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Title: Effectiveness and safety of percutaneous sclerotherapy using absolute ethanol and/or polidocanol for maxillofacial venous malformations involving the masticatory muscles: a case series

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1 Abstract

2 *Objective.* This study evaluated the effectiveness and safety of percutaneous

3 sclerotherapy for maxillofacial venous malformations.

4 Study Design. Patients with venous malformations involving the masticatory muscles

5 who underwent sclerotherapy were enrolled in this retrospective study.

6 *Results.* Twenty-four patients (13 female, 11 male; mean age 21 years) were analyzed.

7 Major clinical symptoms were swelling (100%) and intralesional pain (54%).

8 Intramuscular lesions involved the masseter muscle only in 38% of cases, both the

9 masseter and temporalis muscles in 33%, all masticatory muscles in 21%, and the

10 temporalis muscle only in 8%. Extramuscular involvement was observed in 58% of

11 patients. Absolute ethanol and polidocanol were used as sclerosants. The mean number

12 of sclerotherapy sessions per patient was 6.6 (range, 1–32). The mean follow-up

13 duration after the first sclerotherapy session was 64.8 months (range, 6–178).

14 Complications included paralysis of the facial nerve (25%), intraoral ulceration (8%),

15 and hemoglobinuria (8%). Effectiveness of treatment was rated as excellent in 33% of

16 cases, good in 46%, and fair in 21%. Better results were obtained in patients without

17 extramuscular involvement.

18 *Conclusion.* Percutaneous sclerotherapy can be effective and safe for maxillofacial

19 intramuscular venous malformations, especially localized lesions of the masseter

20 muscle.

21 Introduction

22 Venous malformations (VMs) are a subclass of congenital vascular malformations that 23 develop in the maxillofacial region more often than in the trunk or extremities. VMs 24 have a wide spectrum of presentations, from isolated cutaneous or intramuscular varicosities to more complex anomalies involving several tissue planes.¹ VMs that are 25 symptomatic can be disfiguring, cause pain, induce neuropathy, ulcerate, and bleed.² 26 27 Surgical excision is useful only for localized lesions. Sclerotherapy has become a useful 28 alternative to surgical excision of maxillofacial VMs.¹ Although symptoms vary 29 depending on the size and location of the lesion, there have been few reports on maxillofacial intramuscular VMs.³⁻⁸ This study sought to evaluate the effectiveness and 30 31 safety of percutaneous sclerotherapy for maxillofacial VMs involving the masticatory 32 muscles, focusing on the distribution of the lesions.

33

34 Materials and Methods

35 Ethical approval

The study was approved by the institutional review boards of Hokkaido University Hospital (number 015-0340) and Tonan Hospital (number 15-1-1) and conducted in accordance with the Declaration of Helsinki. The requirement for informed patient consent was waived due to the retrospective nature of the study.

40

41 *Patients*

42 The medical charts of all patients with VMs treated between 1992 and 2013 at

- 43 Hokkaido University Hospital (1992–2013) and between 2008 and 2013 at Tonan
- 44 Hospital (2008–2013) were retrospectively reviewed. Patients with maxillofacial VMs

45 involving the masticatory muscles who underwent percutaneous sclerotherapy with a 46 minimum follow-up duration of 6 months were enrolled in the study. Data were 47 collected for sex, age at presentation, symptoms, findings in clinical photographs, 48 presence of phleboliths on imaging, radiologic studies, and surgical procedures during 49 the follow-up period. 50 Before the procedure, all patients underwent color duplex ultrasound and magnetic 51 resonance imaging (MRI) to evaluate the extent, distribution, and characteristics of the 52 lesions. Diagnosis of VM was based on clinical history and findings on physical 53 examination, ultrasonography, and MRI. All lesions included in the study met the MRI criteria for VM.⁹ The distribution of lesions was recorded in the masticatory muscles 54 55 and at extramuscular sites.

