



Title	Usefulness of the pulmonary venous flow waveform for assessing left atrial stiffness
Author(s)	Abe, Takehiro; Okada, Kazunori; Murayama, Michito; Kaga, Sanae; Nakabachi, Masahiro; Yokoyama, Shinobu; Nishino, Hisao; Aoyagi, Hiroyuki; Tamaki, Yoji; Motoi, Ko; Chiba, Yasuyuki; Ishizaka, Suguru; Tsujinaga, Shingo; Iwano, Hiroyuki; Kamiya, Kiwamu; Nagai, Toshiyuki; Anzai, Toshihisa
Citation	The International Journal of Cardiovascular Imaging, 39(1), 23-34 <a href="https://doi.org/10.1007/s10554-022-02689-7">https://doi.org/10.1007/s10554-022-02689-7</a>
Issue Date	2022-07-16
Doc URL	<a href="http://hdl.handle.net/2115/90166">http://hdl.handle.net/2115/90166</a>
Rights	This version of the article has been accepted for publication, after peer review (when applicable) and is subject to Springer Nature 's AM terms of use, but is not the Version of Record and does not reflect post-acceptance improvements, or any corrections. The Version of Record is available online at: <a href="https://doi.org/10.1007/s10554-022-02689-7">https://doi.org/10.1007/s10554-022-02689-7</a>
Type	article (author version)
File Information	AbeT_OkadaK.pdf



[Instructions for use](#)

*Original article*

### **Usefulness of the Pulmonary Venous Flow Waveform for Assessing Left Atrial Stiffness**

Takehiro Abe, MS<sup>1</sup>, Kazunori Okada, PhD<sup>2\*</sup>, Michito Murayama, PhD<sup>1,3</sup>, Sanae Kaga, PhD<sup>2</sup>, Masahiro Nakabachi, MS<sup>3</sup>, Shinobu Yokoyama<sup>3</sup>, Hisao Nishino<sup>3</sup>, Hiroyuki Aoyagi, MD<sup>4</sup>, Yoji Tamaki, MD<sup>4</sup>, Ko Motoi, MD<sup>4</sup>, Yasuyuki Chiba, MD, PhD<sup>4</sup>, Suguru Ishizaka, MD, PhD<sup>4</sup>, Shingo Tsujinaga, MD, PhD<sup>4</sup>, Hiroyuki Iwano, MD, PhD<sup>4,5</sup>, Kiwamu Kamiya, MD, PhD<sup>4</sup>, Toshiyuki Nagai, MD, PhD<sup>4</sup>, Toshihisa Anzai, MD, PhD<sup>4</sup>

<sup>1</sup>Graduate School of Health Sciences, Hokkaido University, Sapporo, Japan

<sup>2</sup>Faculty of Health Sciences, Hokkaido University, Sapporo, Japan

<sup>3</sup>Diagnostic Center for Sonography, Hokkaido University Hospital, Sapporo, Japan

<sup>4</sup>Department of Cardiovascular Medicine, Faculty of Medicine and Graduate School of Medicine, Hokkaido University, Sapporo, Japan

<sup>5</sup>Division of Cardiology, Hakodate Municipal Hospital, Hakodate, Japan

\*Corresponding author: Kazunori Okada, PhD

Faculty of Health Sciences, Hokkaido University, N12W5, Kita-ku, Sapporo 060-0812, Japan

Tel: +81-11-706-3405; Fax: +81-11-706-3405; Email: [ichinori@hs.hokudai.ac.jp](mailto:ichinori@hs.hokudai.ac.jp)

ORCID: 0000-0002-6180-661X

**Acknowledgments:** This study was supported by the Charitable Trust Laboratory Medicine Research Foundation of Japan.

1 **ABSTRACT**

2 **Purpose:** This study investigated the novel non-invasive left atrial (LA) stiffness parameter using  
3 pulmonary venous (PV) flow measurements and the clinical usefulness of the novel LA stiffness  
4 parameter.

5 **Methods:** We retrospectively analyzed 237 patients who underwent right heart catheterization and  
6 echocardiography less than one week apart. From the pulmonary artery wedge pressure waveform, the  
7 difference between x-descent and v-wave ( $\Delta P$ ) was measured. Using the echocardiographic biplane  
8 method of disks, the difference between LA maximum volume and that just before atrial contraction  
9 ( $\Delta V_{MOD}$ ) was calculated, and the  $\Delta P/\Delta V_{MOD}$  was calculated as a standard LA stiffness index. From the  
10 PV flow waveform, the peak systolic velocity (S), peak diastolic velocity (D), and minimum velocity  
11 between them (R) were measured, and S/D, S/R, and D/R were calculated. From the speckle tracking  
12 echocardiography-derived time-LA volume curve, the difference between LA maximum volume and  
13 that just before atrial contraction ( $\Delta V_{STE}$ ) was measured. Each patient's prognosis was investigated  
14 until three years after echocardiography.

15 **Results:** Among the PV flow parameters, D/R was significantly correlated with  $\Delta P$  ( $r=0.62$ ), and the  
16 correlation coefficient exceeded that between S/D and  $\Delta P$  ( $r=-0.39$ ) or S/R and  $\Delta P$  ( $r=0.14$ ). The  
17  $[D/R]/\Delta V_{STE}$  was significantly correlated with  $\Delta P/\Delta V_{MOD}$  ( $r=0.61$ ). During the follow-up, 37 (17%)  
18 composite endpoints occurred. Kaplan-Meier analysis showed that patients with  $[D/R]/\Delta V_{STE}$  greater  
19 than 0.13 /mL were at higher risk of cardiac events.

20 **Conclusion:** The  $[D/R]/\Delta V_{STE}$  was useful for assessing LA stiffness non-invasively and might be

1 valuable in the prognostic evaluation of patients with cardiac diseases.

2

3 **Keywords:** echocardiography, pulmonary venous flow, left atrial stiffness, speckle tracking

4 echocardiography, left atrial v-wave

1 **INTRODUCTION**

2 Left atrial (LA) function is now recognized as an important indicator for risk stratification in patients  
3 with cardiovascular diseases who suffer from heart failure or atrial fibrillation [1,2]. Two-dimensional  
4 speckle tracking echocardiography (2DSTE) is a well-known technique to assess LA myocardial  
5 function. Using 2DSTE, several phasic parameters can be obtained, such as atrial systolic strain (which  
6 reflects active booster pump function), conduit or passive reservoir strain (which reflects passive  
7 distensibility), and total reservoir or global strain (which reflects total LA function) [3].

8 LA stiffness is defined as the ratio of LA pressure change to volume change during the passive  
9 filling (late-reservoir) phase of the LA [1,4]. The increase in LA stiffness is considered to precede the  
10 decrease in LA strain parameters, and thus the assessment of increased LA stiffness may allow for more  
11 sensitive detection of pathophysiological changes in the LA. Several investigators recently revealed  
12 that the evaluation of LA stiffness was more useful in predicting recurrence after catheter ablation for  
13 atrial fibrillation [4-6] and in predicting prognosis in patients with chronic heart failure [7] compared to  
14 the LA strain parameters. Thus, the assessment of LA stiffness has been attracting attention.

15 The pulmonary venous (PV) flow waveform is determined by the pressure gradient between  
16 the PV and LA, and is known to be similar to the shape of the inverted waveform of the LA pressure  
17 [8,9]. The systolic wave of the PV flow corresponds to the x-descent of the LA pressure, the diastolic  
18 wave of the PV flow to the y-descent of the LA pressure, and the minimal velocity between the systolic  
19 and diastolic waves to the v-wave of the LA pressure. We hypothesize that, by measuring a novel PV  
20 flow parameter assessing the minimal velocity between the systolic and diastolic waves, it may be

1 possible to estimate the increase in LA pressure during the late-reservoir phase and detect any abnormal  
2 increase in the LA v-wave. This study aimed to investigate the novel non-invasive LA stiffness  
3 parameter using PV flow measurements, which reflect the LA pressure change during the late-reservoir  
4 phase and v-wave, as well as to investigate its usefulness to predict patient outcome.

## 7 **METHODS**

### 9 **Patient population**

10 We retrospectively enrolled 476 patients who were admitted to Hokkaido University Hospital from  
11 January 2013 to December 2018 and performed right-heart catheterization and echocardiography  
12 within one week under stable conditions in those in whom good pulmonary artery wedge pressure  
13 recordings were obtained. We excluded patients with an implanted left ventricular (LV) assist device,  
14 post-heart transplantation, or intra-aortic balloon pumping; those under hemodialysis; those with  
15 arrhythmias such as atrial fibrillation, atrial flutter, or bigeminy during the examination; and those with  
16 post mitral valve replacement or mitral annuloplasty and congenital heart disease. The remaining 273  
17 patients were investigated (**Figure 1**).

### 19 **Right-heart catheterization**

1 Right-heart catheterizations were performed by trained physicians using a 7F fluid-filled balloon-tipped  
2 catheter and the waveform of the pulmonary artery wedge pressure was recorded at end-expiration.  
3 From the waveform, we measured the nadir of the x-descent, peak v-wave, nadir of the y-descent, and  
4 mean pulmonary artery wedge pressure (PAWP) (**Figure 2**). The difference between the x-descent and  
5 peak v-wave ( $\Delta P$ ) was calculated as a pressure increase of the late-reservoir phase. All measurements  
6 were obtained from 3 to 5 consecutive beats and the averaged values were used for analysis. We  
7 defined the elevated v-wave as greater than 21 mmHg [10].

8

### 9 **Echocardiographic measurements**

10 Comprehensive echocardiography was performed for each patient in accordance with the guidelines of  
11 the American Society of Echocardiography [11]. Using the biplane method of disks in apical two- and  
12 four-chamber views, the maximum LA volume and the LA volume just before atrial contraction were  
13 measured, and the difference between them ( $\Delta V_{MOD}$ ) was calculated as the LA volume change. The  
14 ratio of invasive  $\Delta P$  to  $\Delta V_{MOD}$  ( $\Delta P/\Delta V_{MOD}$ ) was calculated as a standard index of the LA stiffness in  
15 this study.

