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# Mechanism of BPh<sub>3</sub>-Catalyzed *N*-Methylation of Amines with CO<sub>2</sub> and Phenylsilane: Cooperative Activation of Hydrosilane

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Abstract: BPh<sub>3</sub> catalyzes the *N*-methylation of secondary amines and the C-methylenation (methylene-bridge formation between aromatic rings) of N,N-dimethylanilines or 1-methylindoles in the presence of CO2 and PhSiH3, and these reactions proceed at 30-40 °C under solvent-free conditions. In contrast, B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> shows little or no activity. <sup>11</sup>B NMR spectra suggested the generation of [HBPh<sub>3</sub>]<sup>-</sup>. The detailed mechanism of the BPh<sub>3</sub>-catalyzed the N-methylation of Nmethylaniline (1) with CO<sub>2</sub> and PhSiH<sub>3</sub> was studied by DFT calculations. BPh<sub>3</sub> promotes the conversion of two substrates (Nmethylaniline and CO<sub>2</sub>) into a twitterionic carbamate to give threecomponent species [Ph(Me)(H)N<sup>+</sup>CO<sub>2</sub><sup>-</sup>···BPh<sub>3</sub>]. The carbamate and BPh<sub>3</sub> act as the nucleophile and Lewis acid, respectively, for the activation of PhSiH<sub>3</sub> to generate [HBPh<sub>3</sub>]<sup>-</sup>, which is used to produce key CO<sub>2</sub>-derived species, such as silvl formate and bis(silvl)acetal, essential for the N-methylation of 1. DFT calculations also suggested other mechanisms involving water for the generation of [HBPh3]species.

#### Introduction

Carbon dioxide (CO<sub>2</sub>) is a sustainable C1 source for organic synthesis, and much attention has been paid especially to the catalytic conversions of CO2 into value-added chemicals,<sup>1</sup> which will be essential for the creation of carbon-neutral societies. Among various CO<sub>2</sub> fixation reactions, the reduction of CO<sub>2</sub> presents an important and interesting class of research subjects because most of the products are significant from the viewpoint of energy and chemical values.<sup>2</sup> Hydrosilanes are easy-to-use reductants showing moderate reactivity,<sup>3</sup> and the reduction of CO2 with hydrosilanes produces silvl formates (HCO2SiR3), bis(silyl)acetals (CH2(OSiR3)2), methoxysilanes (CH3OSiR3), or methane (Scheme 1a),<sup>4</sup> some of which serve as reactive intermediates. Selective formation and utilization of one of the reactive species are the key to successful reductive CO2 fixation. For example, the catalytic reduction of CO2 with hydrosilane in the presence of amines gives formamides, aminals, or N-methylamines selectively (Scheme 1b).<sup>5-7</sup> The chemoselectivity often depends on reaction parameters such as catalyst, hydrosilane, solvent, and temperature;6,7 for example, amines often undergo N-formylation and N-methylation at lower and higher temperature, respectively. Because the two oxygen atoms

of  $CO_2$  are difficult to remove, various methods and catalysts for deoxygenative  $CO_2$  conversions need to be developed.



Scheme 1. (a) Reduction of CO<sub>2</sub> with hydrosilane. (b) *N*-Functionalization of amines. (c)–(e) Deoxygenative CO<sub>2</sub> conversions with BPh<sub>3</sub> and PhSiH<sub>3</sub>.

Recently, we have reported that triphenylborane (BPh<sub>3</sub>) smoothly catalyzed the *N*-methylation of *N*-methylaniline (1) with CO2 (1 atm) and PhSiH3 without solvent at 30 °C (Scheme 1c).8 In addition, methylene-bridge formation between aromatic rings (C-methylenation) of N,N-dimethylaniline (2) or 1-methylindole (4) also proceeded at 30-40 °C with this catalytic system (Schemes 1d-e).8 In sharp contrast, B(C6F5)3 showed little or no activity under otherwise the same conditions. Although several methods for successive C-H/C-C bond formation with CO2 have been reported,<sup>8,9</sup> the above deoxygenative CO<sub>2</sub> conversions at 30-40 °C are quite unique.<sup>10–12</sup> In fact, other catalysts reported so far usually require much higher temperature to achieve the Nmethylation of amines with CO2 and hydrosilane. Therefore, the origin of the high catalytic activity of this catalytic system composed of BPh<sub>3</sub> and PhSiH<sub>3</sub> is quite interesting and worthy of investigation. The elucidation of the reaction mechanism would promote the further progress of CO<sub>2</sub> fixation chemistry in future.