56

57 **Procedures**

58 To visualize needle placement and facilitate direct cannulation of the vascular channels, 59 the lesion was punctured directly using a 22-G angiocatheter with color duplex 60 ultrasound (LOGIQ e; GE Yokogawa Medical Co., Ltd., Tokyo, Japan). For lesions in 61 the temporal muscle and lesions involving the oral cavity and/or orbit, to confirm the 62 absence of any life-threatening venous drainage, water-soluble contrast material was 63 injected under fluoroscopic guidance. A sclerosing solution with contrast material at a 64 ratio of 4:1 was subsequently injected into the lesion. The sclerosants used were 65 absolute ethanol and polidocanol (Polidocasklerol 3% injection; Zeria Pharmaceutical 66 Co., Ltd., Tokyo, Japan). We used polidocanol 1% until the end of 2007 and polidocanol 3% thereafter. Since publication of the report by Tessari et al., ¹⁰ we have used 67 68 polidocanol 3% foam, which is obtained by mixing 2 mL of polidocanol with

69 atmospheric air at a ratio of 1:4 in two syringes attached using a three-way stopcock. 70 We stopped the sclerotherapy upon sufficient filling of the vascular lesion as seen on 71 duplex sonography or upon reaching a maximum dose of the sclerosant (1 mL/kg). 72 Patients were treated with absolute ethanol under general anesthesia and with 73 polidocanol under general anesthesia or without anesthesia in the outpatient clinic. The 74 interval between each treatment session was generally scheduled as 3-6 months to 75 allow swelling and changes directly related to sclerotherapy to subside and for clinical 76 status to be evaluated accurately. Some patients chose to have multiple sclerotherapy 77 sessions using polidocanol without anesthesia in the outpatient clinic for social reasons. 78 The number of sclerotherapy sessions, sclerosant doses, and post-treatment 79 complications were recorded. Acute swelling of the treated lesions and a transient increase in pain after sclerotherapy were not considered to be complications.^{2, 11} 80 81 Transient trismus after sclerotherapy was also excluded as a complication.

82

83 Evaluation of outcomes

84 Photographs taken before and after treatments were used to evaluate improvement of 85 appearance, which was graded as follows: excellent (complete reduction of swelling), 86 good (more than 50% reduction of swelling), fair (less than 50% reduction of swelling), 87 and poor (no change or worse). Improvement of intralesional pain was graded as 88 excellent (complete relief), good (more than 50% reduction), fair (less than 50% 89 reduction), and poor (no change or worse). The outcomes were retrospectively 90 evaluated using photographs and documentation in the medical records at the last 91 follow-up by two clinicians who had not performed the treatment. The grade for 92 treatment effectiveness was defined as the lower of the grade for improvement of

93 appearance and the grade for improvement of pain.

94

95 Statistical analysis

Data are expressed as mean \pm standard deviation or as number (percentage). The

97 relationships of pain with sex or presence of phleboliths were assessed using Fisher's

98 exact test. Two-tailed P-values < 0.05 were considered statistically significant.

99

100 Results

101 Twenty-four consecutive patients (13 female, 11 male) with VMs involving the

102 masticatory muscles were identified. Mean patient age at presentation was 21 years

103 (range, 1–58). Table 1 summarizes the clinical characteristics of the patients. Symptoms

104 were swelling on the affected side in all patients, intralesional pain in 13 patients (10

105 female, 3 male), exophthalmos in 1 patient, and malocclusion in another patient.

106 Intralesional pain was reported in 54% of cases and was significantly more common in

107 female patients (77% vs 27%; P = 0.038). Ten of 17 patients with phleboliths reported

108 pain (P = 0.659).