16 From the PV flow velocity waveform, the peak systolic velocity (S), the peak diastolic  
17 velocity (D), and the minimum velocity between them (R) were measured, and the S/D, S/R, and D/R  
18 were calculated (**Figure 3**). Using Doppler echocardiography with an apical approach, the peak early-  
19 diastolic transmitral flow velocity (E) was measured. The peak early-diastolic mitral annular velocity

1 (e') was measured at the septal and lateral sides of the annulus and averaged, and the E/e' was  
2 calculated.

3

#### 4 **Two-dimensional speckle tracking echocardiography**

5 Analysis of 2DSTE was performed offline using TomTec ImageArena (version 2.40, TomTec, Munich,  
6 Germany). For the analysis, the highest-quality digital image was selected. The endocardial border of  
7 the LA in the apical four-chamber view was manually traced, and then the time-LA volume curve and  
8 time-LA global strain curve with P-P gating were obtained (**Figure 4**). From the time-LA volume  
9 curve, LA maximum volume and LA volume just before atrial contraction were measured and the  
10 difference between them ( $\Delta V_{STE}$ ) was calculated. From the time-LA global longitudinal strain curve,  
11 the LA passive reservoir strain (LA-LS<sub>PR</sub>) and the LA global longitudinal strain (LA-GLS) were  
12 measured.

13

#### 14 **Follow-up and endpoint**

15 We retrospectively reviewed each patient's electronic medical records until three years after the  
16 echocardiographic examination, and we carefully investigated the occurrence of all-cause mortality, LV  
17 assist device implantation, rehospitalization due to worsening heart failure, and new onset of atrial  
18 fibrillation or atrial flutter. We defined the occurrence of these composite endpoints as the primary  
19 endpoint.

20



1 **Statistical analyses**

2 All statistical analyses were performed using a standard statistical software package (IBM SPSS ver. 25  
3 for Windows; IBM, Chicago, IL). All continuous data are expressed as the mean±SD or median  
4 (interquartile range) as appropriate, and all categorical data are expressed as counts and percentages.

5 The correlation between the two variables was assessed using Pearson's correlation analysis. To test the  
6 difference between the two correlation coefficients, a test for the difference in the maternal correlation  
7 coefficient was used. A receiver operating characteristic (ROC) analysis was used to evaluate the ability  
8 of echocardiographic parameters to estimate elevated v-wave (>21 mmHg). The area under the curve  
9 (AUC) of each parameter, the optimal cut-off value, and the sensitivity and specificity were calculated,  
10 and a test for difference in the AUC was performed if necessary. The prognostic value of the LA  
11 stiffness parameters was evaluated by Cox regression analysis and Kaplan-Meier analysis with the log-  
12 rank test. A significant difference was defined as a p-value <0.05. In the study, to explore the best  
13 parameter reflecting  $\Delta P$  and  $\Delta V_{MOD}$ , we investigate the correlation of multiple parameters to the  
14 standard parameter, thus, Bonferroni correction was applied to avoid the increased risk of a type 1  
15 error, and a p-value less than  $0.05/n$  was considered statistically significant for the correlation analysis.

16

17

18 **RESULTS**

19

20 **Patient characteristics and comparison of the parameters by standard LA stiffness**

1 Among the 273 patients, an adequate PV flow velocity waveform was not available in 44 patients, and  
2 LA tracking was inadequate due to poor echocardiographic quality in 7 patients. After excluding these  
3 patients, the remaining 222 patients were analyzed. The clinical, hemodynamic, and echocardiographic  
4 parameters are summarized in **Table 1**. Among the 222 patients, LA dilation (LA volume index  $>34$   
5 mL/m<sup>2</sup>) was observed in 158 (71%), increased PAWP ( $>15$  mmHg) was seen in 55 (25%), and increased  
6 v-wave ( $>21$  mmHg) was found in 41 patients (18%). The median value of the  $\Delta P/\Delta V_{MOD}$  was 0.25  
7 mmHg/mL, and patients with higher  $\Delta P/\Delta V_{MOD}$  ( $>0.25$  mmHg/mL) had significantly greater D/R, E/e',  
8 PAWP, v-wave, and  $\Delta P$  values. The S/R,  $\Delta V_{MOD}$ , and LA-GLS were significantly lower in patients with  
9 higher  $\Delta P/\Delta V_{MOD}$  than those without.

10

#### 11 **Relationships between echocardiographic parameters and catheter-derived $\Delta P$ and v-wave**

12 The relationships of PV flow parameters with  $\Delta P$  and v-wave were summarized in **Table 2**. Among the  
13 PV flow parameters, D/R was significantly correlated with  $\Delta P$  and v-wave ( $r=0.62$  and  $r=0.63$ ) (**Figure**  
14 **5**). The S/D was also significantly correlated with  $\Delta P$  and v-wave ( $r=-0.39$  and  $r=-0.51$ ). The S/R was  
15 not significantly correlated with  $\Delta P$  ( $r=0.14$ ) or v-wave ( $r=-0.04$ ). The E/e' was also significantly  
16 correlated with  $\Delta P$  and v-wave ( $r=0.22$ ,  $p=0.001$  and  $r=0.36$ ,  $p<0.001$ ), and the correlation coefficients  
17 were significantly smaller than those between D/R and either  $\Delta P$  or v-wave ( $p<0.001$  for both).

18 The results of ROC analysis to evaluate the ability of D/R and E/e' to detect elevated v-wave are  
19 shown in **Figure 6**. The AUC of D/R was 0.87, with a sensitivity of 85% and specificity of 74% at the  
20 optimal cutoff value of 2.7, and that of E/e' was 0.71, with a sensitivity of 51% and specificity of 82% at

1 the optimal cutoff value of 15.1. The AUC of D/R was significantly greater than that of E/e' ( $p < 0.001$ ).

2

### 3 **Correlation between 2DSTE-derived parameters and $\Delta V_{MOD}$**

4 The  $\Delta V_{STE}$  was strongly and significantly correlated with  $\Delta V_{MOD}$  ( $r = 0.81$ ,  $p < 0.001$ ). LA-LS<sub>PR</sub> was also  
5 significantly correlated with  $\Delta V_{MOD}$ , but the correlation was weak ( $r = 0.31$ ,  $p < 0.001$ ). LA-GLS was not  
6 significantly correlated with  $\Delta V_{MOD}$  ( $r = 0.11$ , n.s.).

7

### 8 **Non-invasive parameter of LA stiffness**

9 As described above, the D/R and  $\Delta V_{STE}$  were the best parameters to reflect  $\Delta P$  and  $\Delta V_{MOD}$ , respectively.

10 Thus, we next investigated the validity of the  $[D/R]/\Delta V_{STE}$  as a noninvasive parameter of LA stiffness.

11 The results showed that the  $[D/R]/\Delta V_{STE}$  was well correlated with  $\Delta P/\Delta V_{MOD}$  ( $r = 0.61$ ,  $p < 0.001$ ).

12  $[E/e']/LA-GLS$  was also significantly correlated with  $\Delta P/\Delta V_{MOD}$  ( $r = 0.47$ ,  $p < 0.001$ ), but the correlation  
13 coefficient was significantly smaller than that for the  $[D/R]/\Delta V_{STE}$  and  $\Delta P/\Delta V_{MOD}$  ( $p = 0.039$ ) (**Figure 7**).

14

### 15 **Prognostic value of non-invasive LA stiffness parameters**

16 During the follow-up period of three years, 37 (17%) primary composite endpoints occurred (9 deaths, 5

17 LV assist device implantations, 18 rehospitalizations for worsening heart failure, and 5 developments of

18 new atrial fibrillation or atrial flutter). The results of the univariable and multivariable Cox regression

19 analyses are summarized in **Tables 3** and **4**. In the univariable analysis, LV ejection fraction, LA volume

20 index, E/e', D/R, LA-GLS, LA-LS<sub>PR</sub>, PAWP, v-wave,  $\Delta P$ ,  $\Delta P/\Delta V_{MOD}$ ,  $[D/R]/\Delta V_{STE}$ , and  $[E/e']/LA-GLS$

1 were significantly associated with the primary endpoint. In the multivariable analysis adjusted for age,  
2 LV ejection fraction, and LA volume index, each of the following were independent prognostic factors  
3 for the development of cardiac events:  $\Delta P/\Delta V_{MOD}$ ,  $[E/e']/LA\text{-GLS}$ , and  $[D/R]/\Delta V_{STE}$ .

4 The results of the Kaplan-Meier analysis are shown in **Figure 8**. The patients with  $\Delta P/\Delta V_{MOD}$   
5  $>0.25$  mmHg/mL (the median value) had a significantly higher risk of cardiac events than the group with  
6  $\Delta P/\Delta V_{MOD} \leq 0.25$  mmHg/mL ( $p=0.002$ ). Similarly, the group with  $[D/R]/\Delta V_{STE} > 0.13$  /mL (the median  
7 value) had a significantly lower event-avoidance rate than the group with  $[D/R]/\Delta V_{STE} \leq 0.13$  /mL  
8 ( $p<0.001$ ).

9

## 10 **Reproducibility**

11 The reproducibility of parameters related to the LA stiffness is shown in **Supplemental Table**. Excellent  
12 inter- and intra-observer agreement were observed for  $\Delta P/\Delta V_{MOD}$  (ICC=0.81,  $p<0.001$  and ICC=0.91,  
13  $p<0.001$ , respectively) and  $[D/R]/\Delta V_{STE}$  (ICC=0.87,  $p<0.001$  and ICC=0.94,  $p<0.001$  respectively).

14

15

## 16 **DISCUSSION**

17 The principal findings of the present study were as follows. (a) The D/R ratio of the PV flow, i.e., the  
18 ratio of the diastolic wave to the minimal velocity between systolic and diastolic waves, was useful to  
19 estimate LA pressure increase during the late-reservoir phase and to detect abnormal increases in the LA  
20 v-wave, and the performance of this ratio in both capacities was better than that of the E/e'. (b). The ratio

1 of D/R to  $\Delta V_{STE}$  was well correlated with the invasive LA stiffness parameter,  $\Delta P/\Delta V_{MOD}$ . (c) The  
2  $[D/R]/\Delta V_{STE}$  was as useful as the  $\Delta P/\Delta V_{MOD}$  for predicting the occurrence of cardiac events in patients  
3 with cardiac diseases.

