



Title	Infants' anticipatory eye movements: feature-based attention guides infants' visual attention
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1 **Infants' anticipatory eye movements: Feature-based attention guides infants' visual**  
2 **attention.**

3

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14 **Data availability**

15 The data that support the findings of this study are available from the corresponding  
16 author, S. T., upon request.

17 **Ethical approval**

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18 This study was approved by the ethical committee of Chuo University.

19 **Competing interests**

20 The authors declare no competing interests.

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30

31 **Infants' anticipatory eye movements: Feature-based attention guides infants'**  
32 **visual attention.**

33

34 **Abstract**

35 When looking for an object, we identify it by selectively focusing our attention to a  
36 specific feature, known as feature-based attention. This basic attentional system has been  
37 reported in young children; however, little is known of whether infants could use feature-  
38 based attention. We have introduced a newly developed anticipation-looking task, where  
39 infants learned to direct their attention endogenously to a specific feature based on the  
40 learned feature (color or orientation), in 60 preverbal infants aged 7 to 8 months. We  
41 found that preverbal infants aged 7 to 8 months can direct their attention endogenously to  
42 the specific target feature among irrelevant features, thus showing the feature-based  
43 attentional selection. Experiment 2 bolstered this finding by demonstrating that infants  
44 directed their attention depending on the familiarized feature that belongs to a never-  
45 experienced object. These results that infants can form anticipation by color and  
46 orientation reflect they could drive their attention through feature-based selection.

47 **Keywords**

48 Feature-based attention, Infant, Anticipation, Top-down, Endogenous attention

49

50

## Introduction

51 Feature-based attention refers to the function of enhancing the representation of  
52 image components related to a particular feature regardless of spatial locations (Liu,  
53 2019; Liu & Mance, 2011; Maunsell & Treue, 2006). This cognitive ability formed by  
54 the learning and experience is useful during visual searches to look for a target in a  
55 cluttered environment to achieve a particular behavioral goal (Zhang & Luck, 2009). In  
56 this literature, a feature that guides attention endogenously must be a preattentive  
57 feature such as color, orientation, or motion (Wolfe & Utochkin, 2019). Researchers  
58 have studied this type of attentional function as well as others, such as spatial and  
59 object-based attention in adults. However, it remains unclear when this important  
60 attentional system, feature-based attention, is acquired during the cognitive  
61 development of infants.

62 Development of spatial and object-based attention has been widely investigated  
63 in infants and children (Bulf & Valenza, 2013; Frick, Colombo & Saxon, 1999; Ross-  
64 Sheehy, Schneegans & Spencer, 2015; Sun et al., 2018). However, understanding the  
65 development of feature-based attention is limited because researchers could not instruct  
66 younger infants to direct attention to a specific feature among distractors whose features  
67 are equally salient for infants. The study of feature-based attention using visual search

68 task in toddlers revealed that the performance of the task in 18 month-olds showed the  
69 same trend as adults in terms of efficacy (Gerhardstein & Rovee-Collier, 2002). The  
70 time to detect a target did not vary in the presence of multiple distractors in feature  
71 searches (searching for a unique feature among the homogeneous array of distractors).  
72 Instead, it increased as the number of distractors increased in conjunction searches  
73 (searching for a unique feature among the distractors defined by conjunctions of two  
74 different features). Younger infants aged 3 months can search for a unique feature  
75 among the homogeneous array of distractors, suggesting that infants' attention  
76 automatically shift to salient stimuli in a feature dimension (Adler & Gallego, 2014;  
77 Adler & Orprecio, 2006; Bertin & Bhatt, 2001; Coldren & Haaf, 2000; Goldknopf et al.,  
78 2019). However, little is known about whether infants can direct endogenous attention  
79 to a specific feature among multiple features. Therefore, we investigated feature-based  
80 selection in preverbal infants using a newly developed anticipation-looking task. This  
81 task enabled us to study the feature-based attention in early infants without any verbal  
82 instructions.

