



Title	In Situ Transmission Electron Microscopy Study of Bubble Behavior Near the Surface of Ice Crystals by Using a Liquid Cell With a Peltier Cooling Holder
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1        **In Situ Transmission Electron Microscopy Study of Bubble Behavior Near the**  
2        **Surface of Ice Crystals by Using a Liquid Cell with a Peltier-Cooling Holder**

3        *Running title:* In situ TEM of ice crystals with Peltier cooling (48/50 characters)

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11

12        **Abstract:**

13        Liquid-cell transmission electron microscopy (LC-TEM) is a unique technique that  
14        permits in situ observations of various phenomena in liquids with high spatial and  
15        temporal resolutions. One difficulty with this technique is the control of the  
16        environmental conditions at the observation area. Control of the temperature in the  
17        range from room temperature to minus several tens of degrees Celsius is desirable for  
18        controlling the supersaturation in various materials and for observing crystallization  
19        more easily. We have developed a cooling TEM specimen holder that uses Peltier  
20        devices and we have combined it with a liquid cell to realize accurate temperature  
21        control in LC-TEM. We evaluated this system by using water as a specimen. Motionless  
22        bubbles, shown to be voids containing pressurized gas, formed in the specimen some  
23        time after the temperature had reached  $-12\text{ }^{\circ}\text{C}$ . An electron-diffraction pattern showed  
24        that the specimen turned into ice Ih after the formation of these bubbles, confirming that  
25        our system works properly and can induce crystallization. In addition, we analyzed the  
26        behavior of bubbles formed in the ice Ih, and we discuss the formation of these bubbles  
27        and their internal pressure.

28

29      **Key words:** liquid-cell transmission electron microscopy, in situ observation,

30      crystallization, radiolysis, ice, water, Peltier element, cooling holder

31

32        **Introduction**

33        Liquid-cell transmission electron microscopy (LC-TEM) is a technique that permits the  
34        observation of volatile samples, such as aqueous solutions, by transmission electron  
35        microscopy (TEM) (Ross, 2016). Because this technique can be used to observe various  
36        phenomena in solution at the nanoscale (de Jonge et al., 2019), it is promising for  
37        visualizing the events during the early stages of crystallization, such as the nucleation of  
38        crystals from solution. Recent studies using LC-TEM have revealed that the nucleation  
39        of crystals is a complex process in which various growth units, such as atoms or  
40        molecules, do not assemble one by one to form the nucleus of a crystal but, instead,  
41        form a crystal nucleus via intermediates such as amorphous or dense liquid (Nielsen et  
42        al., 2014; Patterson et al., 2015; Yamazaki et al., 2017; Ou et al., 2020). These facts  
43        clearly indicate that this method is promising for clarifying the previously inaccessible  
44        picture of nucleation.

45               However, it remains difficult to observe the moment of nucleation by LC-TEM. One  
46               reason for this is the scarcity of methods available for inducing crystallization within the  
47               observation area. To observe the crystallization, it is usually necessary to increase the  
48               supersaturation  $\sigma$  (defined as  $\sigma = \ln(C/C_e)$ , where  $C$  is the concentration and  $C_e$  is

49 the solubility) within the observation area to create the supersaturated conditions that  
50 are necessary for crystallization to occur. To increase the supersaturation, it is clearly  
51 necessary to increase the concentration of the solute and/or decrease its solubility. Such  
52 an operation is difficult to perform within the observation area for LC-TEM. Increasing  
53 the concentration of ions in LC-TEM has been achieved for some systems by using  
54 radiolysis (Yuk et al., 2016; Yamazaki & Kimura, 2021). Radiolysis is the process by  
55 which electrons impart part of their energy to a solution sample, especially a solvent,  
56 resulting in the decomposition of the solvent to form active species that undergo  
57 secondary chemical reactions with the surrounding solvent and solute molecules to  
58 produce various chemical species that are not present in the initial solution (Schneider et  
59 al., 2014; Ambrožič et al., 2019). This process permits the production of the chemical  
60 species that are necessary for crystallization to occur, thereby generating supersaturated  
61 conditions for the target crystals; however, the range of systems to which this technique  
62 can be applied is very limited.

63 Another promising method for increasing the concentration within the observation  
64 area involves the use of electrodes. A liquid cell with an amorphous silicon nitride  
65 membrane can be equipped with electrodes to which an electrical signal can be applied.

66 One way in which these electrodes can be used in observing crystallization is to induce  
67 an electrochemical reaction on them. This can, for example, permit the visualization of  
68 electrodeposition on electrodes during a battery charge–discharge cycle (Mehdi et al.,  
69 2015). Another way to use the electrodes is to create an electrical field around them that  
70 induces electric-field-related phenomena such as dielectrophoresis (Pohl, 1951). It is  
71 possible to induce dielectrophoresis in a liquid cell so that colloidal particles collect  
72 around electrodes (Yamazaki et al., 2022). This is a promising method for increasing  
73 particle concentrations in the region around the electrodes and could trigger  
74 crystallization, although the development of this concept remains a work in progress.

75 In contrast to methods that increase concentration, methods that decrease solubility  
76 generally involve changing the temperature of the observed system, and cooling is  
77 particularly effective for most solution systems. Cooling experiments under TEM are  
78 generally performed by using a refrigerant such as liquid nitrogen. TEM specimen  
79 holders for this technique have heaters to maintain the temperature of the specimen by  
80 controlling the balance of heat transfer between the heater and the refrigerant. With this  
81 temperature-control system, it is difficult to maintain temperatures that are just below  
82 room temperature because of an imbalance between the capacity of the heater and that

83 of the refrigerant. Such systems are useful in so-called cryo-TEM, where biological  
84 samples are frozen and supported in amorphous ice for observation (Dubochet et al.,  
85 1988). The range of applications of crystallization from a solution or a pure liquid  
86 (crystallization of a melt) through cooling with a liquid cell in TEM experiments is very  
87 limited (Tai et al., 2014).