4 To our knowledge, this is the first study focusing on the minimal velocity between the systolic  
5 and diastolic waves of the PV flow. We demonstrated the usefulness of the D/R of the PV flow for  
6 detecting abnormal increases in LA pressure during the late-reservoir phase and resultant prominent v-  
7 wave, and showed that the  $[D/R]/\Delta V_{STE}$  might be applied as an alternative indicator to LA stiffness. We  
8 also demonstrate the usefulness of the  $[D/R]/\Delta V_{STE}$  for the risk stratification of patients.

9

## 10 **Relationships between echocardiographic parameters and invasive LA pressure during the late-** 11 **reservoir period**

12 It has long been known that the R of the PV flow, i.e., the minimal velocity between the S and D waves,  
13 corresponds to the v-wave of the LA pressure waveform [8]. As LA v-wave increases, the R of the PV  
14 flow decreases. At the beginning of this study, we expected that the S/R ratio would correlate with  $\Delta P$ ,  
15 but we found that in fact the S/R was not correlated with either  $\Delta P$  or the v-wave. This result may be  
16 attributable to several previously reported phenomena. Namely, when the LA v-wave increases  
17 prominently, the LA pressure rises rapidly from the mid-systolic phase, which decreases the pressure  
18 gradient between the PV and LA, and then the x-descent becomes obscure. As a result, the S wave is  
19 expected to decrease or disappear [8,9]. On the other hand, we found that the D wave of the PV flow,  
20 which corresponds to the y-descent, was not affected by the mid-systolic pressure difference between PV

1 and LA. In general, the difference between the x-descent and the v-wave is almost the same as the  
2 difference between the v-wave and y-descent, and thus the D/R might be well correlated with  $\Delta P$  and LA  
3 v-wave.

4 In the present study, the  $E/e'$  was significantly correlated with both  $\Delta P$  and the v-wave, the  
5 relationships of  $E/e'$  with  $\Delta P$  and v-wave were relatively rough, and the area under the ROC of the  $E/e'$   
6 for detecting an increased v-wave was significantly smaller than that of the D/R ratio. The  $E/e'$  is one of  
7 the most widely used echocardiographic parameters in clinical routine practice and has been known to  
8 reflect the mean LA pressure [12]. Although the mean LA pressure is largely determined by the pressure  
9 increase during the late-reservoir phase and v-wave, they do not always coincide. Thus, the  
10 appropriateness of using  $E/e'$  as a component of the LA stiffness index is still a matter of debate. In  
11 addition, several conditions are known to reduce the accuracy of LA pressure estimation by  $E/e'$  [12].  
12 These factors may have been the cause of the inadequate relationships between  $E/e'$  and  $\Delta P$  and v-wave  
13 in the present study.

#### 14

#### 15 **Relationships between 2DSTE parameters and volume change in LA**

16 In the present study, 2DSTE-derived LA-GLS was not significantly correlated with LA volume change  
17 during the late-reservoir phase. The LA strain parameters represent the change rate of the LA myocardium  
18 relative to its initial circumference, and therefore do not necessarily reflect the change in LA volume.  
19 Thus, it seems inappropriate to use the LA strain parameters as a surrogate for the LA volume change. In  
20 the present study, the 2DSTE-derived volume change parameter,  $\Delta V_{STE}$ , was well correlated with the

1  $\Delta V_{MOD}$ . While the  $\Delta V_{MOD}$  requires four separate manual tracings of the LA endocardial surface, the  
2  $\Delta V_{STE}$  can be obtained simultaneously when measuring LA-GLS. This is a great advantage of the  $\Delta V_{STE}$   
3 compared with the  $\Delta V_{MOD}$ .

4 Several investigators have reported the usefulness of the 2DSTE-derived LA-GLS for predicting  
5 the prognosis of patients with valvular heart diseases [13,14], ischemic heart diseases [15], and heart  
6 failure [16,17]. We consider that LA-GLS has the potential to become a routine echocardiographic  
7 parameter in the coming years. As noted above, the  $\Delta V_{STE}$  can be obtained simultaneously with LA-GLS.  
8 Thus, we believe that measuring 2DSTE-derived  $\Delta V_{STE}$  as one of the components of LA stiffness in  
9 routine examinations might be reasonable.

10

### 11 **Clinical implications of noninvasive LA stiffness assessment**

12 Ideally, it would be valuable to be able to perform a routine stiffness assessment based on the P-V loop  
13 of the LA. However, its highly invasive aspect makes this impractical. Therefore, the establishment of a  
14 noninvasive LA stiffness index is desirable. Although 2DSTE-derived LA strain parameters are well-  
15 known to reflect the corresponding LA functions, they only represent the degree of wall expansion or  
16 contraction, and do not directly correspond to the LA stiffness based on the pressure–volume relationship.  
17 Myocardial fibrosis in the LA occurs due to aging [18], hypertension [19], accumulation of burden from  
18 chronic LV diastolic dysfunction [20], mitral valve disease [13,21], and cardiomyopathy [22]. Increased  
19 fibrosis in the LA, which is thought as the main cause of the increased LA stiffness, has been reported to  
20 be related to the development of atrial fibrillation [23]. The LA stiffness index was reported to be superior

1 to the LA strain parameters in detecting an increase in LA myocardial fibrosis [24]. Regardless of whether  
2 the LV ejection fraction is reduced or preserved, the LA burden increases as LV diastolic dysfunction  
3 progresses. Accumulation of this increased burden is thought to result in increased LA stiffness [20].  
4 Thus, a more precise method for evaluating LA stiffness is desired.

5 Kurt et al. were the first to report that the scatter plot between pulmonary artery systolic pressure  
6 and the ratio of PAWP to LA-GLS was analogous to that between pulmonary artery systolic pressure and  
7 the  $[E/e']/LA\text{-GLS}$ , and based on this finding, they argued for the validity of using  $[E/e']/LA\text{-GLS}$  as an  
8 LA stiffness index [25]. Since the publication of their paper, several studies have used the  $[E/e']/LA\text{-GLS}$   
9 as a non-invasive method of estimating LA stiffness [7,24,26], but to our knowledge, there has been no  
10 report directly investigating the relationship between the  $[E/e']/LA\text{-GLS}$  and an invasively obtained LA  
11 stiffness parameter, and an echocardiographic method to estimate the LA stiffness has not been well  
12 established. In the present study, we established a novel LA stiffness parameter based on the measurement  
13 from PV flow and the 2DSTE-derived time–LA volume curve,  $[D/R]/\Delta V_{STE}$ , and also demonstrated that  
14 the  $[D/R]/\Delta V_{STE}$  and  $\Delta P/V_{MOD}$  are equally valuable for assessing the prognosis of patients with heart  
15 diseases.

16

## 17 **Limitations**

18 There are several limitations to this study. First, because this study was performed retrospectively, the  
19 right heart catheterization and echocardiography were not performed simultaneously; there was a time  
20 difference of  $2.1\pm 3.2$  days [0–7 days]. We carefully checked each patient’s medical record and excluded



1 patients in which there was a change in medications or weight between echocardiography and RHC.  
2 However, the possibility of hemodynamic alteration might not be completely excluded and this remains  
3 still one of the main limitations of this study. Second, in patients who cannot record a sharp PV flow  
4 waveform, the novel LA stiffness index,  $[D/R]/\Delta V_{STE}$ , cannot be calculated. In addition, even if PV flow  
5 can be obtained, the D/R ratio cannot be calculated when the S wave becomes reversed due to severe  
6 mitral regurgitation [27]. In the present study, 6 of the initial study subjects had the reversal S-wave, and  
7 all of them were excluded as an inadequate PV flow waveform. Third, while  $\Delta V_{STE}$  was well correlated  
8 with  $\Delta V_{MOD}$ , the former tended to overestimate the latter ( $p < 0.001$  for paired t-test). The main reason  
9 for the overestimation of the  $\Delta V_{STE}$  may come from the suboptimal two-chamber view [28]. In addition,  
10 there is a possibility of a difference between manually traced endocardial borders and automatically  
11 generated endocardial borders by 2DSTE at pre-atrial contraction. Fourth, because of the small number  
12 of patients who experienced the primary endpoint, it was difficult to examine the superiority or inferiority  
13 of  $[D/R]/\Delta V_{STE}$  to other indices of LA function, such as LA-GLS. In addition, we simply used the median  
14 value of our 222 patients for a cutoff value. Further studies are needed to establish the prognostic value  
15 of  $[D/R]/\Delta V_{STE}$  and investigate a better cutoff value.

16

17

## 18 **CONCLUSION**

19 The D/R ratio of the PV flow successfully estimated the LA pressure change during the late-reservoir  
20 phase and v-wave, and  $[D/R]/\Delta V_{STE}$ , which was calculated by dividing the D/R ratio by the 2DSTE-

1 derived LA volume change, was a useful method for non-invasive evaluation of LA stiffness. In addition,  
2 this novel index for LA stiffness might be valuable in the prognostic evaluation of patients with cardiac  
3 diseases.

4

5

## 6 **Statements and Declarations**

7 **Funding:** This study was supported by the Charitable Trust Laboratory Medicine Research Foundation  
8 of Japan.

9 **Conflict of Interest:** The authors have no relevant financial or non-financial interests to disclose for this  
10 study.

11 **Author Contributions:** All authors contributed to the study conception and design. Material preparation,  
12 data collection and analysis were performed by T.A., K.O. and M.M. The first draft of the manuscript  
13 was written by T.A. and K.O., and all authors commented on previous versions of the manuscript. All  
14 authors read and approved the final manuscript.

15 **Ethics approval:** This study was approved by the Research Ethics Committee of Hokkaido University  
16 Hospital (No. 020-0436).

17 **Consent to participate:** As all examinations were performed within the scope of medical care, to obtain  
18 informed consent was waived. The objectives and methods of this study were shared with the public both  
19 through our institution's website and on a physical bulletin board; patients who did not wish to participate  
20 could request that their data be removed from the study.

