, were included in the multivariate analysis. Differences were considered 156 statistically significant at P < 0.05.

157

158 Results

159 Baseline characteristics

160 The database searched for the retrieval of 219 consecutive patients who were finally analyzed in the 161 present study (Figure 2). The baseline characteristics of the patients are shown in Table 1. The 162 patients included 163 men and 56 women, with a mean age of 69.7 (± 7.6) years. The final diagnoses 163 were cholangiocarcinoma in 188 patients and gallbladder cancer in 31 patients. The BC grades were 164 as follows: I, 68; II, 49; IIIa, 43; IIIb, 30; and IV, 29. ENBD, CEBS, and EBIS were performed using the 165 PEBD method in 160 (pENBD), 31 (pCEBS), and 28 patients (pEBIS), respectively. A total of 157 166 patients (71.7%) had undergone one or more EBDs before PEBD. There were no significant 167 differences in the percentage of patients who underwent one or more EBD procedures before PEBD 168 (multiple EBD procedures) among the three groups (pENBD: 68.1% vs. pCEBS: 74.2% vs. pEBIS: 89.3%, 169 P = 0.06). The incidence rates of cholangitis before PEBD in the pENBD, pCEBS, and pEBIS groups 170 were 23.1%, 16.1%, and 25.0%, respectively, and were not significantly different among the PEBD 171 groups (P = 0.70).

The initial EBD methods were ENBD in 159 patients (iENBD), CEBS in 52 patients (iCEBS), and EBIS in 8 patients (iEBIS). A flowchart of the initial EBD method for PEBD is shown in Figure 3. The main reasons for the additional EBD are listed in Table 2.

The functional success rates of PEBD in the pENBD, pCESB, and pEBIS groups were 100%
(160/160), 100% (31/31), and 100% (28/28), respectively. All patients underwent radical surgical
resection as scheduled. The median preoperative waiting period of the entire cohort was 41 days

- 178 (interquartile range, 26–56) and was not significantly different among the PEBD groups (*P* = 0.55).
- 179 The rates of endoscopic sphincterotomy at PEBD were 53.1%, 45.2%, and 39.3% in the pENBD,
- 180 pCEBS, and pEBIS groups, respectively, and were not significantly different among the groups (P =
- 181 0.32). Details of the PEBD status and radical surgical resection are shown in Table 3.
- 182

183 Primary outcome

184 The median follow-up durations (interguartile range) of PEBD in the pENBD, pCEBS, and pEBIS groups 185 were 30.5 days (14-47), 23.0 days (14-49), and 24.0 days (8-42), respectively, and were not significantly different among them (P = 0.54). Dysfunction of PEBD occurred in 58 patients (26.5%), 186 187 among whom occlusion and stent migration occurred in 34 and 24 patients, respectively. The 188 cumulative dysfunction rates of PEBD in all patients were 23.8%, 37.4%, and 41.3% at 30, 60, and 90 189 days, respectively (Figure 4A). The dysfunction rates of PEBD in the pENBD, pCEBS, and pEBIS groups 190 were 25.0% (40/160), 35.5% (11/31), and 24.1% (7/28), respectively (P = 0.45). The cumulative 191 dysfunction rates of PEBD were not significantly different among the PEBD methods (P = 0.60) (Figure 192 4B).

- We also analyzed the patients according to the initial EBD method, to identify the effect of the initial EBD method on the dysfunction of PEBD. The dysfunction rates of the iENBD, iCEBS, and iEBIS groups during the preoperative period were 26.4% (42/159), 28.8% (15/52), and 12.5% (1/8), respectively, and were not significantly different among the groups (*P* = 0.77). The cumulative
- 197 dysfunction rates of PEBD were also not significantly different among the groups (*P* = 0.65).

198

199 Secondary outcomes

200 Details of outcomes of PEBD

After PEBD, 137 patients (62.6%) underwent radical surgical resection without re-intervention.