83           A key characteristic of this task is that top-down modulation induces infants to  
84 direct their visual attention toward a specific feature value rather than a highly salient  
85 aspect of stimuli that captures bottom-up attention. This task can highlight that infants'

86 orienting responses depended on top-down experiences because two alternative stimuli  
87 were equivalent in terms of low-level visual saliency. This contrasts sharply against  
88 previous infant studies that resort to a bottom-up shift of attention by introducing  
89 enhanced differences of visual saliencies between target stimuli and non-target  
90 distractor items. For example, infants aged 3 months can correctly detect a moving  
91 object among static objects (Nagata & Dannemiller, 1996). Also, they can quickly locate  
92 a unique item in a specific visual domain among other uniform background items (e.g.,  
93 a target “+” among non-target “L’s”) (Adler & Orprecio, 2006; Goldknopf et al., 2019).

94           Endogenous attentional selection relying on feature-based attention requires  
95 intentional and goal-driven mechanisms so that infants voluntarily choose a specific  
96 feature (that differs from other features) through familiarization. This contrasts sharply  
97 against exogenous attentional orienting that relies on stimulus saliencies can be  
98 achieved by relatively primitive neural circuits (Richards, Reynolds & Courage, 2010).  
99 Recent studies reveal that top-down modulation from the frontal area to occipital area  
100 exists even in 6-month-olds (Emberson, Richards, & Aslin, 2015), and specific neural  
101 responses reflecting early attentional amplification for expected events are observed in  
102 12-month-old infants (Kouider et al., 2015), by the extensive familiarization of  
103 expectation. Based on these studies demonstrating that the top-down signals induced by

104 the familiarization modulate the sensory processing, it is reasonable to assume that  
105 infants' visual attention shifts to a specific feature by familiarization that enables them  
106 to allocate their attention to the critical feature.

107           To achieve this type of feature-based selection, we used an anticipation-looking  
108 method (e.g. Kaldy, Guillory & Blaser, 2016) and induced infants to direct their  
109 endogenous attention to one of the features (color or orientation). Specifically, we  
110 showed 7- to 8- month-old infants a short animation in which a yellow object ("Pac-  
111 man") ate one of two rectangles based on a specific feature (e.g., red color for one group  
112 of infants or vertical orientation for a different group of participants), so that the infants  
113 could learn the idea: either that Pac-man eats red things or that he eats things of a  
114 certain orientation. This familiarization phase plays a vital role in inducing infants to  
115 direct their attention to a specific feature among the two rectangles whose low-level  
116 features are equivalent. Once this familiarization being established, we presented infants  
117 with two rectangles without the movement of Pac-man and tested whether infants  
118 anticipated which rectangle was expected to be eaten by Pac-man.

119           We decided to include the infants aged 7 to 8 months because infants of this  
120 age have already shown the basic perceptual and attentional systems relevant to object  
121 recognition (Bulf & Valenza, 2013; Yang et al., 2015). Further, infants aged within one





140           Forty 7–to 8-month-old infants participated in this experiment and were  
141 randomly assigned to one of the two experimental groups (color condition: 9 boys and  
142 11 girls, mean age = 230.0 days,  $SD = 15.28$ ; orientation condition: 5 boys and 15 girls,  
143 mean age = 232.4 days,  $SD = 16.73$ ). An additional twenty-seven infants were excluded  
144 from the final analysis because the experiment was interrupted during the calibration  
145 phase ( $n = 10$ ) or in the middle of the experiment ( $n = 12$ ) due to no eye-tracking  
146 recorded, or a side bias looking at only one side of the monitor during the test phase ( $n$   
147 = 5). The sample size was estimated in accordance with the guide provided by Oakes  
148 (2017) to reach a power of 0.8 with an effect size of 0.65 in the experiment. All infants  
149 were full-term at birth and healthy at the time of the experiment. The infants for the  
150 study were recruited through local newspaper flyers. The present study was approved by  
151 the ethical committee of Chuo University. Written informed consent was obtained from  
152 the parents of the infants participating in the experiment prior to testing.

