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1 **Characterization of H3N6 avian influenza virus isolated from a wild white pelican in**  
2 **Zambia.**

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27 **Abstract**

28 We characterized an influenza virus isolated from the Great White Pelican in Zambia.  
29 Phylogenetic analyses showed that all gene segments belonged to the Eurasian lineage and that  
30 they appear to have evolved in distinct geographical regions in Europe, Asia, and Africa,  
31 suggesting reassortment of virus genes maintained in wild aquatic birds whose flyways overlap  
32 across these continents. It was notable that this virus might possess some genes of the same  
33 origin as those of highly pathogenic H7 and H5 viruses isolated in Eurasia. The present study  
34 underscores the need for continued monitoring of avian influenza viruses in Eurasia and Africa.

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35 Aquatic birds of the orders Anseriformes (ducks, geese, and swans) and Charadriiformes (gulls,  
36 terns, and shorebirds) are thought to constitute the major natural reservoir for avian influenza  
37 (AI) A virus [20,24]. All known influenza A virus subtypes with respect to two surface  
38 glycoproteins, hemagglutinin (HA) (H1-H16) and neuraminidase (NA) (N1- N9) and most  
39 HA/NA combinations have been identified in wild birds and poultry [11,24]. Influenza A viruses  
40 of avian origin have been implicated in outbreaks of influenza in other hosts [13,20,24],  
41 indicating that a vast influenza virus gene pool for future epidemics in other animal species  
42 including human pandemics exists in avian sources.

44 Highly pathogenic (HP) H5N1 AI virus has spread from Asia to other regions, including Europe,  
45 the middle East, and Africa causing outbreaks in domestic poultry and wild birds [5,10,15]. As  
46 of 17 June 2009, Egypt had recorded the highest number of H5N1 human infections in Africa, 78  
47 confirmed cases with 27 fatalities [25]. The origins and transmission routes of HP H5N1 virus  
48 initially from Asia to Africa remain unclear. The potential spread of HP H5N1 virus by wild  
49 birds over large geographical regions and the direct zoonotic threat posed by several AI viruses  
50 of the Eurasian lineage underscore the need for more information on ecology and evolution of AI  
51 A viruses circulating in the wild bird reservoir globally.

53 In attempting to narrow the knowledge gap that exists in the ecology of AI viruses circulating in  
54 wild birds in Africa, virologic surveillance studies were initiated in Zambian wetlands frequented  
55 by migratory birds. We report the characterization of the first influenza virus isolate from an  
56 avian host in Zambia.

58 In August 2006, fifty one fresh fecal samples were collected from apparently healthy pelicans in

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4 59 Lochinvar national park (15° 40' S; 27° 15' E), in Southern province of Zambia. Virus isolation  
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6 60 was attempted in 10- to 11-day- old embryonated chicken's eggs. One influenza virus isolate was  
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8 61 obtained and designated A/pelican/Zambia/01/06 (H3N6) (Zb06) following subtyping by  
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10 62 standard hemagglutination inhibition (HI) and neuraminidase inhibition (NI) tests using specific  
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12 63 antisera to the reference strains of influenza viruses. We then prepared chicken antisera against  
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14 64 Zb06. Briefly, purified virus was inactivated with 0.1% formalin at 4 ° C for one week. Three-  
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16 65 month-old specific-pathogen-free chickens were immunized intramuscularly and subcutaneously  
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18 66 with 100µl of 300µg inactivated virus with Complete Freund's Adjuvant (DIFCO). The chickens  
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20 67 were re-immunized two weeks later similarly but with Incomplete Freund's Adjuvant. The  
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22 68 chickens were given a third intravenous booster injection without adjuvant three weeks after the  
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24 69 second immunization. One week after the final immunization, the chickens were sacrificed to  
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26 70 obtain serum. We used A/Puerto Rico/8/34 (H1N1) (PR8), A/duck/Hong Kong/836/80 (H3N1)  
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28 71 (DHK836), A/Aichi/2/68 (H3N2) (Aichi), A/Memphis/1/96 (H3N2) (Mem96),  
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30 72 A/Czeckoslovakia/56 (H4N6) (Czec56), A/duck/England/1/56 (H11N6) (Eng56), A/gull  
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32 73 /Maryland/704/77 (H13N6) (MD77), and Zb06 for antigenic characterization by HI and NI  
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34 74 assays. Chicken antiserum were raised against these viruses except DHK836. Chicken  
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36 75 erythrocytes (0.5%) and Fetuin, Fetal Bovine Serum (CALBIOCHEM), were used in the HI and  
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38 76 NI assays, respectively.  
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48 78 In antigenic analyses, chicken antiserum raised against Zb06 showed high HI and NI titers  
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50 79 roughly equally to all the H3 and N6 influenza viruses tested, including the relatively recent  
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52 80 human strain, Mem96 (Table 1), indicating that chicken antiserum raised against Zb06 has high  
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54 81 cross reactivity. The reason for the high cross reactivity is unclear, but one possibility is that  
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56 82 antibodies raised against Zb06 predominantly recognize the conserved epitopes of the surface  
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83 glycoproteins of the viruses tested. Chicken antiserum raised against Zb06 could therefore be  
84 useful in diagnosis of H3 and N6 influenza viruses. On the other hand, Zb06 did not react with  
85 chicken antisera raised against Mem96 but reacted with antiserum against Aichi (<40 and 320 HI  
86 titers, respectively), confirming antigenic drift which has been observed since this virus was first  
87 introduced into the human population [2].