Here we report our mechanistic studies on the BPh<sub>3</sub>-catalyzed *N*methylation of **1** with CO<sub>2</sub> and PhSiH<sub>3</sub>. DFT calculations revealed a cooperative mechanism for the activation of PhSiH<sub>3</sub>. BPh<sub>3</sub> promotes the conversion of two substrates (*N*-methylaniline and CO<sub>2</sub>) into a twitterionic carbamate to give three-component species [Ph(Me)(H)N<sup>+</sup>CO<sub>2</sub><sup>-...</sup>BPh<sub>3</sub>], and the carbamate and BPh<sub>3</sub> act as the nucleophile and Lewis acid, respectively, for the activation of PhSiH<sub>3</sub> to generate a [HBPh<sub>3</sub>]<sup>-</sup> species, which catalyzes the successive reduction of CO<sub>2</sub> to key CO<sub>2</sub>-derived reactive species such as silyl formate, bis(silyl)acetal, and formaldehyde, which are then utilized in the *N*-methylation of **1**. Interestingly, DFT calculations also suggested hypothetical waterassisted mechanisms for the generation of [HBPh<sub>3</sub>]<sup>-</sup> species.

#### **Results and Discussion**

BPh<sub>3</sub> is known to catalyze the synthesis of silvl formates from CO<sub>2</sub> (1 bar) and PhSiH<sub>3</sub> in CH<sub>3</sub>CN at 40 °C,<sup>13</sup> while we observed no hydrosilylation of CO<sub>2</sub> in the absence of solvent.<sup>8</sup> Interestingly, the solvent-free reduction of CO2 with BPh3 and PhSiH3 (Nmethylation) did proceed in the presence of 1 at 30 °C to give 2 (Scheme 1c). These results suggest that 1 participates in the activation of PhSiH<sub>3</sub> and/or CO<sub>2</sub> under the solvent-free conditions. Based on a series of experiments,<sup>8</sup> we assumed two pathways from 1 to 2 (Scheme 2a). One involves the reaction of 1 with silvl formate to give N-methylformanilide, which is further reduced to 2.<sup>14</sup> The other involves the reaction of **1** with formaldehyde or bis(silyl)acetal to give an iminium cation or its equivalent such as hemiaminal silvl ether, and the successive hydride reduction furnishes 2. The BPh<sub>3</sub>-catalyzed reaction of 2 with formaldehyde or its equivalent would give diarylmethane 3. We consider [HBPh<sub>3</sub>]<sup>-</sup> to be a key species in the catalytic cycles, for example, as shown in Scheme 2b.



Scheme 2. (a) Plausible reaction pathways. (b) Plausible catalytic cycles.

We measured <sup>11</sup>B NMR spectra of various combinations of reactants and found specific conditions giving a signal for [HBPh<sub>3</sub>]<sup>-</sup> species (Figures 1 and S6–S7). Figure 1c indicates that a doublet signal for a [HBPh<sub>3</sub>]<sup>-</sup> species appeared at –7.1 ppm (<sup>1</sup>J<sub>BH</sub> = 79 Hz) immediately after addition of PhSiH<sub>3</sub> (2 equiv) to a 1:1 mixture of BPh<sub>3</sub> and tetrabutylammonium formate, Bu<sub>4</sub>N<sup>+</sup>HCO<sub>2</sub><sup>-</sup>, under N<sub>2</sub> (Figure 1b). The chemical shift and the

