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An ecosystem-based fisheries assessment and forecasting approach

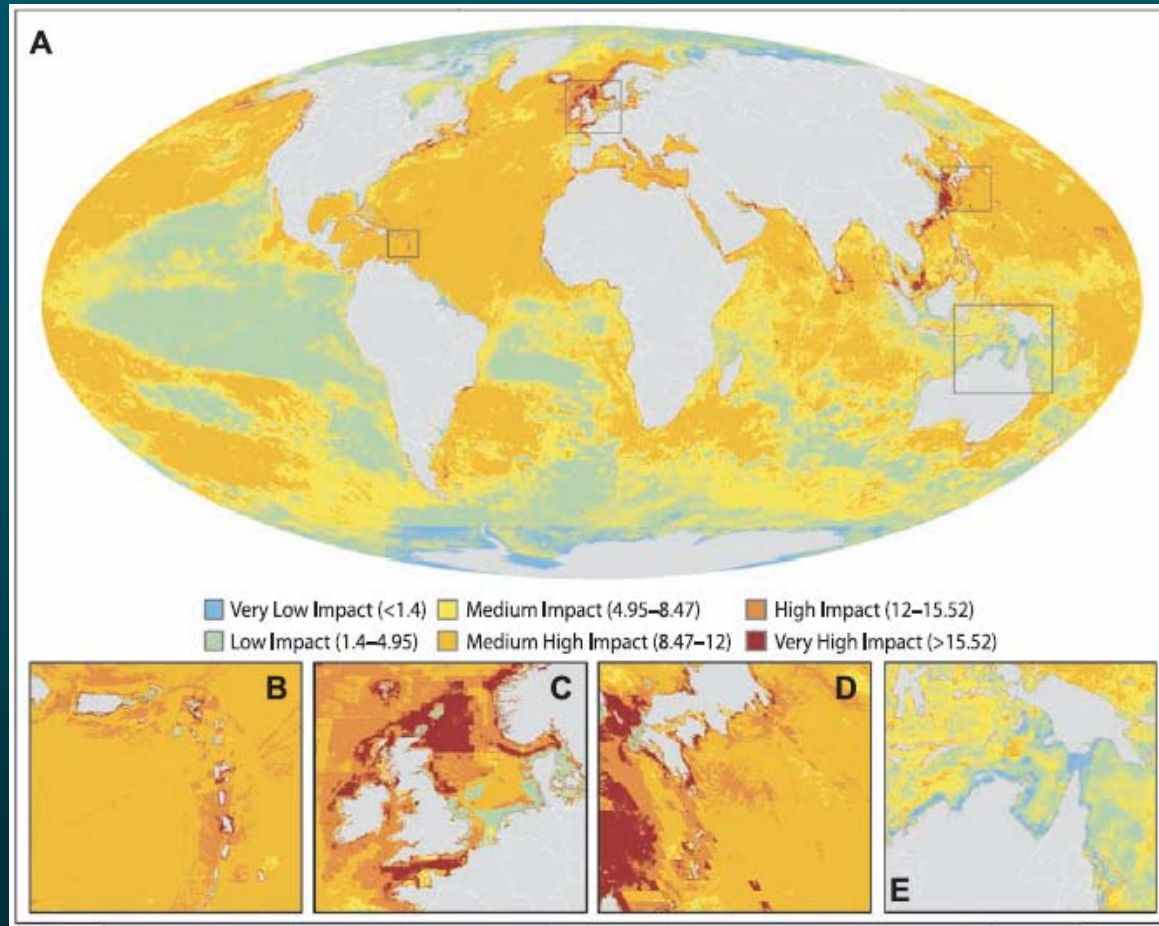
Chang Ik Zhang
Pukyong National University

*International Symposium on "Sustainability Science on Seafood and Ocean
Ecosystem Conservation"*
Hakodate, Hokkaido, November 7, 2009



Contents

- ◆ **Marine ecosystems, habitats, & fisheries**
- ◆ **Ecosystem-based fisheries assessment , forecasting & management**
- ◆ **Future plans for EAM**



YS, ECS (**D**): very highly impacted, EBS is also highly impacted

Global map of human impact on marine ecosystems based on 17 anthropogenic drivers (Halpern et al., 2008, Science)

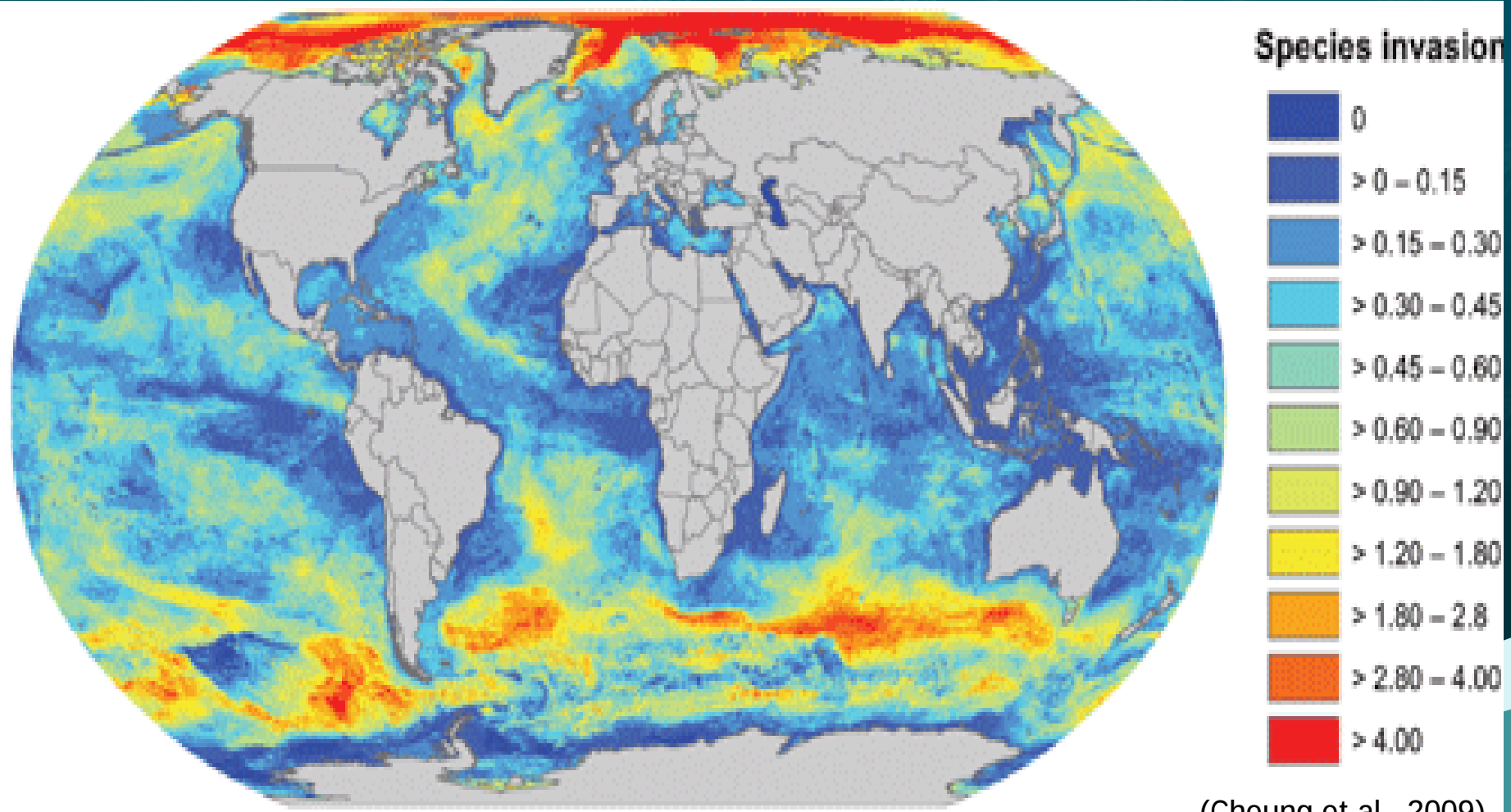


Half of world's population could face climate-induced food crisis by 2100 (Vince Battisti 2009, Science)

*... warming climate is likely to seriously alter crop yields ...
by the end of this century and, without adaptation, **will leave
half the world's population facing serious food shortages***



Fish migrating to cooler waters (IPCC SRES A1B scenario)

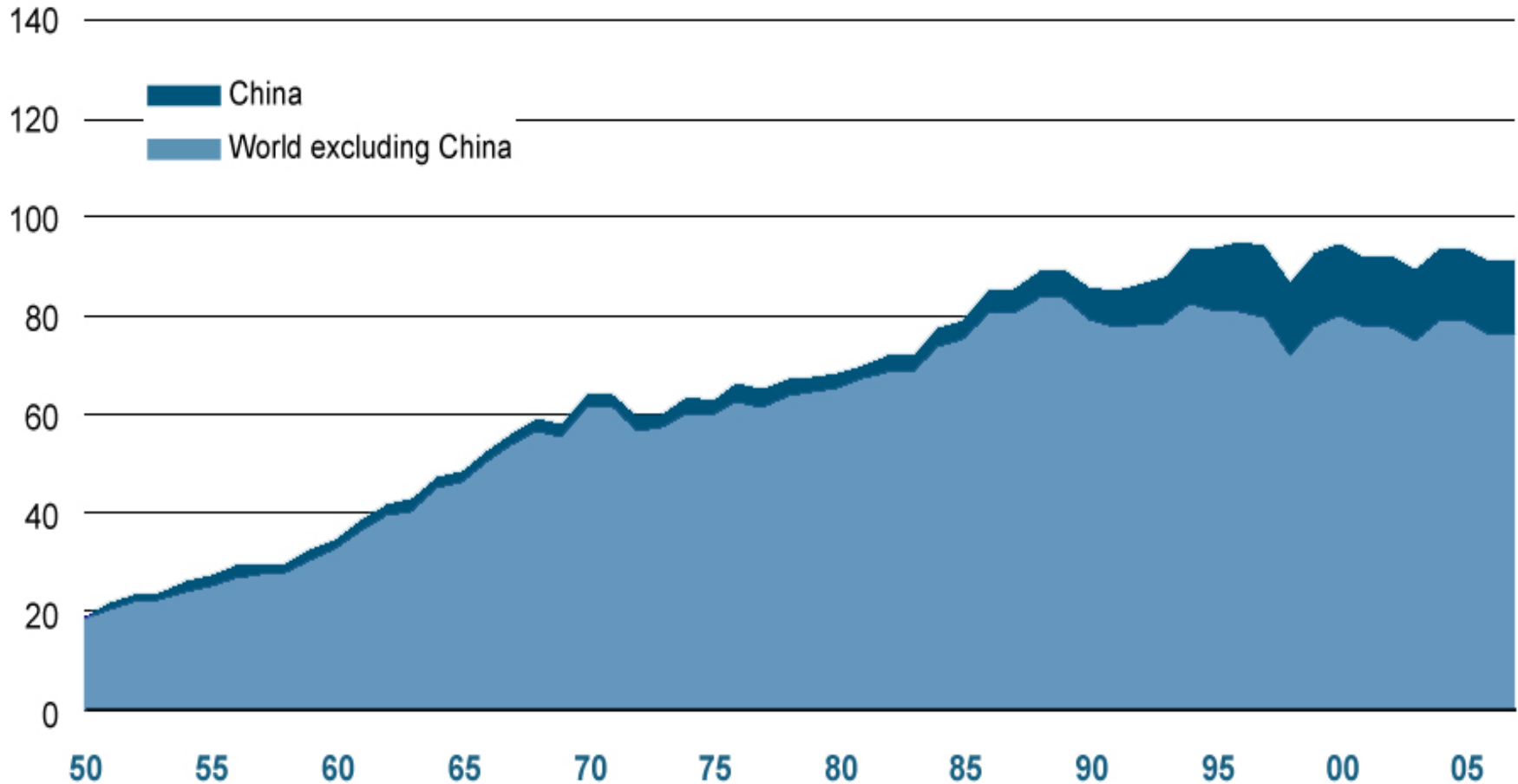


(Cheung et al., 2009)