88  
89 For genetic analyses, viral RNA was extracted and amplified by RT-PCR as described previously  
90 [12]. PCR products were purified from agarose gels and then sequenced directly using BigDye  
91 terminator cycle sequencing ready reaction kit and analyzed on a 3130 Genetic analyzer  
92 (Applied Biosystems). The nucleotide sequences obtained in this study will appear in the  
93 DDBJ/EMBL/GenBank nucleotide sequence databases under accession numbers AB470293 to  
94 AB470300. Phylogenetic trees were constructed using the neighbor-joining bootstrap method  
95 (1,000 replicates) in MEGA4.

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97 The entire genome of Zb06 was completely sequenced and analyzed with the basic local  
98 alignment search tool (BLAST) available from Genbank (Table 2). We found that the HA, PB2,  
99 and NS genes were highly similar (97-99%) to duck/South Africa/1108/04 (H3N8) (SAH3). The  
100 NP and PB1 genes showed 97% nucleotide similarity with H7N1 and H7N3 influenza viruses  
101 isolated from Italian poultry, respectively. The closest relative of Zb06 M gene was  
102 duck/Mongolia/54/2001 (H5N2) (98% nucleotide similarity). The NA segment was close to  
103 mallard/Germany/Wv1806-09k/03 (H4N6) with 96% nucleotide identity. The PA gene showed  
104 close sequence identity (98%) to H5N3 virus, teal/Italy/3812/05.

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106 The HA, NA, NP, and PA gene phylograms are shown in Fig. 1. Phylogenetic analysis of the HA

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4 107 gene of Zb06 showed the separation of the viruses into the Eurasian, American, and human-  
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6 108 swine lineages (Fig. 1a). Sublineages 1-3 are distinguishable within the Eurasian lineage. The  
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8 109 HA gene of Zb06 was closely related to that of SAH3, and belonged to the first sublineage,  
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10 110 comprising viruses isolated mainly from the Far East and Europe. The much older virus,  
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12 111 duck/Ukraine/1/63 (H3N8) and a swine isolate from Mongolia constituted the second Eurasian  
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14 112 sublineage. The third sublineage is composed of H3N2 viruses isolated from fecal specimens  
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16 113 collected from live poultry markets in Korea [21]. The NA gene tree of Zb06 revealed the  
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18 114 assortment of viruses into the Eurasian, Eurasian-American, Oceania, and American lineages  
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20 115 (Fig. 1b). Two sublineages were apparent within the Eurasian lineage, “contemporary” and  
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22 116 1970s and 1980s viruses (designated 1 and 2, respectively). The NA gene of Zb06 fell in the  
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24 117 “contemporary” sublineage and was closely related to H4N6 viruses isolated from Germany and  
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26 118 Norway. Aside from Zb06 and the two H4N6 European strains, all viruses of the “contemporary”  
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28 119 sublineage were of Asian origin. Except for three viruses, Eng56, Czec56, and duck/Siberia/272/  
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30 120 98 (H13N6) the Eurasian-American lineage was composed exclusively of shorebird and gull  
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32 121 viruses isolated in America and Eurasia.  
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41 123 Phylograms of the internal protein genes (NP and PA) (Fig. 1c, and d) of Zb06 showed the  
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43 124 clustering of strains of the Eurasian lineage into sublineages as previously described [9]. In the  
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45 125 NP phylogeny, four groups or sublineages are recognized. The first group consists of recent  
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47 126 isolates from Europe, Asia and South Africa, including HP H5N1 viruses isolated from ducks  
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49 127 and chickens in China, and from whooper swans in Japan. The NP gene of Zb06 belonged to the  
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51 128 second group, consisting of “early” European strains represented by Dk/Potsdam/2216-4/84  
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53 129 (H5N6) and some recent isolates, including H7N1 Italian poultry viruses [1]. The third  
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55 130 sublineage was composed of a single isolate, Dk/Hokkaido/120/01 (H6N2). The fourth group of  
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131 the Eurasian lineage comprises 3 strains isolated from 1956 to 1961. The PA phylogram was  
132 topologically similar to that of the NP gene tree. In contrast to the NP gene that clustered with  
133 H7N1 Italian poultry viruses, the PA gene of Zb06 was closely related to that of  
134 teal/Italy/3812/05 (H5N3) and grouped together with those of the Asian HP H5N1 viruses,  
135 suggesting a common source of the PA gene of these viruses.