- 109 The intramuscular lesions were in the masseter muscle alone in 9 patients, in the
- 110 masseter and temporalis muscles in 8, in all the masticatory muscles in 5, and in the
- 111 temporalis muscle alone in 2. There were 14 extramuscular sites, namely, the
- 112 subcutaneous tissue (n = 10), oral cavity (n = 9), and orbit (n = 6).
- 113 The mean number of sclerotherapy sessions was 6.6 ± 8.1 (range 1–32). In total, 159
- 114 sclerotherapy sessions were performed. The mean dose per session was 11.1 ± 9.2 mL
- for absolute ethanol and 8.2 ± 6.6 mL for polidocanol. The mean follow-up duration
- after the first sclerotherapy session was 64.8 ± 51.2 months (range, 6–178).

117	Improvement of appearance was excellent in 9 of 24 patients, good in 14, and fair in 1.
118	Improvement of pain was excellent in 3 of 13 patients, good in 6, and fair in 4.
119	Therefore, the effectiveness of treatment was rated as excellent in 8 patients, good in 11,
120	and fair in 5. Representative cases of each treatment effectiveness grade are shown in
121	Figs. 1-3 (Patients 1, 7, and 11). The complications were facial nerve paralysis in 6
122	patients (buccal branch, $n = 3$; temporal branch, $n = 2$; marginal mandibular branch, $n = 1$
123	1), intraoral ulceration in 2, and hemoglobinuria in 2. Facial nerve paralysis completely
124	resolved in 5 of the 6 patients, but one (Patient 7, Fig. 2) had persistent facial nerve
125	paralysis in the temporal branch that required a facelift. All complications occurred
126	when absolute ethanol was used, whether or not in combination with polidocanol.
127	Surgical procedures were conducted in 7 patients during the follow-up period, including
128	facelift $(n = 3)$ and resection $(n = 5)$.
129	Better results were obtained in patients without extramuscular involvement (Table 2).
130	Among the 7 patients with lesions in the masseter muscle alone, the effectiveness of
131	treatment was rated as excellent in 5 patients and good in 2 after a mean 2.6 ± 1.4
132	sclerotherapy sessions without complications. Among the 13 patients with intralesional
133	pain, improvement of pain was fair in 4 patients, including 3 with lesions including the
134	temporalis muscle and 1 with lesions in the masseter muscle infiltrating into the
135	subcutaneous tissue. Transient facial nerve paralysis was seen in 5 patients with
136	extramuscular involvement in the subcutaneous tissue and in 1 patient with a lesion in
137	the temporalis muscle only.
138	

Discussion

140 VMs that affect the skeletal muscles are most common in the head and neck, followed

141 by the lower and upper extremities and thorax.³ Treatment of VMs in the maxillofacial region remains a formidable challenge for surgeons.⁷ Surgical excision is not usually 142 possible without causing functional impairment and disfigurement.¹² Percutaneous 143 144 sclerotherapy is an established minimally invasive treatment option for slow-flow 145 vascular malformations.³ The sclerosant damages the vascular endothelial cells, causing thrombosis and subsequent fibrosis.³ Absolute ethanol, ethanolamine oleate, 146 polidocanol, and bleomycin are frequently used as sclerosants.¹³ Absolute ethanol is the 147 most effective sclerosant with the lowest recurrence rate.¹ However, complications of 148 149 ethanol sclerotherapy, such as tissue necrosis, peripheral nerve injury, cardiac 150 arrhythmia, and pulmonary embolism, have been reported. ⁷ In patients with maxillofacial VMs, the most frequent of these complications is facial nerve injury.^{1, 14,} 151 ¹⁵ The zygomatic, temporal, and buccal branches of the facial nerve are vulnerable to 152 153 damage after ethanol sclerotherapy, with reported injury rates of 41.7%, 5.6%, and 154 3.5%, respectively.¹⁵ We observed transient facial nerve paralysis after ethanol 155 sclerotherapy in 25% of our patients. Because infiltrating VMs in the masseter muscle 156 usually involve the parotid gland, it is important to puncture the ventral portion of a 157 masseteric lesion. Facial nerve paralysis may be avoided by using polidocanol foam 158 sclerotherapy, but several treatment sessions are required. Polidocanol is a nonionic 159 detergent that causes lysis of the endothelial lining via absorption at the cell membrane. 160 Compared with the liquid form, foamed polidocanol can cause more severe damage to 161 the intima of the veins.¹⁰ Sclerotherapy is effective for well-defined VMs with large vascular channels.³ More 162