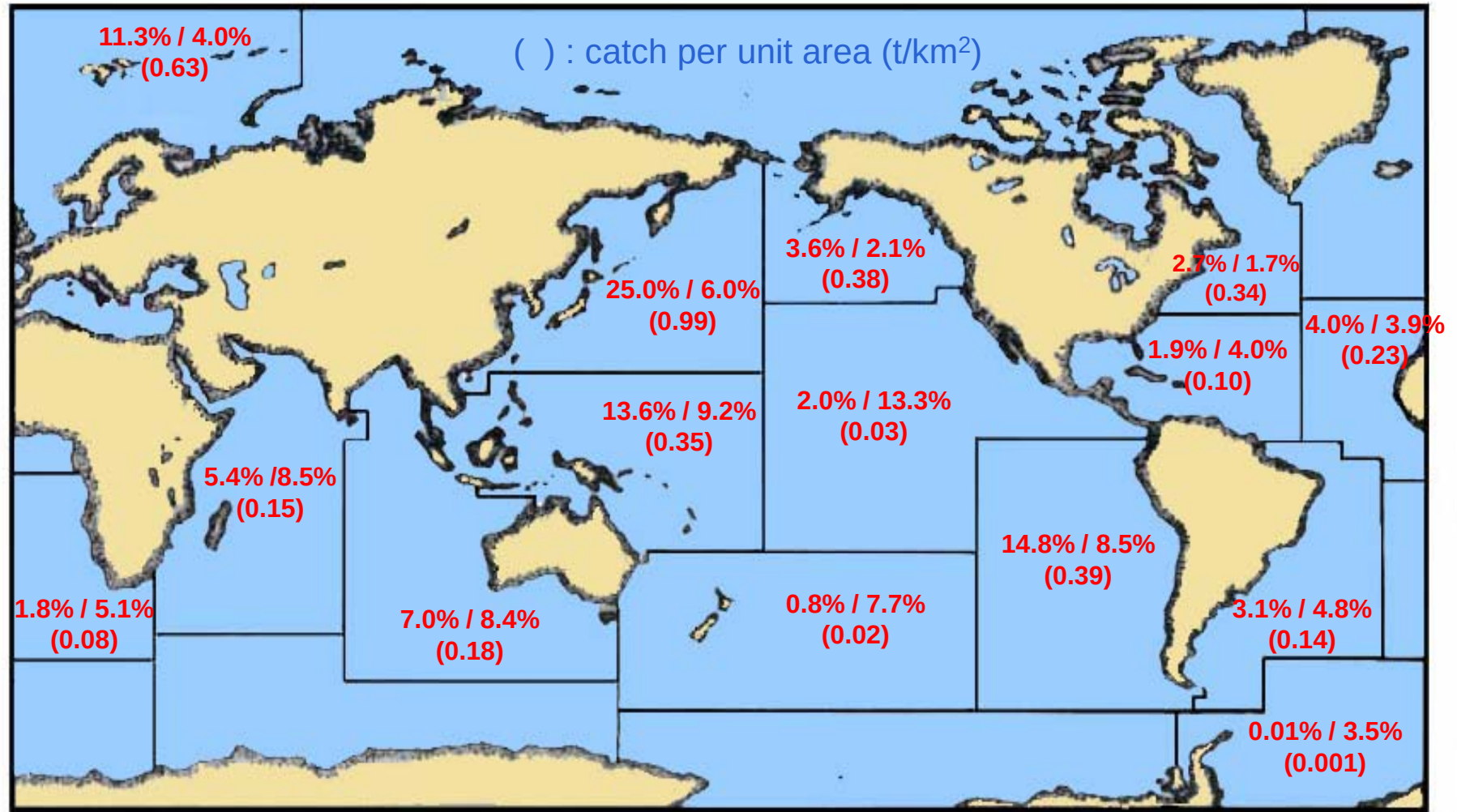
By 2050, large numbers of marine species (1,066 spp.) will migrate towards cooler waters – specifically the Arctic and Southern Ocean – at an average rate of 40 to 45 km per decades.

World capture fisheries production including freshwater (FAO, 2009)

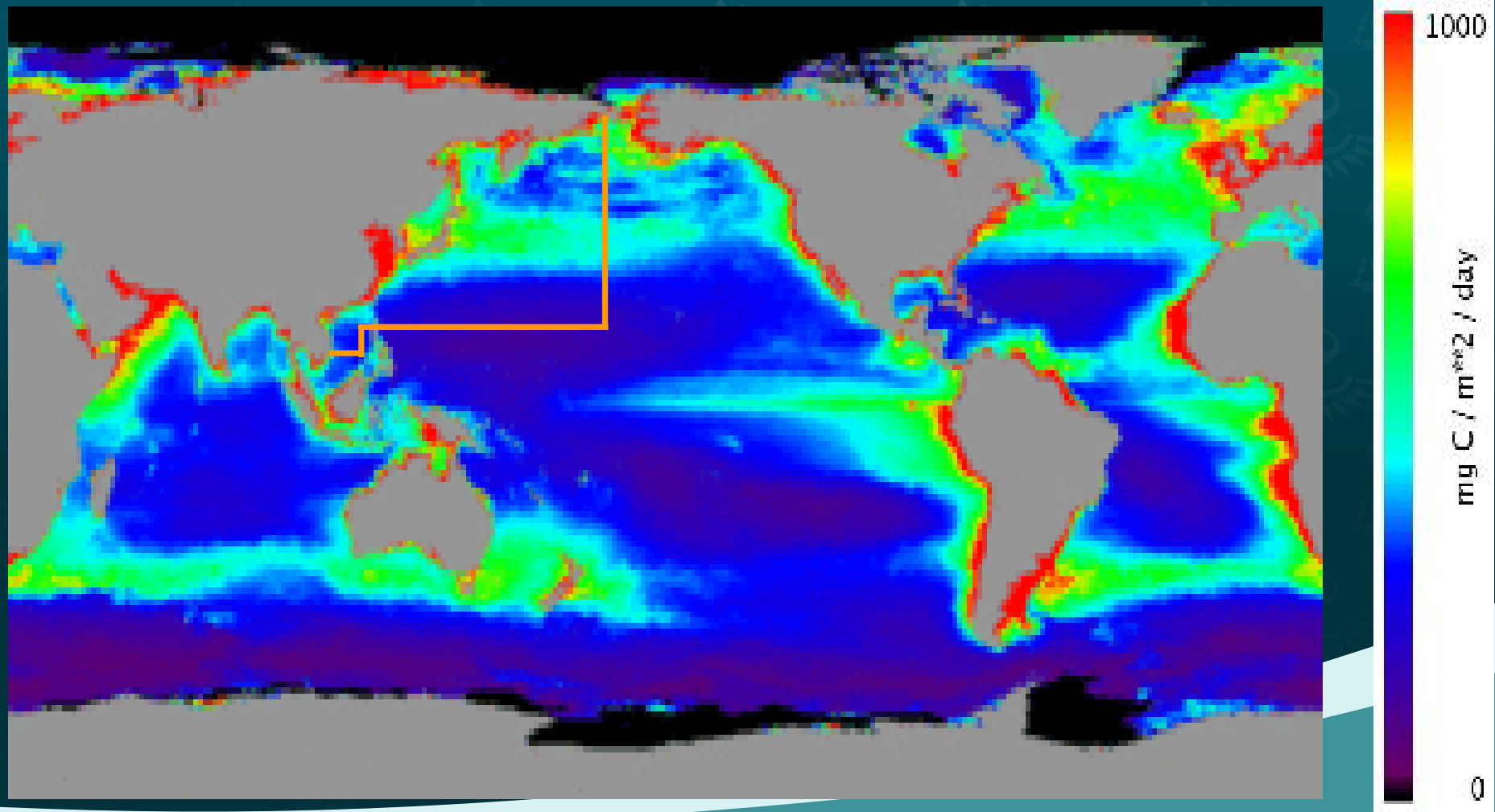
Million tonnes



Catch by FAO marine fishing area

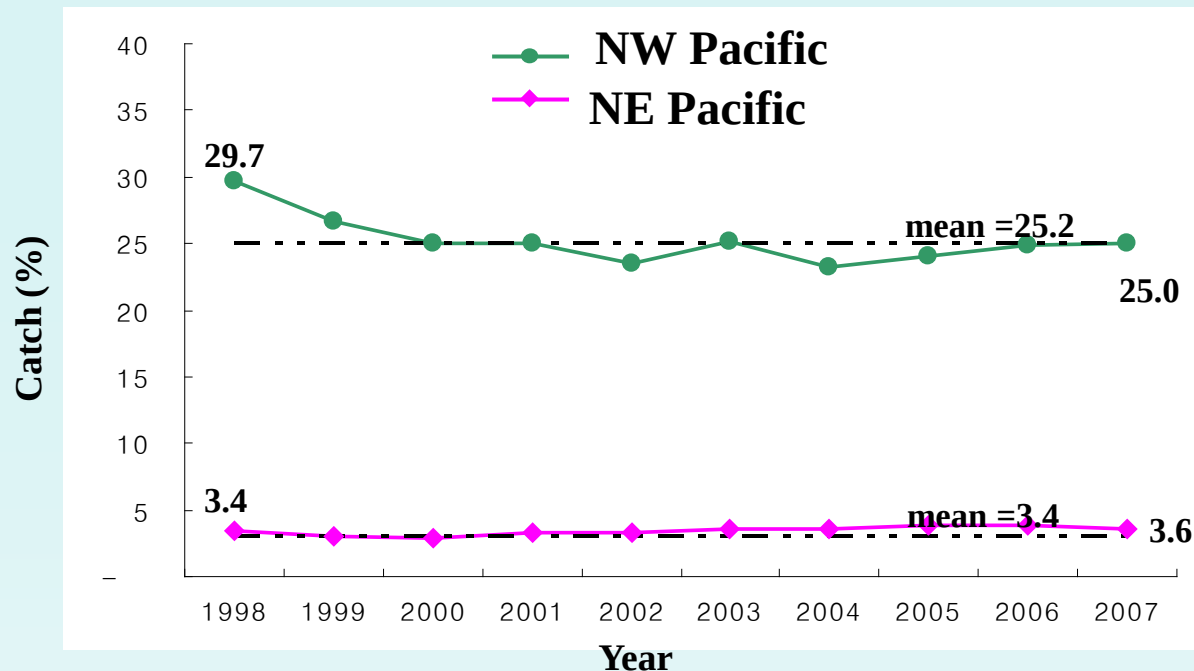


Chlorophyll-based annual net primary production (2003). NW Pacific, not higher than other areas!