1 **Consent to publish:** Not applicable

2 **Data Availability Statements:** The data underlying this article will be shared on reasonable request to

3 the corresponding author.

## References

1. Stefanadis C, Dernellis J, Toutouzas P (2001) A clinical appraisal of left atrial function. *Eur Heart J* 22:22-36. <https://doi.org/10.1053/euhj.1999.2581>
2. Thomas L, Marwick TH, Popescu BA, Donal E, Badano LP (2019) Left atrial structure and function, and left ventricular diastolic dysfunction: JACC State-of-the-Art Review. *J Am Coll Cardiol* 73:1961-1977. <https://doi.org/10.1016/j.jacc.2019.01.059>.
3. Yuda S (2021) Current clinical applications of speckle tracking echocardiography for assessment of left atrial function. *J Echocardiogr* 19:129-140. <https://doi.org/10.1007/s12574-021-00519-8>.
4. Marino PN, Degiovanni A, Baduena L, Occhetta E, Dell'Era G, Erdei T, et al (2017) Non-invasively estimated left atrial stiffness is associated with short-term recurrence of atrial fibrillation after electrical cardioversion. *J Cardiol* 69:731-738. <https://doi.org/10.1016/j.jjcc.2016.07.013>
5. Machino-Ohtsuka T, Seo Y, Tada H, Ishizu T, Machino T, Yamasaki H, et al (2011) Left atrial stiffness relates to left ventricular diastolic dysfunction and recurrence after pulmonary vein isolation for atrial fibrillation. *J Cardiovasc Electrophysiol* 22:999-1006. <https://doi.org/10.1111/j.1540-8167.2011.02049.x>
6. Khurram IM, Maqbool F, Berger RD, Marine JE, Spragg DD, Ashikaga H, et al (2016) Association between left atrial stiffness index and atrial fibrillation recurrence in patients undergoing left atrial ablation. *Circ Arrhythm Electrophysiol* 9:e003163. <https://doi.org/10.1161/CIRCEP.115.003163>
7. Bytyçi I, Dini FL, Bajraktari A, Pugliese NR, D'Agostino A, Bajraktari G, et al (2020) Speckle tracking-derived left atrial stiffness predicts clinical outcome in heart failure patients with reduced to mid-range ejection fraction. *J Clin Med* 9:1244. <https://doi.org/10.3390/jcm9051244>
8. Appleton CP (1997) Hemodynamic determinants of Doppler pulmonary venous flow velocity components: new insights from studies in lightly sedated normal dogs. *J Am Coll Cardiol* 30:1562-1574. [https://doi.org/10.1016/s0735-1097\(97\)00354-9](https://doi.org/10.1016/s0735-1097(97)00354-9)
9. Fadel BM, Pibarot P, Kazzi BE, Al-Admawi M, Galzerano D, Alhumaid M, et al (2021) Spectral Doppler interrogation of the pulmonary veins for the diagnosis of cardiac disorders: A

comprehensive review. *J Am Soc Echocardiogr* 34:223-236.

<https://doi.org/10.1016/j.echo.2020.09.012>

10. Davidson CJ, Bonow RO (2015) Cardiac catheterization. In: Zipes DP et al (ed) *Braunwald's Heart Disease*, 10th edn, Elsevier Saunders, Philadelphia, pp 364-391
11. Mitchell C, Rahko PS, Blauwet LA, Canaday B, Finstuen JA, Foster MC, et al (2019) Guidelines for performing a comprehensive transthoracic echocardiographic examination in adults: Recommendations from the American Society of Echocardiography. *J Am Soc Echocardiogr* 32:1-64. <https://doi.org/10.1016/j.echo.2018.06.004>
12. Nagueh SF, Smiseth OA, Appleton CP, Byrd BF 3rd, Dokainish H, Edvardsen T, et al (2016) Recommendations for the evaluation of left ventricular diastolic function by echocardiography: an update from the American Society of Echocardiography and the European Association of Cardiovascular Imaging. *J Am Soc Echocardiogr* 29:277-314. <https://doi.org/10.1016/j.echo.2016.01.011>
13. Mandoli GE, Pastore MC, Benfari G, Bisleri G, Maccherini M, Lisi G, et al (2021) Left atrial strain as a pre-operative prognostic marker for patients with severe mitral regurgitation. *Int J Cardiol* 2021;324:139-145. <https://doi.org/10.1016/j.ijcard.2020.09.009>
14. Galli E, Fournet M, Chabanne C, Lelong B, Leguerrier A, Flecher E, et al (2016) Prognostic value of left atrial reservoir function in patients with severe aortic stenosis: a 2D speckle-tracking echocardiographic study. *Eur Heart J Cardiovasc Imaging* 17:533-541. <https://doi.org/10.1093/ehjci/jev230>
15. Antoni ML, ten Brinke EA, Atary JZ, Marsan NA, Holman ER, Schalij MJ, et al (2011) Left atrial strain is related to adverse events in patients after acute myocardial infarction treated with primary percutaneous coronary intervention. *Heart* 97:1332-1337. <https://doi.org/10.1136/hrt.2011.227678>
16. Freed BH, Daruwalla V, Cheng JY, Aguilar FG, Beussink L, Choi A, et al (2016) Prognostic utility and clinical significance of cardiac mechanics in heart failure with preserved ejection fraction: importance of left atrial strain. *Circ Cardiovasc Imaging* 9:10.1161. <https://doi.org/10.1161/CIRCIMAGING.115.003754>

17. Rossi A, Carluccio E, Cameli M, Inciardi RM, Mandoli GE, D'Agostino A, et al (2021) Left atrial structural and mechanical remodelling in heart failure with reduced ejection fraction. *ESC Heart Fail* 8:4751-4759. <https://doi.org/10.1002/ehf2.13654>
18. Akoum N, Mahnkopf C, Kholmovski EG, Brachmann J, Marrouche NF (2018) Age and sex differences in atrial fibrosis among patients with atrial fibrillation. *Europace* 20:1086-1092. <https://doi.org/10.1093/europace/eux260>
19. Lau DH, Mackenzie L, Kelly DJ, Psaltis PJ, Brooks AG, Worthington M, et al (2010) Hypertension and atrial fibrillation: evidence of progressive atrial remodeling with electrostructural correlate in a conscious chronically instrumented ovine model. *Heart Rhythm* 7:1282-1290. <https://doi.org/10.1016/j.hrthm.2010.05.010>
20. Bisbal F, Baranchuk A, Braunwald E, Bayés de Luna A, Bayés-Genís A (2020) Atrial failure as a clinical entity: JACC Review Topic of the Week. *J Am Coll Cardiol* 75:222-232. <https://doi.org/10.1016/j.jacc.2019.11.013>
21. Cameli M, Lisi M, Righini FM, Massoni A, Natali BM, Focardi M, et al (2013) Usefulness of atrial deformation analysis to predict left atrial fibrosis and endocardial thickness in patients undergoing mitral valve operations for severe mitral regurgitation secondary to mitral valve prolapse. *Am J Cardiol* 111:595-601. <https://doi.org/10.1016/j.amjcard.2012.10.049>
22. Ohtani K, Yutani C, Nagata S, Koretsune Y, Hori M, Kamada T (1995) High prevalence of atrial fibrosis in patients with dilated cardiomyopathy. *J Am Coll Cardiol* 25:1162-1169. <https://doi.org/10.1016/j.amjcard.2012.10.049>
23. Kistler PM, Sanders P, Dodic M, Spence SJ, Samuel CS, Zhao C, et al (2006) Atrial electrical and structural abnormalities in an ovine model of chronic blood pressure elevation after prenatal corticosteroid exposure: implications for development of atrial fibrillation. *Eur Heart J* 27:3045-3056. <https://doi.org/10.1093/eurheartj/ehl360>
24. Pilichowska-Paszkiel E, Baran J, Sygitowicz G, Sikorska A, Stec S, Kułakowski P, et al (2018) Noninvasive assessment of left atrial fibrosis. Correlation between echocardiography, biomarkers, and electroanatomical mapping. *Echocardiography* 35:1326-1334.

<https://doi.org/10.1111/echo.14043>

25. Kurt M, Wang J, Torre-Amione G, Nagueh SF (2009) Left atrial function in diastolic heart failure. *Circ Cardiovasc Imaging* 2:10-15. <https://doi.org/10.1161/CIRCIMAGING.108.813071>
26. Porpáczy A, Nógrádi Á, Vértes V, Tőkés-Füzesi M, Czirják L, Komócsi A, et al (2019) Left atrial stiffness is superior to volume and strain parameters in predicting elevated NT-proBNP levels in systemic sclerosis patients. *Int J Cardiovasc Imaging* 35:1795-1802. <https://doi.org/10.1007/s10554-019-01621-w>
27. Itakura K, Utsunomiya H, Takemoto H, Takahari K, Ueda Y, Izumi K, et al (2021) Prevalence, distribution, and determinants of pulmonary venous systolic flow reversal in severe mitral regurgitation. *Eur Heart J Cardiovasc Imaging* 22:964-973. <https://doi.org/10.1093/ehjci/jeab098>
28. Russo C, Hahn RT, Jin Z, Homma S, Sacco RL, Di Tullio MR (2010) Comparison of echocardiographic single-plane versus biplane method in the assessment of left atrial volume and validation by real time three-dimensional echocardiography. *J Am Soc Echocardiogr* 23:954-60. <https://doi.org/10.1016/j.echo.2010.06.010>