coupling constant are close to the literature values for [HBPh<sub>3</sub>]species.<sup>15</sup> The intensity of this doublet signal was gradually enhanced over 20 h (Figure 1d). The fact that this is a signal for a [HBPh<sub>3</sub>]<sup>-</sup> species was further confirmed by bubbling with CO<sub>2</sub>; the signal was immediately changed to a broad singlet signal at 3.5 ppm (Figure 1e). We consider that the spectral changes in Figure 1 are associated with the cascade reactions starting from [HCO<sub>2</sub>BPh<sub>3</sub>]<sup>-</sup> (Scheme 2b); the reaction of [HCO<sub>2</sub>BPh<sub>3</sub>]<sup>-</sup> with PhSiH<sub>3</sub> gives [HBPh<sub>3</sub>]<sup>-</sup> and HCO<sub>2</sub>SiH<sub>2</sub>Ph, and the subsequent HCO<sub>2</sub>SiH<sub>2</sub>Ph reduction of with [HBPh<sub>3</sub>]<sup>-</sup> gives [PhSiH2OCH2OBPh3]<sup>-</sup>, which further reacts with PhSiH3 to give bis(silyl)acetal or formaldehyde with the regeneration of [HBPh<sub>3</sub>]<sup>-</sup>. It is likely that [HBPh<sub>3</sub>]<sup>-</sup> species can be accumulated under the above conditions under N<sub>2</sub> atmosphere. We decided to clarify the catalytic mechanism in more detail by DFT calculations.



**Figure 1.** <sup>11</sup>B NMR spectral changes of CD<sub>3</sub>CN solutions containing BPh<sub>3</sub> (0.5 mmol) upon addition of additives. (a) no additive. (b) tetrabutylammonium formate (0.5 mmol). (c) PhSiH<sub>3</sub> (1.0 mmol), after 30 min. (d) after 20 h. (e) CO<sub>2</sub> bubbling for 15 min. The small singlet (-9.6 ppm) and triplet (-13.4 ppm, <sup>1</sup>*J*<sub>BH</sub> = 79 Hz) are assigned to byproducts [Ph<sub>3</sub>BCD<sub>2</sub>CN]<sup>-</sup> and [H<sub>2</sub>BPh<sub>2</sub>]<sup>-</sup>, respectively.

We intensively performed DFT calculations to elucidate the mechanism for the generation of catalytically active species such as [HBPh3]- and reactive species such as silvl formate and formaldehyde.<sup>16</sup> The most plausible mechanism and the potential energy profiles are shown in Figure 2, and the structures of the transition states and intermediates are given in Figure 3 and Figures S10-S20. Four chemical species (1, CO<sub>2</sub>, PhSiH<sub>3</sub>, and BPh<sub>3</sub>) interact with one another to constitute complex equilibria. Among all the six two-component complexes, 1-BPh3 is the most stable, and BPh<sub>3</sub> induces the insertion of CO<sub>2</sub> to generate the three-component species R, Ph(Me)(H)N<sup>+</sup>CO<sub>2</sub><sup>-...</sup>BPh<sub>3</sub> (Figure S21).<sup>17,18</sup> Although this ternary complex R is the most stable CO<sub>2</sub>adduct, which is driven by the binding of the oxyanion to the boron atom, R is less stable than binary complex 1-BPh<sub>3</sub> as supported by <sup>11</sup>B NMR spectra (Figure S8). A metastable complex I1 consisting of all the four components is also included in the equilibria. Subsequently, pentacoordinate silicate intermediate 12 is formed from 11 via TS1, where the twitterionic carbamate and BPh<sub>3</sub> act as the nucleophile and the Lewis acid, respectively, for the cooperative activation of PhSiH<sub>3</sub>.<sup>18</sup> The barrierless transformation of 12 to borohydride 13 occurs. Importantly, 13 is a branchpoint for catalytic cycles A and B, where silvl formate and formaldehyde are produced, respectively. In cycle A, CO2 insertion into borohydride gives boryl formate I5-A via TS2-A.19 The carbonyl oxygen atom of the formate moiety interacts with the silicon atom of the counter cation in I6-A, which is converted into pentacoordinate silicate intermediate I7-A via TS3-A. The barrierless transformation of I7-A to I8-A affords silvl formate, regenerating the resting state of the three-component catalytically active species, R. In cycle B, silyl formate is further reduced by borohydride I3 via TS2-B to afford I5-B and much more stable I6-B. Interestingly, less stable ion pair I5-B has a pathway to