163 extensive lesions that involve more than one muscle often require multiple sessions.³ In

164 our experience, patients with extramuscular involvement need more sclerotherapy

165 sessions and are likely to have complications. Excellent results were relatively rare in 166 patients with extensive lesions involving several tissue planes. Better results were 167 obtained in patients without extramuscular involvement, especially those with an 168 isolated masseteric lesion. Our findings in this regard are consistent with those of other 169 studies of treatment for patients with maxillofacial VMs. ^{2, 7, 8}

170 Considering that the veins of the head and neck lack valves and the middle third of the face communicates with the cavernous sinus,⁴ patients undergoing sclerotherapy for 171 172 temporal lesions should be monitored carefully with radiographic visualization to avoid 173 accidental thrombosis of the cavernous sinus. However, most of the venous flow from the lower third of the face is directed inferiorly toward the jugular venous system.⁴ 174 175 Therefore, sclerotherapy for lesions involving the cheek is considered safer and can be 176 performed without radiographic visualization. We used absolute ethanol for large, deep 177 cheek lesions under general anesthesia and polidocanol for smaller, superficial cheek 178 lesions even in the outpatient setting. Patients with VMs involving the masticatory 179 muscles usually develop transient trismus after sclerotherapy and require a mouth-180 opening training device designed for temporomandibular disorders. 181 VMs are painful occasionally when waking up in the morning, during or after exercise, 182 and in cold weather. ¹⁶ Migraine is a common feature in patients with maxillofacial VM located in the temporalis muscle.¹⁷ VMs differ from other types of vascular 183 184 malformations in that they cause intralesional pain in the absence of ulceration, infection, or bleeding in up to 90% of patients. ¹⁶ In our study, intralesional pain was 185 186 more common in female patients with VM than in male patients, as in a previous report. ¹⁶ Another report suggested that the discomfort might be due to formation of phleboliths 187 inside VMs and the resulting release of stimuli in pain pathways.¹⁸ In our study, there 188

189 was no significant association between presence of phleboliths and intralesional pain. 190 Sclerotherapy does not remove phleboliths but can reduce venous spaces with potential 191 for future formation of thrombi that will bind to calcium deposits and form phleboliths. 192 Percutaneous sclerotherapy using absolute ethanol in combination with polidocanol is 193 an effective and safe treatment for intramuscular maxillofacial VMs, especially lesions 194 localized to the masseter muscle, without severe complications. In our study, patients 195 with extramuscular involvement needed more sclerotherapy sessions and had some 196 complications. Improvement of appearance was achieved in 96% of patients (excellent 197 in 9 of 24 patients, good in 14 patients), but improvement of pain was obtained in 69% 198 of patients (excellent in 3 of 13 patients, good in 6 patients). Patients with fair 199 improvement of pain needed 7 or more sclerotherapy sessions. These data may be 200 useful for determining appropriate candidates for sclerotherapy and when counseling 201 patients and their families about the outcomes expected. 202 The limitations of this study were its small patient population, lack of radiological 203 evaluation of lesion size, and limited duration of follow-up. We evaluated the 204 effectiveness of treatment from the patient's perspective by evaluating improvements of 205 appearance and pain. Maxillofacial intramuscular VMs involving multiple tissue planes 206 changed and their images became blurred on MRI with sclerotherapy (Fig. 1), so it was 207 difficult to compare the size of the lesion radiologically, especially in growing patients. 208 Although constant evaluation of pain is difficult during long-term follow-up, a study 209 that includes a prospective design and assessment of pain using a numeric rating scale 210 or visual analog scale in an outpatient setting is needed in the future. 211