202 Dysfunction of PEBD occurred in 58 patients (26.4%). The remaining 24 patients (11.0%) underwent

203 re-intervention for the following reasons: contralateral segmental cholangitis in 11 patients,

- 204 conversion to another EBD method without dysfunction of PEBD in 6 patients (conversion from
- 205 external to internal drainage by patient requirement in 2 patients, and from internal to external
- 206 drainage for bile monitoring in 4 patients); preventive tube exchange due to slight tube dislocation
- 207 on roentgenogram in 5 patients; pancreatitis due to compression of the pancreatic duct by the ENBD
- tube in 1 patient, and bleeding after endoscopic sphincterotomy in 1 patient.

209

210 Risk factors of PEBD dysfunction

- 211 We performed a univariate analysis of patient characteristics and PEBD procedures related to
- 212 dysfunction (Table 4). The PEBD dysfunction rates were significantly different between BC classes (I,
- 213 II, IIIa, and IIIb vs. IV) (*P* = 0.01). The results of the multivariate analysis showed that BC-IV was an
- independent predictive factor for PEBD dysfunction (hazard ratio = 2.01, *P* = 0.02).

There were significant differences in the distributions of BC grades among the three PEBD methods (P < 0.01). Therefore, we also evaluated the predictive factors for PEBD dysfunction in patients with BC-IIIa, IIIb, and IV (Table 5). Multivariate analysis revealed that the hazard ratio was higher in the CEBS group (hazard ratio = 2.51, P = 0.04). BC-IV was also an independent predictive factor for PEBD dysfunction (hazard ratio = 2.54, P = 0.02).

220

221 Adverse events of PEBD

222 During the study period, 42 patients (19.2%) experienced 42 adverse events (Table 6). The adverse 223 event rates were 20.6% (33/160), 12.9% (4/31), and 17.9% (5/28) in the pENBD, pCEBS, and pEBIS 224 groups, respectively, and were not significantly different among the PEBD groups (P = 0.70). No 225 severe adverse events were observed in this study. The incidence rates of contralateral segmental 226 cholangitis and ipsilateral segmental cholangitis were not significantly different among the PEBD 227 groups. Pancreatitis occurred in 16 patients. One of these 16 patients had moderate pancreatitis due 228 to compression of the pancreatic duct by the ENBD tube 21 days after PEBD, and the event was 229 successfully treated by the addition of endoscopic nasopancreatic drainage. Acute cholecystitis 230 occurred in three patients (moderate in two patients and mild in one patient). One patient with 231 moderate cholecystitis underwent percutaneous transhepatic gallbladder drainage, whereas the 232 remaining two patients with cholecystitis were successfully treated with conservative therapy. 233 Bleeding after endoscopic sphincterotomy occurred in one patient undergoing ENBD; the patient was 234 successfully treated with endoscopic hemostasis, and the ENBD tube was replaced. 235

236 Comparison between the ENBD and EBS (CEBS/EBIS) groups

- 237 We also evaluated the baseline characteristics of the patients, details of PEBD procedures,
- 238 dysfunctions of PEBD, and rates of adverse events between the pENBD and preoperative EBS (pEBS)
- 239 (pCEBS/pEBIS) groups. The BC grades in the pENBD group were as follows: I in 44 patients (27.5%), II

240 in 42 patients (26.3%), Illa in 28 patients (17.5%), Illb in 24 patients (15.0%), and IV in 22 patients 241 (13.7%); and in the pEBS group, I was present in 24 patients (40.7%), II in 7 patients (11.9%), IIIa in 15 242 patients (25.4%), IIIb in 6 patients (10.2%), and IV in 7 patients (11.8%). The distribution of BC grades 243 was not significantly different between the two groups (P = 0.07). The sizes of ENBD tubes were 5-Fr in 81 patients (50.6%) and \geq 6-Fr in 79 patients (49.4%) in the pENBD group, and those of the largest 244 245 stents were 5-Fr in 4 patients (6.8%) and \geq 6-Fr in 55 patients (93.2%) in the pEBS group (P <0.01). 246 The two groups did not differ significantly in terms of other baseline characteristics and PEBD 247 procedures. The dysfunction rates of PEBD in the pENBD and pEBS groups were 25.0% (40/160) and 248 30.5% (18/59), respectively (*P* = 0.49). The cumulative dysfunction rates of PEBD were not 249 significantly different between the pENBD and pEBS groups (P = 0.37) (Figure 5). The adverse event rates in the pENBD and pEBS groups were 20.6% (33/160) and 15.3% (9/59), respectively, and were 250 251 not significantly different between the two groups (P = 0.44).

