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4 **Dynamical LEED Analysis of Ni₂P (0001)-1×1:**

5 **Evidence for P-covered Surface Structure**

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29 **Abstract**

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31 Nickel phosphide (Ni₂P) is an emerging catalyst for hydrodesulfurization and other important
32 environment- and energy-related catalytic reactions. To understand its high performance, the surface
33 structure of a Ni₂P (0001) single crystal surface was investigated using dynamical LEED analysis. The
34 obtained surface structure for Ni₂P (0001)-1×1 is a P-covered Ni₃P₂ structure (Ni₃P₂-P structure) as
35 opposed to the expected bulk terminated surface structures. This paper discusses the driving force for the
36 formation of the Ni₃P₂-P surface, which involves the minimization of the dangling bonds.
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6 Recently, a promising catalysis of nickel phosphide (Ni_2P) was discovered for hydrodesulfurization
7 reaction [1]. Ni_2P is a transition metal phosphide (TMP) that has physical properties, such as hardness and
8 strength that are typical of ceramics, yet has electrical properties of metals, such as conductivity and the
9 Hall coefficient. Ni_2P has excellent catalytic activities for hydrodesulfurization [1- 8],
10 hydrodenitrogenation [1,6-8], hydrodeoxygenation [1], hydrodechlorination [9-11], water-gas shift
11 reaction [12] and hydrogen evolution reaction [13]. In order to understand the origin of its high catalytic
12 performance, surface science research has been carried out on Ni_2P single crystal surfaces. However, even
13 the most fundamental surface structure of Ni_2P (0001) remains unknown [3,14-17].

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19 The bulk crystal structure of Ni_2P belongs to space group $P\bar{6}2m$ with $a = b = 0.5859$ nm and $c =$
20 0.3382 nm [18,19], as shown in Fig. 1. Along the [0001] direction, the bulk structure consists of two
21 different alternating stoichiometric planes, namely Ni_3P and Ni_3P_2 (Fig. 1b and 1c). Previous DFT
22 (density functional theory) studies showed that the Ni_3P_2 terminated surface is more stable than the Ni_3P
23 terminated surface [3,14], whereas STM and PEEM studies showed that a substantial amount of the
24 surface is also terminated with the Ni_3P structure [4,15,16]. In addition to the primitive (1×1) termination,
25 Ni_2P (0001) surfaces also show $3/2\times 3/2$ and $\sqrt{3}\times\sqrt{3}$ -R 30° reconstructed surface structures [4,16,17].

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31 In this paper, we resolved these contradictions in the Ni_2P (0001)- 1×1 surface by using dynamical
32 LEED analysis – a technique not only sensitive to the surface lattice structure but also to the atomic
33 composition and position. The calculated results for the Ni_2P (0001)- 1×1 surface is a P-terminated Ni_3P_2
34 surface. We also discussed a possible mechanism for the formation of such a unique surface structure and
35 its relation to its surface stability and catalytic activity.

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41 The Ni_2P (0001) surface was cleaned by sputtering with 0.5 keV Ar ions followed by annealing at
42 750 K for 2 h under UHV conditions to achieve sharp 1×1 LEED patterns (Fig. 1d). LEED measurements
43 were performed at pressures of approximately 10^{-8} Pa and at room temperature. The intensities of the
44 diffraction spots were measured by a computer controlled data acquisition system equipped with an
45 intensified CCD video camera. Experimental LEED IV curves were generated from 50 to 180 eV with 1
46 eV steps from the 1×1 diffraction patterns of the Ni_2P surface. For each equivalent beam, the IV curve
47 was averaged, producing four non-equivalent sets of integer order beams, i.e., (1,0), (1,1), (-1,-1) and
48 (2,0), with a total energy range $\Delta E = 484$ eV.