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137 The close relation of the HA, and internal (NS and PB2) protein genes (Supplementary Fig. S1)  
138 of Zb06 to those of wild bird isolates from South Africa suggests that some reassortment may  
139 have occurred within sub-Saharan Africa due to the interaction of wild birds through the intra-  
140 African flyways. Ring recoveries of water birds in Southern Africa have shown that some  
141 waterbirds are migratory within southern Africa, while others show dispersal as far as central  
142 Africa [23]. Phylogenies of the NP, and other internal (NS, PB1, and M) protein genes  
143 (Supplementary Fig. S1) of Zb06 showed that they were closely related to H7 influenza viruses  
144 isolated from Italian poultry in 1999, suggesting that viruses of the same origin as Zb06 may  
145 have contributed some internal protein genes to viruses that caused epidemics of AI of H7  
146 viruses that have been observed in Europe since 1997 [1,3,4,8]. Phylogenetic analyses of AI  
147 viruses isolated from wild ducks and domestic poultry in Italy revealed that the precursor H7  
148 virus for AI in domestic poultry was directly introduced from migratory birds [4]. The close  
149 similarity of these genes of Zb06 to those of the viruses isolated in Italy leads us to speculate that  
150 these viruses may have infected their avian hosts on the Black Sea/Mediterranean flyway which,  
151 together with the East Africa/West Asia flyway pass through Zambia. We acknowledge the need  
152 for caution in interpreting our data because only very limited sequence data from African wild  
153 birds are available in Genbank.

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155 Until now, there was no report of influenza virus isolation from the Great White Pelican  
156 (*Pelecanus onocrotalus*). Influenza virus (H6N1) isolation from Great Cormorant, a member of  
157 the order Pelecaniformes, has been reported [22]. Other studies did not yield positive results of  
158 influenza virus isolation from this order [17,19,20]. The Great White pelican is endemic in  
159 Southern Africa. Limited breeding sites exist in the region including two in South Africa, and  
160 one in Namibia [6,7]. Large colonies of white pelicans congregate in Lochinvar national park  
161 sharing same habitat with other bird species in which AI viruses have been frequently isolated  
162 worldwide. The role of “minor” bird reservoirs in influenza virus ecology is unclear. It remains  
163 to be determined in which of these species influenza viruses are endemic and in which the virus  
164 is a temporary pathogen [19,20].

165  
166 Available evidence suggests that the rapid spread of HP H5N1 virus from Qinghai lake, China,  
167 to Europe and Africa may have involved migratory birds and possibly the poultry trade [14]. The  
168 close relation of the PA gene of Zb06 to those of the Asian HP H5N1 viruses implies that wild  
169 birds could carry and spread, at least in part, genes of the same origin as those of HP AI viruses  
170 over large geographical regions. The overlap of multiple migratory flyways within Eurasia and  
171 Africa permits virus-infected birds of different bird populations to transmit pathogens to new  
172 hosts that may carry them to new areas [20].

