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1	Article type: Research Article
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3	Flatworm cocoons in the abyss: same plan under pressure
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16	
17	Abstract
18	While knowledge of early ontogeny in abyssal animals is highly limited in general, it was completely
19	lacking for abyssal, free-living platyhelminths. We discovered flatworm egg-capsules (or "cocoons") on
20	rocks collected at depths of 6176–6200 m on the abyssal slope of the Kuril-Kamchatka Trench,
21	northwestern Pacific. The egg capsules were black and spherical, around 3 mm in diameter, and contained
22	three to seven individuals $(n = 4)$ at the same developmental stage, either the spherical (putative early
23	embryo) or vermiform (putative late embryo) stages. A molecular phylogenetic analysis based on 18S and
24	28S rRNA sequences revealed that the flatworms belong in suborder Maricola in Tricladida and suggested
25	that they may have colonized from shallow to deep waters. This study provides the deepest record for free-
26	living flatworms and the first information on their early life stages in the abyssal zone, which were very
27	similar to those in shallow-water forms. This similarity in development between the relatively benign
28	shallow-water and the extreme abyssal environments suggests that triclads adapting to the latter faced
29	primarily physiological and/or ecological adaptive challenges rather than developmental ones.
30	
31	Keywords: deep sea, early development, egg capsule, Japan, ontogeny, Platyhelminthes
32	
33	Introduction
34	The life cycles of most abyssal animals are largely unknown, despite their importance in understanding
35	how animals have successfully colonized and adapted to the extreme conditions of the abyssal zone (3500-
36	6500 m depth; [1]). This is especially true of early ontogeny, including embryonic and larval development.
37	Only a few fragmentary studies have described the early life history of representatives of several animal
38	groups at abyssal depths, including barnacles (e.g., [2]), molluscans (e.g., [3]), fishes (e.g., [4]), sponges

39 (e.g., [5]), hydrozoans (e.g., [6]), and digeneans (e.g., [7]).

- 40 Almost nothing is known about abyssal free-living platyhelminths, for which a "potential 41 platyhelminth" found on sunken wood at depths of 5257–5236 m [8] may be the sole currently available 42 information; to date, the deepest certain record is the polyclad Oligocladus voightae from 3232 m [9]. Due 43 to their fragility, platyhelminths are unlikely to be collected with coarse sampling gear such as dredges and 44 trawls [9], and information on their development at depths is lacking. Free-living flatworms can vary 45 markedly in ontogeny [10]. In sexual reproduction, they generally produce single or multiple embryos 46 (along with extra-embryonic yolk cells in neoophorans) enclosed in an egg capsule (or "cocoon") attached 47 to a substrate by a secretion from the uterine glands. Embryonic development differs among groups; while 48 polyclads undergo spiral cleavage, triclads and other neoophorans undergo irregular, dispersed cleavage 49 [10]. Most free-living flatworms show direct development, except for several specific groups in Catenulida 50 and Polycladida, and little is known of the later stages of development.
- 51 During a deep-sea faunal survey by R/V *Hakuho-maru* (Japan Agency for Marine-Earth Science 52 and Technology; JAMSTEC) along the Kuril-Kamchatka and Japan Trenches, northwestern Pacific, we 53 found many black spherical bodies on rock fragments. Most of these bodies were torn and empty, but 54 several intact ones contained flatworms, indicating they were the egg capsules of abyssal flatworms. In this
- 55 study, we identified the flatworms to the limit of currently available data using a molecular phylogenetic
- study, we identified the flatworms to the limit of currently available data using a molecular phylogenetic approach based on partial sequences for the 18S rRNA (18S), 28S rRNA (28S), and cytochrome c oxidase
- 57 subunit I (COI) genes. Here we present these results and briefly discuss insights into their early ontogeny.
- 58

#### 59 Materials and methods

60 Egg capsules attached to two rock fragments were collected with a beam trawl on 21 September 2023

61 during cruise KH-23-5 of R/V Hakuho-maru, at depths of 6176–6200 m at Station C5 (41°28.411' N

62 146°06.803' E to 41°28.519' N 146°07.632' E). Four intact egg capsules were detached from the rocks, and

- 63 their contents were extracted by pipet, forceps, and a needle. The flatworms thus obtained were fixed in
- 64 70% ethanol, 99% ethanol, or Bouin's fluid; some of them were photographed before fixation. The
- 65 material studied was deposited in the Invertebrate Collection of the Hokkaido University Museum

66 (ICHUM), Sapporo under catalog numbers ICHUM8616 and ICHUM8617.

One specimen (ICHUM8616) fixed in 70% ethanol was dehydrated in an ethanol series, cleared in
xylene, embedded in paraffin, and serially sectioned sagittally at 7 μm. Sections were mounted on five
glass slides, stained with hematoxylin and eosin (HE), and sealed in Entellan New (Merck, Germany)
under coverslips. The serial sections were photographed under an Olympus BX51 compound microscope.