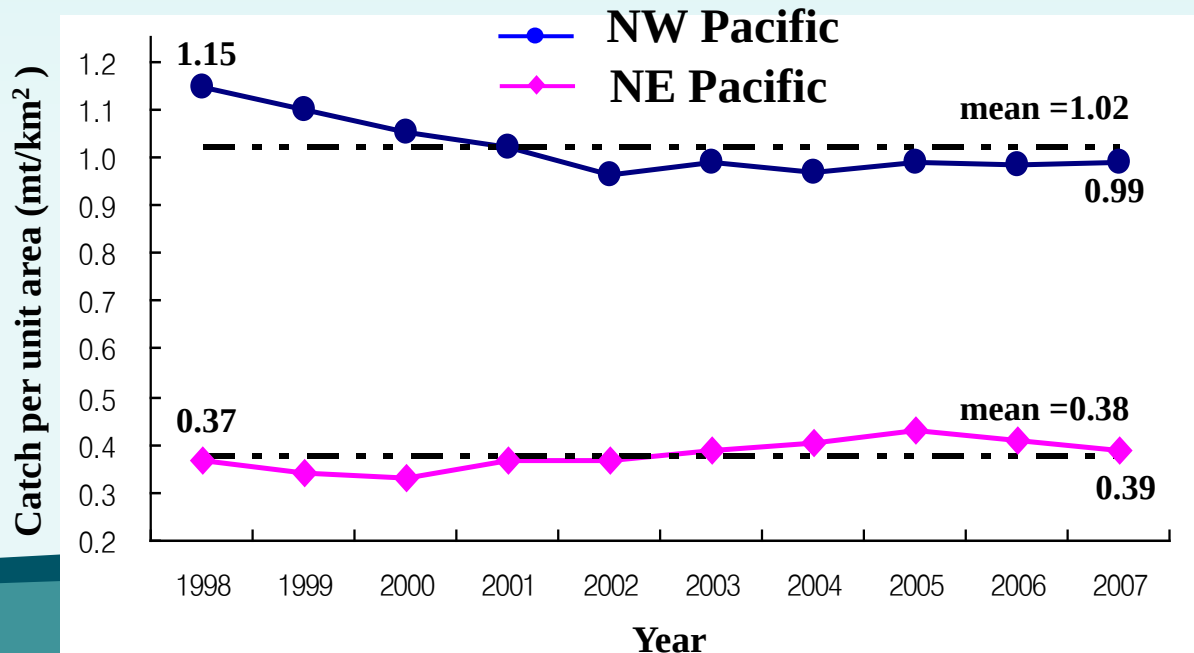


(Elena-Carr et al., 2006)

Catch proportions of NE & NW Pacific

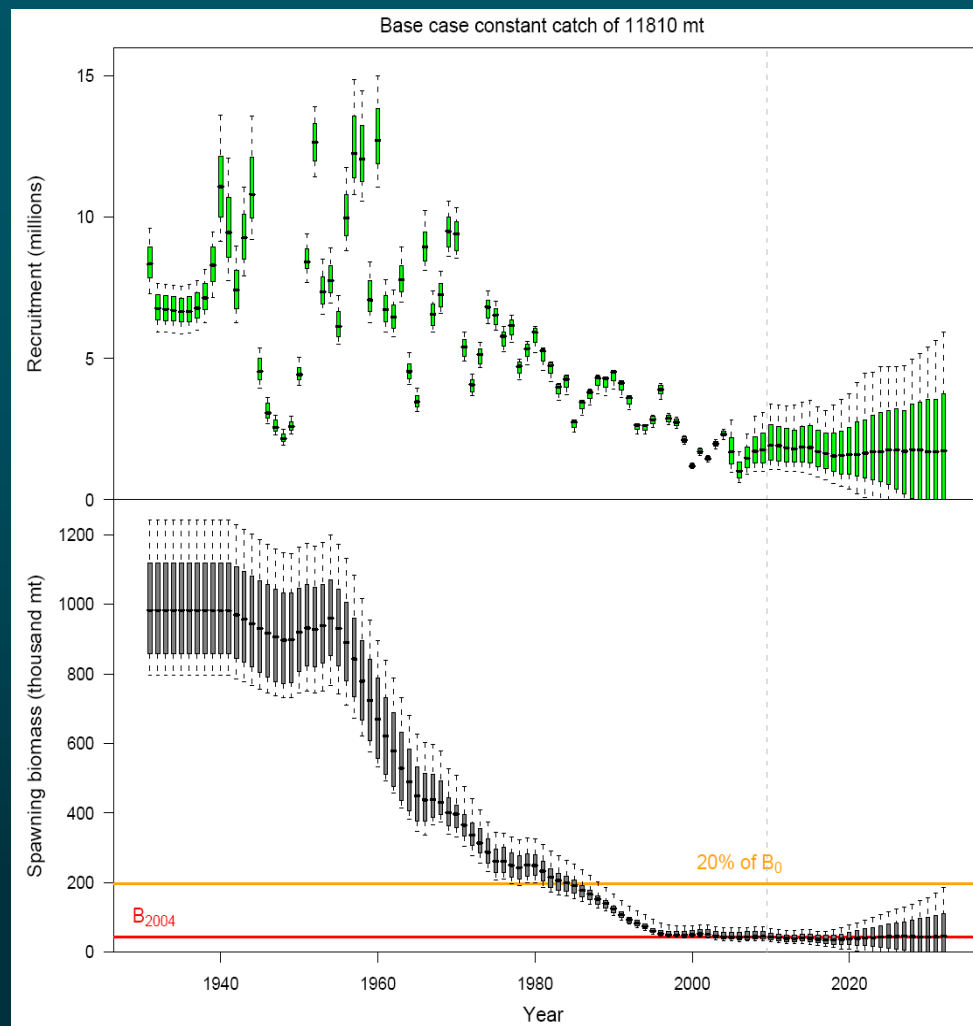


Catch per unit area in NE & NW Pacific



Exploitation state

- ◆ Out of 584 monitored stocks, 441 stocks (76 %) were assessed
- ◆ Of the 441 stocks,
 - 77 % fully- or over-exploited, depleted or recovering (85 % in NW Pacific; 82 % in NE Pacific)**
- ◆ Increasing trend in % over-exploited stocks since 1974



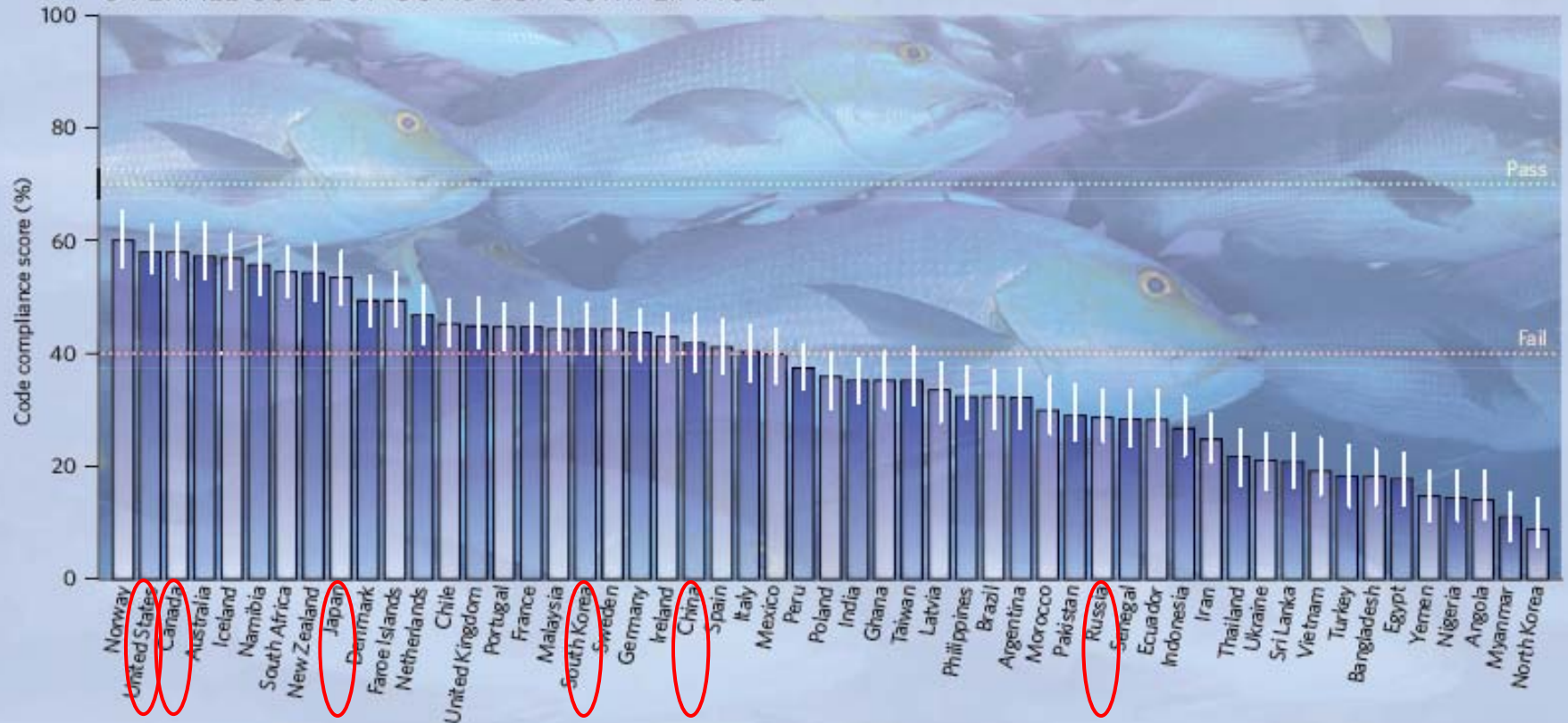
Declining Biomass of Southern Bluefin Tuna

✓ Current SSB is 4.6% of the unfished level

(CCSBT, 2009)

Figure 1

OVERALL CODE OF CONDUCT COMPLIANCE



Overall compliance of FAO Code of Conduct (Pitcher et al. 2009, Nature)

✓ Distinct difference among N Pacific countries

Percentage of seafood as the source of animal protein

◆ Japan	45.4%		
◆ Korea	39.9%	NW Pacific	34.4%
◆ China	18.0%		

◆ Russia	12.7%		
◆ Canada	9.9%	NE Pacific	9.7%
◆ USA	6.4%		
		World Average	15.0%

(Data Source: FAO Food Balance Sheet)

Why ecosystem-based fisheries management?