173  
174 While AI A viruses have evolved into two genetically distinct lineages, Eurasian and American,  
175 possibly due to long-term confinement of birds to distinct flyways [20,24], transcontinental  
176 introduction of AI virus genes has been described between the two lineages [16,18]. For  
177 instance, PB2 and PA genes of the American lineage were detected in H2 viruses isolated in  
178 Japan, and the H2 HA genes of Eurasian lineage was present in American birds. Our findings

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179 highlight that the gene segments of Zb06 appear to have been derived from multiple virus  
180 sources in Eurasia and Africa. Furthermore, our results indicate that wild waterfowl could play a  
181 role in the dissemination of genes of common origin as those of HP AI viruses over large  
182 geographical regions, thus underscoring the need for continued AI virus surveillance in Zambian  
183 wetlands as part of a global program.

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TABLE 1. Antigenic characterization of Zb06 by HI and NI assays

Virus	Subtype	HI titer of chicken antisera				NI titer of chicken antisera						
		Zb06	Aichi	Mem96	PR8	Virus	Subtype	Zb06	Eng56	MD77	Czec56	PR8
Zb06	H3N6	<b><u>5,120</u></b> <sup>a</sup>	320	<40	<40	Zb06	H3N6	<b><u>2,560</u></b> <sup>a</sup>	2,560	640	320	<10
Aichi	H3N2	5,120	<b><u>10,240</u></b>	160	<40	Eng56	H11N6	1,280	<b><u>2,560</u></b>	320	320	<10
Mem96	H3N2	2,560	<40	<b><u>10,240</u></b>	<40	MD77	H13N6	5,120	2,560	<b><u>1,280</u></b>	640	<10
DHK836	H3N1	2,560	640	<40	<40	Czec56	H4N6	2,560	2,560	1,280	<b><u>1,280</u></b>	<10
PR8	H1N1	<40	<40	<40	<b><u>5,120</u></b>	PR8	H1N1	<10	<10	<10	<10	<b><u>1,280</u></b>