252

253 Discussion/Conclusion

254 The present study revealed that ENBD was comparable with CEBS and EBIS in terms of the rates of 255 PEBD dysfunction or adverse events in patients with MHBO. Although previous studies have reported 256 that ENBD has advantages over CEBS as a PEBD method in terms of adverse events, including tube/stent occlusion with cholangitis and re-interventions [8, 11], more recent studies have shown 257 258 that there were no significant differences between ENBD and CEBS [12-14], as well as the present 259 study. The advantages of ENBD are the ability to monitor bile quality and output and to perform 260 preoperative cholangiography via a drainage tube, while the disadvantage of the method is 261 nasopharyngeal discomfort. The advantages and disadvantages of CEBS and EBIS are completely 262 opposite to those of ENBD; therefore, any PEBD method can be selected for different purposes in 263 cases with short preoperative periods. However, EBS, especially EBIS, should be selected in cases 264 with long preoperative periods in order to prevent a decline in quality of life. Meanwhile, the sub-265 analysis of the present study in patients with BC-III and IV indicated that CEBS would have a high 266 incidence of PEBD dysfunction, as well as a previous study [8].

267To date, few previous studies have focused on the efficacy of EBIS as a PEBD method in patients268with MHBO who underwent upfront radical surgery. A previous retrospective study in preoperative269patients with malignant biliary strictures showed that the average stent patency was significantly270longer in the EBIS group than in the CEBS group (85.2 verses 49.1 days, *P* <0.05) [15]. However, the</td>271study included patients with distal biliary stricture in addition to MHBO, as well as those who272received neoadjuvant therapy. Other previous studies in patients with unresectable MHBO have also

revealed that stent patency in the EBIS group was significantly longer than that in the CEBS group
[16, 17]. Therefore, we hypothesized that the cumulative dysfunction rate of PEBD for MHBO in the
EBIS group was lower than that in the CEBS group. Recently, a single-center, retrospective study
revealed that EBIS was a possible alternative to ENBD as a bridge to a definitive operation for
patients with resectable MHBO [22]. Thus, further prospective studies with a larger cohort are
needed to accurately compare these PEBD methods for patients with MHBO.

In the multivariate analysis of risk factors for dysfunction of PEBD, BC-IV was found to be an
independent predictive factor, which can be explained by the fact that the bile ducts for PEBD in BCIV cases are the 2nd/3rd branch duct and are narrower than those in BC-I-III, as previously described
[14]. Therefore, patients with BC-IV should undergo radical surgical resection as early as possible. In
addition, because PEBD for MHBO, especially BC-IV MHBO, is occasionally technically difficult, PTBD
should also be considered.

285 There are several limitations to the present study. First, this was a retrospective, non-286 randomized study. Second, selection bias could not be fully avoided because there were few 287 differences among the participating institutions regarding the selection of the PEBD method, 288 assessment of resectability, and the final operative strategy. Third, this study included patients with 289 MHBO who underwent radical surgery (per-protocol analysis), but not all patients with MHBO who 290 would be candidates for radical surgery (intention-to-treat analysis). Our per-protocol analysis could 291 not accurately determine the technical success rate in clinical practice. Fourth, patients who received 292 neoadjuvant therapy were excluded from this study. If neoadjuvant therapy is selected, the 293 preoperative waiting period is extended compared to that in the case of upfront surgery, and the 294 results may differ according to the treatment strategy. Finally, we did not obtain postoperative 295 parameters, such as liver failure and complications, in this study. Future studies should include these 296 parameters to evaluate the postoperative survival times.

In conclusion, ENBD is comparable with CEBS and EBIS in patients with MHBO who undergo
 upfront radical surgery. However, further prospective studies with larger cohorts are needed to
 accurately compare these PEBD methods for patients with MHBO.