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54 The full dynamical LEED analysis was carried out using the Symmetrized Automated Tensor LEED
55 (SATLEED) package [20-23]. Seven relativistic phase shifts were used; the Debye temperature from the
56 DFT calculation was set at 700 K, and the real and imaginary parts of the complex inner potential (V_{or}
57 and V_{oi}) were set at 10 and -5 eV, respectively. The real part of the complex inner potential was refined.
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4 Two model structures were initially considered for the SATLEED calculation, namely the Ni₃P and the
5 Ni₃P₂ models, which correspond to the two bulk terminated structures. The theoretical IV curves of these
6 model structures were calculated and compared with the experimental IV curves using the Pendry
7 reliability factor (R_p). The determination of the number of layers necessary for optimization was
8 performed using the Hamilton ratio method [24-26]. The variance of R_p (RR) was equal to 0.29 (RR=
9 $\sqrt{(8|V_{oi}|/\Delta E)}$) [23]. The error estimation was carried out by changing each fitting parameter from the
10 optimized values until the obtained R_p exceeds the minimum R_p × (1 + RR)[23].
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16 Figure 2 shows calculated IV curves of Ni₃P and Ni₃P₂ model structures from dynamical LEED
17 analysis together with the experimental curve. The Ni₃P and Ni₃P₂ model structures gave R_p values of
18 0.33 and 0.35, respectively, after optimization up to the 4th layer. In addition, in order to account for the
19 coexistence of Ni₃P- and Ni₃P₂-terminated structures on the Ni₂P (0001)-1×1 surface [12,15,16],
20 dynamical LEED analysis based on the two-phase models of both surfaces with various compositions was
21 also performed. Fig. 2c shows the best fitted IV curve of the combined Ni₃P- and Ni₃P₂-terminated
22 structures (ratio of Ni₃P to Ni₃P₂ is 0.65 to 0.35). However, the R_p value for this two-phase model was not
23 improved significantly (R_p = 0.29). We continued our investigation in order to find other model structures
24 though R_p values of these 3 model structures were less than the lower limit of uncorrelated model, 0.43 =
25 1 - 1.96 RR [23].
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31 We examined other surface structure models that were consistent with the surface space group of the
32 LEED pattern (i.e., p31m), but with modified surface compositions. Four top-layer structures with
33 different surface compositions were proposed as shown in Fig.3:
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36 Ni₃P_Ni model – Ni₃P-terminated surface without the surface P (Ni-covered Ni₃P₂);

37 Ni₃P₂_Ni model – Ni₃P₂-terminated surface without the surface P (Ni-covered Ni₃P);

38 Ni₃P_P model – Ni₃P-terminated surface without the surface Ni (P-covered Ni₃P₂); and

39 Ni₃P₂_P model – Ni₃P₂-terminated surface without the surface Ni (P-covered Ni₃P).

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44 In these model structures, the topmost atoms are regarded as the adatom on the 0th layer, and could be
45 optimized up to the 3rd layer of surface structure according to the Hamilton ratio evaluation with a
46 significance level of 10%[24].
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52 As shown in Fig. 4, the Ni₃P_P model gave the lowest R_p value (0.18) while the other three model
53 structures, Ni₃P_Ni, Ni₃P₂_P and Ni₃P₂_Ni had R_p values of 0.30, 0.33 and 0.32, respectively. Given the
54 total number of peak, N = 24 and the fitting parameters of 11, 11, 12 and 12 for Ni₃P_P, Ni₃P_Ni,
55 Ni₃P₂_P and Ni₃P₂_Ni models, respectively, F-test results for the R_p values showed that the Ni₃P_P was
56 the most appropriate model with a confidence level of 96% when compared to the next best structure
57 (Ni₃P_Ni).
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4 This Ni₃P_P model structure can account for the STM image previously assigned to the “Ni₃P”
5 surface structure (i.e. bright spot separation of approximately 0.59 nm). However, another surface
6 structure appearing as “Ni₃P₂” was also reported in the same STM study [16]. Thus, a two-phase model
7 composed of a Ni₃P_P and a “second surface structure” that gives similar surface geometry to the “Ni₃P₂”
8 (i.e., a bright spot separation of about 0.34 nm) was calculated. The elaborate calculations showed that
9 several two-phase model structures have improved R_p values but the dominant structure was always that
10 of the Ni₃P_P structure with a percentage contribution of 80 ± 10%. Although the “second surface
11 structure” could not be determined by dynamical LEED analysis alone, we propose that the minor surface
12 structure is likely to be the Ni₃P₂ structure because of the following reasons: 1) dynamical LEED
13 calculation of the Ni₃P_P and Ni₃P₂ combined model structure gave one of the best R_p values (0.16); 2)
14 the Ni₃P_P model structure – the most dominant structure – can easily be achieved by adsorbing P atoms
15 on the Ni three-fold hollow site of Ni₃P₂; 3) the P atoms on the Ni₃P₂ model structure gives the bright
16 spots in the STM image [16] of “Ni₃P₂”; and 4) previous DFT calculations [3] provide evidence that the
17 Ni₃P₂-terminated surface is a stable surface structure. Thus, we tentatively conclude that the Ni₂P
18 (0001)-1×1 surface is composed of approximately 80% Ni₃P_P (Ni₃P₂ covered with P at the Ni three-fold
19 hollow sites) and 20% bare Ni₃P₂.