### 153 *Apparatus*

154           All stimuli were presented on an LCD monitor with a refresh rate of 60 Hz and  
155 a resolution of  $1920 \times 1080$  pixels. Two loudspeakers were placed on both sides of the  
156 monitor. The infant and the parent were placed inside an enclosure made of a black cloth.  
157 The infant sat on his or her parent's lap approximately 60 cm away from the monitor. A

158 CCD camera below the monitor was used to record the infants' behavior digitally,  
159 allowing the experimenter to observe the infants' behavior during the experiment. Infants'  
160 eye movements were recorded with a Tobii Pro Spectrum (Tobii Technology, Stockholm,  
161 Sweden) below the screen. The eye tracker binocularly recorded x-y coordinates of  
162 current fixation with a sampling rate of 120Hz. We analyzed the recorded data which  
163 contained x-y coordinates obtained from both eyes.

#### 164 *Materials and Procedure*

165 A yellow circle-like "Pac-man" ( $4.78^\circ \times 4.78^\circ$ ) was presented in the center of  
166 the screen, and two of different rectangles ( $1.91^\circ \times 4.78^\circ$ ) were presented on the right  
167 and left of the center ( $6.68^\circ$ ). A V-shaped notch in the upper part of the Pac-man waxed  
168 and waned repeatedly, accompanied by a brief sound, to represent the opening and  
169 closing of the mouth. Pac-man's movement was used as a fixation marker. Two  
170 rectangles were presented in every trial, one on the left and the other on the right of the  
171 Pac-man. The pair differed depending on the two experimental conditions (color and  
172 orientation). Under the color condition, two rectangles, one red and one blue, were  
173 presented on the right and left, one each. The orientation (horizontal and vertical) of  
174 each rectangle was assigned randomly. The features' pairs were as follows: blue and red  
175 horizontals, blue and red verticals, blue vertical and red horizontal, and blue horizontal

176 and red vertical. Under the orientation condition, the vertical and horizontal rectangles  
177 were presented on the right and left. The colors (red and blue) of the two rectangles  
178 were assigned randomly. The features' pairs were as follows: blue vertical and red  
179 horizontal, blue horizontal and red vertical, blue vertical and blue horizontal, and red  
180 vertical and horizontal. There was a total of eight trials ( $2 \text{ colors} \times 2 \text{ orientations} \times 2$   
181 positions) in each condition. The experimental condition (color or orientation) was the  
182 between-participant factor, and the feature assigned to each infant was the within-  
183 participant factor.

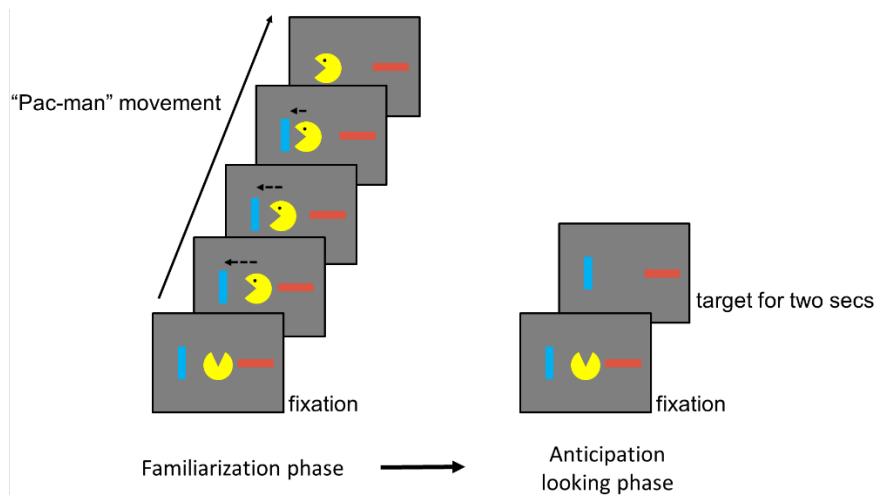
184         We adapted an anticipation-looking task (Figure.1). The task consisted of two  
185 phases, the familiarization phase and the anticipation looking phase. In the  
186 familiarization phase, the Pac-man and the two rectangles appeared simultaneously.  
187 After infants' fixation on the Pac-man whose mouth opened and closed repeatedly, the  
188 V-shaped part of Pac-man rotated 90 degrees to the left or right so that it faced a  
189 rectangle. Then, the Pac-man crawled to eat one of the two rectangles, while the mouth  
190 opened and shut continuously. A trial ended when the rectangle was eaten by Pac-man.  
191 Pac-man consistently ate rectangles of the same color under the color condition and  
192 those of the same orientation under the orientation condition. The color or orientation of  
193 the target to be learned was counterbalanced across infants. The other rectangle (the

194 non-target rectangle) was never eaten by Pac-man throughout the familiarization phase.