**Table 1. Patient characteristics**

	<b>All patients (n=222)</b>	<b><math>\Delta P/\Delta V_{MOD} \leq 0.25</math> (n=111)</b>	<b><math>\Delta P/\Delta V_{MOD} &gt; 0.25</math> (n=111)</b>	<b>p-value</b>
Age, yrs	61.7±15.9	61.5±15.5	62.0±16.3	0.82
Female, n (%)	89 (40%)	43 (39%)	47 (42%)	0.50
Body surface area, m <sup>2</sup>	1.63±0.20	1.65±0.21	1.60±0.18	0.09
Systolic blood pressure, mmHg	117±21	118±18	117±23	0.93
Heart rate, bpm	66±12	66±12	67±12	0.39
<b>Medications, n (%)</b>				
ACE inhibitor or ARB	122 (55%)	63 (57%)	59 (53%)	0.59
Beta-blocker	102 (46%)	54 (49%)	48 (43%)	0.42
Diuretics	98 (44%)	45 (41%)	53 (48%)	0.28
Mineralocorticoid antagonist	61 (27%)	30 (27%)	31 (28%)	0.88
<b>Laboratories</b>				
Hemoglobin, g/dL	12.7±2.0	12.9±2.0	12.6±2.2	0.32
Albumin, g/dL	3.9±0.5	4.0±0.5	3.9±0.6	0.49
Cholinesterase, g/dL	270 (222-327)	282 (235-328)	263 (208-315)	0.07
Creatinine, mg/L	0.84 (0.70-1.06)	0.84 (0.71-1.01)	0.85 (0.70-1.16)	0.60
BNP, pg/mL	122 (43-328)	88 (42-243)	160 (43-441)	0.013
<b>Echocardiographic parameters</b>				
LV diastolic dimension, mm	54±11	54±10	54±11	0.995
LV mass index, g/m <sup>2</sup>	118±38	119±36	118±41	0.91
LV ejection fraction, %	49±17	49±17	47±17	0.33
LA volume index, mL/m <sup>2</sup>	47±20	45±20	48±20	0.29
$\Delta V_{MOD}$ , mL	17.0±8.8	18.9±8.6	15.1±8.6	0.001
MR $\geq$ moderate, n (%)	44 (20%)	27 (24%)	17 (15%)	0.09
E/e'	12.4±5.7	11.3±4.4	13.5±6.7	0.006
S, cm/s	53.1±19.3	53.9±18.4	52.3±20.2	0.56
D, cm/s	50.9±18.0	46.8±14.5	55.0±20.2	0.001
R, cm/s	21.7±8.9	23.5±8.8	19.9±8.6	0.002
S/D	1.2±0.5	1.2±0.5	1.1±0.6	0.11
S/R	2.7±1.3	2.5±1.0	2.9±1.5	0.013
D/R	2.8±1.8	2.2±1.1	3.4±2.1	<0.001
LA-GLS, %	18.8±7.9	19.9±7.4	17.8±8.2	0.042
LA-LS <sub>PR</sub> , %	10.1±5.4	10.4±5.2	9.8±5.7	0.40
$\Delta V_{STE}$ , mL	20.5±10.6	21.6±10.5	19.4±10.8	0.14
[E/e']/LA-GLS, /%	0.87±0.82	0.67±0.42	1.08±1.06	<0.001



**Hemodynamic parameters**

PAWP, mmHg	11.9±6.8	9.2±4.4	14.6±7.6	<0.001
x-descent, mmHg	9.6±6.4	7.9±4.3	11.8±7.5	<0.001
v-wave, mmHg	14.6±9.6	10.3±4.9	18.9±11.2	<0.001
y-descent, mmHg	9.9±5.6	7.8±4.3	11.9±6.0	<0.001
ΔP, mmHg	4.8±4.4	2.4±1.3	7.2±5.1	<0.001

**LA stiffness parameters**

ΔP/ΔV <sub>MOD</sub> , mmHg/mL	0.33±0.29	0.14±0.06	0.52±0.30	<0.001
--------------------------------	-----------	-----------	-----------	--------

BNP, brain natriuretic peptide; LV, left ventricular; LA, left atrial; ΔV<sub>MOD</sub>, LA volume change during late-reservoir phase by biplane method of disks; MR, mitral regurgitation; E/e', ratio of the early-diastolic transmitral flow velocity to early-diastolic mitral annular velocity; S, peak systolic pulmonary venous flow velocity; D, peak diastolic pulmonary venous flow; R, minimum velocity between the systolic and diastolic pulmonary venous flow. LA-GLS, LA global longitudinal strain; LA-LS<sub>PR</sub>, LA longitudinal strain during the passive reservoir period; ΔV<sub>STE</sub>, LA volume change during late reservoir phase by two-dimensional speckle tracking, PAWP: mean pulmonary artery wedge pressure; ΔP, pressure change between x-descent and v-wave.

**Table 2. The relationships of PV flow parameters with  $\Delta P$  and v-wave**

parameter	vs $\Delta P$		vs v-wave	
	r	p	r	p
<b>S/D</b>	-0.31	<0.001	-0.47	<0.001
<b>S/R</b>	0.14	0.032	-0.04	0.60
<b>D/R</b>	0.62	<0.001	0.63	<0.001

After applying Bonferroni correction,  $p < 0.017$  was considered significant.

**Table 3. Univariate Cox regression analysis results**

Variables	$\chi^2$	Hazard ratio (95%CI)	p-value
Age, per 1 year	0.98	1.01 (0.99-1.03)	0.32
Female	0.76	0.77 (0.43-1.39)	0.38
Body surface area, per 1 year	0.16	1.34 (0.33-5.50)	0.69
Systolic blood pressure, per 1 mmHg	3.30	0.99 (0.97-1.00)	0.07
Heart rate, per 1 bpm	0.47	0.99 (0.97-1.02)	0.49
LV diastolic dimension, per 1 mm	1.74	1.02 (0.99-1.04)	0.19
LV mass index, per 1 g/m <sup>2</sup>	0.15	1.00 (0.99-1.01)	0.70
LV ejection fraction, per 1%	6.37	0.98 (0.96-1.00)	0.012
LA volume index, per 1 mL/m <sup>2</sup>	25.5	1.03 (1.02-1.04)	<0.001
E/e', per unit	21.4	1.10 (1.06-1.15)	<0.001
S/D, per unit	18.3	0.23 (0.12-0.46)	<0.001
S/R, per unit	0.57	0.91 (0.72-1.16)	0.45
D/R, per unit	22.9	1.38 (1.21-1.57)	<0.001
MR $\geq$ moderate	5.32	2.08 (1.12-3.89)	0.021
LA-GLS, per 1%	28.0	0.87 (0.82-0.91)	<0.001
LA-LS <sub>PR</sub> , per 1%	8.01	0.90 (0.84-0.97)	0.005
$\Delta V_{MOD}$ , per 1 mL	0.36	1.01 (0.98-1.05)	0.55
$\Delta V_{STE}$ , per 1 mL	1.32	1.02 (0.99-1.04)	0.25
PAWP, per 1 mmHg	36.3	1.11 (1.07-1.15)	<0.001
v-wave, per 1 mmHg	42.4	1.07 (1.05-1.10)	<0.001
$\Delta P$ , per 1 mmHg	33.4	1.12 (1.09-1.18)	<0.001
$\Delta P/\Delta V_{MOD}$ , per 1 mmHg/mL	19.9	3.80 (2.11-6.83)	<0.001
[E/e']/LA-GLS, per 1 /%	52.7	2.40 (1.90-3.05)	<0.001
[D/R]/ $\Delta V_{STE}$ , per 1 /mL	8.21	8.20 (1.95-34.6)	0.004

CI, confidence interval. Other abbreviations are the same as in **Table 1**.

**Table 4. Multivariate Cox regression analysis results**

Variables	Model 1			Model 2			Model 3		
	$\chi^2$	Hazard ratio (95%CI)	p-value	$\chi^2$	Hazard ratio (95%CI)	p-value	$\chi^2$	Hazard ratio (95%CI)	p-value
Age, per 1 year	2.09	1.02 (1.00-1.04)	0.15	0.04	1.00 (0.98-1.02)	0.84	1.53	1.01 (0.99-1.03)	0.22
LA volume index, per 1 mL/m <sup>2</sup>	18.3	1.03 (1.02-1.04)	<0.001	8.43	1.02 (1.01-1.04)	0.004	21.7	1.03 (1.02-1.05)	<0.001
LV ejection fraction, per 1%	1.98	0.99 (0.97-1.01)	0.16	0.02	1.00 (0.98-1.02)	0.89	1.14	0.99 (0.97-1.01)	0.29
$\Delta P/\Delta V_{MOD}$ , per 1 mmHg/mL	17.1	3.55 (1.95-6.48)	<0.001						
[E/e']/LA-GLS, per 1/%				26.6	2.15 (1.61-2.88)	<0.001			
[D/R]/ $\Delta V_{STE}$ , per 1/mL							9.19	9.83 (2.25-43.0)	0.002

CI, confidence interval. Other abbreviations are the same as in **Table 1**.

## **Figure legends**

### **Figure 1. Inclusion and exclusion criteria for the study subjects**

### **Figure 2. Measurements of left atrial pressure change during reservoir phase**

From the waveform of the pulmonary artery wedge pressure, the nadir of the x-descent and the peak v-wave were measured and the difference between them ( $\Delta P$ ) was calculated.

### **Figure 3. Measurements of pulmonary venous (PV) flow**

From the PV flow waveform, the peak systolic velocity (S), the peak diastolic velocity (D), and the minimum velocity between them (R) were measured, and the S/D, S/R, and D/R were calculated.

### **Figure 4. Two-dimensional speckle tracking echocardiography**

From the time-LA global longitudinal strain curve, the LA passive reservoir strain (LA-LS<sub>PR</sub>) and LA global longitudinal strain (LA-GLS) were measured. From the time-LA volume curve, the difference between the LA maximum volume and LA volume just before atrial contraction ( $\Delta V_{STE}$ ) was calculated.