300

301 Statements

302 Acknowledgement

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309

310 Statement of Ethics

- 311 <u>Study approval statement</u>: This study protocol conformed to the ethical guidelines of the 1975
- 312 Declaration of Helsinki (2013 revision), as reflected in prior approval by the Human Research
- Committee of the relevant institutions. This study was approved by the Institutional Review Board at
- Hokkaido University Hospital (018-0392) and other study institutions, and was registered in UMIN-
- 315 CTR (clinical trial registration number: UMIN000040605).
- 316 <u>Consent to participate statement</u>: This study was a retrospective observational study and did not
- necessarily require written informed consent because it was a study that used only information such
- 318 as medical records without using samples. Informed consent to participate in the study was obtained
- from the patients or their families using an opt-out form.

320

321 Conflict of Interest Statement

- 322 Author A.K. has received consultant fee from Gadelius Medical and lecture fee from Olympus
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- 324

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327

328 Author Contributions

- R.S. designed the research, performed the research (data acquisition and data analysis), and wrote
- the manuscript; M.K. performed the research (data analysis) and wrote the manuscript and critical
- 331 revision; T.H., M.Y., H.I., H.Y., and M.O. performed the research (data acquisition) and critically
- reviewed the manuscript; A.K. supervised the entire research; all authors read and approved the final
- manuscript.
- 334

335 Data Availability Statement

- 336 The data that support the findings of this study are not publicly available due to their containing
- information that could compromise the privacy of research participants but are available from the
- 338 corresponding author M.K.

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Figure Legends

Figure 1.

Definition of preoperative endoscopic biliary drainage (PEBD) and preoperative waiting period in the present study

EBD, endoscopic biliary drainage; MHBO, malignant hilar biliary obstruction; pCEBS, preoperative conventional endoscopic biliary stenting; PEBD, preoperative endoscopic biliary drainage; pEBIS, preoperative endoscopic biliary inside stenting; pENBD, preoperative endoscopic nasobiliary drainage

Figure 2.

Flow chart of the present study

EBD, endoscopic biliary drainage; GI, gastrointestinal; MHBO, malignant hilar biliary obstruction; NAC, neoadjuvant chemotherapy; pCEBS, preoperative conventional endoscopic biliary stenting; PEBD, preoperative endoscopic biliary drainage; pEBIS, preoperative endoscopic biliary inside stenting; pENBD, preoperative endoscopic nasobiliary drainage; PTBD, percutaneous transhepatic biliary drainage.

Figure 3.

Flow chart of convert of EBD method to PEBD

CEBS, conventional endoscopic biliary stenting; EBD, endoscopic biliary drainage; EBIS, endoscopic biliary inside stenting; ENBD, endoscopic nasobiliary drainage; iCEBS, initial conventional endoscopic biliary stenting; iEBIS, initial endoscopic biliary inside stenting; iENBD, initial endoscopic nasobiliary drainage; pCEBS, preoperative conventional endoscopic biliary stenting; PEBD, preoperative endoscopic biliary drainage; pEBIS, preoperative endoscopic biliary inside stenting; pENBD, preoperative endoscopic nasobiliary drainage.

Figure 4.

(A) Cumulative incidence of preoperative endoscopic biliary drainage (PEBD) dysfunction.

(B) Cumulative incidence of PEBD according to the PEBD method (pENBD/pCEBS/pEBIS). The cumulative dysfunction rates of PEBD were not significantly different among the PEBD methods (*P* = 0.60).

Figure 5.

Cumulative incidence of preoperative endoscopic biliary drainage (PEBD) dysfunction according to the PEBD method (pENBD and pEBS [pCEBS/pEBIS]). The cumulative dysfunction rates of PEBD were not significantly different between the pENBD and pEBS groups (P = 0.37).