195           The familiarization phase was directly followed by the anticipation looking  
196 phase in which the Pac-man and a pair of two different rectangles were presented. But  
197 Pac-man disappeared as soon as the infants fixated on Pac-man. The two rectangles  
198 were left visible on the screen for two seconds after this. Infants received eight trials in  
199 the anticipation looking phase enabling us to monitor whether they showed anticipatory  
200 eye movements towards the colored or oriented rectangles. The eight trials in the  
201 anticipation looking phase were similar to those in the familiarization phase except that  
202 the Pac-man disappeared as soon as infants maintain fixation in the screen's center.

203           Infants' eye movements were recorded throughout the experiment. The eye  
204 tracker was calibrated using the Tobii built-in calibration function for infants before the  
205 commencement of the experimental trials. During the calibration, the fixation marker  
206 moved around the screen between five points (top left, top right, bottom left, bottom  
207 right, and center) in a random order. The calibration finished when infants successfully  
208 fixated on these five positions. The calibration was suspended when infants become  
209 fussy and cried due to the repetition of the calibration exercise. After the calibration, the  
210 familiarization phase started followed by the anticipation looking phase. In each trial,  
211 Pac-man automatically started moving as soon as the infants fixated on it for two

212 seconds. When Pac-man reached the target rectangle, the rectangle disappeared with a  
 213 popping sound. During the anticipation looking phase, Pac-man disappeared after  
 214 infants fixated on it and the two rectangles remained on screen for two seconds.



215

216 *Figure 1.* Illustration of the experimental procedure.

217 *Note.* In the familiarization phase, Pac-man was presented in the center flanked by two  
 218 rectangles during the fixation period. Once infants fixated on the Pac-man in the center,  
 219 the Pac-man crawled either to the right or to the left. Under the color condition, the Pac-  
 220 man crawled toward the color-defined rectangle while ignoring its orientation. Under the  
 221 orientation condition, the Pac-man crawled toward the orientation-defined rectangle  
 222 while ignoring its color. Feature binding between orientation and color is not required in  
 223 the current task (Treisman & Gelade, 1980). The familiarization phase consisted of eight  
 224 trials followed by the anticipation looking phase of eight trials.

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## Results

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Before the primary analysis, we counted the number of trials (valid trials) in

227

which infants continued to observe the stimuli until the end of the trial in the

228 familiarization and test phases. The trials in which infants looked away from the  
229 monitor once were defined as invalid trials. Thus, we removed the trials in which infants  
230 looked at the stimuli only in the first, middle and/or last few tenths of a second as  
231 invalid trials. This yielded the following remaining valid trials on average per condition:  
232 5.7 trials in familiarization phase and 5.4 trials in anticipation looking phase under the  
233 color condition; 5.1 trials in familiarization phase and 4.5 trials in anticipation looking  
234 phase under the orientation condition. We conducted the two-tailed  $t$ -test between  
235 conditions and found that there was no significant difference in the number of valid  
236 trials between conditions, familiarization phase:  $t(38) = 1.26, p = .21, \text{Cohen's } d = .39$ ;  
237 anticipation looking phase:  $t(38) = 1.85, p = .07, \text{Cohen's } d = .57$ .