### **Figure 5. Relationships between echocardiographic parameters and $\Delta P$**

### **Figure 6. Receiver operating characteristic curve for detecting increased v-wave**

### **Figure 7. Relationships between non-invasive LA stiffness parameters and $\Delta P/\Delta V_{MOD}$**

### **Figure 8. Kaplan-Meier curve for the cardiac event-free probability in patients stratified by $\Delta P/\Delta V_{MOD}$ (A) and $[D/R]/\Delta V_{STE}$ (B)**

Figure 1

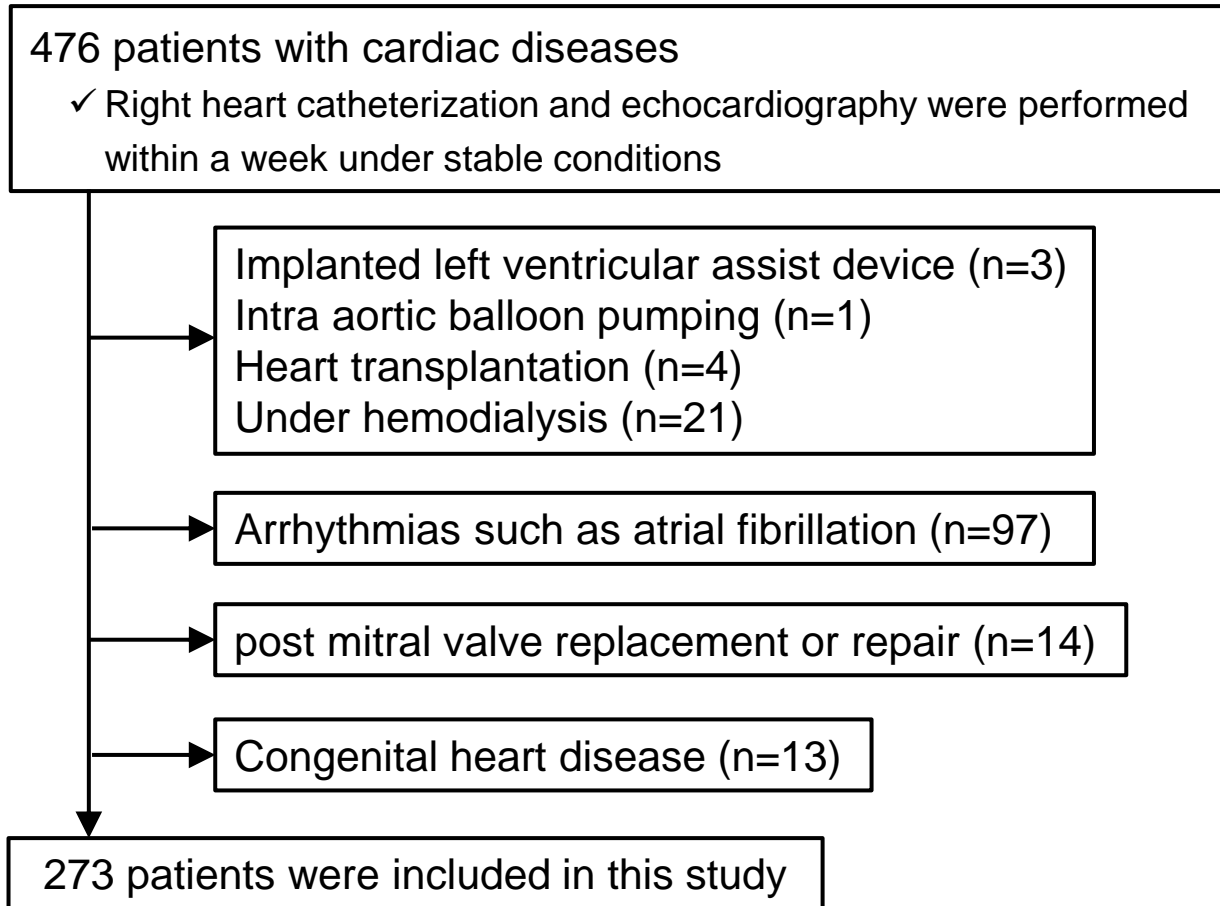


Figure 2

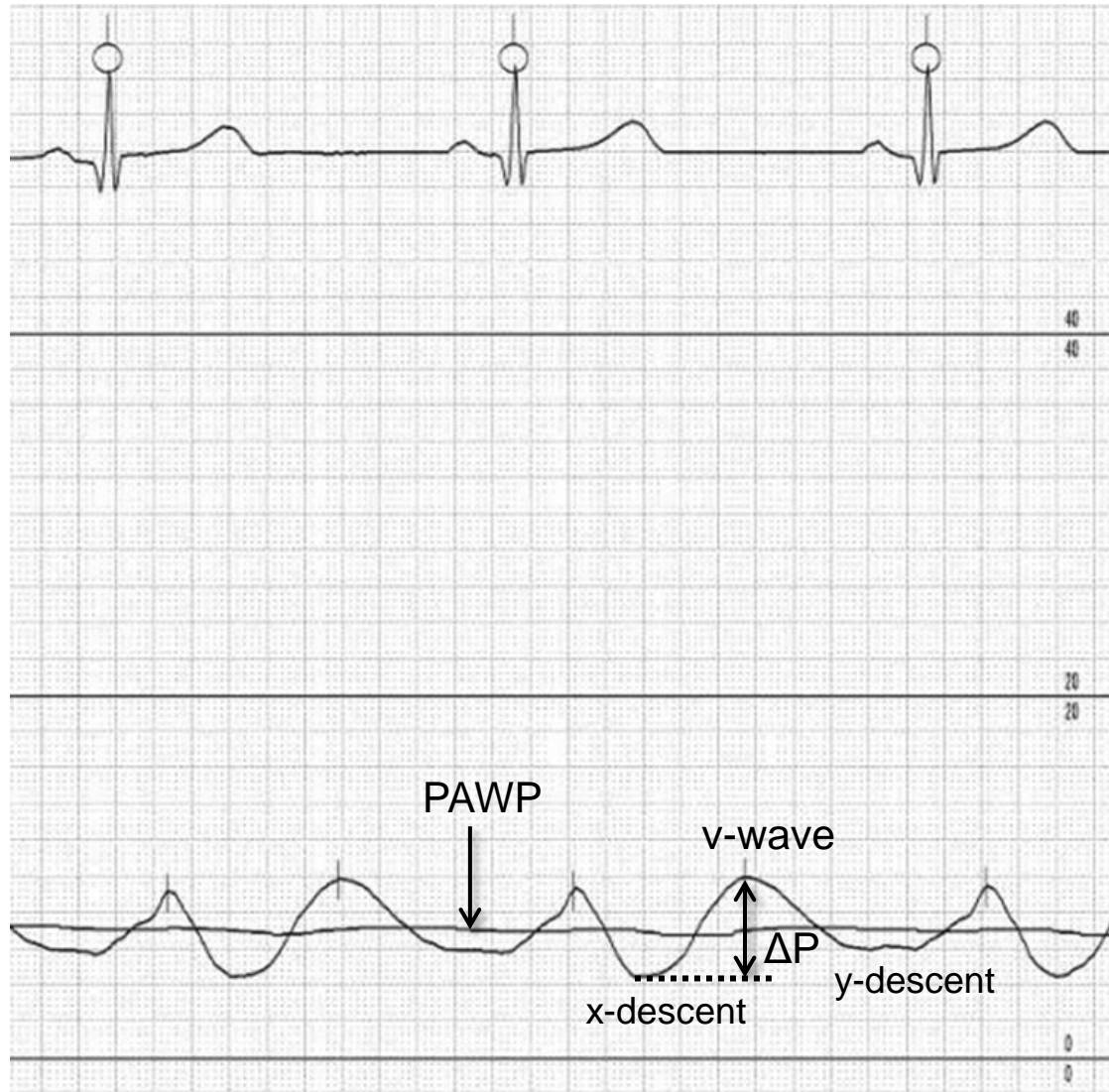


Figure 3

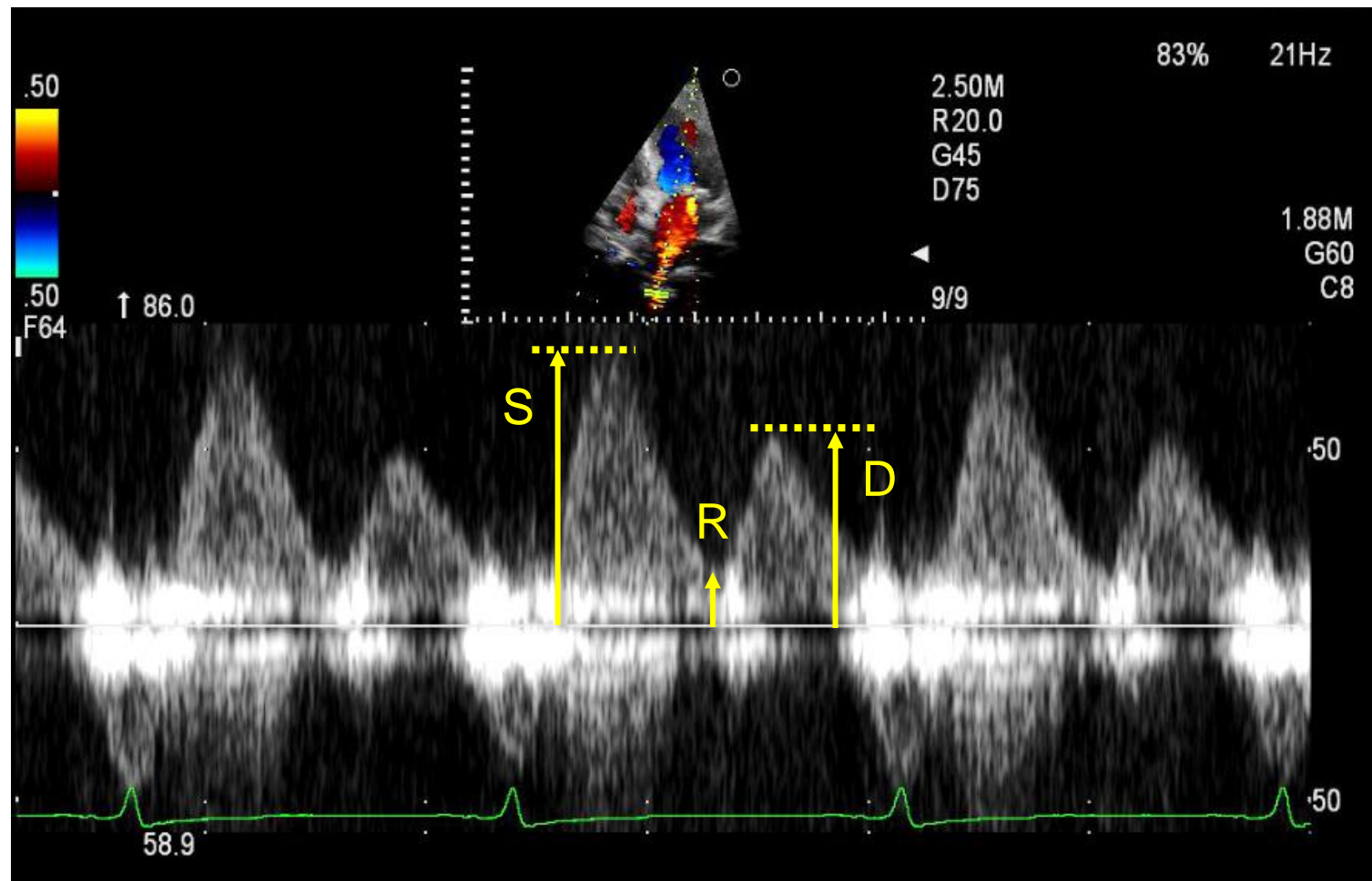




Figure 4

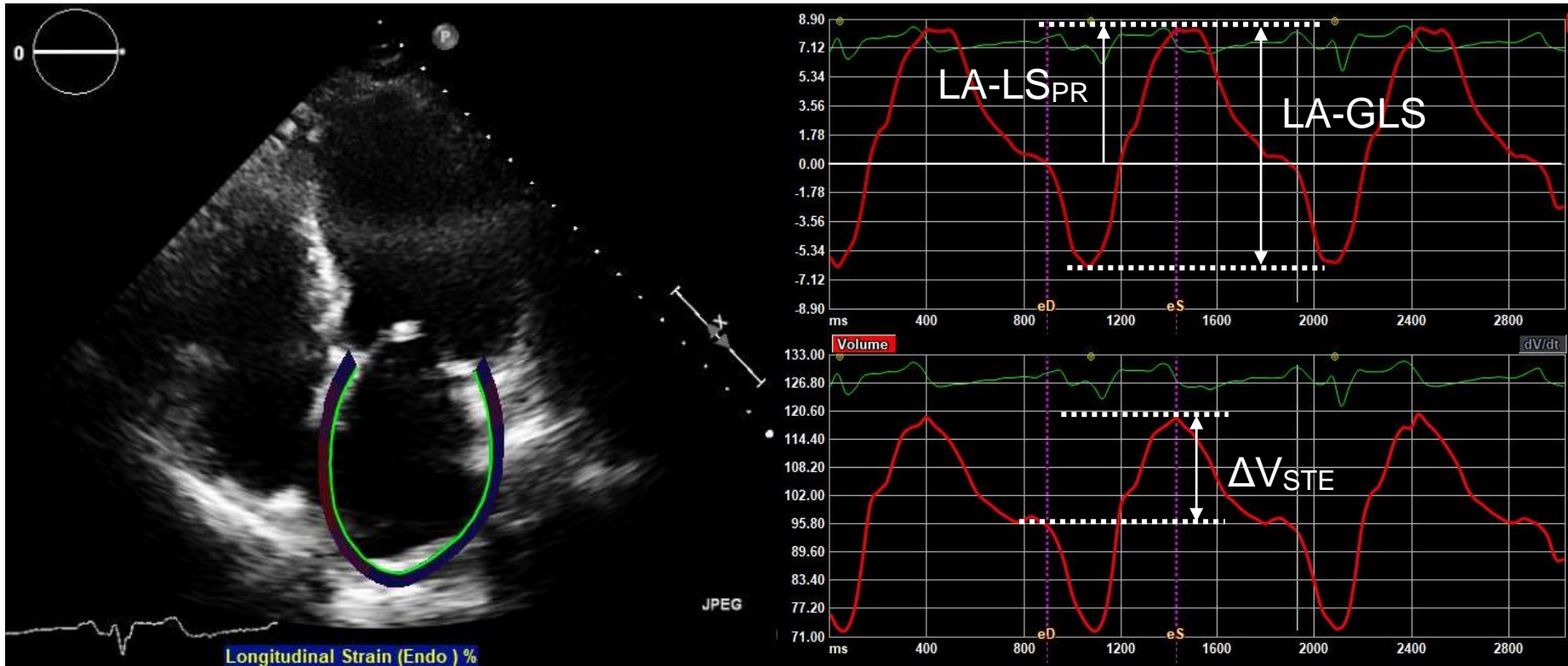


Figure 5

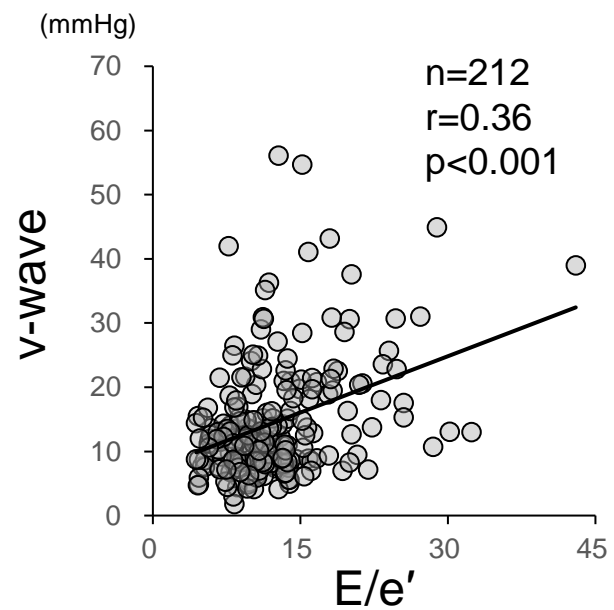
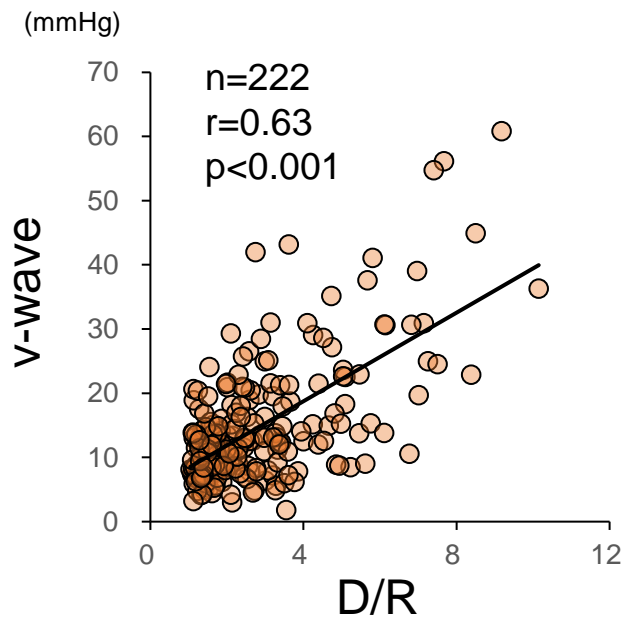
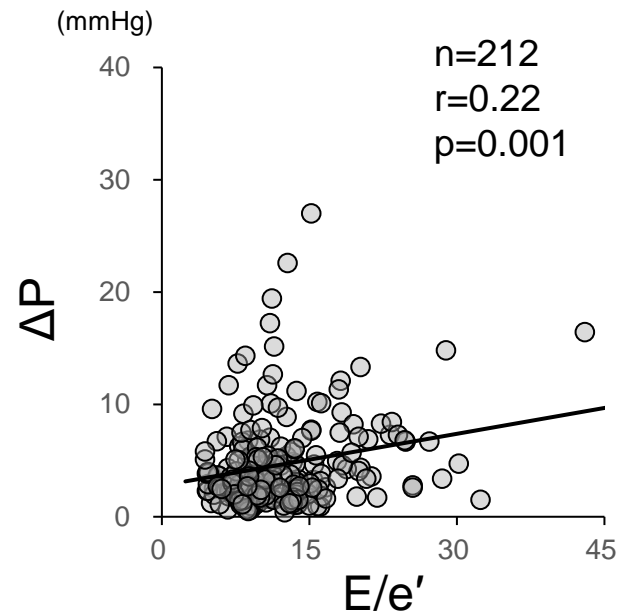
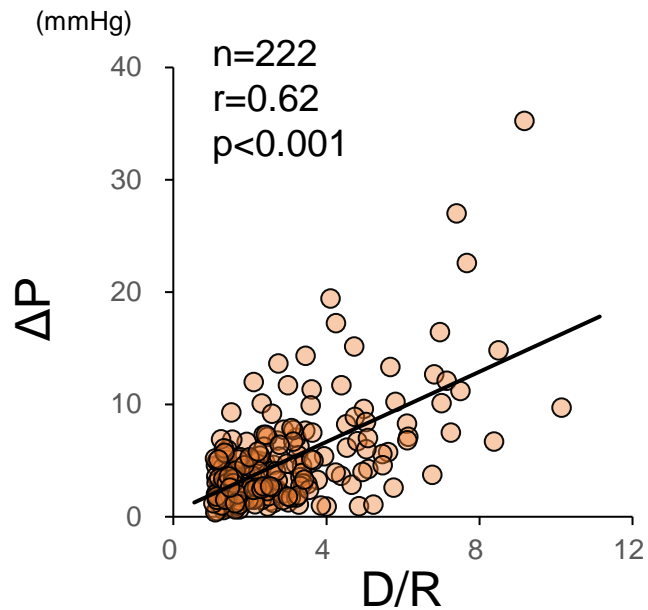