88 For optical microscopy, cooling stages that use Peltier elements are commonly used  
89 in observing crystallization from solution (Dold et al., 2006). Temperature control by  
90 using Peltier devices is based on the Peltier effect, in which heat is absorbed or  
91 generated when an electric current is applied to a joint between different metals. In  
92 practice, when a direct current is applied to a Peltier device, one side of the device is  
93 cooled while the other side is heated. By controlling the amount of direct current, the  
94 temperature of the specimen and/or its stage can be controlled so that it remains at a  
95 desired temperature. This temperature-control system is accurate in principle, and the  
96 temperatures that can be controlled by such a system range from several tens to minus  
97 several tens of degrees Celsius, with an accuracy of  $\pm 0.1$  °C. The key to successful  
98 cooling by using Peltier elements is the release of the heat that is produced by the  
99 elements. If insufficient heat is released from the heating side, it can be transferred to



100 the cooling side, resulting in inadequate cooling. Heat-dissipating fins and/or refrigerant  
101 circulated by a pump can be used to remove the heat generated in the heated section of a  
102 Peltier element.

103 We have developed a TEM cooling holder that uses a Peltier device and we have  
104 established an experimental system that combines the holder with a liquid cell for  
105 performing crystallization experiments on liquid specimen. To demonstrate the  
106 effectiveness of our cooling system, we used water, which was expected to form ice  
107 crystals upon cooling, as a liquid specimen, and we successfully observed the formation  
108 of ice crystals. An initial broad examination also showed that bubbles that formed near  
109 the surface of the ice displayed an interesting behavior, analysis of which provided clues  
110 as to the conditions present within the ice and the liquid cell.

111

## 112 **Materials and Methods**

### 113 ***TEM and Electron-Beam Irradiation Conditions***

114 We used a transmission electron microscope (JEM-2100F; JEOL Ltd., Tokyo) with a  
115 field-emission gun operated at an acceleration voltage of 200 kV. The TEM images and

116 videos were recorded by using a OneView IS TEM camera (Gatan, Inc., Pleasanton,  
117 CA). Energy-dispersive X-ray spectroscopy (EDS) for elemental analysis and mapping  
118 was performed using an EDS system (JEOL JED2300T) equipped with a silicon drift  
119 detector. The electron flux of the electron beam irradiating the specimen was controlled  
120 by using an electrostatic shutter (Electrostatic Dose Modulator; IDES, Inc., Pleasanton,  
121 CA) to produce a pulsed electron beam. The intensity of the pulsed electron beam  
122 followed a square-wave pattern with a frequency of  $10^4$  Hz. To reduce the overall  
123 intensity of a continuous electron beam of, for example,  $1.0 \text{ e}^- \text{ nm}^{-2} \text{ s}^{-1}$  to  $0.1 \text{ e}^-$   
124  $\text{nm}^{-2} \text{ s}^{-1}$  (one tenth), during each 0.1 ms of the experiment, the electron beam is  
125 irradiated for 0.01 ms with no irradiation during the remaining 0.09 ms. The irradiation  
126 rate of the electron beam is expressed as a percentage along with the electron flux. In  
127 the previous example, the electron flux is denoted as  $0.1 \text{ e}^- \text{ nm}^{-2} \text{ s}^{-1}$  (10%). We  
128 measured the electron flux when the electrostatic shutter was used without any sample.  
129 From this value, we calculated the electron dose rate, and the values of the electron dose  
130 rate shown here are those of the electron beam before it penetrated the sample. The  
131 electron dose rate was calibrated by using a Faraday cage (JEOL Ltd., Tokyo, Japan).  
132 We used *DigitalMicrograph* (Gatan, Inc., Pleasanton, CA) and *imageJ* (Schneider et al.,  
133 2012) software to analyze the TEM images. We also used *Recipro* (Seto & Ohtsuka,

134 2022) to simulate electron-diffraction patterns.

135

### 136 *The Peltier-Cooling TEM Holder*

137 We have developed a Peltier-cooling TEM holder (Figs. 1a–c) that has a double stacked  
138 Peltier element inside the handle of the TEM holder (Fig. 1b), together with built-in  
139 heat-transfer rods that extend from the Peltier element to just before the sample stage,  
140 which can be replaced by other stages, depending on the required purpose (Fig. 1d–h).

141 The holder is equipped with signal lines (Fig. 1b) to apply a dc electric current to the  
142 Peltier elements. A thermosensor and a heater are installed immediately before the  
143 sample stage inside the holder (not visible in Fig. 1). The thermosensor can measure the  
144 temperature near the sample location, including radiant head, and the temperature  
145 measured by the thermosensor was used to maintain the temperature at the desired value  
146 by balancing the outputs of the Peltier elements and a heater controlled by external  
147 signals. A refrigerant-type heat sink was used to dissipate the heat generated in the hot  
148 parts of the Peltier elements, and a low-pulsation chiller is used to circulate a refrigerant  
149 at  $-20\text{ }^{\circ}\text{C}$  through the heat sink. For this purpose, the holder is also equipped with tubes

150 (Fig. 1c) for circulating the refrigerant. When this type of chiller is used, vibrations  
151 caused by the circulation of the refrigerant are minimal. The actual temperature might  
152 differ from that measured by the thermosensor: the difference will depend on the heat  
153 capacity of the tip and other factors. We attempted to measure the temperature of the tip  
154 in vacuum by using a platinum sensor directly attached to the tip. The temperatures  
155 reported in this study are the values corrected by this measurement. As discussed below,  
156 the temperature at which ice formed from water was consistent with that previously  
157 reported, and the temperature measurement is therefore not significantly incorrect. Note,  
158 however, because heat inflow from the platinum sensor was also possible, more-  
159 accurate measurements of the temperature at the sample location would be desirable. It  
160 is also desirable to calibrate the temperature by observing the phase transition of  
161 materials that are less affected by the electron beam.