- 71 DNA was extracted from the whole body of two flatworms, one spherical and one vermiform (for
- details, see Results and Discussion) by using a NucleoSpin Tissue XS Kit (Macherey–Nagel, Germany).
- 73 For the COI gene, newly designed primers COI\_MarF (CAAATTGGACATCCTGARGTTTATA) and
- 74 COI\_MarR (AATTAATAACGRCGAGGCAT) were used for PCR amplification and cycle sequencing. For
- the 18S gene, primers SR1 and SR12 [11] were used for amplification, and primers SR3, 18S-b3F, 18S-
- 76 b4F, 18S-b4R, 18S-b5F, 18S-b6F, 18S-a6R, and 18S-b8F [11–15] for cycle sequencing. For the 28S gene,

- primers 28S\_1F and 28S\_6R [16] were used for amplification, and primers 300F, 300R, 900F, 28S\_Rd4.2b
- 78 [17, 18] and 28S\_b5F (TATCCGGTAAAGCGAATGATTAGA, newly designed in this study) for cycle
- sequencing. PCR amplification conditions for COI with TaKaRa Ex Taq DNA polymerase (TaKaRa Bio,
- Japan) were 94 °C for 1 min; 35 cycles of 98 °C for 10 s, 42 °C for 30 s, and 72 °C for 50 s; and 72 °C for
- 81 2 min. Conditions for 18S and 28S with KOD FX Neo (Toyobo, Japan) were 94 °C for 2 min; 45 cycles of
- 82 98 °C for 10 s, 65 °C (18S) or 60 °C (28S) for 30 s, and 68 °C for 1 min; and 68 °C for 2 min. All
- 83 nucleotide sequences were determined with a BigDye Terminator Kit ver. 3.1 and a 3730 DNA Analyzer
- 84 (Life Technologies, USA). Fragments were concatenated by using MEGA7 [19]. The sequences we
- 85 determined were deposited in the International Nucleotide Sequence Database (INSD) through the DNA
- 86 Data Bank of Japan (DDBJ).
- 87 The results of a BLAST search [20] for our 18S sequence indicated that the flatworm belongs in
- 88 Tricladida, and so a concatenated 18S+28S dataset that included both sequences for two of our flatworm
- 89 specimens, 35 triclad species, and seven outgroup taxa (electronic supplementary material, table S1) was
- 90 analyzed by maximum likelihood (ML) to infer the position of the abyssal flatworm within Tricladida. The
- 91 18S and 28S data were aligned independently by using the "Q-INS-i" strategy [21] in MAFFT ver. 7 [22]
- 92 (electronic supplementary material, files S1, S2) and then trimmed with MEGA7 to match the shortest
- 93 length for each gene. Alignment-ambiguous sites were removed with Gblocks ver. 0.91b [23] in
- 94 NGPhylogeny.fr [24] under the "relaxed" parameters described in [25]. The dataset contained 1458 aligned
- 95 positions for 18S, 982 for 28S, and 2440 in total (electronic supplementary material, file S3). Methods for
- 96 selecting the optimal substitution model (GTR+F+R4 for 18S; GTR+F+R5 for 28S), the ML analysis, and
- 97 drawing the tree were as described by [26].
- 98

## 99 **Results and Discussion**

- 100 One of the two rock fragments with attached black, spherical egg capsules is shown in figure 1a. Most egg 101 capsules had been torn; among four intact egg capsules we observed, one (diameter 3.1 mm; figure 1b) 102 contained three spherical-stage individuals (figure 1c), which may have been early embryos. The other 103 three (one shown in figure 1*d*, *e*; two measured 3.3 mm in diameter, while the third was not measured) 104 contained seven, four, and three vermiform-stage individuals (figure 1*f*). Serial sections of a vermiform 105 individual (figure 1g) showed a posteriorly directed tubular pharynx, a mouth opening near the distal end 106 of the pharynx, and a yolk-filled gut diverticulum, indicating vermiform individuals were late embryos. 107 When we opened the egg capsules, a milky liquid (particulate emulsion?) that might have been yolk was 108 observed along with the flatworms.
- 109The 18S (1760 bp; LC783379 and LC783380) and 28S (1629 bp; LC783381 and LC783382)110sequences we obtained were respectively identical between two individuals (one spherical, one111vermiform). In the 18S+28S ML tree (figure 2), our flatworm lies in Maricola, a small triclad group112containing about 80 described species [27]. Although exact depth information was unavailable for most of113the representative maricolan individuals for which sequences were obtained from databases, all except our114species in the Maricola clade are freshwater, brackish, or shallow-water taxa, suggesting that a habitat

expansion from coastal regions to the abyssal zone may have occurred in the clade.