Tables

Table 1. Baseline characteristics of patients

	All (n = 219)	pENBD (n = 160)	pCEBS (n = 31)	pEBIS (n = 28)	P-value
Age, mean (± SD), years	69.7 (± 7.6)	69.2 (± 7.4)	71.7 (± 7.4)	70.3 (± 8.5)	0.23
Sex, n (%)					0.56
Male	163 (74.4)	116 (72.5)	24 (77.4)	23 (82.1)	
Female	56 (25.6)	44 (27.5)	7 (22.6)	5 (17.9)	
Final diagnosis, n (%)					0.82
Cholangiocarcinoma	188 (85.8)	136 (85.0)	27 (87.1)	25 (89.3)	
Gallbladder cancer	31 (14.2)	24 (15.0)	4 (12.9)	3(10.7)	
Bismuth-Corlette classificat	tion, n (%)				< 0.01
I	68 (31.1)	44 (27.5)	16 (48.5)	8 (28.6)	
II	49 (22.4)	42 (26.2)	5 (15.1)	2 (7.1)	
Illa	43 (19.6)	28 (17.5)	6 (18.2)	9 (32.2)	
IIIb	30 (13.7)	4 (15.0)	4 (12.1)	2 (7.1)	
IV	29 (13.2)	22 (13.8)	0	7 (25.0)	
Cholangitis before PEBD, n	(%)				
	49 (22.4)	37 (23.1)	5 (16.1)	7 (25.0)	0.70
Pancreatitis due to EBD/PE	BD procedures, r	ו (%)			
	37 (16.9)	26 (16.3)	7 (22.6)	4 (14.3)	0.64
Initial EBD method, n (%)					< 0.01
iENBD	159 (72.6)	127 (79.4)	15 (48.4)	17 (60.7)	
iCEBS	52 (23.7)	31 (19.4)	16 (51.6)	5 (17.9)	
iEBIS	8 (3.7)	2 (1.2)	0	6 (21.4)	

One or more re-intervention by EBD before PEBD, n (%)

EBD: Endoscopic biliary drainage, iCEBS: Initial conventional endoscopic biliary stenting, iEBIS: Initial endoscopic biliary inside stenting, iENBD: Initial endoscopic nasobiliary drainage, pCEBS: Preoperative conventional endoscopic biliary stenting, PEBD: Preoperative endoscopic biliary drainage, pEBIS: Preoperative endoscopic biliary inside stenting, pENBD: preoperative endoscopic nasobiliary drainage

	All (n = 219)	iENBD (n = 159)	iCEBS (n = 52)	iEBIS (n = 8)	P-value
No re-intervention, n (%)	62 (28.3)	51 (32.1)	8 (15.4)	3 (37.5)	0.04
One or more re-intervention	by EBD before PE	BD, n (%)			
	157 (71.7)	108 (67.9)	44 (84.6)	5 (62.5)	
Main reason of the additiona	Main reason of the additional EBD, n (%) (n=157)				
Re-biopsy	82 (52.2)	55 (50.9)	24 (54.5)	3 (60.0)	
Tube/stent dysfunction	30 (19.1)	19 (17.6)	11 (25.0)	0	
Conversion from iENBD to CEBS/EBIS for quality of life improvement					
	22 (14.0)	22 (20.4)	0	0	
Conversion from single	to multiple biliary	drainage due to the	e surgical strategy		
	10 (6.4)	9 (8.3)	1 (2.3)	0	
Conversion from iCEBS/iEBIS to ENBD to monitor bile output or to perform cholangiography via ENBD tube					
	9 (5.7)	0	7 (15.9)	2 (40.0)	
Adverse event related to	o the initial EBD pi	rocedure			
	4 (2.6)	3 (2.8)	1 (2.3)	0	

Table 2. Details of endoscopic biliary drainage (EBD) before preoperative EBD (PEBD)

CEBS: conventional endoscopic biliary stenting, EBD: Endoscopic biliary drainage, EBIS: endoscopic biliary inside stenting, ENBD: endoscopic nasobiliary drainage, iCEBS: Initial conventional endoscopic biliary stenting, iEBIS: Initial endoscopic biliary inside stenting, iENBD: Initial endoscopic nasobiliary drainage, pCEBS: Preoperative conventional endoscopic biliary stenting, PEBD: Preoperative endoscopic biliary drainage, pEBIS: Preoperative endoscopic biliary inside stenting, pENBD: preoperative endoscopic nasobiliary drainage