162

### 163 *The Liquid Cell*

164 The liquid cell, installed at the tip of the holder, consisted of a copper liquid cell holder  
165 with large and small O-rings (Fig. 1e), a 3-mm-diameter silicon chip (NT025A;

166 Norcada Inc., Edmonton, Canada) (Fig. 1f) and a 5-mm-square silicon chip (Fig. 1g)  
167 (NX5025A; Norcada), with a 50 nm-thick amorphous silicon nitride (a-SiN<sub>x</sub>)  
168 membrane. Both windows measured 250 × 250 μm. The circular silicon chip was placed  
169 on the small O-ring, and double-distilled water that had not been degassed was loaded  
170 onto the chip as a specimen (Fig. 1f). The square silicon chip was then placed on the  
171 circular silicon chip and the larger O-ring (Fig. 1g), and the copper lid was screwed on  
172 (Fig. 1h). The water was thus encapsulated between the two a-SiN<sub>x</sub> membranes. The a-  
173 SiN<sub>x</sub> membranes were placed so that they overlapped, allowing the electron beam to  
174 penetrate through them to permit observation of the encapsulated water specimen.

175

## 176 **Results and Discussion**

### 177 *Bubble Generation and Ice Formation*

178 We observed that ice crystallized in the liquid cell when the temperature was  
179 reduced to -12 °C (Fig. 2). Initially, it was difficult to distinguish whether the water had  
180 crystallized or not but, as described below, we were eventually able to identify  
181 crystallization by means of the behavior of bubbles that formed in the sample. By

182 subsequently obtaining electron-diffraction patterns, we were able to identify the  
183 formation of ice. Note that we use the term ‘bubble’ throughout to refer to a spherical  
184 bright-contrast object observed in the ice crystal; this was actually a void, a type of  
185 crystal defect, that contained gas, as will be discussed later.

186 The observation region of the liquid cell was filled with water, and no large air  
187 bubbles were generated (Grogan et al., 2014) in the initial sample. The liquid cell was  
188 gradually cooled to  $-12\text{ }^{\circ}\text{C}$  and maintained at this temperature. About 3.5 minutes after  
189 the temperature reached  $-12\text{ }^{\circ}\text{C}$ , bright spots began to appear (Fig. 2b). The size of  
190 these bright spots increased with time (Fig. 2c). The bright contrast of these spots  
191 indicated that they were less dense than their surroundings. As we discuss later, we  
192 concluded that these spots correspond to bubbles. In general, when such bubbles form in  
193 an aqueous solution in a liquid cell they move or instantly grow so large that they cover  
194 the entire field of view (Grogan et al., 2014). However, these bubbles grew slowly over  
195 time and their centers did not move. We therefore concluded that the water had already  
196 crystallized as ice.

197 When the temperature was raised toward  $6\text{ }^{\circ}\text{C}$  after the formation of these bubbles,  
198 the ice crystal in the observation area shrank and the interface of the ice became

199 obscured; eventually, the observation area filled with water (Fig. 3 and Supplementary  
200 Video S1) because all the ice crystals in the liquid cell completely melted into water.  
201 When the ice reverted to water, the bubbles disappeared, suggesting that all the bubbles  
202 had dissolved in the water because water can dissolve gases, whereas bubbles are not  
203 readily incorporated into ice.

204 A selected-area electron-diffraction (SAED) pattern of the ice was acquired at  
205  $-12\text{ }^{\circ}\text{C}$ , as shown in Fig. 4. Note that it is generally difficult to obtain electron-  
206 diffraction patterns when this type of liquid cell is filled with a solvent, because the  
207 sample is too thick. We attempted to obtain a diffraction pattern when the liquid cell was  
208 filled with ice, but we could not obtain a clear diffraction pattern due to the thickness of  
209 the sample, which was probably of the order of several micrometers. We therefore  
210 searched for a large air bubble and we obtained a diffraction pattern through it (Fig. 4).  
211 The electron-diffraction pattern was consistent with that of ice Ih, as simulated by the  
212 *Recipro* software (Seto & Ohtsuka, 2022). We observed the presence of ice Ih at  $-12\text{ }^{\circ}\text{C}$ ,  
213 the same temperature as that found in a previous study in which ice Ih was observed at  
214  $-12\text{ }^{\circ}\text{C}$  by using a cooling TEM holder with a refrigerant and heater (Tai et al., 2014).  
215 Therefore, our temperature-control system combining a Peltier-cooling TEM holder and

216 a liquid cell worked successfully in the TEM observations.

217

### 218 *Formation Routes of the Bubbles*

219 There are two possible routes for the formation of gas bubbles during ice  
220 crystallization: either the gases are excluded from the water during ice crystallization in  
221 a degassing process or the gases are produced by radiolysis of the ice after  
222 crystallization.

223 After the ice had crystallized, we were often able to find an area where a large  
224 bubble had formed (Supplementary Fig. S1), through which the transmission of the  
225 electron beam was sufficient to permit the acquisition of an electron-diffraction pattern  
226 (Fig. 4). The EDS mapping images for oxygen and silicon show that neither element  
227 could be detected in such bubbles, whereas the surrounding was composed of oxygen,  
228 indicating ice (Supplementary Fig. S1). Assuming that the sample water was previously  
229 saturated with atmospheric gases, the ratio of the volume of the gas present to that of the  
230 water was calculated to be 50:1 (Supplementary Text S1). Because the window area  
231 accounted for less than 2% of the total liquid cell (the surface area of the small Si chip



232 was  $\sim 7 \text{ mm}^2$  whereas the area of the window was  $\sim 0.06 \text{ mm}^2$ ), if a large bubble that  
233 covered the entire window is formed, this can be explained by degassing of the water  
234 alone. When the ice reverted to water, these bubbles disappeared, suggesting that they  
235 had redissolved in the water (Fig. 3, Supplementary Video S1). The bubbles that formed  
236 only after the ice crystallization were primarily the result of degassing of the initial  
237 water sample as a result of ice crystallization.