116 The two COI (581 bp, encoding 193 amino acids; LC783383 and LC783384) sequences differed 117 by two nucleotide substitutions, corresponding to intraspecific variation (cf. [28]). This indicates that (1) 118 the spherical and vermiform individuals represent different developmental stages of a single species, and 119 (2) the aggregations of egg capsules on a single rock fragment were laid by at least two adults. In addition, 120 the fact that some egg capsules contained early embryos and others contained late embryos suggests that 121 the egg capsules were laid over a period of time. Video footage recorded during trawling at the sampling 122 site showed a muddy bottom overlain with a lot of rocks and gravel (Takuya Yahagi, The University of 123 Tokyo, personal communication on 18 October 2023), with the hard substrates probably providing a 124 favorable spawning site for the abyssal flatworms. 125 The egg capsules and early development of maricolans have not been well investigated [29]. Two 126 types of egg capsules are known in the group: a spherical type attaching directly to a substrate (e.g.,

*Procerodes littoralis*; [30]) and an ellipsoid type attaching to a substrate by a stalk (e.g., *Ectoplana undata* and *Bdelloura candida*; [31, 32]). While the egg capsules we observed were of the former type, they were also relatively large, as spherical egg capsules are generally 0.7–1.7 mm in diameter [33]. The number of worms per egg capsule was within the previously reported range, from one to nine [29, 33]. The spherical early embryos and vermiform late embryos we observed in the egg capsules showed no obvious

132 differences from the early developmental stages known in other triclads.

133This study represents the deepest known record of free-living platyhelminths and the first report of134early developmental stages in an abyssal free-living flatworm, which, superficially at least, are135indistinguishable those in shallow-water forms. It indicates that, in both cases, the shell of the egg capsule136tears open during hatching (cf. figure 1*d*), and adult-like juveniles emerge to begin a benthic mode of life.137This similarity of early ontogeny between the relatively benign shallow-water and the extreme abyssal138environments suggests that in adapting to the latter, flatworms faced primarily physiological and/or139ecological challenges rather than developmental ones.140

141 Ethics. This work did not require ethical approval from a human subject or animal welfare committee.142

143 Data accessibility. The specimens studied were deposited in the ICHUM and nucleotide sequences in the
 144 INSD. Information related to the phylogenetic analysis is provided in the electronic supplementary
 145 material [XX].

146

- 147 **Declaration of AI use.** We have not used AI-assisted technologies in creating this article.
- 148
- 149 Authors' contributions. K.K.: conceptualization, data curation, formal analysis, investigation,
- 150 methodology, writing—original draft, writing—review and editing, resources, visualization, funding
- 151 acquisition; A.T.: investigation, methodology, writing—original draft, writing—review and editing.
- Both authors gave final approval for publication and agreed to be held accountable for the work

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154	~		
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170	Rei		
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- 258

## 259 Figure legends

- Figure 1. Freshly collected egg capsules (or "cocoons") and flatworms. (a) Egg capsules on rock fragment
- 261 (arrowhead, one egg capsule). (b) Partly opened egg capsule containing three spherical-stage flatworms.
- 262 (c) Spherical-stage flatworm extracted from egg capsule. (d) Cracked egg capsule containing seven
- 263 vermiform-stage flatworms (arrow, empty egg capsule). (e) Same, half of egg-capsule shell removed. (f)
- 264 Vermiform-stage flatworm (ICHUM8616) extracted from egg capsule, in ventral view; anterior to the left.
- 265 (g) Sagittal section of individual ICHUM8616, HE stained; an, anterior; do, dorsal; gd, gut diverticulum;
- 266 mo, mouth opening; ph, pharynx; po, posterior; ve, ventral. Scale bars: 10 mm (*a*); 1 mm (*b*–*g*).

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Figure 2. ML tree for triclad platyhelminths based on an 18S+28S dataset (2440 positions). Numbers near
nodes are Shimodaira-Hasegawa-like approximate likelihood ratio test (SH-aLRT; left of slash) and
ultrafast bootstrap (UFBoot; right of slash) values as percentages; only values of SH-aLRT ≥70% and
UFBoot ≥80% are shown. Scale at bottom indicates branch length in substitutions per site.

#### 273 Electronic Supplementary Material legends

- Table S1 from Flatworm cocoons in the abyss: same plan under pressure
- Table S1. Information on the flatworms included in our phylogenetic analysis.
- 276
- 277 File S1 from Flatworm cocoons in the abyss: same plan under pressure
- File S1. Aligned 18S sequences used for the maximum-likelihood analysis, trimmed in MEGA7 to the
- shortest length among the sequences.
- 280
- File S2 from Flatworm cocoons in the abyss: same plan under pressure
- 282 File S2. Aligned 28S sequences used for the maximum-likelihood analysis, trimmed in MEGA7 to the
- shortest length among the sequences.

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- File S3 from Flatworm cocoons in the abyss: same plan under pressure
- File S3. Concatenated 18S+28S sequences used for the maximum-likelihood analysis, reduced to 2440
- 287 positions (1–1458 for 18S; 1459–2440 for 28S) by removing alignment-ambiguous sites with Gblocks under
- 288 "relaxed" parameters.

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