	All (n = 219)	pENBD (n = 160)	pCEBS (n = 31)	pEBIS (n = 28)	P-value	
Preoperative waiting peri	od, median (inter	rquartile range), days				
	41 (26–56)	41 (26–55)	43 (22–61)	40 (26–58)	0.55	
Number of the PEBD tube	es, n (%)				0.19	
Single	188 (85.8)	109 (68.1)	26 (83.9)	21 (75.0)		
Multiple	63 (28.8)	51 (31.9)	5 (16.1)	7 (25.0)		
Size of the largest PEBD t	ube/stent, n (%)				< 0.01	
5-Fr	85 (38.8)	81 (50.6)	1 (3.2)	3 (10.7)		
6-Fr	70 (32.0)	68 (42.5)	1 (3.2)	1 (3.6)		
≥ 7-Fr	64 (29.2)	11 (6.9)	29 (93.6)	24 (85.7)		
Type of PEBD tubes/stent	Type of PEBD tubes/stents, n (%)					
Straight	186 (84.9)	132 (82.5)	27 (87.1)	27 (96.4)		
Pigtail	33 (15.1)	28 (17.5)	4 (12.9)	1 (3.6)		
History of endoscopic spl	nincterotomy at P	EBD, n (%)				
	110 (50.2)	85 (53.1)	14 (45.2)	11 (39.3)	0.32	
Percutaneous transhepat	ic portal vein eml	polization until surge	ry, n (%)			
	129 (58.9)	98 (61.3)	11 (35.5)	20 (71.4)	0.01	
Surgical procedure, n (%)					< 0.01	
Bile duct resection (-	+ hepatectomy of	segment 4a/5)				
	13 (6.0)	8 (5.0)	5 (16.1)	0		
Hilar resection + par	creatoduodenect	tomy				
	22 (10.0)	11 (6.9)	8 (25.8)	3 (10.7)		
Left hepatectomy/le	ft hepatic trisegm	nentectomy				
	55 (25.1)	42 (26.2)	6 (19.4)	7 (25.0)		

Table 3. Details of preoperative endoscopic biliary drainage (PEBD) and radical surgical resection

Right hepatectomy/right hepatic trisegmentectomy

97 (44.3) 7	5 (46.9)	7 (22.6)	15 (53.6)
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Hepatectomy/hepatic trisegmentectomy + pancreatoduodenectomy

32 (14.6) 24 (15.0) 5 (16.1) 3 (10.7)

pCEBS: Preoperative conventional endoscopic biliary stenting, PEBD: Preoperative endoscopic biliary drainage, pEBIS:

Preoperative Initial endoscopic biliary inside stenting, pENBD: preoperative endoscopic nasobiliary drainage

Table 4. Univariate and multivariate analyses of risk factors for dysfunction of preoperative endoscopic biliary

drainage (PEBD)

		Univariate analysis		Multivariate analysis			
		n	P-value	Hazard ratio	95%CI	P-value	
Age			0.20				
	< 75 years	161					
	≥ 75 years	58					
Sex			0.97				
	Male	163					
	Female	56					
Fina	l diagnosis		0.93				
	Cholangiocarcinoma	188					
	Gallbladder cancer	31					
Bism	nuth-Corlette classification	n	0.01				
	I/II/IIIa/IIIb	190		1			
	IV	29		2.10	1.12–3.93	0.02	
Chol	angitis before PEBD		0.26				
	Absence	170					
	Presence	49					
Pano	creatitis due to EBD/PEBD	procedures	0.26				
	Absence	182					
	Presence	37					
Initia	al EBD method		0.66				
	iENBD	159					
	iCEBS	52					

	iEBIS	8				
PEBD	method		0.60			
	pENBD	160				
	pCEBS	31				
	pEBIS	28				
Num	per of PEBD tubes/stents		0.79			
	Single	156				
	Multiple	63				
Size c	of the largest PEBD tube/ste	ent	0.18			
	5-Fr	85		1		
	≥ 6-Fr	134		0.76	0.45-1.30	0.32
Type of PEBD tubes/stents			0.88			
	Straight	186				
	Pigtail	33				
Histo	ry of endoscopic sphincter	otomy	0.30			
	Absence	109				
	Presence	110				
Preop	perative waiting period		0.77			
	≤ 40 days	105				
	> 40 days	114				
PTPE	until surgery		0.60			
	Absence	90				
	Presence	129				