Shortcomings of a single species management

- Lead to over-fishing in many areas
(77% fully-, over-fished: FAO (2005))
- Limited management: focuses only on sustainability, ignoring habitat and ecological interactions

Reykjavik Declaration (2002) and FAO (2003) stressed implementation of ecosystem approach to fisheries (EAF)

WSSD (2002) encouraged the application of the ecosystem-based approach of fishery by 2010

Spectrum of Ecosystem-based Management Approaches

(Modified from Sainsbury)

**Traditional
fishery
management**

**Ecosystem-
based fishery
management**

**Ecosystem-
based multi-
sector
management**

✓ target species

start with the
target species

✓ add issues of
ecosystem impact
on fishery resources

✓ integrated
management



EBFA approach

Ecosystem-based fisheries assessment

- Numerous studies on ecosystem indicators carried out (Fulton et al. 2004; Jennings 2005; Kruse et al. 2006)
- However, few approaches exist, synthesizing indicators to obtain an integrated assessment (ERAEF by Australia, MSC's FAM, EBFA by Korea)



Ecosystem-based Fisheries Assessment Approaches

- ERAEF by Australia
 - Marine Stewardship Council's FAM
 - EBFA by Korea
- 

ERAEF Approach

Evaluates 5 ecological components:

- Target species
- Bycatch species
- Threatened, Endangered and Protected species (TEP)
- Habitats
- Communities (including food chains)



ERAEF: Hierarchical approach

- Level 1: Qualitative SICA analysis
 - Level 2: Semi-quantitative
PSA analysis
 - Level 3: Quantitative stock or
Eco-family assessment
- 

Three MSC Principles for Sustainable Fishing

1
Sustainability of
the stock

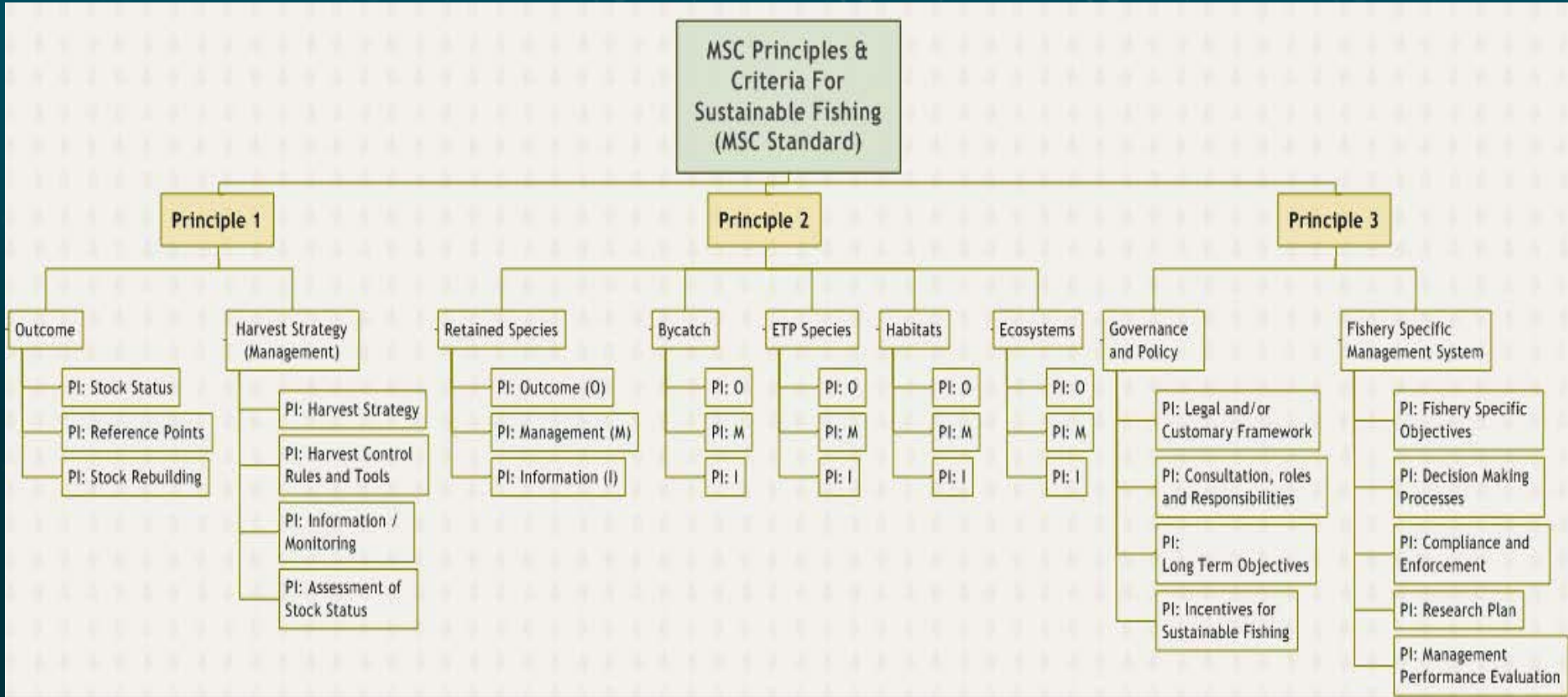
2
Impact on
ecosystem

3
Management
systems



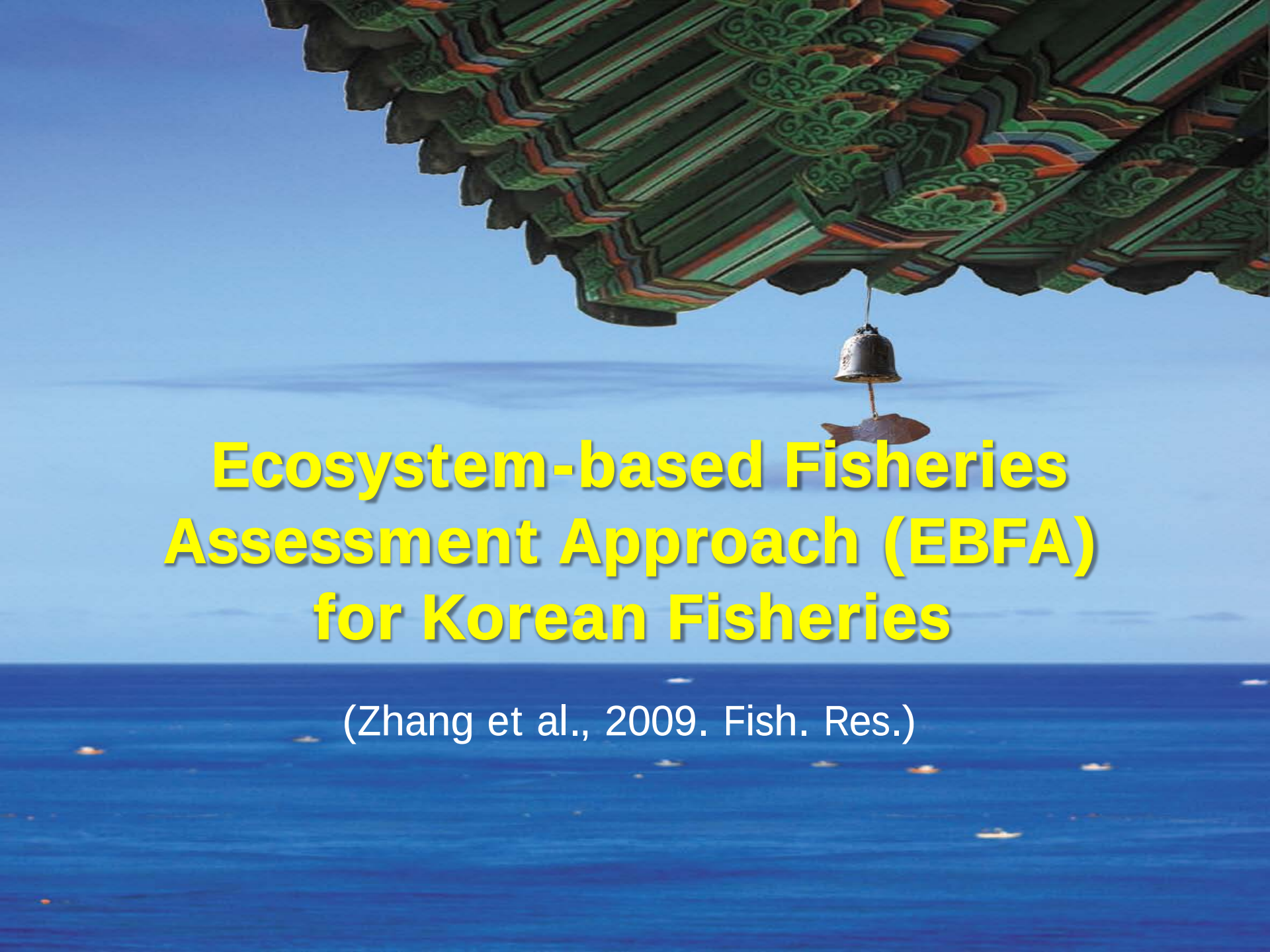
* based on international guides and FAO
Code of Conduct for Responsible Fisheries

Assessment Tree Structure with Performance Indicators





Certified fisheries can attach this MSC logo on their products.



Ecosystem-based Fisheries Assessment Approach (EBFA) for Korean Fisheries

(Zhang et al., 2009. Fish. Res.)



An ecosystem-based fisheries assessment approach for Korean fisheries

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Risk assessment diagram

Korean fisheries

ABSTRACT

Concern is growing over how ecosystems are being affected by fishing. A comprehensive ecosystem-based approach is required to holistically assess and manage fisheries resources and their associated habitats by considering ecological interactions of target species with predators, competitors, and prey species, interactions between fishes and their habitats, and the effects of fishing on these processes. A pragmatic ecosystem-based approach was developed for the assessment of fisheries resources in Korean waters involving three management objectives: sustainability, biodiversity, and habitat quality. A two-tier analytical method was employed. Tier 1 was designed for situations where sufficient information is available to allow for a quantitative evaluation of the status of the system, while Tier 2 was designed for situations where available information necessitated a semi-quantitative or qualitative assessment. A total of 20 Tier 1 indicators and 24 Tier 2 indicators were developed for assessment of ecosystem status. Both target and limit reference points were chosen for each indicator to assess the status of species, fisheries and ecosystems. Nested risk indices, such as objectives risk index (ORI), species risk index (SRI), fishery risk index (FRI), and ecosystem risk index (ERI), were developed to assess the ecosystem status at the management unit level. A risk assessment diagram was developed and found to be useful in quickly displaying results. A management status index (MSI) was also developed to evaluate the level of management improvement in species, fisheries, or ecosystems among different time periods or different areas. The method was demonstrated by applying it to the Tongyeong marine ranch and the Korean large purse seine fishery. It was found that this approach can be used to compare the status of species, fisheries and ecosystems spatially and temporally using an ecosystem perspective.