238 As shown in Fig. 2, the bubbles continued to expand when the ice was irradiated  
239 with the electron beam. This suggests that radiolysis by the electron beam was the main  
240 source of gases after crystallization. When water/ice is irradiated with electrons, water  
241 molecules are excited and then undergo chemical reactions with surrounding water  
242 molecules. This process, known as radiolysis, results in the formation of various  
243 chemical species, including gas molecules such as hydrogen and oxygen (Schneider et  
244 al., 2014). The formation of bubbles by radiolysis is also supported by our observation  
245 that no bubbles formed in the ice regions not irradiated by the electron beam after  
246 crystallization. We therefore conclude that the bubbles formed in the ice crystal were the  
247 result of a radiolysis process. A schematic summarizing the two bubble-formation routes  
248 involving crystallization and electron-beam effects is shown in Supplementary Fig. S2.

249 In LC-TEM, radiolysis has significant effects on liquid specimens and on  
250 observing phenomena. Calculations of changes in the composition of liquid water  
251 resulting from radiolysis have shown that the production of hydrogen and oxygen  
252 depends on the electron dose rate (Schneider et al., 2014). Similarly, such gaseous  
253 products might be produced by the radiolysis of an ice specimen. The formation of  
254 bubbles in both ice and hydrated specimens has also been observed by cryo-TEM  
255 (Unwin & Muguruma, 1972; Dubochet et al., 1988). The formation and escape of  
256 bubbles (referred to as ‘cavities’ in the literature) under electron-beam irradiation have  
257 been observed in ice Ih at  $-80\text{ }^{\circ}\text{C}$  (Unwin & Muguruma, 1972). Also, similar bubble  
258 formation has often been observed in hydrated organic specimens in ice under cryogenic  
259 conditions (Dubochet et al., 1988). The presence of hydrogen was confirmed by  
260 electron energy-loss spectroscopy (EELS) (Leapman & Sun, 1995). A similar analysis  
261 could provide insight into the composition of the bubbles produced in the present study  
262 and the chemical reaction pathway for the formation of these bubbles by radiolysis.

263

264 *A Physical and Chemical View of the Formation of Bubbles in the Ice*

265           Next, we will consider a physical view of the formation of bubbles in the crystals.  
266           During radiolysis, lattice positions occupied by water molecules in an ice crystal  
267           become vacant as a result of radiolytic decomposition, resulting in the formation of  
268           vacancies. In the formation of a bubble, a plausible picture is that these vacancies  
269           diffuse and aggregate to form a void that is filled by the gases generated by radiolysis.  
270           The gases could diffuse through the crystal alone or together with the vacancies or  
271           voids. Although a detailed model might provide a better account of the effects of gases  
272           and defect sinks (Was, 2017), we assumed that the bubble-growth mechanism involves a  
273           process of diffusion and aggregation of growth units (vacancies and/or vacancy and gas  
274           molecule pairs); we were then able to predict the process that determines the growth  
275           rate of the bubbles.

276           We measured the time dependence of the radius of one bubble (indicated by the  
277           white arrow in [Fig. 2](#)) and we found that its radius increased almost linearly with time,  
278           indicating that its growth rate  $R$  was constant ([Fig. 5](#)). The growth rate can be described  
279           by the equation  $R = K\sigma_{surf}$ , where  $K$  is the kinetic coefficient and  $\sigma_{surf}$  is  
280           supersaturation at the surface of the bubble (Chernov, 1984). The kinetic coefficient  
281           represents the reaction process at the bubble's surface; this value will therefore be

282 constant if the environmental conditions, such as temperature, remain unchanged. The  
283 supersaturation  $\sigma_{surf}$  can be expressed as  $\sigma_{surf} = C_{surf} - C_{eq}$ , where  $C_{surf}$  is the  
284 concentration of growth units in ice at the surface of the bubble and  $C_{eq}$  is the  
285 equilibrium concentration of growth units. The equilibrium concentration  $C_{eq}$  will also  
286 be constant if the environmental conditions are unchanged. As the particles become  
287 larger, the number of sites for the incorporation of growth units increases and the  
288 concentration  $C_{surf}$  tends to decrease. In the present case, the constant growth rate  
289 during the observation period suggests that bubble growth is rate-limited by the reaction  
290 process at the surface of the bubble.

291 According to the literature (Schneider et al., 2014.), the electron flux in Fig. 2  
292 corresponds to the dose rate of  $10^5 \text{ Gy s}^{-1}$  under our experimental conditions. At this  
293 dose rate, the concentrations of hydrogen and oxygen at chemical equilibrium in the  
294 irradiated area are approximately  $10^{-5}$  and  $10^{-6}$  M, respectively. If all the gas  
295 components emerge as a bubble from a water volume of  $1000 \mu\text{m}^3$  (this assumes from a  
296 volume of  $10 \times 10 \times 10 \mu\text{m}^3$ , similar to our observation conditions) at this  
297 concentration, the volume of the bubble would be  $\sim 0.2 \mu\text{m}^3$  at atmospheric pressure and  
298  $-12 \text{ }^\circ\text{C}$ . Even if the gas is consumed for growing bubbles, the gas concentration is

299 maintained at this value on the millisecond scale because the solution is in chemical  
300 equilibrium. Therefore, the maximum gas production rate is estimated to be  $200 \mu\text{m}^3$   
301  $\text{s}^{-1}$ , which is sufficient to grow a bubble with a diameter of  $1.8 \mu\text{m}$  (volume of  $\sim 24 \mu\text{m}^3$ )  
302 in 236 s. The value calculated under this assumption is consistent with the results of the  
303 present experiment. Note that this gas production rate is calculated for a thin film of  
304 water. Because the sample in our experiment is ice and thicker (multiple scattering of  
305 electrons should occur), the production rate should be different.