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268 Figure legends

269 Fig 1. Patient 1 showed good effectiveness of treatment. (A) Initial clinical photograph 270 of a 1-year-old boy with venous malformation in the left cheek. Sclerotherapy was 271 started at the age of 4 years and consisted of 5 sessions of ethanol sclerotherapy 272 followed by 18 sessions of polidocanol 1% sclerotherapy. Transient facial nerve 273 paralysis of the buccal branch occurred at the 24th session of sclerotherapy, at which 274 time 28 mL of ethanol was used. Since then, he has undergone sclerotherapy using 275 ethanol and polidocanol 3% without any complications. (B) Clinical photograph and (C) 276 fat-suppressed T2-weighted magnetic resonance image obtained at the age of 14 years 277 after 26 sessions of sclerotherapy. (D) Clinical photograph and (E) short tau inversion 278 recovery T2-weighted magnetic resonance image obtained at the age of 19 years after 279 32 sessions of sclerotherapy and operations including a facelift and partial resection of 280 the lip lesion.

281

282 Fig 2. Patient 7 showed fair effectiveness of treatment. (A) Initial clinical photograph 283 and (B) fat-suppressed T2-weighted magnetic resonance image of a 26-year-old woman 284 with venous malformation in the left cheek. Sclerotherapy was started at the age of 26 285 years and consisted of 1 session of ethanol sclerotherapy followed by 30 sessions of 286 polidocanol 1%–3% sclerotherapy. Persistent facial nerve paralysis of the temporal 287 branch occurred at the first session of sclerotherapy, at which time 20 mL of ethanol 288 was used. Since then, she has undergone sclerotherapy using polidocanol 1%-3% 289 without any complications. (C) Clinical photograph and (D) short tau inversion 290 recovery T2-weighted magnetic resonance image obtained at the age of 39 years after 291 31 sessions of sclerotherapy and a facelift. Although improvement of appearance was

- 292 good, improvement of intralesional pain was fair.
- 293
- **Fig 3.** Patient 11 showed excellent effectiveness of treatment. (A) Clinical photograph
- and (B) fat-suppressed T2-weighted magnetic resonance image of a 23-year-old woman
- 296 with venous malformation in the left temple. One session of sclerotherapy using ethanol
- 297 was conducted at the age of 23 years. (C) Clinical photograph and (D) fat-suppressed
- 298 T2-weighted magnetic resonance image obtained 8 months after the sclerotherapy.
- 299 Complete relief of intralesional pain was obtained.

Tables

Table 1. Clinical characteristics of 24 patients with venous malformations involving the masticatory muscles

	Distribution of venous malformations			Sclerotherapy						T	_			
Patient	Sex	Age, years	Intramuscular lesions	Extramuscular lesions	Symptoms	Presence of phleboliths	Agents	Numbers of sessions	Follow- up, months	Surgical procedures	Improvement of appearance	Improvement of pain	Effectiveness of treatment	Complications
1.	М	1	All masticatory muscles	Oral, subcutaneous	Swelling	Yes	Ethanol, polidocanol	32	178	Facelift Partial resection (lip)	Good	-	Good	Transient facial paralysis (buccal branch), hemoglobinuria
2.	F	22	Temporalis	-	Swelling, pain	Yes	Ethanol, polidocanol	14	158	Total resection (temporalis)	Good	Fair	Fair	Transient facial paralysis (temporal branch)
3.	F	0	Masseter, temporalis	Oral, orbital, subcutaneous	Swelling, pain, exophthalmos	Yes	Ethanol, polidocanol	8	18	Partial resection (temporalis)	Fair	Good	Fair	Hemoglobinuria
4.	F	16	Masseter, temporalis	Oral, pharyngeal, subcutaneous	Swelling, pain	Yes	Ethanol, polidocanol	10	136	Facelift	Good	Good	Good	Intraoral ulceration
5.	F	7	All masticatory muscles	Oral, pharyngeal	Swelling, pain	Yes	Ethanol	5	60	None	Good	Good	Good	-
6.	М	35	Masseter, temporalis	Glossal, oral, orbital	Swelling	Yes	Ethanol, polidocanol	2	34	Partial resection (tongue)	Excellent	-	Excellent	-
7.	F	9	Masseter	Subcutaneous	Swelling, pain, malocclusion	Yes	Ethanol, polidocanol	31	151	Facelift	Good	Fair	Fair	Persistent facial paralysis (temporal branch)
8.	F	11	Masseter	-	Swelling, pain	None	Ethanol, polidocanol	3	98	None	Excellent	Excellent	Excellent	-