EBFA: 2 tier assessment system

Tier	Method	Level of information
	Quantitative analysis	High
	Semi-quantitative or Qualitative Analysis	Low



Elements of the EBFA approach

- Management objectives and attributes
 - Indicators and reference points
 - Nested risk indices and management status indices
- 

Management objectives, attributes & indicators

- Biomass
- Fishing intensity
- Size/age at first capture
- Habitat size
- Community structure

Sustainability

Habitat

- Habitat damage
- Discarded wastes
- Habitat protection

- Economic production
- Revenue
- Market
- Employment

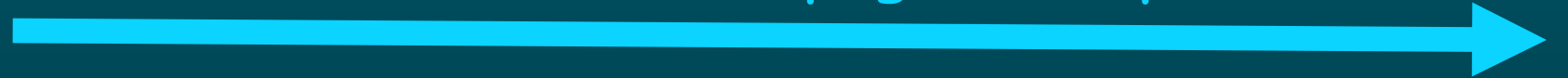
Socio-Economy

Biodiversity

- Incidental catch
- Discards
- Trophic level
- Diversity
- Integrity of functional group

Reference Points (RP) and Risks

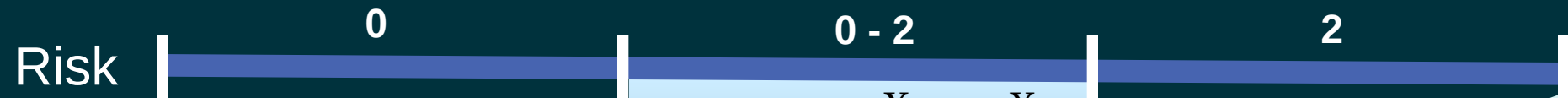
Increased anthropogenic impact



Undisturbed

Target RP

Limit RP



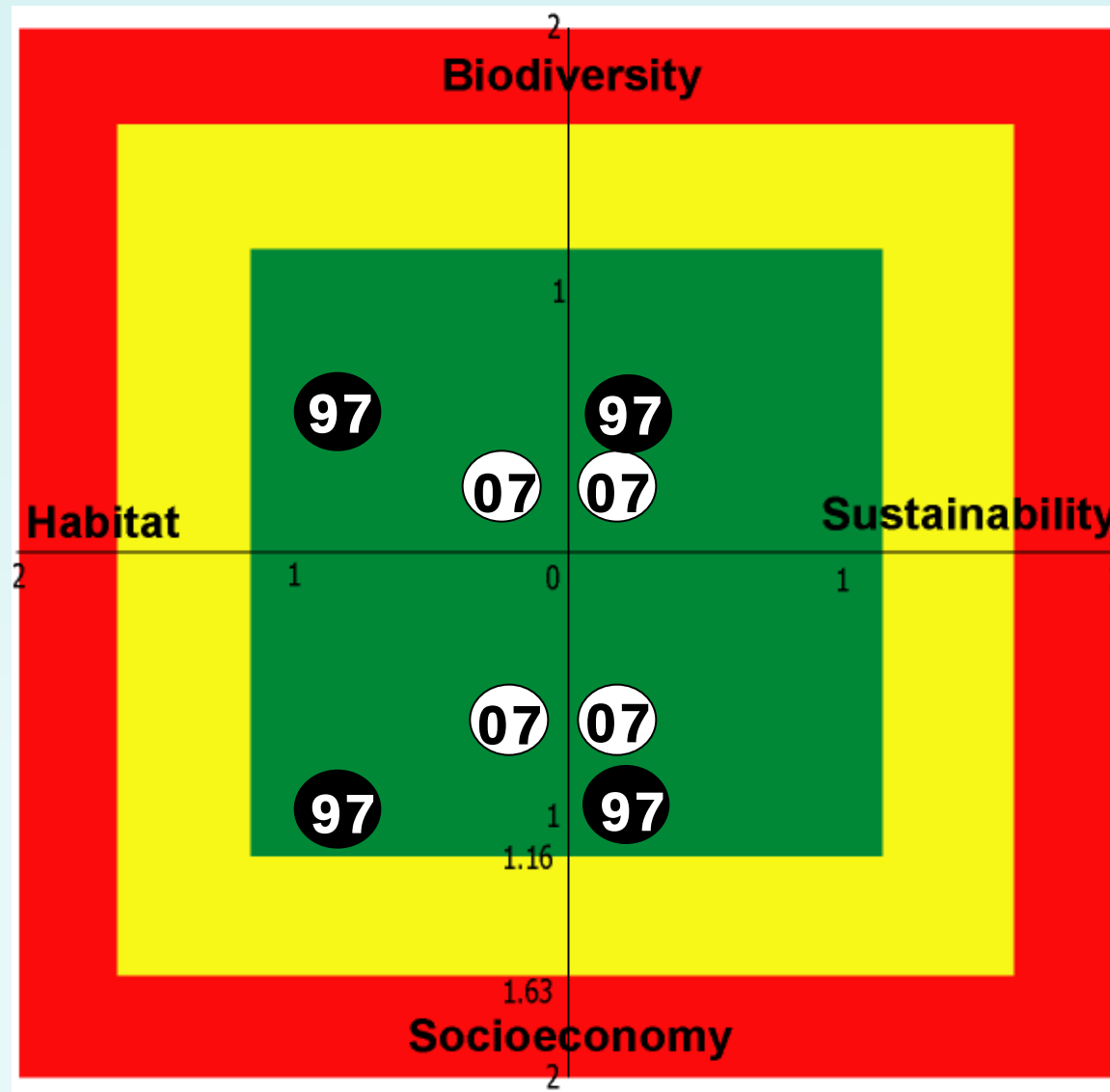
Risk

$$RS_x = RS_{\max} \left(\frac{X_{\text{target}} - X}{X_{\text{target}} - X_{\text{limit}}} \right)$$



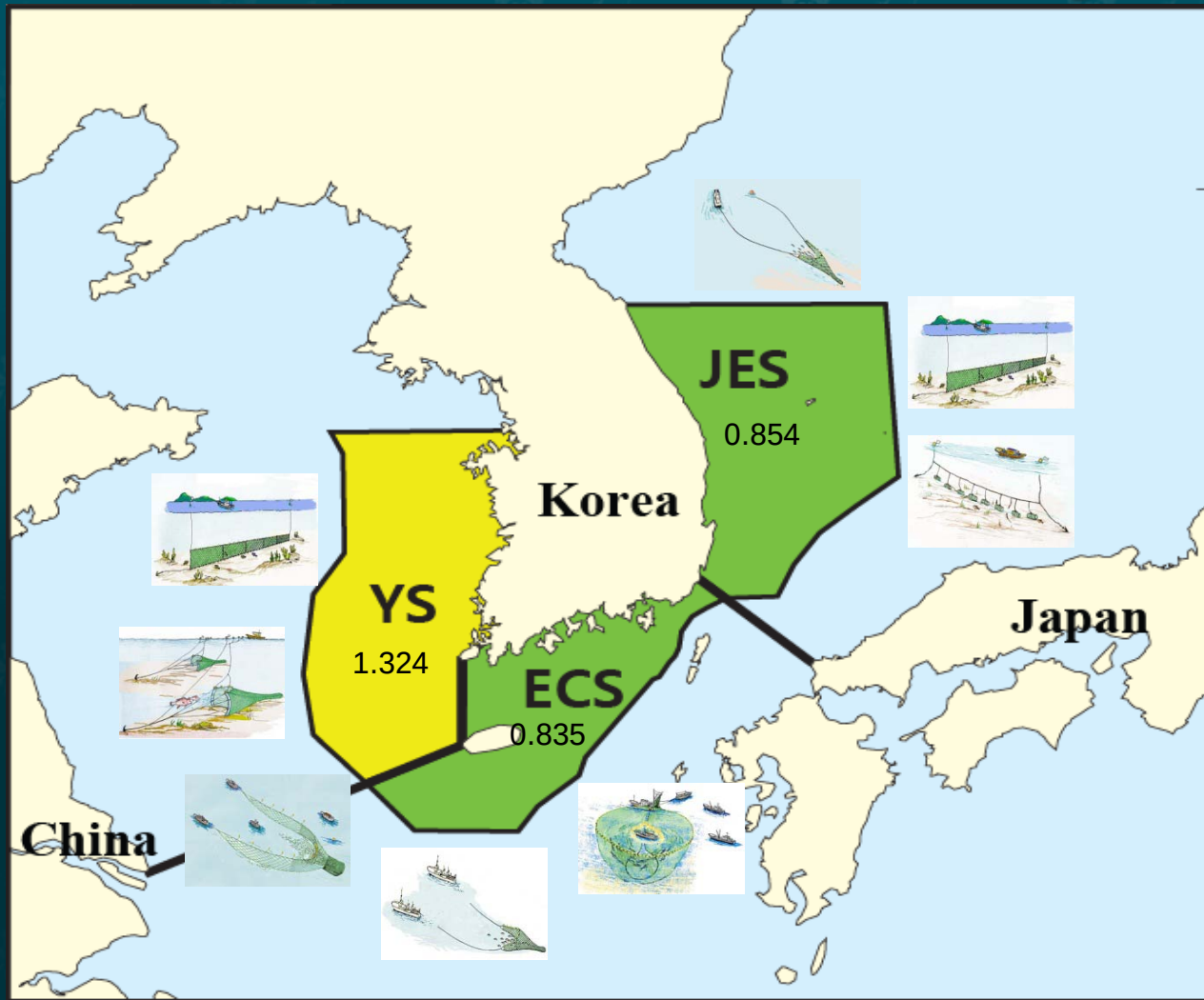
Improved by proper management

Risk assessment diagram for the EBS trawl fishery



$$FRI_{97} = 0.665, \quad FRI_{07} = 0.291$$

Ecosystem risk indices (ERI) for Korean fisheries in three marine ecosystems



We still have a long way to go ...

- ◆ *From a practical standpoint, the ecosystem-based fisheries assessment approach (Zhang et al., 2009) is very appealing for its ability to incorporate a large number of quantitative*
- ◆ *.....Yet, even this approach should be further refined, sensitivity analyses conducted, **the forecasting version of this approach further developed**, and future applications tested in other ecosystems.....*



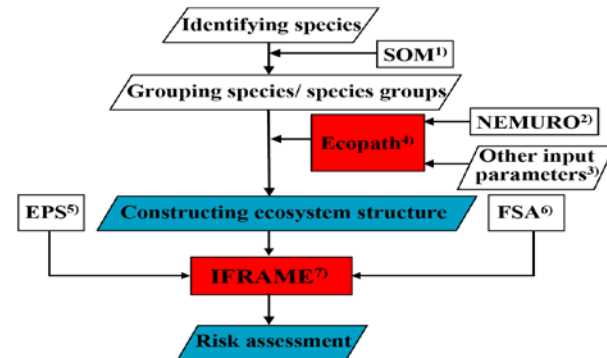
Integrated Fisheries Risk Assessment, Forecasting and Management for Ecosystems (IFRAME)

An extension of EBFA (Zhang et al., 2009. Fish. Res.)