bis(silyl)acetal, CH<sub>2</sub>(OSiH<sub>2</sub>Ph)<sub>2</sub>, with an activation barrier of 15.1 kcal/mol, which can be converted into formaldehyde (and siloxane) with an activation barrier of 21.5 kcal/mol (Figure S14). In contrast, more stable ion pair **I6-B** directly produces formaldehyde (and siloxane) with an activation barrier of 18.9

kcal/mol (**TS3-B**) to regenerate **R** via complexes **I7-B** and **I8-B** in equilibrium. Figure 2b clearly indicates the overall exothermic reactions with relatively low activation barriers. Both **TS1** and **TS3-B** are considered to be the rate-determining steps.



Figure 2. (a) Catalytic cycles for the generation of reactive species from  $CO_2$  and PhSiH<sub>3</sub> with BPh<sub>3</sub> and 1. (b) Potential energy profiles with  $E_a$  values in kcal/mol. DFT calculations were performed at the  $\omega$ B97XD/6-31G(d) level of theory, except for the hydride of PhSiH<sub>3</sub> employing the 6-31++G(d,p) basis set. The self-consistent reaction field (SCRF) method with the polarizable continuum model (PCM) was adopted to take the solvation effect into account, and the PCM parameters for toluene were employed.

Figure 3 indicates that BPh<sub>3</sub>, which is a moderately strong Lewis acid, promotes the borohydride formation by stabilizing the anionic species on the boron atom (Figures 3a-b), whereas BPh<sub>3</sub> may slow down the subsequent reactions in cycles A and B by lowering the nucleophilicity of the anionic species on the boron atom (Figures 3c-f). The Lewis acidity of the catalyst needs to be well tuned to make all the steps feasible. This hypothesis accounts for the experimental results that B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> was much less active than BPh<sub>3</sub>,<sup>8</sup> which is also consistent with the previous report by Okuda that [HB(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>]<sup>-</sup> was much less active for CO<sub>2</sub> hydroboration than [HBPh<sub>3</sub>]<sup>-.19</sup> In the rate-determining step, TS3-B, the oxyanion leaves the boron atom, forming formaldehyde, and a silyloxyanion is simultaneously liberated to attack the silicon atom of the counter cation, delivering a siloxane (Figure 3f). This step would be much more disadvantageous if BPh<sub>3</sub> is replaced by B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> because the latter binds the oxyanion much more stronaly.

It is interesting to note that the borohydride species such as 13 are likely to form  $H_2$  by a quenching reaction with the nearby proton. Indeed, the activation barrier for this side reaction was calculated to be only 3.0 kcal/mol (Figure S15). We speculate that in reality, the proton in the counter cation of the borohydride species is hydrogen bonded with and shielded by or even

deprotonated by the surrounding amines (1 and 2) to suppress the generation of H<sub>2</sub> to some degree. Under the standard reaction conditions for the *N*-methylation of 1, we did observe the generation of a gas (bubbles), which was identified to be H<sub>2</sub> by GC analysis (Figure S1). Tertiary amine 2 can avoid this side reaction because the corresponding carbamate has no proton on the nitrogen atom. On the other hand, if formaldehyde is generated *in situ*, it may undergo further hydrosilylation to give methoxysilane as a byproduct. Indeed, methanol could be detected and quantified by means of GC and NMR spectroscopy after quenching with D<sub>2</sub>O (Figures S2–S3). These byproducts, H<sub>2</sub> and methanol, are consistent with the catalytic mechanism (Figure 2).<sup>20</sup>