### 238 *Anticipatory looking during test phase*

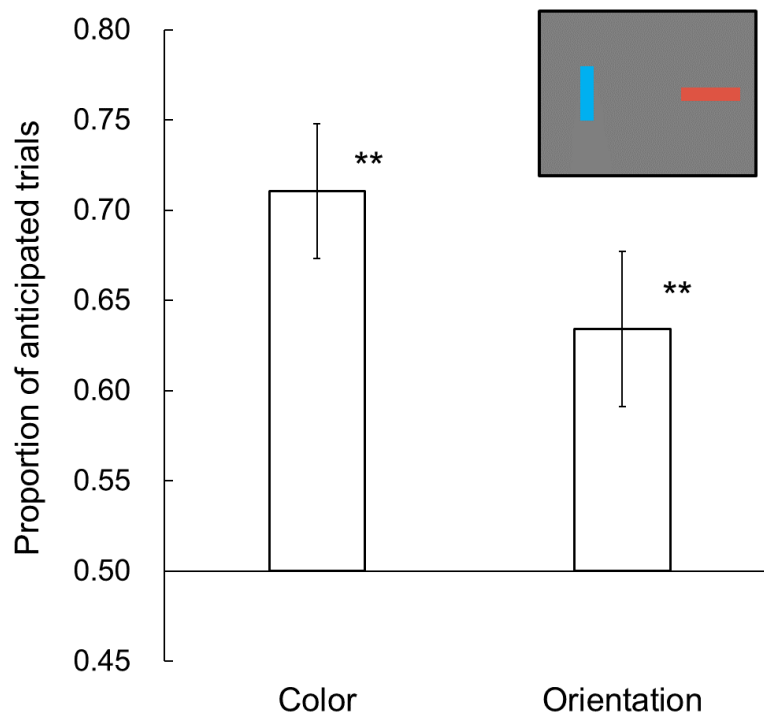
239 We calculated the raw eye-tracking data and defined a fixation as when gaze  
240 points remained within the area of interest (AOI) for at least 100 ms (Liu et al., 2011;  
241 Xiao et al., 2014). The area of each rectangle was regarded as the AOI. We defined the  
242 anticipated trial as when infants' first gaze points landed on the AOI containing the  
243 critical feature. The remaining trials in which the infants' first gaze points landed on the  
244 AOI of the non-target rectangle were regarded as non-anticipated trials. To investigate  
245 whether infants anticipatorily looked at the target rectangle containing a critical visual

246 feature, we calculated the individual proportion of anticipated trials by dividing the  
247 number of anticipated trials by the total number of valid trials from the test phase. If  
248 infants can direct their attention to a specific feature through the familiarization where  
249 one of the features was designated as a target by Pac-man, they would show eye  
250 movements to the rectangle of the target feature expected to be eaten by Pac-man in  
251 anticipation. Thus, the proportion of the anticipated trials should be higher than the  
252 chance level of 0.5.

253           Figure.2 represents the proportion of trials in which anticipatory looking  
254 occurred in each condition. Prior to the analysis, an arcsine transformation was  
255 performed on the proportion of anticipated trials; however, the scores in the figure were  
256 untransformed proportions for clarity. To examine whether infants could anticipate the  
257 target, we conducted two-tailed *t*-tests against chance level (0.5) in each condition. The  
258 test revealed that the proportion of trials in which anticipation looking occurred was  
259 significantly higher than chance level in both conditions, color condition:  $t(19) = 4.53, p$   
260  $< .01, Cohen's d = 1.41$ ; orientation condition:  $t(19) = 2.95, p < .01, Cohen's d = .91$ . We  
261 conducted the two-tailed *t*-tests between the color and orientation conditions to  
262 investigate whether there was a difference in the proportion of anticipated trials and  
263 found no difference between the two conditions,  $t(38) = 1.34, p = .19, Cohen's d = .41$ .



264 There were no differences between the two target colors (red or blue),  $t(18) = 1.59$ ,  $p$   
265  $= .15$ , *Cohen's d* = .78, and the two target orientations (horizontal or vertical),  $t(18) =$   
266  $1.35$ ,  $p = .20$ , *Cohen's d* = .43, suggesting that the infants' attention to target features  
267 cannot be attributed to a biased preference to a specific color or orientation. Instead, the  
268 results can be taken as evidence indicating that infants' visual attention is shifted to the  
269 target feature. We additionally conducted binomial tests on the cumulative number of  
270 anticipated trials in both color and orientation conditions and found that infants in both  
271 conditions showed the significant anticipated trials (color condition: 76 trials from total  
272 108 valid trials,  $p < .01$ ; orientation condition: 55 trials from total 90 valid trials,  $p$   
273  $< .05$ ). We found no significant correlation between ages and proportions of anticipated  
274 trials in either condition, color condition:  $r = .21$ ,  $p = .36$ ; orientation condition:  $r = -.41$ ,  
275  $p = .07$ .