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31 The details of the Ni₃P_P model structure, which is the dominant part of the Ni₂P (0001) surface, is
32 illustrated in Fig. 5. The structural parameters with the calculated errors are listed in Table 1. The Ni₃P_P
33 structure can be regarded as P adatoms present on the Ni₃P₂ surface, where the topmost P atoms (0th layer)
34 were shifted a little downward by 0.01 Å while the P atoms in the 1st layer were shifted upward by 0.07 Å.
35 In other words, the surface was terminated with P atoms. An XPS study showed that the surface core level
36 shifts were only observed as P 2p XPS spectra [27]. This finding is consistent with our Ni₃P_P model
37 structure where Ni atoms on the surface are covered with P atoms.

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43 The question that arises from these results is; why does the Ni₃P_P appear? Given the preparation
44 conditions that we have done in the experiment, a Ni rich surface composition was expected since
45 sputtering selectively removes the phosphorus while annealing recovers the surface P/Ni ratio by P
46 diffusion from the bulk [5,16]. Considering the 0th and 1st layer of Ni₃P_P, the average P/Ni ratio = 1.
47 Thus, the Ni₃P_P is quite an unexpected surface structure. We explained the formation mechanism of
48 Ni₃P_P as follows. DFT calculations reported that the Ni₃P₂ structure was more stable than the Ni₃P
49 structure. When the Ni₃P₂ layer is exposed at the surface, each Ni three-fold site lacks one P atom on top
50 thereby creating a dangling bond which has an energy around the Fermi level and generally makes the
51 surface less stable [28]. Conversely, P atoms have a valence electron configuration of 3s²3p³. When P is
52 adsorbed on the Ni three-fold hollow site in Ni₃P₂, the dangling bonds of the Ni atoms can be replaced
53 with one fully occupied P lone pair which is located below the Fermi level, thus stabilize the surface.
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4 Consequently, the surface is covered with P atoms. Similar P-terminated surface reconstructions were
5 observed in Ni_2P ($10\bar{1}0$) where the surface was reconstructed to $\text{Ni}_2\text{P}(10\bar{1}0)\text{-c}(2\times 4)$ by the addition of P
6 atoms on top of the exposed Ni sites [29]. These stabilization mechanisms are similar to those on
7 semiconductor surfaces where the principle of the minimization of dangling bonds is manifested [28].
8 Thus, the mechanism for stable surface structure involving the “minimization of dangling bonds” is also
9 valid in Ni phosphide surfaces.
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14 Finally, we comment on the relation between the surface structure and catalysis. The surface of Ni_2P
15 catalysts are preferentially covered with an oxygen chemisorption layer (passivation) in order to prevent
16 bulk oxidation when handled under ambient conditions [1, 30]. The catalysts are then reduced prior to
17 catalysis studies to remove the passivation oxygen. However, for these reduced Ni_2P catalysts, the
18 exposed metal atoms measured by the chemisorption of CO was about 10–20% of the theoretical number
19 estimated from the particle size [31]. The chemisorption amount decreased as the initial Ni/P ratios were
20 decreased from 2/1 to 1/3. This observation indicated that the reduction in the chemisorption amounts was
21 because of phosphorus blockage. The present study presents evidence for this explanation.