DFT calculations have also supported the two pathways from **1** to **2** (Scheme 2a), both of which are catalyzed by BPh<sub>3</sub> (Figures S9, S13, and S16–S20). One is initiated by the reaction of **1** with formaldehyde to give a hemiaminal, which is converted into an iminium cation,<sup>21</sup> and the subsequent borohydride reduction furnishes **2**. The other involves the reaction of **1** with silyl formate to deliver *N*-methylformanilide. Once *N*-methylformanilide is formed, **2** can be produced by using only BPh<sub>3</sub> and PhSiH<sub>3</sub> as confirmed previously by a control experiment.<sup>8,14</sup> *N*-Methylformanilide and BPh<sub>3</sub> act as a nucleophile and the Lewis

acid, respectively, to activate PhSiH<sub>3</sub> (Figure 4), generating an ion pair consisting of a siloxyiminium species and [HBPh<sub>3</sub>]<sup>-</sup>. Although the formation of a pentacoordinate silicate precedes the hydride transfer (Figure S16) probably because of the moderate Lewis acidity of BPh<sub>3</sub>, for example, as compared to  $B(C_6F_5)_3$ , this activation mode can be classified into the Piers–Oestreich mechanism.<sup>22-24</sup> The subsequent reduction of the

counter cation affords a hemiaminal silyl ether, which is further transformed to an iminium cation (and siloxane), and this iminium cation undergoes borohydride reduction to give **2**. Figure 4 rationalizes the remarkable functional group tolerance (selectivity) of this specific catalytic system.<sup>8</sup>



Figure 3. DFT-optimized structures of (a) TS1, (b) I3, (c) TS2-A, (d) TS3-A, (e) TS2-B, and (f) TS3-B. Distances (Å) are shown in blue or red, and NBO charges are shown in black.



We explored other mechanisms for the generation of  $[HBPh_3]^-$  species. We designate the activation pathway from **R** to **I3** via **I2** (Figure 2a) as type I to distinguish it from others described below. While we pursued other mechanisms by means of DFT calculations, we realized that a water molecule might act as a nucleophile to activate PhSiH<sub>3</sub> as proposed by Ingleson and co-workers for the BPh<sub>3</sub>-catalyzed reductive amination of aldehydes with hydrosilanes in wet acetonitrile.<sup>25</sup> A trace amount of water may be experimentally contained in amine **1** or PhSiH<sub>3</sub>. Figures 5 and S22–S25 indicate that [HBPh<sub>3</sub>]<sup>-</sup> species can be generated relatively easily by the involvement of water. For

example, a bicarbonate ion, which is formed by the reaction of  $H_2O$  with  $CO_2$  in the presence of **1** and BPh<sub>3</sub>, attacks the silicon atom of PhSiH<sub>3</sub>, and the hydride transfer to BPh<sub>3</sub> gives a [HBPh<sub>3</sub>]<sup>-</sup> species (type II). Otherwise, a hydroxide ion, which is generated by the deprotonation of  $H_2O$  with **1**, can also activate the Si–H bond of PhSiH<sub>3</sub> to form a [HBPh<sub>3</sub>]<sup>-</sup> species (type III). Interestingly, we have also found that if acetonitrile is used as a solvent, even in the absence of amine **1**, acetonitrile can serve as a Lewis base to weakly activate carbonic acid or water, both of which can attack the silicon atom of PhSiH<sub>3</sub> (types IV and V), whereas we have found no reasonable transition states without water even if acetonitrile is explicitly included. The pathways of types II–V, which have activation barriers that are smaller than

that of type I (18.9 kcal/mol), seem to be plausible if water is present in the reaction mixture. These computational results rationalize the experimental result that the hydrosilylation of CO<sub>2</sub> with PhSiH<sub>3</sub> is smoothly catalyzed by BPh<sub>3</sub> in acetonitrile,<sup>13</sup> if a small amount of water is contained in the reaction mixture. Because we have previously observed no hydrosilylation of CO<sub>2</sub> in the absence of **1** and solvent,<sup>8</sup> either **1** or a weakly basic solvent like acetonitrile may be essential for the deprotonation of carbonic acid or water. The amount of water should be limited to minimize the quenching reaction of [HBPh<sub>3</sub>]<sup>-</sup> with water, while a sufficient amount of CO<sub>2</sub> would favor the productive reaction of [HBPh<sub>3</sub>]<sup>-</sup> with CO<sub>2</sub>.