<sup>a</sup> Homologous HI and NI titers are in boldface type and are underlined

270 TABLE 2. Influenza viruses with highest nucleotide sequence similarity to Zb06

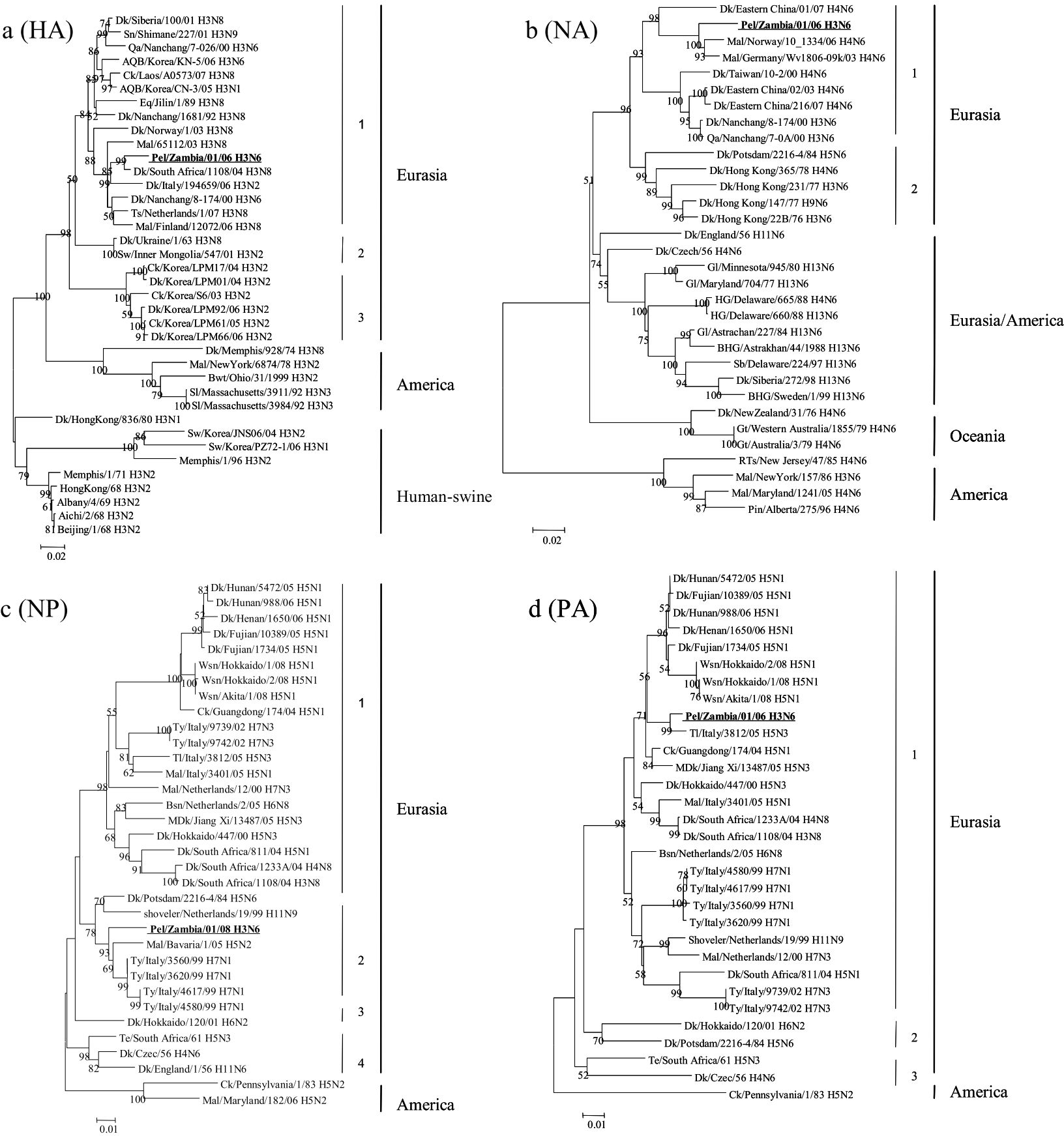
Gene (nucleotide positions of Zb06 compared)	Virus with highest degree of sequence identity	Subtype	Identity (%)	GeneBank accession no.
HA (77-1063)	A/duck/South Africa/1108/2004	H3N8	97	EF041487
NS (57-711)	A/duck/South Africa/1108/2004	H3N8	98	EF041491
PB2 (1468-2193)	A/duck/South Africa/1108/2004	H3N8	99	EF041493
NP (751-1,483)	A/turkey/Italy/3560/1999	H7N1	97	CY025168
PB1 (1429-2178)	A/turkey/Italy/9739/2002	H7N3	97	CY031617
M (197-868)	A/duck/Mongolia/54/2001	H5N2	98	AB301916
NA (38-1,264)	A/mallard/Germany/Wv1 806-09k/2003	H4N6	96	AM933235
PA (1,456-2,149)	A/teal/Italy/3812/2005	H5N3	98	CY022650

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**Fig. 1** Phylogenetic relationships of the HA (a), NA (b), NP (c), and PA (d) genes of Zb06. Numbers next to the branches indicate neighbor-joining bootstrap values of  $\geq 50\%$ . All positions containing gaps and missing data were eliminated from the dataset (Complete deletion option). The evolutionary distances were computed using the Maximum Composite Likelihood method and are in the units of the number of base substitutions per site. The virus strain sequenced in this study is in bold and underlined. Analysis was based on the following nucleotides: HA (77-1,063), NA (38-1,264), NP (751-1,483), and PA (1,456-2,149). AQB, aquatic bird; BHG, black-headed gull; Bsn, Bewick's swan; Ck, chicken; Dk, duck; Eq, equine; Gl, gull; Gt, gray teal; HG, herring gull; MDk, migratory duck; Mal, mallard; Pel, pelican; Pin, pintail; Qa, quail; RTs, ruddy turnstone; Sb, shorebird; Sl, seal; Sn, swan; Sw, swine; Te, tern; Ts, turnstone, Ty, turkey; ; Wsn, whooper swan.