306 This might provide further insights into the details of the bubble-formation  
307 mechanism to measure the bubble growth rate as functions of the temperature and the  
308 dose rate.

309

### 310 *Estimation of the State of the Ice and Its Environment by an Analysis of the Bubbles*

311 In this section, we discuss details of the nature of the ice and its surrounding  
312 environment obtained by analyzing the bubbles. When all the liquid water had  
313 crystallized, the dissolved gases were excluded, and a gas–solid interface formed inside  
314 the liquid cell. Observations performed at  $-12 \text{ }^\circ\text{C}$  showed that the interface slowly

315 receded (Fig. 6 and Supplementary Video 2). While the interface was receding, bubble  
316 growth was not clearly observed. In addition, the temperature increase in LC-TEM has  
317 been estimated to be small (Grogan et al., 2014), and we did not observe any drastic  
318 changes, such as boiling of water, induced by high temperatures. Therefore, this  
319 recession might have been due to decomposition of the ice crystals through radiolysis,  
320 with the radiolysis products, as well as forming bubbles, escaping from the interface  
321 before being incorporated into bubbles.

322         The bubbles formed within the ice crystals remained stationary at constant  
323 positions (the positions of the centers of the spheres did not change), and no movement  
324 of the bubbles inside the crystals was clearly observed. Under these conditions, as the  
325 surface of the ice crystal receded and the surface moved closer to the bubbles, the  
326 bubbles were eventually ejected from the surface of the ice crystal. When bubbles began  
327 to be ejected, they were observed to be moving and their shapes became deformed, so it  
328 appeared that there might have been a liquid layer present on the surface. Here, we  
329 discuss the state of the ice surface by analyzing the behavior of the bubbles.

330         First, let us assume the presence of a liquid layer on the surface of an ice crystal  
331 about the size of a bubble (several hundreds of nanometers), from which the bubble

332 escapes. This is a similar situation to the case in which bubbles escape from the surface  
333 of bulk water at a flat gas–liquid interface. Note that a layer of liquid on the ice surface,  
334 commonly called the quasi-liquid layer, is not observed in this temperature region, and  
335 its thickness is less than 100 nm (Sazaki et al., 2022).

336         When a bubble with a size of a few tens of micrometers escapes from bulk water,  
337 the relaxation time of the water’s surface has been experimentally determined to be  
338 several tens of microseconds (because the water surface generally oscillates during the  
339 relaxation, the time for one cycle of oscillation is taken as the relaxation time) (Lee et  
340 al., 2011). On the other hand, the relaxation time that we observed before the bubbles  
341 escaped from the surface and the surface was roughly flattened was about one second  
342 for bubbles with a diameter of 600 nm (Fig. 6). The measured relaxation time was  
343 therefore huge compared with that of bulk water. This discrepancy shows that ordinary  
344 water was not present on the surface of the ice. If a liquid layer is highly viscous, its  
345 relaxation time  $\tau$  can generally be described by the expression  $\tau = \mu h / \gamma$  (Bird et al.,  
346 2010), where  $\mu$  is the viscosity,  $h$  is the distance between the interface and the surface of  
347 the air bubble, and  $\gamma$  is the surface tension. If we assume that the surface tension of  
348 water at  $-12\text{ }^{\circ}\text{C}$  is  $0.077\text{ kg s}^{-2}$  (Kalová & Mareš, 2018), a typical value of  $h$  is about

349 100 nm (Fig. 4), and the relaxation time is about 1 s, the viscosity of the liquid phase is  
350  $7.7 \times 10^5$  Pa s or  $7.7 \times 10^8$  cP. This is highly viscous for a liquid, and its value is more  
351 than eight orders of magnitude higher than that of bulk water. Therefore, it is reasonable  
352 to infer that the surface remains in a solid state. It would be interesting to observe the  
353 ice surface at closely spaced temperatures around the melting point to identify the  
354 boundary between the liquid and solid phases.

355         If the surface is solid, the mass-transport process involved in restoring a flat  
356 surface can be estimated from the relaxation time of the surface. Immediately after  
357 bubble rupture, a hole is created in the surface of the ice, and the flat surface is  
358 eventually restored because the ice attempts minimize its surface energy. During this  
359 recovery process, the supply of molecules to the ice surface can be estimated by  
360 applying Herring's scaling law for sintering (Herring, 1950), which can be used to  
361 predict the process of mass transfer from the relationship between the relaxation time  
362 and the characteristic length power. We measured the dependence of the relaxation time  
363 on the bubble size (Fig. 7) and we found that it increased with the bubble size to the  
364 power 1.77. According to Herring's scaling law, this corresponds to a process of  
365 evaporation and condensation in which molecules that have evaporated into the gas



366 phase are taken up again at the surface (for which the power is approximately 2), and  
367 not volume diffusion (for which the power is approximately 3). This result suggests that  
368 the process of surface relaxation involves the release of water molecules from a  
369 relatively unstable crystal and their reincorporation from the gas phase to form a surface  
370 to minimize the surface energy.

371 If the ice surface is crystalline, its tensile strength can be used to estimate the  
372 pressure inside the bubbles. In Fig. 4, a bubble with a radius of 200 nm ruptured when  
373 the ice between the bubble and the environmental phase was 100 nm thick. Rupture  
374 occurs when the stress generated by the internal pressure of a bubble exceeds the tensile  
375 strength of the ice. In a thick-walled spherical container of thickness  $t$ , the maximum  
376 stress due to the internal pressure caused by the enclosed gas is equal to the stress  
377 applied at the inner wall of the container, and can be expressed by the following  
378 equation:

$$379 \quad \sigma_{inner} = p \frac{(r + t)^2 + r^2}{(r + t)^2 - r^2} \quad (1).$$

380 If we assume that the internal pressure inside the liquid cell is equal to atmospheric  
381 pressure (0.1 MPa) and that the tensile strength of the ice is 1–2 MPa (Cuda & Ash,