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28 In summary, we conducted dynamical LEED analysis of the 1×1 surface of Ni_2P (0001) to resolve
29 discrepancies between previously obtained STM data and DFT calculations. We found that the surface
30 was mostly (~80%) terminated with $\text{Ni}_3\text{P}_2\text{-P}$ structure (the P atom was adsorbed on the three-fold sites of
31 Ni_3P_2). We conclude that the P adatoms further stabilize the Ni_3P_2 structure by filling the dangling bonds.
32 The dangling bond minimization principle is valid for the nickel phosphide surfaces.
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44 SATLEED and to Prof. Edamoto for the insightful discussions.
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4 **Figure captions**
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8 FIG. 1. a) Bulk structure of Ni_2P illustrating the alternating planes of Ni_3P and Ni_3P_2 along the [0001]
9 direction; b) Ni_3P plane; c) Ni_3P_2 plane. Large and small balls correspond to the P and Ni atoms,
10 respectively. d) The LEED pattern of Ni_2P (0001)- 1×1 at 76 eV inserted with the reciprocal unit cell.
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14 FIG. 2. Theoretical IV curves of the optimized a) Ni_3P , b) Ni_3P_2 , c) two-phase Ni_3P - Ni_3P_2 (0.65:0.35)
15 model structures compared with the experimental IV curve of Ni_2P (0001)- 1×1 .
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19 FIG. 3. The top view of the 0th and 1st layer of Ni_2P (0001)- 1×1 model structures satisfying the surface
20 space group $p31m$ but having a different composition. a) Ni_3P_P , b) Ni_3P_{Ni} , c) $\text{Ni}_3\text{P}_2_{Ni}$ and d) Ni_3P_2_P
21 model structures. Circles indicate the added 0th layer atoms.
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26 FIG. 4. Theoretical IV curves of the optimized a) Ni_3P_P , b) Ni_3P_{Ni} , c) $\text{Ni}_3\text{P}_2_{Ni}$ and d) Ni_3P_2_P model
27 structures compared with the experimental IV curve of Ni_2P (0001)- 1×1 .
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31 FIG. 5. a) The side view of the optimized Ni_3P_P ; b) the top view of the 0th to 1st layer (squared part in
32 (a)). Arrows show the direction of the atomic displacement from the bulk position.
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Table 1. Structural parameters with calculated errors for the optimized Ni₃P₃P model structure.

	Composition	Δz [001]	$\Delta(\text{P-Ni bond})$	$\Delta(\text{Ni-P bond})$	$\Delta(\text{Ni-Ni bond})$
0 th layer	P	0.01±0.04	-	-	-
0 th to 1 st	P - Ni ₃ P ₂	-	-0.03(2.24)±0.11	-	-
1 st layer	P in Ni ₃ P ₂	-0.07±0.05	0.01(2.22)±0.15		-0.03(2.59)±0.21
	Ni in Ni ₃ P ₂	-0.01±0.05			
1 st to 2 nd	Ni ₃ P ₂ - Ni ₃ P	-	0.08(2.54)±0.19	-0.00(2.26)±0.11	-0.01(2.60)±0.23
2 nd layer	P in Ni ₃ P	0.00±0.06	-0.14(2.23)±0.26		0.09(3.17)±0.37
	Ni in Ni ₃ P	0.00±0.04			
2 nd to 3 rd	Ni ₃ P - Ni ₃ P ₂	-	-0.04(2.23)±0.15	0.04(2.49)±0.20	-0.02(2.59)±0.26
3 rd layer	P in Ni ₃ P ₂	0.00±0.06			
	Ni in Ni ₃ P ₂	0.00±0.09	0.04(2.25)±0.20		-0.10(2.51)±0.28

Notes:

- For the z displacements, + and - values correspond to the inward and outward shifts normal to the surface;
- For nth to (n+1)th layer; the "P-Ni bond" means the P atom in the nth layer to the Ni atom in the (n+1)th layer;
- For the Ni₃P₂ layer – the P-Ni bond and the Ni-Ni bond are 2.21 and 2.61 Å, respectively;
- For the Ni₃P layer – the P-Ni bond and the Ni-Ni bond are 2.37 and 3.09 Å, respectively; and
- For the Ni₃P to Ni₃P₂ (or Ni₃P) interlayer – the P-Ni (Ni-P) bond, Ni-Ni (Ni-Ni) bond and Ni-Ni (Ni-Ni) bond are 2.27, 2.46 and 2.61 Å, respectively.