276

277 *Figure 2.* The results of Experiment 1.278 *Note.* Mean proportion of anticipation looking in each condition. Error bars represent *SE*.279 \*\* $p < .01$  against the chance level 0.5.

280

### Experiment 2

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One might argue that the higher proportion of anticipatory looking in

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Experiment 1 reflects the infants' memory of the specific stimuli that were eaten by the

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Pac-man during the familiarization phase. This contradicts with our hypothesis that

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infants showed the anticipatory looking to the specific feature by shifting their attention

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to the target feature. We conducted the additional experiment by introducing pairs of

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novel orientations in the anticipatory looking phase to exclude this possibility. If we can

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replicate the same results as in Experiment 1, even when the novel orientations are



306 girls, mean age = 226.2 days,  $SD = 18.24$ ). An additional thirteen infants were tested but  
307 excluded from the final analysis because the experiment was interrupted during the  
308 calibration phase ( $n = 6$ ) or in the middle of the experiment ( $n = 7$ ) due to no eye-  
309 tracking recorded. The sample size was estimated based on the previous infant study  
310 similar as that in Experiment 1. All infants were full-term at birth and healthy at the  
311 time of the experiment. Written informed consent was obtained from the parents of the  
312 infants participating in the experiment before testing.

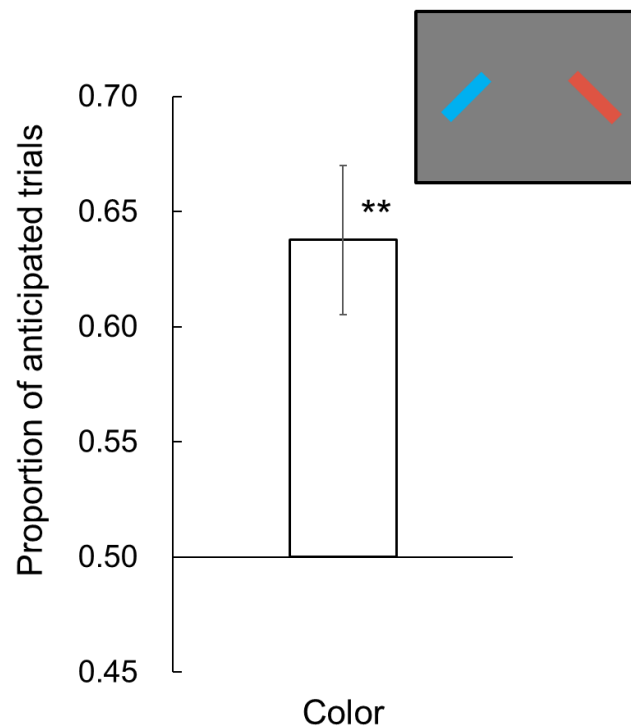
### 313 **Results**

314 Like the procedure in Experiment 1, we checked for the trials during which the  
315 infants looked away from the monitor in the familiarization and anticipation looking  
316 phases. This yielded the following remaining valid trials on average: 7.0 trials in the  
317 familiarization phase and 5.5 trials in the anticipation looking phase.

#### 318 *Anticipatory looking during test phase*

319 We calculated the individual proportion of anticipated trials by dividing the  
320 number of anticipated trials by that of the total valid trials of the test phase and  
321 performed an arcsine transformation on these scores as done in Experiment 1. The score  
322 in Figure 3 was untransformed for clarity likewise Experiment 1. The two-tailed  $t$ -test  
323 against chance level (0.5) showed a higher proportion of anticipated trials than that