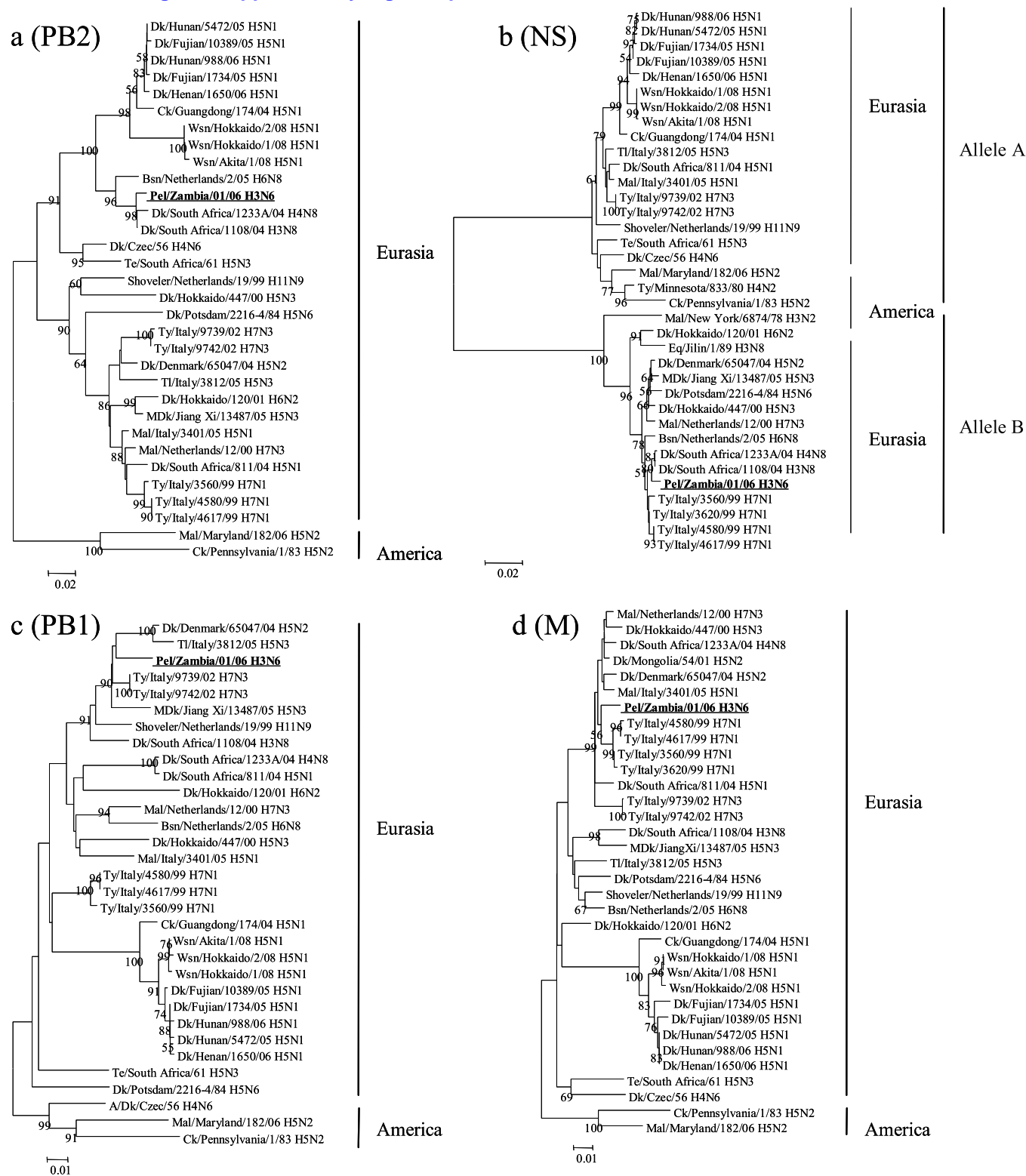
Figure

[Click here to download Figure: Fig. 1.eps](#)



**Figure**

[Click here to download Figure: Supplementary Fig. S1.eps](#)



**Supplementary Fig. S1** Phylogenetic relationships of the PB2 (a), NS (b), PB1 (c), and M (d) genes of Zb06.

Numbers next to the branches indicate neighbor-joining bootstrap values of  $\geq 50\%$ . The virus strain sequenced in the present study is in bold and underlined. Analysis was based on the following nucleotides: PB2 (1,468-2,193), NS (57-711), PB1 (1,429-2,178), and M (197-868).