**Figure 5.** Comparison of the pathways to [HBPh<sub>3</sub>]<sup>-</sup> species. (a) Hypothetical mechanisms involving a water molecule (types II–V). Formal charges are indicated on the molecular formulas, while atomic charges are shown in Figures S22–S25. (b) Potential energy profiles for types II–V, where type I is shown for comparison. DFT calculations were performed at the ωB97XD/6-31G(d) level of theory, except for the hydride of PhSiH<sub>3</sub> employing the 6-31++G(d,p) basis set. The SCRF method with the PCM was adopted to take the solvation effect into account, and the PCM parameters for toluene (types I–III) or acetonitrile (types IV and V) were employed. The energies relative to 1–BPh<sub>3</sub> (types I–III) or CH<sub>3</sub>CN–BPh<sub>3</sub> (types IV and V) are indicated in kcal/mol.

#### Conclusion

BPh<sub>3</sub> and PhSiH<sub>3</sub> constitute a powerful catalytic system for the deoxygenative CO<sub>2</sub> conversions leading to the multicomponent reactions involving the C-H/C-N or C-H/C-C bond formation (Scheme 1c-e). Here we have clarified the mechanism for the deoxygenative CO<sub>2</sub> conversions catalyzed by BPh<sub>3</sub> in the presence of PhSiH<sub>3</sub> and amine 1. DFT calculations have suggested that BPh<sub>3</sub> promotes the conversion of two substrates (1 and CO<sub>2</sub>) into a twitterionic carbamate to give threecomponent species **R** [Ph(Me)(H)N<sup>+</sup>CO<sub>2</sub><sup>-...</sup>BPh<sub>3</sub>]. The carbamate and BPh3 act as the nucleophile and Lewis acid, respectively, for the cooperative activation of PhSiH<sub>3</sub> to generate borohydride species 13, which catalyzes the reduction of CO2 to form key reactive species such as silvl formate, bis(silvl)acetal, and formaldehyde. Water may also participate in the activation of PhSiH<sub>3</sub> as a nucleophile to generate [HBPh<sub>3</sub>]<sup>-</sup> species. The deoxygenative CO<sub>2</sub> fixation reactions and the reaction mechanisms that are disclosed herein will be useful for the design and development of new catalysts and reactions in future.

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- [17] Ph(Me)(H)N<sup>+</sup>CO<sub>2</sub><sup>-...</sup>BPh<sub>3</sub> (R) is used without deprotonation throughout the calculations because 1 is also taken as a model for 2, which is considered to participate in the generation of reactive species for the methylene-bridge formation to give 3. Deprotonation of R would enhance the nucleophilicity of the anionic oxygen atom of the carbamate.
- [18] Despite many attempts, we could not detect ternary complex R in a mixture of 1, BPh<sub>3</sub>, and CO<sub>2</sub> by means of high-resolution mass spectrometry (ESI, positive or negative mode) and NMR or IR spectroscopy, which is probably due to the rapid dissociation of the metastable and labile complex in solutions. In fact, Figure 2b suggests that only a small amount of R should be generated *in situ* and that the actual activation energy in the borohydride formation step should be regarded as 18.9 kcal/mol (TS1 relative to 1–BPh<sub>3</sub>).
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BPh<sub>3</sub> smoothly catalyzes the *N*-methylation of *N*-methylaniline with CO<sub>2</sub> and PhSiH<sub>3</sub>. Twitterionic carbamate, composed of *N*-methylaniline and CO<sub>2</sub>, and BPh<sub>3</sub> act as nucleophile and Lewis acid, respectively, for the activation of PhSiH<sub>3</sub> to generate [HBPh<sub>3</sub>]<sup>-</sup>, which is used to produce silyl formate and bis(silyl)acetal that are essential for *N*-methylation.