382 1984), the pressure  $p$  ranges from 0.38 to 0.77 MPa, which is the same order of  
383 magnitude as atmospheric pressure. This is small compared with the pressure of  $\sim 10^3$   
384 atmospheres inside bubbles generated in water samples containing organic matter, as  
385 measured by EELS (Leapman & Sun, 1995). From a simple ratio, when two water  
386 molecules are converted into gases, two hydrogen molecules and one oxygen molecules  
387 are produced. The volume occupied by one water molecule in ice Ih is  $0.32 \text{ nm}^3$ ,  
388 whereas the volume of one ideal gas molecule at one atmosphere is  $41 \text{ nm}^3$ . If three gas  
389 molecules are present in the space previously occupied by two water molecules, the  
390 local pressure would be about 200 atm. However, the diffusion coefficient of molecular  
391 hydrogen in ice Ih under similar condition to those of our study is  $10^{-11} \text{ m}^2 \text{ s}^{-1}$   
392 (Patterson & Saltzman, 2021), a value that is as large as that of macromolecules in  
393 aqueous solution. Therefore, interactions might occur between the bubble surface and  
394 hydrogen molecules that keep hydrogen within the bubble.

395

396 **Application of the Cooling TEM Holders, and Perspectives**

397           Our experimental system composed of the Peltier-cooling TEM holder and a  
398 liquid cell can be used to study phase transitions of liquids and crystallization  
399 from solutions (e.g., salt precipitation from solutions). In the current situation, we  
400 can use it to study the dynamics of the early stages of crystallization. Even in a  
401 thick solution layer where no bubbles are generated, we have measured the  
402 growth rate of crystals using a combination of a liquid cell and machine learning  
403 to detect crystallization (Katsuno et al., 2022). In this experiment, we captured a  
404 large change in growth rate in the early stages of crystallization. We speculate  
405 that this change in growth rate is due to a phase transition from a metastable  
406 phase to a stable phase. Our system is useful for studying the nucleation pathways  
407 to the most stable phase for various crystallization systems.

408           Thinning a sample liquid layer for high-resolution imaging is one of the future  
409 challenges for realizing the potential of our developed system. There are mainly  
410 two methods to produce a thin liquid layer in this type of liquid cell: generation  
411 of bubbles and the use of rectangular-slit window frames. The generation of  
412 bubbles in this type of liquid cell produces a thin layer of liquid (meniscus) on the  
413 membrane (Grogan et al., 2014). This procedure is necessary to attain high-

414 resolution images and electron diffraction patterns. Such a bubble is often  
415 generated without the experimenter realizing it. The presence of a thin liquid  
416 layer on the membrane is supported by indirect evidence such as the growth of  
417 crystals on the membrane. In addition, controlling the thickness of the bubble-  
418 induced liquid layer formed on the membrane is difficult. In practice, the liquid  
419 layer is sufficiently thin for high-resolution images to be acquired. With the  
420 second method (i.e., using rectangular-slit window frames), the thickness of the  
421 sample can be reduced somewhat by using rectangular-slit window frames and  
422 overlapping the window frame in a cross (Li et al., 2021). This type of liquid cell  
423 could be used in our system, and the spatial resolution would be improved at the  
424 corners where the windows overlap. However, controlling the thickness of the  
425 liquid in the entire liquid cell is difficult because of the bulging effect (Holtz et  
426 al., 2013). Controlling the liquid layer thickness over a large-scale area is a  
427 technical challenge not only for this system but also for liquid-cell TEM using a-  
428 SiN<sub>x</sub> membranes.

429

430 **Conclusion**

431 We have developed a cooling TEM specimen holder that uses Peltier devices and we  
432 have combined it with a liquid cell to establish a temperature-controlled LC-TEM  
433 system. We crystallized water by using this system and we showed that the device  
434 operates correctly and can promote crystallization by decreasing the temperature. The  
435 growth of bubbles formed in ice I<sub>h</sub> and their internal pressure have been discussed on  
436 the basis of the behavior of the bubbles. Bubbles in ice were formed by radiolysis, and  
437 their growth rate suggested that the kinetics of incorporation of growth units at the  
438 bubble–ice interface was the rate-limiting step for bubble growth. When the bubbles  
439 escaped from the ice surface, the surface appeared to consist of a liquid phase, but the  
440 relaxation time of the surface suggested that it remained as a solid. When Herring’s  
441 scaling law was applied, the dependence of the relaxation time on the bubble sizes  
442 suggested that surface relaxation occurred by a process of evaporation and  
443 condensation. Based on the state of ice when the bubbles ruptured, the pressure inside  
444 the bubble was estimated to be in the range 0.38 to 0.76 MPa, assuming that the inside  
445 of the liquid cell was at atmospheric pressure.

446 In conclusion, our system can be used to investigate not only crystallization, but  
447 also physical properties and interfacial conditions based on dynamic behaviors through

448 in situ observations. The system will be useful in investigating crystallization from  
449 aqueous solutions and, as shown in this study, it will help in achieving an understanding  
450 of the properties of materials and phenomena that occur inside liquid cells to elucidate  
451 the behavior of materials under observation. It should be possible to understand the  
452 observed phenomena more deeply by examining the effect of the dose rate and the  
453 temperature dependence.