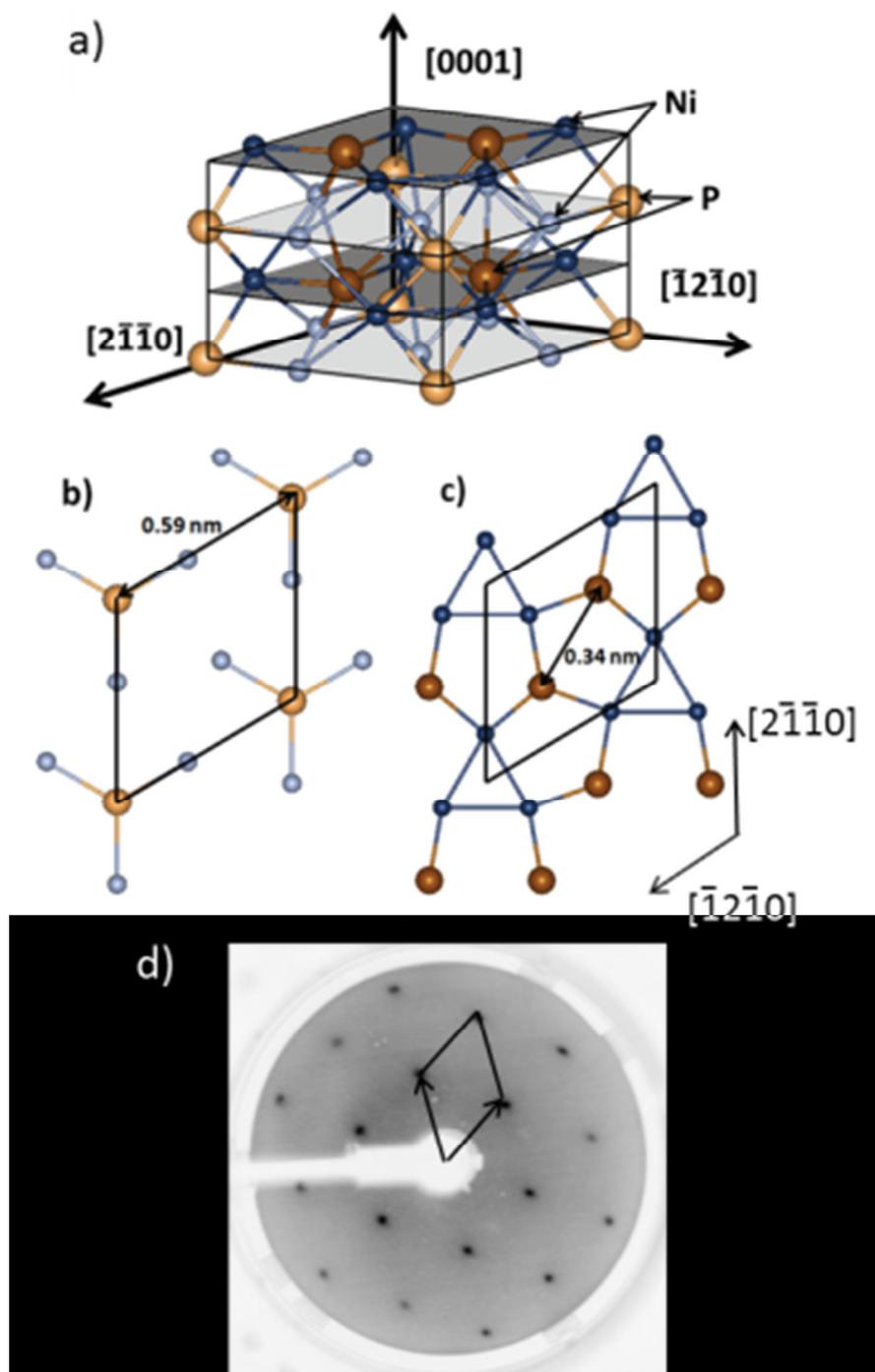


FIG. 1. a) Bulk structure of Ni_2P illustrating the alternating planes of Ni_3P and Ni_3P_2 along the $[0001]$ direction; b) Ni_3P plane; c) Ni_3P_2 plane. Large and small balls correspond to the P and Ni atoms, respectively. d) The LEED pattern of Ni_2P (0001)- 1×1 at 76 eV inserted with the reciprocal unit cell

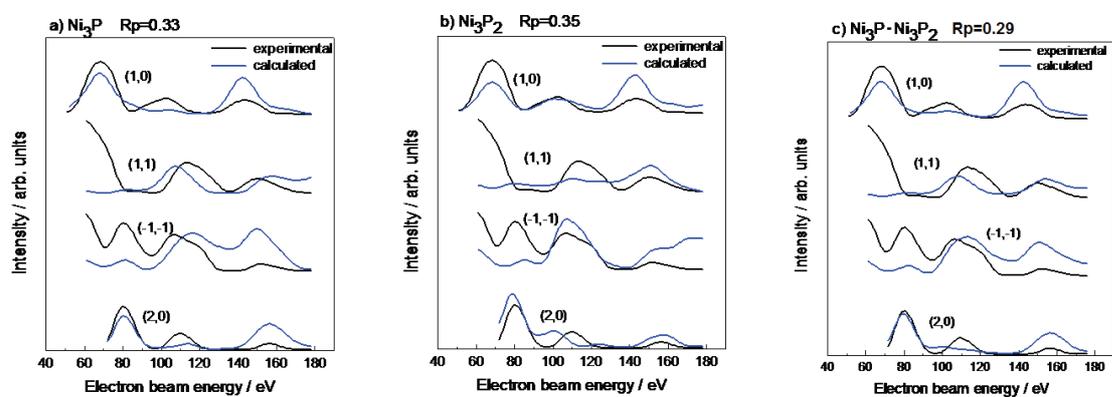