IFRAME : in the developing stages

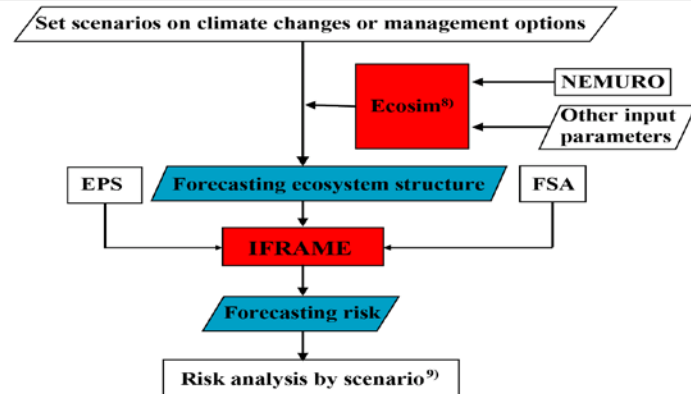
Assessment

Ecosystem structure and risk assessment



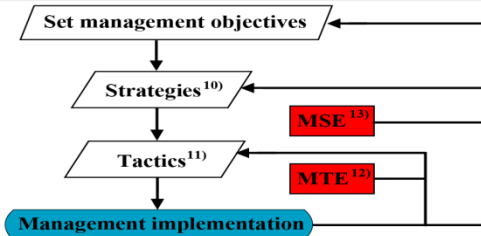
Forecast

Forecasting ecosystem structure and risk



Management

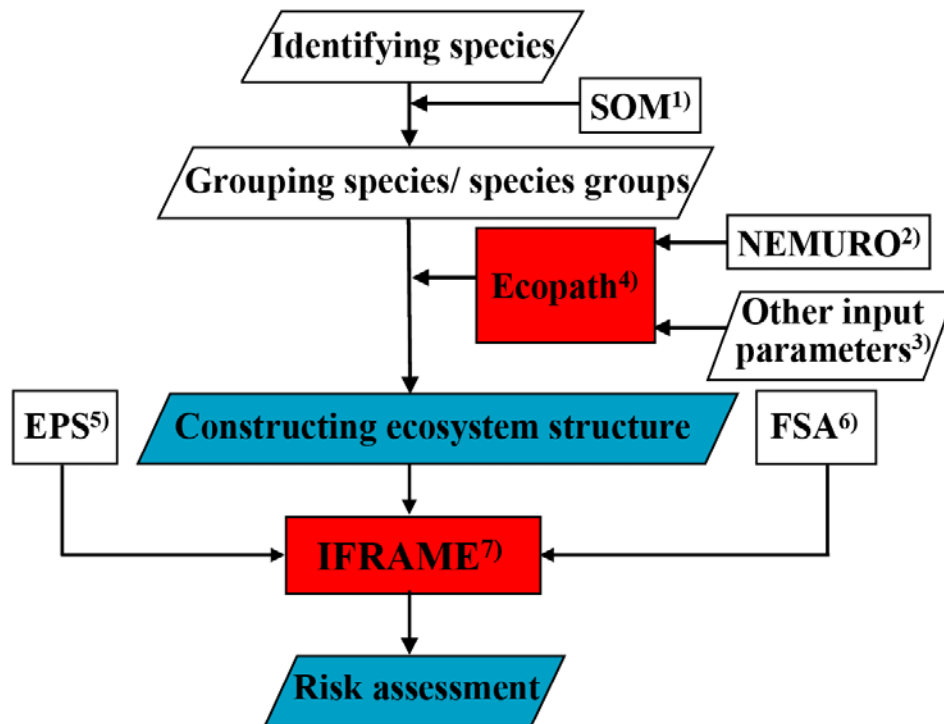
Evaluating and implementing management



IFRAME- Assessment

Assessment

Ecosystem structure and risk assessment

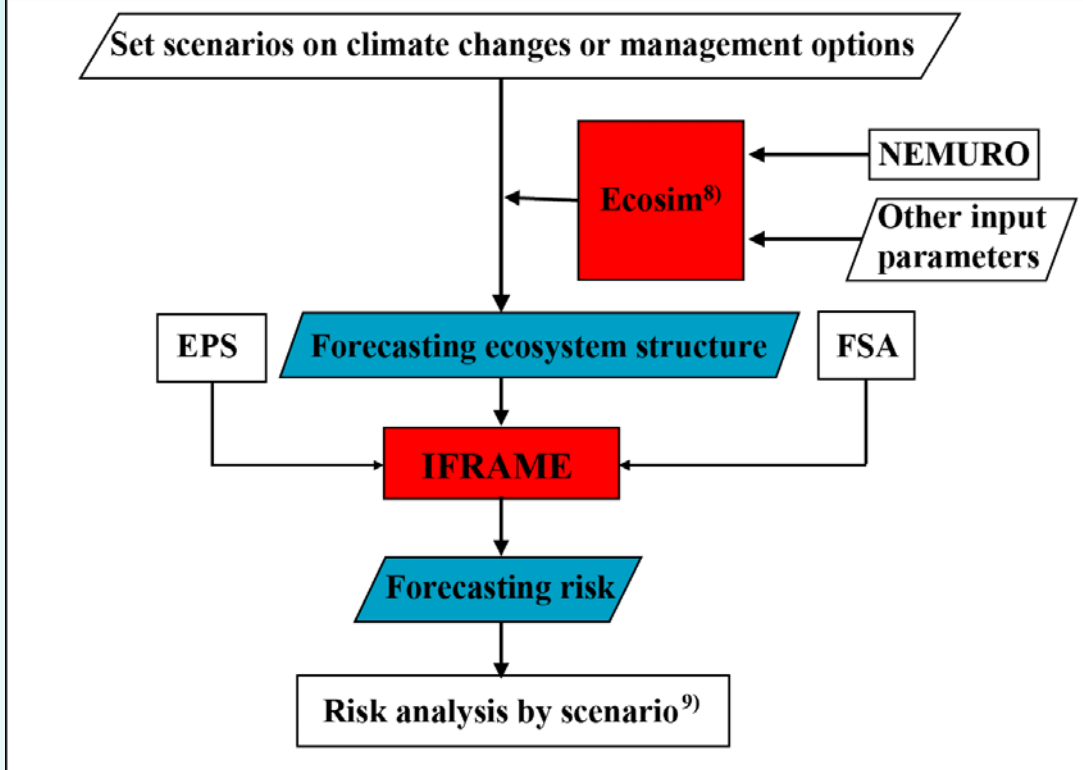


- 1) SOM (Self-Organizing Mapping): species grouping by swimming ability, size, bone, depth, shape, habitat, feeding, food type and longevity
- 2) Estimation of biomass and production of LTL groups, i.e., phyto- and zooplankton
- 3) Biomass, catch, P/B, Q/B, DC
- 4) Ecosystem structure model
- 5) Ecological Process Studies on relevant physical, chemical and biological oceanographic processes
- 6) Fisheries and Socio-economic Assessment
- 7) Integrated Fisheries Risk Assessment, Forecasting, and Management for Ecosystems

IFRAME- Forecast

Forecast

Forecasting ecosystem structure and risk



8) Ecosystem simulation model for biomass

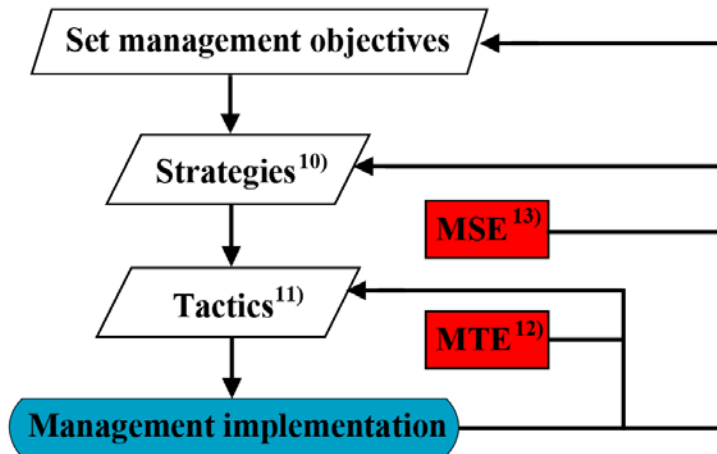
9) Based on management index analysis

$$MI = \frac{ERI_{i+1} - ERI_i}{ERI_i} \times 100$$

IFRAME- Management

Management

Evaluating and implementing management



10) Translate objectives to strategies,
'what it will be done'

11) Translate strategies to tactics,
'how it will be done'