9.	М	9	Masseter, temporalis	Subcutaneous	Swelling, pain	Yes	Ethanol, polidocanol	5	112	None	Good	Good	Good	Transient facial paralysis (buccal branch)
10.	F	36	All masticatory muscles	Parotid	Swelling, pain	None	Ethanol, polidocanol	7	124	None	Good	Fair	Fair	-
11.	F	23	Temporalis	-	Swelling, pain	Yes	Ethanol	1	8	None	Excellent	Excellent	Excellent	-
12.	F	29	Masseter	-	Swelling, pain	Yes	Ethanol	1	6	None	Excellent	Excellent	Excellent	-
13.	М	52	Masseter, temporalis	Orbital, Subcutaneous	Swelling	Yes	Ethanol, polidocanol	5	61	Partial resection (lower eyelid, lip)	Good	-	Good	-
14.	М	16	All masticatory muscles	Glossal, oral, subcutaneous	Swelling	Yes	Ethanol, polidocanol	4	44	None	Good		Good	Transient facial paralysis (marginal mandibular branch)
15.	F	6	Masseter	-	Swelling, pain	None	Ethanol, polidocanol	4	76	None	Excellent	Good	Good	-
16.	М	2	Masseter	-	Swelling	Yes	Ethanol, polidocanol	1	40	None	Excellent	-	Excellent	
17.	М	8	Masseter, temporalis	Oral, orbital	Swelling, pain	Yes	Ethanol	7	46	None	Good	Fair	Fair	-
18.	М	1	Masseter	Oral, orbital, subcutaneous	Swelling, pain	Yes	Ethanol, polidocanol	5	56	None	Good	Good	Good	Transient facial paralysis (buccal branch), intraoral ulceration
19.	F	58	All masticatory muscles	Oral, parotid, subcutaneous	Swelling	None	Ethanol, polidocanol	2	38	None	Excellent	-	Excellent	-

20.	F	8 N	Masseter	-	Swelling	Yes	Ethanol, polidocanol	4	32	None	Good	-	Good	-
21.	М	47 N	Masseter	-	Swelling	None	Polidocanol	4	27	None	Excellent	-	Excellent	-
22.	М	52 N	Masseter	-	Swelling	None	Ethanol	1	30	None	Excellent	-	Excellent	-
23.	F		Masseter, temporalis	Orbital, subcutaneous	Swelling	None	Ethanol, polidocanol	1	12	None	Good	-	Good	-
24.	М		Masseter, temporalis	-	Swelling	Yes	Ethanol, polidocanol	2	8	None	Good	-	Good	-

Improvement of pain was not recorded in patients without pain before treatment.

The grade for treatment effectiveness was defined as the lower of the grade for improvement of appearance and the grade for improvement of pain.

Table 2. Evaluation of treatment outcome

	Sclerotherapy	I	Effectiveness of treatment							
	Sessions, n	Excellent	Good	Fair	Poor	Complications, n				
Extramuscular lesions										
Present (n=14)	8.6 ± 9.5	2 (14.3%)	8 (57.1%)	4 (28.6%)	0	7 (46.7%)				
Absent (n=10)	3.5 ± 3.7	6 (60.0%)	3 (30.0%)	1 (10.0%)	0	1 (4.2%)				
Masseter muscle only (n=7)	2.6 ± 1.4	5 (71.4%)	2 (28.6%)	0	0	0				
Total	6.6 ± 8.1	8 (33.3%)	11 (45.8%)	5 (20.8%)	0	8 (33.3%)				