FIG. 2. Theoretical IV curves of the optimized a) Ni₃P, b) Ni₃P₂, c) two-phase Ni₃P-Ni₃P₂ (0.65:0.35) model structures compared with the experimental IV curve of Ni₂P (0001)-1×1.

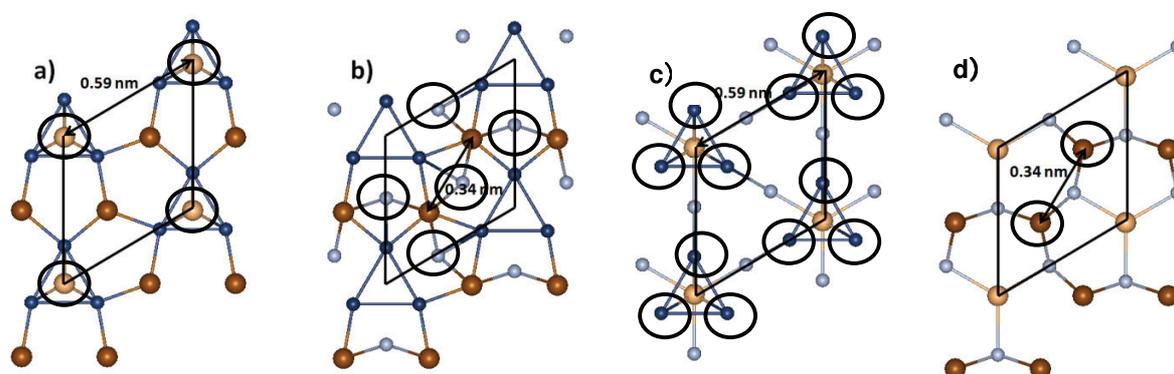


FIG. 3. The top view of the 0th and 1st layer of Ni₂P (0001)-1x1 model structures satisfying the surface space group p31m but having a different composition. a) Ni₃P_P, b) Ni₃P_Ni, c) Ni₃P₂_Ni and d) Ni₃P₂_P model structures. Circles indicated the added 0th layer atoms.