12) Management tactic evaluation

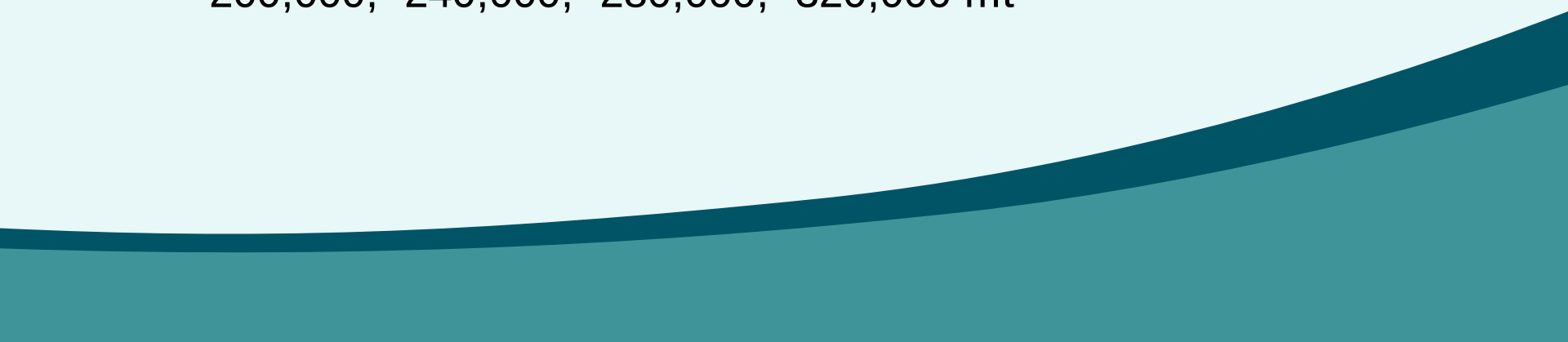
13) Management strategy evaluation



Application to the Korean large purse seine fishery

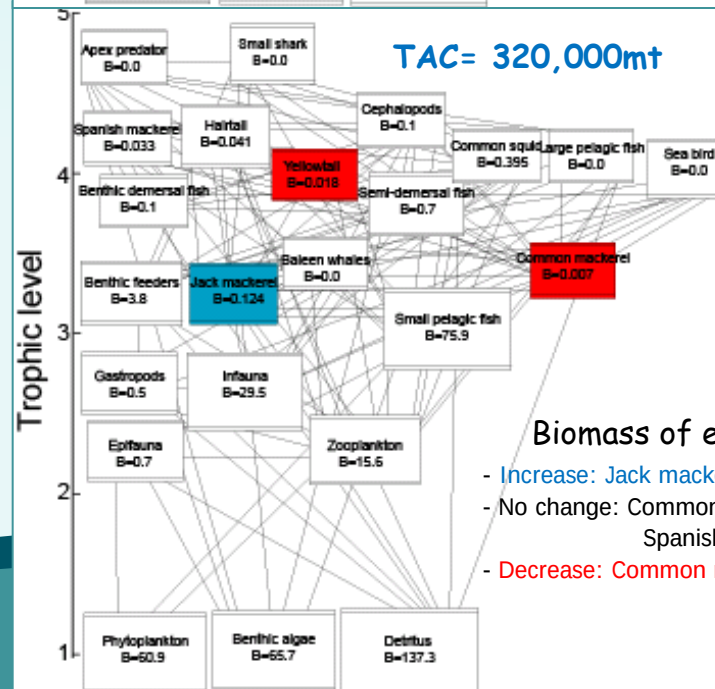
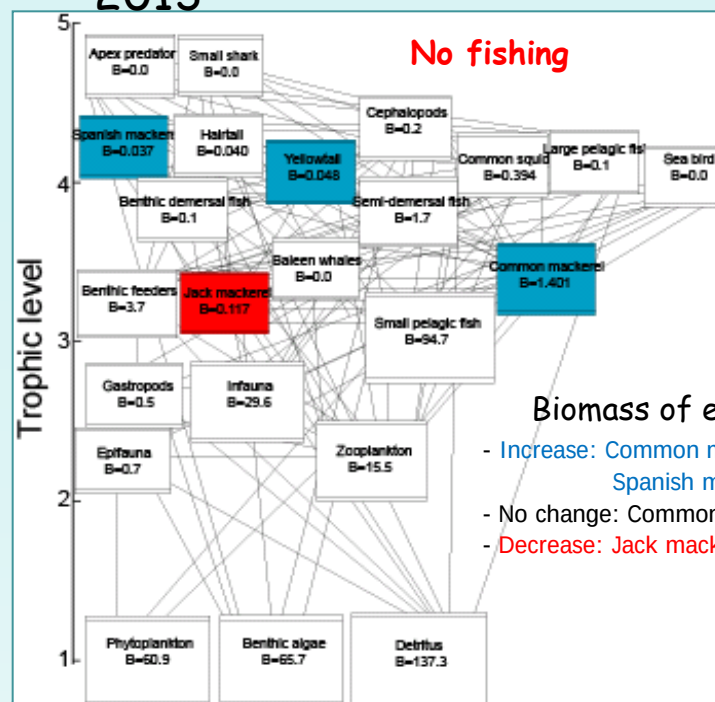
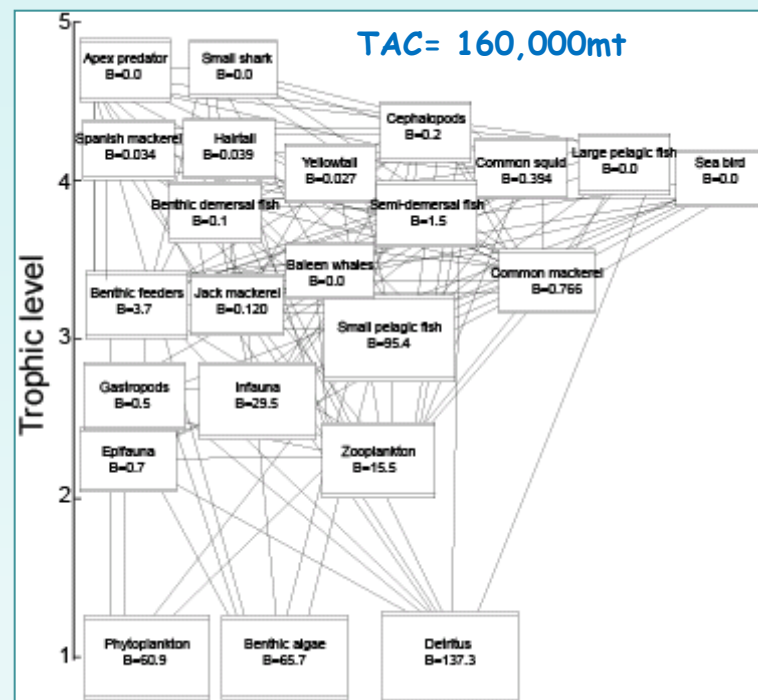
Target species : Common mackerel (*Scomber japonicus*)

Scenarios

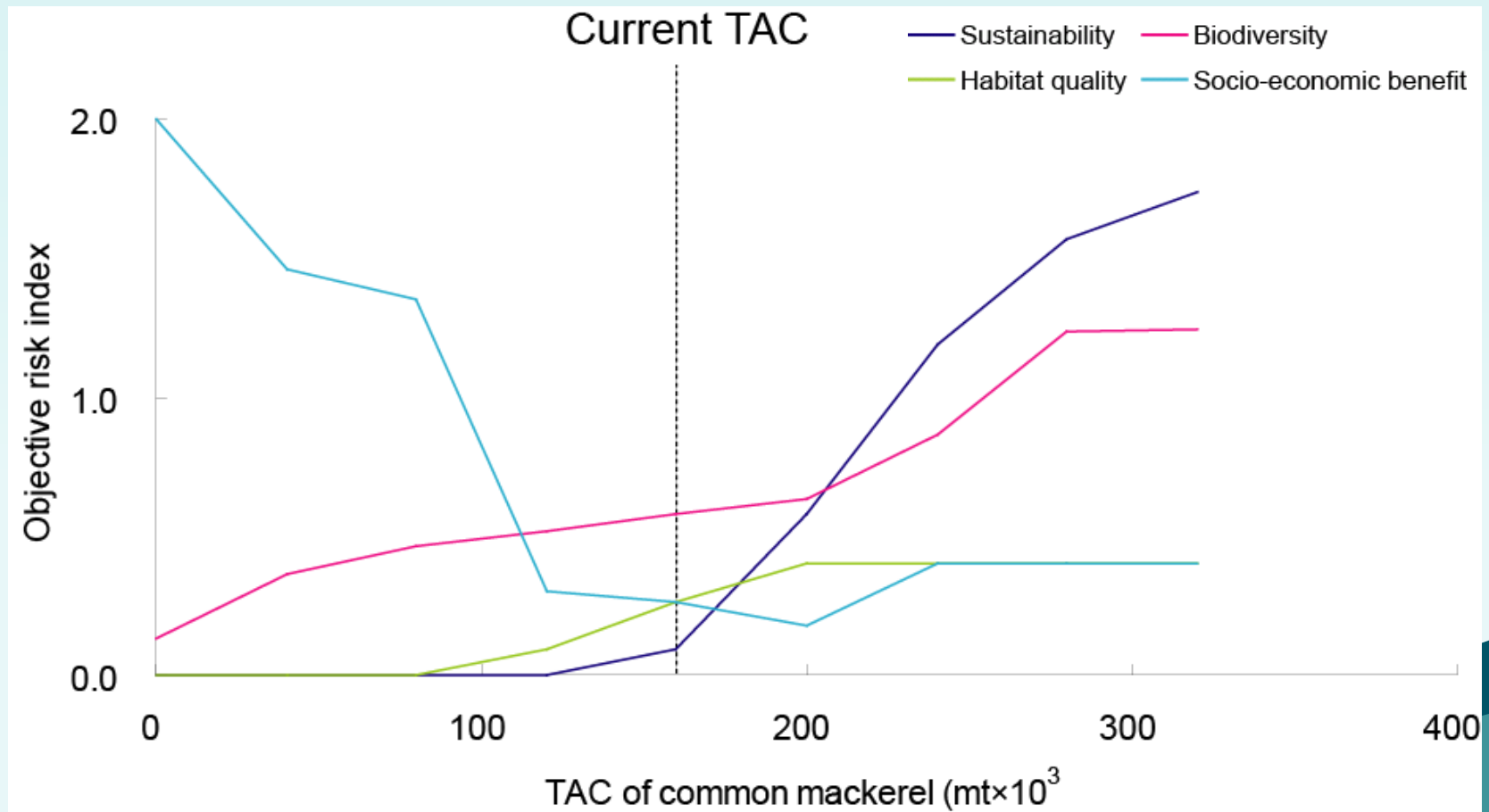
- Reference year : 2008
 - Forecasted year : 2013 (5 years later)
 - Forecasting risks by altering TAC of common mackerel based on 9 options :
no fishing, 40,000, 80,000, 120,000, 160,000(current level), 200,000, 240,000, 280,000, 320,000 mt
- 