Figure 6

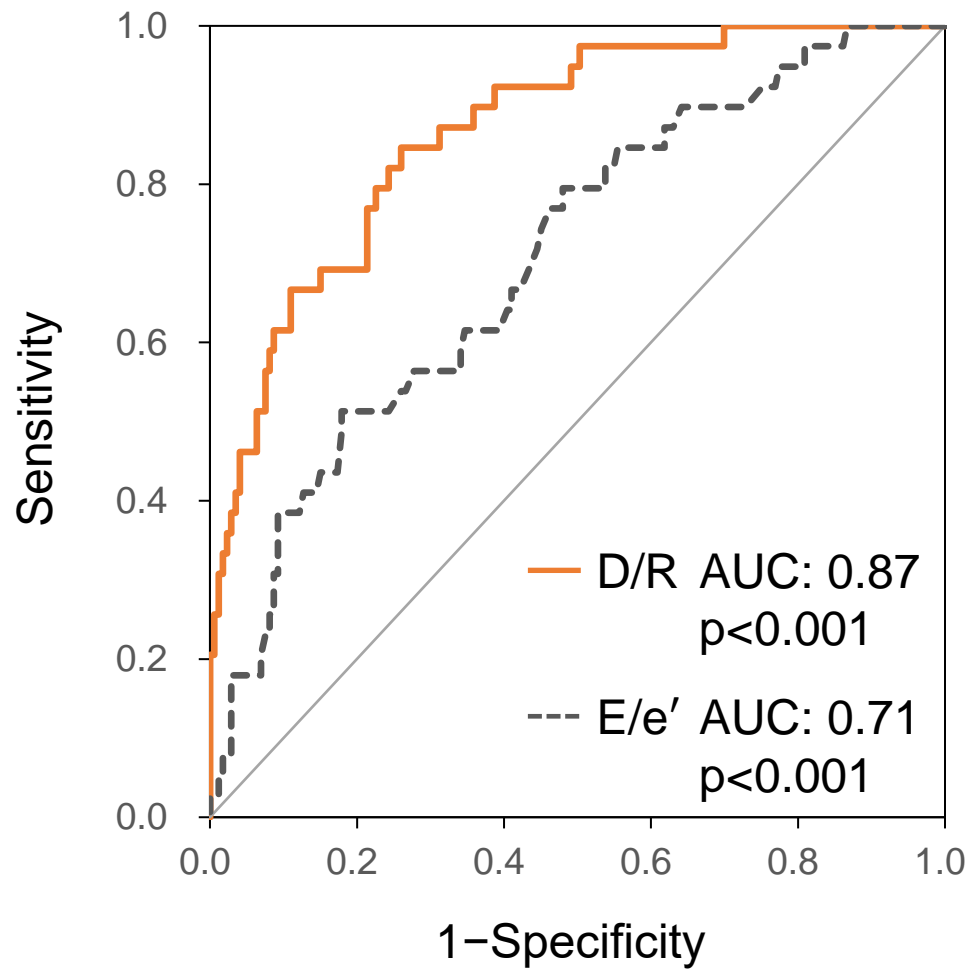


Figure 7

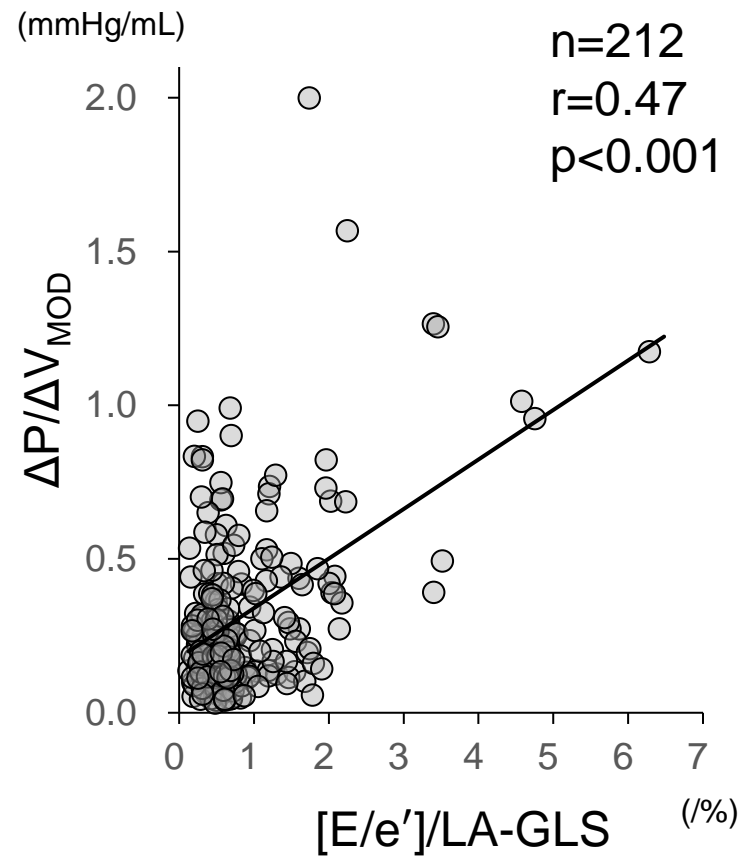
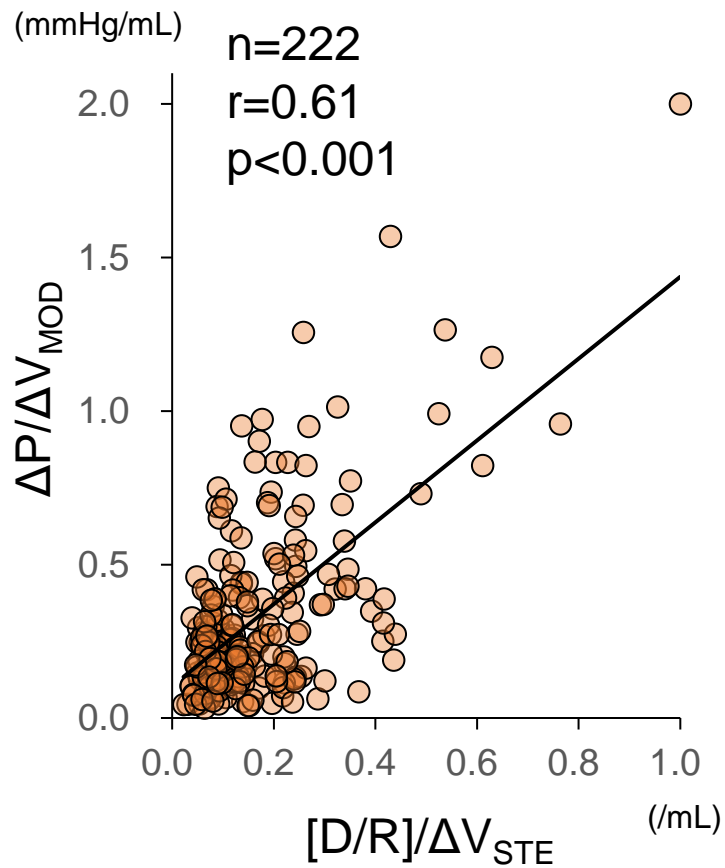
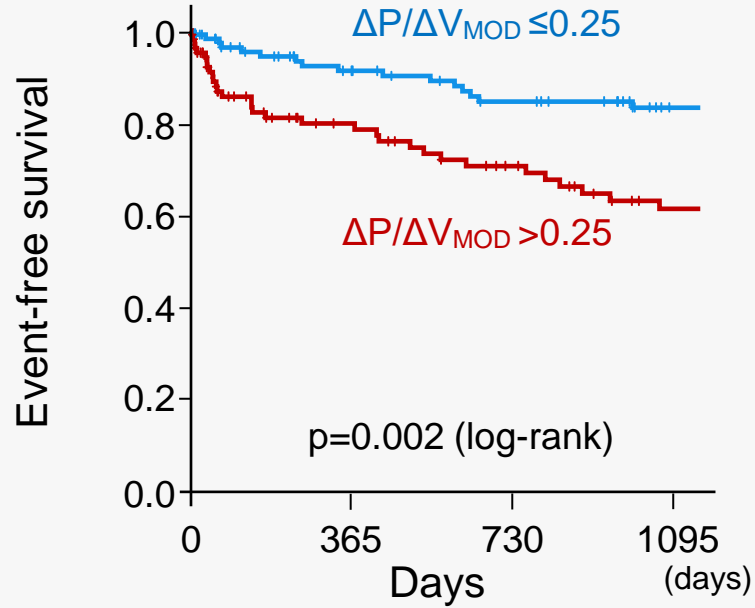


Figure 8

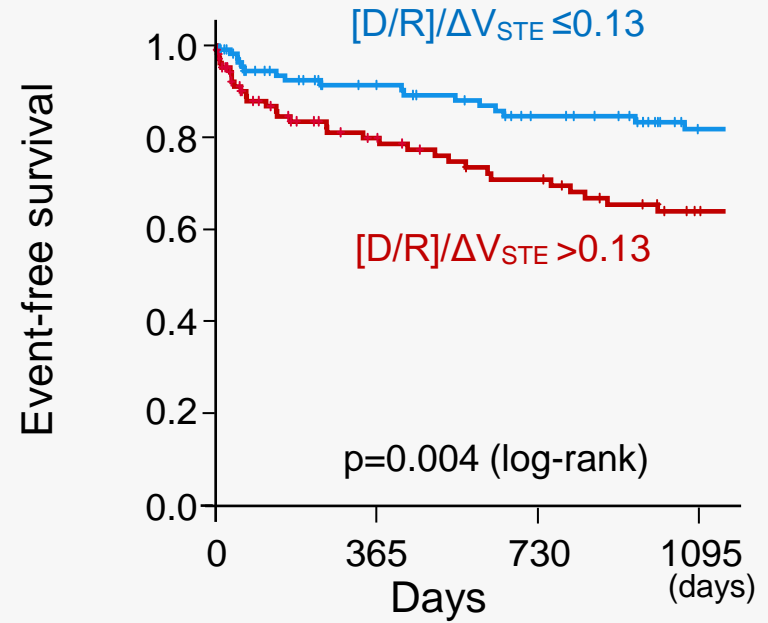
A



Number at risk

$\Delta P/\Delta V_{MOD} \leq 0.25$	111	84	73	56
$\Delta P/\Delta V_{MOD} > 0.25$	111	65	51	38

B



Number at risk

$[D/R]/\Delta V_{STE} \leq 0.13$	111	82	68	53
$[D/R]/\Delta V_{STE} > 0.13$	111	67	56	41