454

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459

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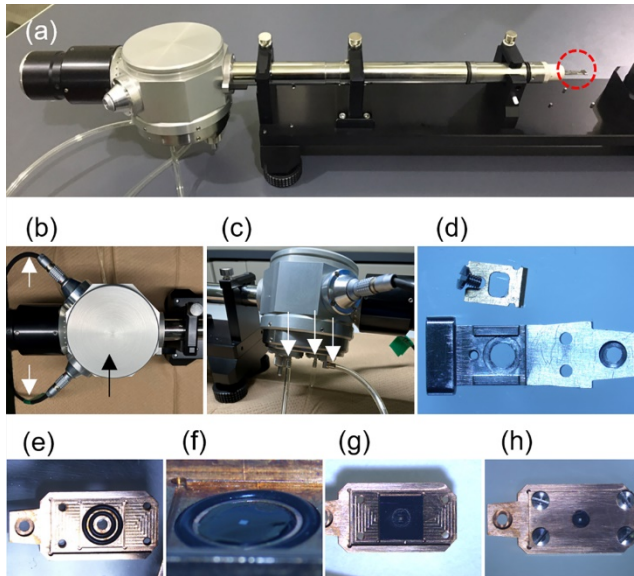
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543

544

## Figure legends



545

546

**Figure 1.** The Peltier holder and the procedure for assembly of the liquid cell. The

547

liquid cell is assembled at the tip of the developed Peltier-cooling TEM holder, circled

548

by the red dashed line (a). The Peltier device was installed inside the handle of the

549

holder, as indicated the black arrow in (b), and the current is supplied and the

550

temperature measurements are performed by using the signal lines indicated by the

551

white arrows in (b). For circulation of the refrigerant, there are three ports indicated by

552

white arrows in (c), two for circulation and one for removal of air bubbles by a syringe

553

(c). The sample stage at the tip of the holder can be changed for samples on a

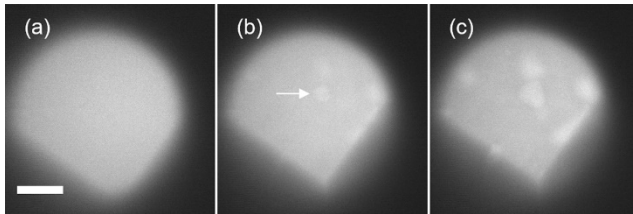
554

conventional 3 mm $\Phi$  grid (d) or for liquid-cell experiments (e–h). The liquid-cell holder

555

is made of copper on which the large and small O-rings are placed (e). A square Si chip

556 is placed on top of the small Si chip (f) and the large O-ring (g). The copper lid is then  
557 positioned and tightened in place by screws (h).



558

559 **Figure 2.** A series of bright-field TEM images showing the formation of bubbles in ice.

560 The outer circular dark area is the shadow of the condenser aperture. Nothing is visible

561 in the water sample at  $-8\text{ }^{\circ}\text{C}$  (a). The sample was continuously observed at an electron

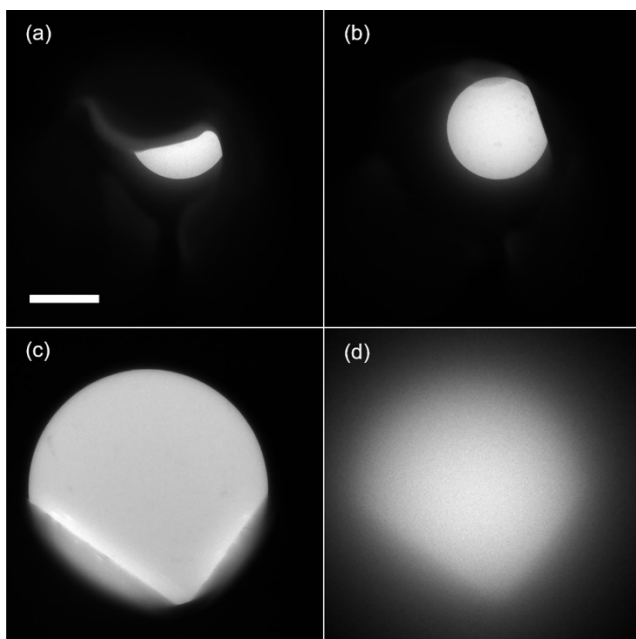
562 flux of  $1.8\text{ e}^{-}\text{ nm}^{-2}\text{ s}^{-1}$  (11%). The temperature was lowered from  $-8\text{ }^{\circ}\text{C}$  to  $-12\text{ }^{\circ}\text{C}$  over

563 3.5 minutes, and after another 3.5 minutes at  $-12\text{ }^{\circ}\text{C}$ , the formation of a bubble

564 (indicated by the white arrow) was clearly observed (b). The bubble grew larger for the

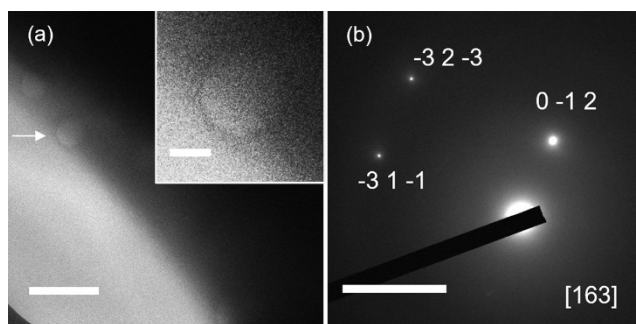
565 next 1.5 minutes (c). The scale bar is  $5\text{ }\mu\text{m}$ , and all images are on the same scale.

566



567

568 **Figure 3.** Dissolution of ice when the temperature was increasing toward 6 °C. These  
569 bright-field TEM images are from frames of Supplementary Video S1. At 0 s, with a  
570 selected-area diffraction aperture, ice was observed as a dark object (a). The ice receded  
571 and disappeared from the field of view at 2.7 s (b). After removing the selected-area  
572 aperture, no ice was present in the entire field of view at 5.2 s (c), and the field of view  
573 was filled with water at 7.2 s (d). The circular object in (c) is the condenser aperture.  
574 The electron flux was  $17 \text{ e}^- \text{ nm}^{-2} \text{ s}^{-1}$  (100%). The scale bar is  $5 \text{ }\mu\text{m}$  and all images are  
575 on the same scale.