2013

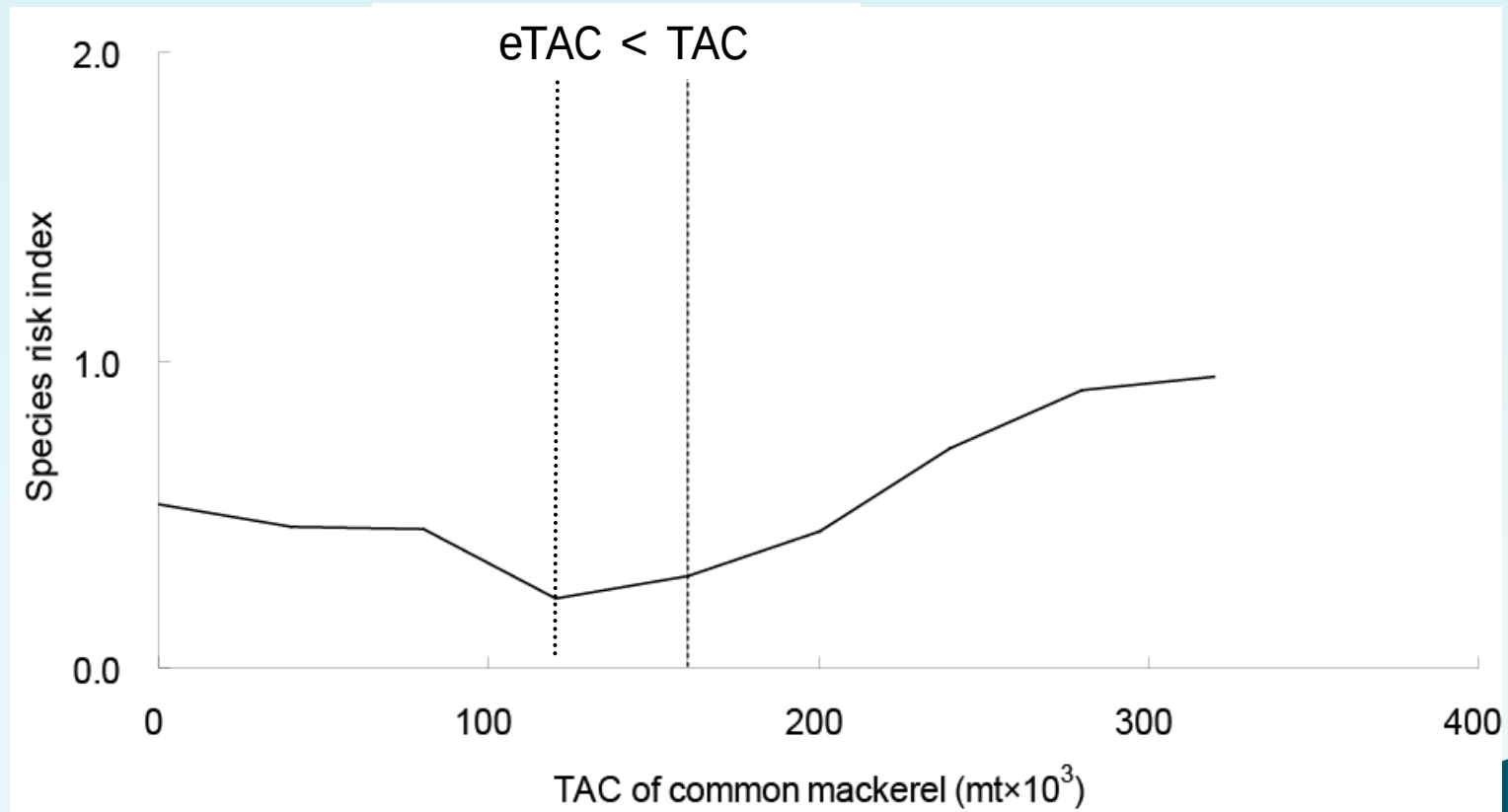
2008



Forecasted ORIs for common mackerel by changing TAC in 2013



Forecasted SRI for common mackerel by changing TAC

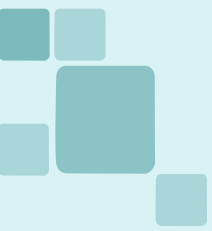


Objective	No fishing	40,000	80,000	120,000	160,000	200,000	240,000	280,000	320,000
SRI	0.53	0.46	0.45	0.23	0.30	0.45	0.71	0.90	0.95



IFRAME is still in the developing stages

- Preliminary results indicate that this approach has **potential as a tool for forecasting risk indices** of objectives, species and fisheries
- However, it is still **far from practical applications** due to lack of knowledge for assessing risks of a number of indicators
- Further research on indicators and reference points is required, and **more ecological process studies**, such as ecological interactions with physical factors, impacts on climate changes, are essential.



Strategies to achieve EAM

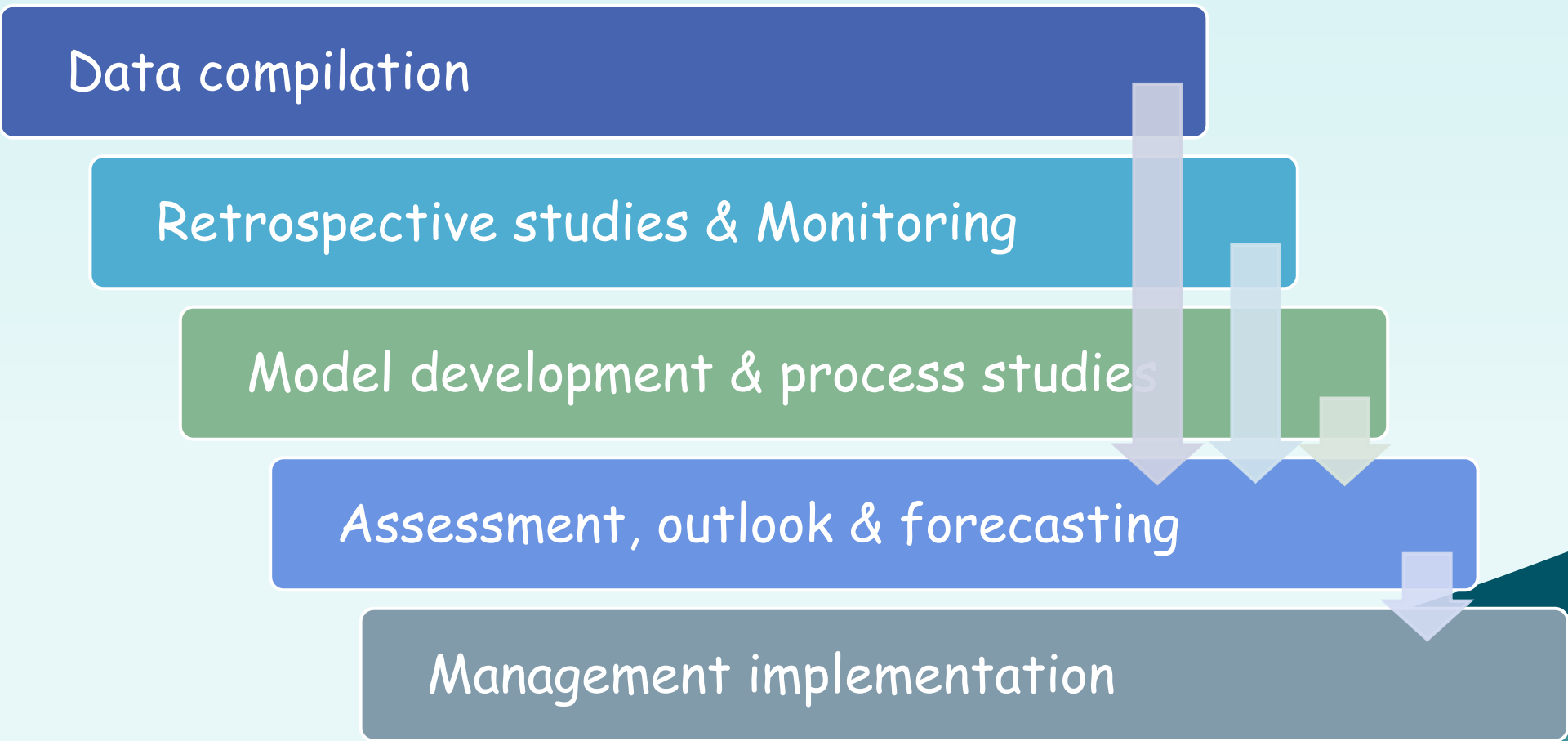
Data compilation

Retrospective studies & Monitoring

Model development & process studies

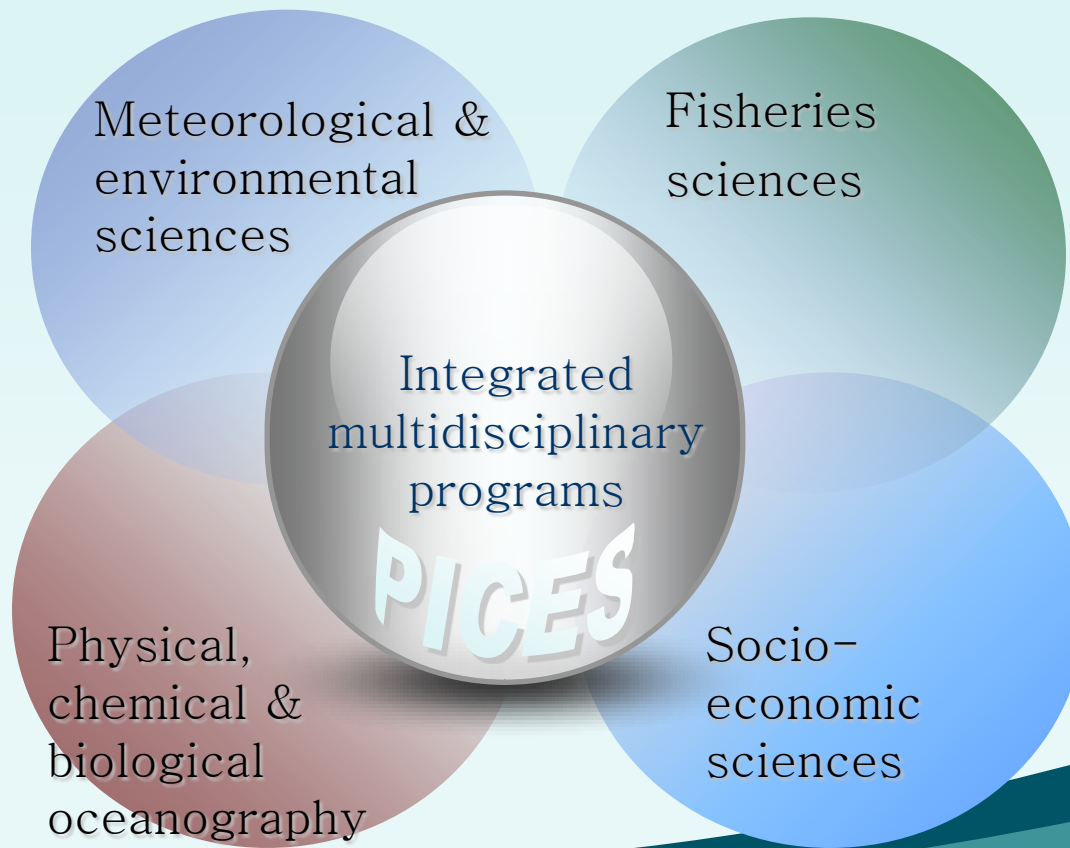
Assessment, outlook & forecasting

Management implementation



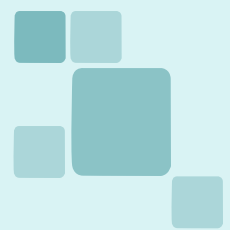
Approach to scientific need for EAM

We need strengthen links among





❖ It would be much desirable to include **Okhotsk Sea, Kuroshio-Oyashio region, Eastern/Western Bering Sea, Gulf of Alaska and Strait of Georgia.**



In conclusion

- ❖ Under the current situation of changing climate, degraded ecosystems and depleted stocks, **a management system for holistic ecosystem approaches** needs to be properly established.
- ❖ Since EAM requires multi-disciplinary cooperative research, it should be successfully conducted by active participation of N Pacific countries

Institute of Fisheries Science, PKNNU



Institute of Fisheries Science, PKNU



Institute of Fisheries Science, PKNU Main Building



Institute of Fisheries Science, PKNUP Conference Hall



Institute of Fisheries Science, PKNU Laboratory





Thank you!