324 against chance level,  $t(19) = 3.64, p < .01, \text{Cohen's } d = .82$ . There was no difference  
325 between the two target colors, red or blue,  $t(18) = .28, p = .776, \text{Cohen's } d = -.12$ .  
326 Moreover, we found no significant correlation between ages and proportions of  
327 anticipated trials,  $r = -.21, p = .37$ . An additional binomial test on the cumulative  
328 number of anticipated trials revealed that infants showed the significant anticipated  
329 trials (69 trials from total 109 valid trials,  $p < .01$ ). This result suggests that infants  
330 generalize the target feature, i.e. infants' attention is directed to the target feature  
331 endogenously even when the other feature of stimuli was different from the  
332 familiarization phase. If infants' orienting responses were due to memorizing the  
333 presented identical stimuli, their eye movements in the anticipation-looking phase  
334 should have been random. These findings could be interpreted as another possibility that  
335 infants showed the familiar preference to the color or orientation rather than  
336 anticipation. In this case, infants endogenously direct their attention to the familiarized  
337 feature because of the repetitive presentation of the target feature. We cannot rule out  
338 this possibility completely even though infants could direct their attention to the learned  
339 feature belonging to the never experienced objects in the current experiment. However,  
340 in either case, the results in Experiment 2 support the feature-based attention in 7- to 8-  
341 month-olds.



342

343 *Figure 3.* The result of Experiment 2.344 *Note.* Mean proportion of anticipation looking in color condition. Error bar represents *SE*.345 **\*\*** $p < .01$  against the chance level 0.5.

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### Discussion

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In this study, we investigate the endogenous attention based on feature-based

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selection in infants using a newly developed anticipation-looking task, in which 7- to 8-

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month-old infants were presented with an animation depicting Pac-man eating one of

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two rectangles based on the color or orientation features of the rectangles. The infants'

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anticipatory looking behavior was recorded as an index of their looks based on whether

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their eyes moved to a rectangle with a specific feature (color or orientation) that would

353

be eaten by Pac-man even after the Pac-man had disappeared. We found that these

354 infants, indeed, showed anticipatory looking at objects containing the specific feature.  
355 Furthermore, infants could generalize the target feature, that is, infants' attention is  
356 directed to the target feature endogenously even when the other feature of stimuli was  
357 different from the familiarization phase. This result suggests that preverbal infants aged  
358 7 to 8 months can direct their attention endogenously to a specific feature of a target.

359           It is noteworthy that infants' endogenous visual attention is directed to the  
360 target feature through familiarization in the present study. This contrasts previous  
361 studies examining infants' attention to objects' features because those studies have  
362 mainly used tasks that depend on infants' exogenous attention and showed that infants'  
363 attention is captured by salient stimuli exogenously (Adler & Gallego, 2014; Adler &  
364 Orprecio, 2006; Bertin & Bhatt, 2001; Coldren & Haaf, 2000; Goldknopf et al., 2019).  
365 Although infants' visual attention is prone to exogenous capture and shifts to stimuli,  
366 little is known about whether their attention is endogenously directed to a target.  
367 Recently, it has been reported that top-down knowledge, successfully acquired through  
368 the abstract rule learning, biases the visual attention to the objects' feature in 9-month-  
369 olds (Werchan & Amso, 2020). During visual searches infants can use contextual  
370 knowledge of spatial locations to search for a target efficiently (Tummeltshammer &  
371 Amso, 2017). Furthermore, even without familiarization and learning, infants can use

372 briefly presented visual or audio information to control visual search behavior (Mitsven,  
373 Gantrell, Luck, & Oakes, 2018; Xiao & Emberson, 2019). In line with these findings,  
374 our present task revealed that the visual attention of preverbal infants, aged 7 to 8  
375 months, shifts to a target feature based on familiarization. This finding suggests that the  
376 familiarization in the present task provides an opportunity for infants to direct their  
377 attention to a specific feature between two different, but equally salient, features. Then  
378 infants' visual attention is endogenously directed to the target feature.

379           Prior works show that the neural process of top-down modulation gained  
380 through the familiarization, such as the repeated presentation of stimuli, has been  
381 observed in preverbal infants (Emberson et al., 2015; Kouider et al., 2015; Werchan &  
382 Amso, 2020). These imply the existence of feedforward and feedback connection, and  
383 this connection enables infants to anticipate the upcoming event. In line with these  
384 findings, 7- to 8-month-olds learn to direct their attention to the specific feature based  
385 on the familiarization phase where feedforward and feedback connections make infants  
386 direct their attention to features. The present results that infants can form anticipation by  
387 color and orientation reflect that they drive their attention through feature-based  
388 selection. The next step is to examine the developmental process of endogenous  
389 attentional orienting using feature-based selection.



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