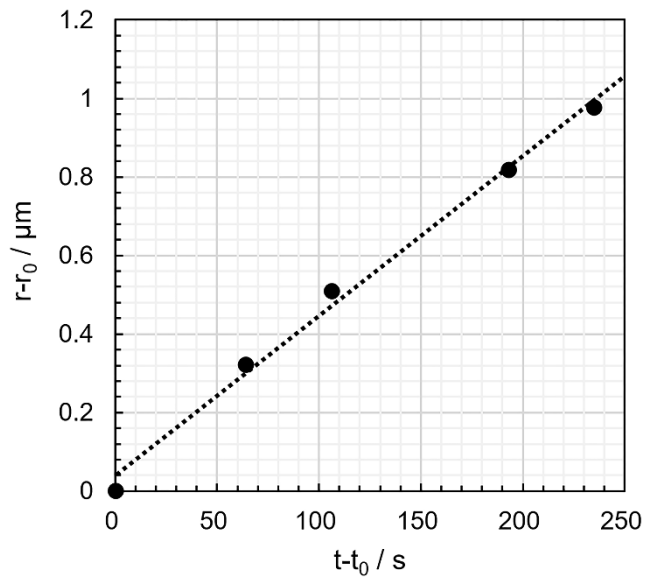
576

577 **Figure 4.** Identification of a formed crystal. The SAED pattern was acquired from the  
 578 region of the crystal with the air bubble indicated by the white arrow in (a). The bright  
 579 area in the lower left is the region where the large bubble was formed, and the dark area  
 580 in the upper left corresponds to the ice crystal for which the SAED pattern was  
 581 recorded. The inset is an enlarged image of the bubble. This bubble was just about to  
 582 rupture, and these images were acquired at the point where the ice's crystal surface was  
 583 nearly parallel to the electron beam. The diameter of the bubble was about 400 nm, and  
 584 the thinnest part of the ice separating the bubble from the gas phase was about 100 nm.  
 585 The SAED pattern corresponds to the [163] SAED pattern of ice Ih (b). The electron  
 586 flux was  $65 \text{ e}^- \text{ nm}^{-2} \text{ s}^{-1}$  (50%). The scale bars are 1  $\mu\text{m}$  (a) 200 nm (a, inset), and 5  $\text{nm}^{-1}$   
 587 (b).

588

589

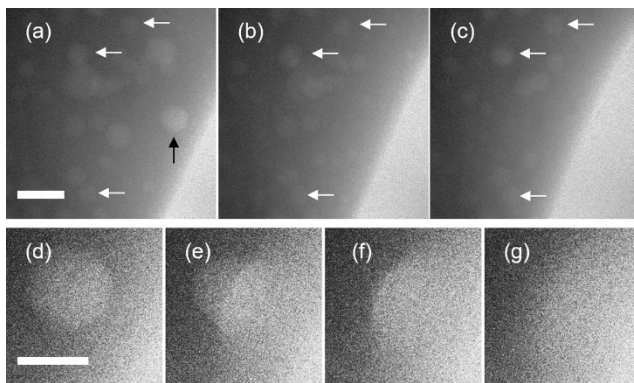




590

591 **Figure 5.** Change in the bubble size with time at an electron flux of  $1.8 \text{ e}^- \text{ nm}^{-2} \text{ s}^{-1}$   
 592 (11%) at  $-12 \text{ }^\circ\text{C}$ . Because the time of the bubble occurrence was unknown, the plots  
 593 were produced by subtracting the size and time for the first bubble.

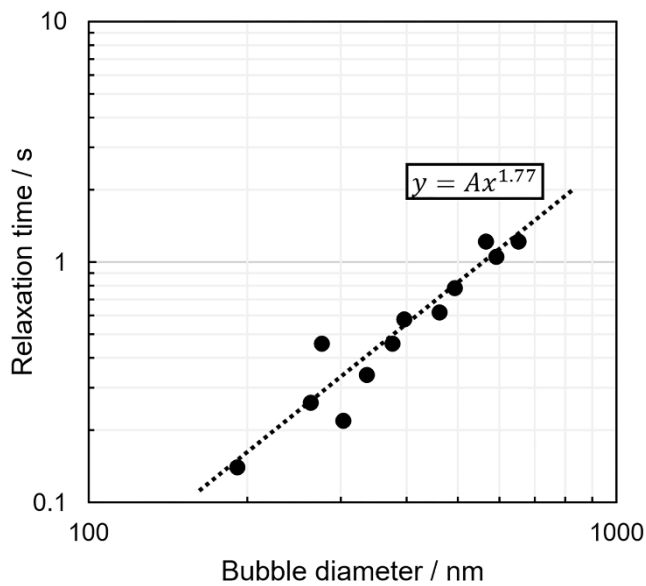
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595

596 **Figure 6.** Recession of the ice surface and subsequent escape of bubbles. These bright-  
 597 field TEM images are frames from Supplementary Video S2. Many bubbles were

598 formed in the ice at 0 s (a). The ice surface in the gas phase appears as the straight line  
 599 in the lower right of (a), and this receded after 15 s (b) and 30 s (c), even though the  
 600 bubbles were not moving (indicated by the white arrows). A magnified image of the  
 601 bubble indicated by the black arrow in (a) is shown in (d), and the subsequent escape  
 602 process of the bubble is shown in (e–g). The rupture of the bubble began immediately  
 603 after 0 s (d), progressed through 0.4 s (e) and 0.8 s (f), and was almost complete after  
 604 1.2 s (g). The electron flux was  $89 \text{ e}^- \text{ nm}^{-2} \text{ s}^{-1}$  (100%). The scale bar is  $1 \text{ }\mu\text{m}$  (a) and (a–  
 605 c) are on the same scale. The scale bar is  $500 \text{ nm}$  (d) and (d–g) are on the same scale.



606  
 607 **Figure 7.** Dependence of the bubble size on the relaxation time for bubble rupture. The  
 608 measurements were made on the bubbles shown in Supplementary Video S2. The power  
 609 function  $y = Ax^B$  was fitted with  $A = 1.3 \times 10^{-5}$  and  $B = 1.77$ .