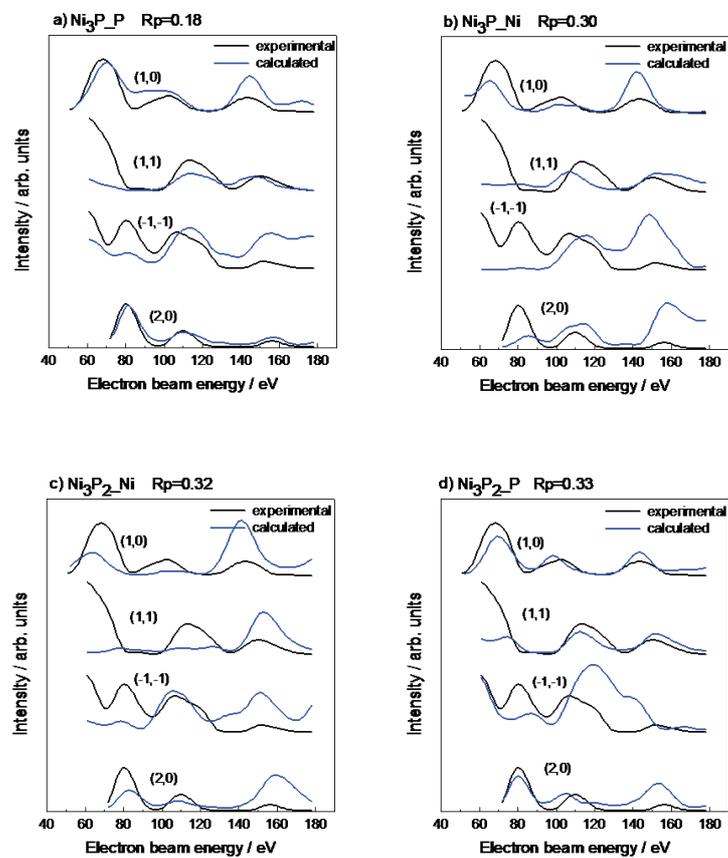


FIG. 4. Theoretical IV curves of the optimized a) Ni₃P_P, b) Ni₃P_Ni, c) Ni₃P₂_Ni and d) Ni₃P₂_P model structures compared with the experimental IV curve of Ni₂P (0001)-1×1.

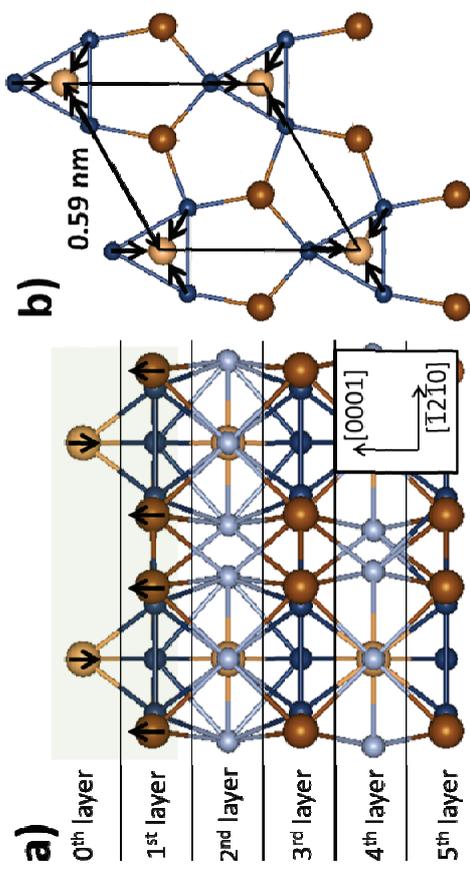


Fig. 5 Alvin et al.