CI: Confidential interval, EBD: Endoscopic biliary drainage, iCEBS: Initial conventional endoscopic biliary stenting,

iEBIS: Initial endoscopic biliary inside stenting, iENBD: Initial endoscopic nasobiliary drainage, pCEBS: Preoperative

conventional endoscopic biliary stenting, PEBD: Preoperative endoscopic biliary drainage, pEBIS: Preoperative Initial endoscopic biliary inside stenting, pENBD: preoperative endoscopic nasobiliary drainage, PTPE: Percutaneous transhepatic portal vein embolization Table 5. Univariate and multivariate analyses of risk factors for dysfunction of preoperative endoscopic biliary drainage (PEBD) in patients with Bismuth-Corlette classification (BC) IIIa, IIIb, and IV

	Univariat	Univariate analysis		Multivariate analysis			
	n	P-value	Hazard ratio	95%CI	P-value		
Age		0.60					
< 75 years	79						
≥ 75 years	23						
Sex		0.35					
Male	74						
Female	28						
Final diagnosis		0.71					
Cholangiocarcino	oma 90						
Gallbladder cano	er 12						
Bismuth-Corlette classi	fication	0.15					
IIIa/IIIb	73		1				
IV	29		2.54	1.17–5.50	0.02		
Cholangitis before PEB	D	0.89					
Absence	84						
Presence	18						
Pancreatitis due to EBD	/PEBD procedures	0.31					
Absence	81						
Presence	21						
Initial EBD method		0.89					
iENBD	74						
iCEBS	23						

	iEBIS	5				
PEBD	method		0.13			
	pENBD	74		1		
	pCEBS	10		2.51	1.01–6.25	0.04
	pEBIS	18		0.36	0.10-1.21	0.10
Num	ber of PEBD tubes/stents		0.62			
	Single	60				
	Multiple	42				
Size o	of the largest PEBD tube/ste	ent	0.65			
	5-Fr	51				
	≥ 6-Fr	51				
Type of PEBD tubes/stents			0.58			
	Straight	88				
	Pigtail	14				
Histo	ry of endoscopic sphincter	otomy	0.31			
	Absence	51				
	Presence	51				
Preop	perative waiting period		0.80			
	≤ 40 days	51				
	> 40 days	51				
PTPE	until surgery		0.60			
	Absence	42				
	Presence	60				

CI: Confidential interval, iCEBS: Initial conventional endoscopic biliary stenting, iEBIS: Initial endoscopic biliary inside

stenting, iENBD: Initial endoscopic nasobiliary drainage, pCEBS: Preoperative conventional endoscopic biliary stenting,

PEBD: Preoperative endoscopic biliary drainage, pEBIS: Preoperative Initial endoscopic biliary inside stenting, pENBD:

preoperative endoscopic nasobiliary drainage, PTPE: Percutaneous transhepatic portal vein embolization

	All (n = 219)	pENBD (n = 160)	pCEBS (n = 31)	pEBIS (n = 28)	P-value
All adverse event, n (%)	42 (19.2)	33 (20.6)	4 (12.9)	5 (17.9)	0.70
Severe/moderate/mild, n	0/24/18	0/20/13	0/3/1	0/1/4	0.22
Contralateral segmen	tal cholangitis, r	n (%)			
	11 (5.0)	10 (6.3)	0	1 (3.6)	0.47
Ipsilateral segmental	cholangitis with	out re-intervention, r	n (%)		
	10 (4.6)	6 (3.8)	1 (3.2)	3 (11.1)	0.22
Pancreatitis, n (%)	16 (7.3)	14 (8.8)	1 (3.2)	1 (3.6)	0.57
Cholecystis, n (%)	3 (1.4)	2 (1.3)	1 (3.2)	0	0.61
Bleeding, n (%)	1 (0.5)	1 (0.6)	0	0	1

Table 6. Adverse events of preoperative endoscopic biliary drainage (PEBD)

pCEBS: Preoperative conventional endoscopic biliary stenting, pEBIS: Preoperative Initial endoscopic biliary inside

stenting, pENBD: preoperative endoscopic nasobiliary drainage







(B)

(A)



